

**1 Survey of magnetosonic waves and proton ring  
2 distributions in the Earth's inner magnetosphere**

Nigel P. Meredith,<sup>1</sup> Richard B. Horne,<sup>1</sup> and Roger R. Anderson<sup>2</sup>

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Roger R. Anderson, Department of Physics and Astronomy, University of Iowa, Iowa City, Iowa, IA 52242-1479. (roger-r-anderson@uiowa.edu)

Richard B. Horne and Nigel P. Meredith, British Antarctic Survey, Natural Environment Research Council, Madingley Road, Cambridge, CB3 0ET, England. (r.horne@bas.ac.uk; nmer@bas.ac.uk)

<sup>1</sup>British Antarctic Survey, Natural Environment Research Council, Cambridge, England.

<sup>2</sup>Department of Physics and Astronomy, University of Iowa, Iowa City, USA.

**Abstract.**

Fast magnetosonic waves can lead to the local acceleration of electrons from  $\sim 10$  keV up to a few MeV on a timescale of 1-2 days and may play an important role in radiation belt dynamics. Here we present a survey of wave and particle data from the Combined Release and Radiation Effects Satellite (CRRES) to determine the global morphology of the waves as a function of magnetic activity, and to investigate the role of proton rings as a potential source mechanism. The intensity of fast magnetosonic waves in the frequency range  $0.5f_{LHR} < f < f_{LHR}$  increases with increasing magnetic activity suggesting they are related to periods of enhanced convection and/or substorm activity. They are observed at most magnetic local times (MLT) outside the plasmopause but are restricted to the dusk sector inside the plasmopause. The MLT distribution of low energy proton rings ( $E_R < 30$  keV) with energies exceeding the Alfvén energy ( $E_R > E_A$ ) required for instability closely matches the distribution of magnetosonic waves on the dusk side, both inside and outside the plasmopause, suggesting that low energy proton rings are a likely source of energy driving the waves. However, intense magnetosonic waves are also observed outside the plasmopause on the dawn-side that do not satisfy ( $E_R > E_A$ ). Although proton rings with  $E_R > 30$  keV could drive the instabilities, the source of these waves is yet to be properly identified. Since fast magnetosonic waves can accelerate electrons we suggest that they may provide a significant energy transfer process between the ring current and the outer electron radiation belt.

## 1. Introduction

26 Relativistic electrons ( $E > 1$  MeV) in the Earth's outer radiation belt ( $3 < L < 7$ )  
27 damage satellites [*Wrenn, 1995; Baker, 2001; Wrenn et al., 2002*] and may penetrate to low  
28 altitudes where they effect the chemistry of the middle atmosphere [e.g., *Lastovicka, 1996*].  
29 The flux of these so-called killer electrons changes dramatically on a variety of different  
30 timescales and covers a range of over five orders of magnitude [*Baker and Kanekal, 2007*].  
31 This variability is due to acceleration, transport, and loss processes, all of which become  
32 enhanced during enhanced geomagnetic activity [e.g., *Thorne et al., 2005; Horne et al.,*  
33 *2006*].

34 Local acceleration is required to explain the developing peaks in phase space density  
35 observed during relativistic electron flux enhancements in the outer radiation belt [*Green*  
36 *and Kivelson, 2004; Iles et al., 2006; Chen et al., 2007*]. Gyroresonant wave-particle inter-  
37 actions with whistler-mode chorus waves can energize a seed population of electrons with  
38 energies of a few hundred keV up to several MeV on a timescale of the order of a day [*Horne*  
39 *and Thorne, 1998; Summers et al., 1998, 2002, 2007; Meredith et al., 2002a, 2002b, 2003;*  
40 *Horne et al., 2003, 2005a, 2005b; Shprits et al., 2006*] and are consequently considered to  
41 be a very important local acceleration mechanism in the inner magnetosphere.

42 It has recently been suggested that fast magnetosonic waves can lead to local electron  
43 acceleration and, in particular, may energize electrons from  $\sim 10$  keV up to a few MeV  
44 in the outer radiation belt [*Horne et al., 2007*]. Acceleration by magnetosonic waves,  
45 which occurs via electron Landau resonance, may occur on a timescale of 1-2 days which

46 is similar to that due to whistler mode chorus waves. Thus fast magnetosonic waves could  
47 play an important role in radiation belt dynamics and hence space weather.

48 Fast magnetosonic waves, also referred to as equatorial noise to show the link with  
49 their traditional name [*Russel et al.*, 1970], are a natural, often intense, electromagnetic  
50 wave emission observed in the inner magnetosphere near the geomagnetic equator. They  
51 were first observed by OGO3 at frequencies between twice the local proton gyrofrequency  
52 ( $f_{cH}$ ) and half the lower hybrid resonance frequency ( $f_{LHR}$ ) and were confined to within  
53  $2^\circ$  of the magnetic equator [*Russell et al.*, 1970]. At low frequencies, near the proton  
54 gyrofrequency, the spectrum of the waves consists of many spectral lines with different  
55 frequency spacings from a few Hz to a few tens of Hz [*Gurnett*, 1976]. The frequency  
56 spacing was suggested to occur as result of interactions with ion cyclotron harmonics in a  
57 region where the local value for  $f_{cH}$  matches the observed spacing. At higher frequencies,  
58 both structured [*Gurnett*, 1976; *Santolik et al.*, 2002] and unstructured [*Olsen et al.*, 1987;  
59 *Boardsen et al.*, 1992] emissions have been observed at frequencies just below  $f_{LHR}$ . Lower  
60 frequencies, of the order of several ion gyrofrequencies, tend to be observed more frequently  
61 than higher frequencies [e.g., *Nemec et al.*, 2005]. The waves have been observed at radial  
62 distances between  $2-8R_e$  at all latitudes within  $10^\circ$  of the magnetic equator [e.g., *Perraut*  
63 *et al.*, 1982; *Laakso et al.*, 1990; *Kasahara et al.*, 1994], primarily in the afternoon and  
64 pre-midnight sectors [*Perraut et al.*, 1982; *Olsen et al.*, 1987]. They propagate across the  
65 ambient magnetic field  $B_o$  in the whistler mode with the  $k$  vector almost perpendicular  
66 to  $B_o$ . They are compressional waves, in that the wave magnetic field lies almost along  
67 the ambient magnetic field  $B_o$  while the wave electric field is elliptically polarized and  
68 lies in a plane almost perpendicular to  $B_o$ . In contrast, the wave electric and magnetic

69 fields for parallel-propagating whistler mode waves are circularly-polarised in the plane  
70 perpendicular to  $B_o$

71 More recent data analysis shows that the wave power can be highly variable [*André et al.*,  
72 2002] and that the occurrence rate is 60% near the equator for  $3.9 < L < 5$  [*Santolik et al.*,  
73 2004]. Peak wave intensities occur within  $2^\circ$  of the magnetic equator and when the power  
74 spectral density is modeled as a Gaussian in frequency the full width half maximum occurs  
75 within  $3^\circ$  of the equator in most cases. Indeed, the central latitudes of fast magnetosonic  
76 waves seem to be located exactly at the true geomagnetic equator [*Nemec et al.*, 2006].  
77 Ray tracing shows that propagation outside the plasmopause is limited to latitudes close  
78 to the magnetic equator by electron Landau damping on plasmashet electrons [*Horne et*  
79 *al.*, 2000], although in principle the waves should be able to propagate to higher latitudes  
80 inside the plasmopause in regions where the plasma sheet electron flux is very low. The  
81 waves propagate both radially and azimuthally around the minimum  $B_o$  surface [*Kasahara*  
82 *et al.*, 1994].

83 Simultaneous wave and particle observations, combined with instability calculations,  
84 show that the waves can be driven by a proton ring distribution at energies of  $\sim 10$  keV  
85 [*Boardsen et al.*, 1992; *Horne et al.*, 2000]. Proton ring distributions have been observed in  
86 association with magnetosonic waves for  $L \approx 4$  [*Boardsen et al.*, 1992] and at geostationary  
87 orbit [*Perraut et al.*, 1982]. Simulations show that proton ring distributions form during  
88 storm times as particles convect and diffuse radially inward and drift around the Earth.  
89 The ring forms at the inner edge of the ring current where losses due to charge exchange  
90 with neutral hydrogen increase rapidly with decreasing energy [*Fok et al.*, 1995, 1996;  
91 *Jordanova et al.*, 1996, 1999]. The ring distribution is also able to reproduce the observed

92 banded structure at low proton harmonics and, as a general rule of thumb, instability is  
93 possible when the ring velocity perpendicular to  $B_o$  exceeds the local Alfvén speed [*Horne*  
94 *et al.*, 2000].

95 While statistical surveys of magnetosonic waves have concentrated on their wave prop-  
96 erties and frequency of occurrence [*Nemec et al.*, 2006; *Santolik et al.*, 2004], in order  
97 to quantify their role in radiation belt acceleration and loss processes more analysis is  
98 required to determine how the intensity of the waves changes with magnetic activity, and  
99 how they are related to the ring current as a source of free energy. Here we conduct a  
100 statistical survey of the intensities of the fast magnetosonic waves using CRRES data to  
101 determine the global distribution of the waves as a function of geomagnetic activity, and  
102 to help determine where the waves should be most effective in accelerating electrons to  
103 relativistic energies. We also investigate one source of free energy that could drive the  
104 waves by conducting a statistical survey of the flux of 16.5 keV protons, and the occur-  
105 rence of proton ring distributions, as a function of geomagnetic activity using concomitant  
106 CRRES proton data.

