ORIGINAL PAPER

A new framework on climate‑induced food‑security risk for small‑scale fishing communities in Tanzania

Lara Paige Brodie1 [·](http://orcid.org/0000-0002-2825-1288) Smit Vasquez Caballero1,2 [·](http://orcid.org/0000-0001-5111-2086) Elena Ojea[1](http://orcid.org/0000-0003-4991-8077) · Sarah F. W. Taylor3 · Michael Roberts3,[4](http://orcid.org/0000-0003-3231-180X) · Patrick Vianello⁴ · Narriman Jiddawi5 · Shankar Aswani6 [·](http://orcid.org/0000-0002-6201-0576) Juan Bueno[1](http://orcid.org/0000-0002-6465-2724)

Received: 5 July 2023 / Accepted: 2 July 2024 © The Author(s) 2024

Abstract

Food insecurity is a pressing issue facing our world, particularly affecting coastal communities who rely on marine resources. The problem is further compounded by the rapidly changing climate, a deteriorating environment and growing human populations. It is essential to evaluate this issue accurately to reduce risk and improve the situation of coastal communities, especially in countries with less socioeconomic development. To this end, we develop a food security social-ecological risk assessment framework for developing communities in coastal areas of the Western Indian Ocean facing a changing environment. The framework integrates local ecological knowledge, expert scientific opinion, survey data, and satellite sea surface temperature (SST) and chlorophyll-a observation. We conducted a local-scale case study in four regions in Tanzania; Mafia, Pemba, Tanga, and Unguja, revealing that they face moderate to high risk levels of food insecurity. The highest risk was observed in the island communities of Pemba and Unguja, while the communities of Mafia and Tanga had the lowest risk due to lower exposure and sensitivity to climate change. Our results show that recognizing the key differences across risk components is crucial in identifying effective intervention strategies for local practitioners. This study highlights the need for detailed assessments to provide accurate information on local-scale food security dynamics, specifically when assessing impacts induced by environmental and climatic changes.

Keywords Food security · Climate change · Small-scale fisheries · Risk assessment · Western Indian Ocean

1 Introduction

Ocean sustainability is critical for the survival of humanity (Brodie Rudolph et al., [2020\)](#page-16-0). However, marine ecosystems are facing unprecedented cumulative pressures from human

 \boxtimes Juan Bueno juan.bueno@uvigo.gal

- ¹ Centro de Investigación Mariña (CIM), Future Oceans Lab, Universidade de Vigo, Campus Lagoas Marcosende, 36310 Vigo, Spain
- ² Center for Applied Economics and Strategy, RTI International, Research Triangle Park, Durham, NC 27709, USA
- ³ National Oceanography Centre, Southampton SO14 3ZH, UK
- ⁴ Nelson Mandela University, Port Elizabeth, South Africa
- ⁵ Institute of Marine Sciences, UDSM, Zanzibar, Tanzania
- ⁶ Rhodes University, Grahamstown, South Africa

activities and anthropogenic climate change (Jouffray et al., [2020;](#page-17-0) Tigchelaar et al., [2021](#page-18-0)). To achieve sustainability through marine management, it is crucial to recognize that the ocean is a social-ecological system, in which people and nature are linked and interdependent (Fischer et al., [2015](#page-17-1); Salgueiro-Otero & Ojea, [2020](#page-18-1)). Climate change is having significant effects on the global ocean, including rising ocean temperatures, ocean acidification, and sea level rise among other impacts (Cooley et al., [2022](#page-17-2); Sumaila & Tai, [2020\)](#page-16-0). These changes will have worldwide effects on the marine environment, including target species important for small-scale fisheries (SSF), thereby impacting food security of local fishing communities (Sekadende et al., [2020;](#page-18-2) Taylor et al., [2019\)](#page-18-3).

One of the major consequences of these changes is the threat to food security worldwide (Campbell et al., [2016](#page-16-1); Costello et al., [2020\)](#page-17-3). SSFs play a crucial role in supporting the livelihoods of more than half a billion people and up to three billion people rely on fish as a major component of their diet (FAO, [2010\)](#page-17-4). As fish are a rich source of protein

and micronutrients, they are important not only as a source of food but also as a source of nutrition (Hicks et al., [2019](#page-17-5)). The nutritional content of fish is increasingly being recognized as a key element to address global nutritional deficiencies, which lead to child underdevelopment, growth stunting, and increased mortality rates, especially in low-income developing countries (Golden et al., [2016](#page-17-6); Hicks et al., [2019](#page-17-5); Willett et al., [2019](#page-18-4)). With the predicted worsening of food and nutrition insecurity problems because of climate change (Costello et al., [2020](#page-17-3); Schmidhuber & Tubiello, [2007\)](#page-18-5), it is of utmost importance to quantify, document and respond to the effects of climate change on food security, particularly in coastal developing communities where there is a high dependency on marine resources (Teh & Sumaila, [2013](#page-18-6)). Thus, food and nutrition policies need to consider the contributions of fisheries to the well-being of the most vulnerable in society, such as resource dependent communities (Hicks et al., [2019\)](#page-17-5).

Previous research has shown that risk assessments are useful tools for understanding the specific weaknesses of social-ecological systems (Reisinger et al., [2020](#page-17-7)). Risk assessments are indicator-based approaches that integrate both social and ecological information which enable ranking different units of analysis (species, habitats, social groups, etc.) according to the risk of suffering the impact of a given stressor (Reisinger et al., [2020](#page-17-7)). The results of risk assessments can be used in policy development and relevant adaptation planning (Allison et al., [2009;](#page-16-2) IPCC, [2013;](#page-17-8) Marshall et al., [2010\)](#page-17-9). However, there is a gap in the literature regarding local-scale food security vulnerability/risk assessments specifically focusing on low-income coastal communities. Previous food security assessment studies have been conducted at the national scale (Ding et al., [2017;](#page-17-10) Hughes et al., [2012\)](#page-17-11). These national-scale assessments fail to document local-scale food security dynamics and are not able to identify specific sensitivities and adaptive capacities of local communities (Cinner et al., [2012](#page-17-12); McClanahan et al., [2015](#page-17-13)). In addition, aggregated statistics can mask the severity of the food crisis facing communities and fail to reveal important differences between communities within the same country (Taylor et al., [2019\)](#page-18-3). Therefore, there is a need for granular and detailed assessments that can provide accurate information on local-scale food security dynamics in low-income coastal communities.

The impact of climate change, among other environmentally degrading processes, on food security is a pressing issue that requires attention, particularly in developing coastal communities that rely heavily on marine resources for food and livelihoods. The Western Indian Ocean (WIO) is a prime example of such a region, where between 30 to 60 million people are susceptible to rapid climate induced changes (Sekadende et al., [2020;](#page-18-2) UNEP-Nairobi Convention & WIOMSA, [2015\)](#page-18-7). Tanzania, a least developed country within the WIO region, is home to many coastal communities that rely on artisanal and subsistence fishing practices for their sustenance (IPCC, [2013](#page-17-8); March & Failler, [2022;](#page-17-14) Taylor et al., [2019\)](#page-18-3). The WIO is experiencing some of the fastest climateinduced changes globally, including significant warming and an increase in intensity and duration of extreme marine heatwave events (Sekadende et al., [2020](#page-18-2)). Changes to the monsoon seasons are also projected, which will impact the ecosystem on which coastal communities depend (Mahongo & Shaghude, [2014;](#page-17-15) Schott et al., [2009](#page-18-8)). These changes are expected to greatly impact food availability, access, utilization, and stability in the region (Huang et al., [2017;](#page-17-16) IPCC, [2013](#page-17-8); Jacobs et al., [2021;](#page-17-17) Roxy et al., [2014](#page-18-9), [2015](#page-18-10)). Thus, performing a food security risk assessment is both timely and relevant to inform policy and adaptation efforts. The overall purpose of this paper is to conduct a detailed assessment of the food security vulnerabilities and risks faced by low-income fishing communities in Tanzania, and to identify specific sensitivities and adaptive capacities of these communities in response to the impacts of climate change. Results can be used to demonstrate the necessity of a more granular assessment of impacts to inform appropriate adaptive policy and community responses.

To achieve our goal, this paper pursues three main objectives that are aimed at assessing and addressing the climateinduced food security risk (CFSR) in fishing communities in Tanzania. The first objective was to develop a framework that could measure the impact of climate change on the availability and access to sufficient food. This was achieved by adopting and tailoring an existing social-ecological vulnerability assessment framework (Aswani et al., [2018](#page-16-3); Cinner et al., [2012\)](#page-17-12). The second objective was to operationalize this framework by integrating multiple data sources to measure the dimensions of risk (hazard, exposure, sensitivity, and adaptive capacity) of the fishing communities to climate risk. The third objective was to provide guidance for local adaptation and to inform policy and management based on the results. Cumulatively, this paper contributes to the risk assessment literature by providing a framework to assess the impacts of climate in different resource extraction environments. In addition, the paper contributes to the food security literature by providing a case study showing the nuanced vulnerability of communities dependent on marine resources, highlighting the importance of both local knowledge and specificity in identifying climate risks and providing policy recommendations unique to the local context.

