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Contact UKCEH NORA team at  
[noraceh@ceh.ac.uk](mailto:noraceh@ceh.ac.uk)

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2 PROF. STEFAN REIS (Orcid ID : 0000-0003-2428-8320)

3 DR. FENG ZHOU (Orcid ID : 0000-0001-6122-0611)

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9 **Decoupling between ammonia emission and crop production in**  
10 **China due to policy interventions**

11

12 **Running Title: Cropland-NH<sub>3</sub> emission trend in China**

13

14 Wulahati Adalibieke<sup>1#</sup>, Xiaoying Zhan<sup>2#</sup>, Xiaoqing Cui<sup>1</sup>, Stefan Reis<sup>3,4</sup>, Wilfried Winiwarter<sup>5,6</sup>,  
15 and Feng Zhou<sup>1\*</sup>

16

17 **ORCID:**18 *Feng Zhou*: <https://orcid.org/0000-0001-6122-0611>19 *Stefan Reis*: <https://orcid.org/0000-0003-2428-8320>20 *Wilfried Winiwarter*: <https://orcid.org/0000-0001-7131-1496>

21

22 **#Joint first authorship:**

23 W.A. and X.Y.Z. contributed equally to this work.

24

25 **Institutional affiliations:**26 <sup>1</sup>College of Urban and Environmental Sciences, and Ministry of Education Laboratory for Earth

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27 Surface Processes, Peking University, Beijing 100871, PR China;  
28 <sup>2</sup>Agricultural Clean Watershed Research Group, Chinese Academy of Agricultural Sciences,  
29 Institute of Environment and Sustainable Development in Agriculture, Beijing 100081, PR China;  
30 <sup>3</sup>UK Centre for Ecology & Hydrology, Bush Estate, Penicuik, Midlothian, EH26 0QB, UK;  
31 <sup>4</sup>University of Exeter Medical School, European Centre for Environment and Health, Knowledge  
32 Spa, Truro, TR1 3HD, UK;  
33 <sup>5</sup>International Institute for Applied Systems Analysis (IIASA), Laxenburg A-2361, Austria;  
34 <sup>6</sup>The Institute of Environmental Engineering, University of Zielona Góra, Zielona Góra 65-417,  
35 Poland;  
36  
37 **\*Correspondence:**  
38 Feng Zhou, College of Urban and Environmental Sciences  
39 Peking University, Beijing 100871, PR China  
40 TEL: +86 10 62756511; Email: zhouf@pku.edu.cn

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41 **ABSTRACT**

42 Cropland ammonia (NH<sub>3</sub>) emission is a critical driver triggering haze pollution. Many agricultural  
43 policies were enforced in past four decades to improve nitrogen (N) use efficiency while  
44 maintaining crop yield. Inadvertant reductions of NH<sub>3</sub> emissions, which may be induced by such  
45 policies, are not well evaluated. Here, we quantify the China's cropland-NH<sub>3</sub> emission change  
46 from 1980 to 2050 and its response to policy interventions, using a data-driven model and a  
47 survey-based dataset of the fertilization scheme. Cropland-NH<sub>3</sub> emission in China doubled from  
48 1.93 to 4.02 Tg NH<sub>3</sub>-N in period 1980-1996, and then decreased to 3.50 Tg NH<sub>3</sub>-N in 2017. The  
49 prevalence of four agricultural policies may avoid ~3.0 Tg NH<sub>3</sub>-N in 2017, mainly located in  
50 highly-fertilized areas. Optimization of fertilizer management and food consumption could  
51 mitigate three quarters of NH<sub>3</sub> emission in 2050 and lower NH<sub>3</sub> emission intensity (emission  
52 divided by crop production) close to the European Union and the United States. Our findings  
53 provide an evidence on the decoupling of cropland-NH<sub>3</sub> from crop production in China, and  
54 suggest the need to achieve cropland-NH<sub>3</sub> mitigation while sustaining crop yields in other  
55 developing economies.

56 **KEYWORDS**

57 ammonia, emission inventory, flux upscaling, decoupling, agricultural management, policy  
58 intervention

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## 60 1. INTRODUCTION

61 Through its important role in the formation of particulate matter, atmospheric ammonia  
62 ( $\text{NH}_3$ ) affects air quality and has implications for human health (Warner et al., 2017). Excess  $\text{NH}_3$   
63 in the environment also contributes to soil acidification (Liu et al., 2019), aquatic eutrophication  
64 (Elser et al., 2009; Wang et al., 2017; Zhan et al., 2017) and climate change (Hauglustaine et al.,  
65 2014). The cropping system, as a source of anthropogenic  $\text{NH}_3$  emissions considered second only  
66 to animal husbandry, contributes more than one third of atmospheric  $\text{NH}_3$  (EDGAR 2017; Paulot  
67 et al., 2014; Xu et al., 2019). Cropland  $\text{NH}_3$  is considered to consist of emissions due to the  
68 application of synthetic fertilizers, manure and crop residue. Reducing these emissions becomes  
69 urgent in a situation of increasing food demand due to population growth and a changing diet in  
70 future (Fowler et al., 2015). However,  $\text{NH}_3$  mitigation from cropping system is challenging as long  
71 as agriculture is optimized towards maximum food production.

72 Actually, high-income countries have long had  $\text{NH}_3$  mitigation while sustaining crop yield in  
73 their sights (Zhang et al., 2020). For instance, member countries of the European Union (EU) have  
74 set a target to reduce  $\text{NH}_3$  emissions through the National Emission Ceilings Directive since 2001  
75 (UNECE 1999). In parallel, activities under the UNECE Convention on Long-range  
76 Transboundary Air Pollution in the context of the Gothenburg Protocol have set similar targets,  
77 including for countries outside of the EU (UNECE 1999). To provide support to the EU member  
78 states and parties which have ratified the Gothenburg protocol in attaining these ceilings, an  
79 ‘Ammonia Guidance Document’ was developed describing detailed abatement techniques  
80 (Bittman 2017), and translated into national plans and legislation in several countries. China  
81 promoted abatement options of agricultural  $\text{NH}_3$  emissions in the updated Clean Air Action Plan  
82 in 2018 (Liu et al., 2019). Although later than the EU, the Chinese government has developed  
83 policies that arguably addressed cropland- $\text{NH}_3$  emission mitigation before 2018. For instance, the  
84 Agricultural Cost-saving and Efficiency-increasing Program (Wu 2000), and national Soil Testing  
85 and Nutrient Recommendation Program (MARA 2015a) were promoted by the government for  
86 improving fertilizer use efficiency in 1994 and 2005, respectively. However, cropland- $\text{NH}_3$

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87 reductions associated with these policies are often not well evaluated at regional scale. This further  
88 results in an incomplete understanding of the drivers and mechanisms behind changing  
89 cropland-NH<sub>3</sub> emissions, and makes future projections and the assessment of further abatement  
90 potentials unreliable.

