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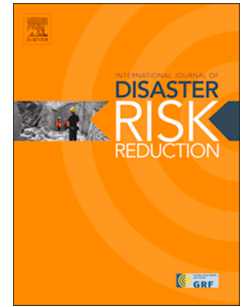
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# Stakeholder Perceptions of Drought Resilience Using Government Drought Compensation in Thailand

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## Abstract:

In the context of escalating climate challenges in Southeast Asia, this study investigates the dynamics of disaster budget allocation in Thailand and examines farmers' perceptions of drought compensation, focusing on the Ping catchment situated in the Northwest of the country. The main objective of the study was to gauge stakeholders' awareness and views on government drought compensation and evaluate its effectiveness. Using government budget data, drought indicators, and a comprehensive survey in Chiang Mai and Tak provinces, the study explores correlations between budget allocation, drought indicators, and farmers' experiences. A correlation analysis unveils stronger links between compensation and Vegetation Condition Index (VCI) as compared to Drought Severity Index (DSI), with regional variations and the impact of irrigation practices. Compensation shows positive correlations with drought severity, suggesting support to farmers occurs when they suffer severe crop damage. We investigate drought occurrences and their impacts along with farmer's awareness and experiences of drought compensation schemes to uncover disparities in awareness, application rates, and satisfaction levels, providing insights into farmers' views on compensation effectiveness. The study concludes by proposing policy adjustments, tailored regional approaches, and feedback mechanisms to enhance the effectiveness of drought compensation strategies. Despite limitations in sample size and potential biases, this study contributes valuable insights into the complex dynamics of disaster budget allocation, drought compensation, and farmers' perspectives in Thailand, laying a foundation for refining policies and fostering sustainable agricultural practices amidst increasing climate challenges.

**Key words:** Agricultural droughts, drought compensation, Thailand, survey, drought resilience.

## 1. Introduction

Changes in climatic conditions manifest through shifts in precipitation patterns, elevated temperatures, and intensified evapotranspiration [1,2]. These factors contribute to the increased instances of drought occurrences in different parts of the world on a global scale. Droughts, one of the costliest hazards globally, are expected to increase in frequency, severity, duration, and intensity for many parts of the world as a result of a changing climate [3]. This trend is set to intensify in Southeast Asia [4] because of several natural and

48 anthropogenic drivers such as changes in monsoon trends, atmospheric circulation patterns,  
49 and human factors such as deforestation, land use land cover changes and change in water  
50 management practices [5–7]. In recent years, droughts in Thailand such as the 2010, 2015-  
51 2016 and 2018-2020 events [8], illustrate the increased frequency of droughts. Many areas in  
52 this region are already seeing an increase in drought impacts, for instance, an estimated \$53  
53 billion of damage has been caused in the Asia-Pacific area in the last 30 years as a result of  
54 drought events [9].

55 Droughts can have profound impact on the agriculture sector affecting crop yields, livestock,  
56 and overall food production [10]. Agriculture is affected by the intensification of droughts, in  
57 turn leading to economic losses and social impacts beyond the primary drought impacts [8].  
58 Agricultural land makes up 46.5% of Thailand's 51 million ha land area [11]. Further, Thailand  
59 is the second largest economy in Southeast Asia [12], and the second largest exporter of rice  
60 in the world [13], contributing 40% of global rice exports [14]. 30% of the population in Thailand  
61 work within the agriculture sector [11] and agriculture contributes 11.64% of the total GDP [9].  
62 Therefore, Thailand's agricultural resilience to droughts is critical in guarantying robust food  
63 supply and security both globally and locally, ensuring and maintaining long-term sustainability  
64 as well as economic stability. Further, droughts have negative impacts on crops grown in  
65 Thailand, particularly rice, corn and other cash crops [15], and with rice being the dominant  
66 crop in 63 of Thailand's 76 provinces, this demonstrates a particular vulnerability to drought  
67 impacts. However, the impacts of droughts are not uniform across Thailand, due to differences  
68 in land use, water storage and irrigation, amongst others [15].

69 Drought risk management strategies are of paramount importance for ensuring the agriculture  
70 sector's ability to withstand and recover from the above-mentioned challenges. Sedtha et al.  
71 [16] found that an overwhelming majority of farmers in Northeast Thailand have noticed  
72 changes in the climatic conditions, prompting the adoption of diverse adaptation strategies to  
73 mitigate the adverse effects of climate change. These strategies include agronomic  
74 adjustments, such as altering cropping patterns and increasing fertilizer usage, as well as non-  
75 agricultural adaptations like purchasing insurance, seeking financial support through loans  
76 and credits, and engaging in off-farm employment. In Thailand, drought risk and management  
77 is spread across multiple national government ministries or departments [8]. A government  
78 scheme is in place in Thailand, which is the subject of this study, through which farmers can  
79 get compensation paid following a drought event for short-term drought assistance. Whilst  
80 such compensation may help deal with short-term crisis management, it may unintentionally  
81 discourage longer-term adaptation and induce risk-taking, which leads to continued drought  
82 vulnerability. The Thai Government's Disaster Relief Programme is operated by the Ministry  
83 of Agriculture and provides compensation to farmers who meet certain criteria [9]. In addition  
84 to ex-post compensation, the Government supports farmers through a range of drought  
85 management interventions including artificial rainmaking, mobilising equipment like water  
86 pumps, budget allocation for drilling wells, encouraging changes to more drought-resistant  
87 crop varieties, subsidies, and limited insurance products [9,17]. Due to the importance of rice  
88 to both the economy and farmers' livelihood, rice farmers, in particular, have been subjected  
89 to a range of financial interventions, which have included the Government purchasing rice  
90 above market prices, deferred debt payments (to the Bank for Agriculture and Agricultural  
91 Cooperatives, BAAC), reduced interest rates on loans, and subsidised crop insurance  
92 premiums [18].

93 In this context of agricultural challenges, financial interventions target drought-affected  
94 farmers, aiming to provide support, though uncertainties persist about their effectiveness in  
95 enhancing resilience. Operating at micro (farm) and macro (e.g., national) levels, these  
96 interventions influence factors like household incomes and agricultural yields while

97 challenging government resources and capabilities. This creates complex policy trade-offs for  
98 institutions navigating strategies to support farmers, foster economic growth, and address  
99 issues like environmental conservation and social stability [19].

100 In recent years, Thailand experienced a significant drought in the first quarter of 2020 which  
101 led to reduced off-season rice and corn production and reduced agricultural economic growth  
102 [20]. The drought conditions were brought about by a shorter monsoon season and below-  
103 average annual rainfall in 2019. News reports suggest that some farmers in drought affected  
104 areas in 2020 may have ignored government advice to stop off-season rice cultivation in  
105 exchange for monetary compensation, which has been part of the government's approach  
106 since 2017 [21]. Pak-Uthai [22] explains that farmers may be unwilling to cease rice cultivation  
107 in exchange for government support if they feel unable to market crops other than rice.

108 In this study, our primary objective was to understand the current levels of awareness and  
109 stakeholders' perceptions regarding Government drought compensation and assess its fitness  
110 for purpose. To achieve this, we integrated two complementary approaches: firstly, we  
111 examined the correlation between government compensation data and the severity of drought,  
112 measured by various drought indicators. This statistical analysis aimed to quantify the  
113 alignment between compensation distribution and drought impacts and prepare the basis of  
114 the study. Subsequently in the second part, we conducted a survey among farmers in Northern  
115 Thailand and interviewed Government officials to gain contextual insights into how  
116 stakeholders perceive the implementation, accessibility, and effectiveness of these  
117 compensation schemes. By combining the two quantitative and qualitative approaches, the  
118 study seeks to cultivate insights into how stakeholders (farmers and government officials)  
119 believe that drought management practices could be modified to enhance agricultural  
120 resilience in the long run. This dual approach provides a holistic perspective, bridging  
121 statistical trends with real-world stakeholder experiences, and offers valuable, real-world  
122 transferable insights into the tangible impact of drought compensation schemes, offering clues  
123 on potential improvements for optimising their effectiveness in the future.

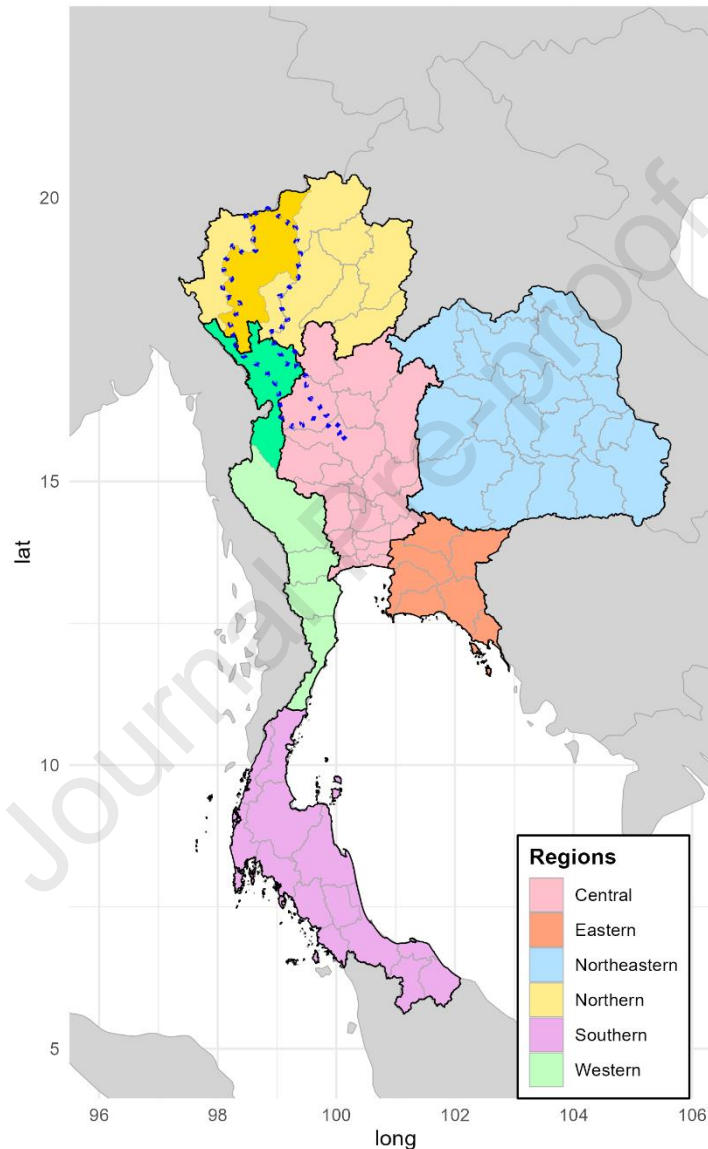
## 124 2. Data and Method

125 The paper starts with an analysis of disaster budget allocation in Thailand, with emphasis on  
126 its relationship with drought indicators. This is followed by an assessment of stakeholders'  
127 (farmers and government officials) perceptions of drought compensation, using the Ping  
128 catchment as a case study. Together, these approaches provide complementary insights into  
129 policy effectiveness.

