

¹ **Electron acceleration in the Van Allen radiation belts**
² **by fast magnetosonic waves**

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3 Local acceleration is required to explain electron flux increases in the outer
4 Van Allen radiation belt during magnetic storms. Here we show that fast mag-
5 netosonic waves, detected by Cluster 3, can accelerate electrons between \sim
6 10 keV and a few MeV inside the outer radiation belt. Acceleration occurs
7 via electron Landau resonance, and not Doppler shifted cyclotron resonance,
8 due to wave propagation almost perpendicular to the ambient magnetic field.
9 Using quasi-linear theory, pitch angle and energy diffusion rates are compa-
10 rable to those for whistler mode chorus, suggesting that these waves are very
11 important for local electron acceleration. Since pitch angle diffusion does not
12 extend into the loss cone, these waves, on their own, are not important for
13 loss to the atmosphere. We suggest that magnetosonic waves, which are gen-
14 erated by unstable proton ring distributions, are an important energy trans-
15 fer process from the ring current to the Van Allen radiation belts.

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1. Introduction

16 The relativistic electron flux in Earth's outer radiation belt varies by over five orders
17 of magnitude [*Baker and Kanekal, 2007*] and is responsible for certain types of satellite
18 malfunctions [*Wrenn et al., 2002*]. Flux variations are due to a combination of electron
19 acceleration, transport, and loss processes acting within the magnetosphere [*Reeves et al.,*
20 2003; *Li et al., 1997*], indicating that the magnetosphere is a gigantic particle accelera-
21 tor. For many years inward radial diffusion from a source beyond geosynchronous orbit
22 ($L = 6.6$) has been considered the dominant mechanism for transport and acceleration
23 [*Schulz and Lanzerotti, 1974*], and is enhanced by ULF waves [*Elkington et al., 1999*], but
24 recent observations show that the electron phase space density can peak near $L = 4.5$
25 [*Green and Kivelson, 2004; Iles et al., 2006*] suggesting that a local acceleration process
26 is required. Acceleration by whistler mode chorus waves is an important mechanism for
27 local acceleration [*Horne and Thorne, 1998; Summers et al., 1998, 2002; Meredith et al.,*
28 2002; *Horne et al., 2003, 2005a*], and was shown to be particularly effective in accelerating
29 electrons during the 2003 Halloween magnetic storm [*Horne et al., 2005b*] and in the slot
30 region during other storms [*Thorne et al., 2007*]. Here we show that another type of wave,
31 known as fast magnetosonic waves, can also be very effective in accelerating electrons up
32 to relativistic energies in the outer radiation belt, and must be considered as an additional
33 local acceleration mechanism.

2. Wave observations

34 Figure 1 shows fast magnetosonic waves detected by the STAFF instrument [*Cornilleau-*
35 *Wehrlin et al., 2003*] on CLUSTER 3 as it crossed the magnetic equator on 25 Nov 2002.

36 The event occurred during an unusually long storm recovery phase when the ring current
 37 was enhanced for a 4-5 day period ($D_{st} \sim -40nT$), and when the > 2 MeV electron flux
 38 measured by GOES 10 (not shown) was a factor of 10 higher than the pre-storm level
 39 before 21 Nov. The waves can be identified by the intense emissions between 20 and 60 Hz,
 40 below the lower hybrid resonance frequency f_{LHR} (solid line) but above the local proton
 41 gyrofrequency f_{cH} , which is approximately 5.1 Hz at the equator. The waves are confined
 42 to a magnetic latitude of $\lambda_m \approx \pm 3^\circ$, and are far more intense than chorus for this event,
 43 which is the band of emissions above f_{LHR} rising in frequency towards the equator. The
 44 waves were detected in both the electric and magnetic antennas indicating that they are
 45 electromagnetic and have a high degree of elliptical polarization (with ellipticity ≈ 0) from
 46 which the calculated direction of the \mathbf{k} vector is approximately $\psi = 89^\circ$ with respect to the
 47 ambient magnetic field direction \mathbf{B}_0 . The frequency range and degree of ellipticity indicate
 48 that the waves propagate across the magnetic field with the wave electric field in the plane
 49 almost perpendicular to \mathbf{B}_0 and \mathbf{k} with the wave magnetic field along \mathbf{B}_0 . These waves
 50 are known as fast magnetosonic waves or equatorial noise. Earlier observations reported
 51 waves between $2 \leq L \leq 7$, [e.g., *Gurnett, 1976; Perraut et al., 1982; Laakso et al., 1990*]
 52 within 10° of the magnetic equator [*Santolík et al., 2004*]. More recent studies show that
 53 the intensity of the waves peaks within $\lambda_m \sim 2^\circ - 3^\circ$ [*Santolík et al., 2002; Němec et*
 54 *al., 2005*]. Magnetosonic waves are generated by a proton ring distribution at frequencies
 55 close to the harmonics of the proton gyrofrequency [*Boardsen et al., 1992, Horne et al.,*
 56 *2000*] and can propagate both inside and outside the plasmopause [*Kasahara et al., 1994;*
 57 *Horne et al., 2000*].