2 Methods and materials

2.1 Study region

The study focuses on four coastal regions in Tanzania: Tanga, Mafia, Pemba, and Unguja, with the first located on

the mainland and the others three are islands (see Fig. [1](#page-2-0)). All four regions consist of multiple villages within each, and here after the four regions are referred to "communities". These communities offer a relevant case study because they rely heavily on food from the sea, and the impacts of climate change on their food security and livelihoods have been well-documented (IPCC, [2013;](#page-17-8) Sekadende et al., [2020](#page-18-2); Taylor et al., [2019](#page-18-3)). Furthermore, these communities present significant heterogeneity in terms of food security (Taylor et al., [2021\)](#page-16-4). Tanga is surrounded by areas of coral reefs, seagrass beds, mangroves, and depth changes, and has been heavily affected by dynamite fishing (Samoilys & Kanyange, [2008\)](#page-18-11). Unguja and Pemba are surrounded by abundant coral reefs with large drop-offs to 40 m. Unguja is a well-known tourist destination (Benansio & Jiddawi, [2016](#page-16-5)), with a growing tourism industry which accounts for 25% of Tanzania's GDP (Lange, [2015\)](#page-17-18). Meanwhile, Mafia is geographically isolated, and the eastern coastline has a steep continental slope under the influence of the East African Coastal current thus limiting their access to marine resources (Obura, [2005](#page-17-19)). Limited alternative livelihoods are a common characteristic of these communities.

2.2 The climate‑induced food security risk (CFSR) framework

We have adopted and tailored the climate risk conceptual framework proposed by the Intergovernmental Panel on Climate Change in 2014 (IPCC, [2014\)](#page-17-20) to investigate food security in SSF communities in the study region. Specifically, we embedded the IPCC approach into the social-ecological vulnerability assessment framework of Cinner et al., (2012) (2012) and applied it to local scale assessments with a specific focus on food security. Our framework encompasses the three dimensions of risk, as outlined by the IPCC [\(2014\)](#page-17-20): hazard, exposure, and vulnerability, where vulnerability is defined as a function of sensitivity and adaptive capacity, as illustrated in Fig. [2.](#page-3-0) For the purpose of building a food security risk framework, in this study, hazard refers to physical events caused by natural or human-induced factors that adversely affect resources and resource users and consequently fishers' ability to catch, consume, and sell fish. Climate hazards are known to have both direct and indirect impacts on food security, affecting not only the availability of food

Fig. 2 Climate-Induced Food Security Risk Framework (CFSR). The fgure illustrates the conceptual framework that was developed and utilized to evaluate the climate-induced food security risk in four coastal communities in Tanzania. The left-hand fgure displays the total ecological risk to the resources, which is nested within the overall fnal CFSR framework on the right-hand side. This ecological risk resource serves as one indicator for "hazard." To calculate the ecological risk resource, four dimensions are considered: resource hazards

(Allison et al., [2009](#page-16-2)), but also the access to crucial food resources (Cinner et al., [2013\)](#page-17-21). Exposure is broadly defined as the presence of fishing communities, fish species, and economic, social, or cultural assets in areas and situations that may be negatively impacted by climate hazards (IPCC, [2014](#page-17-20)). Sensitivity measures resilience to climate hazards and social dependence on fish (Ding et al., [2017](#page-17-10)), considering both direct effects through food consumption and indirect effects through income generated from fish sales. Adaptive capacity, on the other hand, assesses the ability of fishing communities to adapt to the changing abundance and distribution of key resources they depend on. Finally, vulnerability encompasses sensitivity and adaptive capacity and assesses the weaknesses of the fisheries system and its ability (or lack thereof) to manage food security impacts (Sharma & Ravindranath, [2019](#page-18-12)).

We used the three dimensions of risk to calculate the climate-induced food security risk (CFSR) index for each of the four communities using the following formula:

(EH1 "Sea surface temperature (SST)" & EH2 "Primary Production – PP"), resource exposure (EE1 & EE2), resource sensitivity (ES1 $&$ ES 2), and resource adaptive capacity (EA1 $&$ EA2). Four dimensions are used to describe the overall CFSR: social hazard (SH1, SH2, SH3), social exposure (SE1 & SE2), social sensitivity (SS1 – SS8). The darker rectangles in the fgure enumerate each indicator for every dimension

$$
CFSR_i = mean(SH_i + SE_i) + (SS_i - SAC_i), \qquad (1)
$$

where *i* denotes an individual fishing community and *SH*, *SE*, *SAC*, and *SS* denote social hazard, social exposure, social adaptive capacity, and social sensitivity, respectively.

2.3 Data sources

To assess the climate risk index for food security in all communities, we employed a combination of information sources, including a survey, publicly available databases, and expert elicitation. In 2018, we administered a local-scale survey as part of the Global Challenges Research Fund SOL-STICE-WIO project (Taylor et al., [2021](#page-16-4)). The survey comprised more than 250 questions and garnered responses from 293 male fishers across the four study sites (Mafia, Pemba, Tanga, and Unguja), with 90, 49, 52, and 102 responses, respectively. The surveyed fishers ranged in age from 18 to 78, with an average age of 32 to 38 across all communities.

The survey included a household questionnaire that incorporated open-ended, semi-structured, and structured questions related to economic dependency, fishing practices, and perceptions of community-level vulnerabilities. We utilized publicly available datasets, including the Sea Surface Temperature (SST) and data on Chlorophyll-a (chl-a) from The GlobColour Project.^{[1](#page-4-0)} Both physical climate datasets were collected for the period of 2001 to 2021 and were converted to monthly time series. Finally, in March 2021, we consulted marine ecology experts to obtain data through expert elicita-tion to fill gaps in biological data from Fishbase^{[2](#page-4-1)} related to species life-history characteristics.

2.4 Operationalization of the CFSR

To implement the CFSR framework, we constructed a set of indicators to evaluate each of the three dimensions of risk as demonstrated in Eq. [1.](#page-3-1) In the next subsection, we will provide a comprehensive explanation of the quantification process for each dimension.

2.4.1 Quantifying social hazard

The social hazard risk dimension is measured using three different indicators, namely ecological risk to resource, sealevel rise, and storms (indicated as SH1, SH2, and SH3 in Table [1\)](#page-5-0). Ecological risk to resource measures resource hazards, while storms and sea-level rise measure resource user hazards. The ecological risk to resource (*ERR*) indicator is calculated using the following formula:

$$
ERR_i = mean(RH_i + RE_i) + (RS_i - RAC_i), \qquad (2)
$$

where *i* denotes a fishing community and *RH*, *RE*, *RS*, and *RAC* refer to consumed resource hazard, resource exposure, resource sensitivity, and resource adaptive capacity, respectively.

To identify the consumed resources of each community, we obtained the primary fish products consumed by households and their respective communities from the survey data. These were then classified into five functional seafood groups, namely: coral reef fish, small pelagic fish, large pelagic fish, demersal species, and cephalopods. This classification was necessary due to the lack of information of some species and shared life histories by species from the same functional seafood group (Gaichas et al., [2014](#page-17-22)). Next, the proportions of each functional seafood group consumed by each community were calculated based on the frequency with which a functional seafood group was reported as the most commonly consumed, the results are presented in Fig. [3](#page-7-0). Table S1 in the Supplementary Information (SI) shows the classification of fish families by functional seafood group. 3

To quantify the hazard scores of the consumed resources (resource hazard), we identified two critical climatic hazards: temperature, which was measured as the rise in sea surface temperature (SST), and changes in ocean productivity, which were measured as alterations in primary productivity (Jacobs et al., [2021](#page-17-17); Jebri et al., [2020](#page-17-23); Sekadende et al., [2020](#page-18-2)). Since both variables have their respective units of measurement, we calculated an index of variability (IV) using Bueno-Pardo et al. [\(2021\)](#page-16-4) formula:

$$
IV = \frac{\mu FUT - \mu REF}{\sigma REF} \tag{3}
$$

where μ *REF* and σ *REF* denote the variables' average and its standard deviation from the reference period of 2001 to 2011. *μFUT* represents the variables' average between 2011 and 2021. The index of variability is devised to assess the anticipated extent of variation in hazards relative to the reference period while adjusting for the natural variability of the hazard during the 2001 to 2011 period. Since the inter-community distances are relatively short (approximately 50 km), a singular value for each hazard was computed across all communities.

To assess the resource exposure, sensitivity, and adaptive capacity of the five functional seafood groups to climate hazards we use a combination of relevant literature and expert opinions (Table [2\)](#page-8-0). To quantify the exposure of the five functional seafood groups to the two identified climate hazards, we selected indicators related to the lifehistory traits, as found in the literature (Bueno-Pardo et al., [2021](#page-16-4); Cinner et al., [2013](#page-17-21)). Each indicator was assessed using a three-level categorical scale (low, intermediate, high); Table [2](#page-8-0) summarizes the evaluation criteria. We assigned categorical values to indicators based on findings from the literature or according to three experts on tropical fish ecology. Additionally, as the assessment is conducted at the functional seafood group level, the criteria for evaluation were obtained from a combination of the values of the main families represented within each group (refer to tables 7 and 8 in the SI). The resources sensitivity and adaptive capacity of functional seafood groups were

¹ <https://www.globcolour.info/>.