## 2. Instrumentation

107 The Combined Release and Radiation Effects Satellite, CRRES [*Johnson and Kierein,*  
108 1992], is particularly well-suited to studies of wave-particle interactions in the radiation  
109 belts both because of its orbit and sophisticated suite of wave and particle instruments.  
110 This satellite, which was launched on 25 July 1990, operated in a highly elliptical geosyn-  
111 chronous transfer orbit with a perigee of 305 km, an apogee of 35,768 km and an inclination  
112 of  $18^\circ$ . The orbital period was approximately 10 hours, and the initial apogee was at a  
113 magnetic local time (MLT) of 0800 MLT. The magnetic local time of apogee decreased

114 at a rate of approximately 1.3 hours per month until the satellite failed on 11 October  
115 1991, when its apogee was at about 1400 MLT. The satellite covered a range of  $L$  from  
116  $L = 1.05$  to  $L \sim 8$  and a range of magnetic latitudes within  $\pm 30^\circ$  of the magnetic  
117 equator, sweeping through the radiation belts approximately 5 times per day, providing  
118 good coverage of this important region for almost 15 months.

119 The wave data used in this study were provided by the Plasma Wave Experiment  
120 on board the CRRES spacecraft. This experiment provided measurements of the wave  
121 electric fields using a 100 m tip-to-tip long wire antenna, with a dynamic range covering a  
122 factor of at least  $10^5$  in amplitude [Anderson *et al.*, 1992]. The sweep frequency receiver,  
123 used in this study, covered the frequency range from 100 Hz to 400 kHz in four bands  
124 with 32 logarithmically spaced steps per band, the fractional step separation,  $\Delta f/f$  being  
125 about 6.7% across the entire frequency range. Band 1 (100 to 810 Hz) was sampled at  
126 one step per second with a complete cycle time of 32.768 s. Band 2 (810 Hz to 6.4 kHz)  
127 was sampled at two steps per second with a complete cycle time of 16.384 s. Band 3 (6.4  
128 to 51.7 kHz) and band 4 (51.7 to 400 kHz) were each sampled 4 times per second, with  
129 complete cycling times of 8.192 s. The nominal bandwidths in bands 1, 2, 3, and 4 were  
130 7 Hz, 56 Hz, 448 Hz, and 3.6 kHz, respectively. The electric field detector was thus able  
131 to detect waves from below the lower hybrid resonance frequency ( $f_{LHR}$ ) to well above the  
132 upper hybrid resonance frequency ( $f_{UHR}$ ) for a large fraction of each orbit.

133 The low-energy proton data used in this study were collected by the Low Energy  
134 Plasma Analyser (LEPA). This instrument consisted of two electrostatic analyzers with  
135 microchannel plate detectors, each with a field of view of  $120^\circ \times 5^\circ$ , one measuring elec-  
136 trons and the other positive ions in the energy range  $10 \text{ eV} < E < 30 \text{ keV}$  [Hardy *et al.*,

1993]. The instrument detected the complete pitch angle range from  $0^\circ$  to  $180^\circ$  every 30  
 s with a resolution of  $5.625^\circ \times 8^\circ$  at 30 energy channels in the range  $10 \text{ eV} < E < 30$   
 keV. For the purposes of this paper we assume an electron-hydrogen plasma and that the  
 observed ions are protons.

### 3. CRRES Database

In order to perform a statistical analysis of the occurrence of magnetosonic waves, and  
 one source of free energy that can drive the waves unstable, we constructed a database  
 of the wave spectral intensity and proton flux using CRRES data. The wave data were  
 initially corrected for the instrumental background response and smoothed by using a  
 running 3 minute average to take out the beating effects due to differences in the sam-  
 pling and the spin rate. Spurious data points, data spikes, and periods of instrumental  
 downtime were flagged and ignored in the subsequent statistical analyses. Twelve orbits,  
 during which non-traditional configurations were deployed for testing purposes, were also  
 excluded from the analyses.

Magnetosonic waves generally lie between  $f_{cH}$  and  $f_{LHR}$ . However, since the lowest  
 frequency covered by the sweep frequency receiver is 100 Hz, setting  $f_{cH}$  as the lowest  
 frequency for a survey of the wave power would restrict the range of  $L$  to very low values  
 ( $\leq 1.7$ ) as  $f \approx f_{cH} \approx 100 \text{ Hz}$  at  $L = 1.7$ . Therefore, wave electric field intensities were  
 determined for the band  $0.5f_{LHR} < f < f_{LHR}$  to provide a balance between including  
 the strongest emissions whilst providing a reasonable coverage in  $L$ . The intensities in  
 this band, together with the amplitudes from  $f_{ce} < f < 2f_{ce}$ , the spectral intensities at  
 each frequency of the sweep frequency receiver, and the proton differential number flux  
 at  $90^\circ$  pitch angle for each energy level of the LEPA instrument, were then rebinned as

159 a function of half orbit (outbound and inbound) and  $L$  in steps of  $0.1L$ . The data were  
160 recorded together with the universal time (UT), magnetic latitude ( $\lambda_m$ ), magnetic local  
161 time (MLT), substorm and geomagnetic activity indices  $AE$  and  $Kp$ , and time spent in  
162 each bin with the same resolution.

163 Since the characteristics of magnetosonic waves may vary according to high and low  
164 plasma density the emissions were split into two categories, defined as either inside or  
165 outside the plasmopause. Waves in the frequency band  $f_{ce} < f < 2f_{ce}$ , which may  
166 contain contributions from both electrostatic electron cyclotron harmonic (ECH) waves  
167 and thermal noise, tend to be excluded from the high density region inside the plasmopause  
168 [*Meredith et al.*, 2004]. Therefore we adopt the criterion, based on a previous experimental  
169 study using data from the CRRES Plasma Wave Experiment, that the wave amplitude for  
170 frequencies in the range  $f_{ce} < f < 2f_{ce}$  must be less than  $0.0005 \text{ mV m}^{-1}$  for observations  
171 to be regarded as inside the plasmopause.

172 Intense broadband electrostatic noise, extending from 100 Hz to several kHz, may be  
173 present outside the plasmopause during enhanced magnetic activity, especially on the  
174 night-side [e.g., *Roeder et al.*, 1991]. These emissions, which could contaminate the obser-  
175 vations of the magnetosonic waves, were removed from the database using the following  
176 criteria. If the emissions at  $1.5f_{LHR}$  are greater than  $2.0 \times 10^{-4} \text{ mV}^2 \text{ m}^{-2} \text{ Hz}^{-1}$  and the  
177 emission at  $1.5f_{LHR}$  lies within a factor of 5 of the emission at  $0.75f_{LHR}$  then the emissions  
178 were excluded from the database. This condition was only applied to observations outside  
179 the plasmopause.

#### 4. Identification of magnetosonic waves

180 Since calibrated wave magnetic field data in the frequency range above 100 Hz are not  
 181 available for CRRES, we have had to identify magnetosonic waves from the wave electric  
 182 field antenna alone. Since magnetosonic waves are known to be strongest within  $2 - 3^\circ$   
 183 of the magnetic equator, the wave data were spilt into different latitude ranges, to help  
 184 identify the waves.

185 Figure 1 shows the average wave electric field spectral intensities outside the plasma-  
 186 pause for all the CRRES data for (top) equatorial ( $|\lambda_m| < 3^\circ$ ) and (bottom) off-equatorial  
 187 ( $5^\circ < |\lambda_m| < 10^\circ$ ) wave emissions for different levels of geomagnetic activity as measured  
 188 by  $AE^*$ , where  $AE^*$  is the maximum value of the  $AE$  index in the previous 3 hours. Here  
 189 and henceforth, average values of a particular quantity are determined by computing the  
 190 arithmetic mean of the appropriate rebinned CRRES data as a function of the chosen  
 191 parameters, subject to the prescribed conditions, and subsequently plotted using a loga-  
 192 rithmic scale. Near the magnetic equator (top row) there are strong wave emissions below  
 193  $f_{LHR}$  (dashed line) for all levels of  $AE^*$  but there is a tendency for wave power to become  
 194 stronger and extend to lower frequencies and lower  $L$  with increasing  $AE^*$ . There is a  
 195 clear upper frequency cut off to the emissions that follows  $f_{LHR}$ .

196 At higher latitudes outside the plasmopause, and for weak magnetic activity (Fig 1,  
 197 bottom left), strong wave emissions are observed below  $f_{LHR}$  but they appear more con-  
 198 fined in  $L$  than those near the magnetic equator (top left). More generally, emissions  
 199 below  $f_{LHR}$  at high latitudes (bottom panels) tend to be much weaker than those near  
 200 the magnetic equator (top panels), particularly for medium and high magnetic activity.

201 Whistler mode chorus waves are observed at higher frequencies in bands just above  
 202 and below  $0.5f_{ce}$  (dotted line) and reveal the double-banded nature reported by previous  
 203 workers [*Tsurutani and Smith, 1974*]. The power of these waves increases with magnetic  
 204 activity as has been reported before [e.g., *Tsurutani and Smith, 1974, 1977; Meredith et al.,*  
 205 *2001, 2003b*], but note that chorus detected here is stronger above the magnetic equator  
 206 than near the equator. This probably reflects the growth and propagation characteristics  
 207 of the waves [*Bortnik et al., 2007a, 2007b*]. At higher frequencies ECH waves are observed  
 208 between the harmonics of  $f_{ce}$ . These waves are also substorm-dependent [e.g., *Meredith et*  
 209 *al., 2000*] and are closely confined to the magnetic equator due to propagation conditions  
 210 [e.g., *Horne, 1988, 1989*].

