



# Article (refereed) - postprint

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Title: Using demand mapping to assess the benefits of urban green and blue space in cities
 from four continents.

3

#### 4 Abstract:

5 The benefits of urban green and blue infrastructure (UGI) are widely discussed, but rarely take 6 into account local conditions or contexts. Although assessments increasingly consider the 7 demand for the ecosystem services that UGI provides, they tend to only map the spatial pattern of pressures such as heat, or air pollution, and lack a wider understanding of where 8 9 the beneficiaries are located and who will benefit most. We assess UGI in five cities from four continents with contrasting climate, socio-political context, and size. For three example 10 services (air pollution removal, heat mitigation, accessible greenspace), we run an 11 12 assessment that takes into account spatial patterns in the socio-economic demand for ecosystem services and develops metrics that reflect local context, drawing on the principles 13 14 of vulnerability assessment. Despite similar overall levels of UGI (from 35 to 50 % of urban footprint), the amount of service provided differs substantially between cities. Aggregate 15 cooling ranged from 0.44 °C (Leicester) to 0.98 °C (Medellin), while pollution removal ranged 16 17 from 488 kg  $PM_{2.5}/yr$  (Zomba) to 48,400 kg  $PM_{2.5}/yr$  (Dhaka). Percentage population with 18 access to nearby greenspace ranged from 82% (Dhaka) to 100% (Zomba). The spatial patterns of pressure, of ecosystem service, and of maximum benefit within a city do not 19 20 necessarily match, and this has implications for planning optimum locations for UGI in cities.

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Keywords: Urban Green and Blue Space, Natural Capital, Ecosystem Services, Urban
 Planning, nature-based solutions (NBS).

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### 27

#### 28 Introduction

Approximately half of the world population currently live in cities, with this proportion projected 29 to reach 60% by 2030 (Montgomery, 2007). As the urban fabric struggles to accommodate 30 31 this influx, towns and cities expand and/or densify. By-products of these increases in urban population are increased air, water and noise pollution (e.g. from traffic, domestic waste and 32 industry), increased anthropogenic heat outputs, as well as increased absorption of solar 33 radiation and decreased emission of longwave energy (i.e. Urban Heat Island, UHI, effects -34 Mirzaei, 2015). With space at a premium, urban green and blue space, also termed urban 35 green and blue infrastructure (UGI), typically makes way for man-made infrastructure, such 36 37 as buildings and transport networks (e.g. through densification processes; Haaland et al., 2015). In turn, this reduction in UGI undermines the urban system's ability to regulate 38 39 pressures such as heat, noise, air pollution and flooding (Foley et al., 2005; Derkzen et al., 2015), compounding the effects of urbanisation. Impacts of these pressures at an individual 40 level often lead directly to poor health and declines in well-being. 41

The direct and indirect effects of these pressures on people are varied. PM<sub>2.5</sub> is the most 42 damaging component of urban air pollution, with elevated PM<sub>2.5</sub> concentrations associated 43 44 with negative health impacts such as premature death, lung cancer, pulmonary inflammation, altered cardiac function, and acute stroke mortality (Hong et al., 2002; Pope et al., 2002; Pope 45 46 et al., 2004). High temperatures can place significant stress on the human body, with extremes leading to heat syncope, cardiovascular stress, thermal exhaustion or heat stroke 47 48 (Kleerekoper et al., 2012). The severity of these conditions range from discomfort, impairment of physical and cognitive functions, to increases in morbidity and mortality rates. High 49 temperatures in urban areas, in combination with air pollution, can also lead to increased 50 ground-level ozone, which can have an antagonistic effect on cardio-respiratory conditions 51

(WHO, 2004). Increased incidence of psychosis and clinical depression, and decreased life
satisfaction have all been connected to high levels of urbanisation, high population density
and low levels of local-area urban green space (Sundquist et al., 2004; Chen et al., 2015; Cox
et al., 2018; Houlden et al., 2018).