### 130 2.1. Study area

131 Thailand is located in the tropics, between 5°30' N and 20°30' N, and 97°30' E and 105°30' E.  
132 The country is commonly divided into 6 regions in scientific studies – Central, Eastern,  
133 Northeastern, Northern, Southern and Western – each of which can be further separated into  
134 provinces (Figure 1). There are a total of 76 provinces, plus a special administrative area for  
135 the capital, Bangkok. Thailand experiences a tropical climate influenced by seasonal monsoon  
136 winds. From May to October, the southwest monsoon brings warm, moist air from the Indian  
137 Ocean, resulting in substantial rainfall, particularly in mountainous regions. Starting in  
138 October, the northeast monsoon brings cold and dry air from the anticyclone in China, affecting  
139 mainly the northern and northeastern regions at higher latitudes [23]. In the south, the  
140 monsoon brings mild weather and abundant rain along the eastern coast. Mean annual rainfall  
141 is 1542 mm, with higher amounts on the eastern and southern areas [23]. The mean  
142 temperature is 26.3°C in the north and 27.5°C in the southern and coastal areas [24].

143 The study focuses on the Ping catchment as our case study, given its importance within the  
 144 agricultural sector and vulnerability to drought. Within the Ping catchment, the study  
 145 concentrated on two provinces, Chiang Mai which is in the Northern region and Tak situated  
 146 in the Western region. Both regions are mountainous and largely forest-covered, although with  
 147 a key difference that agricultural expansion has resulted in deforestation in the Northern region  
 148 over the last few decades, whilst the forests in the Western region are less disturbed [11]. The  
 149 Ping River is one of the four main tributaries into Thailand's largest river, the Chao Phraya,  
 150 and has a catchment area of 36,018 km<sup>2</sup> [12].



151

152 **Figure 1:** Map of Thailand showing regions and provinces used in this study. The Ping  
 153 catchment is denoted by the dotted blue line and the two case study provinces, Chiang Mai  
 154 and Tak, are shown in darker colours than their corresponding region (Northern and Western,  
 155 respectively).

## 156 2.2. Analysis of government disaster budget allocation

### 157 2.2.1. National Drought Compensation dataset

158 We used government budget allocation data (2010-2020) by province produced by the  
 159 Disaster Victim Relief Division within the Department of Disaster Prevention and Mitigation,

160 Ministry of Interior, categorised by type of disaster and purpose, and focused on the drought  
 161 compensation data. It should be noted, for later reference, that the budget is allocated after  
 162 the occurrence of disaster events and includes compensation for farmers as well as post-  
 163 disaster infrastructure restoration expenses. Unfortunately, the dataset does not provide a  
 164 breakdown between these two components, but this does not affect the analysis.

### 165 2.2.2. Drought indicators

166 We selected two drought indicators commonly used in drought monitoring systems to analyse  
 167 the relationship between these and the drought compensations, using a simple correlation  
 168 analysis for each province. Table 1 shows the temporal and spatial resolution of the data used  
 169 in this analysis (drought indicators and compensation data). The aim of this analysis was to  
 170 establish whether compensation was received in the provinces which suffered the most from  
 171 drought, based on these indicators, with the caveat that the dataset for drought compensation  
 172 combines both farmers' compensation and post-disaster infrastructure restoration expenses.  
 173 Consequently, the exact allocation to farmers cannot be discerned from the available data.

174 The Drought Severity Index (DSI) provides a measure of meteorological drought and its  
 175 impacts on vegetation. Using data sourced from MODIS-TERRA and AQUA satellite products,  
 176 DSI is calculated from evapotranspiration, potential evapotranspiration (MOD16A2 product  
 177 [25]), and Normalised Vegetation Index (NDVI, MOD13A1 and MYD13A1 products [26,27])  
 178 and comparing current values against the long-term means [28] as follows:

$$179 \quad RT_i = \frac{ET_i}{PET_i} \quad (1)$$

$$180 \quad Z_i = \frac{(RT_i - \overline{RT})}{\sigma_{RT}} + \frac{(NDVI_i - \overline{NDVI})}{\sigma_{NDVI}} \quad (2)$$

$$181 \quad DSI_i = \frac{(Z_i - \overline{Z})}{\sigma_Z} \quad (3)$$

182 where  $\overline{RT}$  and  $\overline{NDVI}$  are the mean values of the monthly RT and NDVI, respectively;  
 183  $\sigma_{RT}$   $\sigma_{NDVI}$  are the standard deviations of the monthly RT and NDVI, respectively; Z denotes  
 184 the summation of the monthly standardized ratios of RT and NDVI; and  $\overline{Z}$  and  $\sigma_Z$  are the mean  
 185 and standard deviation of Z, respectively.

186 Negative DSI indicates drier than normal conditions whereas positive DSI indicates wetter  
 187 than normal conditions.

188 The Vegetation Condition Index (VCI) compares the current NDVI to the range of values  
 189 observed in the same period in previous years. It was calculated on a monthly time step, using  
 190 satellite data from MODIS-TERRA and AQUA as in Bachmair et al. [29] using the following  
 191 equation:

$$192 \quad VCI = \frac{(NDVI - NDVI_{min})}{(NDVI_{max} - NDVI_{min})} \times 100 \quad (4)$$

193 Where  $NDVI_{min}$  and  $NDVI_{max}$  represent the minimum and maximum  
 194 NDVI values observed in the same time period over a historical baseline

195 A lower VCI value indicates poorer vegetation state conditions whilst higher VCI values  
 196 indicate good vegetation conditions. Strong correlations between VCI and crop yield data have  
 197 been shown by Tanguy et al. [11] in their study for Thailand. The drought indicators were first  
 198 derived at pixel level for the entire country and then a land cover map (MCD12Q1 product  
 199 from MODIS [30]) was used to extract crop-covered pixels only. We then calculated province-  
 200 level drought indicator averages for cropland, using the corresponding land cover mask.

201 Figure S1 in the supplementary material shows map of VCI, DSI and government  
 202 compensation for an example drought year.

203 **Table 1:** Data used in analysis of government compensations.

Data	Temporal Resolution	Start	End	Spatial Resolution
Compensation – Drought	Yearly	2010	2021	Province
Compensation – Agriculture (crop)	Yearly	2010	2021	Province
Vegetation Condition Index (VCI)	Monthly	Feb. 2000	Jun. 2020	Province
Drought Severity Index (DSI)	Monthly	Feb. 2000	Dec. 2019	Province

204

### 205 2.2.3. Correlation analysis

206 A correlation analysis was carried out to assess the relationship between drought severity and  
 207 drought compensation paid at province level. We use two distinct drought indices, DSI and  
 208 VCI, as a measure of drought severity. Whilst the drought indices and compensation data  
 209 have the same spatial resolution, they have differing temporal resolutions (Table 1). Therefore,  
 210 in order to calculate the monthly correlations shown in Figure 2, the monthly drought indices  
 211 data was subset into a yearly series per calendar month. The correlation analysis was then  
 212 conducted between the yearly series of drought compensation data and the yearly series of  
 213 drought indicator data for a given calendar month, employing the Pearson correlation  
 214 coefficient, which estimates the strength of normalised covariance between two variables,  
 215 allowing for insight into how closely related the two variables are.

216 The correlation analysis was conducted to quantify the alignment between drought severity  
 217 and compensation distribution, identify potential anomalies or gaps in the compensation  
 218 system, support policy decisions, and contextualise farmers' perceptions. While it is generally  
 219 expected that government compensation would correlate with the severity of drought impacts,  
 220 the analysis aims to assess whether compensation is consistently allocated to regions most  
 221 affected by drought, as measured by the drought indicators. Additionally, the analysis seeks  
 222 to identify instances where the relationship between drought indicators and compensation may  
 223 be unexpected, such as areas receiving compensation despite better vegetation conditions,  
 224 which could indicate systemic issues or other influencing factors. By clearly establishing the  
 225 relationship between drought severity and compensation, this analysis aims to provide insights  
 226 that could inform policy decisions, helping to improve the allocation of resources to the areas  
 227 most in need. Furthermore, the correlation analysis serves to complement the questionnaire  
 228 data by providing a broader context for understanding farmers' reported experiences, helping  
 229 to verify whether their perceptions of drought impacts align with official compensation data  
 230 and drought indicators.

### 231 2.3. Farmers' survey and government officials' interviews

232 Data collection included a survey of farmers in Chiang Mai and Tak provinces using a  
 233 questionnaire and interviews with government representatives. These methods were used to  
 234 examine the experiences and perceptions of drought impacts, and the use of government  
 235 compensation by the farmers. In this study, the questionnaires and interviews were  
 236 administered to independent respondents. Therefore, the responses reflect independent  
 237 perspectives without any overlap between interviewees.

## 238 2.3.1. Data Collection

239 Villages in the Ping catchment with a history of drought were selected to represent typical  
 240 agricultural production typologies. In each, the village headperson selected farm household  
 241 heads to participate, and trained enumerators undertook data collection after obtaining  
 242 informed consent. Enumerators were trained by a member of the research team, and the first  
 243 set of survey responses was reviewed by the research team to ensure the quality of the data  
 244 being collected. In total, 48 questionnaires were completed in July 2021 with 18 and 30  
 245 respondents coming from the provinces of Chiang Mai and Tak respectively. Government  
 246 officials ( $n = 8$ ) were purposively recruited and interviewed by phone after giving informed  
 247 consent. Participants were invited to interview to represent a range of organisations and roles  
 248 across central government, district, and sub-district government offices.

249 **Table 2:** List of data collected from farmers' surveys.