91 Obstacles of such evaluation lie in the missing methodological approaches to construct  
92 linkages between regional cropland-NH<sub>3</sub> emission and agronomic measures or policies. Existing  
93 bottom-up models cannot achieve this mainly due to the incomplete model structure and coarse  
94 spatial resolution of activity data in connection with agricultural management practices. For  
95 example, process-based models e.g. DNDC (Dubache et al., 2019; Li et al., 2019), FAN (Riddick  
96 et al., 2016; Vira et al., 2019), DLEM-Bi-NH<sub>3</sub> (Xu et al., 2019) emphasize explicit  
97 physicochemical processes of NH<sub>3</sub> transfer across the soil-air interface, but use highly simplified  
98 representations of agricultural practices. Data-driven models, which calculate emissions as  
99 volatilization rates multiplied by the amount of N-fertilizers applied, could support the analysis of  
100 NH<sub>3</sub> trends and patterns in response to historical agricultural management practices beyond  
101 alternative climate conditions. However, using temporally consistent activity data on fertilizer  
102 schemes may distort the dynamical evolution of cropland-NH<sub>3</sub> emissions (Beusen et al., 2008;  
103 Bouwman et al., 2002; Bouwman et al., 1997; Riddick et al., 2016; Vira et al., 2019; Xu et al.,  
104 2019).

105 China has transitioned from an underdeveloped country to the second largest economy  
106 globally (Zhou et al., 2020). Driven by demand and policies, the consumption of vegetables, fruits  
107 and animal productions is increasing much faster than grain (NBSC 2021). Governmental policies  
108 and subsidies are also stimulating the transition of cropping systems from resource dependence  
109 (land, fertilizers, water, labor, etc.) to technology-intensive since 1980s (Liu et al., 2016; Jiao et  
110 al., 2018). How cropland-NH<sub>3</sub> emissions are responding to technical adoptions and policy  
111 interventions over time and space is not well known. To address these knowledge gaps, an updated  
112 data-driven model coupled with high-resolution, crop-specific fertilization schemes (rate, form,  
113 and placement) was employed to quantify the spatiotemporal pattern of cropland-NH<sub>3</sub> emissions  
114 across China for the period 1980-2017. We focused on this period because the most rapid changes

115 took place and the best defined policy interventions in this period and because of data availability.  
116 NH<sub>3</sub> emissions from the application of synthetic fertilizers, livestock manure, human excreta, and  
117 crop residues returned to croplands were considered. We then identified the driving forces behind  
118 changing NH<sub>3</sub> emission patterns by using the Logarithmic Mean Divisia Index method (LMDI,  
119 Ang 2015; Guan et al., 2018) and assessed policy-induced NH<sub>3</sub> reductions by translation of the  
120 policies into these drivers. Finally, we explored the NH<sub>3</sub> abatement potential for different regions  
121 and crops by optimizing the fertilizer management and food consumption in future.

## 122 2. MATERIALS AND METHODS

### 123 2.1 Data-driven upscaling model

124 We estimated NH<sub>3</sub> emissions separately for 8 crop types (i.e., rice, maize, wheat, vegetables,  
125 fruits, potatoes, legumes, and other upland crops). The NH<sub>3</sub> emissions were calculated as  
126 volatilization rate (VR) multiplied by the amount of N-fertilizers applied, whereas environmental  
127 conditions and fertilization schemes are considered as correction terms for VRs. This type of  
128 function has been applied in previous bottom-up estimates (Huang et al., 2012; Misselbrook et al.,  
129 2004; Zhang et al., 2011) as follows:

$$130 \quad V_{i,k} = VR_{i,k} \times N_{i,k} \times S_{i,k} \quad (1)$$

$$131 \quad VR_{i,k} = VR_i^0 \times f(pH_{i,k}) \times f(A_{i,k}) \times f(u_{i,k}) \times f(T_{i,k}) \times f(M_{i,k}) \quad (2)$$

132 where  $V_{i,k}$  is NH<sub>3</sub> emission (kg) for crop  $i$  in grid  $k$ .  $VR$ ,  $N$  and  $S$  represent NH<sub>3</sub> volatilization rate  
133 (%), total N application rate (kg N ha<sup>-1</sup>) and sowing area (ha), respectively.  $VR^0$  is averaged from  
134 all available VR data, roughly corresponding to the baseline of VR under reference condition  
135 (chamber-based using urea applied through broadcasting with soil/ponded pH of 7 and air  
136 temperature of 20°C for upland crops or of 26°C for paddy rice).  $f(pH)$ ,  $f(A)$ ,  $f(u)$ ,  $f(T)$ , and  
137  $f(M)$  represent the correction coefficients that reflect the effects of soil/ponded pH, air  
138 temperature and wind speed (as measured 10 m above the surface) during the period of crop  
139 growth, the fertilizer type, and the method of fertilizer placement on VR, respectively. To avoid  
140 unrealistic values, the estimated  $VR_{i,k}$  were capped at 43%, which was consistent with the upper

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141 bound of the IPCC Tier 1 default value (Calvo et al., 2019). A detailed introduction and the  
142 refinement of the model can be found in Zhan et al. (2021) and supplementary information (Text  
143 S1, Figure S1 and Data S1), respectively.

## 144 **2.2 New dataset of fertilization schemes**

145 The data-driven model is forced by multiple gridded input datasets, including a dataset  
146 describing the total synthetic-N fertilizer application rate ( $\text{kg N year}^{-1}$ ) developed by Shang et al  
147 (2019, see Text S2), and two new datasets associating the fractions of synthetic-N forms and  
148 placement to cropland. For N forms, we obtained the crop-specific fraction of three N fertilizers,  
149 including ammonium bicarbonate, urea, other N fertilizers at province level from the Statistics of  
150 Cost and Income of Chinese Farm Produce for the period 1980 - 2017 (NDRCC 2003; 2020). The  
151 placement of synthetic-N fertilizer largely depends on topographic condition, planting density,  
152 root depth and crop's economic value (Xi et al., 2013). Consequently, we assumed that all N  
153 fertilizers for rice paddies are applied on surface soil as mechanized incorporation is difficult  
154 (Zhang et al., 2016); and all N fertilizers for vegetables and fruits are incorporated manually due to  
155 their higher economic return and planting density. For field crops such as wheat, maize, potatoes  
156 and legumes, machines were typically employed to incorporate basal fertilizers into soil. We  
157 therefore assumed that the incorporation proportions of basal N fertilizer could be calculated as a  
158 function of the sowing area fertilized by machine divided by total sowing area (data for both from  
159 CAAMM 2020) at province level. The criterion and methodology to determine the incorporation  
160 proportions are reported in Text S3, Table S1 and Figure S2.

161 Annual N in livestock manure, human excreta, and crop residues ( $\text{kg N year}^{-1}$ ) returned to  
162 croplands were estimated by a Eubolism model at county-scale (Shang et al., 2019). The N amount  
163 in organic fertilizers calculated based on county-scale activity data, such as the numbers of  
164 livestock by animal, rural population, and yields by crop type from 1980 to 2017 (Shang et al.,  
165 2019). In China, farmers usually broadcast the organic fertilizers on soil surface and incorporate  
166 them in a short time accompanying with plough or rotary tillage (Beusen et al., 2008; Femke et al.,  
167 2019; Xi et al., 2013). Provincial tillage proportion, i.e. sowing areas of tillage (CAAMM 2020)



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168 divided by the total (NDRCC 2020), were therefore taken as the incorporation proportion of  
169 organic fertilizer following Zhan et al., (2021, details see Text S3 and Figure S3). All the dataset  
170 by crop and fertilizer were then disaggregated into grid maps at 1-km spatial resolution within  
171 each of the administrative units following the crop-specific Land-Use/cover Dataset produced for  
172 China by Liu et al. (2014). This dataset were developed based on Landsat TM\ETM+ images and  
173 field investigations at 10-year intervals from the 1980 to 2017.

