# Slow-moving landslide risk assessment combining Machine Learning and InSAR techniques

Novellino A.<sup>a\*</sup>, Cesarano M.<sup>b</sup>, Cappelletti P.<sup>b</sup>, Di Martire D.<sup>b</sup>, Di Napoli M.<sup>c</sup>, Ramondini M.<sup>d</sup>,

Sowter A.<sup>e</sup>, Calcaterra D.<sup>b</sup>

<sup>a</sup> British Geological Survey, Environmental Science Centre, Keyworth, Nottingham, NG12 5GG, UK

7 <sup>b</sup>Department of Earth, Environment and Resources Sciences, Federico II University of Naples, Naples, 80138, Italy

8 <sup>c</sup> Department of Earth, Environmental and Life Sciences, University of Genoa, Genoa, 16132, Italy

<sup>d</sup> Department of Civil, Architectural and Environmental Engineering, Federico II University of Naples, Naples, 80125,
 Italy

<sup>e</sup> Terra Motion Limited, Ingenuity Centre, Nottingham, NG7 2TU, UK

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13 <sup>\*</sup> Corresponding author

### 14 Abstract

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15 This paper describes a novel methodology where Machine Learning Algorithms (MLAs) have been

16 used to assess the landslide risk for slow moving mass movements, processes whose intermittent

17 activity makes challenging any risk analysis worldwide.

18 MLAs has been trained on datasets including Interferometric Synthetic Aperture Radar (InSAR) and

19 additional remote sensing datasets such as aerial stereo photographs and LiDAR and tested in the

20 Termini-Nerano landslides system (southern Apennines, Italy).

The availability of such a wealth of materials allows also an unprecedented spatio-temporal reconstruction of the volume and the kinematic of the landslides system through which we could generate and validate the hazard map.

Our analysis identifies fifteen slow-moving phenomena, traceable since 1955, whose total area amounts to  $4.1 \times 10^5$  m<sup>2</sup> and volume to  $\sim 1.4 \times 10^6$  m<sup>3</sup>. InSAR results prove that seven out of the fifteen slow-moving landslides are currently active and characterized by seasonal velocity patterns. These new insights on the dynamic of the landslides system have been selected as the main independent variables to train three MLAs (Artificial Neural Network, Generalized Boosting Model and Maximum Entropy) and derive the landslide hazard for the area. Finally, official population and buildings census data have been used to assess the landslide risk whose highest values are located in
the crown area, south of Termini village, and nearby Nerano.

This new methodology provides a different landslide risk scenario compared to the existing official documents for the study area and overall new insights on how to develop landslide risk management strategies worldwide based on a better understanding of slope processes thanks to the latest satellite technologies available.

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37 Keywords: landslides; InSAR; Machine Learning Algorithms; landslide hazard; landslide risk.

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# 39 **1. Introduction**

Landslides are ubiquitous in any terrestrial environment with slopes, driven by tectonic, climatic and/or human activities (Froude and Petley, 2018). In particular, slow-moving landslides, which move downslope for months to decades at rates ranging from millimetres to several metres per year, provide an excellent opportunity to study landslide processes (Lacroix et al., 2020). Even if slowmoving landslides rarely claim lives, they still cause widespread destruction and if they rapidly accelerate result in casualties (Handwerger et al., 2019).

Slow-moving landslide events are recurring phenomena in southern Italy (e.g., Novellino et al., 2015; 46 Di Martire et al., 2016; Pappalardo et al., 2018) due to its geological history and tectonic-47 48 geomorphological evolution which resulted in the occurrence of several formations identified as Structurally Complex Formations (SCFs; Esu, 1977), flysches or Broken Formations (Mutti et al., 49 2009). The term refers to geological units constituted by lithologically and structurally heterogeneous 50 51 terrains with extra-formational blocks (D'Elia et al., 1998), associable to preorogenic and synorogenic turbidites of the Alps-Apennine system (Alvarez, 1991). The high heterogeneity and very poor 52 mechanical properties of the SCFs represent one of the main factors contributing to the predisposition 53 54 of slopes to landslide (Del Soldato et al., 2018). The latest Inventory of Landslide Phenomena in Italy report (IFFI) carried out by the Italian National Institute for Environmental Protection and Research 55

(ISPRA, 2018) evidences that slides, flows and composite phenomena (Hungr et al. 2014)). account for ~30% of the 620,808 mass movements inventoried in Italy. These three types of movements are typical of instabilities generated in SCFs (Fig. 1a), which have a huge impact on the Italian socioeconomic system (Salvati et al., 2010) due to the shorter return periods (Fig. 1b) and higher spatial density (Fig. 1c) than landslides generated in other types of terrains.



62 Fig. 1. Spatial distribution of landslides resulting in casualties and/or homeless in Italy between 650-2008 (modified 63 from Salvati et al., 2010) within SCFs-like outcropping units mapped following the 1:250,000 geological map of Italy 64 (available http://www.isprambiente.gov.it/en/projects/soil-and-territory/the-geological-map-of-italy-1-250000at: 65 scale/default) with location of well-known landslides in SCFs from literature (a). Landslide temporal probability between 66 2018-2028 in Italy (b). Landslide density in Italy (c). Landslide data are available at: http://webmap.irpi.cnr.it/webmap\_test/webmap.html 67 68

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69 The variability of material properties and landslide kinematics in SCFs, with a long state of activity at intermittent rates of displacement, makes any estimation of the corresponding risk challenging. To 70 this respect, field-based monitoring systems (e.g., extensometers, crack meters, inclinometers, GNSS 71 receivers) only provides spatially discontinuous, costly, labour intensive and time-consuming 72 information. On the contrary, the use of remote sensing methods, like Interferometric Synthetic 73 Aperture Radar (InSAR) represents a time-saving and cost-effective approach for understanding 74 landslides kinematics (Novellino et al., 2017a), namely the hazard and deriving the corresponding 75 76 risk. Landslide hazard is the likelihood of a potentially damaging landslide occurring within a given area with landslide risk being the spatio-temporal probability of the expected losses to life and damage 77 to property, should a landslide occur (van Westen et al., 2008) and landslide risk maps (LRMs) 78 represent essential tools for effective land use management and planning (Fell et al., 2008). Given the 79

high number of events, the challenge of assessing landslide risk related to slow-moving phenomena 80 is particularly evident in Italy where this mandate, since 1998, belongs to the River Basin Authorities 81 (recently merged in eight national Hydrographic Districts). River Basin Authorities have produced 82 landslide hazards and risk maps which cover the whole Italian territory at scale ranging between 83 1:5,000 to 1:25,000. These maps represent official tools for land use and urban planning activities but 84 are limited by common drawbacks: are usually produced from input datasets not regularly updated 85 and mainly based on data-driven empirical methods which do not account for characteristics such as 86 landslide velocity or the uncertainty in the maps themselves. 87

Despite various recent initiatives (Confuorto et al., 2017; Hu et al., 2020), the use of multidisciplinary approaches where InSAR has been deployed for a complete analysis of landslide kinematics and driving mechanisms is still limited and, consequently, the translation of these information in LRMs is even rarer so resulting in the absence of measures sufficient for landslide risk management/reduction.