² www.fishbase.org.

³ The resource consumption of Mafia is mainly comprised of coral reef fsh, accounting for 71% of their total consumption. In Pemba and Tanga, more than half of the fsh consumed is coral reef fsh, while a considerable proportion of their consumption consists of large pelagic fsh. Conversely, in Unguja, large pelagic fsh is the predominant type of fsh consumed, accounting for 50% of their total consumption. Small pelagic fish are consumed across all communities, while the consumption of demersal species and cephalopods is relatively low, comprising only 4% or less of the total consumption in all communities except for Pemba, where it accounts for 8% of their total consumption.

Table 1 List of dimensions, indicator, sub indicator, and data sources. Summary of the indicators, sub-indicators, survey questions, and other data sources used to measure the social hazard, exposure, sensitivity, and adap **Table 1** List of dimensions, indicator, sub indicator, and data sources. Summary of the indicators, sub-indicators, survey questions, and other data sources used to measure the social hazard, exposure, sensitivity, and adaptive capacity of four developing coastal communities in Tanzania. The codes are used to describe each indicator of each dimension

evaluated using two indicators each, as detailed in Table [2.](#page-8-0) Age at maturity and trophic level were utilized to estimate resource sensitivity as done in previous literature (Bueno-Pardo et al., [2021\)](#page-16-4). Additionally, extension of occurrence range and resilience to fisheries were used to assess adaptive capacity. Data for both adaptive capacity indicators was obtained from Fishbase². "Resilience to fisheries" was calculated using the inverted "vulnerability to fisheries" value (Cheung et al., [2005](#page-17-24)). In accordance with exposure indicators, existing literature was referenced to evaluate the levels of these indicators (i.e., low, medium, high) as well as expert opinions (Bueno-Pardo et al., [2021;](#page-16-4) Hare et al., [2016;](#page-17-25) Pecl et al., [2014](#page-17-26); Wang et al., [2020](#page-18-13)). Table S3 in the SI lists all the values of the estimated resource exposure, sensitivity, and adaptive capacity of each functional seafood group.

The final step in quantifying the social hazard dimension involves measuring resource user hazards by combining two indicators: storms and sea-level rise (indicators SH2 and SH3 in Table [1\)](#page-5-0). To estimate the value of these indicators, we utilized questions from the survey (refer to Table [1](#page-5-0) column Question/Data) to gather local ecological knowledge (Reyes‐García et al., [2016](#page-18-14); Rosenzweig & Neofotis, [2013;](#page-18-15) Salgueiro-Otero & Ojea, [2020\)](#page-18-1). These questions were directed to detect the occurrence of the climate drivers in the areas where the social community engages in fishing.^{[4](#page-7-1)} We acknowledge, however, that the question used to measure the indicator of social hazard for "storminess" did not explicitly imply perceived increase in storms.

2.4.2 Quantifying social exposure

To quantify social exposure we used two indicators, namely exposure to sea level rise (coded SE1 in Table [1\)](#page-5-0) and exposure to increasing storminess (coded SE2 in Table [1](#page-5-0)). Each indicator was determined based on responses obtained from our survey instrument. To calculate the proportion of households in the community that perceive exposure to sea level rise, we asked respondents if they had noticed any shoreline erosion in their area. Likewise, we calculated the proportion of households that perceive exposure to increasing storminess by asking respondents if they were directly impacted by a cyclone or large storm. Our approach to measuring these indicators relied on gathering local ecological knowledge, as we specifically drew on the perceptions of local communities. This is because the observations of the exposure to climate change by members of local communities serve as an essential data source for characterizing the local environment, especially when physical climate data is potentially lacking (García-del-Amo et al., [2020;](#page-17-27) Rosenzweig & Neofotis, [2013](#page-18-15)).

In summary for social hazard and social exposure, we assessed social hazard by measuring how resource users perceive the presence of sea-level rise and storms. On the other hand, we assessed social exposure by measuring how resource users perceive the impacts of their exposure to both sea-level rise and storms.

2.4.3 Quantifying social sensitivity

We utilized a set of four indicators to quantitatively assess social sensitivity to climate change. Namely, sensitivity to sea level rise, sensitivity to increasing storminess, direct dependence, and indirect dependence. The first two indicators were designed to capture the degree to which communities are sensitive to climate-related hazards, while the latter

⁴ The survey responses reveal that more than 60% of fshers across all regions reported experiencing severe storms and changes to their shoreline in the last five years.

Component		Code Indicator	Risk level		
			LOW	MODERATE	HIGH
Resource Exposure	EE1	Sea Surface Tempera- ture	Demersal species occur- ring mainly below 50 m depth	Demersal species occur- ring mainly above 50 m depth	Coral-reef-associated species or species with pelagic adults, larvae, and eggs
	EE2	Primary Productivity	Higher level predators	Species feeding on mac- roscopic invertebrates, fish larvae, algae graz- ers, etc	Filter-feeding species
Resource Sensitivity	ES ₁	Age at first maturity	$<$ 2 years	$2 - 10$ years	>10 years
	ES ₂	Trophic level	Less than 2	Between 2 and 4	Higher than 4
Resource Adaptive capacity	EA1	Geographic range	Latitudinal range $<$ 45 $^{\circ}$	Latitudinal range between 45° and 90°	Latitudinal range $> 90^\circ$
	EA2	Resilience to fisheries	Vulnerability $score$ = 66	Vulnerability score between 33 and 66	Vulnerability score \lt = 33

Table 2 Indicators and the criteria used to determine the overall ecological risk to resource

two were intended to measure the extent of their reliance on fish as a source of food and income. To assess the sensitivity to sea level rise, we utilized participant responses to the question: Have you observed any changes in your livelihood due to shoreline erosion? (See Table [2](#page-8-0) column Question/ Data). To evaluate the sensitivity to increasing storminess, we employed two questions from the survey instrument. Specifically, we inquired about the extent of damage caused by cyclones or large storms to participants' households, as well as the number of fishing days lost to such events within the past year.

The direct dependence indicator was further subdivided into three sub-indicators: the dependence equation, nutrition indicator, and personal perception (SS3, SS4, and SS5, respectively). We adapted the dependence equation indicator from prior assessments (Barange et al., [2018\)](#page-16-6), taking into account the available data from our survey. Specifically, the dependence equation was defined as follows:

$$
Direct \t Dependence = \frac{DWF}{TDWP} \tag{4}
$$

where *DWF* denotes days per week consuming fish and *TDWP* denotes total days per week consuming protein, including fish or any other animal protein. The sub-indicator of nutrition aims to quantify the protein content supplied by the functional seafood groups consumed by individual communities. To achieve this, we obtained values (in g/100 g) from peer-reviewed publications for a minimum of five fish species within each of the most frequently consumed functional seafood groups, as defined previously. We then applied weights to these values for each community based on the proportion of each functional seafood group consumed. The third sub-indicator, personal perception, was assessed using fishers' responses to a question regarding their confidence in their ability to provide for their families without fishing (see Table [2](#page-8-0) column Question/Data).

The final measure of social sensitivity is the indirect dependence indicator, which comprises three sub-indicators: income dependence, employment dependence, and wealth dependence (coded as SS6, SS7, and SS8 in Table [2,](#page-8-0) respectively). Income dependence was assessed by querying fishers about the proportion of their household income derived from fishing. Employment dependence was gauged by asking how critical fishing is as an economic activity in their community. Wealth dependence was evaluated by inquiring about the likelihood of fishers having to sell their homes in case fishing fails.