### 174 **2.3 Driving forces behind changing NH<sub>3</sub> emissions**

175 To attribute changes in NH<sub>3</sub> emission trends over time to different driving factors, we first  
176 applied the Logarithmic Mean Divisia Index (LMDI, Ang 2015; Guan et al., 2018) to evaluate the  
177 four main driving factors, i.e. sowing area, cropping structure, N application rate and NH<sub>3</sub>-VRs for  
178 the period 1980-2017 (Text S4). Next, we analyzed the relative contributions of five secondary  
179 driving factors to the trends of cropland's-NH<sub>3</sub> VRs during 1980-2017 using our data-driven  
180 model (Text S5 and Table S2). The five factors include air temperature, wind speed, fertilizer  
181 forms, incorporation proportion of synthetic-N fertilizer and organic fertilizer.

182 Fertilization technologies and crop structure in China have experienced substantial transitions  
183 during the period from 1980 to 2017. This transition was driven at least by policy interventions.  
184 Since the mid-1990s, the Chinese government implemented four policies, i.e. ACE, VTB, EUP  
185 and STNR program (Table 1) to develop deep fertilization, adjust cropping structure, optimize  
186 fertilizer forms and reduce N application rate, respectively. Here, we translated the effects of these  
187 four policies directly on the related driving parameters, and then estimated the potential NH<sub>3</sub>  
188 emissions by assuming these policies had not been implemented. The main principle was fixed the  
189 four drivers at the level just before the year that policy was implemented, when we estimate the  
190 NH<sub>3</sub> emission afterwards. Our data-driven model was employed to calculate the contribution for  
191 each policy. Detail descriptions of above scenarios can be found in Table 1, Text S6 and Table S3.

### 192 **2.4 Future projections**

193 To explore the future NH<sub>3</sub> abatement potential of croplands, we performed four scenario

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194 projections in ten-year intervals from 2020 to 2050. In the business-as-usual (BAU) scenario  
195 (Table 2), we only consider current (the year 2017) policies and national plans without any further  
196 intervention. However, the crop production will increase in line with projected increases of  
197 population and gross domestic product (GDP) as projected by Zhang et al (2020). Meanwhile,  
198 climate factors, i.e. air temperature and wind speed, changed following a conservative RCP2.6  
199 (stringent mitigation scenario, predicts the global mean temperature increases of up to 2 °C by  
200 2100) future climate change scenario (PICIR 2021). Scenarios OFM and OFC predict the  
201 projections based on the same assumptions as BAU, but optimize fertilizer management (OFM)  
202 and food consumption (OFC), respectively (Table 2). For scenario OFM, N fertilizer rate was set  
203 according to the “N Surplus Benchmarks in China” following Zhang et al. (2019). Meanwhile, the  
204 incorporation proportion of synthetic-N fertilizers will achieve 80% for three staple food (i.e.  
205 wheat, maize and rice) according to the National Agriculture Mechanization Extension Plan  
206 (Zhang et al., 2020). For scenario OFC, the crop production will decrease by optimizing human  
207 diet structure following Zhang et al. (2020) and cut 50% of food loss and waste to achieve the  
208 Global Sustainable Development Goals (Clark et al., 2020; FAO 2020; Li et al., 2021). To achieve  
209 the most ambitious mitigation target, the ALL scenario was propose to combine all the mitigation  
210 options identified in OFM and OFC scenarios. Detail descriptions of above scenarios see Table 2,  
211 Text S7, and Table S4-S6. It should be noted that for the intermediate year of scenario OFM, OFC  
212 and ALL, we assume linear adoption from 2017 until the adoption year (2050), at which point the  
213 technologies are entirely adopted (Clark et al., 2020).

## 214 **3. RESULTS**

### 215 **3.1 Decoupling of NH<sub>3</sub> emission and crop production**

216 China’s cropland-NH<sub>3</sub> emission was 1.93 Tg NH<sub>3</sub>-N in 1980, and almost doubled to 3.50 Tg  
217 NH<sub>3</sub>-N in 2017 (Figure 1). China accounted for about one third of the global cropland-NH<sub>3</sub>  
218 emissions, and was equivalent to the triple of the entire cropland-NH<sub>3</sub> emissions of EU and USA  
219 combined (Zhan et al., 2021). The emissions were mainly contributed by paddy rice (26-39%),

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220 maize (25-38%) and wheat (13-24%), followed by vegetables (1.1-7.9%) and fruits (0.8-4.8%)  
221 (Figure 1). However, total cropland-NH<sub>3</sub> emission increase was not linear, instead a rapid increase  
222 by 128.7 Gg NH<sub>3</sub>-N yr<sup>-2</sup> from 1980 to 1996 ( $P < 0.05$ , period P1) and a slight descent of -7.3 Gg  
223 NH<sub>3</sub>-N yr<sup>-2</sup> after 1997 ( $P < 0.1$ , period P2, Figure 1). Spatial analyses further confirmed that the  
224 shift from rapid increase to stagnation or slight decrease of cropland-NH<sub>3</sub> emission in P1 and P2,  
225 respectively, affected sowing areas that together account for 47.6 % of cropland-NH<sub>3</sub> emission  
226 (Figure 2a and 2b). The regions where NH<sub>3</sub> emission decreased are distributed in the North China  
227 Plain, the lower Yangtze River Basin and the Sichuan Basin during P2 (Figure 2b).

228 Our estimate of NH<sub>3</sub> emission from cropland was about one third lower than values derived  
229 from previous bottom-up models (EDGAR 2017; Fu et al., 2020; Kang et al., 2016; Ma 2020; Xu  
230 et al., 2016; Zhang et al., 2017) (Figure S4). The differences between our estimate and other  
231 inventories can be primarily attributed to the updates of crop- and fertilizer-specific fertilization  
232 schemes based on sub-national data and the VRs upscaled from globally distributed 499 field  
233 observations. Scenario tests showed that the updates of N input data and VRs could explain 66% ~  
234 100% (for different years) of such discrepancies (Figure S5 and Table S8). The decreased NH<sub>3</sub>  
235 emission from cropland at the late stage of P2 (2006-2017) is inconsistent with some earlier  
236 estimates (Figure S4), but could explain the observed decreasing trend of atmospheric NH<sub>x</sub>  
237 depositions (Yu et al., 2019), while NH<sub>3</sub> emissions from livestock and industrial sectors remain  
238 stable or increase (EDGAR 2017; Fu et al., 2020; Kang et al., 2016; Ma 2020; Zhang et al., 2017;  
239 Meng et al., 2017, Figure S6).

240 The concept of decoupling here has been used to describe the relationship between  
241 environmental pressure and production growth (Bennetzen et al., 2016). The decreasing emission  
242 intensity, which defined as the cropland-NH<sub>3</sub> emission divided by total crop production, could  
243 indicate the decoupling of NH<sub>3</sub> emission from crop production. Since 1995, the decelerating and  
244 declining NH<sub>3</sub> emissions has sustained an increasing crop production, suggesting a decoupling of  
245 NH<sub>3</sub> emissions from crop production at the national level (Figure 1). In 2017, three-fourth of  
246 provinces, which supply 96% of total crop yield (in kilocalories), have achieved the decoupling of  
247 NH<sub>3</sub> emissions with crop production. These provinces showed a clear northwestward trends

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248 (Figure 2c). Eastern coastal provinces (e.g., Zhejiang, Fujian and Guangdong) decoupled NH<sub>3</sub>  
249 emission from crop production before 1995; while the major crop-production provinces in east and  
250 central China decoupled in mid-1990s (Figure 2c). Provinces of coupled NH<sub>3</sub> emissions and crop  
251 production are mainly located in two regions. The first one comprises some rich municipalities in  
252 eastern coastal parts, such as Beijing, Tianjin, and Shanghai, where sowing areas were diminished  
253 due to their economic development. The second one covers most parts of the less-developed  
254 provinces in western China, which account for only 4.0% of national sowing areas (Figure 2c).