The aim of this study is therefore to develop and validate a new methodology to assess landslide risk for slow-moving landslides based on Machine Learning Algorithms (MLAs). Recently, MLAs and in particular Ensemble Modelling (EM) has provided a solid contribution to minimize the uncertainty and improve the reliability of landslide mapping prediction by accounting for different data-driven methods together (Chen et al., 2017). To this, Ensemble methods have been proposed to combine the advantages of each stand-alone models and to mitigate the effects of their drawbacks (Thuiller et al., 2009).

In this work, we have trained three MLAs, Artificial Neural Network (ANN), Generalized Boosting Model (GBM) and Maximum Entropy (MaxEnt) with geological, geomorphometric and, for the first time, InSAR datasets to obtain a relative landslide hazard map. The latter has been then combined with data from the population and building environment to derive a relative risk map. MLAs methodologies have been recently applied in the literature to rapid landslides (Di Napoli et al., 2020) but, to our knowledge, not yet to slow ones.

MLAs have proven to outperform, especially at catchment and regional scale, heuristic and statistical 106 models as they can address the nonlinear corrections between landslides and conditioning factors and 107 can determine model parameters automatically (Huang et al., 2020). We tested our method for an area 108 which has experienced rapid urban expansion first and tourism then: Termini-Nerano (southern Italy). 109 Specifically to the area of study, our work has allowed to update the existing inventory map dated 110 2011 and compiled by the Southern Apennines Hydrographic District (SAHD, 2011a) and then 111 improve the existing landslide risk map (SAHD, 2011b). More generally, our new methodology has 112 already potential to be implemented at national and continental scale and, provided that the input data 113 are available, easily applied worldwide. 114

The paper is organized as follows: a description of the geological-geomorphological setting of the Termini-Nerano site with a brief description of the landslide event history is provided in Section 2; the methodological approach developed for assessing the landslide risk is described in Section 3 along with the datasets used. The results are shown in Section 4 followed by the discussion and conclusions in Section 5 and 6, respectively, where we analyse why and how our approach can be extended elsewhere.

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# 2 2. The Termini-Nerano landslides system

The studied area is located on the south-facing coast of the Sorrento Peninsula, southern Italy (Fig. 123 2) where the landslide system affects three villages, Termini, Nerano and Marina del Cantone. 124 Geologically, the Termini-Nerano area belongs to the shallow-water carbonates succession of the 125 126 Lattari-Picentini Unit, in the western sector of the Apennine Carbonate Platform (Vinci et al., 2017). According to ISPRA (2015), the outcropping rocks are composed of pre-orogenic Mesozoic 127 carbonate sequence of the Radiolitidae limestone Formation (Upper Cretaceous; Iannace et al., 2011) 128 topped by synorogenic terrigenous deposits of Miocene age including the Recommone Calcarenites 129 Formation (Lower Miocene), the Termini Sandstones Formation (TSF - Miocene) and the Punta del 130 Capo Breccias (Upper Miocene; D'Argenio et al., 2011). Quaternary deposits consist of slope debris, 131

derived from the limestone and sandstone bedrock, pyroclastic, and beach deposits. The latter cover 132 the bedrock succession at limited spots, such as Marina del Cantone, but not at the Termini-Nerano 133 valley. The Miocene TSF (200m thick) is divided into two members: the lowermost is the Nerano 134 Member where the studied landslides developed. It consists of arkosic sandstones, interbedded with 135 siltstone and mudstone levels, cropping out south of Termini. A gradual vertical and lateral transition 136 leads to the Marciano Member, a thin-bedded arkosic turbiditic sandstone succession interbedded 137 with marly levels. The tectonic features which most influence the local geomorphology are connected 138 to a first compressional event with a NE-vergence, followed by an extensional one (ISPRA, 2015). 139 The compressive phase created gentle folds in the TSF with the Upper Cretaceous carbonates 140 141 thrusting over the TSF (Vitale et al., 2017) and determined the attitude of the Nerano Member to be 142 converging towards the valley centre (Fig. 2).





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The mesoscopic scaly texture of the clayey intervals combined with the strong tectonic deformation and the presence of calcareous exotic blocks in the TSF, represent an important predisposing factor to slope instability in the area and a classical example of a SCF with geotechnical properties intermediate between soils and rocks, strongly dependent on the scale of the mechanicaldiscontinuities.

According to historical chronicles, archive documents, local witnesses and data from the Italian 151 National Research Council (Canuti et al., 1992; de Riso et al., 2004), the valley has been affected by 152 several instability events in the recent past. The oldest phenomenon reported occurred in the 17<sup>th</sup> 153 century with successive reactivations recorded in 1910, 1939, in late December 1940 and early 154 January 1941. The latter involved a 2.1  $\times 10^5$  m<sup>2</sup> area and a 2 $\times 10^6$  m<sup>3</sup> volume and destroyed the 155 Termini-Capo d'Arco road and some houses in Termini (Brugner and Valdinucci, 1973). The 156 following reactivation took place in Capo D'Arco hill, northeast of the village of Termini, on 19th of 157 158 February 1963 and lasted seven days (Cotecchia & Melidoro, 1966). The event was triggered after 101 mm of cumulative rainfall in the previous 24 hours and 217.4 mm in the previous 19 days, 159 corresponding to almost 20% of the 1963 annual rainfall budget. Landslide velocity ranged from 3 160 161 m/h to 27 m/h (Cotecchia and Melidoro, 1966); the movement started as a rotational slide and then developed as a flow that struck the villages of Nerano and Marina del Cantone, ~900 m downslope, 162 before reaching the Tyrrhenian Sea. Seismic refraction studies in the aftermath of the event localized 163 the main rupture surface at ~25 m of depth (Cotecchia & Melidoro, 1966) and reported a total length 164 of the landslide body of ~1,900 m with an area of  $1 \times 10^5$  m<sup>2</sup> and a volume of  $1 \times 10^6$  m<sup>3</sup>. The current 165 landslide inventory map (SAHD, 2011a) identifies 20 different sub-movements (five falls, three 166 rotational slides and twelve complexes) within the Termini-Nerano valley, covering a total area of 4 167  $\times 10^5$  m<sup>2</sup> (Fig. 3a). The SAHD inventory is based on a combination of aerial photography and Digital 168 Terrain Model (DTM) interpretation complemented by field surveys. The inventory is then combined 169 with geomorphological predisposing factors to derive the susceptibility and finally is overlaid to 170 vulnerability and exposure information to empirically asses the risk levels following a matrix-based 171 heuristic approach (Fig. 3b). 172