211 The average wave electric field spectral intensities inside the plasmasphere are shown  
 212 in Figure 2 in the same format as Figure 1. Here the most intense emissions are below  
 213  $f_{LHR}$  near the magnetic equator (top panels). The band of emissions extends to lower  
 214 frequencies with increasing magnetic activity,  $AE^*$ , but wave power can be very intense  
 215 for both low and high levels of  $AE^*$ . Note that during the most active conditions (top  
 216 right) power extends between  $3 \leq L \leq 5$ . The weaker emissions between 100 - 800 Hz  
 217 with spectral intensities of the order of  $5 \times 10^{-5} \text{ mV}^2 \text{ m}^{-2} \text{ Hz}^{-1}$  that do not exhibit a  
 218 cut-off at  $f_{LHR}$  are likely to be plasmaspheric hiss. At higher frequencies just above and  
 219 below  $0.5f_{ce}$  is interesting to note that there is very little chorus wave power inside the  
 220 plasmasphere compared to the higher powers observed outside, which suggests that chorus  
 221 is not easily generated inside the plasmasphere.

222 The analysis shown in Figures 1 and 2 shows that wave emissions below  $f_{LHR}$  near the  
 223 magnetic equator between  $|\lambda_m| < 3^\circ$  are much stronger than those at higher latitudes

224  $5^\circ < |\lambda_m| < 10^\circ$  whether inside or outside the plasmopause, and for each level of magnetic  
225 activity as measured by  $AE^*$ . Chorus wave power does not extend down below  $f_{LHR}$ , but  
226 plasmspheric hiss may be present near and above the magnetic equator, and propagation  
227 studies show that it can propagate across the magnetic equator to higher latitudes [e.g.,  
228 *Church and Thorne*, 1983]. Similarly, impulsive signals originating from lightning which  
229 merge into a continuum after multiple reflections inside the plasmasphere [e.g., *Bortnik et*  
230 *al.*, 2003] may also contribute to emissions at and above the magnetic equator, although  
231 the main contribution is at frequencies above 2 kHz [*Meredith et al.*, 2006]. However, the  
232 rapid increase in wave power within  $3^\circ$  of the magnetic equator, and the confinement of  
233 strong wave power to below  $f_{LHR}$ , indicates an additional wave emission is present, both  
234 inside and outside the plasmopause. It is possible that some of this equatorial wave power  
235 is due to electrostatic waves. However, between  $f_{cH}$  and  $f_{LHR}$  the refractive index surface  
236 of the whistler mode branch is closed and the waves are electromagnetic. Electrostatic  
237 ion cyclotron waves could exist between the harmonics of  $nf_{cH}$ , up to and including the  
238 lower hybrid resonance frequency, and are analogous to ECH waves between  $nf_{ce}$  up  
239 to an including the upper hybrid frequency. However, theory shows that these waves  
240 should be Landau damped by thermal (1-10 eV) electrons [*Ashour Abdalla and Thorne*,  
241 1977]. In addition, analysis of equatorial noise near  $L = 4.5$  using CLUSTER, which  
242 has both electric and magnetic wave instruments, has not identified any electrostatic  
243 ion-cyclotron waves at the equator as far as we are aware [e.g., *Santolik et al.*, 2002,  
244 2004; *Nemec et al.*, 2005, 2006]. As a result, and since observations by other satellites  
245 show that magnetosonic waves are observed very close to the magnetic equator, and  
246 propagation studies show that the largest wave growth occurs near the magnetic equator

247 where Landau damping by plasmashet (0.1 to few keV) electrons is a minimum, for  
 248 the purposes of this paper we identify the band of waves between  $0.5f_{LHR} < f < f_{LHR}$   
 249 for  $|\lambda_m| < 3^\circ$  as fast magnetosonic waves whilst recognizing that there could be a small  
 250 contribution to the emissions from plasmaspheric hiss and even smaller from lightning  
 251 generated whistlers. We also note this excludes magnetosonic waves if they occur at  
 252 higher latitudes. Furthermore, magnetosonic wave power at frequencies below  $0.5f_{LHR}$ ,  
 253 where the probability of occurrence maximises [Nemec *et al.*, 2005], will not be captured  
 254 by this survey. As mentioned above, surveying the frequency band of  $0.5f_{LHR} < f < f_{LHR}$   
 255 represents a balance between capturing the intense power of the waves and being able to  
 256 perform a statistical survey with a reasonable coverage in  $L$ .

## 5. MLT distribution of magnetosonic waves

257 The MLT distribution of the average magnetosonic electric field wave intensity for  
 258  $0.5f_{LHR} < f < f_{LHR}$  outside the plasmopause is shown in Figure 3 for three levels of  
 259  $AE^*$  (top panels). The plots extend linearly out to  $L = 8$  with noon at the top and dawn  
 260 to the right. The sampling distributions are shown by the small inset panels. CRRES has  
 261 a limited coverage of these wave emissions. Wave power increases with increasing  $AE^*$ ,  
 262 mainly for  $L > 3$ , and strong waves are observed for  $L > 3$  mainly at dusk between 15:00  
 263 - 22:00 MLT and in the post midnight sector between 01:00 - 04:00 MLT. Coverage in  $L$   
 264 is very limited on the dayside, but strong waves are observed for high magnetic activity  
 265 near noon between  $4 < L < 5$  and at  $2 < L < 3$ . For comparison, waves in the same  
 266 frequency range at higher latitudes ( $5^\circ < |\lambda_m| < 10^\circ$  bottom panels) show some tendency  
 267 to increase with  $AE^*$ , mainly on the dayside, but they remain much weaker than the  
 268 magnetosonic waves at the equator.

269 Inside the plasmasphere (Figure 4) magnetosonic waves (top panels) are most enhanced  
270 during active conditions on the dusk-side for  $L > 3$ . The lack of coverage on the dawn-  
271 side in the region  $L > 4$  during active conditions is due to the fact that the plasmasphere  
272 is eroded at these times, with an outer boundary typically inside  $L = 4$  [*Carpenter and*  
273 *Anderson, 1992*]. The results suggest either that magnetosonic waves can be generated  
274 inside the plasmasphere, or that propagation from outside to inside the plasmasphere is  
275 possible at dusk, but not at dawn. For comparison, emissions at higher latitudes in the  
276 same frequency range are observed from dawn to dusk inside the plasmopause on the  
277 dayside. This rather different MLT distribution of higher latitude emissions inside the  
278 plasmasphere suggests that they are more likely to be another type of emission such as  
279 plasmaspheric hiss.

## 6. Spatial distribution of 16.5 keV protons

280 A number of theoretical studies have shown that magnetosonic waves can be generated  
281 by a proton ring distribution in velocity space, or more specifically, a ring corresponding to  
282 a positive gradient in the perpendicular velocity distribution of the protons which exceeds  
283 the local Alfvén speed [e.g., *Curtis and Wu, 1979; Sharma and Patel, 1986; Boardsen et*  
284 *al., 1992; Horne et al., 2000*]. Wave growth should be sensitive not only to the energy  
285 of the ring distribution, but also to the number of resonant protons in the ring. Thus to  
286 help understand the wave observations here we present the results of analyzing the proton  
287 distribution measured by the LEPA instrument on CRRES. We use the flux at one energy,  
288 16.5 keV, as a measure of the particles whose drift trajectories are mainly determined by  
289 the convection electric field.

290 Figure 5 shows the average proton differential number flux,  $J_{\perp}$ , for pitch angles of  $90^{\circ}$   
 291 at 16.5 keV. To ensure that the data are statistically significant, the background was first  
 292 subtracted and the data then binned in  $L$  in steps of  $0.1L$  for each half orbit. The data  
 293 were only included in the subsequent analysis if the number of counts in each  $0.1L$  bin  
 294 exceeded 50. The data were then binned again in MLT, latitude and for inside outside  
 295 or plasmopause for each activity level. The analysis was restricted to the region  $L > 2.1$   
 296 to exclude contamination from the proton radiation belt. Outside the plasmasphere (top  
 297 panels) the proton flux increases with  $AE^*$ , and is considerably enhanced during active  
 298 conditions for  $3 < L < 6$  between 17:00 MLT through midnight to 05:00 MLT. This sug-  
 299 gests that proton injection occurs over a broad range of MLT. Weaker enhancements occur  
 300 in the post-noon MLT sector. Conversely, there is some indication that the proton flux  
 301 in the pre-noon sector 07:00 - 10:00 MLT actually decreases with increasing  $AE^*$ . Inside  
 302 the plasmopause (bottom panels) again the flux increases with  $AE^*$  and is considerably  
 303 enhanced during active conditions between 14:00 - 23:00 MLT. There is no evidence for  
 304 increased proton flux near dawn inside the plasmopause during active conditions and the  
 305 flux there remains low.

## 7. Spatial distribution of proton rings

Proton ring distributions are an important source of free energy that can drive mag-  
 netosonic waves. To identify proton rings the proton differential number flux,  $J$ , was  
 converted to phase space density,  $f$ , using

$$f = \frac{J}{p^2} \quad (1)$$

where  $p$  is the proton momentum. An examination of the energy dependence of  $f$  as a function of half orbit and  $L$  showed that positive gradients  $\partial f/\partial v_{\perp} > 0$  typically exist over  $\sim 4$  (log) energy channels of the LEPA instrument, roughly corresponding to a factor of 2 in energy. A ring distribution was identified according to the criteria that for any given value of  $f$  at some energy  $E$ , the value of  $f$  must be higher at the next three or more consecutive energies. This criteria tends to be rather stringent in that it rules out any plateau type distributions that could have been formed as a result of wave particle diffusion, but should provide an unambiguous method of detection. The energy of the ring  $E_R$ , defined to be the energy of the peak in the phase space density, and the Alfvén energy  $E_A$ , were recorded for each distribution where

$$E_A = \frac{1}{2}m_H v_A^2 \quad (2)$$

$m_H$  is the proton mass,  $v_A$  is the Alfvén velocity given by

$$v_A = c \sqrt{\frac{f_{ce} f_{cH}}{f_{pe}^2}} = \sqrt{\frac{B_0^2}{\mu_0 n_H m_H}} \quad (3)$$

where  $n_H$  is the proton number density, and an electron-hydrogen plasma has been assumed.