56 The United Nations Sustainable Development Goals (SDGs) include an emphasis on the 57 importance of inclusive, accessible, multi-functional green spaces in urban settings, to provide a variety of benefits, including health and well-being to residents, especially target 11.7 of the 58 59 UN Sustainable Development Goals (UN, 2017). UGI can have a significant cooling effect 60 (Bowler et al., 2010a; Manteghi et al., 2015; Reis & Lopes, 2019), and vegetation removes particulate matter from the air column (Bealey et al., 2007; Chen et al., 2019). Exercise, or 61 other physical activity in green or natural surroundings provides both short-term and long-term 62 positive health outcomes (Barton & Pretty, 2010) and a number of studies have found links 63 64 between availability of green spaces, the amount of exercise people take and physical health 65 (e.g. Japan - Takano et al., 2002; Canada – Villeneuve et al., 2012). Many recent studies have 66 identified associations between mental well-being and access/proximity to green space (e.g. Houlden et al. 2019). However, access to UGI, and the associated benefits, are often 67 68 influenced by socio-economic status (e.g. Jenerette et al. 2011; Rutt & Gulsrud, 2016).

69 People in lower income neighbourhoods are typically at higher risk of exposure to, and lack the means to respond or adapt to, a number of these urbanisation-related pressures 70 (Rosenthal, 2010; Pearce, 2013; Macintyre et al., 2018). For example, Neidell (2004) observed 71 both greater exposure and greater effects of air pollution on asthmatic children of lower socio-72 73 economic status (SES) in California, USA (the authors cite affordability of living in areas with cleaner air as an impediment to lower SES families responding to/avoiding higher exposure). 74 Children are particularly vulnerable and their exposure to these pressures can result in life-75 76 long impacts (Salthammer et al., 2016), not only in terms of health and well-being (Gauderman et al., 2005; McConnell et al., 2010), but also in terms of socio-economic mobility (Wargocki 77 78 & Wyon, 2007). Additionally, differences in all-cause or selected-cause mortality have not been shown to be associated with extent of green space at the city-scale e.g. in the US (Richardson et al., 2012) and England (Bixby et al., 2015). This is critical because it suggests risks/benefits are highly localised, with likely implications for health inequalities. These concepts are fundamental to the emerging understanding of environmental justice in an urban context (Langemeyer & Connolly, 2020).

To date, studies of the ecosystem services (ES) provided by UGI in relation to health and well-84 being are typically focussed on low to medium population density, wealthy countries in North 85 America, Europe and Asia, with relatively few in what is commonly referred to as the "Global 86 87 South" (see Dados & Connell, 2012), i.e., predominantly low-income countries of South America, the Middle East and Africa (Gupta et al. 2016; Cruz-Garcia et al., 2017). As these 88 low-income countries are predicted to be at the centre of projected future growth and 89 urbanisation (Szabo, 2018), they should be the focus of research tackling the negative impacts 90 91 of urbanisation and the associated inequality issues.

92 The majority of studies which attempt to map demand for ecosystem services pick easy metrics, which focus almost exclusively on mapping the pressure (Baró et al. 2015; Luederitz 93 et al. 2015). They fail to take account of the location of the beneficiaries, and which 94 beneficiaries are likely to benefit the most from service provision. An assessment which aims 95 96 to tackle inequity issues needs to map and assess those sectors of the population who will benefit most from the ecosystem services that UGI provides, in combination with where the 97 98 pressures are greatest and where the maximum ecosystem service can be delivered. These 99 three dimensions are unlikely to be maximised in the same place.

In this study, we look at five cities across the world with a diversity of geographical, sociopolitical, climatic and economic contexts. Since there are relatively few Urban ES assessments in the Global South, we focus our assessments on four cities in this region, with a single city in the UK, Europe, for contrast (using the same methods). The aims of this study were firstly to demonstrate, using freely available open data sources, a means to identify and map urban green and blue space within a functional definition of urban footprint. We hypothesised that

106 there would be variation in the congruence between the urban footprints and the administrational boundaries of the cities. Using the urban footprint as the basis of spatial 107 analysis, and drawing on the principles of vulnerability assessment, we then aimed to answer 108 the following questions: i) how do ES supply and socio-economic demand vary spatially within 109 110 the study cities? and ii) what are the implications for calculating the health-related benefits from UGI in a way that is context-dependent? We select three important ecosystem services 111 to illustrate this demand-focused approach: air pollution removal by woodland, heat mitigation, 112 113 and accessible greenspace as a proxy for physical and mental wellbeing benefits. These 114 represent important services in an urban context, with strong links to human health, especially in a global context (WHO 2018). Lastly, we compare and draw out commonalities across the 115 116 cities. We hypothesised that the quantities of services provided would not be a simple function of extent/quantity of UGI; spatial context also being a factor. Further, we predicted that the 117 118 highest demand for mitigation would not always be at locations where the pressures are greatest. 119