3. Resonant wave-particle interactions

Magnetosonic waves can interact strongly with electrons and ions via Doppler shifted cyclotron resonance, given by

$$\omega - n\Omega_\sigma/\gamma - k_\parallel v_\parallel = 0 \quad (1)$$

58 where ω is the angular wave frequency, Ω_σ is the cyclotron frequency for a particle species
 59 σ , n is the harmonic number, γ is the relativistic mass correction factor and v_\parallel is the
 60 electron velocity component parallel to the background magnetic field direction \mathbf{B}_0 . Solv-
 61 ing this equation together with the dispersion relation for whistler mode propagation at
 62 large angles we can obtain the electron resonant energies and pitch angles. For the band
 63 of waves observed by CLUSTER 3 between $0.0026 \leq \omega/|\Omega_e| \leq 0.0044$, with $\omega_{pe}/|\Omega_e| = 3$
 64 and assuming $\psi = 89^\circ$, all cyclotron resonances occur at energies well above 3 MeV (Fig-
 65 ure 2) and are therefore unlikely to play a significant role in electron scattering. However,
 66 Landau ($n = 0$) resonance (central vertical dashed line for $\psi = 89^\circ$) is possible over a
 67 wide range of energies from below 100 keV up to the speed of light and occurs at larger
 68 pitch angles with increasing energy. Note that Landau resonance extends over a range of
 69 v_\parallel/c when a band of waves with a spread of wave normal angles is taken into account, as
 70 described below.

71 For a broad band of waves, such as those shown in Figure 1, it is more appropriate to
 72 discuss electron scattering as a diffusion process in pitch angle and energy. To determine
 73 the efficiency of the interaction it is necessary to calculate the diffusion coefficients and
 74 determine the shape of the pitch angle distribution. The diffusion coefficients depend
 75 on the distribution of wave power in frequency and wave normal angle and are given by

76 *Glauert and Horne* [2005]. Figure 3a shows the magnetic field wave intensity obtained
77 from a least squares fit to the 1s CLUSTER 3 data (black) and the 45s averaged data
78 (red). The wave intensity is high over a three minute interval as the satellite crosses the
79 magnetic equator. A least squares fit to a Gaussian distribution for the data averaged
80 between 16:29:36 and 16:32:36 UT gave a peak frequency at $\omega_m/|\Omega_e| = 3.49 \times 10^{-3}$,
81 a width $\delta\omega/|\Omega_e| = 8.86 \times 10^{-4}$ and a wave amplitude $B_w = 218$ pT (Fig. 3b). The
82 angular distribution was also assumed to be Gaussian in $X = \tan \psi$ peaked in a direction
83 $X_m = \tan 89^\circ$ with a width $X_w = \tan 86^\circ$. Interactions between waves and electrons at
84 higher latitudes were taken into account by bounce averaging the diffusion rates assuming
85 the wave power remains constant for $\lambda_m \leq \pm 3^\circ$, and zero at higher latitudes, using a
86 constant plasma density and dipole magnetic field. The bounce averaged pitch angle
87 $\langle D_{\alpha\alpha} \rangle / p^2$ and energy $\langle D_{EE} \rangle / E^2$ diffusion coefficients, in units of s^{-1} , are shown
88 in Figure 4 for $L = 4.5$.

