

# Ozone dose-response relationships for wheat can be derived using photosynthetic-based stomatal conductance models

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## ABSTRACT

Ground-level ozone (O<sub>3</sub>) pollution occurs across many important agricultural regions in Europe, North America, and Asia, negatively impacting O<sub>3</sub>-sensitive crops such as wheat. Risk assessment methods to quantify the magnitude and spatial extent of O<sub>3</sub> pollution have often used dose-response relationships. In Europe, the dose metrics used in these relationships have evolved from concentration- to flux-based metrics since stomatal O<sub>3</sub> flux has been found to correlate better with yield losses. Estimates of stomatal conductance ( $g_{sto}$ ) have to date used an empirical multiplicative model. However, other more mechanistic approaches are available, namely the coupled photosynthetic-stomatal conductance ( $A_{net}g_{sto}$ ) model. This study used a European O<sub>3</sub> OTC and solardome fumigation experimental dataset (comprising 6 cultivars, 4 countries and 14 years) to develop a new flux-based dose-response relationship for wheat yield using the mechanistic  $A_{net}g_{sto}$  model ( $A_{net}g_{sto}mech$ ). The  $A_{net}g_{sto}mech$  model marginally improved the regression of the dose-response relationship ( $R^2 = 0.74$ ) when compared to the flux-response models derived from empirical  $g_{sto}$  models. In addition, the  $A_{net}g_{sto}mech$  model was somewhat better at predicting the effect of high O<sub>3</sub> concentrations on diurnal and seasonal profiles of  $g_{sto}$  and  $A_{net}$ . It was also better able to simulate changes of up to 7 and 12 days, respectively, in the start (SOS) and end (EOS) of senescence, an important determinant of yield loss, over a range of O<sub>3</sub> treatments. We conclude that  $A_{net}g_{sto}mech$  model can be used to derive robust flux-response relationships.

## 1. Introduction

Empirical evidence from Europe, North America and Asia shows that O<sub>3</sub> is causing a range of impacts on staple crops such as wheat (Hansen et al., 2019; Feng et al., 2022; Büker et al., 2015). These impacts include altered stomatal conductance ( $g_{sto}$ ) (Danielsson et al., 2003; Ghosh et al., 2020), reduced photosynthesis ( $A_{net}$ ) (Ojanperä et al., 1998) and early and enhanced leaf senescence (Osborne et al., 2019; Gelang et al., 2000). Effects on leaf senescence can lead to a reduction in  $A_{net}$  and  $g_{sto}$  and a shorter grain-filling period (Gelang et al., 2000) thus decreasing yield (Pleijel et al., 2022) and biomass (Feng et al., 2021). Experimental meta-analyses have found that wheat yield losses can range from 3 to 50 % when O<sub>3</sub> concentrations (described as a 7hr daylight mean over the growing season) range from 5 to 115 ppb (Mills et al., 2018). Risk assessments performed on application of dose-response relationships derived from such experimental data (Pleijel et al., 2007) estimate O<sub>3</sub>

induced yield losses of between 12 and 15 % globally, causing production losses of approximately 85 million tonnes (Mills et al., 2018). These losses in productivity are a cause for concern, given the importance of wheat as a staple crop for approximately 35 % of the global population (Grote et al., 2021) and that the annual consumption of wheat worldwide is approximately 791 million tonnes (United States Department of Agriculture, 2023). Evidence also suggests that the threat from O<sub>3</sub> pollution will continue into the future. Background O<sub>3</sub> concentrations have remained high over agriculturally important regions (Feng et al., 2019; Arnold et al., 2021; Boleti et al., 2020; Sicard et al., 2021) across Europe (Rega et al., 2020) and both background and peak O<sub>3</sub> concentrations are increasing in the Indo-Gangetic plains in south Asia (Shah et al., 2019), and the North China Plain in East Asia (Liu et al., 2016). To estimate the threat from O<sub>3</sub> pollution, risk assessment modelling methods have been developed to assess the current and future effects of O<sub>3</sub> on crop growth and yield at national, regional, and global scales

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(Emberson et al., 2018). These methods often use experimental O<sub>3</sub> filtration/fumigation data to derive dose-response relationships and hence require the identification of a suitable dose metric capable of predicting O<sub>3</sub> damage (i.e., yield loss for crops). Metrics would ideally be able to incorporate the effects of species and cultivar as well as management practices (e.g. irrigation) that are known to alter sensitivity to O<sub>3</sub> pollution (Mills et al., 2018; Anav et al., 2016; Osborne et al., 2019). Metrics have evolved over the past decade moving from concentration- to flux-based indices (Grulke and Heath, 2019; Pleijel et al., 2007; Mills et al., 2018) with the flux-based approach allowing O<sub>3</sub> concentrations to be decoupled from O<sub>3</sub> exposure when conditions (e.g., high atmospheric or soil water deficits) limit stomatal O<sub>3</sub> uptake (Emberson et al., 2018; Tai et al., 2021). This capability of the flux-based approach has been shown to give more reliable estimates of the spatial extent of O<sub>3</sub> damage (Mills et al., 2011).

Consequently, the stomatal O<sub>3</sub> flux metric, denoted as Phytotoxic Ozone Dose (PODy) has been adopted by the UNECE Convention on Long-Range Transboundary Air Pollution (LRTAP) to develop dose-response relationships for the derivation of ‘critical levels’ for Europe; these are levels below which crop damage would not be expected to occur according to current knowledge (LRTAP Convention, 2017). These ‘critical levels’ have been used to establish national and regional air quality standards for the formulation of emission reduction policy (Massman et al., 2000; Emberson et al., 2000; Mills et al., 2011). Current flux-response relationships have been developed using an empirical multiplicative  $g_{sto}$  model (LRTAP Convention, 2017), a component of the DO<sub>3</sub>SE O<sub>3</sub> deposition model used in European scale modelling (Simpson et al., 2012) to calculate stomatal O<sub>3</sub> flux for crops grown in European filtration/fumigation experiments. This approach allows accumulated stomatal O<sub>3</sub> flux (PODy) to be calculated over a growing season and plotted against relative yield loss for a range of experimental O<sub>3</sub> treatments. A response relationship can then be derived from statistical linear regression of these pooled data points (Pleijel et al., 2022). In Europe, flux-response relationships for wheat are based on data from 4 European countries, encompassing 14 years and 6 cultivars (LRTAP Convention, 2017).

An important criticism and limitation of existing flux-response relationships is that the estimate of  $g_{sto}$  is not related to the plant’s main physiological requirement for gas exchange, which is the uptake of CO<sub>2</sub> for carbon assimilation by photosynthesis. This creates a disconnect between O<sub>3</sub> stomatal uptake and critical physiological processes such as photosynthesis, respiration, carbon accumulation, and allocation, development, growth, and yield (Ball et al., 1987; Wang et al., 2009). Stomatal conductance models coupled to photosynthesis were developed in the early 1990s (Leuning et al., 1995) and work on a supply and demand basis whereby stomatal opening regulates the CO<sub>2</sub> availability (supply) and the photosynthetic process in the leaf’s chloroplasts determines the plant’s need for CO<sub>2</sub> (demand), thereby controlling  $g_{sto}$  according to the requirements for photosynthesis. These models are more complex than the empirical multiplicative  $g_{sto}$  model since they require an estimate of photosynthesis, which often involves applying a biochemical model to simulate plant physiological processes (Büker et al., 2007; Op De Beeck et al., 2010). However, using a multiplicative model requires more parameters and cannot consider the interaction of different environmental variables at the same time. Using an  $A_{net}g_{sto}$  approach would also allow a more mechanistic representation of O<sub>3</sub> effects on growth and yield to be explored (Emberson et al., 2018; Büker et al., 2007). This is important as O<sub>3</sub> is thought to cause damage via both an instantaneous effect on photosynthesis as well as a longer-term effect that induces early onset senescence which may lead to earlier maturity and a shorter time period for grain filling (Ewert and Porter, 2000; Emberson et al., 2018).

In this paper, we develop leaf level  $A_{net}g_{sto}$  models suitable for quantifying stomatal O<sub>3</sub> flux. The aims of this paper are (i) to assess the ability of the multiplicative  $g_{sto}$  and  $A_{net}g_{sto}$  models (an empirical  $A_{net}g_{sto}$  model ( $A_{net}g_{sto}emp$ ) and a mechanistic  $A_{net}g_{sto}$  model ( $A_{net}g_{sto}mech$ )) to

simulate  $g_{sto}$  (and  $A_{net}$ ), (ii) to assess the ability of  $A_{net}g_{sto}$  models to simulate O<sub>3</sub> damage to photosynthesis and leaf senescence, and (iii) to compare the ability of multiplicative  $g_{sto}$  and  $A_{net}g_{sto}$  models to simulate yield loss and hence derive flux-response relationships. This will be achieved by re-analysis of the European wheat flux-response data used to derive the current UNECE LRTAP Convention flux response relationship (LRTAP Convention, 2017) along with additional data from the UK and Sweden which provide further insight into the effects of O<sub>3</sub> concentrations on leaf physiology and senescence. These three models were not designed to simulate dynamic crop growth or yield but rather to estimate cumulative stomatal O<sub>3</sub> flux for regression against yield to develop flux response relationships. The models can be tested against observed  $A_{net}$ ,  $g_{sto}$  and Chlorophyll Content Index (CCI) data to assess their ability in simulating key aspects of leaf physiology that determine O<sub>3</sub> uptake and damage.

