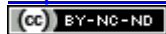


Article (refereed) - postprint

Sharp, Ryan T.; Henrys, Peter A.; Jarvis, Susan G.; Whitmore, Andrew P.; Milne, Alice E.; Coleman, Kevin; Mohankumar, Sajeev Erangu Purath; Metcalfe, Helen. 2021. **Simulating cropping sequences using earth observation data.**

© 2020 Elsevier B.V.

This manuscript version is made available under the CC BY-NC-ND 4.0 license
<https://creativecommons.org/licenses/by-nc-nd/4.0/>



This version is available at <http://nora.nerc.ac.uk/id/eprint/531049/>

Copyright and other rights for material on this site are retained by the rights owners. Users should read the terms and conditions of use of this material at <https://nora.nerc.ac.uk/policies.html#access>.

This is an unedited manuscript accepted for publication, incorporating any revisions agreed during the peer review process. There may be differences between this and the publisher's version. You are advised to consult the publisher's version if you wish to cite from this article.

The definitive version was published in *Computers and Electronics in Agriculture*, 188, 106330. <https://doi.org/10.1016/j.compag.2021.106330>

The definitive version is available at <https://www.elsevier.com/>

Contact UKCEH NORA team at
noraceh@ceh.ac.uk

1 Simulating cropping sequences using earth 2 observation data

3 Ryan T. Sharp ^{a,*}, Peter A. Henrys ^b, Susan G. Jarvis ^b, Andrew P. Whitmore ^a, Alice E. Milne ^a,
4 Kevin Coleman ^a, Sajeev Erangu Purath Mohankumar ^a, Helen Metcalfe ^a

5 ^aSustainable Agricultural Sciences, Rothamsted research, Harpenden, UK

6 ^bUK Centre for Ecology and Hydrology, Lancaster Environment Centre, Lancaster, UK

7 *Corresponding author email: ryan.sharp@rothamsted.ac.uk

8

9 Highlights

- 10
- *Remotely sensed data provides an opportunity to characterise crop sequences.*
 - *Crop transition matrices describe the probability of one crop following another.*
 - *Data and agronomic rules used to derive realistic crop sequences for Great Britain.*
 - *Derived crop transition matrices characterise the business-as-usual state.*
 - *Crop transition matrices and code are provided for use in future modelling studies.*
- 11
- 12
- 13
- 14

15

16 ABSTRACT

17 Model-based studies of agricultural systems often rely on the analyst defining realistic crop sequences. This
18 usually involves relying on a few 'typical rotations' that are used in baseline scenarios. These may not account
19 for the variation in farming practices across a region, however, as farmer decision making about which crops
20 to grow is influenced by a combination of economic, environmental and social drivers. We describe and test
21 an approach for generating random realisations of plausible crop sequences based on observed data as
22 quantified by earth observation. Our approach combines crop classification data with a series of crop
23 management rules that reflect the advice followed by farmers (e.g. to reduce the chance of crop-pests and
24 disease). We adapt the approach to generate crop sequences specific to regions and soil type. This
25 demonstrates how the method can be adapted to generate crop sequences typical of a study area of interest.

26

27 *Keywords:*

28 Crop rotations; Land Cover® *plus*: Crops; Modelling; Crop management; baseline scenario modelling.

29

30 1. Introduction

31 Simulation is an important tool in agricultural research as it allows for the investigation of scenarios that are
32 infeasible to study through experimental trials due to, for example, time or resource constraints. Agricultural
33 systems models have been widely used to investigate management scenarios with a view to identifying ways
34 to improve production efficiency (Reidsma *et al.*, 2009), limit impacts on the environment (Schoumans and
35 Groenendijk, 2000) or investigate trade-offs and synergies between these two (Milne *et al.*, 2020). These
36 types of models, however, can be limited in their success by a scarcity in data needed not only for their
37 parameterisation but also to generate useful scenarios for investigation (Jones *et al.*, 2017). One key aspect
38 of the agricultural system, which is often incorporated into simulation models, is the concept of crop rotation.

39 Crop rotations are a short sequence of crops that are repeated over time. They are used in many
40 agricultural systems across the globe for several reasons, including improvement of soil health and pest
41 management. Crop rotations tend to vary across regions, developed to account for the characteristics of each
42 region (e.g. due to climate, soil, topography, pests and diseases, etc.). In Great Britain, this has led to a
43 prevalence of arable farming in the east and pastoral farming in the west.

44 Due to its importance in several key metrics, which are often captured as outputs from simulation
45 models, the chosen crop rotation for a simulation can have important consequences on the outcome of a
46 study. Indeed, many studies focus on choosing the optimal crop rotation to maximise one or more chosen
47 outputs. For example, Smith *et al.* (2016) looked at the effect of changing the rotation design on soil N, P,
48 and K balances. In many studies, it is common to rely on “typical rotations” for use in the baseline scenarios
49 as Smith *et al.* (2016) did in their study on soil nutrients. Alternatively, a model system is chosen, and data
50 on the cropping history from a typical study site or region is used as the baseline for simulations (e.g. Metcalfe
51 *et al.*, 2020). However, the power of modelling for testing agricultural scenarios comes from the ability to
52 model many scenarios in a short space of time and to capture some of the variation in farming practices
53 across a region in a way which cannot be replicated when simply selecting one case study or crop rotation
54 scenario.

55 Some efforts have been made to develop models for determining crop rotations. However, these
56 have largely been built to address technical constraints to do with reconciling the timing of agronomic events
57 (You and Hsieh, 2017). Castellazzi *et al.* (2008) developed a general method for simulating crop rotations
58 where the transition from one crop to another is represented by a transition matrix where the allocation of
59 a crop in a given year depends on the crop allocated in the previous year. They developed this general
60 methodology to provide a mathematical way to describe predefined crop rotations based on expert
61 knowledge of those rotations. However, the choice of crop grown in a given field is often much more complex
62 than simply following a fixed rotation repeatedly. Indeed, in practice it is unusual for farmers to maintain a
63 predefined crop rotation and use it in succession (I. Shield, pers. comm.). Instead, they will often choose crop
64 sequences according to several factors and their decision over which crop to grow will be based not only on
65 the previous cropping history of the field but other more stochastic elements such as weather, variable costs,
66 crop price and pressure from pests, weeds and diseases. Increasingly, to avoid the build-up of pests, weeds
67 and pathogens and to maintain soil health, farmers are encouraged to maintain diversity in their cropping
68 and to be flexible in their choices (AHDB, 2019).