2.4.4 Social adaptive capacity

To assess the adaptive capacity of communities to changes in the abundance and distribution of resources provided by functional seafood groups we measure seven indicators, flexibility, assets, social organization, learning, equity, social cognition, and agency as found in the literature (Cinner & Barnes, [2019](#page-17-28); Salgueiro-Otero & Ojea, [2020\)](#page-18-1). The survey questions used to quantify each indicator, except for assets, and their respective sub-indicators are presented in Table [1](#page-5-0) column Question/Data. The assets indicator was quantified using an Asset Wealth Index (Taylor et al., [2021](#page-16-4)) which was calculated based on responses to multiple survey questions related to various assets available to the communities, including their homes, livestock, savings, and other relevant factors.⁵ Although equity indicators are not commonly incorporated in vulnerability or risk assessments, the scientific

 5 See Taylor et al. [\(2021](#page-16-4)) for a detailed description of the assets indicator calculation.

Table 3 Ecological risk to resource scores. The normalized [0–1] score for each component of the ecological risk to the resource was calculated based on the average value of the families for each functional seafood group

literature recommends their inclusion for a comprehensive and informative evaluation of climate change adaptation. This is due to the fact that climate hazards can impact various individuals and groups within a community in distinct ways, influenced by variables such as socio-economic status, gender, and age. Incorporating equity indicators in the assessment process can provide valuable insights into the effectiveness of climate change adaptation measures, by ensuring that the needs of marginalized and vulnerable populations are accounted for. Due to limited available data, in order to develop an equity indicator, we used gender equity as a proxy for equity in general through responses to two specific gender-related questions: (1) To what extent do women hold leadership positions within the community? and (2) How equitable is women's access to and control over their resources and livelihoods in comparison to men? These questions serve as valuable measures for assessing the level of gender equity within a given community.^{[6](#page-9-0)}

2.5 Quantifying the CFSR index

We quantified the CFSR index by scaling, normalizing, and aggregating all indicators' scores. To achieve this, a categorical scale comprising three values, low, intermediate, and high was considered, thus, converting all indicators to scores (see the SI for further insights on the scoring process). Following the conversion of indicators into scores, we have normalized every

dimension, subdimension, sub-indicator, and indicator within the range of 0 to 1 using the equation presented below.

$$
X_{normalized} = \frac{X_i - X_{min}}{X_{max} - X_{min}}
$$
\n(5)

where x_i denotes the value of the indicator. The observed minimum and maximum values are denoted by x_{\min} and x_{max} respectively. Following normalization, equal weighting was assigned to all sub-indicators, and the scores were aggregated to obtain a risk dimension score. This approach is widely used in the literature on vulnerability and risk assessment to calculate scores for each dimension (Allison et al., [2009;](#page-16-2) Zebisch et al., [2021](#page-18-16)). After computing all risk dimensions, we calculated the CFSR index for each community using Eq. [1.](#page-3-1)

The quality of the CFSR index was evaluated by assessing the confidence level of the data used to calculate each indicator. A confidence level between 0 and 3 was assigned to each indicator, where a value of 3 represented the highest quality of data. Indicators that were calculated using data that was empirically measured, modeled, or directly observed were assigned a value of 3. For indicators that were estimated with limited data and a high degree of uncertainty, an intermediate value of 2 was assigned. Indicators that were assessed via expert elicitation or survey data were assigned a confidence value of 1. Finally, indicators that were not assessed due to a lack of data were assigned a value of 0; Table S4 in the SI summarizes data confidence level classification criteria. Once all indicators were assigned a confidence value, we calculated an overall confidence value for the final CFSR index, by community, by averaging across the indicators and dimensions, respectively.

We however need to acknowledge that survey respondents are all men and therefore the voices of the women have not been collected in the available data and a gender bias may be present.

3 Results

3.1 Social hazard dimension

The scores of resource hazard, exposure, sensitivity, and adaptive capacity of various functional seafood groups are presented in Table [3.](#page-9-1) The resources hazard score was the same for all functional seafood groups, 0.45 due to the proximity of the communities and the spatial scale of the data. The resource exposure varied across the species groups, with Small Pelagic Fish having the highest exposure, mostly driven by high sensitivity to changes in exposure to sea surface temperature and primary productivity. The resource sensitivity also differed across the species groups, with Large Pelagic Fish having the highest sensitivity (0.584), due to their higher trophic level, and Small Pelagic Fish

Table 4 Vulnerability scores by indicator and vulnerability components. The table presents the values for all CFSR indicators for the four study communities. It also includes the integrated score for all indicators (shown in bold), as well as the vulnerability score (AC-S). The fnal risk score is calculated using Eq. [1](#page-3-1) and is subsequently norhaving the lowest (0.250). The resource adaptive capacity of the species groups varied as well, with Small Pelagic Fish having the highest capacity (0.750) and Demersal species having the lowest (0.250). We used these values along with the proportion of seafood consumed by each community to calculate each community's resource vulnerability.

The results of the social hazard for each of the four communities, including its components ecological risk to resource and resources user hazard are presented in Table [4](#page-10-0). Our results show moderate levels of ecological risk to resources across all communities. Interestingly, the resource user hazards show a wider variation, with values ranging from 0.532 to 0.849 with Unguja and Pemba having higher values than those of Mafia and Tanga, which are similar. Furthermore, the overall social hazard values indicate a moderate to high level of social vulnerability in these

malized between 0 and 1. The scores representing the components of resource risk to the communities are based on the percentage of each functional seafood group consumed by the community. The table also includes a confdence level scale between 0 and 3, indicating the quality of data used

communities, with values ranging from 0.517 to 0.724, with Unguja presenting the highest social hazard index followed by Pemba, Tanga and Mafia, respectively.

3.2 Social exposure, sensitivity, and adaptive capacity dimensions

Table [4](#page-10-0) shows the results of the social exposure in the four fishing communities. The results show that Unguja has the highest exposure to sea level rise at 0.907, followed by Pemba and Mafia with 0.796 and 0.793, respectively. In contrast, Tanga has the lowest exposure to sea level rise at 0.574. Regarding storms, Pemba has the highest exposure with 0.324, while Mafia has the lowest exposure with 0.306. Furthermore, the overall social exposure index, which is a weighted average of exposure to sea level rise and storms, ranges from 0.480 in Tanga to 0.720 in Unguja, indicating considerable variability across the communities.

Social sensitivity results indicate significant differences in the sensitivity of four coastal communities to storms, sea level rise, direct dependence, and indirect dependence. Specifically, the community of Unguja exhibited the highest sensitivity to both storm events (0.424) and sea level rise (0.620), while Tanga had the lowest sensitivity to sea level rise (0.182). Notably, the communities of Mafia and Pemba demonstrated similar sensitivities to storms (0.333 and 0.368, respectively) and sea level rise (0.429 and 0.388, respectively).

Table [4](#page-10-0) also presents a comparison of the direct and indirect dependence on seafood. The data reveals that seafood is a significant source of protein for all communities, with each community consuming over 75% of their total protein from seafood. Notably, there were significant differences in protein consumption between Tanga and Unguja, with the latter consuming lower amounts (Fig. [4](#page-11-0)A). Furthermore, more than half of the total income of fishers in all communities comes from fishing (Fig. [4B](#page-11-0)). In Mafia, a striking 85% of fishers' total income is derived from fishing, which is significantly higher than that of Unguja. Tanga and Unguja also differ significantly in their income derived from fishing, with Tanga showing higher levels (Fig. [4B](#page-11-0)). We used results from Fig. [4](#page-11-0) to calculate the direct and indirect dependence indicators. The results reveal that the community of Mafia exhibits the highest direct dependence on their local ecosystem, with a score of 0.816, followed closely by Pemba and Tanga with scores of 0.798 and 0.789, respectively. However, when considering both direct and indirect dependence, the overall Social Sensitivity Index of these communities differs, with Pemba exhibiting the highest score of 0.588, followed by Unguja with 0.648, while Tanga and Mafia scored 0.519 and 0.58, respectively.

Figure [5](#page-12-0) illustrates the responses to three questions related to social adaptive capacity indicators. Figure [5](#page-12-0)A depicts the educational attainment of fishers across all communities, revealing that the majority possess primary

Fig. 4 Community directly and indirectly dependence on fshing. Boxplots of two survey questions are presented for each community, which relate to their reliance on fshing. The frst question, fgure A, concerns the proportion of fish consumed as the primary source of protein in the community. The second question, fgure B, pertains to the proportion of income earned from fshing. The fgure displays signifcant diferences between the communities, represented by the brackets and *p*-value

Fig. 5 Education, wastewater disposal, and food security by communities. Each plot displays questionnaire responses concerning three questions regarding the social aspects of the community. Question A represents the education level of the fshers in the community (left plot). Question B pertains to the available wastewater disposal types in the communities (center plot). Question C explores the possibility of families within the community being able to feed themselves without relying on fshing (right plot)

school education, while less than 3% have completed university-level studies. Notably, nearly one-quarter of fishers in Pemba lack any formal education, indicating generally low educational levels among these communities. In Fig. [5B](#page-12-0), the level of sanitation access is presented as a proxy measure of community assets and infrastructure (Brooks et al., [2005](#page-16-7)). The data show that in Pemba, Mafia, and Unguja, over 75% of the population has access to only rudimentary forms of sanitation disposal, such as a soak pit, no sanitation disposal, or "other." Some residents of Unguja and Mafia have access to a septic water tank, while Tanga displays the highest levels of access to modern sanitation disposal, with between 25–50% of the community possessing wastewater disposal facilities. The pivotal role of fishing in the livelihoods of these communities is conveyed in Fig. [5C](#page-12-0), which indicates that more than 63% of fishers across all community's report that fishing is essential for feeding their families.