## 2. Methods

### 2.1. Stomatal conductance models

#### 2.1.1. $g_{sto}emp$ model

The  $g_{sto}emp$  model is an empirical model that estimates  $g_{sto}$  according to environmental modifications to a species-specific maximum stomatal conductance value ( $g_{max}$ ) (Jarvis, 1976; Emberson et al., 2000; Pleijel et al., 2007) written as;

$$g_{sto} = g_{max} \cdot \left[ \min \left( leaf f_{phen}, f_{O_3} \right) \cdot f_{light} \cdot \max \left\{ f_{min}, \left( f_{temp} f_{VPD} f_{SWP} \right) \right\} \right] \quad (1)$$

Where  $g_{sto}$  is the flag leaf stomatal conductance (mmol O<sub>3</sub> m<sup>-2</sup> PLA s<sup>-1</sup> where PLA is the projected leaf area) and  $g_{max}$  is the species-specific maximum  $g_{sto}$ . The parameters  $leaf f_{phen}$ ,  $f_{O_3}$ ,  $f_{light}$ ,  $f_{temp}$ ,  $f_{VPD}$ , and  $f_{SWP}$  account for the effect of phenology, O<sub>3</sub>, light, temperature, vapour pressure deficit (VPD), and soil water potential (SWP) on  $g_{max} \cdot f_{min}$  is the fractional minimal daylight  $g_{sto}$ . These functions have values ranging from 0 to 1. Since wheat grown in the filtration/fumigation studies was always well-watered, we assume that  $f_{SWP}$  equals 1. The DO<sub>3</sub>SE algorithms and parameters for these functions are described in equations S1-S5 and Table S1 respectively after Grünhage et al. (2012) and the LRTAP Convention (2017).

#### 2.1.2. $A_{net}g_{sto}emp$ model

The coupled  $A_{net}g_{sto}emp$  model provides a consistent estimate of the exchange of CO<sub>2</sub> (driven by supply and demand of CO<sub>2</sub> for photosynthesis and its products) on consideration of water loss controlled by  $g_{sto}$ . The  $A_{net}g_{sto}emp$  model consists of a combination of two separate models: a) the mechanistic and biochemical photosynthesis model (Farquhar et al., 1980; Harley et al., 1992) that estimates net photosynthesis ( $A_{net}$ ), and b) the coupled  $A_{net}g_{sto}$  model of (Leuning, 1995) that estimates  $g_{sto}$ .

The  $A_{net}$  model assumes that photosynthesis is limited, according to prevailing environmental conditions, by three different mechanisms: i. rubisco activity ( $A_c$ ); ii. the regeneration of ribulose-1,5-bisphosphate (RuBP) which is limited by the rate of electron transport ( $A_j$ ) and iii. the rate of transport of photosynthetic products ( $A_p$ ) (Sharkey et al., 2007). These influences on  $A_{net}$  are calculated by determination of the smaller of these theoretical CO<sub>2</sub> assimilation rates less the rate of dark respiration ( $R_d$ ) (Harley et al., 1992), see equations [2] to [5].

$$A_{net} = \min(A_c, A_j, A_p) - R_d \quad (2)$$

where;

$$A_c = \frac{(C_i - \Gamma^*) \cdot Vc_{max25} \cdot f_{O_3} \cdot leaf f_{phen}}{C_i + K_c \left( 1 + \frac{O_i}{K_o} \right)} \quad (3)$$

$$A_j = J \cdot \frac{C_i - \Gamma^*}{a \cdot C_i + b \cdot \Gamma^*} \quad (4)$$

$$A_p = 0.5 \cdot V_{cmax25} \quad (5)$$

Where  $V_{cmax25}$  is the maximum rate of RuBP carboxylation catalysed by the enzyme Rubisco at 25 °C (leaf temperature),  $C_i$  and  $O_i$  are the intercellular CO<sub>2</sub> and O<sub>2</sub> concentrations respectively;  $K_c$  and  $K_o$  are the Rubisco Michaelis-Menten constants for CO<sub>2</sub> and O<sub>2</sub> respectively;  $\Gamma^*$  is the CO<sub>2</sub> compensation point in the absence of respiration.  $J$  is the electron transport rate, which increases linearly with incident photosynthetically active photon flux density ( $Q$ ,  $\mu\text{mol}/\text{m}^2/\text{s}$ ) until light saturation is reached, beyond which  $J$  approaches a maximum value known as  $J_{max}$  (Buker et al., 2007).  $c_s$  is the CO<sub>2</sub> concentration at the leaf surface and  $\Gamma$  is the CO<sub>2</sub> compensation point, calculated according to Buker et al. (2007).

In the photosynthetic model by Sharkey et al. (2007), the parameters 'a' and 'b' reflect conservative estimates for the electron transport rate during carboxylation and oxygenation, assumed to be 4 and 8 electrons respectively, allowing for the regeneration of RuBP and the formation of NADPH and ATP in the Calvin cycle.  $A_c$  is modified to include  $f_{O_3}$  and leaf  $f_{phen}$  to empirically define the effect of leaf age and O<sub>3</sub> induced senescence on  $g_{sto}$  (Ewert et al., 1999). This allows  $V_{cmax25}$  to change throughout the growing season. Since O<sub>3</sub> primarily causes a limitation to Rubisco (Ewert et al., 1999), we do not include O<sub>3</sub> damage in estimates of  $A_j$  and  $A_p$ .

$g_{sto}$  is calculated from  $A_{net}$  using an empirical relationship between  $g_{sto}$ ,  $A_{net}$  and environmental variables following an approach first developed by Ball et al. (1987) and modified by Leuning (1995) as described in equation [6].

$$g_{sto} = [g_{min} + (m \cdot A_{net} \cdot f_{VPD}) / (c_s - \Gamma)] \quad (6)$$

Where  $g_{min}$  is the minimal daylight  $g_{sto}$  value (Leuning, 1995). The parameter  $m$  describes the species-specific sensitivity to  $A_{net}$  and CO<sub>2</sub> concentration at the leaf surface.  $c_s$  is the CO<sub>2</sub> concentration at the leaf surface and  $\Gamma$  is the CO<sub>2</sub> compensation point calculated according to Buker et al. (2007).

The use of the multiplicative  $g_{sto}$  models  $f_{VPD}$  relationship (Danielsson et al., 2003; Pleijel et al., 2007; LRTAP Convention, 2017) ensures consistency between the  $g_{sto}emp$  and  $A_{net}g_{sto}emp$  modelling methods used in this study, see equation [7].

$$f_{VPD} = \left(1 + \left(\frac{VPD}{VPD_o}\right)^8\right)^{-1} \quad (7)$$

where  $VPD_o$  is the VPD threshold (Leuning et al., 1998) parameterised to reflect a more gradual decrease in  $g_{sto}$  with increasing VPD compared to that previously suggested by Leuning's (1995) hyperbolic function (see Fig S1). The  $A_{net}g_{sto}emp$  model follows the same method as used in the  $g_{sto}emp$  model to calculate the O<sub>3</sub> (i.e. the  $f_{O_3}$  function) and phenology (i.e. the leaf  $f_{phen}$  function) effect on conductance. The only structural difference between the  $A_{net}g_{sto}emp$  and  $A_{net}g_{sto}mech$  model lies in a more mechanistic approach in the latter to model these effects..

### 2.1.3. $A_{net}g_{sto}mech$ model

The  $A_{net}g_{sto}mech$  model simulates the loss of instantaneous photosynthetic activity and the acceleration of leaf senescence using a mechanistic approach to modify the Rubisco-limited rate of photosynthesis ( $A_c$ ) following the approach of Ewert & Porter (2000) as described in equation [8].

$$A_c = \frac{(C_i - \Gamma^*) \cdot V_{cmax} \cdot f_{O_{3,s}}(d) \cdot f_{LS}}{C_i + K_c \left(1 + \frac{O_i}{K_o}\right)} \quad (8)$$

The short-term impact of O<sub>3</sub> on  $A_c$  is calculated according to the

$f_{O_{3,s}}(d)$  term, the cumulative daylight hour effect of O<sub>3</sub> on  $V_{cmax}$ , which allows for an instantaneous effect of O<sub>3</sub> on photosynthesis when stomatal O<sub>3</sub> flux overwhelms detoxification and repair mechanisms (Betzelberger et al., 2012; Feng et al., 2022).  $f_{O_{3,s}}(d)$  is estimated by calculating  $f_{O_{3,s}}(h)$  (representing the linear relationship between stomatal O<sub>3</sub> flux ( $f_{st}$ ) and a decrease in  $A_c$  calculated for every hour as described in equation [9]

$$\begin{aligned} f_{O_{3,s}}(h) &= 1; \text{ for } f_{st} \leq \frac{\gamma_1}{\gamma_2} \\ f_{O_{3,s}}(h) &= 1 + \gamma_1 - \gamma_2 * f_{st}; \text{ for } \frac{\gamma_1}{\gamma_2} < f_{st} < \frac{1 + \gamma_1}{\gamma_2} \\ f_{O_{3,s}}(h) &= 0; \text{ for } f_{st} \geq \frac{1 + \gamma_1}{\gamma_2} \end{aligned} \quad (9)$$

where  $\gamma_1$  and  $\gamma_2$  are both short-term O<sub>3</sub> damage coefficients, with  $\frac{\gamma_1}{\gamma_2}$  representing the O<sub>3</sub> detoxification threshold below which no damage occurs to the photosynthetic system and  $\gamma_2$  determines the effect of  $f_{st}$  on  $A_c$ , see Section 2.2 for the  $f_{st}$  calculation, which is estimated for the previous hour.  $f_{O_{3,s}}(d)$  and  $f_{O_{3,s}}(d-1)$  are calculated as described in equation [10].