### 255 **3.2 Drivers of China's cropland-NH<sub>3</sub> emission trends**

256 Changes in N application rates were the dominant driver of the NH<sub>3</sub> emission trends for the  
257 past four decades (Figure 3a). This factor alone led to the increasing NH<sub>3</sub> emission by 34% at the  
258 end of Period P1 (1980-1996), then its contribution decreased from 83% in 2003 to 60% in 2017  
259 (Figure 3a). To feed the growing population, China's government introduced the Household  
260 Responsibility System to stimulate farmers' enthusiasm to farm since 1980 (Jiao et al., 2018).  
261 Economic benefits of crop yield growth incentivized synthetic fertilizer applications, that is, N  
262 application rate increased from 121 kg N ha<sup>-1</sup> in 1980 to 219 kg ha<sup>-1</sup> in 2007 (Figure 4e).  
263 However, N application rate started to decline continuously at an average of 0.82 kg ha<sup>-1</sup> yr<sup>-2</sup> after  
264 2007 (Figure 4e). This notable decline appears to be mainly associated with the intervention of  
265 STNR Program, which launched in 2005 to match the supply of nutrients with demand during  
266 field application. By the year 2013, the implementation area of the STNR program was increased  
267 six-fold (Figure 4e). Due to the timing of introduction of STNR, there appears to be an association  
268 between the decrease N application rate and NH<sub>3</sub> reductions in time, which suggests that the  
269 measures of STNR have played a role. The NH<sub>3</sub> reduction which promoted by STNR probably  
270 reached 1.8 Tg NH<sub>3</sub>-N in 2017 based on our scenario estimates (Table S3), especially for North  
271 China Plain and Sichuan Basin (Figure 4a and 5d).

272 As the second most important driver, NH<sub>3</sub>-VR increased cropland-NH<sub>3</sub> emission by 14% by  
273 the end of period P1 (1980-1996), but decreased largely after 1994 (Figure 3a). After 2010, the  
274 NH<sub>3</sub>-VR even exerted as a negligible factor (5%, Figure 3a). By further decomposing the effect of

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275 NH<sub>3</sub>-VR into climate and fertilizer scheme drivers, we find that climate change and the increasing  
276 shares of ABC and urea contributed largely (38% and 73%) to promote NH<sub>3</sub>-VR in P1 (Figure  
277 3b). And the pronounced decreases of NH<sub>3</sub>-VRs were almost entirely related to the increasing  
278 proportion of deep fertilization by machine and diminished ratio of ammonium bicarbonate after  
279 1994 (Figure 3b). Such technology innovations seem to be supported by the ACE program and  
280 EUP guideline (Table 1) started in mid-1990s. To increase fertilizer efficiency, Chinese  
281 government implemented the ACE Program to promote deep fertilization in 1994. For field crops  
282 (i.e. wheat, maize, potatoes and legumes), almost one third of sowing area was deep-fertilized  
283 using machines in 2017 (Figure 4b). At the same time, most medium- and small- size  
284 manufacturers in China had upgraded their production devices towards high concentration  
285 nitrogen fertilizer (i.e. urea, with 46% N content) to replace ammonium bicarbonate (only 17% N  
286 content but 1.47 - 2.29 fold VR compared to urea, Figure S1). The consumption of urea has  
287 increased 1.5 times between 1996 and 2017, while the ammonium bicarbonate decreased by  
288 almost 69% in the same period (Figure 4d). These two policy interventions triggered innovations  
289 on fertilization method and fertilizer types. According to our estimates, the subsequent reduction  
290 of NH<sub>3</sub> emissions may have amounted to 0.23 (ACE) and 0.95 (EUP) Tg NH<sub>3</sub>-N in 2017,  
291 especially for agricultural intensive regions (Figure 4e, 5a and 5c).

292 Another 23% increase in NH<sub>3</sub> emissions was driven by arable land expansion, but was  
293 partially offset by crop mix adjustment (Figure 3a). For example, in order to meet increased  
294 consumption of cash crops, Chinese government launched the VTB Program (Table 1) in 1988.  
295 Driven by this program, the sowing areas of vegetables and fruits increased by 185% and 79%  
296 during 1990 to 2003, respectively. Meanwhile, the areas sown with wheat and paddy rice declined  
297 by 29% and 20% at the same period (Figure 4c). This structural transition in cropping patterns that  
298 occurred in P1 resulted in decreasing NH<sub>3</sub> emissions. The reason is that vegetables and fruits have  
299 lower VRs (about 78%) than that of staple crops due to their widespread deep placement (Figure  
300 S7). This transition probably resulted in NH<sub>3</sub> emission reductions of 0.12-0.27 Tg NH<sub>3</sub>-N yr<sup>-1</sup> by  
301 around 2000, but did not play a critical role after the mid-2000s due to the government's guideline  
302 to prevent the further decrease on sowing area of cereal crops (Figure 4c). Additionally, the effect

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303 of shift in crop mix compensated for each other across different regions (Figure 5b). For example,  
304 the increase in cash crop cultivation drove emission down in south China but up in North China  
305 Plain due to the area expansion of maize (Figure 5b).

306 Throughout the time period considered, policies appear to accelerate technical improvement  
307 and  $\text{NH}_3$  emission reductions in cropland. Since 1995, policy interventions seemed play key roles  
308 to promote the decoupling of  $\text{NH}_3$  emission from crop production for the provinces in east and  
309 central China (Figure 2c). Without these policies, cropland- $\text{NH}_3$  emissions in China would remain  
310 coupled with crop production by the end of 2020s (Figure S8). The most effective technologies to  
311 achieve the decoupling of  $\text{NH}_3$  emission from crop production were N application rate reduction  
312 and a wider application of urea, supported by the national STNR and EUP program, respectively  
313 (Figure 4a).

### 314 **3.3 Targeted mitigation opportunities by 2050**

315 Despite the fact that China has decoupled its  $\text{NH}_3$  emissions from crop production at the  
316 national level, its emissions intensity in 2017 ( $1.37 \text{ g NH}_3\text{-N kcal}^{-1} \text{ yr}^{-1}$ ) was still 3 times more  
317 than the EU and the USA in 2000 (Zhan et al., 2021). We therefore explored the  $\text{NH}_3$  mitigation  
318 potential for the next 30 years (2020-2050) by implementing strategies including optimization of  
319 fertilizer management and demand-side measures for diets.

320 China's crop demand is projected to increase by 140% by 2050 considering both economic  
321 development and population growth. This would require an additional sowing area of 35.4 Mha,  
322 with the total  $\text{NH}_3$  emissions achieving 4.9 Tg  $\text{NH}_3\text{-N}$  by 2050 if maintaining the 2017  
323 management practice under increasing temperature conditions (BAU, Figure 6a). Under BAU,  
324 cropland emissions of  $\text{NH}_3$  in 2030 (4.15 Tg  $\text{NH}_3\text{-N}$ ) would exceed the peak level in 1996 (4.02  
325 Tg  $\text{NH}_3\text{-N}$ ) and steadily increase until 2050 (Figure 6a).  $\text{NH}_3$  abatement through optimizing diet  
326 composition and cutting food losses and waste (OFC) could reduce  $\text{NH}_3$  emission by 18.4% in  
327 2050 compared with BAU (Figure 6a). When conducting optimal fertilizer management (OFM), N  
328 fertilizer consumption would reduce by 50.5%, inducing a subsequent  $\text{NH}_3$  reduction of 67.4%  
329 compared with BAU in 2050 (Figure 6a). To achieve the most ambitious mitigation target, the

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330 ALL scenario combined all the mitigation options identified in OFW and OFC. The estimated NH<sub>3</sub>  
331 emissions of the ALL scenario are 1.28 Tg NH<sub>3</sub>-N in 2050 (73.6% reduction relative to BAU,  
332 Figure 6a). Under scenario ALL, China would show a quite low cropland-NH<sub>3</sub> emission intensity  
333 (0.43 g NH<sub>3</sub>-N kcal<sup>-1</sup> yr<sup>-1</sup>) in 2050, which is closer to that of the USA (0.42 g NH<sub>3</sub>-N kcal<sup>-1</sup> yr<sup>-1</sup>)  
334 and the EU (0.39 g NH<sub>3</sub>-N kcal<sup>-1</sup> yr<sup>-1</sup>).