89 Scattering rates are most effective at large pitch angles $> 50^\circ$ with energy diffusion time-
90 scales of the order of a day between 0.3 and 1 MeV, and a few days at 0.1 and 3 MeV. Since
91 pitch-angle scattering does not extend into the loss cone, which is approximately 4.5° at
92 $L = 4.5$, magnetosonic waves will not cause electron precipitation loss into the atmosphere.
93 Instead, the waves cause electron stochastic acceleration from energies of ~ 0.1 to a few
94 MeV. The energy diffusion rates are comparable to those for whistler mode chorus during
95 magnetic storm times [*Horne et al.*, 2003; 2005a], with timescales comparable to that
96 for electron acceleration during the recovery phase of magnetic storms, which is typically
97 1-2 days [*Baker et al.*, 1994]. Note that the energy diffusion rates maximise between

98 $50^\circ - 80^\circ$, so that magnetosonic waves would tend to produce a butterfly type pitch angle
99 distribution.

100 Since magnetosonic waves are also observed inside the high density plasmasphere, Figure
101 5 shows the diffusion rates at $L = 4.5$ for $f_{pe}/f_{ce} = 10$. Increasing the plasma frequency
102 reduces the parallel phase velocity of the waves so that resonance with the same energy
103 electrons occurs at larger pitch angles (Figure 2). Note also that energy diffusion is
104 increased at lower energies as a result of the change in phase velocity, so that substantial
105 acceleration is now possible from energies near 30 keV. However, energy diffusion rates at
106 1 MeV are substantially smaller inside the plasmasphere.

4. Discussion and Conclusions

107 Ion ring distributions form in the ring current during magnetic storms as a result of
108 losses due to slow ion drift [Lennartsson *et al.*, 1981; Fok *et al.*, 1995]. Since magnetosonic
109 waves are generated by ion ring distributions and accelerate electrons, we suggest that
110 they contributed to the elevated electron flux observed during the event on 25 Nov 2002,
111 and could be an important energy transfer process from the ring current to the Van Allen
112 radiation belts. However, since acceleration may occur simultaneously with loss by other
113 wave modes at other MLT regions, there may not be a simple relationship between the
114 strength of the ring current and the radiation belt flux.

115 A full assessment of the importance of these waves for electron acceleration requires
116 detailed analysis of the occurrence rate of magnetosonic wave power and its variation
117 with L , MLT, λ_m , and magnetic activity. To assess how important they could be, we note
118 that magnetosonic waves between f_{CH} and f_{LHR} have the most intense magnetic field

119 fluctuations of all the natural emissions in this frequency range and an occurrence rate of
120 60% between 3.9 and $5R_e$ measured by CLUSTER over all MLT [*Santolik et al.*, 2004].
121 Using this to assume that electrons interact with waves for 60% of the drift orbit, the
122 bounce and drift averaged energy diffusion rates for magnetosonic waves are comparable
123 to those for whistler mode chorus [*Horne et al.*, 2005a]. Since chorus waves are very
124 effective in producing MeV electrons [*Varotsou et al.*, 2005], we suggest that electron
125 acceleration by magnetosonic waves could be as important as acceleration by chorus.

126 Magnetosonic waves will accelerate a seed population of ≥ 10 keV electrons. Thus
127 some source of electron injection, such as substorms, is still required to transport ~ 10
128 keV electrons into $L \sim 4$. Acceleration of the low energy component is most effective
129 inside the high density plasmopause, but higher energies will be achieved outside. Since
130 magnetosonic waves do not scatter into the loss cone, the need for a continuous supply of
131 low energy electrons is not as stringent as it is for acceleration by chorus.

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Figure 1. Magnetosonic waves observed by CLUSTER 3 on 25 November 2002. (Top) magnetic and (middle) electric field power spectral density. (Bottom) wave ellipticity.