Fig. 3. Landslide inventory map (SAHD, 2011a) where each number refers to a single landslide classified according to
the type of movement (a). LRM (SAHD, 2011b) that shows the level of risk only where infrastructure are present (b). The
two maps are overlapped onto shaded relief DTM. Coordinate system: WGS 1984, UTM Zone 33N.

178 The LRM is therefore generated with a method based on expert opinion which, inevitably, cannot

account for the many uncertainties associated with the landslide processes.

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# 181 **3. Materials and methods**

182 The multidisciplinary approach of the work has been designed to define the relative landslide risk (R)

183 limited to the slow-moving phenomena (Figure 4). Different investigations have been performed to

184 retrieve the characteristics of the landslides needed for assessing and validating R.



Fig. 4. Workflow of the approach adopted in this work. Techniques and input data are in green, outputs in cream.
 Inputs data include the landslide inventory and geomorphological maps from the Southern Apennines Hydrographic
 District (SAHD), ground deformation displacement from Interferometric Synthetic Aperture Radar (InSAR), hazard
 maps from the Ensemble Modelling (EM) and exposure and vulnerability data from the Italian National Institute of
 Statistics (ISTAT).

The geological and geomorphological information of the valley have been derived from field surveys conducted between 2012 and 2013 and then integrated with sub-surface data acquired though five boreholes drilled in landslides 11 and 18 where, successively, three inclinometers and two open standpipe casings have been installed, recording data from different sectors of the valley (Fig. 5). These investigations provided information on the type of materials involved in the instability and its

kinematics, constrained the volumes associated to the active part of the landslide and validated theInSAR results.

The remote sensing dataset allowed the understanding of the state of activity of the movements, the 199 interaction between the instability zones within the valley and the estimation of the landslide volumes. 200 201 Remote sensed data include aerial stereo photographs, LiDAR DTM and InSAR velocities maps. Aerial stereo photographs for the 1955-2011 time span were obtained from the Italian Military 202 203 Geographic Institute and the Agency of Agriculture, Food and Forestry of the Campania Region, the acquisitions were taken at flying heights ranging from 2,700 m to 6,200 m with a scale ranging 204 between 1:10,000 and 1:41,000. The DTM, acquired from airborne 2012 LiDAR data, with a 205 206 resolution of 1 m and root mean square error of ~0.15 m (http://sit.cittametropolitana.na.it/lidar.html), has been used to assess the original volume associated to each landslide. InSAR results have been 207 generated from 35 ascending and 35 descending COSMO-SkyMed (CSK) X-band images acquired 208 209 in the 20/10/2011 - 19/4/2014 and 20/2/2012 - 23/12/2013 interval, respectively. The two geometries have been processed at 3m×3m resolution by means of the Persistent Scatterer Pair technique (PSP; 210 Costantini et al., 2014) as part of the third phase of the Not-ordinary Plan of Environmental Remote 211 Sensing project (Piano Straordinario di Telerilevamento Ambientale - PST-A), a nationwide 212 monitoring plan run by the Italian Ministry of Environment and Protection of Land and Sea in 213 214 cooperation with the Italian Space Agency (Costantini et al., 2017; Di Martire et al., 2017).

The EM has been trained to produce the landslide hazard (H) via three MLAs (ANN, GBM and 215 MaxEnt) well-known for their good performance (Elith et al., 2006). ANN refers to a large group of 216 217 models that are inspired by biological neural networks to process information. These networks are typically structured in layers with an input layer containing the environmental variables used to train 218 219 the model, several hidden layers in which the function applies weights to the inputs and directs them through an activation function as the output (Dou et al., 2015). GBM is a ML technique for regression 220 and classification models. GBM repeatedly perform many decision trees to enhance model precision. 221 For each new tree in the model, a random subset of all the data is selected using the boosting method, 222

which iteratively aims to reduce the errors of the previous one (Kim et al., 2018). MaxEnt is a 223 224 "presence-only" spatial distribution method. It makes use of occurrence data and a large number of points throughout the study area, known as background points. MaxEnt calculates the ratio between 225 two probability densities (occurrence and background points), which gives the relative 226 "environmental suitability" for the presence of an event for each location in the study area (Sepe et 227 al., 2019). The MLAs use predisposing factors determined and assessed from the geological, 228 geomorphological and kinematic characteristics of the instabilities which do not show collinearity 229 according to the Variance Inflation Factor (VIF) calculation (Arabameri et al., 2019). To perform and 230 assess models achieved with various MLAs, the K-fold Cross-Validation (K-CV) approach was used. 231 232 The latter splits a random part of the input population (~80%) for calibration while the remaining  $(\sim 20\%)$  is used for testing the prediction of the model; the entire approach is then replicated several 233 times for each of the three models and the average predictive accuracy is finally reported through the 234 Area Under the Receiver Operating Characteristic (AUROC) curve and True Skill Statistic (TSS) 235 (Araujo et al., 2005). Afterwards, the three MLAs were ensembled and H was evaluated by 236 considering the median values of the spatial probability of landslide occurrence from the three 237 models. The short temporal interval of the InSAR time series, 3 years, despite provides a constraing 238 on the landslides state of activity do not provide sufficient records to assess the return periods of the 239 240 landslide which tend to occur in the range of tens of years in this area (see Section 2). So the H defined in this paper is a relative hazard which does not account for the probability of landslides occurrence 241 within a given period of time, a solution already adopted whenever the knowledge of landslide 242 243 mechanisms is limited (Andrejev et al., 2017).