120

#### 121 Methods:

122 The five case study cities are shown in Fig. 1: Dhaka City is a mega-city in Bangladesh, on 123 the Ganges river delta, with population of 19,578,000, and extensive low-lying land with a relatively large area of water bodies. The two cities in Africa are somewhat smaller; Kigali in 124 Rwanda has population of 1,058,000 and Zomba in Malawi a population of 105,000. Medellin 125 is a relatively high altitude city in Colombia, with a population of 3,934,000 and very little blue 126 space. Lastly, Leicester in the UK has a population of 354,000 and is part of a larger 127 128 conurbation of urban areas in East Midlands of England. The cities are described in more detail in Appendix I. 129

130 [Fig. 1 here]

## 131 Land cover classification

132 We used a number of Spectral Indices as the basis for an enhanced land cover classification to identify urban green and blue space: Normalised Difference Vegetation Index (NDVI), 133 Normalised Difference Built-up Index (NDBI), Normalised Difference Water Index (NDWI) and 134 Urban Index (UI). These indices were calculated from cloud-free Sentinel-2a data (see table 135 136 S1, in Supplementary Material for details) at a spatial resolution of  $\approx 10$  m (resampling to 10m, 137 where necessary). Whilst NDVI alone is not always a good discriminant of different vegetation 138 types, e.g. trees and grass, other spectral indices can be (e.g. NDWI, Szabo et al., 2016), and 139 when multiple indices are combined, broad land cover classes, such as built up land, roads, 140 grass and trees can be isolated (Duan et al., 2019).

We used unsupervised k-means clustering (kmc) to classify land cover into 10 classes, which were then assigned to one of four broad categories of urban land cover (after Jones et al., 2019), 'Built environment', 'High green' (woody, intensive vegetation, i.e. woodland), 'Low green' (non-woody, extensive vegetation, i.e. grass), 'Blue space' (water), using the True Colour Image (Sentinel-2a, TCI) for reference. Road networks and water bodies, including rivers, were extracted from Open Street Map (OSM), then used to update the classified raster dataset, in case any of these features were not detected in the satellite data.

148

#### 149 Urban Footprint

Accurate urban extents are difficult to derive from administrative definitions (Balk et al., 2004). Many studies relating to urban ES use administrative boundaries to delimit the study area. However, these types of boundaries are of limited suitability for the purpose of assessing urban green and blue space. They are often not representative of the shape or size of the actual urbanised area, and they typically include large areas of woodland, grassland or cropland, which lie outside the urban area and are not part of the urban fabric. To undertake an objective quantitative assessment of urban green and blue space, we used a data-driven

approach, based on the morphology of the urban fabric to define the urban footprint of our fivecase study cities.

We first used 'focal statistics', calculating a mean value within a (100 m x 100 m) 159 neighbourhood region, applied to the 'Built environment' land cover class. We reclassified 160 values of 0.15 and above as Urban. These urban areas were converted from raster into vector 161 data - this threshold was chosen after sensitivity testing, using the TCI band as a reference. 162 In order to identify and 'capture' green and blue space lying within the urban footprint we 163 applied the variable positive-buffer and negative-buffer technique of Jones et al. (2019), to 164 165 simplify the geometry of these polygons, selecting only polygons with an area greater than 1 km<sup>2</sup> and retaining only the geometry defining the overall perimeter of each polygon. The 166 resulting urban footprint included all areas of green and blue space within the urban 167 morphology and was used as the study extent for all further analyses. 168

169

### 170 Area calculations of land cover classes

Areas (km<sup>2</sup>) of our land cover classes were calculated using polygon representations of the raster land cover dataset. Road networks, extracted from OSM, were used as an erase feature in order to delimit land cover parcels prior to the area calculations of green and blue space. We also created a combined 'Green space' category to aid interpretation, by merging the two vegetation classes using the dissolve function.