69 If cropping history could be used to derive sequences of crops commonly grown and to populate the
70 probability matrix, then it would be possible to use this generic method to simulate current cropping
71 practices. Some efforts have been made to try and derive crop rotation history from remotely sensed data.
72 For example, Mueller-Warrant *et al.* (2017) quantified cropping histories for an 11-year period in north-
73 western Oregon and south-western Washington and used that to understand the cropping sequences that
74 farmers chose to adopt between the end of one grass seed stand and the start of the next. Cropping histories
75 derived from remotely sensed data offer a sound means to quantify how decisions made by individuals
76 manifest as crop sequences at the landscape level. It implicitly accounts for a host of factors influencing crop
77 choice, for example crop prices, regional constraints, synergies between crops or pest pressure. However, to
78 our knowledge, such an approach has not been used to characterise the sequences in current use and predict
79 future ones.

80 To simulate cropping sequences in lieu of fixed rotations, the decision processes of the farmers need
81 to be reflected in the simulations. In this paper, we present a method to generate crop sequences that
82 characterise the business-as-usual state by implicitly accounting for the environmental constraints (weather,
83 soil, topography, etc.) of a given region and explicitly accounting for constraints related to the control of
84 pests, weeds and diseases. We demonstrate our method using data from Great Britain and as such provide
85 the reader with the necessary data to generate realistic crop sequences for regions across Great Britain.

86 2. Methods

87 2.1 Data

88 Earth observation data have proven to be a useful resource for predicting which crops are grown in field
89 parcels across landscapes (Graesser and Ramankutty, 2017). If these predictions are available across
90 sequential years, then they can be used to estimate the probability of transitioning from one crop to another.
91 The most comprehensive data available indicating crop choices across Great Britain are the UKCEH Land
92 Cover® *plus*: Crops maps. These maps are produced using satellite data and indicate the crop grown in each
93 parcel of agricultural land in Great Britain. Data are currently available for 2016, 2017 and 2018, with more
94 limited information available for 2015. The crops included within the maps are winter wheat (including oats),
95 spring wheat, winter barley, spring barley, oilseed rape, field beans, potatoes, sugar beet, maize, and
96 improved grass. Other cereals, root crops, early potatoes, and vegetables are grouped in a class called 'other'.

97 2.2 Crop Transition Matrices

98 For each land-parcel in the UKCEH Land Cover® *plus*: Crops dataset, the crop transitions between seasons
99 were determined (2016 to 2017 and 2017 to 2018). Land parcels where the crop was grass across all years
100 (2015–2018) were excluded. This allowed us to consider only grass crops that are grown as a ley within an
101 arable rotation in isolation from those in continuous grassland. We were then able to describe the probability
102 of transition from any crop in the data set to any other crop in a transition matrix (as an example, see Table
103 1). These matrices, as described by Castellazzi *et al.* (2008), typically have as many rows and columns as there
104 are distinct crops in the data set and describe the probability of transitioning from one crop (row) to another
105 (column).

106 **Table 1**

107 Example of a crop transition matrix (medium soil subregion of NUTS region H) giving the probability of
 108 transitioning from one crop (row) to another (column).

Previous Crop	Next Crop										
	Sugar beet	Field beans	Grass	Maize	Oilseed rape	Other	Potato	Spring barley	Spring wheat	Winter barley	Winter wheat
Sugar beet	0.008	0.007	0.005	0.051	0.002	0.072	0.022	0.105	0.176	0.041	0.512
Field beans	0.012	0.011	0.027	0.01	0.01	0.053	0.009	0.022	0.027	0.065	0.755
Grass	0.033	0.021	0.563	0.044	0.018	0.09	0.018	0.031	0.037	0.041	0.105
Maize	0.067	0.009	0.119	0.172	0	0.129	0.035	0.038	0.049	0.059	0.323
Oilseed rape	0.005	0.002	0.01	0.002	0	0.023	0.003	0.005	0.013	0.058	0.878
Other	0.051	0.033	0.132	0.038	0.05	0.177	0.029	0.044	0.063	0.078	0.306
Potato	0.039	0.004	0.017	0.026	0.001	0.071	0.008	0.027	0.039	0.058	0.711
Spring barley	0.07	0.079	0.044	0.021	0.166	0.085	0.033	0.09	0.082	0.133	0.195
Spring wheat	0.075	0.078	0.054	0.022	0.1	0.094	0.038	0.052	0.097	0.142	0.248
Winter barley	0.118	0.06	0.048	0.02	0.345	0.086	0.035	0.029	0.035	0.123	0.102
Winter wheat	0.118	0.079	0.027	0.022	0.124	0.082	0.053	0.05	0.05	0.141	0.255

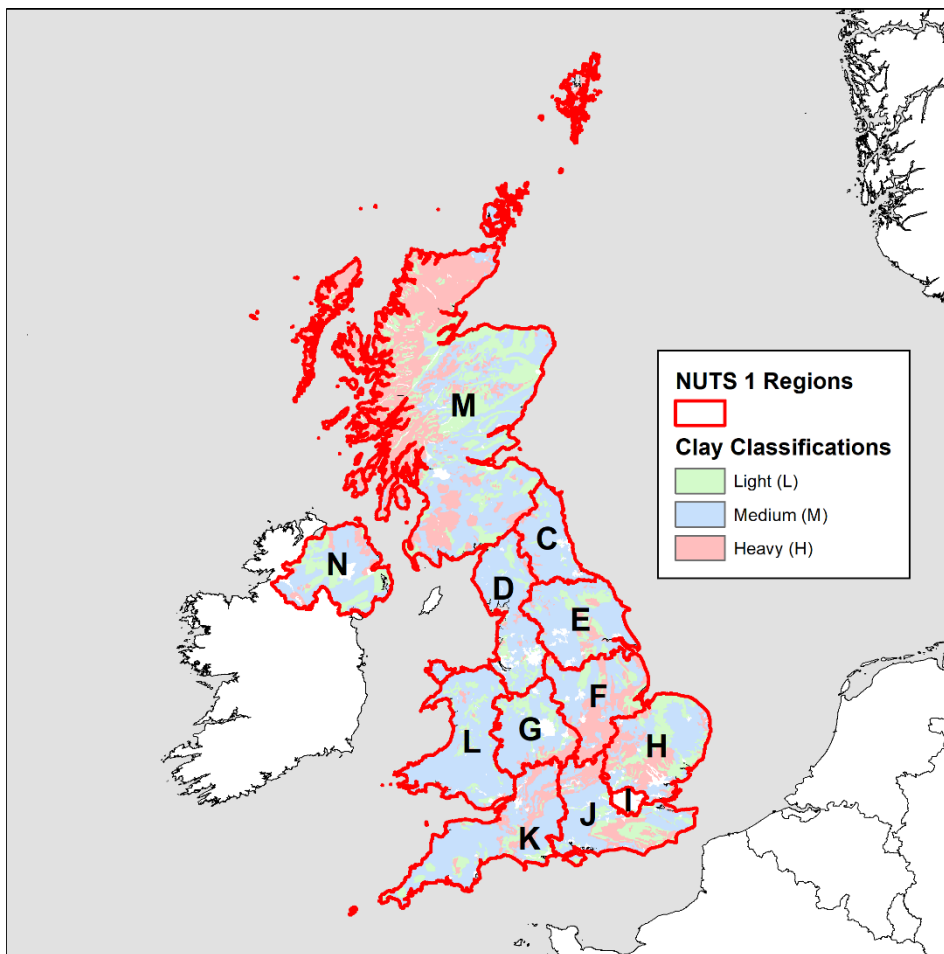
109 2.3 Additional considerations

110 To generate typical cropping sequences, we must address the two main concerns in a farmer's decision-
 111 making process: the environment; and, controlling pest, weed, and disease pressure.