Table [4](#page-10-0) shows that while certain adaptive capacity indicators show substantial variation across communities, the overall adaptive capacity of these communities is relatively similar. Among the different indicators, social cognition is consistently high across all communities, with Unguja exhibiting the highest score of 0.710, followed closely by Mafia at 0.694. Meanwhile, assets appear to be the lowest-scoring indicator, with all communities scoring below 0.4. Flexibility also shows substantial variation, with Tanga having the highest score of 0.588, while Pemba has the lowest score of 0.422. In contrast, agency, which measures the ability to act and make decisions, exhibits the least variability, with all communities scoring above 0.77. Overall, the social adaptive capacity index, the weighted sum of the seven indicators, shows that all communities have relatively similar scores, ranging from 0.517 for Pemba to 0.566 for Mafia.

3.3 The CFSR index

The above results indicate that the highest social hazard index was found in Unguja, with a value of 0.724, followed by Pemba with 0.664. In terms of social exposure index, Unguja also showed the highest value of 0.720, while Pemba demonstrated the lowest value of 0.590. Furthermore, the social sensitivity index was the highest in Unguja with a score of 0.424, while the lowest score was found in Mafia, with a value of 0.333. In contrast, the social adaptive capacity dimension showed the highest score in Tanga, with a value of 0.565. Using our CFSR framework and Eq. [1](#page-3-1) our results show that communities' risks are moderate to high with different aspects driving the final score. Most at-risk communities identified are Pemba and Unguja (0.574 and 0.602, respectively). Mafia's community risk score was 0.520, and lastly, Tanga had a food security risk score of 0.484 (Fig. [6](#page-13-0)). Tanga scores were lower in comparison, driven by a lower overall exposure and

sensitivity than the other three communities to climate impacts on their food security. Table [4](#page-10-0) reveals the confidence levels of all data sources used to compute the indicators, dimensions, and the CFSR index. The confidence levels demonstrate that the data sources with high confidence levels are related to the indicators utilized to calculate the components of ecological risk to resources, whereas the rest of the sources have an average value of 1. These results are primarily due to the utilization of survey instrument data to calculate the exposure, sensitivity, and adaptive capacity indicators.

4 Discussion

Food security is a crucial issue in the face of climate change, and a local understanding of communities' susceptibility to its impact is necessary for effective management and policy interventions. In this study, we developed a risk assessment framework that focuses specifically on the food security implications of climate change on SSF communities. By operationalizing this framework to a case study of four regions in Tanzania, we found that although all communities had similar overall food security risk scores (mid to high risk levels), the key drivers of risk differed between them. The results enable us to rank communities based on their risk levels and prioritize interventions by targeting specific contributors to risk.

We analyzed the different components of risk separately to determine causal drivers and identify effective intervention strategies. To do this, we followed an approach that combines components of vulnerability to create profiles that highlight the most applicable interventions to reduce the risk. The interventions are discussed below. Thiault et al. ([2019\)](#page-18-17) suggest that communities with high hazard, exposure, and vulnerability scores require interventions to reduce resource dependency and build adaptive capacity. On the other hand, communities with high vulnerability but less exposure to hazards would benefit from measures to increase their adaptive capacity. Finally, communities that are highly exposed to hazards but have low vulnerability are potential adaptors that would benefit from reducing their dependency on resources. Our study estimated the components of risk and framed them within the context of these profiles to classify the communities' risk and envisage potential interventions (Fig. [7](#page-14-0)).

The findings of this study indicate that high social exposure across all communities may indicate the presence of functional seafood groups in either the "greatest concern" or "potential adapters" profiles, both of which require policy interventions to reduce risk. The vulnerability, calculated as adaptive capacity minus sensitivity, is moderate across all communities (ranging from 0.390 to 0.422). To mitigate the risk, methods such as enhancing the intrinsic resilience of the resources or reducing exposure can be employed (Thiault et al., [2019\)](#page-18-17). However, reducing exposure to climate hazards is not always feasible, and thus enhancing resilience is likely to be the most effective approach. Increasing resilience can be achieved by reducing fishing pressure as an additional stressor on the resources (Sumaila & Tai, [2020](#page-16-0)). One potential eco-centric policy intervention would be to establish a multi-use marine area with a no-take zone as part of marine spatial planning in Pemba. This approach would allow coral reefs and associated reef fish to regenerate, ultimately increasing fish stock availability locally and in adjacent areas, thereby reducing food insecurity resulting from climate-driven changes (Sala et al., [2021\)](#page-18-18). However, such a policy intervention may be less crucial in Unguja where a different policy intervention, such as Individual Transfer Quotas (ITQs)—which have been shown to be effective in maintaining sustainability of highly migratory species (Edvardsson et al., [2018\)](#page-17-29)—would benefit communities reliant on pelagic stocks. In any case, for any intervention, it is essential to ensure local fishers' rights over their resources in order to increase stewardship and decrease future vulnerabilities (Ojea et al., [2017](#page-17-30)) In parallel, engaging communities in participatory planning processes of co-creation is critical to guarantee just and equitable adaptations and transformations towards food security risk reduction (Cooley et al., [2022](#page-17-2)).

Improving the sustainability of fishing techniques and fishing in all communities would also be fundamental for increasing the resilience of the fish species. Along the Tanzanian coast, particularly the mainland coastline of Tanga, explosive fishing has been reported (Samoilys & Kanyange, [2008\)](#page-18-11), which not only kills the targeted species but also destroys habitats of many other species and strongly impairs recruitment. Thus, controlling these detrimental techniques would be a fundamental first step toward increasing the resilience of key food resources and ensuring food security in the mid-term.

The three island communities of Mafia, Pemba, and Unguja present risk profiles of "greatest concern" as defined by Thiault et al. ([2019](#page-18-17)); see Fig. [7.](#page-14-0) This is due to their moderate to high scores of hazard and exposure and moderate to high scores of vulnerability. Unguja, in particular, presents high scores of hazard and exposure, high sensitivity, but reasonably high adaptive capacity. In contrast, Tanga displays lower levels of overall risk across the components. Tanga is the only mainland community in the study, and it appears as the lowest concern community in relation with the others, which may be a key reason for the results found. Mainland versus island fishers are likely to face slightly different challenges, for instance, island fishers (Benansio & Jiddawi, 2016) have expressed that fishing is their primary occupation due to the lack of land suitable for farming and the lack of other income-generating activities. However, as seen in Fig. [7](#page-14-0), the risk values from Tanga still place the community on the edges of the profile and risk result is not distant from the other communities. Therefore, the result should not be interpreted as if interventions and policy evaluations for improving food security under climate risk are not needed in Tanga.

The work of Thiault et al. [\(2019](#page-18-17)) envisages two types of actions that can be taken to reduce food security risk over communities in the category of great concern and potential adapters: reducing resource dependency and building adaptive capacity. Resource dependency was found to be very high across the four communities. Livelihood-focused measures could potentially be appropriate to diversify occupations, such as tourism, and primary food sources through aquaculture or freshwater fishing, for example. In developing regions, such as the Western Indian Ocean (WIO), aquaculture has not been developed sufficiently due to limited technology and investments (Golden et al., [2016](#page-17-6); Hall et al., [2013](#page-17-31)). It is also unrealistic that fishers could easily switch to aquaculture as significant resources would need to be established, in addition to a technology transfer and consumer acceptance. Climate impacts on aquaculture would also need to be thoroughly examined and could imply an additional risk. However, this could represent an investment opportunity for governments to secure an alternative food production avenue. These interventions could cause conflicts with fisheries creating further challenges for the communities. Spending less time fishing could result in a loss of access to fish as a food supply, which could have a negative impact on their food security. Therefore, reducing the dependence of communities on fishing is a complex issue that requires further attention.

Effective strategies for promoting both social and ecological benefits may be achieved by building adaptive capacity (Wright et al., [2016](#page-18-19)). For instance, interventions in Pemba should aim to increase assets and social organization by investing in community infrastructure and management,

considering the low social adaptive capacity in the area. Similarly, low scores for learning in Tanga and Mafia suggest the need for further investment in education. Across all communities, the urgency for increased investment in community infrastructure is indicated by the very low scores of assets. One significant asset for local fishers is the type of boats used, which are mainly dug-out canoes and non-motorized boats, as revealed by survey answers and supported by local studies (Makame & Salum, [2021\)](#page-17-32). Investment in social aspects such as education, infrastructure improvement, and the adoption of specific management rules is essential for Tanzanian communities to enhance adaptive capacity in the fishing communities and facilitate adaptation to the impacts of climate change.

While our study suggests that the CFSR is a powerful tool for assessing climate-induced food security risks while taking local conditions into account, there are some limitations to our approach. One clear limitation is the lack of granularity of our observed data. We defined the climate data quality with the highest score of 3, despite the fact that we used average values across communities—due to proximity of islands and mobility of fishers and seafood. This approach might not fully capture the nuanced variations within individual communities and we would suggest for future studies to utilise more fine-scale data. Another limitation which is acknowledged is that all dimensions of risk are given equal weighting, which assumes that all vulnerability indicators are equally important. Alternatively, one can use expert elicitation to provide subjective judgments on the importance of each vulnerability indicator or apply Principal Component Analysis to identify the most important factors contributing to vulnerability based on data covariance. However, principal components may not be easy to interpret for our food security index purposes, and we leave these considerations for future developments. Our study takes a snapshot of the current state of the four communities of interest. We recognize that risk is not static; it changes over time as communities adapt and as environmental and economic conditions evolve. Finally, we recognize that translating our findings and the suggested solutions into effective policy and action is a challenge. This will depend on the willingness of stakeholders and the mechanisms for integrating these findings into the practical decision-making process.