176

#### 177 Data on pressures

In this study, we looked at two key urbanisation-related pressures (heat pressure and PM<sub>2.5</sub>
pollution), with major health impacts (Jayasooriya et al. 2017; WHO 2018) using the following
data: To estimate land surface temperature, we used Landsat satellite observations
downloaded from USGS hub (https://earthexplorer.usgs.gov/). We used Landsat 8 OLI/TIRS

182 C1 L1 data and selected only imagery that had less than 10% cloud coverage. We analysed 183 an 8-day composite from the hottest month of the year (2018) in Google Earth Engine (GEE) 184 platform. First, we resampled all spectral bands into 30 m resolution, then, calculated land 185 surface temperature after Sobrino, et al. (2004):

186 
$$LST (°C) = \frac{ABT}{1 + (\lambda + T/\rho)ln\varepsilon}$$
(1)

187 Where ABT is the atmosphere brightness temperature,  $\lambda$  is a wavelength and  $\rho$  =hc/k (1.438 188 x 10<sup>-2</sup>mk), where h is Planck's constant (6.626 x 10<sup>-34</sup>J/S), c is a velocity of light, k is Boltzman's 189 constant (1.38x10<sup>-23</sup> J/K), and  $\varepsilon$  is a surface emissivity ( $\varepsilon = 0.004 * Pv + 0.989$ ) - in which Pv 190 is the proportion of vegetation derived from maximum and minimum NDVI values.

For PM<sub>2.5</sub> we used the most up-to-date global dataset available at a suitably high resolution,
2016 PM<sub>2.5</sub> concentrations from MODIS, MISR and SeaWiFS Aerosol Optical Depth (AOD)
with GWR (van Donkelaar et al., 2018).

194

#### 195 Socio-economic data

The gridded population data for all five cities (people per pixel) are produced using a dasymetric modelling approach, using a Random Forest estimation technique to redistribute population count data, described in Stevens et al. (2015). Data for 2015 were used for all cities, except Leicester (2011). The data for Leicester was at a spatial resolution of 10 m, wheras data for other cities were at approximately 100 m (3 arc-seconds).

The gridded poverty data for Dhaka and Zomba (30 arc-second resolution) are created using Bayesian model-based geo-statistics in combination with high resolution gridded spatial covariates, applied to 2011 geo-located household survey data (Demographic and Health Survey, and Living Standards Measurement Study, respectively). The poverty indicator metric for Dhaka is likelihood of living in poverty (less than \$2.50 per day) and the indicator for Zomba is the proportion of residents living in poverty (less than \$2 per day). Poverty data for the other

three cities were not available in gridded format, so figures are given at city district level (lower layer super output area, in the case of Leicester). The poverty indicator data for Kigali is the proportion of the population in poverty (less than 159,375 RWF per year), in 20013-14. For Medellin, the data are mean monthly income (2018), per city district. The income data were rescaled from zero to one and then inverted (i.e. 1 minus rescaled data), to represent prevalence of poverty. For Leicester, the poverty indicator used is the Index of Multiple Deprivation.

214

#### 215 Quantification of Ecosystem Services (ES) provided by urban green and blue space

Air pollution removed (PM<sub>2.5</sub>) by UGI was calculated using methods derived by re-analysis of 216 data from Jones et al. (2017; 2019). A meta-model was created in the form of two regression 217 equations to calculate quantity of  $PM_{2.5}$  pollution removed by woodland, and the resulting 218 change in  $PM_{2.5}$  concentration. For the first equation, analysis showed that pollution removal 219 220 was linearly related to amount of woodland, but efficiency varied according to PM2.5 concentration. Therefore, we simplified the response variable to pollution removed per hectare 221 of woodland, resulting in the following equation in which PM<sub>2.5</sub> concentration is the only 222 predictor variable. This calculation can be used to calculate PM<sub>2.5</sub> removal rate of any sized 223 224 area of woodland:

225

$$PM_removal_rate = 1.1664 * PM_conc + 0.4837$$
 (2)

226 Where *PM\_removal\_rate* is quantity of PM<sub>2.5</sub> removed per unit area of woodland per year (kg 227 ha<sup>-1</sup> yr<sup>-1</sup>), and *PM\_conc* is the concentration of PM<sub>2.5</sub> ( $\mu$ g m<sup>-3</sup>)

The second equation calculates the change in  $PM_{2.5}$  concentration that occurs as a result of pollution removal through dry deposition processes, and is a function of the proportion of woodland in an area, the initial concentration of  $PM_{2.5}$ , and an interaction term between those two factors. Since a realistic change in pollutant concentration can only be achieved with vegetation over a large area, this equation is designed to be used at a city scale using average PM concentration and overall proportion of woodland. Taking account of spatial location of beneficiaries and pollutant exposure within a city could be achieved by calculating a population-weighted average PM<sub>2.5</sub> concentration as an input to the equation. In this example, we used a city average PM<sub>2.5</sub> concentration, and percentage of woodland across each city.