Category	Questions
Demographic	Gender
	Age
	Educational background
	Years of farming experience
Farm characteristics	Size
	Land ownership
	Registration status with the Ministry of Agriculture and Cooperatives
	Water sources
	Irrigation practices
	Farm production type
Drought impacts in the past	Frequency and timing of drought occurrences
	Impact on farming activities: crop failure, water shortage, reduced production, or complete cessation of production.
Farmers' experience and perceptions of compensation	Awareness of compensation scheme (including eligibility)
	Compensation amounts, eligible crops, and the farmers' perception of the adequacy of compensation
	Past experiences with applying for and receiving compensation payments, and their levels of satisfaction
Investment for drought resilience	Investment Strategies: investments made by farmers to increase their resilience to future droughts. Categories: increasing water availability, reducing water needs, income diversification and others.
	Farmer's intentions to use compensation amounts for future drought resilience investments.

250

251 The structured questionnaire (summarised in Table 2) collected: (i) farmers' demographic  
 252 information (e.g. age, gender, annual income, highest level of education), (ii) details of farm  
 253 characteristics (e.g. crops grown, farm size, irrigation methods), (iii) engagement with  
 254 government compensation (whether they were aware of government compensation, had  
 255 applied in the past and had receive it, were satisfied with the level of compensation and  
 256 whether they thought it was effective), and (iv) perceptions of resilience (including what  
 257 farmers and governments could do). For 'receipt of compensation' we also drew on results  
 258 from the STAR survey ( $n = 176$ ) undertaken in the Ping catchment with farmers in January  
 259 2020 [31]. Note that this survey included respondents from two additional provinces (Lamphun  
 260 and Kamphaeng Phet) in the Ping catchment. Government interviews followed similar themes,  
 261 asking about (i) participant's role and background, (ii) knowledge of, involvement with and



262 perceptions of drought compensation, (iii) perceptions of drought resilience including factors  
263 enabling or constraining progress towards a more drought resilient agricultural sector. The  
264 questionnaires were originally written in English, translated into Thai and administered before  
265 translation back to English for analysis. The study gained ethical approval through the  
266 Cranfield University Research Ethics System (CURES) CURES/13334/2021. The full version  
267 of both survey questionnaire and interview questions can be found in the supplementary  
268 information (S1, S2), along with the full survey questionnaire results (S3).

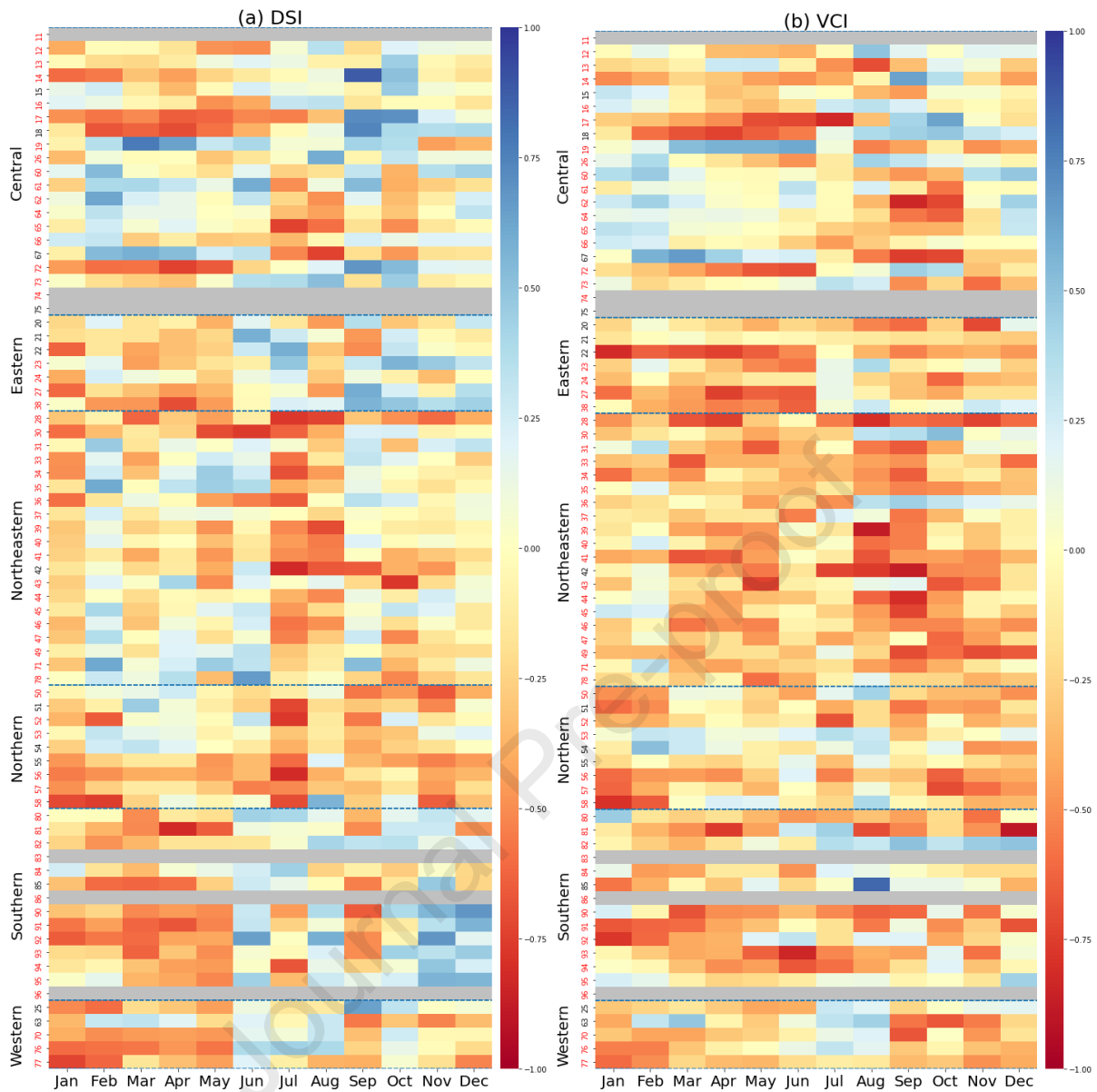
### 269 2.3.2. Statistical Analysis

270 Statistical analysis of the survey data was undertaken using the statistical software package  
271 SPSS (v26). Survey responses were numerically coded and, for the purposes of statistical  
272 analysis, Likert-type responses scales were assumed to approximate continuous data under  
273 the assumption that the statistic tests were robust to these data [32] and that the results would  
274 be interpreted with caution. We used Chi-squared when comparing two categorical variables,  
275 t-test and ANOVA for comparing means for different groups, and logistic regression for  
276 investigating the extent to which determinants predicted outcome variables. To examine the  
277 relationships between demographic and farm variables and the experience of receiving  
278 compensation in the past, a logistic regression model was applied. This model was  
279 constructed using data from this study and previous surveys [31] to analyse factors affecting  
280 compensation reception.

281 Analysis of the qualitative interview data was undertaken following the principles of thematic  
282 analysis, with the coding of transcripts and the sorting and classification of themes facilitated  
283 through the NVivo (v12) qualitative data analysis software package.

## 284 3. Drought and budget allocation in Thailand

285 Figure 2 shows heatmaps illustrating the correlations between the drought indices and  
286 compensation data for drought. These correlations were calculated for each province over the  
287 common period shared by compensation and indicator data. The calculations were conducted  
288 individually for each month across all years within the common period. For instance, we  
289 correlated the annual compensation data for Chiang Mai from 2010 to 2020 with the series of  
290 January VCI in Chiang Mai from the same period, and so on. Note that since small values of  
291 drought indices indicate the severity of drought, a higher negative correlation (depicted in red  
292 in Figure 2) suggests a strong correlation between compensations and drought severity.



293

294 **Figure 2:** Correlation between budget allocation for drought and drought indices (a) DSI and  
 295 (b) VCI. Months are displayed on the X-axis and provinces on the Y-axis (represented using  
 296 an abbreviated version of the province admin code, e.g., Chiang Mai = TH50 = 50; Tak = TH63  
 297 = 63). For the full list of province codes, please see supplementary information S4. The  
 298 provinces highlighted in red on the Y-axis are the ones for which rice is the dominant crop,  
 299 either Paddy Rice or Second Rice. The provinces have been grouped into the six regions of  
 300 Thailand (Central, Eastern, Northeastern, Northern, Southern, Western). A grey row in the  
 301 figure indicates that no compensation data was recorded for that province across all years.  
 302 Note that since a small value of drought indices indicates the severity of drought, a higher  
 303 negative correlation (depicted in red in the figure) suggests a strong correlation between  
 304 compensations and drought severity.

305 In general, the drought severity represented by VCI is more strongly correlated to government  
 306 drought compensation than with DSI (more red in the heatmaps). This discrepancy may arise  
 307 because the VCI is solely based on vegetation health status, whereas the DSI combines both  
 308 vegetation status and evaporative demand. The latter factor can be partially alleviated through  
 309 irrigation, potentially leading to a less direct association with the actual impact of drought on

310 crops – especially in regions with widespread irrigation practices, such as the Central region  
 311 [11]. The Southern region, being considerably wetter than the rest of the country, exhibits a  
 312 reversed correlation between compensation and DSI values for part of the year, as indicated  
 313 by the blue on the heatmap in Figure 2a. This trend is particularly evident from June to  
 314 November, corresponding to the wettest months in that region. Tanguy et al. [11] highlighted  
 315 that short droughts in the Southern region can have a positive impact on crop yield, possibly  
 316 due to increased solar radiation and reduced damage from floods. Hence, VCI likely serves  
 317 as a more objective representation of the severity of drought's impact on crops than DSI. The  
 318 critical phases for drought stress affecting paddy rice, with significant repercussions on crop  
 319 yield, include the initial germination and seedling stage, as well as the flowering period [33–  
 320 36]. However, the extent of this impact varies among different rice varieties, and the growing  
 321 acceptance of drought-resistant strains serves to alleviate these adverse effects. Figure 2b  
 322 reveals no distinct or consistent seasonal pattern in correlations between VCI and  
 323 compensations, except in some provinces in the NE and central regions where the strongest  
 324 correlations occur in September-October, corresponding to the growing and flowering seasons  
 325 for the main rice crop. Additionally, numerous provinces across the country exhibit their  
 326 highest correlations around March-May, aligning with the growing and flowering seasons for  
 327 rice cultivated as the second crop [37].