$$\begin{aligned} f_{O_{3,s}}(d) &= f_{O_{3,s}}(h) * r_{O_{3,s}}; \text{ for } PAR \leq 50 \text{ W m}^{-2} \\ f_{O_{3,s}}(d) &= f_{O_{3,s}}(h) * f_{O_{3,s}}(d-1); \text{ for } PAR > 50 \text{ W m}^{-2} \end{aligned} \quad (10)$$

Where the term  $f_{O_{3,s}}(d)$  describes the instantaneous O<sub>3</sub> effect on  $V_{cmax25}$  which is allowed to build over the course of the daylight period (when photosynthetically active radiation (PAR) is greater than 50 W m<sup>-2</sup>) from an initial value which is determined by the previous days  $f_{O_{3,s}}(d-1)$  value and an allowance for incomplete overnight recovery in  $V_{cmax25}$  which varies with leaf age as described by  $r_{O_{3,s}}$  term in equation [11].

$$r_{O_{3,s}} = f_{O_{3,s}}(d-1) + (1 - f_{O_{3,s}}(d-1)) * f_{LA} \quad (11)$$

Where  $f_{LA}$  defines leaf age and is calculated as

$$\begin{aligned} f_{LA} &= 1; \text{ for } TT_{leaf} \leq tl, em \\ f_{LA} &= 1 - \frac{(tl - tl_{em})}{tl_{ma}}; \text{ for } tl, em < TT_{leaf} < tl \\ f_{LA} &= 0; \text{ for } TT_{leaf} \geq tl \end{aligned} \quad (12)$$

The long-term impact of O<sub>3</sub> on  $V_{cmax25}$  represented by the  $f_{LS}$  term represents the longer-term accumulation of stomatal O<sub>3</sub> flux ( $acc_{fst}$ ) causing degradation to the Rubisco enzyme triggering early and enhanced senescence of mature leaves (Gelang et al., 2000; Osborne et al., 2019). The simulation of  $f_{LS}$  (and  $f_{LA}$  used in the short-term O<sub>3</sub> effect) are related to thermal time defined periods over the course of the flag leaf life span defined as a mature ( $tl, ep$ ) and a senescing ( $tl, se$ ) stage which together comprise the full flag leaf lifespan ( $tl, ma$ ), equivalent to leaf  $f_{phen}$  in the empirical models. The  $tl, ep$  stage defines the period between the start of anthesis and start of senescence (SOS). The  $tl, se$  stage simulates the decline in chlorophyll content and depicts the period between SOS and the end of senescence (EOS), see Section 2.4 for the SOS and EOS calculation.  $TT_{leaf}$  represents the cumulative thermal time. This value is determined by integrating daily mean temperature over a 24-hour period and accumulating over the course of the growing season.

Equations S5 and S6 give the leaf  $f_{phen}$  and  $tl, ma$  equations and Fig. S2 describes the relationship between leaf  $f_{phen}$ ,  $f_{LS}$  and  $f_{LA}$ . The O<sub>3</sub> effect on  $f_{LS}$  is first simulated by estimating a weighted accumulated  $f_{st}$  ( $f_{O_3, l}$ ) modified from Ewert and Porter (2000) by

$$f_{O_3, l} = 1 - \max(\min(\gamma_3 * POD_y, 1), 0) \quad (13)$$

where  $\gamma_3$  determines the reduction in  $tl, ma$  as  $POD_y$  (in  $\mu\text{mol m}^{-2}$ ) increases and  $POD_y$  is calculated as described in equation [19].

The SOS is determined by  $\gamma_4$ , whilst  $\gamma_5$  determines maturity (or EOS).

$$\begin{aligned} t_{lep_{O_3}} &= t_{lep} * (1 - ((1 - f_{O_3}) * \gamma_4)) \\ t_{lse_{O_3}} &= t_{lse} * (1 - ((1 - f_{O_3}) * \gamma_5)) + zc \end{aligned} \quad (14)$$

$$zc = t_{lep} - t_{lep_{O_3}} \quad (15)$$

Where,  $t_{lep_{O_3}}$  is  $t_{lep}$  with an  $O_3$  effect which may bring the onset of senescence earlier, and  $t_{lse_{O_3}}$  is  $t_{lse}$  with an  $O_3$  effect which may bring maturity earlier.  $f_{L_s}$  is estimated by,

$$\begin{aligned} f_{L_s} &= 1; \text{ for } TT_{leaf} \leq t_l, em + t_l, ep \\ f_{L_s} &= 1 - \frac{TT_{leaf} - t_{em} - t_{lep_{O_3}}}{t_{lse_{O_3}}}; \text{ for } t_l, em + t_l, ep < TT_{leaf} < t_l \\ f_{L_s} &= 0; \text{ for } TT_{leaf} \geq t_l \end{aligned} \quad (16)$$

## 2.2. Estimation of $O_3$ uptake ( $f_{st}$ ) and $POD_y$

For all models used in this study  $f_{st}$  (in  $nmol\ O_3\ PLA\ m^{-2}\ s^{-1}$ ) is calculated as a function of  $O_3$  concentration at the leaf boundary layer,  $g_{sto}$  and  $O_3$  deposition to the external leaf surface (see equations [17], [18] and [19]) following the [LRTAP Convention \(2017\)](#).

$$f_{st} = [O_3] * (g_{sto}) * \left( \frac{leaf_{rc}}{(leaf_{rb} + leaf_{rc})} \right) \quad (17)$$

$$leaf_{rb} = 1.3 * 150 * \text{sqrt} \left( \frac{Lm}{uh} \right) \quad (18)$$

$$leaf_{rc} = \frac{1}{(g_{sto} + g_{sto_{ext}})} \quad (19)$$

Where  $[O_3]$  is the  $O_3$  concentration at the upper surface of the quasi-laminar boundary layer of the flag leaf ( $nmol/mol$ );  $g_{sto}$  is leaf stomatal conductance ( $m/s$ ) as described in [Eqn 1 and 6](#),  $leaf_{rb}$  is the quasi laminar leaf boundary layer resistance ( $s/m$ ),  $Lm$  is the cross wind leaf dimension ( $m$ ),  $uh$  is the windspeed at the canopy surface ( $m/s$ ),  $leaf_{rc}$  is leaf surface resistance ( $s/m$ ), and  $g_{ext}$  is the external plant cuticle conductance ( $m/s$ ). Here we assume that the  $O_3$  concentrations measured within the field chambers of the filtration/fumigation experiments represent a reasonable estimate of  $O_3$  at the leaf boundary layer due to the enhanced air circulation. Parameter values are provided in [Table S3](#).

This study uses the  $POD_y$  stomatal flux-based index currently used by the [LRTAP Convention \(2017\)](#) to assess damage to European wheat calculated using a  $y$  threshold value of  $6\ nmol\ O_3\ m^{-2}\ PLA\ s^{-1}$  according to equation [20] for all three models.

$$POD_y = \sum_{i=1}^n [f_{sti} - y] * \left( \frac{3600}{10^6} \right); \text{ for } f_{sti} \geq y\ nmol\ m^2\ PLA\ s^{-1} \quad (20)$$

where  $f_{sti}$  is the hourly mean  $O_3$  flux in  $nmol\ O_3\ m^{-2}\ PLA\ s^{-1}$  (see equation [17]) and  $n$  is the number of hours within the accumulation period.  $y$  (equivalent to  $\frac{y}{2}$ ) is equal to  $6\ (nmol\ m^{-2}\ PLA\ s^{-1})$  and is subtracted from each hourly averaged  $f_{st}$  ( $nmol\ O_3\ m^{-2}\ PLA\ s^{-1}$ ) value only when  $f_{st} > y$ , during daylight hours (i.e. when  $PAR > 50\ W\ m^{-2}$ ). The term  $(3600/10^6)$  converts to hourly fluxes and to  $mmol\ O_3\ m^{-2}\ PLA$ . This method estimates  $POD_6$  on a per  $m^2$  basis representative of the flag leaf only; it takes no account of the actual LAI of the flag leaf (or other canopy leaves), that might be contributing to carbon assimilate and hence influence  $O_3$  damage. This assumption may warrant further investigation were canopy  $O_3$  uptake considered an important determinant of ozone damage. However, at least for wheat, the importance of the flag leaf in providing carbon assimilate for grain filling likely makes this a reasonable assumption.