Examples of proton ring distributions identified by this technique are shown in Figure 6a. Here the proton phase space density for  $v = v_{\perp}$  is plotted as a function of energy for three different times during the outbound pass of orbit 714 on 14th May 1991. During this orbit a proton ring was observed between  $3.95 < L < 5.45$ , while the spacecraft was within  $2.5^{\circ}$  of the magnetic equator. The peak energy of the proton ring lies in the range 6 - 12 keV during this interval and is higher than the Alfvén energy. Strong magnetosonic waves below  $f_{LHR}$  are also observed in the CRRES plasma wave data at 19:51 UT and

315 20:14 UT (Figure 6b) near 17:00 MLT, consistent with the idea that proton rings are the  
316 source of the waves. At 21:00 UT the spacecraft is at  $L = 5.45$  and the lower hybrid  
317 frequency is below the lowest frequency channel of the wave instrument but a proton ring  
318 distribution is still present. Note that the waves are particularly strong in a low density  
319 region where the plasma frequency drops by a factor of 2. In this event the proton ring  
320 is present for more than 1 hour over a range of  $L$  indicating that proton rings can occur  
321 over a large region of space and persist for a significant amount of time.

322 The spatial distribution of proton rings during active conditions outside the plasmopause  
323 within  $10^\circ$  of the magnetic equator is shown in the top left panel of Figure 7. The rings  
324 are observed from 12 MLT through midnight to 06 MLT over a range of  $L$  ( $3 < L < 7$ ).  
325 The spatial distribution of the proton rings that satisfy the criteria  $E_R > E_A$  is shown in  
326 the upper central panel of Figure 7. Most of the proton rings on the dusk side satisfy the  
327 criteria for wave growth whereas the majority of the rings between midnight and dawn  
328 do not. The corresponding distribution of magnetosonic waves is shown in the upper  
329 right panel of Figure 7 for direct comparison. Although wave coverage is limited, the  
330 location of waves on the dusk-side agrees reasonably well with the occurrence of proton  
331 ring distributions with  $E_R > E_A$  whereas there is very little agreement between proton  
332 rings with  $E_R > E_A$  and waves between midnight and dawn.

333 The bottom panels of Figure 7 show the results for the case inside the plasmopause.  
334 Here, proton rings are observed primarily on the dusk-side, in the region  $3 < L < 7$ ,  
335 from 15 to 22 MLT. The majority of these proton rings satisfy the criteria  $E_R > E_A$   
336 and correspond to the region of enhanced magnetosonic wave power. However, there are  
337 almost no proton rings or waves between midnight and dawn.

338 The Alfvén energy varies as a function of position and location with respect to the  
 339 plasmopause. This variability could have a significant effect on the condition for insta-  
 340 bility,  $E_R > E_A$ . To examine this possibility in more detail the CRRES database was  
 341 used to calculate the average equatorial ( $10^\circ < |\lambda_m| < 10^\circ$ ) Alfvén energy as a function  
 342 of  $L$  and magnetic local time. The average Alfvén energy,  $\langle E_A \rangle$ , and  $E_R$  are shown for  
 343  $L = 4.05 \pm 0.15$  and  $L = 6.55 \pm 0.15$  both inside and outside the plasmopause in Figure 8.  
 344 The maximum proton ring energy is limited to the highest energy channel of the LEPA  
 345 instrument which is  $\sim 30$  keV. Inside the plasmasphere (bottom panels)  $E_R > \langle E_A \rangle$  at all  
 346 local times. In contrast, outside the plasmopause  $\langle E_A \rangle$  varies substantially as a function  
 347 of local time, with highest values ( $\sim 35$  keV) between dawn and noon and a minimum of  
 348 around 5 keV near dusk. Therefore, even though there are a significant number of proton  
 349 ring distributions occurring between midnight and dawn the conditions are not favorable  
 350 for wave growth due to the higher Alfvén energy.

## 8. Discussion

351 The close coincidence between the MLT distribution of magnetosonic waves inside the  
 352 plasmopause and proton ring distributions with  $E_R > E_A$  suggests that proton rings are  
 353 the source of free energy driving the waves. Outside the plasmopause a similar conclusion  
 354 can be made about the waves observed on the dusk sector, but the waves observed near  
 355 dawn require more interpretation.

356 To understand the MLT distribution of magnetosonic wave power it is important to  
 357 understand the injection and drift of protons during periods of enhanced convection.  
 358 During active conditions 16 keV protons can penetrate to as low as  $L = 3$  for a range  
 359 of MLT on the nightside extending from dusk to dawn (Figure 5, top right). Within the

energy range of the LEPA instrument ( $E < 30$  keV) the drift paths of protons should be dominated by the convection electric field outside the plasmasphere. The drift paths are usually computed for constant first adiabatic invariant  $\mu$ , and for reference  $\mu = 1.4$  MeV/G for 16.5 keV protons at  $L = 3$  in a dipole magnetic field at the equator. Simulations show that an enhancement in the convection electric field representative of storm times can inject protons with  $\mu = 3$  MeV/G to as low as  $L = 3$  over a range of MLT very similar to that observed in Figure 5 [Chen *et al.*, 1993]. As the protons drift to lower  $L$  the gradient drift becomes more important and drift trajectories can take the particles through dusk towards the dayside. On the dawn side there is a separatrix between open and closed drift paths that moves closer to the Earth as the convection electric field is increased. The separatrix limits direct convective access to an MLT region that is typically earlier than dawn. Protons outside the separatrix at dawn (i.e., farther away from the Earth at dawn), and similarly outside the separatrix at dusk, follow open drift paths to the dayside. Changes in the separatrix can result in trapping of some protons on closed drift paths and development of the ring current. Therefore the observed increase in the 16.5 keV proton flux outside the plasmasphere is most likely due to direct convective access whereas the reduction in flux observed between dawn and noon is probably due to the lack of direct convective access and decay of the pre-existing proton flux.

The proton flux inside the plasmopause during active conditions has a different MLT distribution that extends from near midnight through dusk to noon. Protons may be observed inside the plasmasphere as a result of time variations in the convection electric field so that the plasmasphere is partially refilled and overlaps the region of proton injection. The data may also include observations of enhanced flux inside plumes which are known

383 to develop on the dayside and afternoon. In general, the distribution is consistent with  
384 proton injection as discussed above [see also *Chen et al.*, 1994].

385 After proton injection the formation of proton rings is generally ascribed to losses as  
386 a result of slow drift over a select range of energies [*Jordanova et al.*, 1994; *Fok et al.*,  
387 1996]. For positively charged particles the co-rotation drift velocity at dusk is oppositely  
388 directed to the gradient and  $E \times B$  drift. This leads to a range of energies for which the  
389 drift velocity is very slow resulting in a depletion in the proton distribution due to losses  
390 as a result of charge exchange and Coulomb collisions. If the losses are sufficiently high  
391 then as higher energy protons drift through the same region an energy dependent proton  
392 ring distribution may form. In particular, for quiet periods simulations show that there is  
393 a range of  $\mu < 1$  MeV/G for which protons may execute ‘banana’ shaped drift paths near  
394 dusk [*Chen et al.*, 1994] and thus may have an extended dwell time near dusk. This may  
395 result in a region where proton rings are more likely to form and may explain the larger  
396 number of proton ring distributions observed near dusk and the corresponding increase  
397 in wave power. Since the energy of protons executing banana orbits may depend on the  
398 strength of the convection electric field this may also determine the energy of the proton  
399 rings.

400 The relationship between magnetosonic waves at dawn and proton ring distributions is  
401 more complex. Although proton rings are observed, since  $E_R < E_A$  it appears that low  
402 energy protons ( $E < 30$  keV) are not the source of the waves seen outside the plasmopause  
403 before dawn. There are a number of possibilities. First, a stagnation point resulting in  
404 banana orbits can also occur near dawn when the difference between the gradient and  
405 co-rotation drifts is approximately equal to the  $E \times B$  convection drift. This occurs for

406 higher energies than that at dusk [*Chen et al.*, 1994] and thus proton ring distributions may  
407 be present at energies above the maximum energy of LEPA. Second, since magnetosonic  
408 waves can propagate long distances both radially and azimuthally near the magnetic equa-  
409 tor the waves may have propagated from a remote source region. Finally, the waves may  
410 be magnetosonic but produced by another process such as nonlinear wave-wave coupling.

411 Magnetosonic waves are enhanced during active conditions over most local times outside  
412 the plasmapause and on the dusk-side inside the plasmapause. Electrons with energies  
413 up to a few hundred keV can be injected into the outer radiation belt by enhanced storm-  
414 time convection electric fields [*Baker et al.*, 1998; *Obara et al.*, 2000]. The motion of  
415 these electrons is subsequently dominated by gradient and curvature drifts, leading to  
416 closed drift orbits about the Earth on the timescale of the order of an hour or so. During  
417 active periods these so-called seed electrons may encounter enhanced magnetosonic waves  
418 in the equatorial plane for the bulk of their drift orbits. This could include exposure to  
419 magnetosonic waves both inside and outside the plasmapause. Since magnetosonic waves  
420 can energize electrons both inside and outside the plasmapause [*Horne et al.*, 2007] our  
421 observations suggest that they could play a significant role in the acceleration of a seed  
422 population of electrons to relativistic energies. Work is now in progress to quantify the  
423 role of these waves in radiation belt dynamics.

424 Magnetosonic waves in the frequency range  $f_{cH} < f < 0.5f_{LHR}$ , where the probability  
425 of occurrence maximises [*Nemec et al.*, 2005], are excluded from the survey due to the  
426 100 Hz low frequency limit of the CRRES Plasma Wave Experiment combined with the  
427 requirement of reasonable coverage in  $L$ . The interaction of the waves with proton ring  
428 distributions could be quite strong, if not stronger, in this frequency range [e.g., *Perraut*

429 *et al.*, 1982; *Laakso et al.*, 1990, *Horne et al.*, 2000] suggesting that the observed proton  
 430 rings may also be a source of waves at lower frequencies. Future statistical surveys,  
 431 using instrumentation that extends to lower frequencies, are required to study the spatial  
 432 distribution of these waves.