237 Change\_PM\_conc = - 0.0318 \* PM\_conc - 0.1112 \* Log10WoodPC - 0.054 \*
 238 PMxLogWood + 0.0832 (3)

Where *Change\_PM\_conc* is the change in  $PM_{2.5}$  concentration (µg m<sup>-3</sup>), *PM\_conc* is the initial PM<sub>2.5</sub> concentration (µg m<sup>-3</sup>), *Log10WoodPC* is the Log10 of the percentage of woodland (percentage +1%, to avoid very low values) in the relevant area, and *PMxLogWood* is PM\_conc multiplied by Log10WoodPC.

We used our "high green" land cover classification to represent woodland, and PM<sub>2.5</sub> concentrations (spatial mean within the urban footprint) were taken from the global dataset (van Donkelaar et al., 2018), with a spatial resolution of 0.01 decimal degrees (approx. 1 km at the equator).

Cooling effects were estimated by applying the methods of effec et al. (2017), calculating relative coverage of each land cover type, multiplying by the respective land cover cooling coefficients and then summing all three values. We adjusted our cooling coefficients for high green land cover, proportionately, to mirror the climate effects observed by Morakinyo et al. (2017), assigning Dhaka and Zomba as 'hot humid' climate type, Kigali and Medellin as 'warm humid' climate type, and Leicester as 'temperate' climate type.

Due to the growing body of evidence supporting the positive relationship between access to green space and physical and mental health and well-being (H&W), we used 'access to green space' as a surrogate measure for the H&W benefit of urban green space. A number of metrics are used to quantify access to public spaces (e.g. Natural England, 2010; Wolch et al., 2011; Dadvand et al., 2012; Amoly et al., 2014; Bertram & Rehdanz, 2015; WHO, 2016). We used the indicator adopted by WHO which quantifies the population within a defined region living

within 300 m radius (straight-line distance) of an open space of minimum size 0.5 ha (WHO, 2016). In our study, we applied a 300 m buffer to merged green space polygons with final minimum areas of  $\geq$ 0.5 ha, counting the number of people within that buffer. Population data was derived from population distribution grids (see table S2 in Supplementary Material for details).

264

#### 265 Mapping weighted demand, reflecting socio-economic context

The conceptual approach for calculating demand is shown in Fig. 2 and represents the 266 principles that: more people equals greater impact, higher prevalence of poverty equals 267 greater impact, and higher pressure equals greater impact. This draws on Vulnerability 268 269 assessment, where the population (number of people in an area) is equivalent to exposure, 270 and social factors such as poverty or age bracket represent sensitivity. Adaptive capacity is not represented in this context since that should cover both social and environmental 271 adaptation. Therefore, weighted demand was calculated by multiplying rescaled population 272 273 and poverty data by the rescaled pressure data, to give an equally-weighted output. In the 274 scaling procedure, PM<sub>2.5</sub> and heat pressure data were rescaled (i.e. values of 0-1, based on 275 min and max values in raw data within the urban footprint). The same procedure was applied to population and the poverty data (or equivalent indicator - see table S2). As there were no 276 277 suitable pressure datasets for H&W, we combined the standardised population and prevalence of poverty data to represent a weighted demand, on the basis that higher 278 prevalence of poverty is associated with lower health and well-being. 279

280 [Fig.2 here]

281

#### 282 Mapping of ES supply

ES supply was calculated, e.g. the amount of pollution removed, the cooling provided using the methods described above, and based on the location of the relevant UGI (i.e. that which

285 is providing the service). Focal statistics were used to characterise the area surrounding each raster cell to identify areas potentially benefitting from each service. For PM<sub>2.5</sub> removal, we 286 applied a neighbourhood of 500m radius, based on other PM air pollution-related studies (e.g. 287 288 Lei et al., 2018; Vivanco-Hidalgo et al., 2018; Wu et al., 2018; Chen et al., 2019). For cooling, 289 supply was calculated as a proportion of the maximum possible (i.e. 100% high green cover) within a neighbourhood of radius 500 m (for consistency with PM removal). A number of the 290 health and well-being benefits of green space involve being physically located at, or near to, 291 292 the green spaces in question. For consistency with the WHO definition for accessible greenspace, we quantified the proportion of green land cover within a circular neighbourhood 293 294 of radius 300 m.