## 2.3. Datasets

The  $g_{sto}$  models were applied to simulate  $POD_6$  for  $O_3$  filtration/fumigation experimental datasets conducted since the 1980s in Europe that described wheat yield losses due to different  $O_3$  treatments. These datasets represent 4 countries (Belgium, Sweden, Finland, and United Kingdom) 6 cultivars and 14 years. These are predominantly the same data used to derive the UNECE LRTAP flux-response relationships ([LRTAP Convention, 2017](#)) (exceptions being the exclusion of an Italian dataset which used a variety of *Durum* wheat), and the inclusion of new data from the UK and Sweden which have the benefit of also providing important physiological and chlorophyll content data. A detailed description of these datasets is given in the [Table S2](#).

## 2.4. Parameterisation for the $g_{sto}$ models

The multiplicative  $g_{sto}$  model uses the same parameters as described in the [LRTAP Convention \(2017\)](#). Full details are provided in [Table S1](#).

Both the  $A_{netg_{sto}emp}$  and  $A_{netg_{sto}mech}$  models require parameterisation of  $V_{cmax25}$ ,  $J_{max25}$  and  $m$ . Parameters, such as  $g_{min}$ , representing the minimum stomatal conductance (set to  $0.01\ \mu mol\ CO_2\ m^{-2}\ s^{-1}$ ), are sourced from ([Ewert and Porter, 2000](#)), while  $VPD_0$  (set at  $2.2\ kPa$  and detailed in [Section 2.2](#)) are determined empirically.

However, the  $A_{netg_{sto}mech}$  model requires additional parameterisation for the  $O_3$  damage module (represented by  $\gamma$  coefficients). By contrast, the  $A_{netg_{sto}emp}$  model uses the same  $f_{O_3}$  function as the multiplicative  $g_{sto}$  model for estimating  $O_3$  damage and therefore does not need additional calibration.

A systematic literature review was conducted to extract data to define the likely range and initial values (range mean) of  $V_{cmax25}$ ,  $J_{max25}$  and  $m$  values occurring in wheat across Europe (see section SF); this approach is similar to that used to parameterise the  $g_{sto}emp$  model ([LRTAP Convention, 2017](#)).  $V_{cmax25}$  and  $J_{max25}$  values were recorded for fully developed flag leaves growing under ambient atmospheric concentrations of  $O_3$  and  $CO_2$  for crops grown in the field/or large pots under a stress-free environment (see [Fig. S3](#)). Information describing the bio-geographic region and the prevalence of rainfed or irrigated management were also recorded ([Fig. S4](#)). A diagrammatic representation of the systematic literature review is provided in [Fig. S5](#).

The parameterisation of  $m$  needs to be considered in relation to  $VPD_0$  since the slope of the relationship  $m$  found when plotting  $A_{net}$  against  $g_{sto}$  represents a compromise between the cost and benefit of  $g_{sto}$  relative to  $CO_2$  uptake for photosynthesis vs water loss affecting intrinsic water use efficiency ([Medlyn et al., 2011](#)). Here we follow the approach of [Medlyn et al. \(2011\)](#) and calibrate  $m$  to ensure that the modelled maximum  $A_{net}$  against  $g_{sto}$  aligns with the maximum observed  $A_{net}$  against  $g_{sto}$  values.

The parameters  $\gamma_3$ ,  $\gamma_4$ , and  $\gamma_5$  are only used in the  $A_{netg_{sto}mech}$  damage module to simulate the rate of senescence. They were calibrated to ensure that the start (SOS) and end (EOS) of the senescence period matched observed senescence timings. These observations were derived from data describing the Chlorophyll Content Index (CCI) using the 'break point' analysis method ([Mariën et al., 2019](#)). This method determines the change in the seasonal pattern of CCI (and hence senescence) as a function of day of the year through piecewise linear regressions. The first segment of the regression (i.e. leaf expansion to mid-anthesis) was constrained to zero since it is assumed the leaf does not undergo senescence during this period. The slope of the second segment (from mid-anthesis to harvest) was allowed to be greater than zero on the assumption that senescence of the flag leaf will only occur after mid-anthesis. The slope with the lowest RMSE, indicating the smallest deviation between the measured CCI data points and the values estimated by the piecewise linear regression model, was assumed as the breakpoint for the SOS. Furthermore, a polynomial regression line, which delineates the period of senescence, was employed to determine EOS. The SOS and EOS of the flag leaf determined from break-point



analysis of the UK (2015) and Swedish (1997 and 1999) datasets are given in the Table S4.

Details of the initial values and associated ranges for calibration of all  $A_{netgsto}$  parameters are provided in Table 1.

### 2.5. Calibration of the $A_{netgstoemp}$ and $A_{netgstomech}$ models

The parameters for the  $gstoemp$  model were taken directly from LRTAP Convention (2017) and as such further calibration adjustments were not performed in this study.

The  $A_{netgstomech}$  and  $A_{netgstoemp}$  model calibration for European conditions is performed in steps (as outlined below) using  $gsto$ ,  $A_{net}$  and CCI data from various sub-sets of the fumigation/filtration dataset. Fig. 1 presents a schematic diagram of the calibration process used for the  $A_{netgsto}$  models.

In the first step, initial values for  $V_{cmax25}$ ,  $J_{max25}$  and  $m$  are selected that give a maximum  $gsto$  value of between 500 and 600  $\text{mmol O}_3 \text{ m}^{-2} \text{ PLA s}^{-1}$  and a maximum  $A_{net}$  value of between 30 and 35  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ . These values are consistent with the experimental dataset for Bangor as well as published studies that provide values for these parameters across Europe (Udding and Pleijel, 2006; Sharma et al., 2015). This step only uses the low  $\text{O}_3$  treatment data from Bangor ( $n = 14$ , see section SH) to ensure leaf physiology is unaffected by  $\text{O}_3$ .

In the second step, which is only performed for the  $A_{netgstomech}$  model, the focus is on establishing initial values for  $\text{O}_3$  damage parameters ( $\gamma 1$  to  $\gamma 5$ ) using datasets from both low ( $n = 11$ ) and very high ( $n = 10$ )  $\text{O}_3$  treatments from Bangor (see section SH). The  $\text{O}_3$  coefficients  $\gamma 1$  and  $\gamma 2$  were set to give a detoxification threshold of 6  $\text{nmol O}_3 \text{ m}^{-2} \text{ s}^{-1}$ , while  $\gamma 3, \gamma 4, \gamma 5$  were calibrated based on the observed SOS and EOS data, identified using the breakpoint method discussed in Section 6.  $\text{O}_3$  damage parameters for the  $A_{netgstoemp}$  model are used as provided in the LRTAP Convention (2017) based on the  $f_{\text{O}_3}$  function (and so consistent with the methods used in the  $gstoemp$  model).

Moving to the third step, model calibration uses all  $\text{O}_3$  treatment data, segmenting these data into training and test sets as detailed in the Table S5. This uses a bootstrapping resampling technique (Hesterberg, 2011), using R software 4.2.3, to create bootstrap samples ( $n = 5$ ) that randomly select a dataset with replacement i.e., in a sample, there can be duplicates of the same dataset (Table S5). Such an approach ensures that the initial parameters from steps one and two, along with their defined ranges drawn from both these steps and existing literature, are robustly tested across diverse data combinations from the fumigation/filtration experiments.

The calibration process then proceeds with these training samples ( $n$

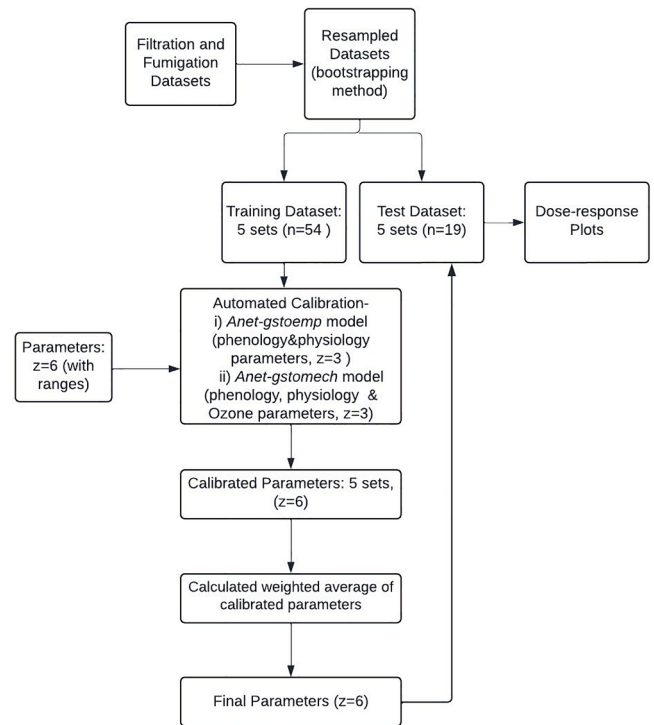


Fig. 1. Schematic diagram of the calibration process used for the  $A_{netgsto}$  models. This describes the number of filtration/fumigation datasets used for both the training and testing of model performance in relation to the automated calibration of various parameters dependent upon the construct of the  $A_{netgstoemp}$  and  $A_{netgstomech}$  models. ‘n’ and ‘z’ refer to the number of datasets and parameters used, respectively.