5 Conclusions

Our approach suggests the potential for using existing information and local knowledge in developing countries like Tanzania to assess climate change impacts on food security at a community level. While the study utilized average values for climate data, future research could benefit from more fine-scale data to further refine the risk assessments.

The implications of the study are three-fold; firstly, the CSRF we developed provides a mechanism to obtain a detailed understanding of food security risks due to climate change at a local level, by integrating social, economic and ecological aspects. Secondly, the integration of multiple data sources (ranging from local ecological knowledge to observed climate data) is necessary to measure the various dimensions of climate-induced food security risk. Finally, we demonstrated that it is vital to apply a refined lens in understanding community challenges and risks as an evidentiary basis for effective management and policy interventions.

Overall, this study underscores the importance of localized, tailored interventions in managing the food security risks posed by climate change, particularly in small-scale fishing communities Nuanced recognition of risk components is needed to inform both policy interventions and adaptive management responses. The framework developed can provide a tool which can be shaped to deliver the differentiated understanding that is required for policies or interventions that relate to context specific challenges rather than broader brushstroke interventions that may miss crucial differences between communities. Other regions could adapt and apply the framework in their own contexts. Significantly, the results demonstrated that highly contrasting policy responses (e.g. individual transfer quotas versus marine protected areas) could be relevant even within a small geographic area, due to the unique risk characteristics of each community. In conclusion, our findings reveal the necessity for community-specific data as a basis to inform interventions in the face of climate change.

Supplementary Information The online version contains supplementary material available at<https://doi.org/10.1007/s12571-024-01472-x>.

Acknowledgements J.B.-P. was funded by EU H2020 (FutureMARES, contract no. 869300). EO was funded by the ERC Starting Grant project CLOCK (Nº 679812). Local social data was funded by UK Government Growing Research Capability grant for a project entitled "Sustainable Oceans, Livelihoods and food Security through Increased Capacity in Ecosystem research in the Western Indian Ocean (SOLSTICE-WIO)" (PI M. Roberts and E. Popova; Co-PIs S. Aswani, W. Sauer et al.) (2017-2021). Funding for open access has been provided by Universidade de Vigo/CRUE-CISUG.

We would like to thank three experts, Dr Juan Bueno-Pardo, Dr Alex Tidd and Dawit Yemane Ghebrehiwet for providing their expert elicitation of the biological life history traits of the primary fish species used in the study.

Author contributions LB, SVC, EO, and JBP contributed to the conception and design of the study. ST, SA, and NJ performed the survey design, survey data collection, and assimilation. MR and PV were responsible for the collection and assimilation of climate data. The overall synthesis and analysis were conducted by LB, SVC, EO, JPB, MR, and ST. LB and SVC wrote the first draft of the manuscript, and all authors provided comments on previous versions. All authors read and approved the final manuscript.

Data availability Open Access funding provided thanks to the CRUE-CSIC agreement with Springer Nature.

Declarations

Financial interest The authors have no relevant financial or nonfinancial interests to disclose. All authors certify that they have no affiliations with or involvement in any organization or entity with any financial interest or non-financial interest in the subject matter or materials discussed in this manuscript.The authors have no financial or proprietary interests in any material discussed in this article.

Competing interest The authors have no competing interests to declare that are relevant to the content of this article.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit<http://creativecommons.org/licenses/by/4.0/>.

References

- Allison, E. H., Perry, A. L., Badjeck, M. C., Adger, W. N., Brown, K., Conway, D., Halls, A. S., Pilling, G. M., Reynolds, J. D., Andrew, N. L., & Dulvy, N. K. (2009). Vulnerability of national economies to the impacts of climate change on fisheries. *Fish and Fisheries, 10*(2), 173–196.
- Aswani, S., Howard, J. A. E., Gasalla, M. A., Jennings, S., Malherbe, W., Martins, I. M., Salim, S. S., Van Putten, I. E., Swathilekshmi, P. S., Narayanakumar, R., & Watmough, G. R. (2018). An integrated framework for assessing coastal community vulnerability across cultures, oceans and Scales. *Climate and Development, 11*(4), 365–382.
- Barange, M., Bahri, T., Beveridge, M. C., Cochrane, K. L., Funge-Smith, S., & Poulain, F. (2018). Impacts of climate change on fisheries and aquaculture. *United Nations' Food and Agriculture Organization, 12*(4), 628–635.
- Benansio, J. S., & Jiddawi, N. (2016). Investigating changes in fish biodiversity in coastal villages of Zanzibar Island. *Tanzania. International Journal of Fisheries Aquaculture, 8*(12), 117–125.
- Brodie Rudolph, T., Ruckelshaus, M., Swilling, M., Allison, E. H., Österblom, H., Gelcich, S., & Mbatha, P. (2020). A transition to sustainable ocean governance. *Nature Communications, 11*, 3600.
- Brooks, N., Adger, W. N., & Kelly, P. M. (2005). The determinants of vulnerability and adaptive capacity at the national level and the implications for adaptation. *Global Environmental Change, 15*(2), 151–163.
- Bueno-Pardo, J., Nobre, D., Monteiro, J. N., Sousa, P. M., Costa, E. F. S., Baptista, V., Ovelheiro, A., Vieira, V. M. N. C. S., Chícharo, L., Gaspar, M., Erzini, K., Kay, S., Queiroga, H., Teodósio, M. A., & Leitão, F. (2021). Climate change vulnerability assessment of the main marine commercial fish and invertebrates of Portugal. *Scientific Reports, 11*, 2958.
- Campbell, B. M., Vermeulen, S. J., Aggarwal, P. K., Corner-Dolloff, C., Girvetz, E., Loboguerrero, A. M., Ramirez-Villegas, J., Rosenstock,

T., Sebastian, L., Thornton, P. K., & Wollenberg, E. (2016). Reducing risks to food security from climate change. *Global Food Security, 11*, 34–43.