295

#### 296 Results

#### 297 Urban footprints

For all cities, the derived urban footprint based on urban morphology is substantially smaller 298 than the administrative boundary (Table 1 and Fig. 3). Large areas of green space 299 300 surrounding the built-up 'urban' core of the cities (mainly comprising farmland, forest and scrub) are excluded from the analysis, which is focussed on urban green and blue spaces. It 301 is also worth noting that the area of non-urban greenspace beyond the urban footprint varies 302 considerably between cities, with the urban footprint occupying between 21% for Kigali and 303 98% for Leicester. Most of the urban footprints have multiple parts (a maximum of seven -304 Kigali), representing the sometimes discontinuous nature of the urban fabric within the 305 306 administrative boundaries.

307 [Table 1 and Fig. 3 here]

308

309 Relative proportions of land covers

310 Despite the large variation in the size (Table 1) and historical development (Appendix I and Supplementary Material) of the five case study cities, there is relatively little variation in the 311 proportional coverage of combined green and blue space (~15% variation). Most of the cities 312 have very small proportions of blue space, although Dhaka with 5% has substantially more 313 314 than the others. The two African cities, Kigali and Zomba, maintain noticeably more low green space than the other cities (between 12% and 15% more than the next highest). Most striking 315 316 is the considerably higher proportion of high green coverage in Medellin, which has 13% 317 coverage by area (a full 10% more than the next highest), despite having the lowest combined 318 green and blue space coverage (only 35%).

319

#### 320 Urban Green and blue space benefits

Variation in the PM<sub>2.5</sub> removal figures are broadly in proportion to ambient atmospheric concentrations (Table 2), although noticeable deviations from this trend are observed in Medellin and Zomba, due to their respectively higher and lower proportional urban woodland cover values – PM<sub>2.5</sub> removal being solely attributed to this class of land cover class.

#### 325 [Table 2 here]

Mean estimated cooling effects of urban green and blue space (Table 2) are similar for Dhaka, 326 Kigali and Zomba, when averaged across their entire urban footprint, with cooling effects 327 328 between 0.6 °C and 0.65 °C. Leicester's urban green and blue space was estimated to provide a smaller cooling effect (0.44 °C) due to its temperate climate, in which urban woodland 329 contributes less to the overall cooling effects. Medellin saw the largest cooling effect from 330 331 urban green and blue space, of the five cities. This is because Medellin has a significantly higher proportion of the most effective land cover class for cooling (high green) relative to the 332 other cities. 333

In terms of access to combined green space (i.e. high and low green aggregated, Fig. 4), all cities score highly, with a minimum of 84% of the urban footprint population (Dhaka) (Fig. 4b)

and 92% of the total urban footprint (Dhaka and Medellin) (Fig. 4a) within 300 m of a parcel of
green space at least 0.5 ha in area. When looking only at high green space, differences are
more apparent. In Medellin, over 50% of the urban footprint population and 54% of the total
urban footprint have access to high green, whereas the figure for the other cities lies between
17% and 25%.

341 [Fig. 4 here]

Overall, the differences in the proportion of combined green and blue space vary rather little between the five cities, by a maximum of a factor 1.5 (Table 1). However, the amount of service provided by this green and blue space shows much greater differences between cities. The largest difference is for pollution removal, where the estimated change in concentration due to vegetation differs by more than a factor of six between Zomba and Dhaka. The other two services, cooling by green and blue space, and access to greenspace differ by substantially lower amounts.

349

350 Spatial patterns in pressure, weighted demand, and ES supply

The spatial patterns of pressure, demand, and (potential) supply vary within cities and between different pressures within a city:

353 In Dhaka (Fig. 5), there is a strong gradient in PM<sub>2.5</sub> pressure, with the highest values in the North of the city diminishing in a Southerly direction. Heat pressure is more dispersed, with 354 355 multiple focal points. For demand, there is an intense hotspot of demand for  $PM_{2.5}$  removal in 356 the far North of the city, while both H&W and cooling demand are greatest in a relatively small area in the south of the city. The supply of PM<sub>2.5</sub> removal is mainly concentrated in one area 357 in the north-central region of the urban footprint. This region corresponds with the city airport, 358 359 around which there are numerous trees. There are also a number of more intense pockets of supply in a general north-south band, through the centre of the city. Supply of cooling and of 360 361 H&W mirrors the pattern of supply of PM<sub>2.5</sub> removal, but H&W values are typically higher.