= 5), aiming to calibrate the model to find the best parameters for  $V_{cmax25}$ ,  $J_{max25}$  and  $m$ , and  $\text{O}_3$  damage parameters ( $\gamma 3$  to  $\gamma 5$ , only for the  $A_{netgstomech}$  model). This calibration employs a computational genetic algorithm (Wang, 1997), an optimisation technique, with gradient descent to find the best parameters. The process requires an initial value and a range, and uses a combination of crossover strategy (selecting parameters randomly from parameter pairings) and mutation strategy (which takes a parameter range and uses incremental step changes) to identify the parameters with the highest  $R^2$  and lowest RMSE value. Finally, the calibration outcomes from each training sample are aggregated, using weighted averages following Eq. S7, to establish the final

Table 1

A detailed overview of the parameters, ranges, and optimised values after calibration of the  $A_{netgsto}$  models.

Parameters	Description	Units	Initial Parameter	Range	Parameters used (This study)	Reference
$V_{cmax25}$	Maximum catalytic rate at 25 °C	$\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$	90	60–180	88.91	(Büker et al., 2007) systematic literature review (this study)
$J_{max25}$	Maximum rate of electron transport at 25 °C	$\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$	180	150–250	173.83	
$m$	Species-specific sensitivity to $A_{net}$	–	7	5–15	7.87	(Kosugi et al., 2003; Collatz et al., 1991; Baldocchi and Meyers, 1998; Miner, Bauerle and Baldocchi, 2017)
$\gamma 1$ *	Short term $\text{O}_3$ impact coefficient	–	0.027	–	0.027	(Ewert and Porter, 2000)
$\gamma 2$ *	Short term $\text{O}_3$ impact coefficient	$(\text{nmol O}_3 \text{ m}^{-2} \text{ s}^{-1})^{-1}$	0.0045	–	0.0045	
$\gamma 3$ *	Long term $\text{O}_3$ impact coefficient	$(\mu\text{mol O}_3 \text{ m}^{-2})^{-1}$	0.1	0.1–0.7	0.11	Break point method (this study, see section 6)
$\gamma 4$ *	Long term $\text{O}_3$ impact coefficient	–	0.1	0.1–0.5	0.16	
$\gamma 5$ *	Long term $\text{O}_3$ impact coefficient	–	0.1	0.1–0.5	0.44	

\* $\gamma$  parameters only used for  $A_{netgsto} + \text{O}_3_{mech}$ .

set of parameters. These parameters are then used to run the models to estimate  $POD_6$  and hence construct the flux-response relationships (Fig. S7), ensuring the model's applicability and accuracy.

The model's efficacy is then tested using test datasets ( $n = 5$ ), which apply these final parameters. The performance metrics for these tests, specifically the  $R^2$  and RMSE values for the flux-response relationships, give an indication of the model's reliability and precision across different datasets.

### 3. Results

#### 3.1. Leaf physiology

Leaf physiology data ( $g_{sto}$  and  $A_{net}$ ) from the UK were used to assess the ability of the different models to simulate key physiological variables necessary to estimate  $POD_y$  under both low background and peak  $O_3$  treatments over the course of the growing season.

Fig. 2a and b show a scatter plot of model simulations of hourly mean  $g_{sto}$  values plotted against observed values for the 2015 and 2016 background and peak  $O_3$  treatments for Mulika and Skyfall wheat varieties. All  $g_{sto}$  models performed similarly under the background  $O_3$  treatments with  $R^2$  values of between 0.33 and 0.43 and RMSE values between 111 and 137  $mmol\ O_3\ m^{-2}\ s^{-1}$  with the  $A_{net}g_{sto}mech$  model performing the best. All  $g_{sto}$  models performed less well under the peak  $O_3$  treatment with the  $R^2$  range between 0.07 and 0.33, with the  $A_{net}g_{sto}mech$  model performing the best; all models have similar RMSE values. For the peak  $O_3$  treatment, the  $A_{net}g_{sto}mech$  model tends to overestimate  $g_{sto}$  whilst the other two models tend to underestimate  $g_{sto}$  in relation to the 1:1 line. Similar results were found for  $A_{net}$  with values simulated reasonably well under background  $O_3$  treatments by both the  $A_{net}g_{sto}emp$  and  $A_{net}g_{sto}mech$  models with  $R^2$  values of between 0.8 and 0.83 (see Fig. S8a). Both the models tend to underestimate maximum values of  $A_{net}$  by  $\sim 10\ \mu mol\ CO_2\ m^{-2}\ PLA\ s^{-1}$ .

All models were able to simulate the mean diurnal (see Fig. S9) and mean daily maximum (see Fig. 2c)  $g_{sto}$  values equally well for the background  $O_3$  treatment. For the peak  $O_3$  treatments, the  $A_{net}g_{sto}mech$  model tended to overestimate mean diurnal  $g_{sto}$  by about 50  $mmol\ O_3\ m^{-2}\ PLA\ s^{-1}$  whilst the other two models tended to underestimate  $g_{sto}$  by the same margin. Similarly, models were able to simulate the mean diurnal (see Fig. S10) and mean daily maximum  $A_{net}$  values (see Fig. S8c) equally well for the background  $O_3$  treatment. As for  $g_{sto}$ , all models struggled to predict  $A_{net}$  under the peak  $O_3$  treatments with a tendency to overestimate  $A_{net}$  in relation to the 1:1 line but to underestimate maximum  $A_{net}$  values.  $A_{net}$  was comparatively better predicted by the  $A_{net}g_{sto}mech$  model with  $R^2$  values of 0.42 compared to 0.31 for  $A_{net}g_{sto}emp$  model.

Fig. 2c shows that the  $A_{net}g_{sto}mech$  model performs better under peak  $O_3$  concentrations over the full length of the flag leaf lifespan, thus simulating the effect of senescence on  $g_{sto}$  reasonably well. By contrast the  $g_{sto}emp$  and  $A_{net}g_{sto}emp$  models simulated an overly sensitive senescence response of  $g_{sto}$  to  $O_3$  compared to the observations. Similar to the  $g_{sto}$  results, the  $A_{net}g_{sto}$  models overestimated the decline in  $A_{net}$  at the end of the growing season compared to the observations (see Fig. S8b). However, the  $A_{net}g_{sto}mech$  model gave a closer fit to the observations than the  $A_{net}g_{sto}emp$  model. It is also worth noting that the  $A_{net}g_{sto}mech$  model simulates higher  $g_{sto}$  and  $A_{net}$  under the peak  $O_3$  treatment than the low  $O_3$  treatment for the UK. This is because the  $O_3$  effect is most strongly determined by its longer-term impact on senescence than its instantaneous impact on photosynthesis, the former only taking effect once  $O_3$  has brought forward the SOS which occurs only towards the end of the growing season where there are far fewer observed data for comparison.

#### 3.2. Leaf senescence

The CCI data available from the UK (cv Mulika) and Swedish (cv

Dragon) filtration/fumigation datasets were used with the break point method to estimate the SOS and EOS. Results in Fig. 3 show that the higher  $O_3$  treatment (low background vs very high peaks for the UK data) brought forwards the SOS by 7 days and EOS by 12 days. Similar results are found for Sweden by comparing the CF vs NF++ experiment with SOS and EOS being brought forwards by 6 days and 12 days respectively (see Fig. S11).

The data provided in Table 2 can be used to assess the ability of the  $A_{net}g_{sto}$  models to simulate senescence under the different datasets and  $O_3$  treatments used in this study. Table 2 summaries information for the extreme  $O_3$  treatments (i.e. comparing lowest with highest). The difference in  $O_3$  treatment causing senescence effects is indicated by the  $POD_6$  values for the flag leaf lifespan. Table 2 shows that the  $A_{net}g_{sto}emp$  model predicts SOS to occur earlier with a range of 20 days difference compared to the observations, and EOS to generally occur later with a range of 18 days difference compared to the observations. By comparison the  $A_{net}g_{sto}mech$  model simulates SOS closer to the actual date with a range of 8 days earlier to 3 days later and EOS with a range of 8 days earlier to 3 days later compared to the observations. The  $POD_6$  values for the high  $O_3$  treatments are consistently higher for the  $A_{net}g_{sto}mech$  model suggesting that the model is parameterised to be less sensitive to cumulative stomatal  $O_3$  uptake than the  $A_{net}g_{sto}emp$  model. Overall, the mechanistic approach used by the  $A_{net}g_{sto}mech$  model simulated SOS and EOS more closely to the observations. However, care should be made in interpreting these results since the CCI data used to define the actual SOS and EOS are limited in number, leading to some uncertainty in the actual timings of senescence, especially close to anthesis. It should also be noted that the  $A_{net}g_{sto}$  models are calibrated against all the CCI data held in the datasets and so there will be some discrepancy when comparing simulations against individual datasets and  $O_3$  treatments.