112 2.3.1 The environment

113 To address the first factor (the environment), we decide to split Great Britain by region. The reason for
 114 regional differences in farming systems in Great Britain is primarily due to climate and topology; with the
 115 wetter hillier regions in the west being favoured for grazing systems whilst the drier, flatter parts of Great
 116 Britain tending to be favoured for arable crops. In addition to climate and topography, soil type also
 117 determines the suitability of a field for growing a particular crop. To address this, we first split Great Britain

118 into NUTS1 regions, which are a Eurostat geocode that references the subdivisions of the United Kingdom of
119 Great Britain and Northern Ireland for statistical purposes (Fig. 1) and capture the course-scale regional
120 differences across the UK. We then used data on soil type to classify the regions further. We divided each
121 region into three subregions according to the soil clay content (FAO *et al.*, 2012): light soils were classified as
122 having less than or equal to 18% clay; heavy soils as having greater than 35% clay; and medium soils as
123 anything in between. We then assigned each land parcel within the Land Cover® *plus*: Crop maps to one or
124 more of our subregions, with a land parcel overlapping a subregion boundary assigned to both subregions.



125
126 **Fig. 1.** A map of the UK showing subdivision according to NUTS1 regions and soil clay content.

127 2.3.2 Controlling pest, weed, and disease pressure

128 To account for the second aspect of a farmer's decision-making process (controlling pest, weed, and disease
129 pressure) we incorporated a set of rules either directly into the transition matrices or, for rules that cannot
130 be easily incorporated, by adding a rule-based step to our simulations.

131 Because of the investment required to plant a grass ley and in order to reduce pest, weed and disease
 132 pressure, it is recommended that grass leys are kept for at least two years (I. Shield, pers. comm.). We
 133 therefore represented grass as two crop types in our matrix (bringing the total number of crops to 12 in this
 134 case). We refer to our grass crops as G_1 and G_S , which represents the grass-ley in its first year and the grass-
 135 ley in subsequent years, respectively. By our rule-based definition that there must be at least two years of
 136 grass: (i) G_1 can only transition to G_S (which can be expressed as $P(G_S|G_1) = 1$, i.e. the probability that the
 137 next crop is G_S given that our current crop is G_1 is 1); (ii) G_1 is the only crop that can transition to G_S (i.e.
 138 other crops, C , cannot transition to it; $P(G_S|C) = 0$); and, (iii) G_S cannot transition to G_1 (i.e. $P(G_1|G_S) = 0$).
 139 From our data, we can directly calculate the transition probability from each non-grass crop, C , to G_1 in the
 140 same way we calculate other transition probabilities between crops. To calculate the $P(G_S|G_S)$ we must
 141 adjust our observed probability of grass remaining from one year to the next to account for our restriction
 142 that $P(G_S|G_1) = 1$. This is calculated from

$$P(G_S|G_S) = \frac{P(G)P(G|G) - P(G_1)P(G_S|G_1)}{P(G_S)}, \quad 1$$

143 where: $P(G_S|G_1) = 1$ (as defined above); $P(G|G)$ is defined from our data; the probability of being in a grass
 144 crop, $P(G)$, is defined in the grass component of the steady state vector, v , such that the transition matrix,
 145 M , derived from the data satisfies $M'v = v$ where v is a vector that records crop proportions at steady state
 146 and M' is the transpose of M ; the probability of being in the first year of a grass ley, $P(G_1)$, is estimated from
 147 $N'y = y$ where N' and y are the modified versions of M' and v , respectively, in which the grass component
 148 is split into first and subsequent grass; and, the probability of being in a subsequent year of a grass ley,
 149 $P(G_S) = P(G) - P(G_1)$. These modified transition matrices, N , are given in Metcalfe and Sharp (2021).

150 Using transition matrices calculated from the remotely sensed data, we accounted for the agronomic
 151 “rules” that depend on the previously grown crop. For example, constraints on cropping transitions due to
 152 overlap in the crop harvest and sowing times such as the incompatibility between following an early-winter-
 153 harvested sugar beet crop with an early-autumn-sown cereal crop will be avoided as they will not be
 154 observed in the data. However, some crop rules require consideration of more than one year of cropping

155 history. These types of rules generally either place limits on the crop frequency or on continuous cropping.
 156 Such constraints generally arise to break the cycle or to prevent build-up of pests, weeds or diseases. For
 157 example, a requirement of four years between sugar beet crops is common to minimise the effect of beet
 158 cyst nematode (Wibberley, 1996). Similarly, it is unusual for a farmer to grow more than two wheat crops
 159 consecutively to avoid the build-up of fungal diseases such as take-all (Castellazzi *et al.*, 2008). Crop rules
 160 limiting both the frequency of crops within a sequence and the maximum length of a continuous sequence
 161 of certain crops were implemented according to standard agronomic practice in England and Wales (Table
 162 2).

163 **Table 2**

164 Crop rules not captured by the transition matrices that are implemented within our model to simulate
 165 standard agronomic practice aimed at preventing the build-up of pest, weeds, and diseases.

Crop	Rule	Reference
<i>Limit on continuous cropping</i>		
Wheat	No more than two consecutively	Castellazzi <i>et al.</i> (2008)
Maize	No more than five consecutively	AHDB (2014)
Grass	Grass ley lasting no more than four years	Defra (2019)
<i>Limit on crop frequency</i>		
Potato	maximum one crop in four years	Wolny (1992)
Beet	maximum one crop in four years	Wibberley (1996)
OSR	maximum one crop in four years	Hilton <i>et al.</i> (2013)
Grass	Break of two years between grass leys	I. Shield, pers. comm.