328 The dominance of red hues in the heatmap in Figure 2b implies that compensation is allocated  
 329 during years characterised by more pronounced crop damage, aligning with our expectations  
 330 and providing reassurance. This finding is corroborated by farmers' reports of significant crop  
 331 damage during droughts, as reported in section 4.2 below (Figure 4b). However, discerning  
 332 the proportion of this compensation allocated to farmers is not possible due to the dataset's  
 333 amalgamation of both farmers' compensation and expenses for post-disaster infrastructure  
 334 restoration, with no available breakdown.

## 335 4. Farmers' perceptions of government drought compensation: 336 Survey and interview analysis

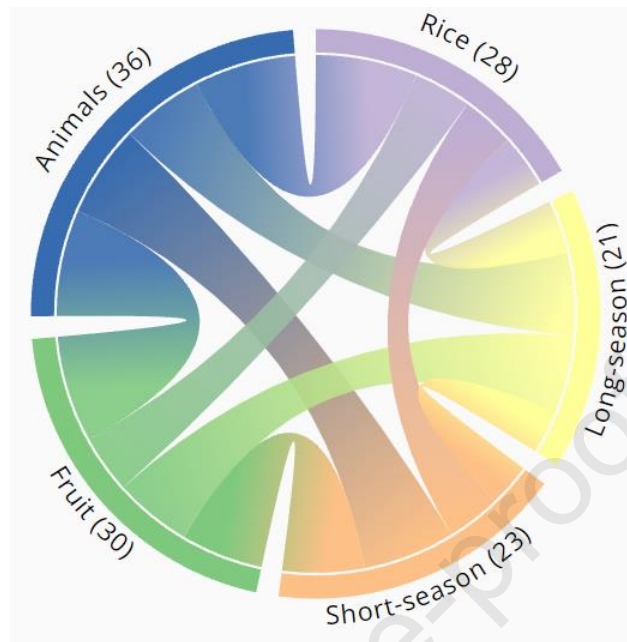
### 337 4.1. Farmer and farm characteristics

338 From the 48 participants (18 from Chiang Mai and 30 from Tak province), there were slightly  
 339 more female respondents (58%). The majority were aged between 40 and 59 years (71%),  
 340 followed by respondents between 60 and 80 years (23%), with 6% in the younger age group  
 341 of between 20 and 39 years of age. The majority of respondents had completed the highest  
 342 level of primary school (71%), while 15% had completed secondary and 10% completed high  
 343 school. A small proportion of the respondents indicated having no schooling (4.0%). On  
 344 average, the respondents reported having around 27.7 years of farming experience. Most  
 345 farmers (87.5%) stated that their household earned less than 120,000 THB (£2,600) per year  
 346 (46% earned less than 32,000 THB (£700) per year).

347 The average farm size was 25 rai (4 ha) and three-quarters of the farmers owned all of their  
 348 land (all but one farmer owned at least some part of their land). All of the farmers were  
 349 registered with the Ministry of Agriculture and Cooperatives. None of the farmers said that  
 350 their farm was located within a government irrigation zone and the most common source of  
 351 water was rain (rain-fed 77%) supported by farm ponds and water tanks. Active irrigation (i.e.  
 352 not simply rain-fed) was practised by 56% of farmers and their method was surface or flood  
 353 irrigation.

354 Farmers responding to the survey had a mix of production types, with the main inter-  
 355 relationships illustrated in Figure 3. Farms mostly had: Animals (n = 36, including cows, pigs,  
 356 chickens and fish), Fruit (n = 30, mostly mango and longan), rice (n = 28), short-season crops

357 (n = 23, mostly maize), long-season crops (n = 21, mostly cassava but also tea). Some farmers  
 358 also produced vegetables (n = 8) and pastures (n = 5). Farmers on average had 3.5 different  
 359 production types (with livestock, poultry and fish counted as separate production categories).



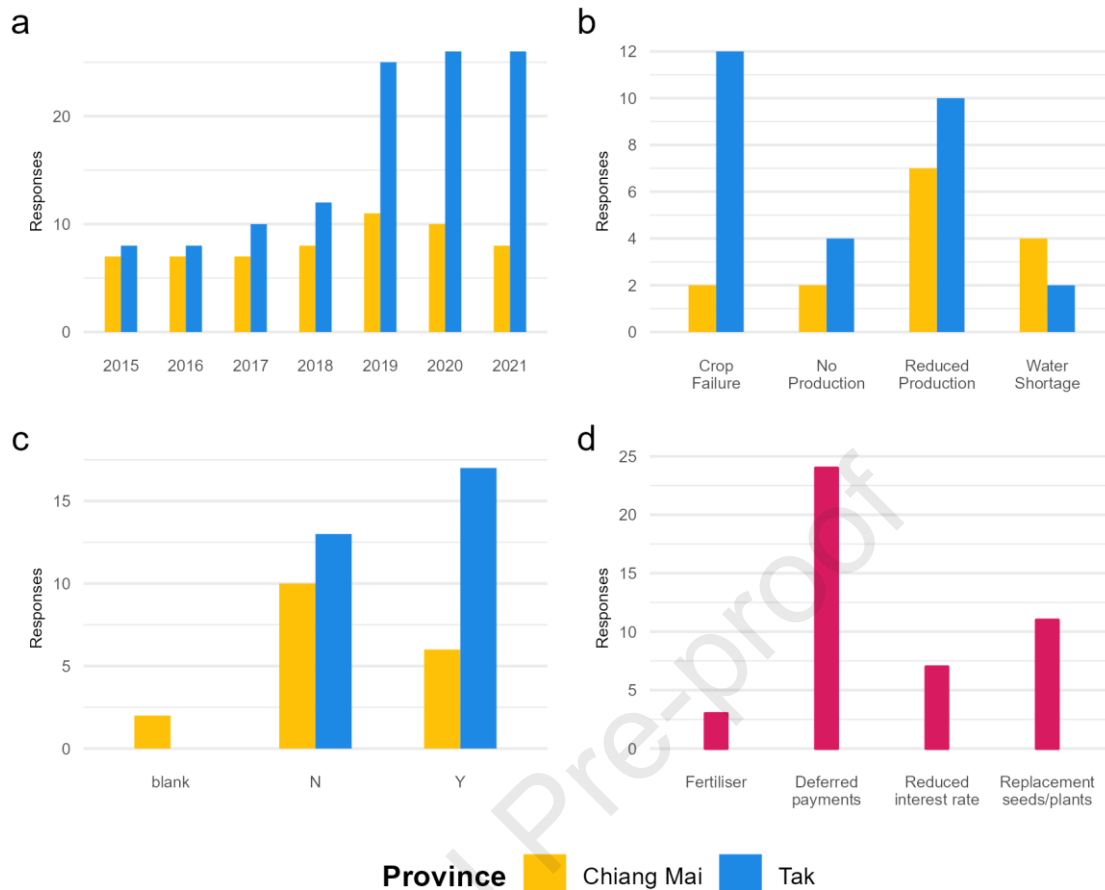
360

361 **Figure 3:** Chord diagram illustrating the inter-relating main production types of the surveyed  
 362 farmers

#### 363 4.2. Drought impacts in the past

364 The farmers were asked about the drought impacts on their farms in the past. Nearly 32% of  
 365 the farmers surveyed (n = 15) said their farm was exposed to droughts every year since 2015.  
 366 The impact of drought was more pronounced in recent years and 75% of farmers reported  
 367 experiencing drought during the years 2019-2021 (Figure 4a).

368 The impacts of drought in the past indicated by the farmers (Figure 4b) included: crop damage  
 369 or failure (crops such as longan, cassava, maize, rice were damaged or died), water shortage  
 370 (not enough water, no water for longan, no water for cows, tank water brought to the village,  
 371 had to carry water), less production (price drop linked to poorer quality of the product, loss of  
 372 profit, nothing to feed cows, mango flowers not blooming, production affected by insects, had  
 373 to buy rice for household consumption) and no production (nothing to sell, land can't grow  
 374 anything). The majority of farmers in Tak reported crop failure as the main drought impact,  
 375 followed by less production, no production and water shortage. In Chiang Mai, 44% of farmers  
 376 reported less production and 22% reported water shortage as their major drought impacts.



377

378 **Figure 4:** Survey responses regarding (a) Number of farmers that identify each year as a  
 379 drought year; (b) The reported drought impacts; (c) Farmers' awareness on the Government's  
 380 current drought compensation scheme (N=not aware, Y=aware); and (d) Other forms of  
 381 government support that the farmers received

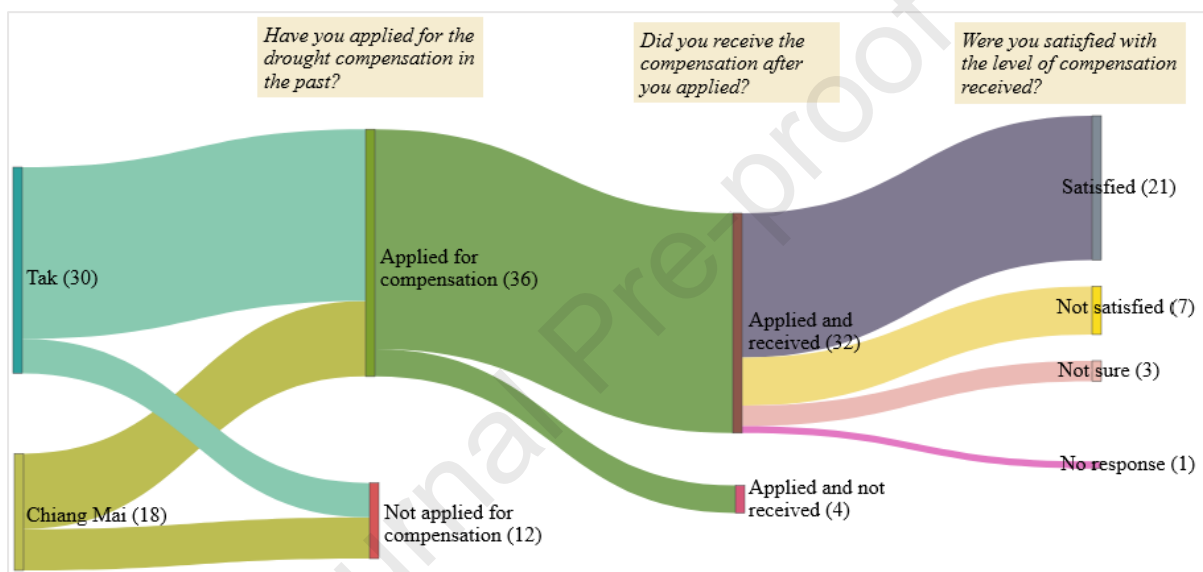
### 382 4.3. Experiences and perceptions of compensation