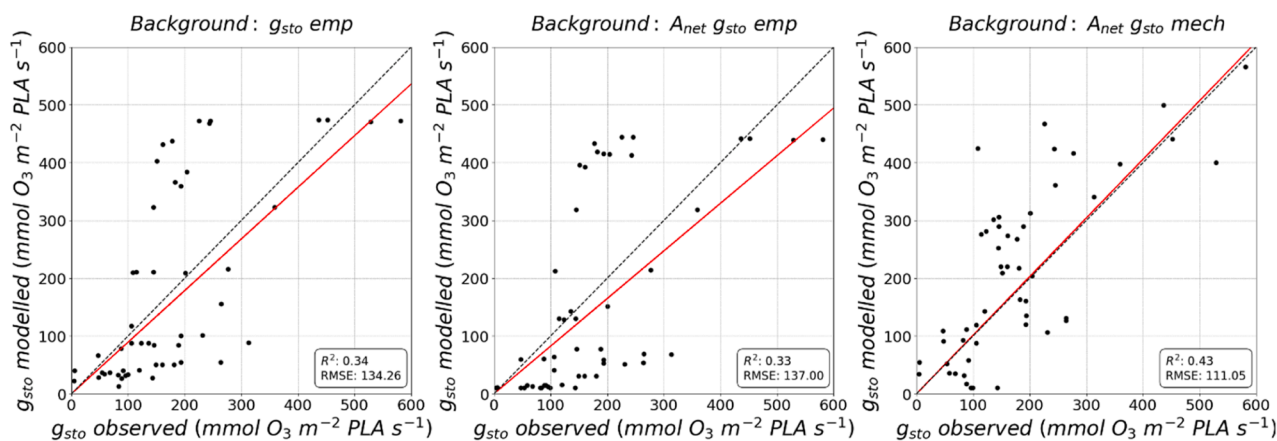
#### 3.3. Flux-response relationships

Each of the three  $g_{sto}$  models were used to develop flux-response relationships based on  $POD_6$  using the  $O_3$  filtration/fumigation data (Fig. 4). The robustness of the flux-response relationship can be determined by the strength of the linear regression (i.e.,  $R^2$  value). The  $A_{net}g_{sto}mech$  model ( $R^2 = 0.74$ ) performed better than the  $g_{sto}emp$  model ( $R^2 = 0.68$ ) in deriving flux-response relationships. The  $A_{net}g_{sto}emp$  model performed slightly less well ( $R^2 = 0.66$ ). The slope of the relationships differ by  $-0.0412$ ,  $-0.0342$  and  $-0.0325$  for  $g_{sto}emp$ ,  $A_{net}g_{sto}emp$  and  $A_{net}g_{sto}mech$  respectively. This is because the  $A_{net}g_{sto}mech$  model simulates higher  $g_{sto}$  values under elevated  $O_3$  and during senescence which will increase the  $POD_y$  values. This demonstrates the importance of consistency in using the same  $g_{sto}$  method to estimate  $POD_y$  as is used to derive the flux-response relationship for yield loss estimates. Were 'critical levels' to be derived from these relationships using the methods described in the LRTAP Convention (2017) (i.e. a 5% reduction in grain yield based on the slope of the relationship) values of 1.69, 1.19 and 1.75  $mmol\ O_3\ m^{-2}$  would be found for  $g_{sto}emp$ ,  $A_{net}g_{sto}emp$  and  $A_{net}g_{sto}mech$  models respectively (also shown as dotted lines in the respective plots in Fig. 4). The range of these values reflects the high  $g_{sto}$  values modelled using the  $A_{net}g_{sto}mech$  model. It is useful to note that the dose-response relationships developed in this study are an improvement to those presented in the LRTAP Convention (2017) Mapping Manual (albeit with slightly different data compliments). For comparison, we also show the dose-response relationships developed by applying these three models but only with those datasets used in the LRTAP Convention (2017) Mapping Manual (see Fig. S12).

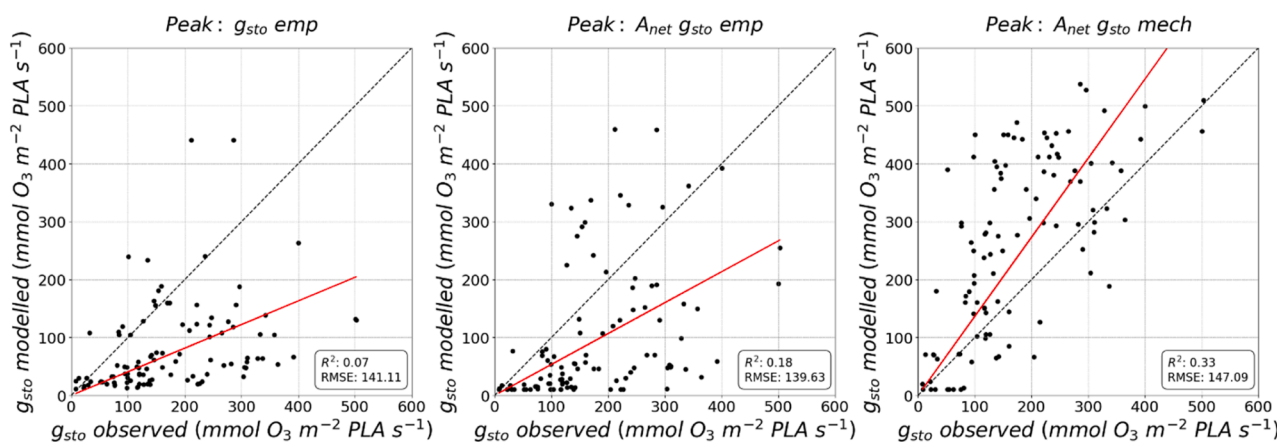
### 4. Discussion

We found that the process-based  $A_{net}g_{sto}mech$  model can derive robust flux-based dose-response relationships (with an  $R^2$  value of 0.74), this performance is marginally improved to that of empirical-based

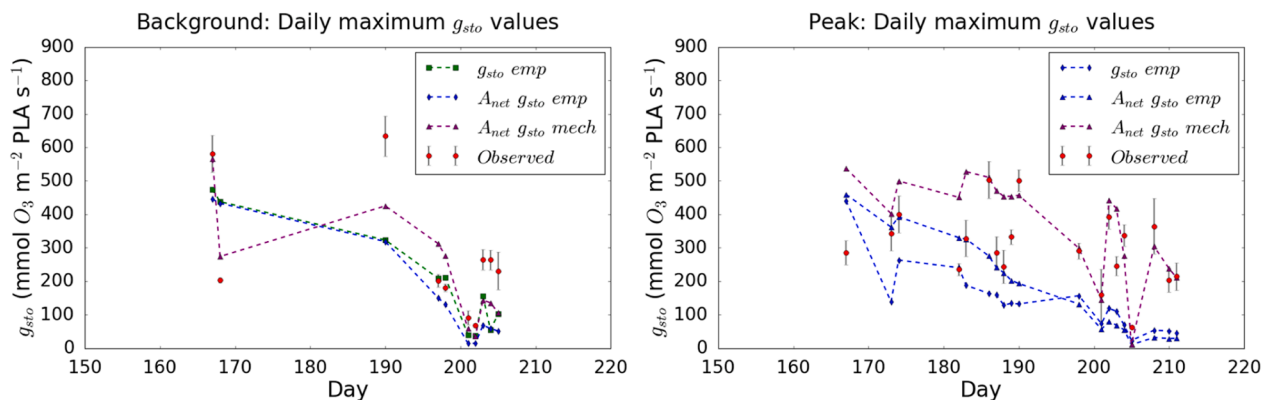
a.)



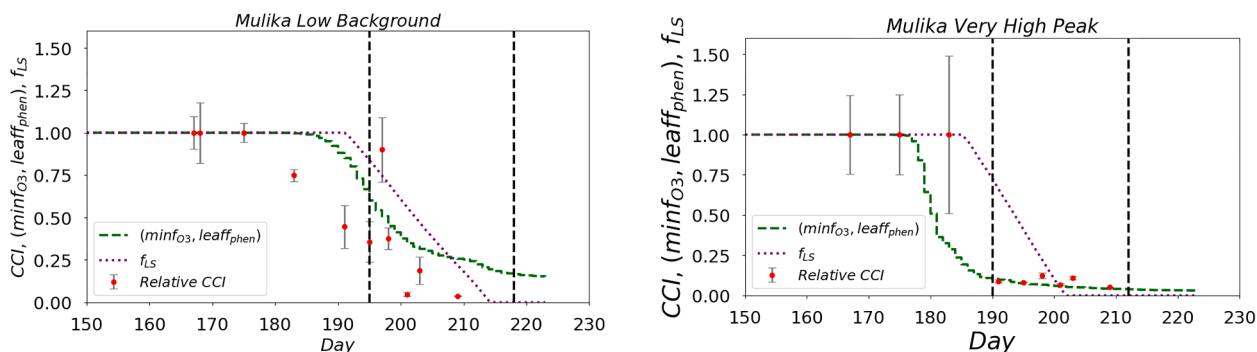
b.)



c.)