- Cheung, W. W., Pitcher, T. J., & Pauly, D. (2005). A fuzzy logic expert system to estimate intrinsic extinction vulnerabilities of marine fishes to fishing. *Biological Conservation, 124*(1), 97–111.
- Cinner, J. E., & Barnes, M. L. (2019). Social dimensions of resilience in social-ecological systems. *One Earth, 1*(1), 51–56.
- Cinner, J. E., Huchery, C., Darling, E. S., Humphries, A. T., Graham, N. A., Hicks, C. C., Marsahll, N., & McClanahan, T. R. (2013). Evaluating social and ecological vulnerability of coral reef fisheries to climate change. *PLoS ONE, 8*(9), e74321.
- Cinner, J. E., McClanahan, T. R., Graham, N. A., Daw, T. M., Maina, J., Stead, S. M., Wamukota, A., Brown, K., & Bodin, Ö. (2012). Vulnerability of coastal communities to key impacts of climate change on coral reef fisheries. *Global Environmental Change, 22*(1), 12–20.
- Cooley, S., Schoeman, D., Bopp, L., Boyd, P., Donner, S., Ito, S. -I., Kiessling, W., Martinetto, P., Ojea, E., Racault, M. -F., Rost, B., & Skern-Mauritzen, M. (2022). Oceans and coastal ecosystems and their services. In *IPCC AR6 WGII*. Cambridge University Press.
- Costello, C., Cao, L., Gelcich, S., Cisneros-Mata, M. Á., Free, C. M., Froehlich, H. E., Golden, C. D., Ishimura, G., Maier, J., Macadam-Somer, I., Mangin, T., Melnychuk, M. C., Miyahara, M., de Moor, C. L., Naylor, R., Nøstbakken, L., Ojea, E., O'Reilly, E., Parma, A. M., … Lubchenco, J. (2020). The future of food from the sea. *Nature, 588*(7836), 95–100.
- Ding, Q., Chen, X., Hilborn, R., & Chen, Y. (2017). Vulnerability to impacts of climate change on marine fisheries and food security. *Marine Policy, 83*, 55–61.
- Edvardsson, K. N., Păstrăv, C., & Benediktsson, K. (2018). Mapping the geographical consolidation of fishing activities in Iceland during the maturation of the ITQ fisheries management system. *Applied Geography, 97*, 85–97.
- FAO, U. W. F. P. (2010). The state of food insecurity in the world 2010− addressing food insecurity in protracted crises. In: FAO Rome.
- Fischer, J., Gardner, T. A., Bennett, E. M., Balvanera, P., Biggs, R., Carpenter, S., Daw, T., Folke, C., Hill, S., Hughes, T. P., Luthe, T., Maass, M., Maecham, M., Norström, A. V., Peterson, G., Queiroz, C., Seppelt, R., Spierenburg, M., & Tenhunen, J. (2015). Advancing sustainability through mainstreaming a social–ecological systems perspective. *Current Opinion in Environmental Sustainability, 14*, 144–149.
- Gaichas, S., Link, J., & Hare, J. (2014). A risk-based approach to evaluating northeast US fish community vulnerability to climate change. *ICES Journal of Marine Science, 71*(8), 2323–2342.
- García-del-Amo, D., Mortyn, P. G., & Reyes-García, V. (2020). Including indigenous and local knowledge in climate research: An assessment of the opinion of Spanish climate change researchers. *Climatic Change, 160*(1), 67–88.
- Golden, C. D., Allison, E. H., Cheung, W. W., Dey, M. M., Halpern, B. S., McCauley, D. J., Smith, M., Vaitla, B., Zeller, D., & Myers, S. S. (2016). Nutrition: Fall in fish catch threatens human health. *Nature, 534*(7607), 317–320.
- Hall, S. J., Hilborn, R., Andrew, N. L., & Allison, E. H. (2013). Innovations in capture fisheries are an imperative for nutrition security in the developing world. *Proceedings of the National Academy of Sciences, 110*(21), 8393–8398.
- Hare, J. A., Morrison, W. E., Nelson, M. W., Stachura, M. M., Teeters, E. J., Griffis, R. B., Alexander, M. A., Scott, J. D., Alade, L., Bell, R. J., Chute, A. S., Cuti, K. L., Curtis, T. H., Kircheis, D., Kocik, J. F., Lucey, S. M., McCandless, C. T., Milke, L. M., Richardson, D. E., … Griswold, C. A. (2016). A vulnerability assessment of fish and invertebrates to climate change on the Northeast US Continental Shelf. *PLoS ONE, 11*(2), e0146756.
- Hicks, C. C., Cohen, P. J., Graham, N. A., Nash, K. L., Allison, E. H., D'Lima, C., Mills, D. J., Roscher, M., Thilsted, S. H., Thorne-Lyman, A. L., & MacNeil, M. A. (2019). Harnessing global fisheries to tackle micronutrient deficiencies. *Nature, 574*(7776), 95–98.
- Huang, B., Thorne, P. W., Banzon, V. F., Boyer, T., Chepurin, G., Lawrimore, J. H., Menne, M. J., Smith, T. M., Vose, R. S., & Zhang, H.-M. (2017). Extended reconstructed sea surface temperature, version 5 (ERSSTv5): Upgrades, validations, and intercomparisons. *Journal of Climate, 30*(20), 8179–8205.
- Hughes, S., Yau, A., Max, L., Petrovic, N., Davenport, F., Marshall, M., McClanahan, T. R., Allison, E. H., & Cinner, J. A. (2012). A framework to assess national level vulnerability from the perspective of food security: The case of coral reef fisheries. *Environmental Science and Policy, 23*, 95–108.
- IPCC. (2013). *Climate Change 2013: The Physical Science Basis. Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change*. Cambridge University Press.
- IPCC. (2014). *AR5 Climate Change 2014: Impacts, Adaptation, and Vulnerability*. Cambridge University Press.
- Jacobs, Z., Yool, A., Jebri, F., Srokosz, M., van Gennip, S., Kelly, S., Roberts, M., Sauer, W., Queirós, A. M., Osuka, K. E., Samoilys, M., Beckers, A. E., & Popova, E. (2021). Key climate change stressors of marine ecosystems along the path of the East African coastal current. *Ocean & Coastal Management, 208*, 105627.
- Jebri, F., Jacobs, Z. L., Raitsos, D. E., Srokosz, M., Painter, S. C., Kelly, S., Roberts, M. J., Scott, L., Taylor, S. F. W., Palmer, M., Kizenga, H., Shaghude, Y., Wihsgott, J., & Popova, E. (2020). Interannual monsoon wind variability as a key driver of East African small pelagic fisheries. *Scientific Reports, 10*(1), 13247.
- Jouffray, J.-B., Blasiak, R., Norström, A. V., Österblom, H., & Nyström, M. (2020). The blue acceleration: The trajectory of human expansion into the ocean. *One Earth, 2*(1), 43–54.
- Lange, G.-M. (2015). Tourism in Zanzibar: Incentives for sustainable management of the coastal environment. *Ecosystem Services, 11*, 5–11.
- Mahongo, S. B., & Shaghude, Y. W. (2014). Modelling the dynamics of the Tanzanian coastal waters. *Journal of Oceanography and Marine Science, 5*(1), 1–7.
- Makame, O. M., & Salum, L. A. (2021). Vulnerability of Fishing and Fisheries Sector to Climate Change and Non-climate Risks as Perceived by Fishermen in Unguja Coastal Villages. *Carnets de Recherches de l'océan Indien*(7), 165–183.
- March, A., & Failler, P. (2022). Small-scale fisheries development in Africa: Lessons learned and best practices for enhancing food security and livelihoods. *Marine Policy, 136*, 104925.
- Marshall, N. A., Marshall, P. A., Tamelander, J., Obura, D., Malleret-King, D., & Cinner, J. E. (2010). A framework for social adaptation to climate change; sustaining tropical coastal communitites and industries*. Gland, Switzerland, IUCN. v + 36 pp.*
- McClanahan, T., Allison, E. H., & Cinner, J. E. (2015). Managing fisheries for human and food security. *Fish and Fisheries, 16*(1), 78–103.
- Obura, D. O. (2005). Resilience and climate change: Lessons from coral reefs and bleaching in the Western Indian Ocean. *Estuarine, Coastal and Shelf Science, 63*(3), 353–372.
- Ojea, E., Pearlman, I., Gaines, S. D., & Lester, S. E. (2017). Fisheries regulatory regimes and resilience to climate change. *Ambio, 46*, 399–412.
- Pecl, G. T., Ward, T. M., Doubleday, Z. A., Clarke, S., Day, J., Dixon, C., Frusher, S., Gibbs, P., Hobday, A. J., Hutchinson, N., Jennings, S., Jones, K., Li, X., Spooner, D., & Stoklosa, R. (2014). Rapid assessment of fisheries species sensitivity to climate change. *Climatic Change, 127*, 505–520.
- Reisinger, A., Howden, M., Vera, C., Garschagen, M., Hurlbert, M., Kreibiehl, S., Mach, K. J., Mintenbeck, K., O'Neill, B., Pathak, M., Pedace, R., Pörtner, H. -O., Poloczanska, E., Corradi, M. R.,

Sillmann, J., van Aalst, M., Viner, D., Jones, R., Ruane, A. C., & Ranasinghe, R. (2020). The concept of risk in the IPCC Sixth Assessment Report: A summary of cross-working group discussions. *Intergovernmental Panel on Climate Change*, *15*.

- Reyes-García, V., Fernández-Llamazares, Á., Guèze, M., Garcés, A., Mallo, M., Vila-Gómez, M., & Vilaseca, M. (2016). Local indicators of climate change: The potential contribution of local knowledge to climate research. *Wiley Interdisciplinary Reviews: Climate Change, 7*(1), 109–124.
- Rosenzweig, C., & Neofotis, P. (2013). Detection and attribution of anthropogenic climate change impacts. *Wiley Interdisciplinary Reviews: Climate Change, 4*(2), 121–150.
- Roxy, M. K., Ritika, K., Terray, P., & Masson, S. (2014). The curious case of Indian Ocean warming. *Journal of Climate, 27*(22), 8501–8509.
- Roxy, M. K., Ritika, K., Terray, P., Murtugudde, R., Ashok, K., & Goswami, B. (2015). Drying of Indian subcontinent by rapid Indian Ocean warming and a weakening land-sea thermal gradient. *Nature Communications, 6*(1), 7423.
- Sala, E., Mayorga, J., Bradley, D., Cabral, R. B., Atwood, T. B., Auber, A., Cheung, W., Costello, C., Ferretti, F., Friedlander, A. M., Gaines, S. D., Garilao, C., Goodell, W., Halpern, B. S., Hinson, A., Kaschner, K., Kesner-Reyes, K., Leprieur, F., & McGowan, J.& Lubchenco, J. (2021). Protecting the global ocean for biodiversity, food and climate. *Nature, 592*(7854), 397–402.
- Salgueiro-Otero, D., & Ojea, E. (2020). A better understanding of social-ecological systems is needed for adapting fisheries to climate change. *Marine Policy, 122*, 104123.
- Samoilys, M. A., & Kanyange, N. W. (2008). Assessing links between marine resources and coastal peoples' livelihoods: perceptions from Tanga, Tanzania. *IUCN Eastern and Southern Africa Regional Office, Nairobi*.
- Schmidhuber, J., & Tubiello, F. N. (2007). Global food security under climate change. *Proceedings of the National Academy of Sciences, 104*(50), 19703–19708.
- Schott, F. A., Xie, S. P., & McCreary Jr, J. P. (2009). Indian Ocean circulation and climate variability. *Reviews of Geophysics*, *47*(1).
- Sekadende, B., Scott, L., Anderson, J., Aswani, S., Francis, J., Jacobs, Z., Jebri, F., Jiddawi, N., Kamukuru, A. T., Kelly, S., Kizenga, H., Kuguru, B., Kyewalyanga, M., Noyon, M., Nyandwi, N., Painter, S. C., Palmer, M., Raitsos, D. E., Roberts, M., & Popova, E. (2020). The small pelagic fishery of the Pemba Channel, Tanzania: What we know and what we need to know for management under climate change. *Ocean & Coastal Management*, *197*, 105322.
- Sharma, J., & Ravindranath, N. H. (2019). Applying IPCC 2014 framework for hazard-specific vulnerability assessment under climate change. *Environmental Research Communications, 1*(5), 051004.
- Sumaila, U. R., & Tai, T. C. (2020). End overfishing and increase the resilience of the ocean to climate change. *Frontiers in Marine Science, 7*, 523.
- Taylor, S. F., Aswani, S., Jiddawi, N., Coupland, J., James, P. A., Kelly, S., et al. (2021). The complex relationship between asset wealth, adaptation, and diversification in tropical fisheries. *Ocean & Coastal Management, 212*, 105808.
- Taylor, S. F., Roberts, M. J., Milligan, B., & Ncwadi, R. (2019). Measurement and implications of marine food security in the Western Indian Ocean: An impending crisis? *Food Security, 11*, 1395–1415.
- Teh, L. C., & Sumaila, U. R. (2013). Contribution of marine fisheries to worldwide employment. *Fish and Fisheries, 14*(1), 77–88.
- Thiault, L., Mora, C., Cinner, J. E., Cheung, W. W., Graham, N. A., Januchowski-Hartley, F. A., Mouillot, D., Sumaila, U. R., & Claudet, J. (2019). Escaping the perfect storm of simultaneous