## 9. Conclusions

433 We have performed a statistical analysis of fast magnetosonic waves and the occurrence  
 434 of proton ring distributions using wave and particle data from the CRRES spacecraft.  
 435 Due to the restricted frequency coverage the wave survey was confined to waves with  
 436 frequencies in the range  $0.5f_{LHR} < f < f_{LHR}$ . The main conclusions of this study are

437 1. The average intensity of fast magnetosonic waves increases with increasing  $AE^*$ ,  
 438 suggesting that they are related to periods of enhanced convective electric field and or  
 439 substorms.

440 2. Over the range of  $L$  covered by the wave survey  $2.5 \leq L \leq 4.5$  intense emissions are  
 441 observed at most local times outside the plasmopause, but are restricted to the dusk-side  
 442 inside the plasmopause. The most intense waves generally occur near  $L = 3 - 4$ .

443 3. The MLT distribution of low energy proton rings,  $E_R < 30$  keV with energies greater  
 444 than the Alfvén energy  $E_R > E_A$  closely matches the MLT distribution of magnetosonic  
 445 waves inside the plasmopause, and outside the plasmopause on the dusk side, and suggests  
 446 that proton ring distributions are a likely source of energy driving the waves. We suggest  
 447 that ‘banana’ type drift orbits near dusk, which result in long dwell times, and losses are  
 448 important for producing proton ring distributions and hence magnetosonic waves near  
 449 dusk.

450 4. Proton ring distributions and intense magnetosonic waves are found outside the  
451 plasmopause between midnight and dawn which do not satisfy the condition  $E_R > E_A$  for  
452 instability due to the high Alfvén speed in that region. Although proton rings at energies  
453  $> 30$  keV could drive the instabilities the source of these waves is yet to be properly  
454 identified.

455 Since magnetosonic waves are generated by protons and can cause electron acceleration  
456 up to  $\sim$  MeV energies inside the radiation belts [*Horne et al.*, 2007] they are likely to  
457 provide an important energy transfer process between the ring current and the outer  
458 electron radiation belt.

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**Figure 1.** Average wave electric field spectral intensities for (top) equatorial ( $-3^\circ < \lambda_m < 3^\circ$ ) and (bottom) off-equatorial ( $5^\circ < |\lambda_m| < 10^\circ$ ) emissions observed outside the plasmasphere as a function of frequency and  $L$  for different levels of geomagnetic activity. From left to right the results are for quiet ( $AE^* < 100$  nT), moderate ( $100 < AE^* < 300$  nT), and active ( $AE^* > 300$  nT) conditions. Also shown is the equatorial electron gyrofrequency,  $f_{ce}$  (solid line),  $0.5f_{ce}$  (dotted line), the equatorial lower hybrid resonance frequency  $f_{LHR}$  (dashed line), and  $0.5f_{LHR}$  (dash-dotted line).

**Figure 2.** Average wave electric field spectral intensities of (top) equatorial and (bottom) off-equatorial emissions observed in the plasmasphere as a function of frequency and  $L$  for different levels of geomagnetic activity. From left to right the results are presented for quiet ( $AE^* < 100$  nT), moderate ( $100 < AE^* < 300$  nT), and active ( $AE^* > 300$  nT) conditions. Also shown is the equatorial electron gyrofrequency,  $f_{ce}$  (solid line),  $0.5f_{ce}$  (dotted line), the equatorial lower hybrid resonance frequency  $f_{LHR}$  (dashed line), and  $0.5f_{LHR}$  (dash-dotted line).

**Figure 3.** Average wave electric field intensities of (top) equatorial and (bottom) off-equatorial emissions in the frequency range  $0.5f_{LHR} < f < f_{LHR}$  observed outside the plasmasphere as a function of  $L$  and magnetic local time. From left to right the results are presented for quiet ( $AE^* < 100$  nT), moderate ( $100 < AE^* < 300$  nT), and active ( $AE^* > 300$  nT) conditions.

**Figure 4.** Average wave electric field intensities of (top) equatorial and (bottom) off-equatorial emissions in the frequency range  $0.5f_{LHR} < f < f_{LHR}$  observed in the plasmasphere as a function of  $L$  and magnetic local time. From left to right the results are presented for quiet ( $AE^* < 100$  nT), moderate ( $100 < AE^* < 300$  nT), and active ( $AE^* > 300$  nT) conditions.

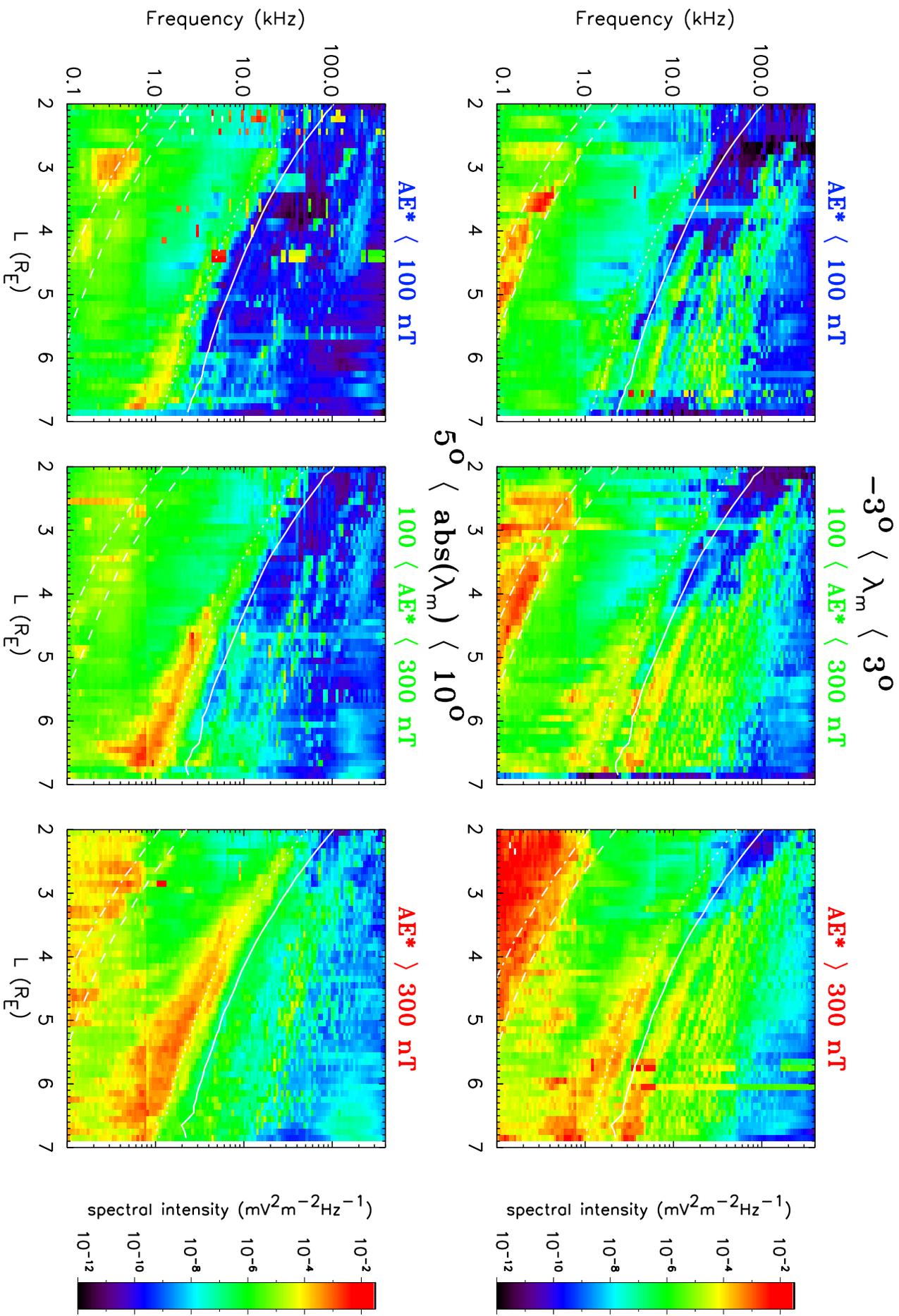
**Figure 5.** Average 16.5 keV proton differential number flux outside the plasmasphere (top panels) and inside the plasmasphere (bottom panels) as a function of  $L$  and magnetic local time. From left to right the results are presented for quiet ( $AE^* < 100$  nT), moderate ( $100 < AE^* < 300$  nT), and active ( $AE^* > 300$  nT) conditions. The fluxes are shown in the large panels and the corresponding sampling distributions in the small panels.

**Figure 6.** a). Proton phase space density perpendicular to the magnetic field as a function of energy during the outbound leg of orbit 714 on 14th May 1991 at  $L = 3.95$  (blue), 4.55 (green) and 5.45 (red). b). Wave spectral intensity as a function of frequency and time from 18:24 UT to 21:00 UT during the outbound leg of orbit 714. The solid white line represents the electron gyrofrequency,  $f_{ce}$ . The dashed lines from bottom to top represent  $f_{LHR}$ ,  $0.1f_{ce}$  and  $0.5f_{ce}$ . The first four harmonics of  $f_{ce}$  are represented by the dotted lines and the local upper hybrid resonance frequency,  $f_{UHR}$ , is shown in red.