166 2.4 Simulation

167 We simulated crop sequences in MATLAB (MATLAB, 2018). For each subregion type we initialised a model
 168 with 1000 fields. The number of fields starting in each crop type was determined by calculating the steady
 169 state proportions v for each transition matrix M , that is the vector v such that $M'v = v$, where M' is the

170 transpose of M . We then simulated 200 years of crop choices in each of those fields. In each year of
171 simulation, the next crop to be sown in the field was drawn from a probability distribution according to the
172 row of the transition matrix corresponding to the crop currently in that field. If a crop rule enforced that a
173 certain crop could not be grown in a given field (e.g. potatoes were grown the previous year so are not
174 allowed again this year) then the crop was removed from the transition matrix and other values rescaled so
175 that the row summed to 1. If, after all rules have been enforced, there is no crop left to choose from, we
176 default back to the steady state proportions (this only occurs in regions with limited available data, e.g. NUTS
177 region I, London). The code is available from Zenodo (Metcalf and Sharp, 2021).

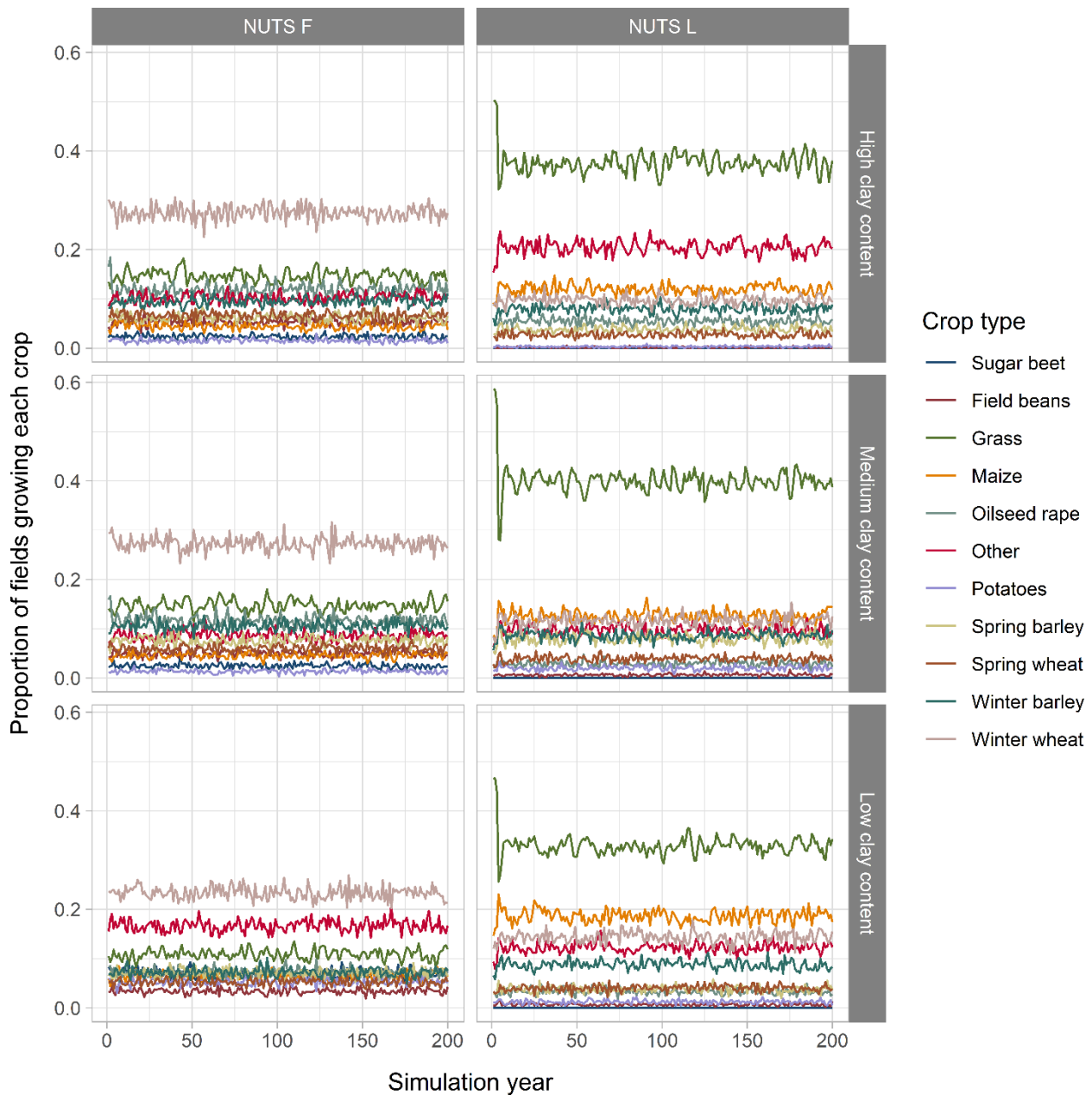
178 2.5 Analysis

179 We analysed the resulting crop sequences using the R software package (2018) for any commonalities. We
180 removed the first ten years of simulated crops as there were large fluctuations in some regions. For the
181 simulated time period of 11-200 years we counted the number of unique crop sequences of lengths three to
182 five crops across the 1000 fields and determined the most frequently observed crop sequences for each
183 subregion. To validate these results, half of the satellite data were used as a training data set to produce the
184 crop transition matrices with the other half used as a validation set. Crop sequences were simulated from
185 these crop transition matrices. The probability of a given three-crop sequence (e.g. a
186 winter wheat – winter barley – winter wheat sequence) were calculated from the simulated sequences and
187 the observed sequences from the validation data and compared. We performed this analysis both with and
188 without our additional crop rules from Table 2. By comparing the validation set with sequences generated
189 without the additional crop rules, we can assess how well the transition matrices are able to predict the
190 validation data. While our additional crop rules aren't enforced on the validation set sequences, we also
191 compare these sequences with the simulated sequences that were generated with the additional crop rules.

192 3. Results

193 During the simulated 200 years of cropping, crop proportions generally fluctuated for a short initial period,
194 due to our additional crop rules, before stabilising, e.g. with the NUTS L region in Fig. 2. Each subregion

195 followed its own unique distribution of crop types and there were distinct differences in the simulated
 196 cropping frequencies across both NUTS1 regions and soil types.



197
 198 **Fig. 2.** Example simulations for six subregions of Great Britain, encompassing two NUTS regions (East
 199 Midlands, F and Wales, L) and the three soil types. Here the proportion of fields growing each crop is shown
 200 over 200 years of simulation.