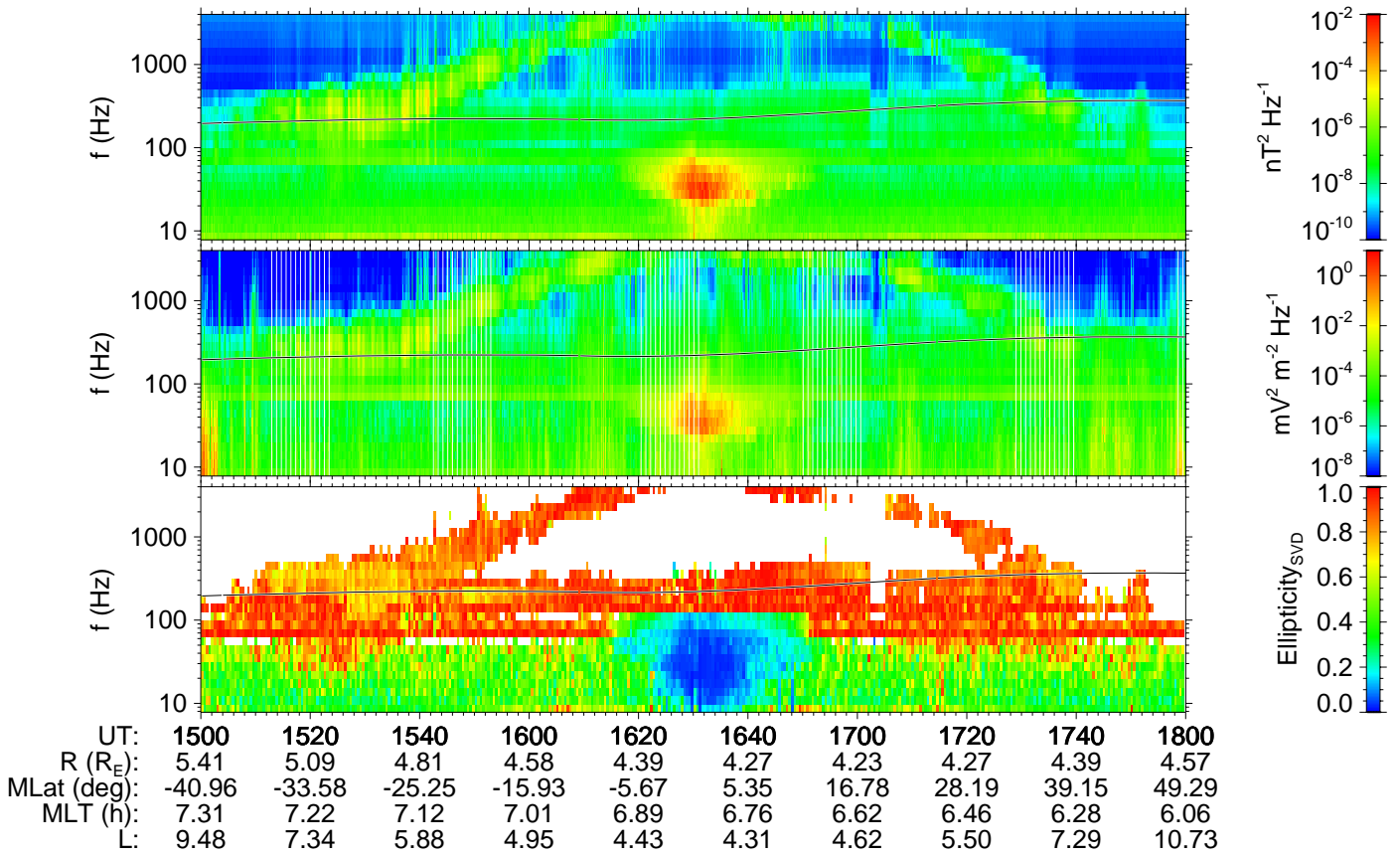
Figure 2. Resonant ellipse for magnetosonic waves interacting with electrons, showing (dashed) Landau $n = 0$ and (solid) $n = 1$ Doppler shifted cyclotron resonances, (dotted) circles of constant electron energy, and (dot-dash) loss cone. The central dashed line is for Landau resonance at $X_m = \tan 89^\circ$, the lines either side are for propagation with a range of wave-normal angles $X_m \pm X_w$ (see text).