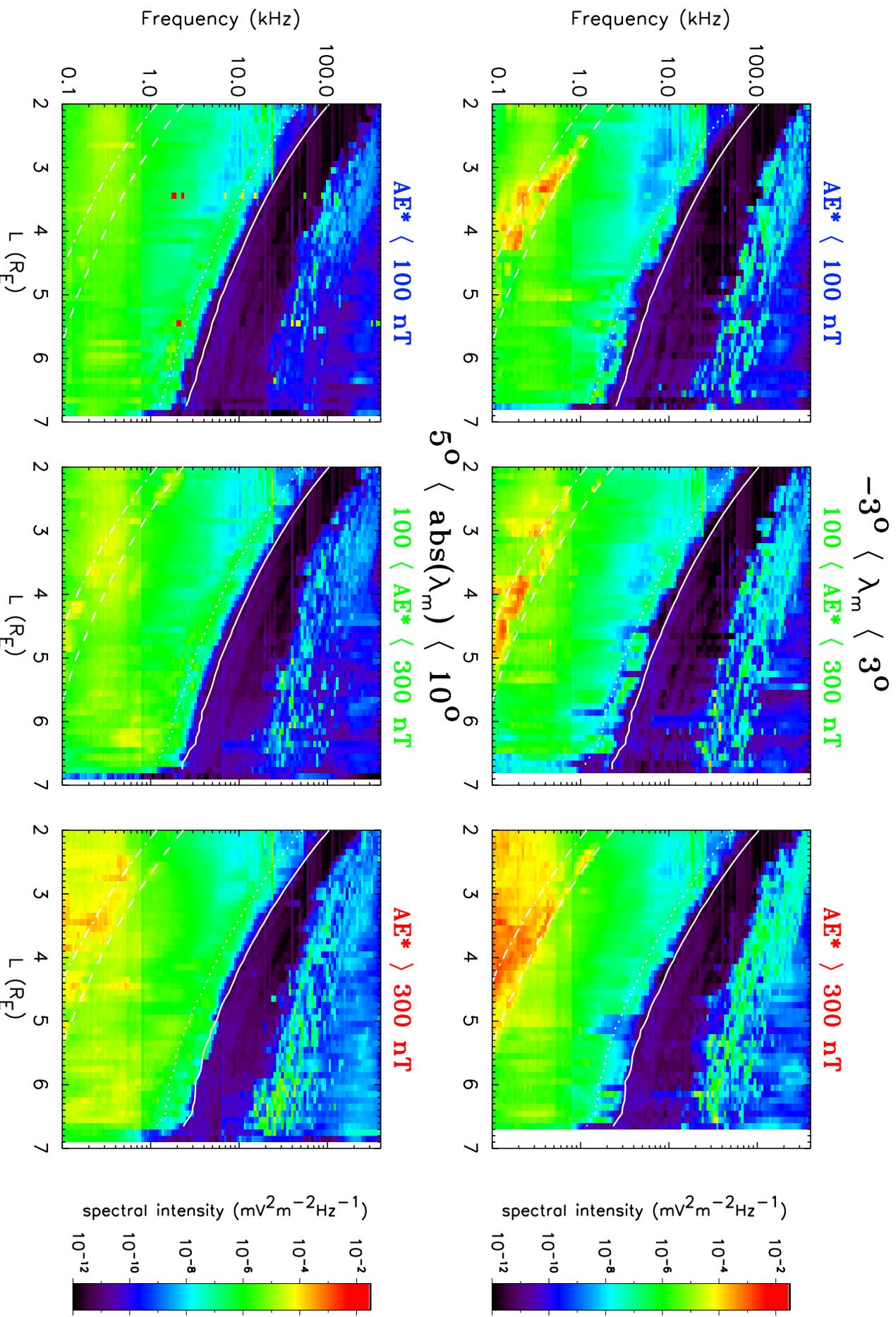
**Figure 7.** Number of proton rings (left hand panels), number of proton rings satisfying the criterion  $E_R > E_A$  (central panels), and the equatorial wave intensity (right panels) as a function of  $L$  and magnetic local time. The results are displayed for active conditions ( $AE^* > 300$  nT) for data collected outside the plasmopause (top panels) and inside the plasmopause (bottom panels). The number of samples used to determine the number of events are displayed in the small panels.

**Figure 8.** The proton ring energies,  $E_R$ , and the average Alfvén energy,  $\langle E_A \rangle$ , as a function of magnetic local time at a).  $L = 4.05 \pm 0.15$  and b).  $L = 6.55 \pm 0.15$  during active conditions outside the plasmopause. The proton ring energies,  $E_R$ , and the average Alfvén energy,  $E_A$ , as a function of magnetic local time at c).  $L = 4.05 \pm 0.15$  and d).  $L = 6.55 \pm 0.15$  during active conditions inside the plasmopause. The proton ring energy for each event is shown as a cross and the average Alfvén energy is shown by the solid line.

# Average Wave Spectral Intensity Outside the Plasmapause



# Average Wave Spectral Intensity Inside the Plasmapause



Wave Intensity ( $0.5f_{\text{LHR}} < f < f_{\text{LHR}}$ ) Outside the Plasmapause

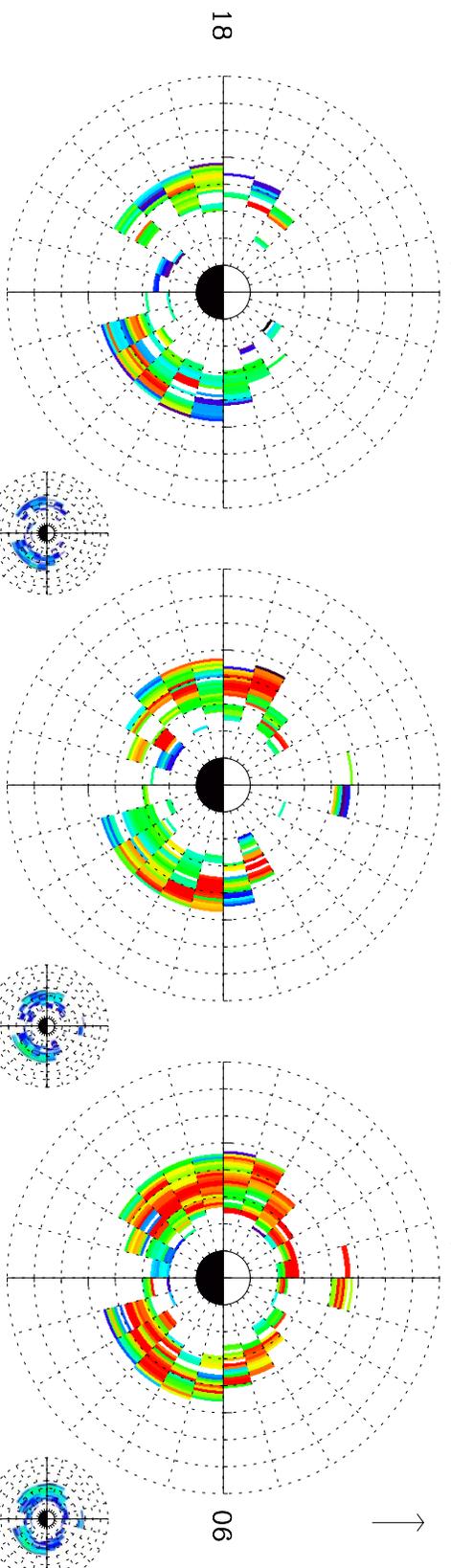
$-3^\circ < \lambda_m < 3^\circ$

$AE^* < 100$  nT

$100 < AE^* < 300$  nT

$AE^* > 300$  nT

Sun  $\rightarrow$

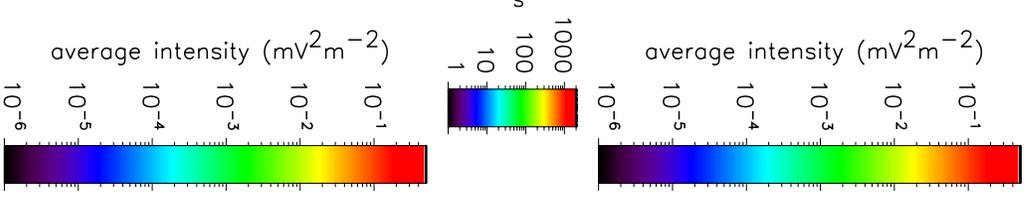
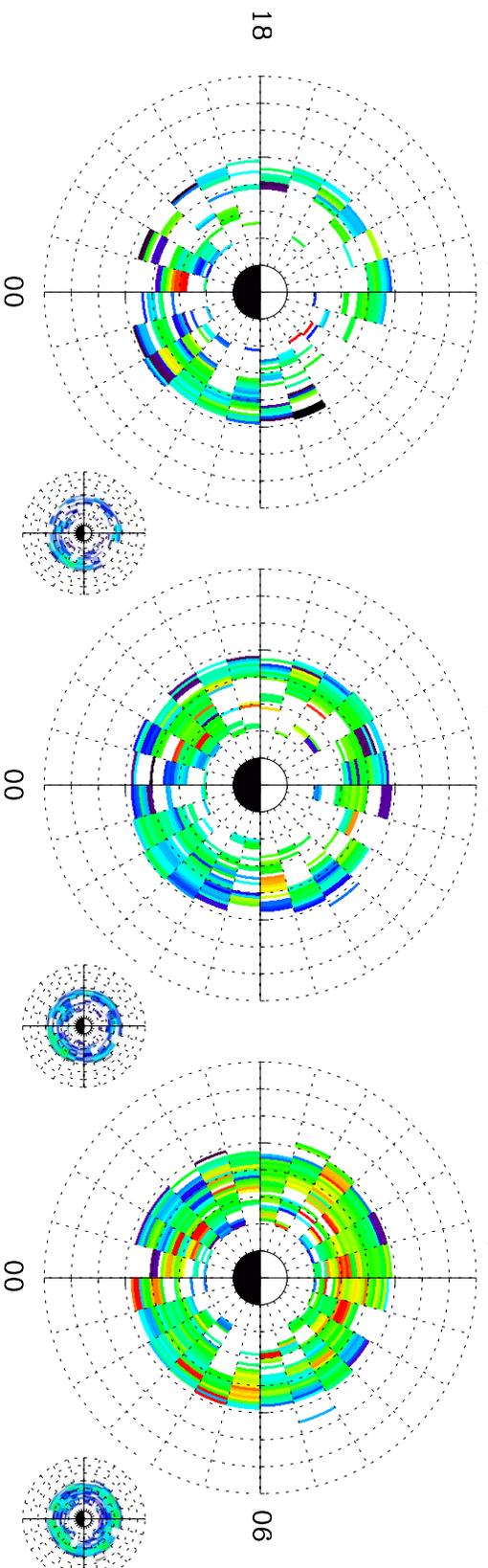