H has been integrated with information on local population and buildings to generate R which,
similarly to H, it refers to a relative risk. Spatially, the R can be conceptually represented as a nonhomogeneous Poisson process (NHPP) similarly to what has been done in Bartolini et al. (2013) when
simulating different eruptive scenarios:

$$R = 1 - \exp((H + V + E) * -1)$$
(1)

Where V is the degree of damage (namely the vulnerability) of a specific element-at-risk (E) inside the area. H, V and E represent variables associated to the landslide that can change in time following a non-homogeneous Poisson process with an exponential distribution. The probability density function for V and E have been calculated over the territorial units defined by the Italian National Institute of Statistics in 2011 (ISTAT, 2011) for the area of study.

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# **4. Results**

# 257 4.1 Geological-geomorphological investigations

Landslide events have intensively reshaped the superficial deposits of the Termini-Nerano valley where the intensive urban development, especially between 1919 and 1990 according to ISTAT (2011), is now partially covering geomorphological signatures of instabilities. The morphoevolution of the valley occurs prevailingly through slides and flows and secondarily by rockfalls and surface runoff through gullies (Fig. 5).



Fig. 5. Geomorphological map of the Termini-Nerano valley where slope and superficial deposits have been classified according to the dominant morphogenesis. Coordinate system: WGS 1984, UTM Zone 33N (modified from SAHD, 2011c)

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The main scarp is associated to a ~15 m high crown area and is located in the Capo d'Arco area, on 268 a 20° slope. Downslope, landslide flow and slide deposits from lateral lobes converge and fill the 269 structurally-controlled NW-SE valley. Despite the anthropogenic disturbance, which has confined 270 gullies on the eastern side of the valley, scarps in the order of some meters are still preserved in the 271 landslide deposits within the catchment area. Gullies transport colluvial and alluvial deposits along a 272 NW-SE direction which take a 90° bend downslope, just north of Marina del Cantone, where have 273 been removed by human intervention to allocate space for leisure activities. On the western side of 274 the valley, the mass movement deposits are topped by coarse-grained rockfall material from Mt. San 275 Costanzo but are also embedded in the flow and slide deposits (Fig. 5). 276 The lithology of landslides 11 and 18 and its relationship with the bedrock was determined from 277

drillcores (see Figure 3). The superficial units are mainly characterized by alternating beds of shales,

silts, sandstones and, in the transport and accumulation zones, calcarenitic-sandstones from the marlycalcareous olistoliths of TSF (Fig. 6). The component of clay minerals, determined from laboratory
grain-size analyses, increases from 10 % wt. in C1 to 20 % wt. in C2 and 30 % wt. in C3 (Cesarano
et al., 2018).



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Fig. 6. Sketch showing the stratigraphic logs of the units investigated. Piezometers installed are represented in blue with
 white stripes indicating the screened section of the borehole. Inclinometer's zero reading: September 2012. Location of
 the boreholes is provided in Figure 5.

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The shallower layers of superficial material are composed of poorly cemented sandstone or siltstone levels with different degree of weathering and heterogeneity with depth, so confirming the presence of overlapping mass movement deposits above the bedrock, the latter only found down to 20 m in C3 (Fig. 6). We have indeed interpreted the calcarenites levels in C2 as one of the exotic block embedded within the TSF, being the Recommone Calcarenites Formation at ~100 m depth in this location. Inclinometric data show a single slip surface at around 8 m depth in the upper part of the slope (C1)

with displacements of  $\sim 5$  mm, and two slip surfaces in C3, at depth of 4 m and 6 m, with

- displacements decreasing downwards and an overall disarranged structure down to  $\sim 20$  m. No reliable
- information could be reported from C2 as the aluminum casing broke at ~6 m b.g.l. soon after its

installation, suggesting at least one slip surface at such depth. These differences in the borehole lithology and the measured inclinometric-material response play an important role in understanding the kinematics of landslide 11 which is characterized by plastic deformation in the head area with a motion similar to a slide which becomes a creep downhill where the clay fraction increases and the failure surface is not so clear anymore.

Groundwater levels, measured from September 2012 to February 2013, suggest the occurrence of an unconfined shallow aquifer located in the fractured mass above the slip surface which has different characteristics in the crown and the toe area given the different response in groundwater rise ( $\sim 1$  m in D1 and  $\sim 3$  m in D3) for the 932 mm of rainfall occurred over this time interval.

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### 307 *4.2 Aerial photography stereoscopic analysis*

The oldest aerial photos available, dating back to 1955, show sparse vegetation and extensive 308 309 outcrops of the TSF. The four main lobes belong to the 1941 landslides east of Termini that had developed into a flow which had reached the village of Nerano and spread as soon as it reached the 310 Marina del Cantone village (Fig. 7a). The three main lobes correspond to the crown sectors of 311 landslides 9, 10, 11, 14 and 15 of the SAHD landslide inventory map (see Figure 3a). The 1974 aerial 312 photograph highlights remedial works carried out by the Public Works Department of Naples 313 314 following the 1963 landslides which led to the construction of retaining walls and drain trenches connected to a drainage basin downslope (Fig. 7b). The imagery shows that the 1963 event developed 315 in the eastern sector of the Capo d'Arco hill, within the 1941 deposits, had an aerial extension ~30% 316 317 smaller than the previous event and was diverted almost at a right angle nearby the Marina del Cantone village possibly by the 1941 toe zone, which might have confined the flow towards the 318 319 eastern side of the valley. The following bioengineering interventions allowed a pine forest to develop on the surface of the 1963 landslide body, which matured in the following years, as evident from the 320 1990 frames (Fig. 7c). From 1990 onward, the intense urbanization of the area erased any 321 geomorphological evidence of the older landslides with vegetation wiped out by fall and topple 322

deposits generated from the eastern side of Mt. San Costanzo, sometime between the 70s and the 80s.
In 2011, the intense urbanization of the area erased any geomorphological evidence of the older
landslides (Fig. 7d).

The 1941 and 1963 event geometries control the following development of any smaller landslides between Capo d'Arco and Nerano that remobilise material from the past landslides. However, their slow velocity and dimensions do not provide scars identifiable in the stereo-photos but still observable on the field, such as the damage associated to landslides 6 and 7 and representing the retrogressive evolution of the landslides system (see Figure 5).

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Fig. 7. Inventory of the active landslides within the Termini-Nerano catchment from aerial stereo-photos: 1955 (a), 1974
(b), 1990 (c), 2011 (d). Coordinate system: WGS 1984, UTM Zone 33N.