201 The number of unique crop sequences of lengths three to five crops varied between subregion (Table
 202 3). Some regions had very conserved crop sequences. In the heavy soil subregion of NUTS region I (London)
 203 only 10 different three crop sequences were simulated, accounting for only 0.58% of the total possible

204 permutations. This is due to the limited number of fields in this subregion, however. Outside of London, the
205 region with the fewest sequences was the heavy soil subregion of NUTS region L (Wales) where there were
206 413 different three-crop sequences observed, accounting for 23.9% of the total possible permutations. The
207 NUTS regions E (Yorkshire and the Humber), F (East Midlands), and H (East of England) showed much greater
208 diversity in the crop sequences being simulated with the light soil subregion of NUTS region F displaying 64%
209 of the total possible three crop sequences. When we look at the generated crop sequences of length five
210 there is much less variation and we see a much smaller subset of the total possible crop sequences generated.
211 However, region M still shows very low diversity (only 2.39% of all total five-crop sequences on the heavy
212 soil) and region F still shows very high diversity (15.75% of all total five-crop sequences on the light soil).

213 **Table 3**

214 The number of unique crop sequences of length three to five crops simulated in each subregion across 1,000
 215 fields for 200 years (maximum possible permutations of twelve crops is 1,728 three-crop sequences, 20,736
 216 four-crop sequences, 248,832 five-crop sequences).

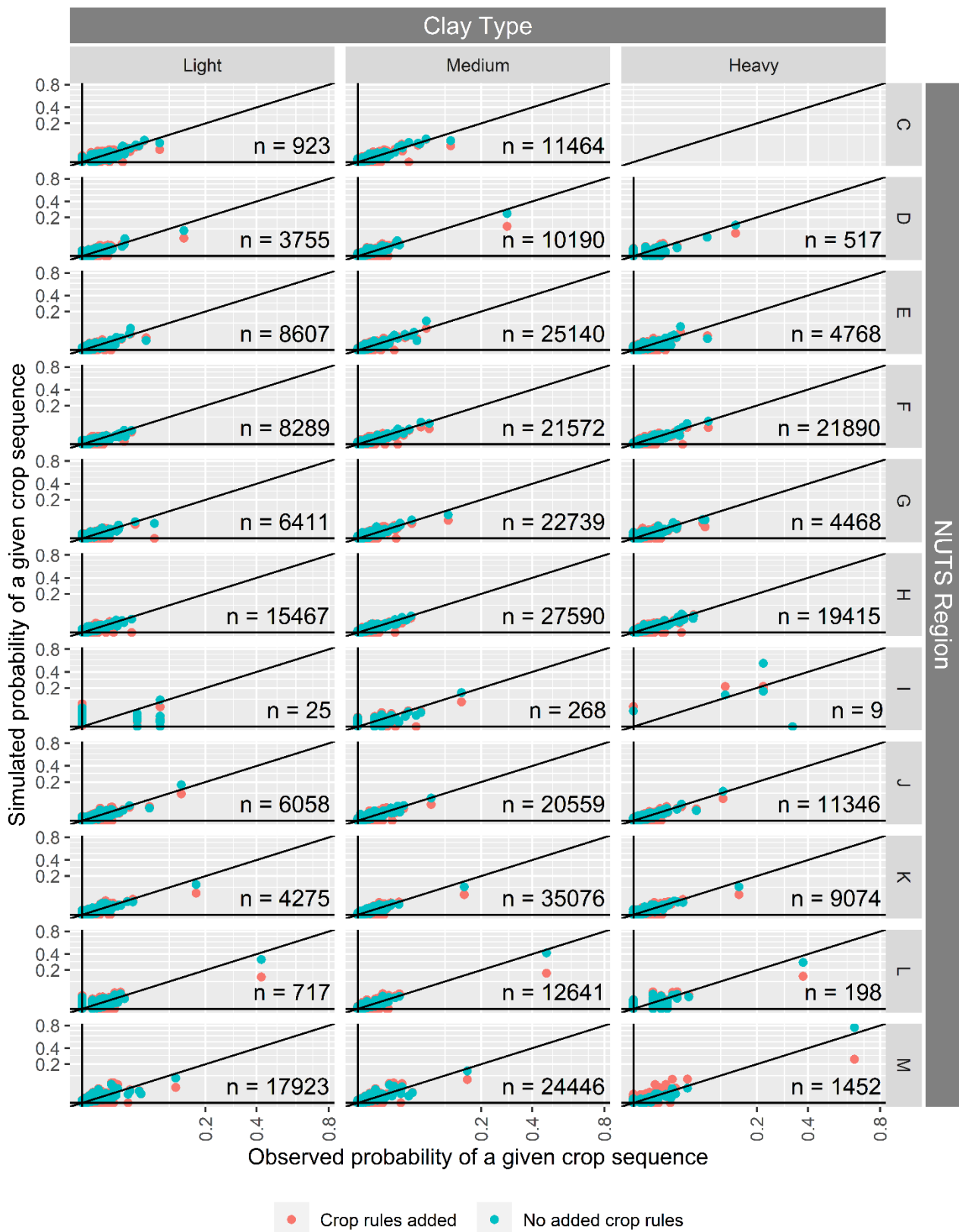
Sequence Length:		three-crops		four-crops		five-crops	
<i>NUTS-1</i>	<i>Clay Classification</i>	<i>Count</i>	<i>% of total possible</i>	<i>Count</i>	<i>% of total possible</i>	<i>Count</i>	<i>% of total possible</i>
C	Heavy	*	*	*	*	*	*
	Medium	748	43.29	4216	20.33	15288	6.14
	Light	721	41.72	4495	21.68	17580	7.07
D	Heavy	698	40.39	4695	22.64	22200	8.92
	Medium	833	48.21	5635	27.17	23858	9.59
	Light	819	47.4	5933	28.61	27968	11.24
E	Heavy	1043	60.36	7293	35.17	30000	12.06
	Medium	1030	59.61	6559	31.63	24631	9.9
	Light	1053	60.94	7847	37.84	33818	13.59
F	Heavy	1058	61.23	7407	35.72	29453	11.84
	Medium	1069	61.86	7424	35.8	29562	11.88
	Light	1106	64	8704	41.98	39200	15.75
G	Heavy	784	45.37	5123	24.71	21443	8.62
	Medium	804	46.53	5391	26	22636	9.1
	Light	822	47.57	5788	27.91	26340	10.59
H	Heavy	1024	59.26	6551	31.59	25027	10.06
	Medium	1057	61.17	7306	35.23	28992	11.65
	Light	1050	60.76	7778	37.51	34182	13.74
I	Heavy	10	0.58	17	0.08	28	0.01
	Medium	543	31.42	3382	16.31	14039	5.64
	Light	160	9.26	557	2.69	1834	0.74
J	Heavy	800	46.3	5105	24.62	21448	8.62
	Medium	888	51.39	5440	26.23	22035	8.86
	Light	865	50.06	5552	26.77	21541	8.66
K	Heavy	764	44.21	4868	23.48	20626	8.29
	Medium	809	46.82	5556	26.79	25382	10.2
	Light	790	45.72	5312	25.62	22762	9.15
L	Heavy	413	23.9	2335	11.26	9877	3.97
	Medium	811	46.93	5037	24.29	19488	7.83
	Light	616	35.65	3968	19.14	16164	6.5
M	Heavy	429	24.83	2034	9.81	5950	2.39
	Medium	810	46.88	4964	23.94	19103	7.68
	Light	786	45.49	4863	23.45	19003	7.64

217 * the large majority of this subregion was permanent grassland and so too few transitions remained to make
 218 it viable for simulation.