$5^\circ < \text{abs}(\lambda_m) < 10^\circ$

$AE^* < 100$  nT

$100 < AE^* < 300$  nT

$AE^* > 300$  nT



# Wave Intensity ( $0.5f_{\text{LHR}} < f < f_{\text{LHR}}$ ) Inside the Plasmapause

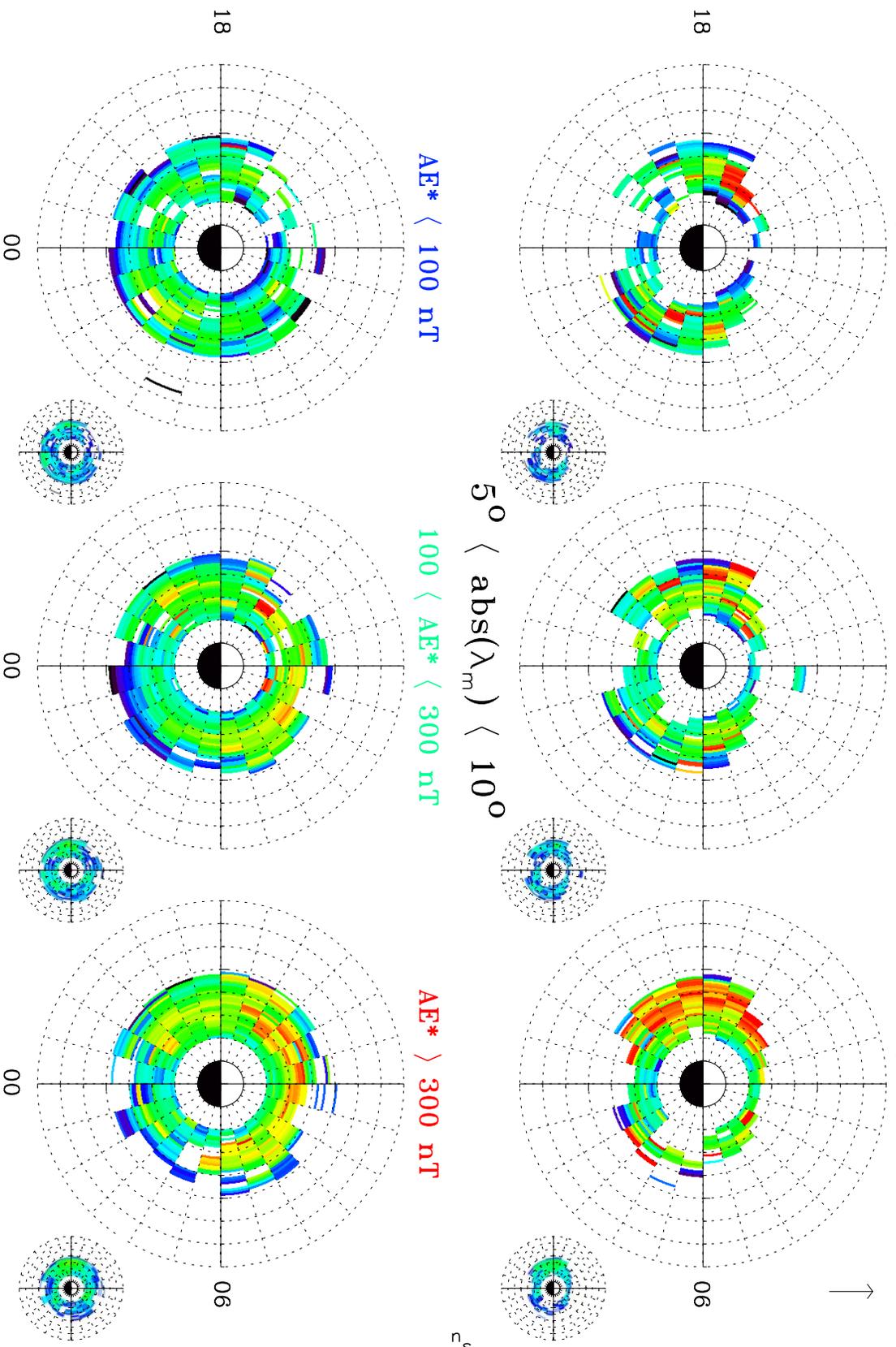
$-3^\circ < \lambda_m < 3^\circ$

$AE^* < 100$  nT

$100 < AE^* < 300$  nT

$AE^* > 300$  nT

Sun  $\rightarrow$

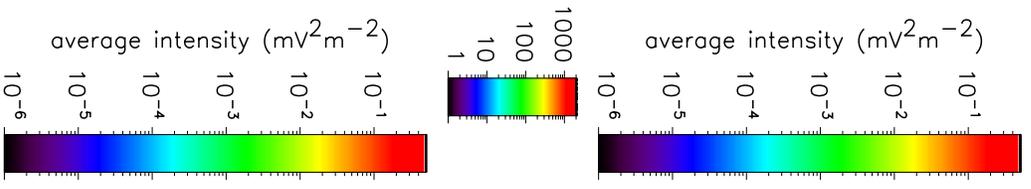


$5^\circ < \text{abs}(\lambda_m) < 10^\circ$

$AE^* < 100$  nT

$100 < AE^* < 300$  nT

$AE^* > 300$  nT



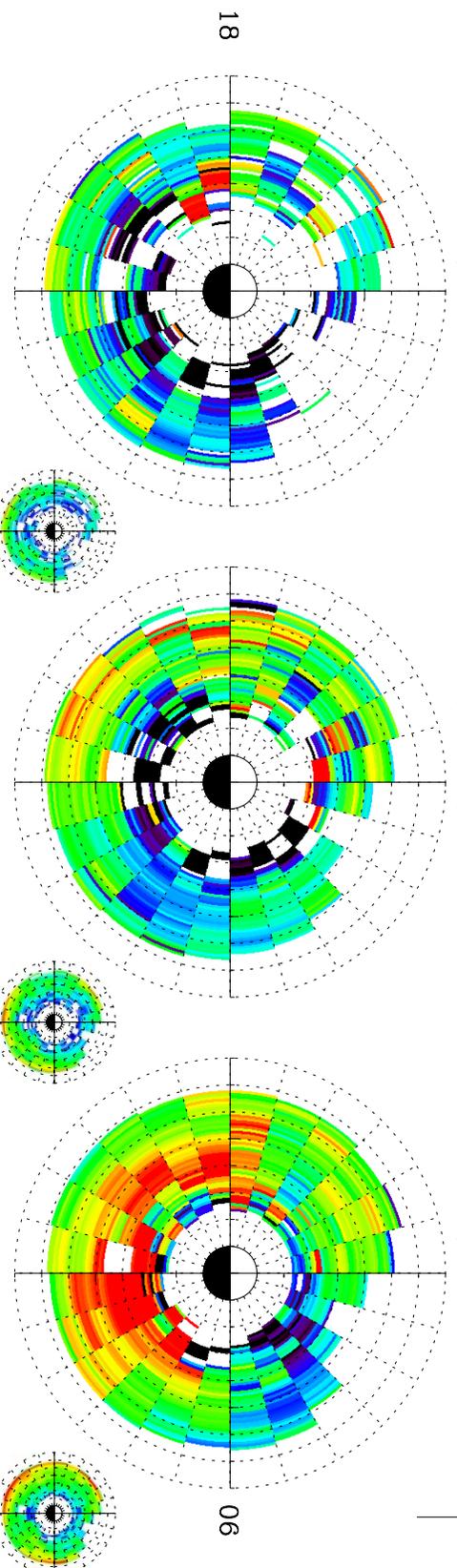
E = 16.5 keV, Outside the Plasmopause