335 Spatially explicit information of NH<sub>3</sub> mitigation potential could help us to identify specific  
336 crops and hotspot areas, which may be attractive ‘mitigation targets’. We ranked gridded  
337 mitigation potentials from largest to smallest, and then added the value to the sum of its  
338 predecessors, resulting in cumulative mitigation potential up to a given point of sowing area.  
339 Figure 6b and 6c shows the uneven distribution of NH<sub>3</sub> mitigation potentials across Chinese  
340 croplands. A half of the NH<sub>3</sub> emission reduction could be achieved on 24% of sowing area for  
341 maize, 30% for wheat, 19% for rice, and 26% for all crops together (Figure 6b). Total mitigation  
342 potentials were concentrated in Huaihe (Yellow River) Basin, which contributed about half of the  
343 total. This result implies the importance of this region on crop production and highlights the  
344 benefit of focusing on a small area that could deliver large NH<sub>3</sub> mitigation.

#### 345 **4. DISCUSSION & CONCLUSIONS**

346 Our study provides evidence in the decoupling of NH<sub>3</sub> emission from crop production since  
347 1995 at the national level. Four critical policies (Table 1) since mid-1990s contributed to a  
348 decoupling and probably cut nearly half of the cropland-NH<sub>3</sub> emission in 2017. Of all, national  
349 STNR Program and EUP guide appear to be the most effective policies. Still, increasing  
350 population, GDP and climate warming indicate a 140% increase in crop NH<sub>3</sub> emissions in 2050  
351 when compared with 2017. Our result reveals both the achievements in alleviating cropland-NH<sub>3</sub>  
352 emission in past few decades and future challenges in re-increasing NH<sub>3</sub> emission of China.

353 Fertilizer-induced increase in NH<sub>3</sub> emissions are universal worldwide after the invention of  
354 the Haber-Bosch process. To mitigate the negative effects, some directive, policy and mitigating  
355 options were implemented in high-income countries at the beginning of 21<sup>st</sup> century (Bittman  
356 2017; UNECE 1999). Though the lack of the comprehensive assessment of these policies on NH<sub>3</sub>

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357 mitigation, we can see a declining cropland-NH<sub>3</sub> emission trend (at  $-0.6 \text{ Gg N year}^{-1}$ ) in Europe  
358 and a stagnation in cropland-NH<sub>3</sub> emissions from North America since the 1980s (Xu et al., 2019).  
359 As the largest emitter of cropland-NH<sub>3</sub> emissions in the world (Zhan et al., 2021), China has also  
360 implemented action plans to improve N use efficiency and reduce environmental pollution since  
361 1990s (Jiao et al., 2018). Our results provide evidence that cropland-NH<sub>3</sub> emissions have been  
362 increasingly mitigated in China while not compromising crop production.

363 Challenges of NH<sub>3</sub> abatement are universal across the rapidly developing countries of the  
364 world. Developing countries which fall into two groups need to pay more attention to NH<sub>3</sub>  
365 mitigation while improving crop yield. The first category includes Pakistan and India (Shahzad et  
366 al., 2019), which sustain the crop yields largely by relying on high N application rate (Zhan et al.,  
367 2021). The second category mainly includes countries in sub-Saharan Africa, where agricultural  
368 production needs to improve urgently to keep pace with the rapid population growth (Hong et al.,  
369 2021). All the situations portend an intensive application of N-fertilizer to the cropland in these  
370 countries, a situation similar to that of China. China's experience could provide a guide and a  
371 paradigm shift for above-mentioned countries, on managing N cycles under the balance of  
372 agricultural development and controlling NH<sub>3</sub> pollution. However, not all the measures can be  
373 applied well for other regions, some techniques are restricted in applicability by their effectiveness  
374 or practical limitation. These limitations may be of very different nature, caused by local climate,  
375 soil conditions (pH, slope), farm size, financial and technical issues. Therefore, implementation of  
376 NH<sub>3</sub> abatement measures should follow their applicability and be adjusted to local conditions  
377 (Zhang et al., 2020).

378 Even if our results show that the cropland-NH<sub>3</sub> emission can be effectively managed by  
379 related policies across China (Figure 4), further work needs to be done to determine the reliability  
380 of our estimates. In this study, we translated the effects of four policies on the related key driving  
381 parameters directly. Physical and socio-economic barriers, farmers' adaptive behavior from policy  
382 enactment to implementation need to be considered through specific approaches, such as  
383 econometric models (Huang et al., 2016; Wang et al., 2015) and socioeconomic studies (Scrieci  
384 2011). Therefore, our estimates may provide the most optimistic NH<sub>3</sub> reductions of these policies.



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385 Another limitation is that our model does not take irrigation practices into account (Sommer et al.,  
386 2004), which may lead to the overestimation of  $\text{NH}_3$  VRs and emissions. Besides, we assumed the  
387 consistent fertilizer placement for rice, vegetables, fruits and other crops according to the universal  
388 practice in China. This may distort the spatiotemporal trend of  $\text{NH}_3$ -VRs for above crops. For  
389 example, few farmers also deployed manual deep fertilization or side-deep fertilizer machinery in  
390 paddy fields, which largely reduced the  $\text{NH}_3$ -VRs of rice when compared with broadcasting  
391 application.

392 Future growth in population and incomes is likely to further boost food demand and hinder  
393 previous efforts to suppress the increasing cropland- $\text{NH}_3$  emissions (Figure 6a). The Chinese  
394 government has strictly limited the input of synthetic fertilizer as well as setting ambitious goals to  
395 improve crop NUE (Liu et al., 2016). China also launched the “Strategy of taking potato as the  
396 fourth staple food” in 2015 (MARA 2015b). This policy showed a large potential to reduce  $\text{NH}_3$   
397 emissions because potatoes, which generally grow in cold regions, exhibit lower VRs (8.8%) than  
398 rice (19.1%), maize (20.7%) and wheat (11.5%) (Figure S7). However, barriers exist to promote  
399 further technologies to mitigate crop- $\text{NH}_3$  emission in China. First, adjustment of fertilizer types  
400 (e.g. replacing urea by nitrate N-fertilizer) and deep placement often result in pollution swapping  
401 between environmental media. For example, fertilizer incorporation can reduce  $\text{NH}_3$  emissions,  
402 but may lead to increased nitrate leaching, especially in wet climates (Zhan et al., 2021). Second,  
403 given that poor smallholder farmers still dominate China’s agricultural production, the transition  
404 to large-scale and mechanized fertilization in China is restricted by inherent social barriers and  
405 weak technical foundation, which takes time and effort to overcome (Zhang et al., 2020).