- 338
- 339 4.3 LiDAR analysis

The 2012 DTM has been used to reconstruct the pre-landslides (original) topography, retrieve the 340 vertical differences between the current and original topography and then assess the volumes. The 341 original topography has been defined by using as reference surface the topography from un-failed 342 portions of the slopes. The reconstruction is based on three assumptions: the unslided wallslopes 343 represent the original geomorphology of the area had the landslides not occurred (i), the sediment 344 transport process, from sediment detachment to its depositions only occurs within this catchment (ii) 345 and that human reprofiling (e.g., terracing, embankments) of the slope has had a limited impact on 346 the elevations despite the wide urbanisation of the valley (iii). 347

The reconstruction has considered linear, exponential or logarithmic functions to perform a 1D fitting for profiles along and across the valley, similarly to what has been done in Chang et al. (2018). Of the three regression models, the one with the highest coefficient of determination ( $R^2$ ) with the topographic profile has then been selected. A total of 41 profiles in the TSF parallel and orthogonal to the landslides have been considered (Fig. 8a):

Five profiles parallel to the direction of motion of the landslide bodies, to represent the 353 ٠ original topography along the main direction of the valley. These profiles have been derived 354 from the regression of five profiles located outside the Termini-Nerano valley, in the northern 355 facing slope of the Sorrento Peninsula (Fig. 8b). These sections have been chosen as they are 356 largely unaffected by tectonic processes, human activities (terracing, re-profiling) and 357 previous mass movements and have a similar elevation range to the Termini-Nerano valley. 358 The best model retrieved from the five profiles outside the landslides has then been used to 359 retrieve the pre-landslide longitudinal profiles along four profiles within the Termini-Nerano 360 361 valley.

Twenty-seven profiles transverse to the landslide bodies, to represent the original topography
 across the main landslides pathways. In this case the west and east side of the Termini-Nerano
 valley that are not part of the landslide have been fitted by the models independently (Fig. 8c).
 Again, the transverse profiles were reconstructed by selecting the regression with the highest
 R<sup>2</sup>.

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An envelope surface has been subsequently interpolated from the 32 profiles by using Inverse Distance Weighting (IDW) method. The average  $R^2$  value of 0.83 from the best fitting models along the profiles supports the idea that, especially in the narrow parts of the valley with steeper surfaces, the envelope surface can be considered an optimal way to reconstruct original topography.

Compared to the actual morphology, the envelope surface reveals a more rugged topography in the crown area and a gentler slope at the bottom of the valley (<10° vs 20/30°). The depletion/accumulation map could finally be retrieved from the vertical difference in the elevations between the 2012 topography and the reconstructed topography (Fig. 8d).

Positive differences mean that the 2012 topography is above the original topography (i.e. accumulation areas) while negative differences indicate that the 2012 topography is below the original topography (i.e. depleted areas) as a result of the mass movements.



Fig. 8. Location of the longitudinal and transverse profiles overlapped onto the hillshade map of the study area obtained
 from the LiDAR DTM (a). Example of the regression model for the longitudinal profile number 41 (b) and the transverse
 profile number 7 (c) where blue, orange and green colour refer to the linear, logarithmic and exponential fitting,
 respectively. Elevation difference between the 2012 DTM elevation and the reconstructed topography where positive
 values indicate accumulation areas and negative values indicate depleted areas (d).

DTMs difference highlights two depletion areas, one spreads across the crown sectors of landslide 9, 10 and 11 and the other one where the mass deposits direction of flow converges in the narrow valley between Termini and Nerano (landslide 15 and 17), in this part elevation difference is -27 m. The accumulation area is instead located southward, between Nerano and Marina del Cantone, where the 2012 topography is 31 m higher than the reconstructed one. Vertical differences are usually 10 m lower than slip surfaces retrieved from the inclinometers, thus supporting the idea that the current moving landslides just involve the superficial deposits of the whole landslide mass.

By taking into account the area, vertical differences have been converted to volumes for each
landslide. The volume differences prove that landslides 17, 18, 19 and 20 represent net accumulation

areas while all the other landslides have a net mass loss. The spatial extension of landslide 11, from

the crown to the toe, inevitably encompasses multiple areas of depletion and accumulation.

The whole displaced mass amounts to  $\sim 1.484 \times 10^6$  m<sup>3</sup>, (Table 1).

Landslide ID	area [m <sup>2</sup> ]	net volume difference [m <sup>3</sup> ]
6	11,931	-32,620
7	6,214	-38,181
8	7,637	-90,562
9	21,387	-101,827
10	17,932	-235,681
11	100,284	-89,503
12	11,975	-19,299
13	8,093	-55,820
14	25,427	-332,216
15	14,780	-279,220
16	14,768	-201,408
17	22,603	7,974
18	95,448	1,245,974
19	25,471	234,569
20	24,003	4,298
Total	407,954	16,477

397 Table 1. Area, average elevation difference and volume gain/loss for each rotational and complex landslide in the 398 Termini-Nerano valley.

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Because historically small landslides within the Termini-Nerano valley develop within the older 400 deposits, is likely that reactivations will be fed by materials located southeast of Nerano, especially 401 at the steep eastern edge of landslide 18 where a net accumulation sector has formed. Considering the 402 positions of the sliding surfaces measured at C1, C2 and C3 within landslide 11 and 18, we can 403 estimate that a volume of at least  $\sim 0.67 \pm 0.03 \times 10^6$  m<sup>3</sup> is currently active. 404

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#### 4.4 InSAR analysis 407

InSAR measurements have been used to characterize the slope kinematics. By taking into account 408 the standard deviation ( $\sigma$ ) of the LOS (Line of Sight) velocities such as in Aslan et al. (2020), the 409 stable target threshold has been set to  $\pm 1.5$  mm/yr,  $\sim 2$  times  $\sigma$ . The results reveal the presence of 410 many areas still affected by surface displacements along the basin and related to active slope 411 instability phenomena with the presence of vegetation that hinders the density of radar targets. 412

413 CSK results (Figs. 9) for the period 2011-2014 have a density of  $\geq$ 1,800 targets/Km<sup>2</sup> and highlight 414 that seven mass movement systems are moving with instability confined to the head (landslide 6 and 415 7) and the toe areas (landslides 11, 17, 18, 19 and 20) where LOS velocities reach 10 mm/yr in the 416 descending geometry. A poor targets coverage characterises the landslides between Termini and 417 Nerano but, based on geomorphological and ISBAS data, we can realistically assume that none or 418 limited motion occurs here.