383 Nearly half of the farmers surveyed (48%, n = 23) said they were aware of the government's  
 384 current drought compensation scheme (Figure 4c). Notably, a higher proportion of farmers in  
 385 Tak province were aware of the scheme compared to those in Chiang Mai. This disparity may  
 386 be attributed to Tak province's suffering more impacts from recent droughts, resulting in  
 387 farmers having greater exposure to and familiarity with the compensation scheme. Of those  
 388 farmers aware of the scheme, 17 said they were aware of the criteria for getting compensation.  
 389 For farmers, the commonly understood rules for receiving compensation were being  
 390 registered (with district agricultural office, and having a BAAC account), growing rice, and  
 391 experiencing 100% damage. Although some farmers thought that compensation was only  
 392 available for rice, other farmers reported being compensated for maize, cassava, longan and  
 393 livestock losses (Figure S2). Farmers reported compensation levels of between 500-1,000  
 394 THB (£11-22) and generally thought compensation was available for a maximum of 10 rai or  
 395 1.6 ha (one farmer say 20 rai or 3.2 ha). However, government officials reported higher levels  
 396 of compensation, "rice damage will get about 1,200 THB (£26) per rai", possibly available for  
 397 up to 30 rai (4.8 ha), with the money being transferred to BAAC accounts. Government officials  
 398 confirmed that damage needed to be 100% and some officials stated the need for an official  
 399 drought declaration to be made. Government officials recognised limitations to the current  
 400 compensation scheme, including: not all farmers were registered; the need for 100% damage

401 means that substantial levels of damage were not compensated; and the amount not being  
 402 enough to compensate losses.

403 Two-thirds of the farmers ( $n = 32$ ) had applied for and received compensation payments in the  
 404 past (Figure 5). Four farmers from Tak had applied in the past but didn't receive compensation,  
 405 as they didn't meet the criteria. The remaining farmers ( $n = 12$ ) had not applied for  
 406 compensation. Other forms of support that farmers received included debt repayment delays,  
 407 reduced interest rates, free seeds and seedlings, and free fertiliser (Figure 4d). Other farmers  
 408 mentioned support with digging a pond, acquiring a community rice mill, and dredging the  
 409 river. During previous years of drought, just under half (47%) of the surveyed farmers had  
 410 received information about drought compensation from an agricultural district officer (Figure  
 411 S3 of supplementary material). Of the farmers that received compensation, 66% ( $n = 21$ ) said  
 412 they were satisfied with the level of compensation they received.

413



414

415 **Figure 5:** Farmers' experience of drought compensation in the past (values in brackets  
 416 indicates number of responses)

417 We explored interactions of 'demographic and farm variables' and 'received compensation in  
 418 the past' using logistic regression model (Table S1 of supplementary material). For this  
 419 analysis, we used data from this study along with survey data from a related survey completed  
 420 in January 2020 [31], which surveyed farmers across the Ping catchment (from four provinces:  
 421 Tak, Chiang Mai, Lamphun and Kamphaeng Phet). The two datasets combined gave a sample  
 422 size of 221 responses. Using this model, receiving compensation was predicted by being a  
 423 rice farmer and being from Tak province. Neither age nor education predicted the receipt of  
 424 compensation. However, being a farmer with lower income predicted the receipt of  
 425 compensation.

426 There were some differences in the perceptions of compensation across the two provinces.  
 427 Farmers from Tak were more likely to say that compensation was enough to cover their losses  
 428 (although average fell towards 'somewhat disagree'). Farmers from Chiang Mai were more  
 429 likely to feel that the compensation helps with drought resilience and with reducing worries  
 430 about drought impacts (Table 3). While these differences were detected, we note that the  
 431 analysis was limited by the small sample size and the sensitivity of the statistic tests based on  
 432 the characteristics of the data.

433 **Table 3:** *Perceptions of government compensation*

	Mean response value*		Comparison of means	
	Chiang Mai	Tak	t-test	Sig(p)
The compensation is enough to cover the financial losses from the drought	2.28	2.73	2.139	0.038
The compensation arrives early enough	2.78	3.07	1.111	0.272
The compensation system is equally fair to all farmers	3.22	3.37	0.533	0.597
The compensation scheme helps farmers like me become more resilient to droughts	4.06	3.30	-3.075	0.004
Government compensation reduces my worries about drought impact	4.00	3.30	-2.802	0.007

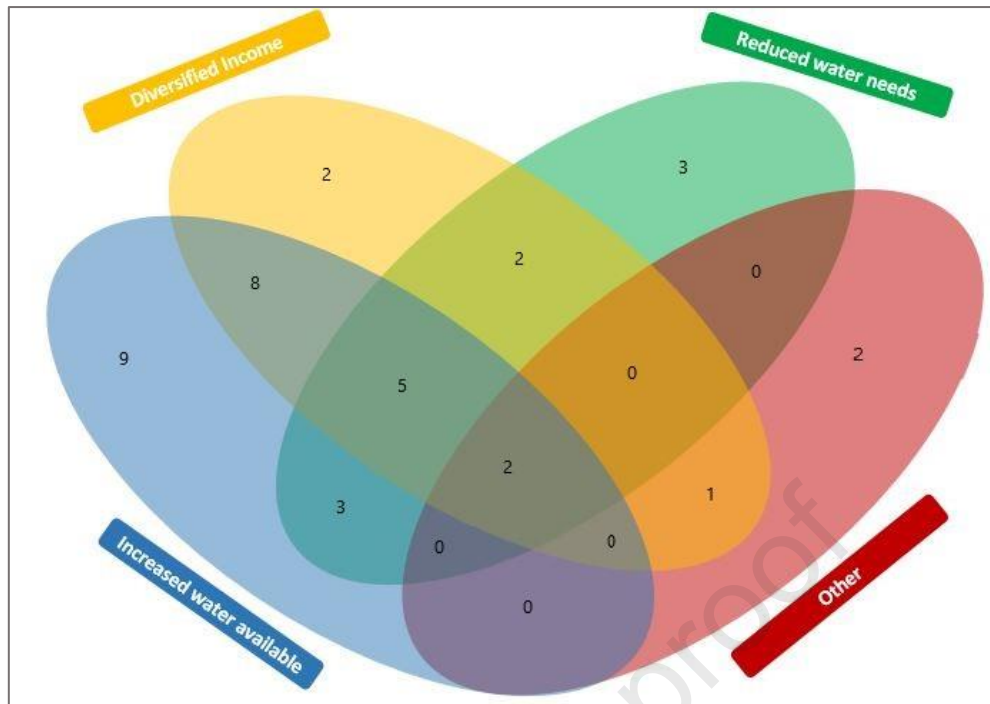
434 \*1=strongly disagree, 3 = neither agree nor disagree, 5 = strongly agree.  
 435

436 The actual government drought compensation amounts given out in both provinces can be  
 437 seen in Figure S4 in the supplementary information.

#### 438 4.4. Farmers' perceptions on drought resilience using drought compensation

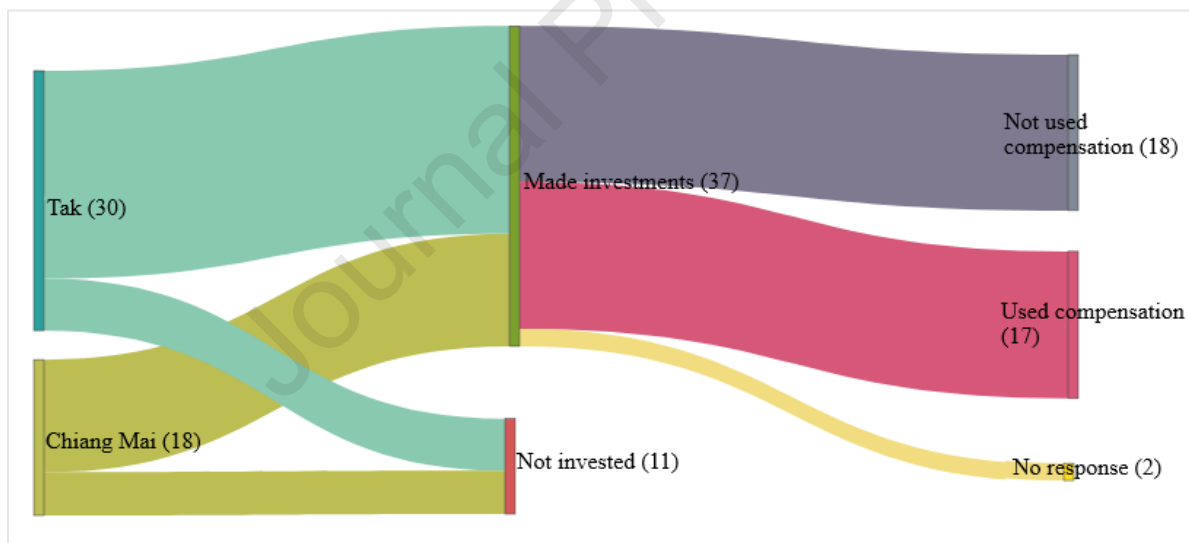
439 Around 77% farmers (n = 37) reported that they have made investments in their household  
 440 farm to increase their resilience to future droughts. However, 11 farmers had not made any  
 441 investments. It is stated that, after the last drought, the investments were mostly made related  
 442 to increasing the availability of water (n = 27, e.g., digging wells and borehole, constructing  
 443 microdams), income diversification (n=20; e.g., livestock, other agricultural activities, non-  
 444 agricultural activities) and reducing the water needs in the farm (n=15; e.g., changing crops,  
 445 cultivation periods, crop varieties, reducing irrigation amounts, changing irrigation system)  
 446 (Figure 6). About 43% of the farmers made a single type of investment whereas the majority  
 447 (57%) have made more than one type of investment. About 38% of respondents invested in  
 448 at least two types of investments, whereas 18% invested in more than two types.