406 Future reductions in consumption of  $\text{NH}_3$ -intensive fertilizers, machines and services need to  
407 be further supported by research, policies and financial incentives for all the major  $\text{NH}_3$  emitters of  
408 the world. Promoting balanced diets and reducing food waste to mitigate  $\text{NH}_3$  emissions may be  
409 critical for the developed countries and rapid growing economies. Adopting regionally  
410 specific-approaches is another efficient pathway to achieve  $\text{NH}_3$  mitigation particularly across the  
411 emission hotspots. Our spatially explicit cropland- $\text{NH}_3$  emission data could be used to support and  
412 guide the development of such interventions, which may include inter-provincial cooperation,

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413 national or international food trade (Shan et al., 2021). The ambitious goal should be designed in  
414 segments, and cost-benefit analysis could be helped to provide guidance for emerging policy  
415 priorities in reducing NH<sub>3</sub> pollution (Zhang et al., 2020). Meanwhile, China plays an important  
416 role in the South-South co-operation via South-South trade and the Belt and Road Initiative,  
417 especially in the technology extension of crop planting and machine application (Shan et al.,  
418 2021). The experience and status quo of NH<sub>3</sub> emissions and policy induced abatement in China  
419 may have implications for other developing economies to achieve cropland's NH<sub>3</sub> mitigation  
420 while sustaining crop yields.

## 421 **SUPPORTING INFORMATION**

422 Extended explanation of cropland-NH<sub>3</sub> VR model, datasets, scenario simulation, comparison with  
423 previous estimates, and associated supplementary Tables and Figures are all available free of  
424 charge at <http://pubs.acs.org>.

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## 433 **AUTHORSHIP CONTRIBUTIONS STATEMENT**

434 Wulahati Adalibieke: Methodology, Formal analysis, Visualization. Xiaoying Zhan: Investigation,  
435 Results Interpretation, Writing original draft. Xiaoqing Cui: Resources, Data curation. Stefan Reis:  
436 Writing - review & editing. Wilfried Winiwarter: Writing - re-view & editing. Feng Zhou:  
437 Conceptualization, Writing - review & editing, Funding acquisition, Project administration.

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438 **CONFLICTS OF INTEREST**

439 The authors declare no conflicts of interest.

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**TABLE 1 Policies on fertilization and crop structure issued by the Chinese Government since mid-1990s**

Policy name	Acronym	Starting year	Related parameter driving NH <sub>3</sub> emissions	Description
Agricultural Cost-saving and Efficiency-increasing Program	ACE	1994	Incorporation of synthetic-N fertilizer	Implement deep fertilization machine to increase fertilizer use efficiency and save agricultural cost for field crops (Wu 2000)
Vegetable Basket Program	VTB	Phase I: 1988 Phase II: 1995	Crop structure	Encourage the growth of cash crops, especially vegetables and fruits, around cities to meet increased consumption requirements (Bai et al., 2018)
Encouragement of urea production guideline	EUP	1996	Fertilizer form	Encourage medium- and small- size manufacturers upgraded production devices towards high concentration N fertilizer (i.e. urea, with 46% N content) to replace ammonium bicarbonate (17% N content) (Li 2009)
National Soil Testing and Nutrient Recommendation Program	STNR	2005	N application rate	Optimize nutrient management through soil testing (MARA 2015a)

**TABLE 2 Cropland-NH<sub>3</sub> mitigation pathways in future**

Scenario	Acronym	Main consequence	Key indicators in 2050		
			Sowing area (10 <sup>8</sup> ha)	N fertilizer rate (kg N ha <sup>-1</sup> )	N fertilizer input (Tg N yr <sup>-1</sup> )
Business as usual	BAU	Increased sowing area and N fertilizer input; Increased NH <sub>3</sub> loss in cropland	2.0	213.5	42.7
Optimized fertilizer management	OFM	Reduced use of chemical fertilizer; Reduced NH <sub>3</sub> loss in cropland; Improved N use efficiency	2.0	105.5	21.1
Optimized food consumption	OFC	Reduced food loss and waste; Reduced net land requirement and N fertilizer input for crop production	1.8	203.9	36.7
Combined all the mitigation measures	ALL	Combined consequence of scenarios OFM and OFC	1.8	100	18

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623 **FIGURE 1 The interannual variabilities of cropland-NH<sub>3</sub> emissions, crop production and**  
624 **NH<sub>3</sub> emission intensity in China.** The national mean emission intensity was defined as the  
625 cropland-NH<sub>3</sub> emission divided by total crop production (in kilocalories, Table S7) at national  
626 scale.

627  
628 **FIGURE 2 Spatial pattern of China's cropland-NH<sub>3</sub> emission trends and the breakpoint at**  
629 **province scale.** Panels **a** and **b** represent the spatial pattern of cropland-NH<sub>3</sub> emission trends in P1  
630 (1980-1996) and P2 (1997-2017) respectively. Panel **c** represents the year began to decouple its  
631 NH<sub>3</sub> emission from crop production, that is, the year which emission intensity turned to significant  
632 decrease ( $P < 0.05$ ) at province scale. Piecewise linear regression was applied to detect the  
633 provincial breakpoint following Zhou et al. (2020, see Text S8).

634  
635 **FIGURE 3 Contributions of driving factors to China's cropland-NH<sub>3</sub> emission and**  
636 **NH<sub>3</sub>-VRs.** Panels **a** represents four main driving factors' contributions to cropland-NH<sub>3</sub> emission.  
637 Panels **b** represents five secondary driving factors' contributions to NH<sub>3</sub>-VRs.

638  
639 **FIGURE 4 Changes of N application rate, forms, placement, crop structure and their**  
640 **potential effects on cropland-NH<sub>3</sub> emission from 1980 to 2017. (a)** ACE, VTB, EUP and STNR  
641 Program represent Agricultural Cost-saving and Efficiency-increasing Program, Vegetable Basket  
642 Program (Phase II), Encouragement of urea production guideline, National Soil Testing and  
643 Nutrient Recommendation Program, respectively. Detailed descriptions of above four policies can  
644 be found in Table 1. **(b)** Share of basal fertilizer incorporated by machine for four field crops, i.e.  
645 wheat, maize, potatoes and legumes. **(c)** Sowing areas of rice, wheat, and vegetables & fruits in  
646 China. **(d)** Consumption of two forms of alkaline fertilizer, i.e. urea and ammonium bicarbonate  
647 (ABC). **(e)** N application rate (line), and implementation area of the STNR program at national scale  
648 (column). After 2013, implementation area of the STNR program is not publicly available.