219 The sequences most commonly generated vary by region, however this is in line with expectation
220 with more arable-type rotations in the east and pastoral or mixed systems in the west. In NUTS region F (East
221 Midlands) the most commonly predicted three-crop sequence was winter wheat – oilseed rape – winter
222 wheat on all three soil types. As the crop sequences are lengthened, either field beans, winter barley or
223 potatoes are introduced. In NUTS region K (South West), however, we most commonly predict three- to four-
224 year grass leys. In a Defra project (Defra, 2010) that previously looked at determining crop rotations in
225 different regions of England they predicted crop rotations very similar to ours in the east of England but they
226 did not include grass in their predictions and so their results differ for the south west. However, their
227 predicted rotation of winter wheat – winter wheat – winter barley – oilseed rape is very similar to our most
228 frequent four-crop sequence (after removing any grass leys) of winter wheat – winter barley – oilseed rape
229 – winter wheat generated by our model in NUTS region K on the medium soil. Even in regions where the
230 cropping sequences are very conserved, such as the heavy soil subregion of NUTS region L (Wales) the most
231 commonly predicted three-crop sequence only occurred 8.4% of the time. In other regions where there was
232 more variety in cropping such as the light soil subregion of NUTS region F (East Midlands) the most commonly
233 predicted three-crop sequence occurred just 2% of the time. This suggests that farmers are indeed not
234 repeating typical rotations and are in fact actively adapting the crops produced according to the current
235 conditions.

236 Fig. 3 plots the results from our validation analysis. Here we compare our simulated sequences
237 generated both with and without the additional crop rules from Table 2 to the sequences from the validation
238 data. We first compare the sequence simulated without the additional crop rules. These simulations
239 performed well, with the simulated probability of a given of crop sequence closely matching the probability
240 observed in the validation data. This demonstrates the goodness of fit of the crop transition matrices that
241 were produced using the training data and shows that crop transition matrices are an effective way of
242 replicating regional crop choice. The main source of error occurred with the heavy soil subregion of region C.
243 This is likely due to the lack of data in this subregion, however. Enforcing the additional crop rules on the
244 simulated sequences results in a poorer fit with the validation data, this is to be expected however as the
245 validation sequences do not necessarily comply with the additional crop rules of Table 2. For instance, there

246 were sequences in the validation set that are strictly prohibited by our crop rules, e.g. a grass-crop-grass
247 sequence. The largest discrepancy that we consistently found across subregions was in the prevalence of
248 all-grass sequences, generally indicated by the points to the farthest right of the plots, e.g. the heavy soil
249 subregion of region M in which approximately 64% of the validation sequences were all-grass sequences
250 compared to 25% of our sequences simulated with the additional crop rules. This is again expected as our
251 crop rules control both the maximum consecutive number of years a grass ley in an arable rotation can be
252 planted for, as well as the minimum time to wait between planting grass leys, all of which serves to reduce
253 the amount of grass in the simulated sequences. Possible reasons why our crop rules aren't reflected in the
254 data could include unexpected farmer behaviour, rented land in which new tenants don't base their decisions
255 on the actions of prior tenants or due to crop misclassifications in the data (UKCEH, 2018). This therefore
256 highlights the need to include these additional crop rules.



257
258

Fig. 3. Plot of the probability of a given three-crop sequence appearing in the validation data and the simulations generated using the training data. An identity line is included to assess the accuracy of the simulated sequences. The heavy soil subregion of region C (North East England) was not simulated due to insufficient data. The number of fields in the validation set is given by n .

259
260
261

262 4. Discussion

263 Simulation of crop sequences using transition matrices in combination with agronomic rules allows us to
264 generate realistic sequences of crops reflecting the business-as-usual state at the subregional level in Great
265 Britain. The decision as to which crop a farmer will grow is a two-stage process taking into account: the
266 environment; as well as, pest, weed and disease pressure. Lawes and Renton (2010) took some steps to
267 incorporating some of these decisions into their land use sequence optimiser accounting for the need to
268 place break crops into the crop sequence to provide relief from soil pathogens, to minimise populations of
269 herbicide resistant weeds or to increase soil nitrogen reserves. In this article we incorporated these decisions
270 in two ways. By populating transition matrices at the subregion level, we accounted for decisions related to
271 the environment as soil and climatic conditions tend to vary at this scale. These transition matrices only
272 account for agronomic rules that depend on the previously grown crop, however. By applying additional crop
273 rules from within the simulation, that depend on crops planted prior to the previously grown one, we can
274 better account for the second part of the decision-making process.

275 An alternative approach to including crop-based rules explicitly in the method would be to look at
276 transition probabilities conditional on more than just the previous year (e.g. if one observes winter wheat
277 twice in a rotation then what is most likely to come next?). Whilst this approach is attractive because it is
278 purely data driven and so captures a more realistic picture of crop sequences, it is limited by the fact it
279 requires a large data set over a number of years. When this study was performed, there were only three
280 reliable years of data available from Land Cover® *plus*: Crops, and so a more detailed data driven approach
281 over more than three years was not possible.

282 In defining the crop sequence transitions in subregions according to NUTS1 areas and soil types, we
283 have assumed homogeneity within these regions with respect to crop patterns. Whilst this is a reasonable
284 assumption to make, as the combination of NUTS1 regions and soil type capture the key geographical and
285 environmental characteristics, it is possible that potentially large within-subregion variation is ignored.
286 Furthermore, the use of discrete subregions results in discontinuities at the boundaries, which is an artefact
287 of the aggregation and not likely in reality. Localised sequences smoothed across space would overcome

288 these issues, however it may suffer other problems including interpretation, lack of data used to estimate
289 sequences and subjective choice of smoothing parameter. Such approaches still rely on some defined region
290 from which sequence patterns are derived and hence, although these are likely to be much smaller regions
291 to capture the localised sequences, issues around homogeneity assumptions may still exist.