climate change impacts on agriculture and marine fisheries. *Science Advances*, *5*(11).

- Tigchelaar, M., Cheung, W. W., Mohammed, E. Y., Phillips, M. J., Payne, H. J., Selig, E. R., Wabnitz, C. C. C., Oyiniola, M. A., Frölicher, T. L., Gephart, J. A., Golden, C. D., Allison, E. H., Bennett, A., Cao, L., Fanzo, J., Halpern, B. S., Lam, V. W. Y., Micheli, F., Naylor, R. L. … & Troell, M. (2021). Compound climate risks threaten aquatic food system benefits. *Nature food*, *2*(9), 673–682.
- UNEP-Nairobi Convention and WIOMSA. (2015). The regional state of the coast report: Western Indian Ocean (546 pp). Nairobi: UNEP and WIOMSA.
- Wang, H.-Y., Shen, S.-F., Chen, Y.-S., Kiang, Y.-K., & Heino, M. (2020). Life histories determine divergent population trends for fishes under climate warming. *Nature Communications, 11*(1), 4088.
- Willett, W., Rockström, J., Loken, B., Springmann, M., Lang, T., Vermeulen, S., Garnett, T., Tilman, D., DeClerck, F., Wood, A., Jonell, M., Clarck, M., Gordon, L. J., Fanzo, J., Hawkes, C., Zurayk, R., Rivera, J. A., De Vries, W., Sibanda, L. M., & Murray, C. J. L. (2019). Food in the Anthropocene: the EAT–Lancet Commission on healthy diets from sustainable food systems. *The Lancet, 393*(10170), 447–492.
- Wright, J. H., Hill, N. A., Roe, D., Rowcliffe, J. M., Kümpel, N. F., Day, M., Booker, F., & Milner-Gulland, E. J. (2016). Reframing the concept of alternative livelihoods. *Conservation Biology, 30*(1), 7–13.
- Zebisch, M., Schneiderbauer, S., Fritzsche, K., Bubeck, P., Kienberger, S., Kahlenborn, W., Schwan, S., & Below, T. (2021). The vulnerability sourcebook and climate impact chains–a standardised framework for a climate vulnerability and risk assessment. *International Journal of Climate Change Strategies and Management*.

Lara Paige Brodie is a Masters Graduate, who obtained her International Master of Science in Marine Biological Resources degree, specifically in applied Ecology and Conservation from a consortium of universities including Ghent University and Sorbonne University. She also obtained a Bachelor (Hons) of Science in Biodiversity and

Ecology from Stellenbosch University, South Africa. Her interest lies in bridging gaps between environmental sustainability and human development.

Smit Vasquez Caballero is an researcher in the field of applied microeconomics and econometrics, specializing in fisheries, climate change adaptation, and food loss and waste. He obtained his Ph.D. in Applied Economics from Oregon State University. His past research includes the development of a model examining fisheries participation and location choice within the U.S.

West Coast Salmon troll fishery. He has also explored the dynamics of fisheries portfolios and climate adaptation among Galician fishers, conducted vulnerability assessments in Mediterranean fisheries, and employed production and market models to facilitate a Management Strategic Evaluation of the American lobster fishery.

Elena Ojea is a senior researcher at CIM-Universidade de Vigo (Spain) currently leading the Future Oceans Lab. She conducts research on adaptation solutions for marine social-ecological systems that allow for sustainable management, equity and livelihood support. Elena holds an undergrad in Environmental Sciences and a PhD in Economics. She was granted an European

Research Council Starting Grant in 2016 (CLOCK) on fisheries adaptation to climate change. Elena has been a recurrent visiting scholar at the Bren School for Environmental Science and Policy (USA) since 2014. She is a lead author in the recently released IPCC $6th$ Assessment Report, in WG2 in the oceans chapter.

Sarah F. W. Taylor is an Environmental Economist at the National Oceanography Centre, UK. Her research line is focused around the field of food security in marine dependent countries, climate change, and the impact of changing oceans on developing economies, small- scale fisheries, and coastal communities.

Patrick Vianello is a Physical Oceanographer with research experience particularly in the Western Indian Ocean. He works as a research associate at Nelson Mandela University. Through his studies he obtained a Mechanical Engineering undergraduate, Masters in Applied Marine Science (Oceanography) and PhD in Physical Oceanography, all from the University of Cape Town. Patrick is passionate about the

oceans and applies his physics/computer programming skills etc. to data obtained from the oceans and from satellites (which in turn collects data from the ocean surface).

Narriman Jiddawi is a Pew fellow in Marine Conservation since 2006. She has extensive experience in conducting research, lecturing and teaching. Her scientific work on marine biology has always been closely tied to socioeconomic analysis, policy formulation and stakeholder empowerment to promote marine conservation. She has conducted extensive multidisciplinary work involving both biological and social sciences in marine and

coastal areas of East Africa and has been a pivot player in several projects.

Michael Roberts Professor Roberts holds a UK-SA Bilateral research Chair in Ocean Science & Marine Food Security between NMU and the University of Southampton. He has over 35 years working in this domain, and currently has a team comprising 65 scientists, postdocs and postgrad students spread between South Africa, the UK, France, Kenya, Mozambique, Tanzania and Madagascar. The focus of this research group is to understand current trends and predict future changes in the marine ecosystems in the Western Indian Ocean, and the impacts on the fisheries and people living in this region.

Shankar Aswani Professor Aswani (Ph.D. 1997, U of Hawaii) comes to Rhodes University from the Department of Anthropology and the Interdepartmental Graduate Program in Marine Sciences at the University of California in Santa Barbara, USA. Aswani has conducted research in the Western Solomons Islands since 1992. His projects have focused on a diversity of subjects including property rights and common property resources, marine indigenous environmental knowledge, cultural ecology and human behavioural ecology of fishing, demography, ethno-

history, political ecology, economic anthropology, and applied anthropology. He also has developed a network of locally managed Marine Protected Areas (30 MPAs) and small-scale rural development projects in the Roviana, Vonavona, and Marovo Lagoons. He heads a program named the Western Solomons Conservation Program (WSCP). As a result of this effort, a Pew Fellowship in Marine Conservation was awarded to Aswani in 2005. He is also involved in archaeological/historical ecology projects in the Solomon Islands and more recently in a project sponsored by the National Geographic Society in the Marquesas, French Polynesia. Also, he developed a field school program on ecological anthropology and marine science in the Western Solomons. In recent years Aswani and his research team have studied the effects of the 2007 Western Solomons Tsunami on coastal communities as well as ongoing ecological and social changes caused by global climate change. Professor Aswani is developing similar projects in South Africa as well as in other coastal communities in East and West Africa. Professor Aswani was recently rated as a B (2) scientist by the South Africa National Research Foundation (NRF) (2015-2020).

Juan Bueno‑Pardo is a researcher of the University of Vigo working on the interface between ecological processes and social sciences in the marine realm. His career started at the Spanish Insitute of Oceanography where he conducted a Ph.D. thesis on the scope of the Metabolic Theory of Ecology. He is currently leading different work-packages and tasks of the European projects FutureMARES and ACT-

NOW, where he is focussed on developing policy-relevant tools for the assessment of social and ecological climate-related risks.