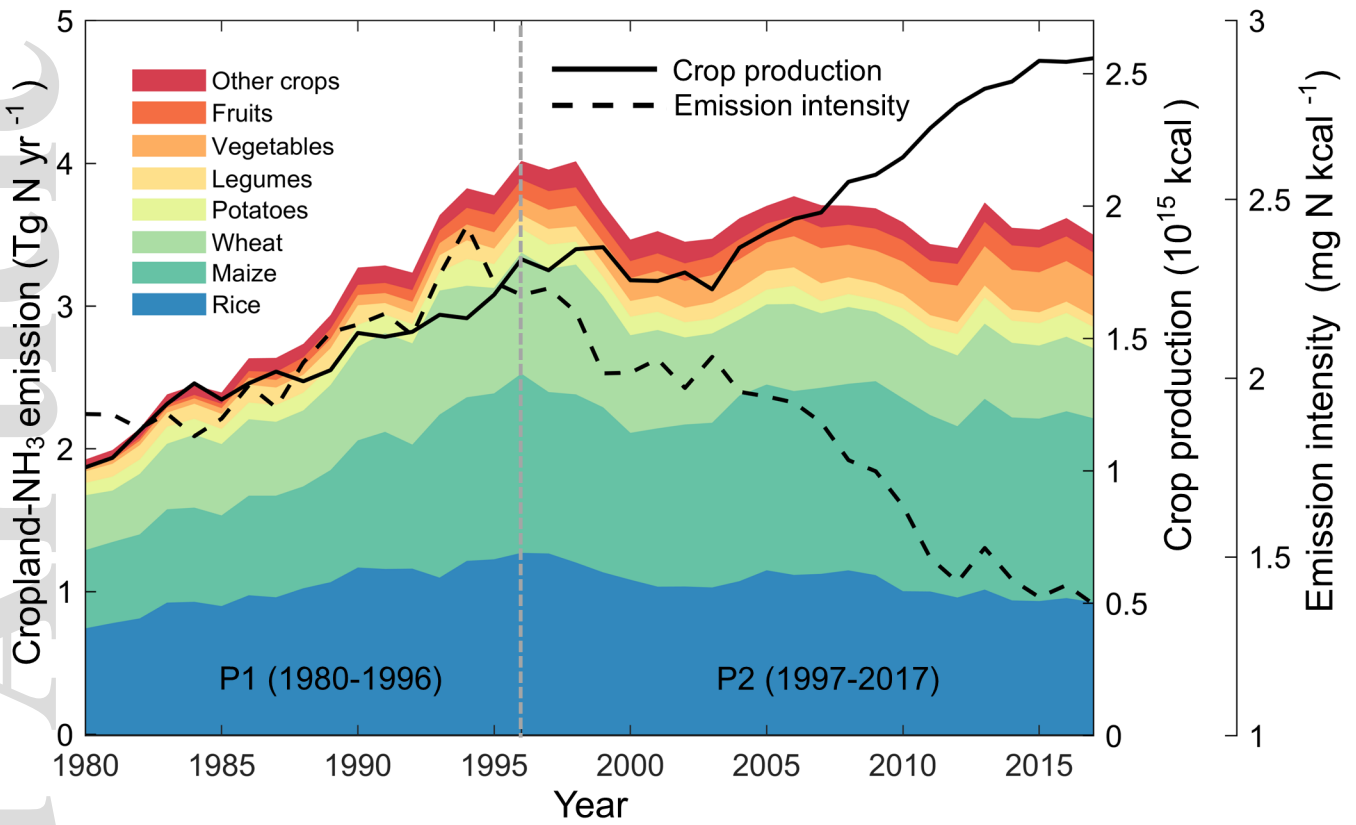
649  
650 **FIGURE 5 Cropland-NH<sub>3</sub> mitigation induced by policies implement in 2017.** Detail

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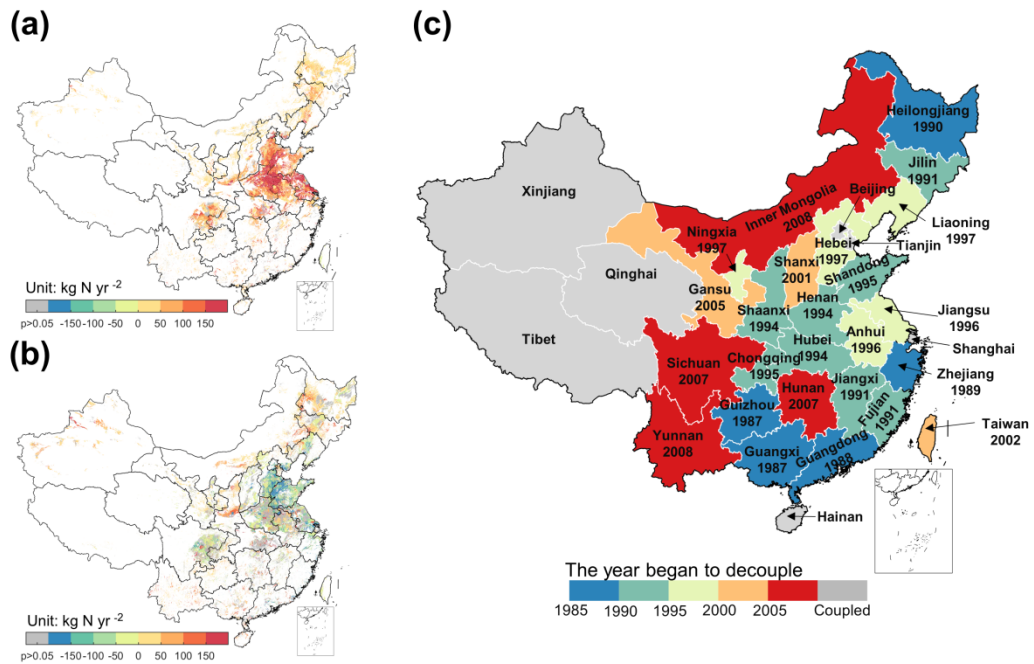
651 descriptions of four policies can be found in Table 1. Values denote the probable NH<sub>3</sub> reductions  
652 induced by each policy at national scale.

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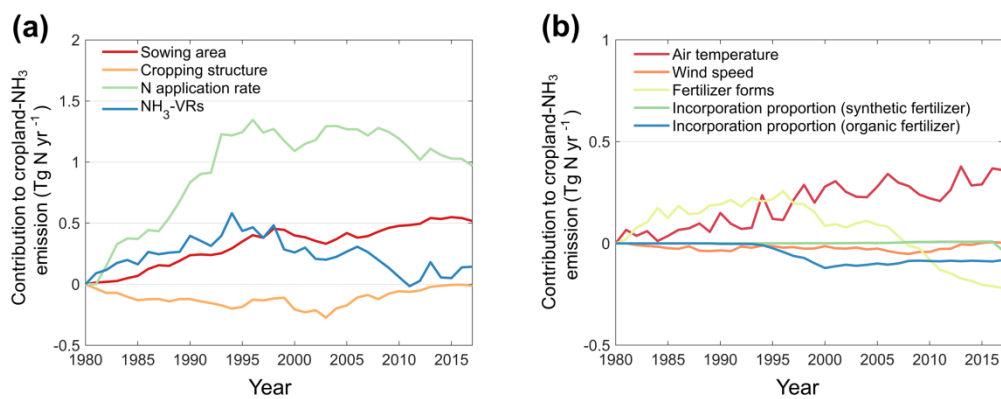
654 **FIGURE 6 Mitigation potentials of China's cropland-NH<sub>3</sub>.** (a) Future NH<sub>3</sub> emissions under  
655 four scenarios; (b) China's cropland-NH<sub>3</sub> mitigation potentials by crop under scenario ALL; (c)  
656 Spatial pattern of China's cumulative NH<sub>3</sub> abatement potentials under scenario ALL.