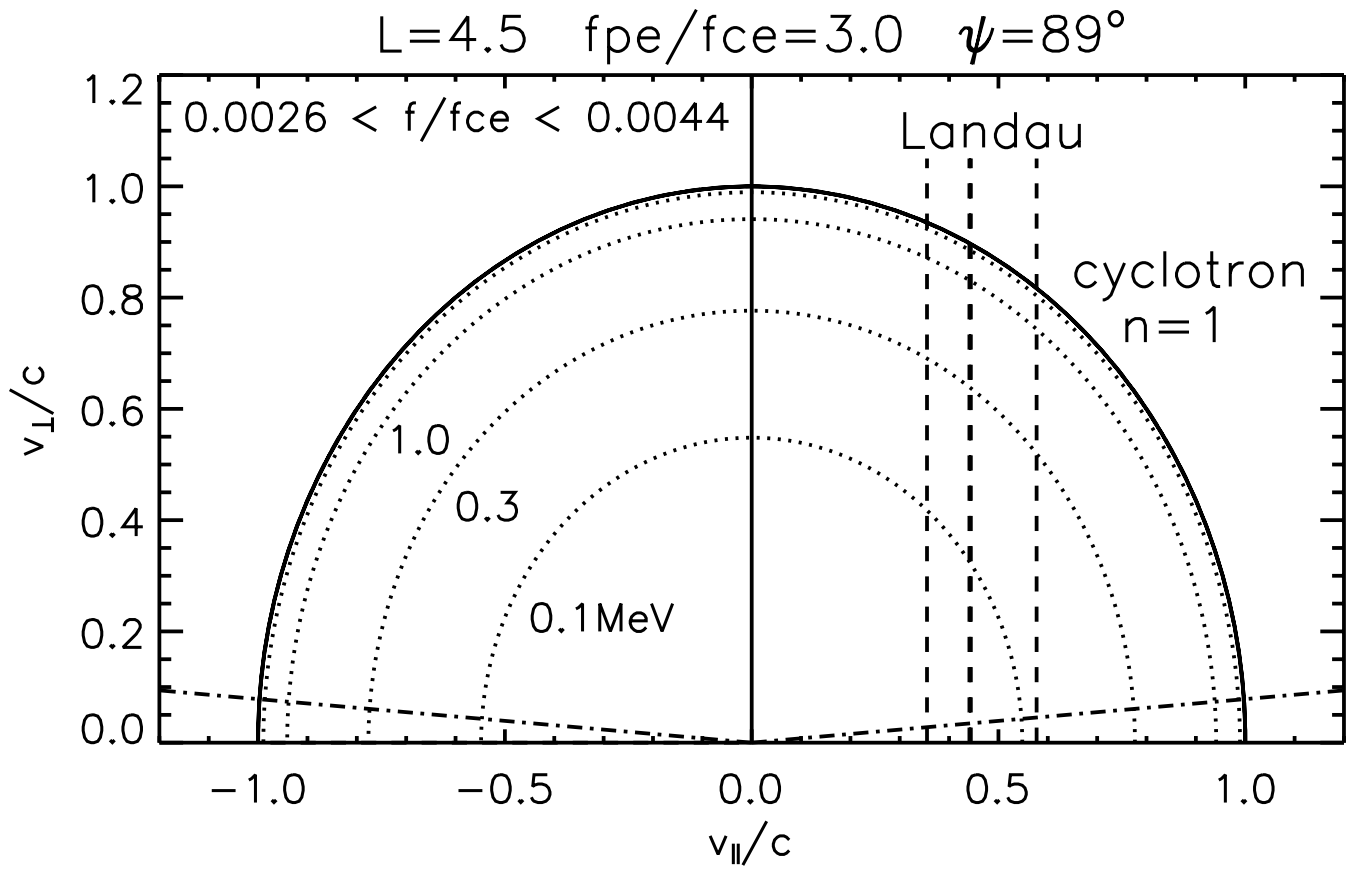
Figure 3. (a) magnetic field wave intensity for magnetosonic waves as CLUSTER 3 crossed the magnetic equator. (b) Wave spectral intensity and model fit to a Gaussian distribution.