362 In Kigali (Fig. S6), the high values of both PM<sub>2.5</sub> and heat pressures are greatest in the centre of the city, diminishing with distance outwards. Some of the smaller parts of the urban footprint 363 also have elevated levels of these pressures, particularly those in the east. The demand for 364 PM<sub>2.5</sub> removal, cooling and H&W are all highest around the western districts and are 365 366 particularly intense around the nearby intersection of three major roads. Demand for all ES is lowest around the large green areas in the north of the urban footprint. The supply of  $PM_{25}$ 367 and cooling have similar distributions to one another, following the pattern of green space 368 369 distribution seen in Fig. 3, with higher values around the north-central part of the main urban 370 footprint. Supply of H&W benefits are particularly high in the same areas, but also in the 371 Southern fringes of the main urban footprint, as well as the separate, smaller parts of the urban 372 footprint.

373 In Leicester (Fig. S7), PM<sub>2.5</sub> and heat pressure distributions follow similar patterns, higher 374 values in a north-south band following the centrally located river, extreme high values more common towards the northern and the southern ends. The demand for PM<sub>2.5</sub> and cooling share 375 376 a similar distributional pattern, broadly following those of the pressures, but these are refined 377 by the socio-economic data, creating dispersed pockets of intense demand. H&W demand 378 follows the same pattern, although the pockets of high intensity demand do not diminish with 379 distance from the central river. The supply of all three ES follow a consistent pattern but vary 380 in degrees of intensity, with lowest levels of PM<sub>2.5</sub> removal supply, increasing up to a maximum 381 with H&W supply. Higher values are distributed around the periphery of the urban footprint, with lower values dominating the city centre. 382

In Medellin (Fig. S8), the pressures of  $PM_{2.5}$  and heat are both highest around the central transport artery (running from north to south), values diminishing with distance from this central line - more so to the east, where the terrain becomes steeper towards the edge of the urban footprint. Since high levels of  $PM_{2.5}$  and heat pressure are fairly evenly distributed, the patterns of demand are more strongly influenced by the poverty data, which is recorded at district level and generally shows higher values in the west and the north of the city.  $PM_{2.5}$  removal demand

and cooling demand are therefore highest in an outer band skirting the centre of the urban
footprint. The distributional pattern of H&W demand follows the same pattern, but higher
values are more prevalent. The supply of all three ES share a similar distributional pattern.
Central areas typically show low levels of supply, with the exception of a centrally located park,
whereas fringes of the urban footprint have higher values - particularly areas in the west of the
city.

In Zomba (Fig. S9), PM<sub>2.5</sub> pressure is highest in the north of the city and diminishes in a south-395 easterly direction, whereas heat pressure is widespread, but with elevated values in the far 396 397 west of the city, the south-east of the main part of the urban footprint and the far north east of the city. The demand for  $PM_{2.5}$  removal and cooling is most intense in the far northeast of the 398 urban footprint, around a major road. Demand for H&W follows the same pattern as demand 399 for the other two ES, but with a general greater prevalence of higher values. The supply of 400 401 PM<sub>2.5</sub> removal and cooling is largely confined to the western end of the eastern part of the urban footprint. This region comprises a relatively green university campus. The distribution 402 403 of the supply of H&W is broadly the inverse of its demand, with lower values around the centre 404 of the main urban footprint and the smaller eastern part.

As an overall comparison across cities, the amount and distribution of demand and service supply primarily reflect the combinations of intensity of the pressure, spatial patterns of demand, and the amount and type of UGI which is able to provide varying levels of ecosystem service to meet that demand. Each city has its own characteristics, and there is no consistent separation of the cities of the Global South from Leicester in the UK.

410 [Fig. 5 here]

- 412 Discussion
- 413 Urban Footprint

We chose to focus on urban green and blue infrastructure, rather than all green and blue 414 infrastructure within an administrative region, so it was necessary to define the urban footprint 415 based on the built environment. The difference in area of the administrative boundaries and 416 their respective urban footprint highlights the importance of defining UGI in an objective way. 417 418 The observed range just within these five cities, from 21% - 98% coverage of urban footprint within the administrative area, suggests that comparisons which only use administrative area 419 may greatly over-estimate the amount of effective urban greenspace for many cities. This 420 approach focusing on urban footprint is consistent with the definition of urban used for 421 calculation of Sustainable Development Goal indicators for urban areas, e.g. SDG 11.7.1 on 422 423 accessible open space (UN, 2015).