449 Out of 37 farmers who made investments for future drought resilience, 17 of them used the  
 450 drought compensation received to fund investments in their household farm, whereas 18  
 451 famers used some other sources to make investments (Figure 7).



452

453 **Figure 6:** Number of respondents for each type of investments in their household for future  
 454 drought resilience (values indicate the total number of responses (both Chiang Mai and Tak))



455

456 **Figure 7:** Farmers' experience of using compensation amount for future drought resilience  
 457 (values indicate the total number of responses from both Chiang Mai and Tak)

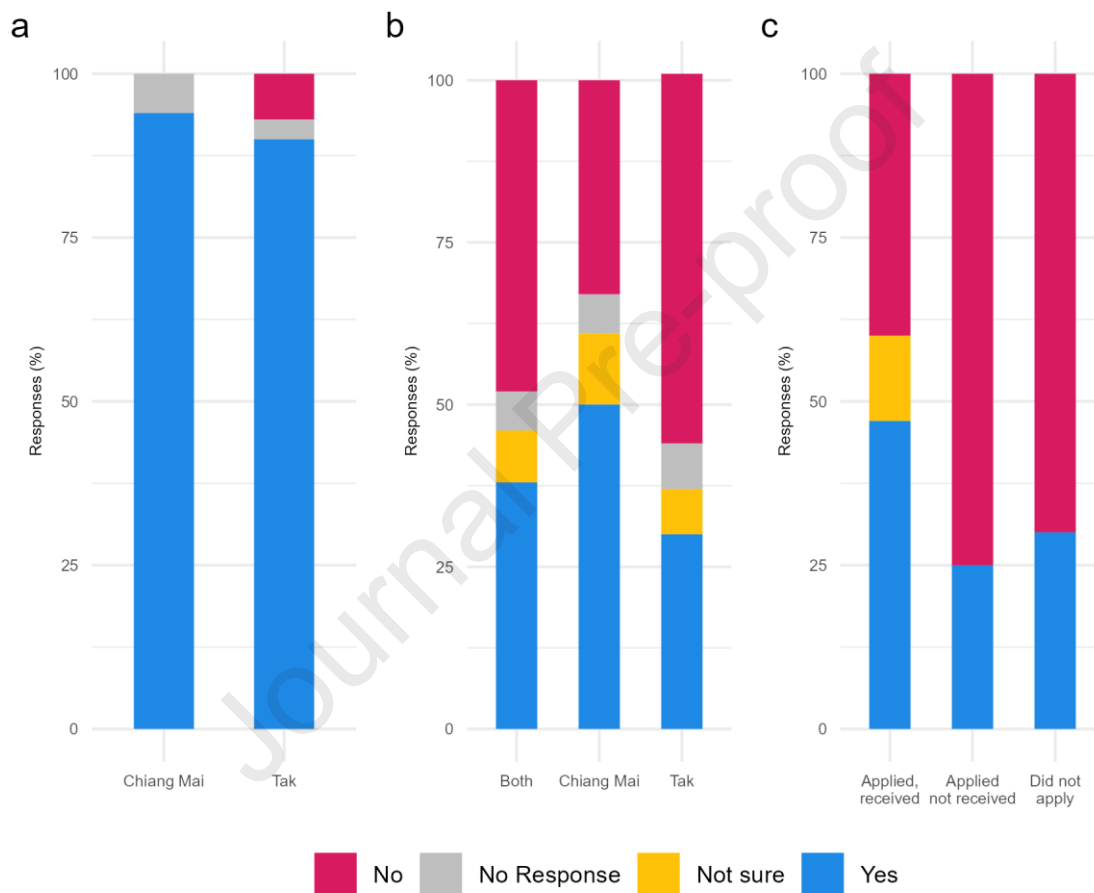
458 The farmers were asked their views on using compensation amount for drought resilience in  
 459 future. Around 90% of the farmers from Tak and 94% farmers from Chiang Mai are planning  
 460 to use compensations in future to increase drought resilience. Some of the farmers didn't  
 461 respond to the question. Only a few farmers (7%) in Tak province clearly answered that they  
 462 are not going to use the future compensation amount for drought resilience (Figure 8a).

463 The survey also asked farmers if they thought their farm would benefit from being more  
 464 resilient to drought in the future and nearly half of the farmers said no (48%, Figure 8b). More  
 465 farmers from Tak received compensation (the sample was also bigger and proportionally there  
 466 was little difference to Chiang Mai), but farmers from Tak were less likely to perceive benefits



467 to being resilient compared to farmers from Chiang Mai. Most farmers in Chiang Mai who  
 468 received compensation also perceived benefits to being more resilient (n = 7, 64% of those  
 469 that received compensation perceived benefits to being more resilient).

470 Those who received compensation were more likely to say there were benefits to being  
 471 resilient to drought (47%), compared to 30% who said there were benefits but didn't receive  
 472 compensation (Figure 8c). Rice farmers were more likely to say they had made investments  
 473 to increase resilience after the last drought compared to farmers not cultivating rice ( $\chi^2(1) =$   
 474  $4.172, p = 0.041$ ). This factor could, to some extent, help explain why many farmers did not  
 475 see any benefit to becoming more resilient – that is, they had already made investments to  
 476 increase their resilience.



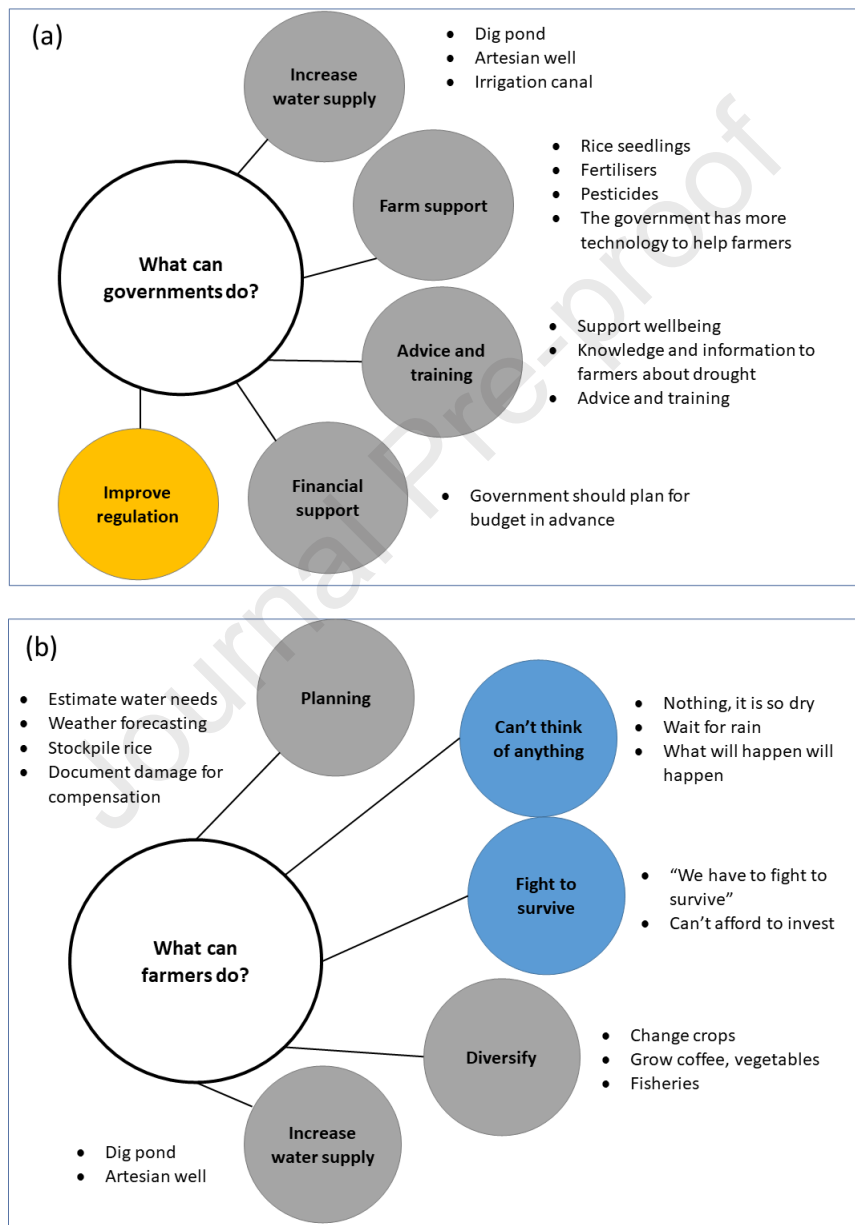
477

478 **Figure 8:** Survey responses regarding famers' perception on drought resilience, more  
 479 specifically (a) Farmers' perception on drought compensation for future drought resilience, (b)  
 480 Perceived benefit to being more resilient to drought in the future summarised by province, (c)  
 481 Perceived benefit to being more resilient to drought in the future depending on whether the  
 482 respondent had received compensation.

483 Farmers and government officials were asked what they thought governments could do to  
 484 support farmers to be more resilient to drought. These qualitative responses were thematically  
 485 coded and are summarised in Figure 9a. The main themes were suggested by both farmers  
 486 and government officials and related to increasing water supply, other practical forms of  
 487 support for farms (such as providing seedlings, fertiliser or technology), providing advice and  
 488 training, and financial support. Government officials were concerned about the availability of  
 489 budgets and saw limited budgets as constraining effective drought responses. Improving

490 regulations was also suggested by government officials, who suggested that “*the supporting*  
491 *laws and regulations has many limitations*”.

492 Stakeholders were also asked what they thought farmers could do to be more resilient to  
493 drought (thematically coded responses summarised in Figure 9b). Both farmers and  
494 government officials thought farmers could be better prepared, should diversify their crops and  
495 incomes and should look for ways to increase their water supply. Some farmers couldn’t think  
496 of anything and others felt that they just had to “*fight to survive*”. Thus, these themes highlight  
497 the link between financial barriers and planning for drought, such that although farmers  
498 recognise they need to act, they do not have the resources to carry out their desired actions.