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Fig. 9. InSAR displacements along the LOS obtained from the CSK ascending (a) and CSK descending (b) data. Positive
numbers correspond to motions towards the sensor, negative ones to motion away from the sensor along the LOS.
Coordinate system: WGS 1984, UTM Zone 33N.

Where CSK radar targets were sufficiently covering displacing masses (landslides 11, 17, 18, 19 and 20), the ascending and descending LOS displacements have been projected along horizontal and vertical directions after interpolation through the IDW method and application of Dalla Via et al. (2012) formula. The derived time series have been averaged in time, considering the closest satellite acquisitions, and in space, considering the same landslide body identified from field surveys, in order to be compared with the monthly rainfall record of the area available from the Massa Lubrense raingauge station, located ~3 km northwest of our study area.



Fig. 10. Average displacements for landslides 17, 18, 19 and 20 projected along the horizontal (a) and vertical (b)
direction. Blue bars indicate monthly total precipitation.

Following Bonì et al. (2018) classification method for the InSAR time series, the temporal analysis reveals that landslides move seasonally (non-linearly) with acceleration during Winter months and deceleration in Summer months and a time lag of 2-4 months with the precipitation peaks (Fig. 10). This temporal heterogeneity is more evident for the horizontal motion. While the geometry of the large mass events controls the development/reactivation of smaller rotational and complex landslides within the valley (see Section 4.2), InSAR time series analysis reveals that seasonal stress perturbations control the magnitude of the motion rates at a short temporal scale.

Finally, C1 and C3 inclinometers data have been used to validate the nearest PSP points available for the same time interval (Table 2) after the InSAR horizontal and vertical components of displacement have been spatially interpolated. The lack of a PSP in correspondence of each inclinometer might explain the tiny differences between the two measurements that overall agree and confirm the highest deformation rates at the toe of landslide 18. The latter represents an unstable body where nearly 30m
of materials have been piled up collecting deposits transported within the whole Termini-Nerano
valley.

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Table 2. Comparison between inclinometer and interferometric data. For the borehole location, see Figure 9.

Inclinometer	Period of acquisition (m/yyyy)	Inclinometer displacement (mm)		InSAR displacement (mm)	InSAR horizontal displacement (mm)
C1	9/2012 – 2/2013	5.0	140 (SE)	1.5	3.9
СЗ	9/2012 – 2/2013	20.0	180 (S)	10.7	14.3

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### 451 *4.5 Landslide Hazard Mapping*

Fourteen environmental variables considered as predisposing factors have been selected to produce H: slope angle, slope aspect, profile curvature, planform curvature, Topographic Wetness Index (TWI), Topographic Position Index (TPI), InSAR horizontal velocity (according to the interpolation shown in Section 4.4), elevation, distance to stream, distance to road, stream density, road density, lithology and land-use. A more detailed description of these is given in Supplementary 2.

Apart from InSAR displacements, the selected environmental variables represent standard factors for 457 458 landslide hazard mapping (Van Westen et al., 2008).. To maintain the temporal consistency of all the input datasets, only the interpolated horizontal InSAR data from CSK have been used (see Section 459 4.4) in combination with the LiDAR-derived geomorphological indicators and the outcropping layers 460 461 mapped during our field investigations. All the layers are raster (including the InSAR results after the interpolation) that have been interpolated and brought to the same spatial resolution (10 m) and 462 extension. Considering the (i) assumption made for the volume calculation in Section 4.3, the vertical 463 differences from the original topography could not be used as training layer but for validation 464 purposes only, being limited to the landside areas. 465

Each stand-alone model (ANN, GBM and MaxEnt) was executed with 50 different combinations of 466 training and testing of the data using the K-CV approach (Figs. 11a-c). Such a method allows to 467 generate 150 different H scenarios with corresponding errors values and evaluation scores 468 (Supplementary 1). In the ensemble procedure stand-alone models with a threshold > 0.7 for AUC 469 and 0.6 for TSS only were selected. In this way, in the aforementioned procedure were chosen only 470 the models with a good performance. The median of the probabilities of the three models has been 471 chosen as the ensemble technique to obtain the final landslide susceptibility map, being the median 472 less sensitive to outliers than the mean. The final ensemble map has an AUROC value of 0.96 and a 473 TSS value of 0.82 (Fig. 11d). 474

The values of H, and later of E, V and R as well, have been grouped into four different classes to adhere to the Italian laws on hazard and risk assessment. The natural breaks method has been chosen to divide the distribution in these classes so to minimize the variance within each class and maximizing the variance between the classes (Fig. 11).



Fig. 11. Median value of the hazard map from 50 ANN runs (a), from 50 GBM runs (b) and from 50 MaxEnt runs (c).
 EM landslide hazard map considering median values from the 50 ANN, 50 GBM and 50 MaxEnt models (d).

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EM shows that the highest hazard is located on the northwestern sector at the toe of the landslide 483 system with only landslides 6, 10, 12, 13 and 15 presenting a low to medium risk. On the other hand, 484 whole landslide bodies (18, 19 and 20) show a very high hazard. Additional hazard is posed by the 485 bottom of the Mt. San Costanzo eastern slope. Score values, between 0 and 1, indicate how the factors 486 in EM contributed to H (Table 3). It has to be highlighted that we have not considered interactions 487 between variables so every factor has been examined independently. Scores close to 1 greatly affect 488 H while values close to 0 have no influence on the landslide hazard. Three main predisposing factors 489 emerge: horizontal displacement, slope aspect and the road density. 490

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Table 3. Scores of the fourteen variables used in the EM.

Factor	Score	Factor	Score	
Distance to road	0.042	Profile curvature	0.01	
Distance to stream	0.008	Road density	0.136	
Elevation	0.037	Slope angle	0.025	
Lithology	0.03	Slope aspect	0.144	
InSAR displacement	0.237	Stream density	0.055	
Land-use	0.001	TPI	0.027	
Planform curvature	0.029	TWI	0.009	

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InSAR displacement is the most influential factor, highlighting the importance of regularly monitoring such type of landslides for building a reliable H. Consequently H tend to be higher where velocities are the greater. The south facing aspect is an important factor especially if considered with respect to the attitude of the TSF strata whose dip directions converge toward the Termini-Nerano valley. Indeed, over the north facing slope just north of Capo d'Arco, no landslide is reported.

497 valley. Indeed, over the north facing slope just north of Capo d'Arco, no landslide is reported.