**Fig. 2.** Plots for background and peak O<sub>3</sub> treatments for Mulika and Skyfall wheat cultivars, fumigated in Bangor over the 2015 and 2016 growing seasons showing a) Observed against modelled  $g_{sto}$  values estimated using the three different  $g_{sto}$  models. In each plot, the red solid line represents the regression line, showing the relationship between the modelled and observed values. The black dashed line represents the 1:1 line, the coefficient of determination ( $R^2$ ) and root mean square error (RMSE) is provided for the regression; and b) Average daily maximum  $g_{sto}$  values simulated over the flag leaf lifespan by each of the three  $g_{sto}$  models and observed daily maximum  $g_{sto}$  data. Standard error bars for the observed data are given by black lines extending from the red observed points, providing a visual representation of uncertainty in the measurements.

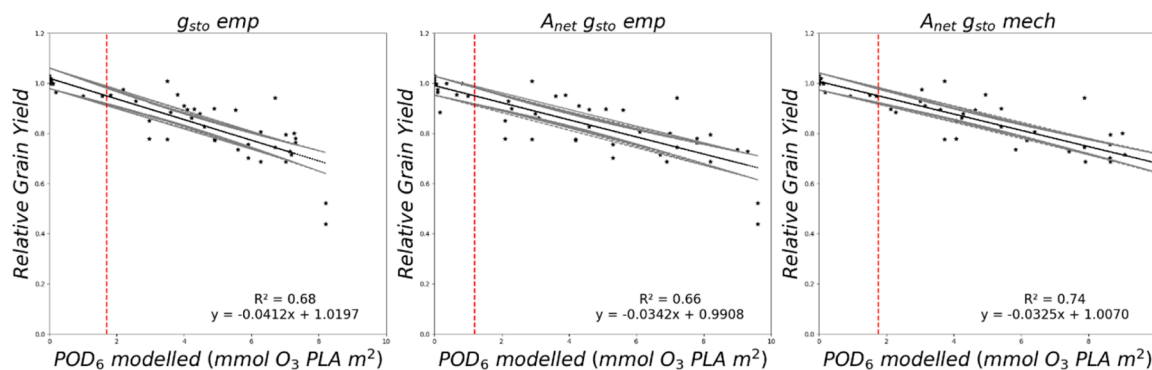


**Fig. 3.** Leaf senescence profiles of  $O_3$  induced leaf senescence for the Mulika wheat cultivar for the low background (LB) and very high peak (VHP)  $O_3$  treatments in the UK dataset. The timing of the SOS and EOS (vertical dotted black lines) determined by applying the break point method to the CCI data (red circle with standard error bars) are shown in relation to estimates made by the  $A_{netg_{sto}emp}$  model (which uses  $leaf f_{phen}$  and  $f_{O_3}$  functions to simulate senescence and the  $A_{netg_{sto}mech}$  model (which uses  $f_{LS}$ ) to simulate senescence.

**Table 2**

Comparison of the difference in days between Start (SOS) and End (EOS) of senescence by site, year and  $O_3$  treatment (described by average 24-hour mean  $O_3$  concentrations in ppb). The "SOS bias" and "EOS bias" columns indicate the deviation in days at SOS and EOS, respectively, from applying the  $A_{netg_{sto}}$  models as compared to the observed data. Positive values denote a delay, while negative values signify an advancement in the modelled timing of senescence relative to the observations. Also shown are the  $POD_y$  values at SOS and EOS.

Location and Country	Year	Treatments comparison (24-h Mean in ppb)	$A_{netg_{sto}emp}$ SOS bias (in days)	$A_{netg_{sto}emp}$ EOS bias (in days)	$A_{netg_{sto}emp}$ $POD_y$ at SOS ( $mmol m^{-2}$ )	$A_{netg_{sto}emp}$ $POD_y$ at EOS ( $mmol m^{-2}$ )	$A_{netg_{sto}mech}$ SOS bias (in days)	$A_{netg_{sto}mech}$ EOS bias (in days)	$A_{netg_{sto}mech}$ $POD_y$ at SOS ( $mmol m^{-2}$ )	$A_{netg_{sto}mech}$ $POD_y$ at EOS ( $mmol m^{-2}$ )
Ostad, Sweden	1997	CF (11.5)	-6	7	0	0	-8	0	0.13	0.13
Ostad, Sweden	1999	NF+++ (22.2)	-9	1	3.3	6.26	3	-4	5.6	7.94
Bangor, UK	2015	LB (26.94)	-12	6	2.07	3.3	-4	-1	3.1	4.07
		VHP (55.73)	-9	14	2.78	8.07	1	-4	8.5	11.4



**Fig. 4.** Flux-response relationships for relative wheat grain yield derived using the three  $g_{sto}$  models to simulate the  $POD_6$  metric. The plots replicate the LRTAP Convention (2017) dose-response relationships with the exception of exclusion of an Italian for *Durum* wheat, and inclusion of UK and an additional Swedish dataset. The 95% confidence intervals are indicated by the dotted lines around the best-fit line. The vertical dashed line indicates the 'critical levels' determined by each model. Each figure includes the coefficient of determination ( $R^2$  value) and a dose-response relationship equation.

models ( $g_{sto}emp$  and  $A_{netg_{sto}emp}$ ). However, there was little difference (ranging from 1.69 to 1.75  $mmol O_3 m^{-2}$ ) in the 'critical levels' derived using each method. This suggests  $A_{netg_{sto}}$  models can be reliably used in the derivation of dose-response relationships and 'critical levels' for regional scale risk assessments and that the slope of the dose-response relationship was robust from the point of view of the method to model  $POD_6$ . However, even though the variability in slope and 'critical level' values are relatively small, these differences highlight the importance of consistency in application, i.e. that the same  $g_{sto}$  algorithm be used to derive the flux ( $POD_y$ )-response relationship used in the risk assessment. Our study also found that the  $A_{netg_{sto}mech}$  model was better able to simulate the diurnal and seasonal variation in observations of both  $A_{net}$

and  $g_{sto}$  found under low vs high  $O_3$  treatments in the Bangor experiment. This model attribute is particularly advantageous in estimating  $POD_y$  given that  $O_3$  concentration profiles can vary substantially across the global wheat growing regions, with some experiencing more chronic  $O_3$  concentrations (e.g., in Europe (Karlsson et al., 2017) while others will experience more extreme, episodic concentrations (e.g., in Asia (Lei, Wuebbles and Liang, 2012)). The results suggest that the  $A_{netg_{sto}mech}$  is better able to simulate stomatal  $O_3$  uptake under conditions of higher  $O_3$  concentration. Since the slope of the resulting dose-response relationship does not change, this suggests that the sensitivity of wheat to  $O_3$  uptake remains consistent but that the model is better able to simulate what actual uptake occurs. This finding would warrant further



investigation as new datasets become available.

There are three important aspects to accurate  $A_{net}$  and  $g_{sto}$  estimates, firstly the parameterisation of the leaf level  $A_{net}$  model which is dependent upon  $V_{cmax25}$ ,  $J_{max25}$ ,  $m$  and  $VPD_0$ . Secondly, the instantaneous effect of  $O_3$  on  $A_{net}$  in relation to its parameterisation and effectiveness in causing  $O_3$  damage. Thirdly, the parameterisation of the module describing  $O_3$  induced leaf senescence, the latter is especially important to estimate  $A_{net}$  and  $g_{sto}$  toward the end of the growing season, in wheat this coincides with the grain-filling period and is therefore important in determining yield (Neghliz et al., 2016).

Parametrised values for  $V_{cmax25}$  and  $J_{max25}$  of 88 and 173  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$  respectively in this study compare reasonably well to the values of 62–75 and 150–195  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$  used for LINTULLC2 (Feng et al., 2022) and AFRCWHEAT (Van Oijen and Ewert, 1999) crop models which incorporate  $O_3$  damage modules for similar European wheat applications. We found limited evidence for variation in  $V_{cmax25}$  and  $J_{max25}$  with biogeographical region with  $V_{cmax25}$  varying between 55 and 180, 53 and 185 and 90 and 120  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$  for Atlantic, continental and Mediterranean biogeographic regions respectively; no statistical difference by region was found. This contrasts with the  $g_{max}$  value of the  $g_{stoemp}$  model that has lower values for Mediterranean wheat cultivars (by 70  $\text{mmol O}_3 \text{ m}^{-2} \text{ s}^{-1}$ , LRTAP Convention (2017)). This study only used experimental data from Atlantic, Boreal or Continental regions. Were Mediterranean data to have been included, the  $V_{cmax25}$  and  $J_{max25}$  values may have warranted further investigation to establish whether a different  $V_{cmax25}$  might be justified, especially since only 11 datapoints were retrieved for this region in our literature search (see Fig. S3). An indication of this can be provided through comparison with the modelling study presented by Nguyen et al. (2024) Nguyen et al. (2024) which used three crop models (including DO<sub>3</sub>SE-Crop, an extension of the  $A_{netgsto}$  type of model described here to estimate carbon allocation, growth, and yield). Here the DO<sub>3</sub>SE-Crop model was parameterised for a Mediterranean variety of spring wheat (*Califa sur*) with values of key photosynthetic model parameters being 102  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$  for  $V_{cmax25}$ , 194  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$  for  $J_{max25}$ , 8.57 for  $m$  and 2.2 kPa for  $D_0$ . These Mediterranean values for  $V_{cmax25}$  and  $J_{max25}$  are both somewhat lower (by  $\sim 14$  and 20  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$  respectively) than those used in this study and hence would suggest that the  $A_{netgsto}$  model would benefit from a Mediterranean parameterisation similar to the regional parameterisations used in the  $g_{stoemp}$  model.