499

500 **Figure 9:** Thematic responses to (a) what governments could do to increase resilience, and  
501 (b) what farmers could do to increase resilience. Blue= farmer response, yellow = response  
502 from government officials, grey = response from both farmers and government officials.

## 503 5. Discussion

### 504 5.1. Farmers' awareness, perception and experience of compensation

505 The study finds that only about half of the surveyed farmers were aware of the compensation  
 506 scheme which highlights the potential limitations in current government outreach and  
 507 communication strategies. This aligns with findings from Goodwin et al. [8], who, in their study  
 508 on agricultural drought adaptation in Northern Thailand, emphasised the positive association  
 509 between the perceived efficacy of communications and farmers' adaptations. Strengthening  
 510 information networks, as suggested by Goodwin et al. [8], could be pivotal in enhancing  
 511 awareness and understanding of compensation schemes, especially among less networked  
 512 farmers. By drawing parallels with the importance of effective communication in adaptive  
 513 capacity, our study emphasises the need for improved outreach strategies to ensure that  
 514 compensation information reaches a larger proportion of the farming population.

515 A significant proportion of farmers did not apply for compensation, partly from lack of  
 516 awareness, but also potentially due to other barriers including complex application  
 517 procedures, lack of understanding of eligibility criteria, or doubts about the likelihood of  
 518 approval. Among those who applied for compensation, the study found varying levels of  
 519 satisfaction. Some farmers were content with the compensation they received, while others  
 520 expressed dissatisfaction. The dissatisfaction could stem from discrepancies between their  
 521 expectations and the actual compensation received. Some of these disparities could be  
 522 attributed to the compensation criteria, such as the need for 100% damage, which might not  
 523 align with the actual losses incurred during droughts. Expectations may be influenced by the  
 524 perceived extent of damage and the effectiveness of compensation in covering their losses.  
 525 The Organisation for Economic Co-operation and Development (OECD) shed light on certain  
 526 deficiencies in the Thai National Rice Insurance Scheme [38]. This included the scheme's  
 527 dependence on the government's declaration of a disaster for the entire area which resulted  
 528 in some farmers not receiving pay-outs despite suffering losses, while others received  
 529 payments they did not necessarily need. This might contribute partly to the dissatisfaction  
 530 reported by some farmers in our survey.

### 531 5.2. Effectiveness of compensation policies and drought resilience strategies

532 The study highlights some discrepancies between farmers' perceived needs and the  
 533 provisions made by existing compensation policies:

534 - *Eligible crops*: most compensations focus on rice. However, farmers reported crop failure in  
 535 crops such as longan, cassava, maize, and others. As a result, farmers who suffered losses  
 536 in non-traditional crops can be left without support. This might also discourage diversification  
 537 of crops.

538 - *Compensation amounts*: The study indicates that compensation amounts may not align with  
 539 the actual losses incurred by farmers. Some farmers reported that the free seeds/seedlings  
 540 and fertilisers distributed by the government did not equal to the amount lost from their  
 541 damaged crops.

542 - *Conditions required for compensation*: criteria such as the need for 100% damage or drought  
 543 officially declared for a whole region, means that some farmers who have suffered loss are  
 544 not eligible for compensation. The need for 100% damage as a prerequisite for compensation  
 545 introduces challenges to the seamless integration of remote sensing and other indirect  
 546 assessments into drought management and related financial instruments. Specifically, this  
 547 stringent criterion complicates the adoption of technologies like remote sensing, which excel  
 548 at identifying variations in crop health across landscapes but may struggle to discern whether  
 549 an individual farm has suffered complete devastation.

550 However, despite these discrepancies, the complementary nature of our analyses becomes  
551 clear. The correlation analysis between drought severity (as estimated through drought  
552 indicators) and compensation levels suggests that, in general, compensation tends to increase  
553 during years of severe crop damage caused by drought, especially in the NE and E regions  
554 (section 3). This aligns with findings from Tanguy et al. [11], who showed that drought  
555 indicators such as VCI were highly correlated to crop yields, indicating that compensations are  
556 more likely to occur when the damage is extensive. On the other hand, our survey findings  
557 provide valuable insights into the subjective experience of farmers, offering a human-centred  
558 perspective on how compensation is perceived, applied for, and distributed. This combination  
559 of the objective, data-driven insights from the correlation analysis and the subjective insights  
560 from farmers' personal experiences underscores the complex nature of drought  
561 compensation. In line with this, Thavorntam et al. [39] found a strong link between farmers'  
562 self-reported life satisfaction and drought indicators (including VCI used as proxy for drought  
563 severity) in drought-prone areas of NE Thailand, suggesting that help also goes to those  
564 struggling the most.

565 To the best of our knowledge, no previous study in the region has provided such a  
566 comprehensive analysis that integrates both quantitative data and farmers' qualitative  
567 experiences on government support for drought, effectively bridging the gap between official  
568 drought monitoring systems and their real-world impacts. This unique approach offers  
569 unprecedented insights into farmers' experiences, revealing both the strengths and  
570 weaknesses of the current drought compensation scheme and providing valuable guidance  
571 for the enhancement of future drought compensation policies.

572 A number of studies have documented advantages and disadvantages to ex post drought  
573 compensation, whereby we refer to compensation to typically signify government payments to  
574 farmers for losses caused by drought (or other climate related events). Post event  
575 compensation can also extend to emergency investments in critical infrastructure damaged  
576 by the event or subsidies that help farmers to recover some of their losses. Drawbacks to  
577 centrally funded state compensation include constrained government budgets where funds  
578 may be diverted away from other resilience building contingencies like ongoing maintenance  
579 and repair of irrigation infrastructure or that eligibility or administration factors lead to low and  
580 unequal scheme uptake where few farmers benefit [19].

581 The fully subsidised Thai National Rice Insurance Scheme, launched in 2014, significantly  
582 increased the number of covered farmers by overcoming low willingness to pay for insurance,  
583 however, it showed limitations in raising awareness and incentivising risk reduction [38]. The  
584 transactional passivity of farmers resulted in limited transfer of risk information. The one-size-  
585 fits-all approach of offering payments to farms of all sizes makes the scheme financially  
586 burdensome, particularly for larger farms that could afford alternative risk management  
587 strategies.

588 While there may be some benefits to governments providing ex post crisis management  
589 support funds, such stimulus is unlikely to facilitate longer-term resilience particularly if farmers  
590 become dependent on compensation or where prioritising short-term needs does not facilitate  
591 the building of capacity to adapt away from existing vulnerabilities [19].

592 Despite these limitations in compensation mechanisms, a large proportion of surveyed  
593 farmers (77%) have reported the adoption of several strategies to increase their resilience to  
594 droughts, including (i) increasing water availability (wells, boreholes, microdams); (ii) reducing  
595 water needs (altering crop varieties, shifting cultivation periods, more efficient irrigation) and  
596 (iii) income diversification (livestock farming, non-agricultural activities).

597 This aligns with the conclusions of earlier studies in the region, such as those by Wai [40],  
598 Pak-Uthai and Faysse [41], and Sedtha et al. [16]. These studies report that farmers in  
599 Thailand recognise the impact of climate change and have adopted diverse measures to adapt  
600 to these evolving conditions. Liao et al. [42] found that farmers in Thailand identified increasing  
601 droughts as one of the major perceived environmental changes.

602 These investments not only serve as immediate measures to combat the impacts of drought  
603 but also play a crucial role in achieving long-term agricultural sustainability. They contribute to  
604 resource preservation (efficient water resource management), economic stability (diversified  
605 income make farms more resilient to various shocks), climate adaptation (farms able to cope  
606 to increasingly unpredictable weather extremes) and sustainable agriculture (resource  
607 efficient practices). However, a large proportion of respondents did not know what to do  
608 increase their resilience to drought (Figure 9b), highlighting the crucial role of educating and  
609 disseminating knowledge.

610 The proactive adoption of strategies to increase resilience for many of the surveyed farmers  
611 constitutes an interesting contrast to responses reported by Holman et al. [43] for temperate  
612 agriculture, where drought responses were dominated by reactive and crisis-driven actions to  
613 cope with, or enhance the recovery from, drought; but which contributed little to increased  
614 resilience to future droughts. These divergent behaviours in different parts of the world could  
615 be attributed to a combination of multiple contextual, environmental, and socio-economic  
616 factors (e.g., climatic differences with Thailand being more prone to droughts, risk perceptions,  
617 government policies). This highlights the importance of tailoring interventions regionally, as  
618 effective strategies for enhancing resilience to drought must consider the unique interplay of  
619 socio-economic, cultural, and climatic circumstances that shape agricultural practices in  
620 diverse environments.

### 621 5.3. Implication for policy

622 The results from our survey suggest that there are a series of potential policy adjustments that  
623 could be worth exploring.

624 These include:

625 - *Enhance compensation scheme awareness and clarity.* Less than half of the surveyed  
626 farmers had received information about drought compensation from an agricultural district  
627 officer in previous years, highlighting the need to improve outreach programs to enhance  
628 farmers' awareness of existing schemes. Goodwin et al. [8] identified a catchment-scale  
629 advice network as an efficient means to improve knowledge exchange.

630 - *Revise compensation criteria and amounts* so that the help is more flexible, more consistent  
631 and fairer. This could involve evaluating the feasibility of adjusting damage thresholds or  
632 exploring a tiered compensation system that accounts for varying degrees of loss. Ensuring  
633 that compensation amounts align with the actual impact of drought on different crops and  
634 farming practices could contribute to a fairer and more effective scheme. Additionally, this  
635 flexibility can facilitate the integration of novel technologies like remote sensing into the  
636 framework of drought management and compensation instruments, thereby advancing the  
637 overall efficacy of the system.

638 - *Support drought-resilient farming practices.* Need for training and knowledge exchange is  
639 evidenced by the response of nearly half of the respondents who didn't know what to do in  
640 situation of droughts (Figure 9b).

641 Where there are discrepancies between government officials and farmers, we suggest  
642 opportunities to improve drought management advice and governance networks [8]. Bringing

643 together the views of farmers with their local knowledge and experience with the overarching  
644 strategic ambitions of institutions can help to facilitate shared learning and to devise policy  
645 interventions to support more resilient agricultural systems [8]. OECD [38] also reports that  
646 farmer awareness remains low due to limited technical assistance on risk management. Thai  
647 extension services provide farmers with information on select practices that reduce risk, but  
648 these focus primarily on helping farmers reduce their costs of production in an effort to boost  
649 productivity. Such advice could include measures that reduce vulnerability (e.g. promoting  
650 efficient water use in drought-prone areas).