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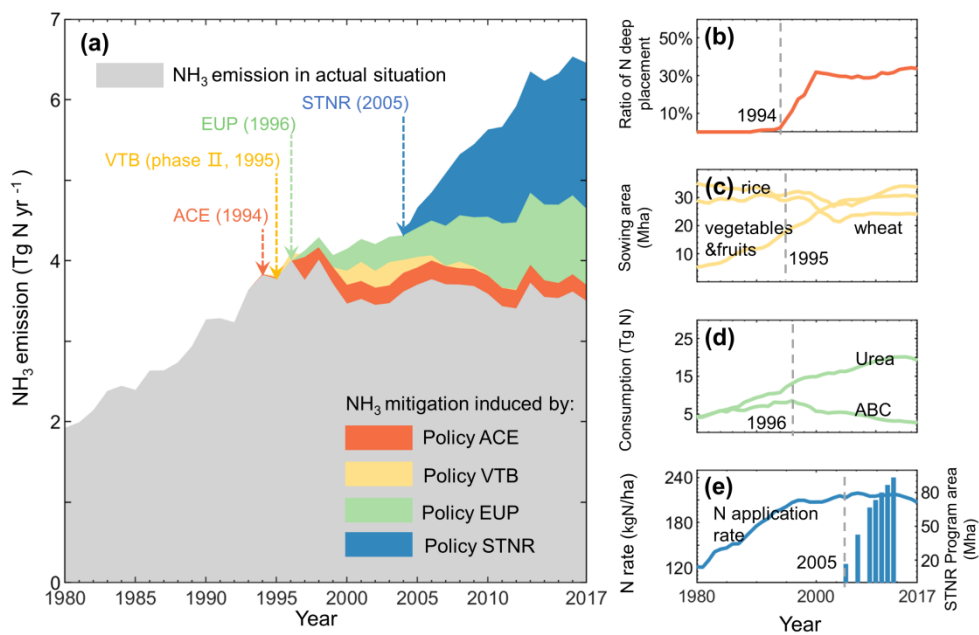


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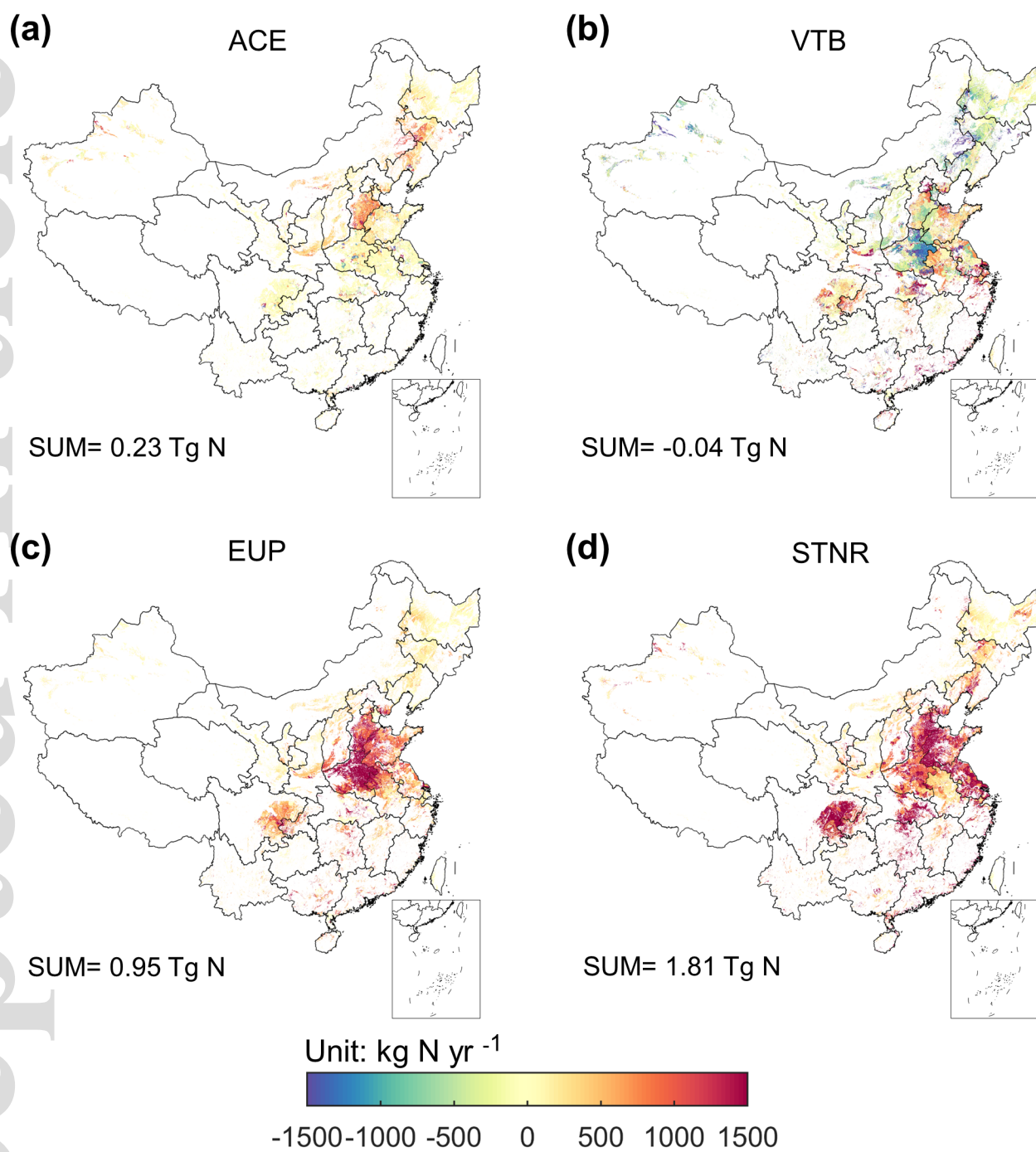


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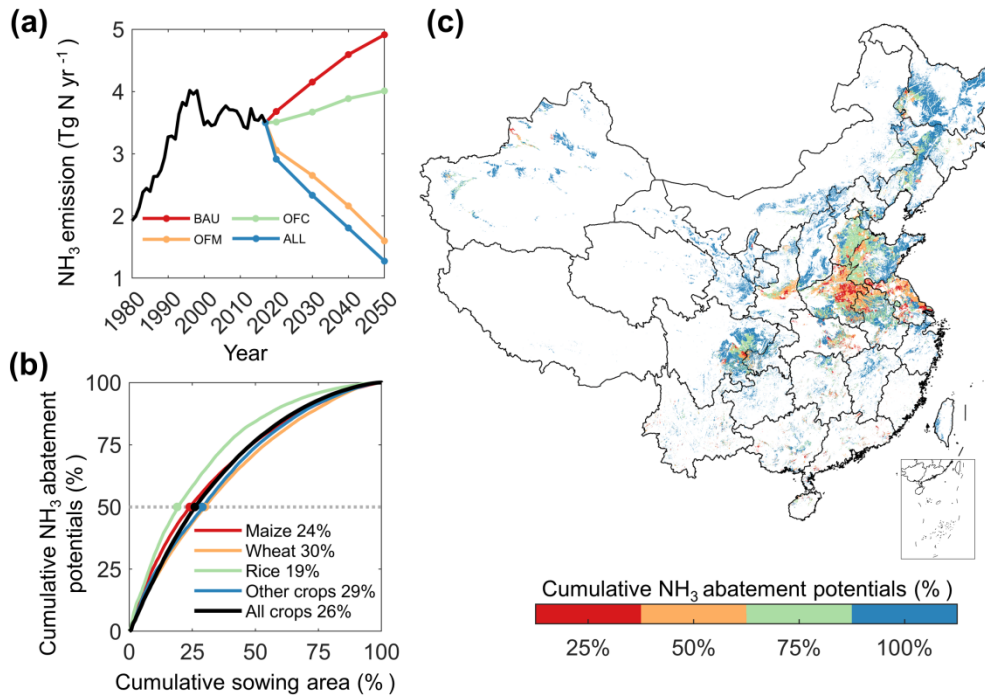




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