Sun  
↓

AE\* < 100 nT

100 < AE\* < 300 nT

AE\* > 300 nT

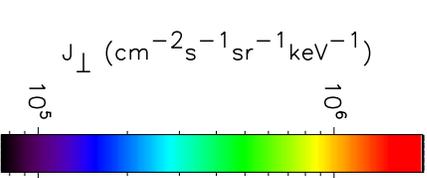
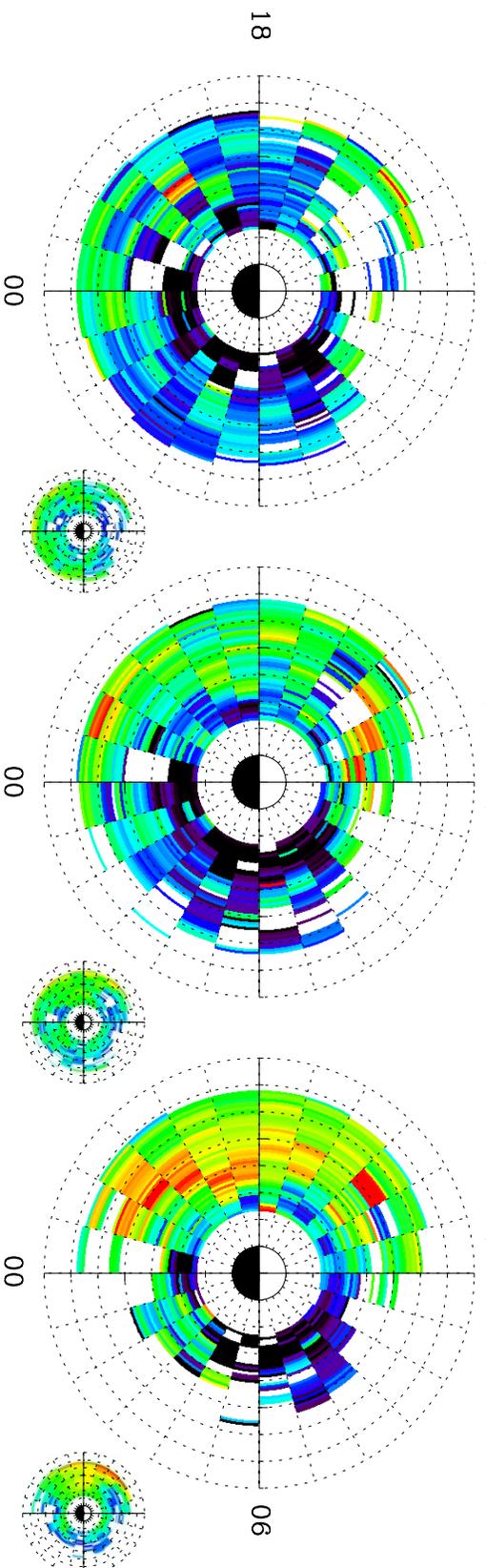


E = 16.5 keV, Inside the Plasmopause

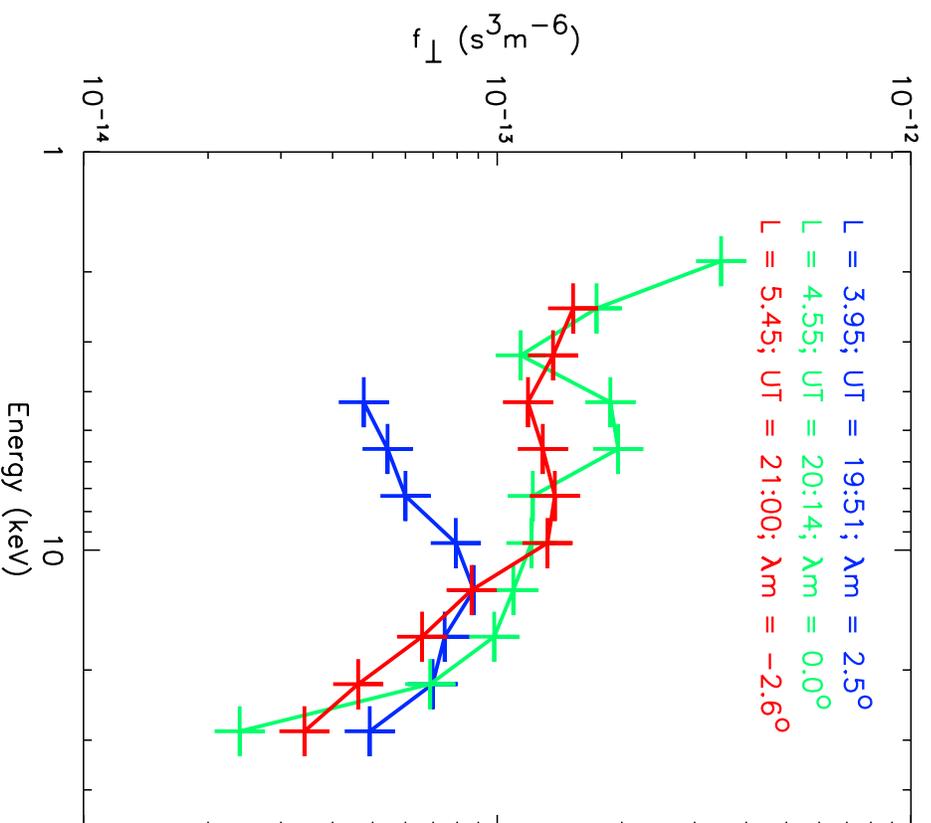
AE\* < 100 nT

100 < AE\* < 300 nT

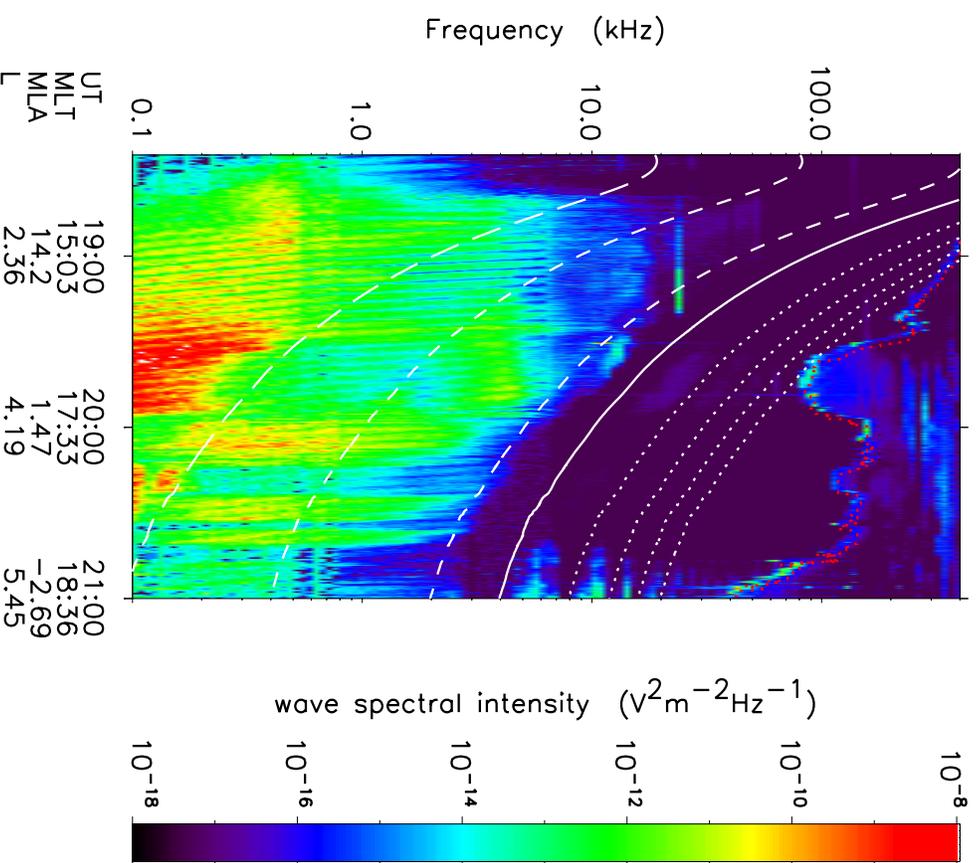
AE\* > 300 nT



a). Perpendicular Proton Phase Space Density



b). Wave Spectral Intensity

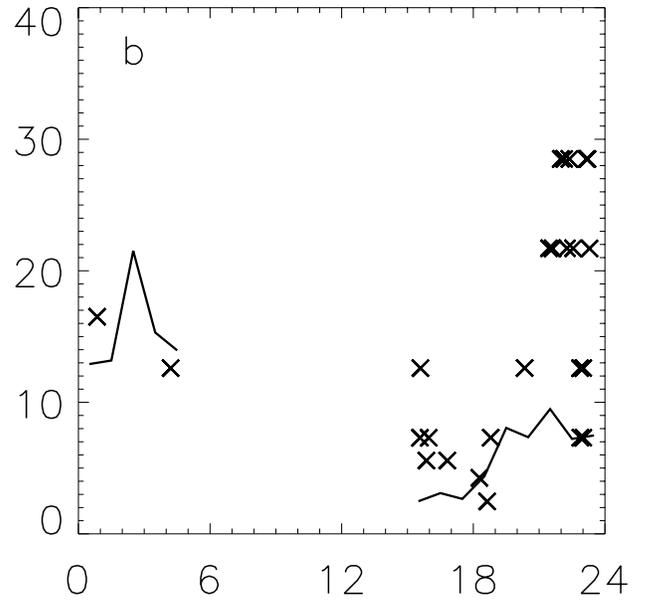
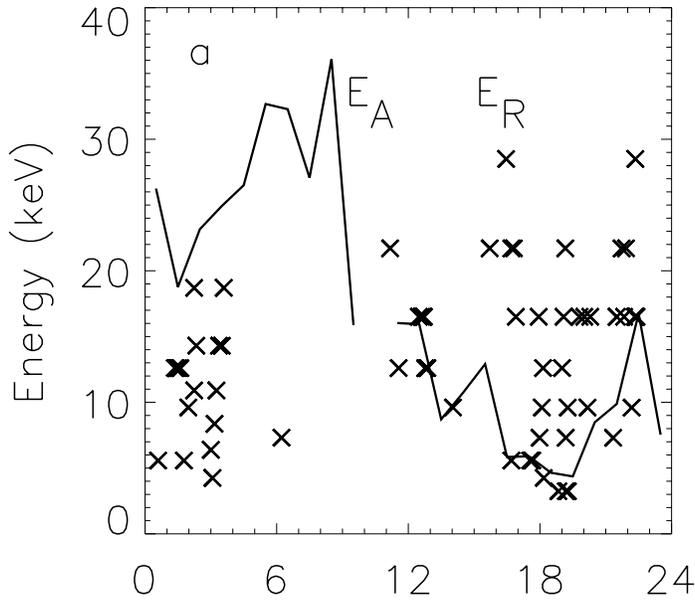




Outside the Plasmapause

L = 4.05

L = 6.55



Inside the Plasmapause

L = 4.05

L = 6.55