Road density is acknowledged to be a predisposing factor especially in the centre of Nerano, where narrow scenic road snakes within the village, but not a triggering factor since there is no temporal relationship between the road works and the occurrence of the landslide. Lithology is not a predominant predisposing factor as the largest contrast in the mechanical and structural properties, according to the borehole data (see Section 4.1), lies within the different landslide deposits developed in the same unit, the TSF. Similarly, slope angle has a low influence on H since slow-moving
landslides in SCFs can develop and move on low angle slopes (<10°), flat, or even slightly uphill</li>
terrains (Del Soldato et al., 2018).

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## 507 4.6 Landslide Risk Mapping

The LRM has been combined with information on its potential impact which is expressed by E and V. The latter have been sampled by ISTAT over six different units (Fig. 12). These units do not correspond to administrative or geomorphological boundaries but follow the guidelines of Regulation (EC) no. 1059/2003 of the European Parliament on the establishment of territorial units that can be used for statistical analyses of population and buildings across Europe.



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Fig. 12. Territorial units defined by ISTAT for the Termini-Nerano area.

Exposure information has considered information on the number of residents and building within theterritorial unit.

517 Given the different size of each territorial unit, the density of buildings and population has been 518 considered for E (Table 4). ISTAT does not provide information on the road network, so this 519 information has been excluded, but it would spatially represent a minor component of the 520 infrastructures here. About V, since most of the buildings are masonry and other types of buildings

- 521 (concrete, steel and wooden) represent < 0.2% of the urban fabric, only the buildings age has been
- 522 considered as a discriminant component for V.
- Table 4. Main indicators used to extract element-at-risk and vulnerability inside the Termini-Nerano valley according to the ISTAT territorial units (see Figure 12).

			density of buildings constructed in time			
Territorial Unit	population/km2	buildings/km2	<1945	>1946 & <1970	>1971 & <2000	>2001
Capo d'Arco	1,725	1,150	431	288	431	0
Case sparse	114	29	8	6	11	5
Coppetelle	2,331	802	246	182	310	64
Nerano	1,488	751	273	341	133	4
Sirenuse	688	398	15	260	122	0
Termini	2,255	754	278	172	260	44

526 V and E have then been normalized and summed to H according to the formula given in (1) and the 527 LRM has been generated (Fig. 13a). R values range between 0 (low risk) and 1 (very high risk) and 528 have been reclassified according to the natural breaks criterium. The low standard deviation (0.09) 529 compared to the ranges of each class of R into a high precision for our map (Fig. 13b).





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According to the LRM, most of the valley is under medium and high risk with the highest risk located 533 south of Termini and in the bottom part of the valley, where most of the recorded damage has been 534 mapped (see Figure 5). The very high risk southeast of Termini and on the eastern side of the Termini-535 Nerano valley is associated to the high population density and old building age while the very high 536 risk in Nerano is mainly due to H despite the lower population density (E) and relatively younger 537 buildings (V). In turn, the very high H in Nerano is mainly due to InSAR displacement. A medium 538

Fig. 13. Landslide Risk Map for the Termini-Nerano valley (a) and corresponding histogram (b).

to high risk is instead characterising the top of the valley as result of the low density of populationand buildings in those territorial unit.

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# 543 **5. Discussion**

The Termini-Nerano valley has been chosen as test area for developing a new methodology to assess landslide risk due to the range of datasets available. The latter has allowed to build a multidisciplinary approach which provides a holistic understanding of the landslide risk in the catchment where, in the meantime, local population has lost the historical memory of the landslides activity (more than a generation) and so underestimate the current risk.

In the studied area, geological and geomorphological observations have identified fifteen extremelyslow-moving landslides, according to the Cruden and Varnes (1996) classification scheme, covering a total area of  $4.1 \times 10^5$  m<sup>2</sup>.

Field mapping reveals that one of the most important controlling factors for landslides susceptibility 552 is the lithology given by the dip-slope attitude of the TSF layers and its role for the geometrical 553 distribution and evolution of the instabilities. Indeed, the valley evolution is characterised by large 554 and catastrophic movements, like the 1941 and 1963 with velocities up to m/h, who redistribute large 555 volumes of material from the TSF. Between these big events, however, the slope is continuously 556 reshaped by smaller instabilities that mainly redistribute the material within the toe area. We retrieved 557 the volume of each landslide deposit from the LiDAR-reconstructed topography and estimate a total 558 volume of  $\sim 1.484 \times 10^6$  m<sup>3</sup> for the whole landslides system. The net mass increase (0.016 × 10<sup>6</sup> m<sup>3</sup>) 559 represents ~1% of the total volume calculation and can be attributed to: errors in the regression and 560 interpolation method, topographic reprofiling from anthropogenic activities and contamination of fall 561 deposits from Mt. San Costanzo. InSAR results prove the correlation between ground motion and 562 precipitation with seven mass movements that can be still considered active but with an intermittent 563

activity, a typical characteristic of landslides in heterogeneous terrains, such as the SCF, characterised
by a long activity history with continuous reactivations (Milillo et al., 2014).

A longer record and more dense groundwater observations are needed to fully understand the 566 hydrogeological conditions of the area such as the different response in groundwater rise observed 567 in D1 and D3.InSAR data confirms the correlation between rates of motion and precipitation. A 568 longer record of InSAR data, such as the inclusion of 7 years' worth of data from the Sentinel-1 569 constellation, would provide additional information to constraint the return period of the slope 570 instabilities. Despite several engineering drainage solutions have been erected in the head area during 571 the 1960s to mitigate the landslide hazard, InSAR displacements reveal that they have not been 572 573 effective in stabilizing the slope. The updated landslide inventory map, InSAR displacements, land 574 cover map and geomorphometric parameters have been used for the hazard assessment. Although several MLAs methods have been explored for the spatial-temporal prediction of landslides (Thai 575 576 Pham et al., 2019), EM still represents a novelty and mainly limited to rapid or shallow landslides (Carotenuto et al., 2017). In this work we have taken a step forward and used EM for assessing the 577 landslide hazard of slow-moving phenomena. Critical for the accuracy of EM is the selection of 578 training points and input layers (Micheletti et al., 2014). Ideally, training points are selected over 579 clearly identifiable landsliding areas, such a task can be relatively challenging in slow-moving 580 581 landslide which might not show signs of instabilities unless a detailed field survey is carries out. Another important benefit of the landslides system in the Termini-Nerano Valley is that we could 582 chose training and validation pixels from different landslides within the same valley thus to avoid 583 584 unrealistic overestimations of prediction accuracy when training and validation points belong to the instability. In our EM we include InSAR displacements among the input layers, being velocities the 585 only dynamic information needed to derive a hazard rather than a susceptibility map. The use of 586 InSAR as input for improving or refining susceptibility/hazard model is not rare (e.g., Carlà et al., 587 2016; Ciampalini et al., 2016) but equivalently, InSAR can also be seen as a validation tool of such 588 models especially when data available is scarce. However, in this work we considered ground motion 589