One other important consideration in relation to geographical region is the effect of soil moisture on  $g_{sto}$  since the Mediterranean region is likely to experience longer and more extreme periods of drought stress that will reduce stomatal  $O_3$  uptake (Fagnano et al., 2009). This is particularly important for wheat since this tends to be a rainfed crop in Europe. A variety of methods have been developed to simulate the effect of soil water status (described variously as soil water potential (referred to in this study as  $f_{swp}$ ), soil water content or plant available water (Büker et al., 2007) on  $g_{sto}$ . These methods can be used in either the  $g_{stoemp}$  or  $A_{netgstoemp}$  type models (the latter by including the  $f_{swp}$  function as a multiplier in the  $A_c$  formulation (see Eq. (3)). We were unable to test the effectiveness of this aspect of the modelling since the datasets used in this analysis all represented well-watered conditions. However, this would be an important aspect to investigate further, especially in relation to model application, to ensure  $g_{stoemp}$  and  $A_{netgstoemp}$  models respond similarly (in terms of magnitude of changes to stomatal  $O_3$  flux) to the inclusion of these soil water status parameters.

The ratio between  $V_{cmax25}$  and  $J_{max25}$  was found to vary between 0.2 and 0.8 (Fig. S3) and was calibrated to a value of 0.51 for this dataset. This is consistent with a study by Wullschlegel (1993) who found a ratio of 0.38–0.55 for wheat even as growth and temperature varied. However, other research found that the ratio may range from 1 to 3 (Camino et al., 2019; Day, Station and Al, 1982) which may be attributed to  $J_{max25}$  being more reliant on light than  $V_{cmax25}$  causing the ratio to decrease when light intensity decreases (Dai et al., 2004). The value of 7.87 for  $m$

used in this study is also within the range of 5 and 15 found for many different cultivars of wheat (Kosugi et al., 2003; Collatz et al., 1991; Baldocchi and Meyers, 1998; Miner, Bauerle and Baldocchi, 2017). The  $VPD_0$  value is markedly different (2.2 kPa) from that of Luening et al. (1995) and means that  $A_{net}$  can be maintained under high values of VPD, this is consistent with the  $f_{VPD}$  relationship and observational data (Danielsson et al., 2003).

The validity of the  $A_{netgsto}mech$  model also depends on appropriate formulation and parameterisation of the key  $O_3$  damage mechanisms. These damage mechanisms are assumed to have both an instantaneous ( $f_{O_3s}(d)$ ) effect of  $O_3$  on photosynthesis and a longer-term effect ( $f_{ls}$ ) of accumulated  $O_3$  uptake promoting earlier senescence. The instantaneous effect reduces carboxylation via a reduction in rubisco activity which may in turn lead to a reduction in carbon assimilation when Rubisco activity ( $A_c$ ) is limiting net photosynthesis. This reduction in Rubisco activity is assumed to repair overnight but with repair effectiveness decreasing as the leaf ages. According to Farage et al. (1991), the instantaneous impact of  $O_3$  was only seen with a significant reduction in carboxylation efficiency ( $>50\%$ ) causing a reduction in carbon assimilation. This could happen when crops are exposed to elevated  $O_3$  concentrations for long periods or if repeated high  $O_3$  exposures were to take place causing the crop to lose its ability to recover (Feng et al., 2022). By contrast, the length of the leaf senescence period is essential for determining the crop development cycle (Ding et al., 2023). The onset of leaf senescence causes a substantial decrease in carbon assimilation ( $A_{net}$ ), primarily attributed to changes in chloroplast structure and function, and hence the chlorophyll content in the flag leaf (Ding et al., 2023; Gelang et al., 2000; Ojanperä et al., 1998), and contributes to the reduction in dry ear weight, which directly affects yield loss (Gelang et al., 2000). The CCI has been shown to be a good predictor of the onset of senescence (Mariën et al., 2019; Osborne et al., 2019). It can also be used as a proxy for  $V_{cmax25}$  (Croft et al., 2017), which is our modelling approach since we assume SOS will coincide with a reduction in  $V_{cmax25}$  and consequently  $A_c$  (see Eq. (8)). We find that the  $A_{netgsto}mech$  model can simulate SOS and EOS for the elevated  $O_3$  treatments in the UK and Sweden data better than the empirical models. For the UK, the flag leaf starts to senesce 6 days earlier in high (VHP) compared to low (LB)  $O_3$  treatment, for Sweden 7 days earlier in high (NF+++)) compared to carbon-filtered (CF) treatments. The number of days by which high  $O_3$  levels can bring forward the start of senescence is corroborated by other published studies (Plejijel et al., 1997; Grandjean and Fuhrer, 1989; Gelang et al., 2000) which found the flag leaf could senesce up to 25 days earlier in the very high  $O_3$  compared to the carbon filtered treatments.  $O_3$  was also found to cause differences in the maturity (EOS) of the flag leaf; Shi et al. (2009) reported that maturity (EOS) occurred 8 days earlier in elevated  $O_3$  (50 % higher than ambient) compared to ambient  $O_3$  treatments. Similar results were found in this study, with the flag leaf modelled to reach maturity (EOS) 12 days earlier in VHP compared to LB treatments. Although our results seem consistent, they are based on a limited number of CCI data points (11 and 13 for each treatment for the UK and Sweden respectively) which are only captured from mid-anthesis to 10 days before maturity. Additional CCI data spread more evenly over the crucial crop growth period would improve our understanding of how  $O_3$  affects senescence.

Parameters for the  $A_{netgsto}$  models were found using an automated calibration method, the genetic algorithm optimisation technique since this approach is considered superior in performance to more traditional techniques (Kuo et al., 2000; Dai et al., 2009; Vazquez-Cruz et al., 2014). The genetic algorithm method was also chosen since it works with a range of parameter searches from a population of points and employs probabilistic transition rules, i.e., uses random sets of parameters instead of using fixed sets, which makes the optimisation process more robust (Kuo, Merkle and Liu, 2000). This study demonstrated the effectiveness of this approach with the five training samples that are used to form dose-response relationships giving RMSE ranges from 0.99 to  $4.5 \times 10^{-5} \text{ mmol m}^{-2}$  for the  $A_{netgsto}mech$  model (data not shown).

Such a good performance suggests that the parametrisation derived can give robust values for the  $A_{net,gsto}$  models for use in other European O<sub>3</sub> risk assessment applications.

The calibration approach to parameterise the  $A_{net,gsto}$  models is different to that used to parameterise the  $g_{sto,emp}$  model which identifies  $g_{max}$  and  $f_{min}$  values (as average maximum and minimum values respectively) and the  $f$  functions using a boundary line analysis method (LRTAP Convention, 2017). Since the  $A_{net}$  models are effectively calibrated to the output of a sub-set of all datasets it can be argued that this may improve the ability of this model type compared to the  $g_{sto,emp}$  model. It is also important to note that the  $A_{net}$  models calibration included the UK Bangor dataset (and hence additional information on the onset and rate of senescence) as compared to the parameterisation of the  $g_{sto,emp}$  model, these data would have been useful to test and inform the existing  $g_{sto,emp} f_{O_3}$  function. Ideally, all models would be calibrated using the same data and methods, which would mean that the  $g_{sto,emp}$  model would be calibrated using the genetic algorithm method and with the inclusion of the UK data describing senescence. Although such work was outside the scope of the current study it would be useful to consider in future modelling studies. As such unequivocal claims that  $A_{net,gsto}$  models are better than  $g_{sto,emp}$  models need to be made with caution.

## 5. Conclusion

Overall, we find that the  $A_{net,gsto,mech}$  model can be used to derive robust flux-response relationships when incorporating both short- and long-term O<sub>3</sub> damage processes. The  $A_{net,gsto,mech}$  model also has the added benefit of achieving reasonable estimates of  $g_{sto}$  under variable O<sub>3</sub> concentrations and has a direct link to carbon assimilation. This study's establishment of an  $A_{net,gsto,mech}$  flux-response relationship could be used to calibrate or constrain models that use the  $A_{net,gsto}$  approach (e.g. photosynthesis based crop models, land surface exchange models, biogeochemical cycling models and earth system models) thus supporting a move towards more process-based assessments of O<sub>3</sub> damage and yield loss.

## CRedit authorship contribution statement

**P. Pande:** Conceptualization, Methodology, Validation, Writing – original draft, Writing – review & editing. **F. Hayes:** Conceptualization, Data curation. **S. Bland:** Software. **N. Booth:** Conceptualization. **H. Pleijel:** Conceptualization, Data curation. **L.D. Emberson:** Conceptualization, Supervision, Writing – review & editing.

## Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## Data availability

Data will be made available on request.

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## Supplementary materials

Supplementary material associated with this article can be found, in the online version, at [doi:10.1016/j.agrformet.2024.110150](https://doi.org/10.1016/j.agrformet.2024.110150).

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