Figure 4. (a) Bounce averaged electron pitch angle and (b) energy diffusion coefficients at $L = 4.5$ and $f_{pe}/f_{ce} = 3$ for selected energies.

Figure 5. As Figure 4 but for $f_{pe}/f_{ce} = 10$.

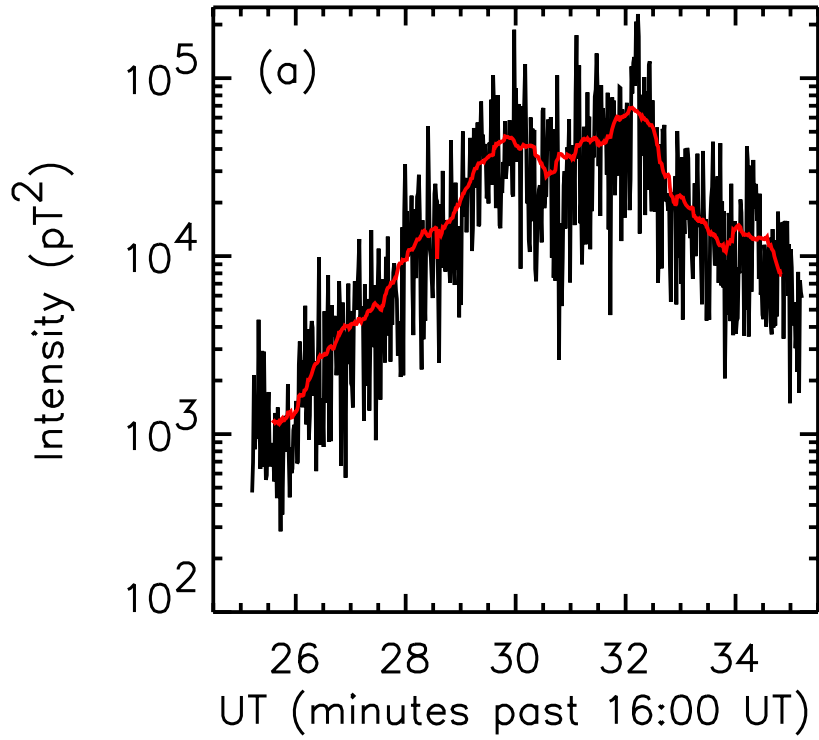
25 November 2002 Cluster 3





Figure_2

Cluster 3, 25/11/2002



16:29:36 – 16:32:36 UT

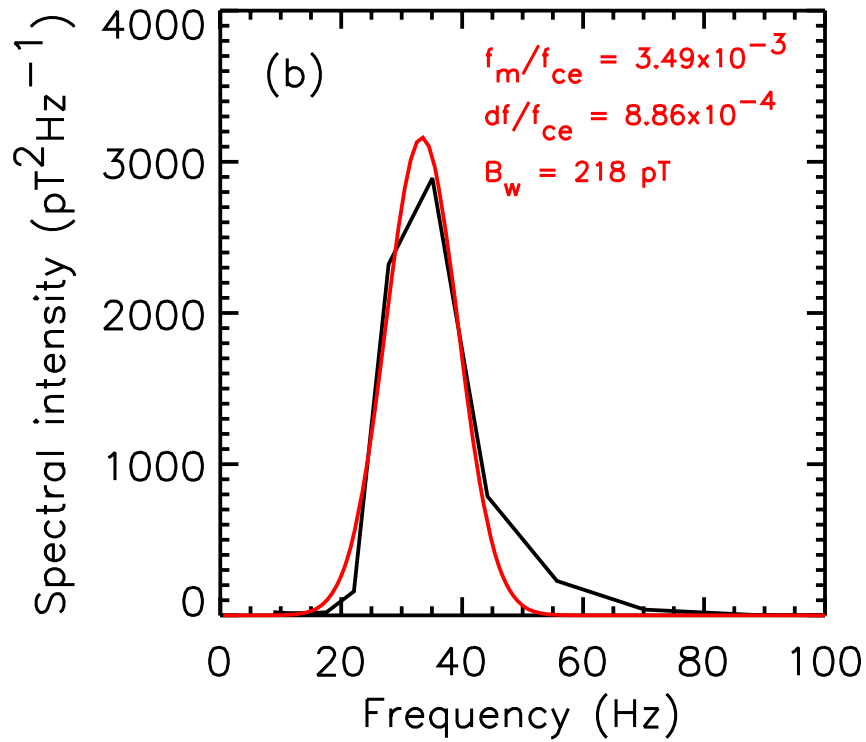