as a predisposing factor, based on the idea that landslides in SCFs have a long reactivation history and therefore catastrophic/sudden failures always affect sectors previously unstable. Whether is training or validation, the uneven distribution of InSAR targets with a lower density over vegetated areas is a critical aspect . In such case, interpolation methods can mask or amplify ground displacements. . The advent of novel InSAR processing techniques, such as the Intermittent Small Baseline Subset (ISBAS, Cigna and Sowter, 2017) or SqueeSAR (Ferretti et al., 2011), offer the possibility of filling this gap by increasing the density of InSAR measurements.

597 Out of the fourteen layers used for the hazard map, ground displacements is the dominant one so, 598 even if they are considered slow-moving landslides, velocity still remains critical parameters that 599 need to be included and regularly updated for hazard and risk calculations as already acknowledge in 600 previous works (Casagli et al., 2016).

We used the vertical differences derived from the LiDAR DTM to validate the landslide hazard map. The comparison shows that the areas with very high risk corresponds to areas with the vertical differences are either highest (between Nerano and Marina del Cantone) or lowest (south of Termini). Accumulation areas indeed are the fast-moving part within the valley with the old landslide deposits that have historically supplied unstable materials for rapid events during the 20<sup>th</sup> century. On the other hand, we interpreted the already depleted areas to be still unstable given the combination of the slope aspect, slope angle and planform curvature for these exposed landforms.

Despite the highlighted limitations, we have a unique collection of data sufficient to improve the current LRM for three reasons: updated information on the geometry and kinematic of the instabilities, a combination of three MLAs for H and the different age of the buildings has been considered for V.

612 Compared to the SAHD, our LRM define, overall, a more dangerous scenario where the area nearby 613 Nerano and Marina del Cantone from a low to medium level are now classified as a high-risk level 614 and the highest level of risk is now corresponding to areas south of Termini and on the eastern side 615 of the valley. Field surveys confirm damage to roads and walls within these sectors. The highest

difference with the SAHD LRM is over the eastward facing slope of Mt. San Costanzo because we 616 did not account for the hazard associated to falls and topples affecting the Radiolitidae limestone 617 Formation being it beyond the scope of this work. We have used a standard approach to evaluate R 618 by providing the same weights for H, E and V. This, inevitably has penalised the amount of 619 information within H which is a pixel-based layer compared to V and E that, on the other side, is a 620 polygon-based census unit. Considering the amount of geological, geomorphological and kinematic 621 conditioning factors which have been ingested in the risk assessment calculations, some of them with 622 nonlinear distributions (e.g., InSAR data, E and V), single statistical or ML methods can easily bring 623 to completely different risk scenarios. These standalone maps can show large discrepancies especially 624 625 when are compared against each other, have to be updated or upscaled (Jacobs et al., 2020). On the other side, EM has enhanced the power prediction of individual classifiers while decreasing noise and 626 over-fitting problems by combining different MLAs together. 627

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### 631 **6.** Conclusions

Assessing landslide risk is one of the highest challenges in land management and is usually delegated 632 633 to national institutions such as geological/environment surveys, civil protection agencies or the River Basin Authorities in the case of Italy (Solari et al., 2020). Better risk assessment would support the 634 development of strategies towards disaster risk management and disaster risk reduction. As landslide 635 636 activity is expected to grow worldwide as a result of a changing climate (Gariano and Guzzetti, 2016), the capacity to timely and properly predict landslide susceptibility is critical. The current study 637 contributes to the advancement of landslide risk analyses. Indeed, an innovative methodology for 638 producing LRMs, with the combination of InSAR and EM, has been developed and implemented 639 over the Termin-Nerano landslides system where we could complement standard field surveys and 640 geological investigations with different remote sensing data. 641

The exploitation of MLAs is still in its infancy, far away to become a standard practice to support 642 researchers, public entities, authorities and civil protection agencies which have the mandate to 643 generate landslides risk mapping. However, under the pressure of the latest remote sensing 644 technologies which provide regular and freely observations at continental scale, it has been already 645 recognised the importance of harmonised landslide hazard and risk map at continental scale following 646 the European landslide susceptibility map (Wilde et al., 2018). Such products will represent valuable 647 outcomes for focussing resources and implement medium to long term precautionary measures in 648 prevention, emergency and post-crisis mitigation phases. In particular, satellite platform and 649 downstreaming services are supplying geomorphological/kinematic data and information which has 650 651 been acknowledged to be the main obstacle for producing reliable landslide hazard and risk maps 652 from MLAs (Nsengiyumva and Valentino, 2020).

The use of the proposed ML-based landslide risk assessment method can be therefore particular 653 654 beneficial if dealing with large datasets at continental scale to be regularly updated considering that slides, flows and complex movements (usually evolving as slow-moving landslides) represent more 655 than half of the 849,543 landslide events in Europe (Herrera et al., 2018). With this respect, the 656 Termini-Nerano valley represents a perfect case study given the amount of high-resolution data 657 available from remote sensing and ground-based techniques that have been used for developing, 658 659 performing and validating for the first time this innovative solution where InSAR and MLAs are combined to produce a LRM. 660

661 Recent initiatives at continental scale work in favour of the replicability of our approach:

InSAR datasets will be freely accessible at continental scale through the upcoming European
 Ground Motion Service (Crosetto et al., 2020) based on Sentinel-1 satellite data. This service
 will be of pivotal importance for landslide mapping activities at a national and regional scale.

The MLAs used here are available for free across the most common statistics library of R Studio and Python for example. MLAs are able to account for and large training datasets and

667 EM, in particular, is a powerful tool which can account for different data-driven approaches668 together to regularly update or upscale landslide hazard maps.

- Data on population and buildings, from which V and E can be calculated, are nowadays easily
   accessible, homogenised and regularly collected at European level through the national
   statistics offices and made available at any user.
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Further research, however, is needed to further develop the presented ML-based methodology in order to minimize subjectivity for selecting the different sources, resolution and mapping units associated with the input datasets, usually determined by the available datasets, and to extend it to different typologies of landslides.

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