292 To further overcome potential issues with high within subregion variability in crop sequences, a more
293 detailed classification could be undertaken. For example, we also implemented a hierarchical cluster analysis
294 to form classes according to soil and topographic variables considered important in crop choice (clay, silt,
295 organic carbon and bulk density). For ease of exposition and to keep the number of transition matrices to a
296 manageable level for reporting, we chose not to present those results here, but highlight this method as an
297 alternative for defining regions.

298 Previous work on the simulation of crop rotations has focused on finding the best rotation to
299 optimise a given objective, be it economic (Pakawanich *et al.*, 2020), environmental (dos Santos *et al.*, 2011),
300 or regulatory (Mauri, 2019). Some studies have even considered combining multiple objectives such as
301 maximising economic returns whilst reducing nitrogen loss and soil erosion (Watkins and Lu, 1998). However,
302 objective-oriented crop rotations do not necessarily reflect the decision-making process considered by
303 farmers, as the “best” crop sequence will not only depend on cropping constraints but also a number of
304 stochastic factors, such as weather, pest pressure, and the environment. For example, we found that the
305 most common three-crop sequence for each region occurred between 2-8.4% of the time, supporting the
306 idea that there is no typical crop rotation that is used repeatedly. By taking a data-driven probabilistic
307 approach we hope to be able to capture some of these stochastic processes when the cropping sequences
308 are scaled up to a landscape scale.

309 It is important to consider that Land Cover[®] *plus: Crops* contains uncertainty that could influence the
310 observed transition probabilities. Overall accuracy of the product is around 86%, but this varies between crop
311 types with grass, oilseed rape and winter wheat having over 90% accuracy and field beans and spring wheat
312 having below 50% accuracy (UKCEH, 2018). Misclassifications could lead to incorrect transition probabilities
313 and therefore spurious crop sequences. For example, the originally calculated $P(G_S|G_S)$ was negative in the

314 light soil subregions of NUTS regions G (West Midlands) and H (East of England), these were set to zero and
315 the other probabilities in the row were rescaled to sum to one. We believe these negative values are due to
316 our assumption that $P(G_5|G_1) = 1$ is not necessarily what is found in the Land Cover® *plus*: Crops data. This
317 is likely due to a combination of misclassifications in the Land Cover® *plus*: Crops data, the small time-window
318 of data available, and farmers planting grass for just a single year. If farmers are planting grass for a single
319 year, then we expect the number of cases in which this happens to be small because of the investment
320 required to plant a grass ley and due to the recommendation to plant grass for at least two years to help
321 reduce pest, weed and disease pressure (I. Shield, pers. comm.).

322 Here we have described a method of simulating crop sequences that reflect the business-as-usual
323 state at the subregional level for Great Britain. The use of our method to generate realistic cropping
324 sequences will allow agricultural systems modellers to move away from simulating crop rotations, an
325 agronomic practice rarely implemented rigidly on farms, and instead mimic more accurately the decision
326 processes undertaken by a farmer when making crop choices. This method is well suited to simulating
327 realistic crop sequences and so will support business-as-usual scenarios. Not only this, but the method offers
328 a sound way to investigate future scenarios. These could be simulated by incorporating a greater diversity of
329 crops into the transition matrix or by including more agronomic rules on the frequency of cropping.
330 Simulation in this way could also allow gradual transitions to new cropping regimes over time rather than
331 abrupt changes at arbitrary points in a simulation when the crop rotation is changed to some “future
332 scenario”. As more data become available these “business-as-usual” transition matrices should be updated
333 to reflect the changing patterns in farming. For example, recent changes in pesticide regulations have left
334 many farmers defenceless against the cabbage stem flea beetle, a serious pest in oil seed rape, resulting in a
335 dramatic reduction in the area that this crop is grown (Dewar, 2017). Shocks of this type, including climate
336 change, changes in crop prices, new invasive pests and diseases and further loss of chemical control methods
337 will continue to impact observed crop sequences and so it is important to update transition matrices on a
338 regular basis. In addition, as methods for identifying crops are developed and improve, the satellite
339 predictions of crop types will become more accurate and able to detect a wider variety of crops leading to
340 more complex transition matrices that reflect this.

341 Acknowledgements

342 This work was supported by: Institute Strategic Programme Grant ‘Achieving Sustainable Agricultural
343 Systems’ (ASSIST) [grant number NEC05829]; Institute Strategic Programme Grant ‘Soils to Nutrition’ (S2N)
344 [grant number BBS/E/C/00010330]; ‘Transforming and growing relationships within regional food systems for
345 Improved nutrition and sustainability’ (TGRAINS) [grant number BB/S014292/1]; and, Strategic Priorities
346 Fund ‘Landscape Decisions: Towards a new framework for using land assets’ programme ‘New Science to
347 Enable the Design of Agricultural Landscapes that Deliver Multiple Functions – AgLand’ [grant numbers
348 NE/T001178/1 (Rothamsted Research) and NE/T000244/2 (UKCEH)].

349 References

- 350 AHDB, 2014. Growing and feeding forage maize – a review. Work Package 3b: Alternative forages. Research
351 Partnership: Grasslands, Forage and Soil.
352
- 353 AHDB, 2019. How resilient is your rotation? [Online]. Available:
354 <https://cereals.ahdb.org.uk/publications/2018/june/07/how-resilient-is-your-rotation.aspx>
355 [Accessed 17/09/2019].
356
- 357 Castellazzi, M.S., Wood, G.A., Burgess, P.J., Morris, J., Conrad, K.F., Perry, J.N., 2008. A systematic
358 representation of crop rotations. *Agricultural Systems* 97 (1-2), 26-33.
359 <https://doi.org/10.1016/j.agsy.2007.10.006>.
360
- 361 Defra, 2010. Soil carbon: studies to explore greenhouse gas emissions and mitigation, Project code SP1106
362 [Online]. Available:
363 <http://sciencesearch.defra.gov.uk/Default.aspx?Menu=Menu&Module=More&Location=None&Completed=0&ProjectID=17323>
364
365
- 366 Defra, 2019. June Survey of Agriculture and Horticulture [Online]. Available:
367 [https://www.gov.uk/government/statistical-data-sets/structure-of-the-agricultural-industry-in-](https://www.gov.uk/government/statistical-data-sets/structure-of-the-agricultural-industry-in-england-and-the-uk-at-june)
368 [england-and-the-uk-at-june](https://www.gov.uk/government/statistical-data-sets/structure-of-the-agricultural-industry-in-england-and-the-uk-at-june)
369
- 370 Dewar, A.M., 2017. The adverse impact of the neonicotinoid seed treatment ban on crop protection in
371 oilseed rape in the United Kingdom. *Pest Manage. Sci.* 73 (7), 1305-1309.
372 <https://doi.org/10.1002/ps.4511>.
373
- 374 dos Santos, L.M.R., Michelon, P., Arenales, M.N., Santos, R.H.S., 2011. Crop rotation scheduling with
375 adjacency constraints. *Annals of Operations Research* 190 (1), 165-180.
376 <https://doi.org/10.1007/s10479-008-0478-z>.
377
- 378 FAO, IIASA, ISRIC, ISSCAS, JRC, 2012. Harmonized World Soil Database (version 1.21). FAO, Rome, Italy and
379 IIASA, Laxenburg, Austria.
380
- 381 Graesser, J., Ramankutty, N., 2017. Detection of cropland field parcels from Landsat imagery. *Remote Sens.*
382 *Environ.* 201, 165-180. <https://doi.org/10.1016/j.rse.2017.08.027>.