651 - *Tailored Regional Approaches*: The disaster Prevention and Mitigation Act (2007) authorises  
652 local government to co-ordinate local Disaster Risk Management (DRM) activities. However,  
653 in practice, the line departments at the provincial level are often confined to their silos and  
654 cross-sectoral co-ordination is less than optimal. Most decisions on national and even local  
655 DRM requiring interministerial collaboration are sent back to the Ministry of the Interior or the  
656 Prime Minister's Office [38]. To enhance the effectiveness of regional approaches, fostering a  
657 culture of interdepartmental collaboration and empowering local authorities with decision-  
658 making autonomy could be essential steps forward. This may require fundamental changes  
659 involving revisiting bureaucratic structures, streamlining communication channels, and  
660 promoting a more integrated and collaborative approach to disaster management at both  
661 regional and national levels.

662 - *Support investment in infrastructure (see Figure 9b) and long-term planning/adaptation*.  
663 Planning large infrastructure projects needs increased stakeholder consultations and risk  
664 analysis. Potential irrigated area accounts for 20% of total agricultural land in Thailand.  
665 However, only 1/3 of that area is effectively irrigated. For example, for the country to cope with  
666 the 2016-17 drought season, the government has estimated that an additional 17,661 cubic  
667 meters of reservoir water was needed, of which 54% would go to agricultural irrigation [38].  
668 The Strategic Plan on Thailand's Water Resources Management (The Policy Committee for  
669 Water Resources Management, 2015) is addressing this shortfall through its key targets which  
670 are: to increase the efficient water management of the 5 million ha in existing irrigation areas,  
671 to increase the efficiency of existing water-resource projects by at least 10% for existing  
672 irrigation areas, and to develop new water-resource projects to achieve a volume of 9500  
673 million m<sup>3</sup> and thereby increase irrigation to cover 1.4 million ha in 25 river basins [12].

674 - *Regular feedback and evaluation mechanisms*: to monitor the effectiveness of compensation  
675 schemes and to incorporate farmers' perspectives in policy development and improvement.  
676 OECD [38] highlights the importance of taking into account indigenous knowledge to DRM  
677 policies to foster the participation and leadership of local communities and their members in  
678 Disaster Risk Reduction (DRR) activities, and also to improve knowledge transfer efficiency  
679 and feedback mechanisms.

680 Longer-term planning to build resilience and adaptive capacity may be a preferable response  
681 than ex post crisis management support funds, albeit one requiring more intensive and  
682 extensive planning and coordination. However, the main limitation for the adoption of these  
683 suggested policy adjustments is the financial burden for the government. The availability of  
684 funds, competing with other spending priorities, is needed for sustaining an efficient scheme.  
685 Nonetheless, there are many low-regret interventions that integrate existing community  
686 adaptive practices, engage with farmers' needs and prioritise extension support which may  
687 encourage more desirable counteractions to drought [19].

#### 688 5.4. Limitations of the study

689 A key limitation of this study is the relatively small sample size of 48 participants. While the  
690 responses provide valuable insights into stakeholder perceptions of drought resilience and  
691 government compensation, the limited number of participants restricts the generalisability of  
692 the findings. A larger sample size could have captured a broader spectrum of views, potentially  
693 uncovering regional or demographic variations in perceptions. For instance, expanding the  
694 sample could have provided a more nuanced understanding of how factors such as farming  
695 practices, access to water resources, or reliance on compensation programs differ among  
696 distinct stakeholder groups.

697 Moreover, the small sample size amplifies the risk of statistical outliers disproportionately  
698 influencing the results [44]. While we applied appropriate methods to analyse and interpret the  
699 data, the inherent limitations of such a small dataset mean that caution is required when  
700 extrapolating these findings to a wider population. This highlights the importance of larger,  
701 longitudinal surveys in future research to improve representativeness and statistical reliability  
702 [45].

703 In addition to the sample size, potential biases arising from the survey methodology merit  
704 attention. Self-reporting, for example, may lead to overestimation or underestimation of certain  
705 experiences or attitudes, as participants may respond in ways they perceive to be socially  
706 desirable or aligned with expected outcomes [46]. Selection bias is another concern [47], as  
707 the participants may not fully represent the diversity of stakeholders in the Ping catchment  
708 area. Factors such as ease of access to respondents, willingness to participate, and familiarity  
709 with government compensation programs may have influenced the composition of the sample.

710 Despite its limitations, the use of small sample sizes in exploratory studies such as this is not  
711 uncommon and has been shown to provide meaningful insights, particularly in contexts where  
712 data collection is constrained by logistical or resource limitations. For instance, studies on  
713 disaster resilience and farmers' perceptions on disaster management often rely on small,  
714 targeted samples to explore stakeholder perceptions in depth (e.g. Wandera et al., [48], Hoque  
715 [49]; Theron et al. [50]). These approaches allow for a focused investigation into specific  
716 phenomena that might otherwise remain underexplored. Additionally, small-scale surveys can  
717 effectively highlight patterns and generate hypotheses for further, larger-scale research. In the  
718 absence of broader datasets, such studies are invaluable for initiating dialogue and shaping  
719 preliminary policy recommendations. Hubbard [51] introduces the principles of the "Rule of  
720 Five" and the "Mystery of the Urn", based on statistical theory, demonstrating how even small  
721 samples can yield statistically meaningful insights about a population. Similarly, Patton [52],  
722 Gelman and Hill [53], and King et al. [54] underscore the ability of small datasets to uncover  
723 key trends, test hypotheses, and provide probabilistic insights in both qualitative and  
724 quantitative research. These perspectives underscore that while larger samples may enhance  
725 generalisability, well-designed small-scale studies remain invaluable in exploring complex  
726 socio-environmental phenomena, where logistical challenges often necessitate reliance on  
727 small, targeted samples.

728 To mitigate the biases deriving from small sample size in future studies, incorporating mixed  
729 methods approaches – such as complementing survey data with focus groups or in-depth  
730 interviews – can provide richer, more context-specific insights. Additionally, employing  
731 stratified sampling techniques [55] to ensure proportional representation across regions,  
732 genders, and socio-economic groups could enhance the inclusivity and reliability of findings.  
733 Finally, using alternative data sources, such as anonymised administrative records, could help  
734 validate or triangulate self-reported perceptions, reducing reliance on subjective responses.

735 In addition, only 10 years of data were available for the correlation analysis, which is very short  
736 to infer any robust relationship. Despite this temporal constraint, the decade under  
737 consideration was marked by notable drought events (2010, 2015-2016, and 2018-2020).  
738 Consequently, while acknowledging the brevity of the dataset, we believe that the results  
739 retain value as a general indication of the existing relationship between drought severity and  
740 government compensation.

741 Finally, while the correlation analysis in this study focuses on the Vegetation Condition Index  
742 (VCI) and Drought Severity Index (DSI) as drought indicators, we acknowledge that soil  
743 moisture is a critical factor in assessing agricultural drought and its impacts. Soil moisture-  
744 based indices, which provide direct insights into water availability for crops, were not included  
745 in our analysis due to limitations in data resolution and availability. Future research should  
746 consider incorporating soil moisture indices, particularly those derived from high-resolution  
747 remote sensing or reanalysis datasets, to enhance the accuracy of drought impact  
748 assessments.

## 749 6. Conclusion

750 Thailand has been experiencing an increase in frequency and severity of droughts over the  
751 recent years. This study explores drought compensation dynamics in Thailand, particularly  
752 within the context of farmers' experiences and perceptions of governmental policies, and  
753 sheds light on the complex interplay between budget allocation and farmers' satisfaction. The  
754 correlation analysis between drought severity and drought compensation provides nuanced  
755 insights, indicating that compensation distribution often aligns with the severity of impact on  
756 crop. In contrast, the farmer survey offers a deeper understanding of the subjective  
757 experiences of those affected, revealing significant gaps in awareness and access to  
758 compensation. Together, these two analyses provide a more holistic view of the challenges in  
759 drought compensation, with the correlation analysis offering objective, data-driven insights  
760 and the survey capturing the human, on-the-ground perspective of farmers. The study  
761 uncovers a significant gap in farmers' awareness and application for compensation,  
762 highlighting potential barriers such as insufficient outreach and understanding of eligibility  
763 criteria.

764 The varying levels of satisfaction among those who did apply underscore the need for a more  
765 transparent and adaptable compensation framework. Discrepancies in eligible crops,  
766 compensation amounts, and stringent conditions reveal challenges in the current policy  
767 landscape. Despite these, positive correlations between drought severity and compensations,  
768 especially in the Northeast and East regions, suggest that compensation tends to increase  
769 during severe drought years, aligning with the struggle of those most affected. By integrating  
770 both the correlation analysis and farmers' feedback, we gain a more comprehensive  
771 understanding of how the compensation system is perceived and how it operates in practice,  
772 revealing areas for improvement.

773 To increase their resilience, farmers employ diverse strategies to mitigate drought impacts, as  
774 evidenced by practices such as increasing water availability, reducing water needs, and  
775 income diversification. This aligns with broader regional studies indicating a proactive  
776 response to climate change. Such strategies not only address immediate challenges but  
777 contribute to long-term agricultural sustainability.

778 Implications for policy include the necessity for tailored regional approaches, improved  
779 awareness campaigns, and a reconsideration of compensation criteria to better meet the  
780 evolving needs of farmers. A multi-faceted approach, including infrastructure investment and  
781 long-term planning, emerges as crucial for bolstering agricultural resilience. Regular feedback



782 mechanisms and evaluations are recommended to refine policies continually and ensure their  
783 efficacy in addressing farmers' evolving needs.

784 While the study provides valuable insights, acknowledging the limitations of a small sample  
785 size and potential biases in data collection methods is crucial. Future research endeavours  
786 might consider expanding the scope for a more robust understanding. Overall, this study  
787 contributes to the ongoing discourse on effective drought compensation policies, emphasising  
788 the need for adaptive and farmer-centric approaches in the face of evolving climatic  
789 challenges.

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798 During the preparation of this work the authors used ChatGPT with the exclusive aim of  
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## 801 Authors' contribution

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**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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