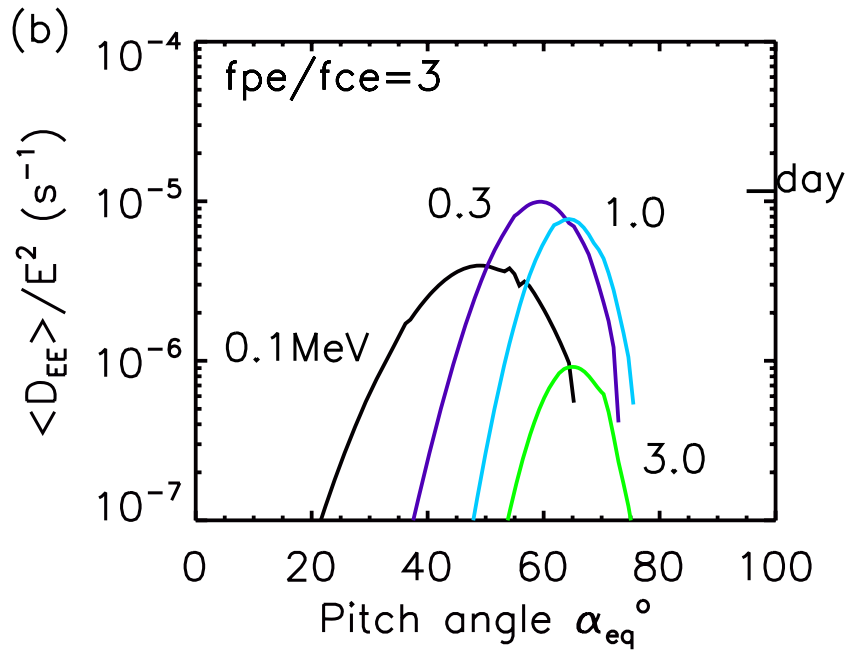
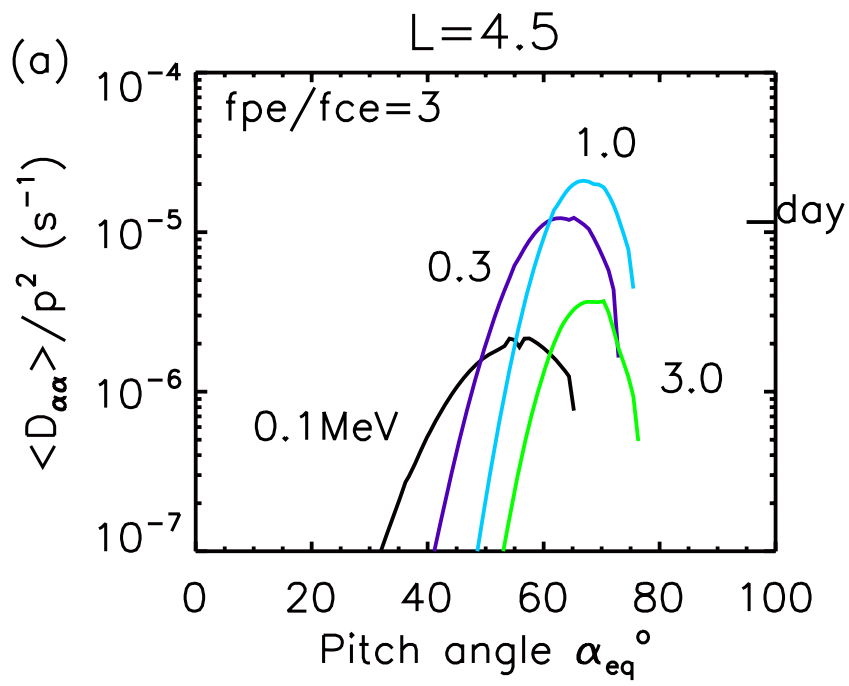
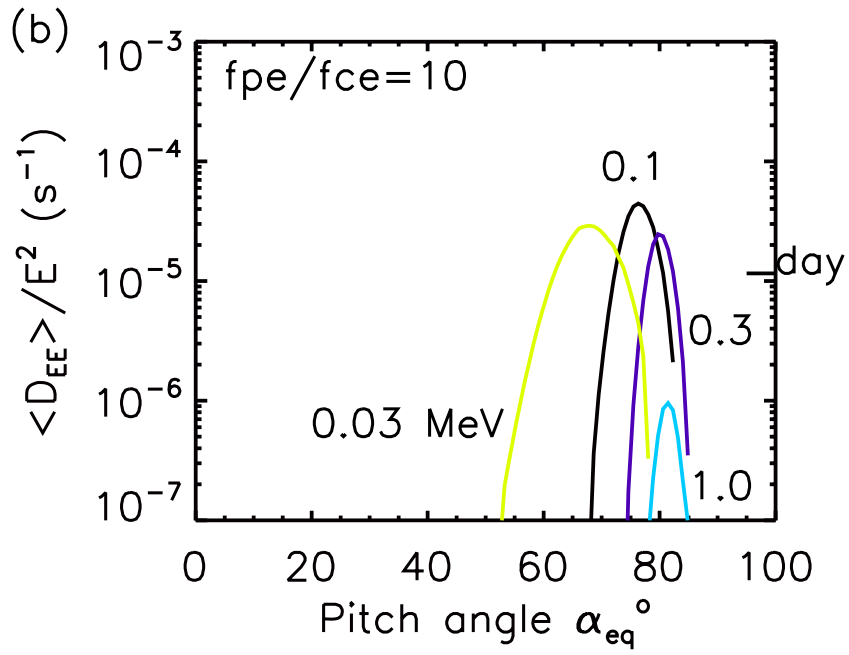
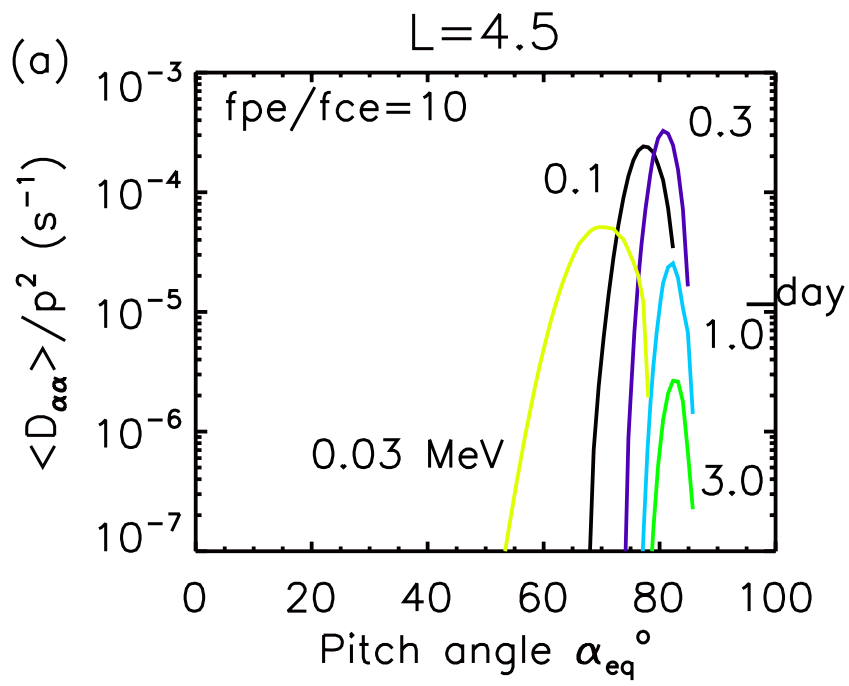


Figure 3



Figure_4



Figure_5