383
384 Hilton, S., Bennett, A.J., Keane, G., Bending, G.D., Chandler, D., Stobart, R., Mills, P., 2013. Impact of
385 Shortened Crop Rotation of Oilseed Rape on Soil and Rhizosphere Microbial Diversity in Relation to
386 Yield Decline. PLOS ONE 8 (4), e59859. <https://doi.org/10.1371/journal.pone.0059859>.
387
388 Jones, J.W., Antle, J.M., Basso, B., Boote, K.J., Conant, R.T., Foster, I., Godfray, H.C.J., Herrero, M., Howitt,
389 R.E., Janssen, S., Keating, B.A., Munoz-Carpena, R., Porter, C.H., Rosenzweig, C., Wheeler, T.R.,
390 2017. Toward a new generation of agricultural system data, models, and knowledge products: State
391 of agricultural systems science. *Agricultural Systems* 155, 269-288.
392 <https://doi.org/10.1016/j.agsy.2016.09.021>.
393
394 Lawes, R., Renton, M., 2010. The Land Use Sequence Optimiser (LUSO): A theoretical framework for
395 analysing crop sequences in response to nitrogen, disease and weed populations. *Crop and Pasture*
396 *Science* 61 (10), 835-843. <https://doi.org/10.1071/CP10026>.
397
398 MATLAB, 2018. 9.5.0 (R2018b). The MathWorks, Inc., Natick, Massachusetts, United States.
399
400 Mauri, G.R., 2019. Improved mathematical model and bounds for the crop rotation scheduling problem
401 with adjacency constraints. *European Journal of Operational Research* 278 (1), 120-135.
402 <https://doi.org/10.1016/j.ejor.2019.04.016>.
403
404 Metcalfe, H., Sharp, R.T., 2021. CropSequenceGenerator (Version 1.0.4). Zenodo.
405 <https://doi.org/10.5281/zenodo.5001978>.
406
407 Metcalfe, H., Milne, A.E., Deledalle, F., Storkey, J., 2020. Using functional traits to model annual plant
408 community dynamics. *Ecology* 101 (11), e03167. <https://doi.org/10.1002/ecy.3167>.
409
410 Milne, A.E., Coleman, K., Todman, L.C., Whitmore, A.P., 2020. Model-based optimisation of agricultural
411 profitability and nutrient management: a practical approach for dealing with issues of scale.
412 *Environ. Monit. Assess.* 192 (11), 730. <https://doi.org/10.1007/s10661-020-08699-z>.
413
414 Mueller-Warrant, G.W., Trippe, K.M., Whittaker, G.W., Anderson, N.P., Sullivan, C.S., 2017. Spatial methods
415 for deriving crop rotation history. *International Journal of Applied Earth Observation and*
416 *Geoinformation* 60, 22-37. <https://doi.org/10.1016/j.jag.2017.03.010>.
417
418 Pakawanich, P., Udomsakdigool, A., Khompatraporn, C., 2020. Robust production allocation model for an
419 agricultural cooperative with yield uncertainty and similar revenue constraints. *Comput. Electron.*
420 *Agric.* 168. <https://doi.org/10.1016/j.compag.2019.105090>.
421
422 R Core Team, 2018. R: A Language and Environment for Statistical Computing. R Foundation for Statistical
423 Computing, Vienna, Austria. <https://www.R-project.org/>.
424
425 Reidsma, P., Ewert, F., Boogaard, H., van Diepen, K., 2009. Regional crop modelling in Europe: The impact of
426 climatic conditions and farm characteristics on maize yields. *Agricultural Systems* 100 (1-3), 51-60.
427 <https://doi.org/10.1016/j.agsy.2008.12.009>.
428
429 Schoumans, O.F., Groenendijk, P., 2000. Modeling Soil Phosphorus Levels and Phosphorus Leaching from
430 Agricultural Land in the Netherlands. *Journal of Environmental Quality* 29 (1), 111-116.
431 <https://doi.org/10.2134/jeq2000.00472425002900010014x>.
432
433 Smith, L.G., Tarsitano, D., Topp, C.F.E., Jones, S.K., Gerrard, C.L., Pearce, B.D., Williams, A.G., Watson, C.A.,
434 2016. Predicting the effect of rotation design on N, P, K balances on organic farms using the NDICEA
435 model. *Renew. Agric. Food Syst.* 31 (5), 471-484. <https://doi.org/10.1017/S1742170515000381>.

436
437 UKCEH, Land Cover® *plus*: Crops © UKCEH. © RSAC. © Crown Copyright 2007.
438
439 UKCEH, 2018. CEH Land Cover® *plus* Crop Map: Quality Assurance [Online]. Available:
440 <https://www.ceh.ac.uk/ceh-land-cover-plus-crop-map-quality-assurance> [Accessed 27/03/2020].
441
442 Watkins, K.B., Lu, Y.C., 1998. Economic and Environmental Tradeoffs Among Alternative Seed Potato
443 Rotations. *J. Sustainable Agric.* 13 (1), 37-53. https://doi.org/10.1300/J064v13n01_05.
444
445 Wibberley, J., 1996. A brief history of rotations, economic considerations and future directions. *Aspects of*
446 *Applied Biology* (United Kingdom).
447
448 Wolny, S., 1992. The threat of parasitic nematodes to farm crops grown in various rotations and
449 monoculture. *Acta Academiae Agriculturae ac Technicae Olstenensis, Agricultura* (55), 103-113.
450
451 You, P.S., Hsieh, Y.C., 2017. A computational approach for crop production of organic vegetables. *Comput.*
452 *Electron. Agric.* 134, 33-42. <https://doi.org/10.1016/j.compag.2016.11.003>.
453
454