In this study, the administrative boundary was used to clip the continuous urban footprint for 424 some cities in order to make best use of associated socio-economic data. Where other urban 425 426 areas lie immediately adjacent to the boundary itself, or are continuous beyond that boundary, this may have two effects related to use and potential supply of ecosystem services lying either 427 side of the boundary. Firstly, other UGI outside the boundary may benefit some of the 428 population within the study area, while conversely UGI within the study area may provide 429 430 additional benefit to adjacent urban areas. This provides a justification for a joined-up consultative approach to city planning, particularly where boundaries are strategically 431 important, otherwise the risk is that fringe areas 'fall through the gaps' and are not 432 433 appropriately considered in plans.

434

#### 435 Ecosystem service supply

Although the overall proportion of combined UGI varied relatively little between our study cities, the amount of service that these areas provided showed larger differences. This illustrates primarily that UGI does not provide the same amount of service in every location, and therefore a context-specific analysis is required when assessing the benefits that it

440 provides, not just a simple look-up table that is applied without discretion in all locations, which 441 is unfortunately applied rather frequently (Campagne et al. 2020). This analysis shows that a 442 context-specific analysis is possible with globally available datasets. For the pollution removal, 443 this is partly because trees become more efficient at removing pollution when concentrations 444 are higher (Nemitz et al. 2020), but the spatial context to the analysis plays a role in all three 445 services in determining the level of benefit that can be attained.

446

## 447 Weighted demand

448 Our weighted demand metric provides a more useful and tractable representation of demand for mitigation than simplistic depictions of pressures (e.g. PM<sub>2.5</sub> concentrations) as it 449 incorporates the human element, both in terms of exposure (i.e. number of people) and 450 sensitivity (i.e. poverty). Similar approaches are now being applied in some cities, for example 451 452 to inform performance planning of UGI to meet pre-specified objectives (Cortinovis & Geneletti 2020). Our results highlight that demand for different green intervention types can have 453 different, and sometimes overlapping, spatial distributions. Differential spatial accessibility of 454 greenspace has been shown in some studies, e.g. in Wuhan, China, accessibility to woods 455 456 and parks differed in central city areas compared with the outskirts (He et al. 2020). Characterising the spatial pattern of demand is critical for addressing issues of inequity of 457 access to UGI benefits, as the importance of environmental justice is increasingly recognised 458 in urban planning (Wolch et al. 2014; Hunter et al. 2019; Langemeyer & Connolly 2020). As a 459 result, it can help identify optimal locations for interventions, allowing decision makers to 460 prioritise and obtain more effective outcomes, within a context of competing demands for 461 budgets. It also allows effective design of interventions and management of trade-offs. For 462 instance, trees are routinely planted to provide shade, to mitigate against urban heat problems, 463 and to remove air pollution. However some tree species (e.g. eucalyptus) produce large 464 quantities of Biogenic Volatile Organic Compounds (BVOCs), including isoprene, which can 465 466 enhance the formation of secondary air pollutants, including PM and ozone (Yang et al., 2015).

Dhaka authority have previously planted Eucalyptus species for shading purposes (Ali, 1996). If they were to plant these trees in the north of the city, where there is elevated demand for both PM removal and cooling (see Fig. 5, panels A and E), the high output of BVOCs could potentially exacerbate the PM<sub>2.5</sub> problems.

471

#### 472 Differences across cities

473 Relatively few assessments have been run on cities in the Global South, so the comparison 474 of service provision among cities and with a European city is instructive. Despite widely 475 different levels of pressure (e.g.  $PM_{2.5}$  concentrations varying by nearly an order of magnitude) 476 overall levels of service provision and proportions of UGI are broadly similar among cities. This suggests that the capacity for UGI to provide a service may be limited, and their 477 contribution to mitigate extreme levels of pressure can not be considered a sole solution. 478 479 Nonetheless, large variations in wealth and the ability to control one's own living conditions may mean that UGI in poorer neighbourhoods can achieve much greater benefit than in richer 480 neighbourhoods where residents can afford to implement technical solutions in their homes to 481 counter urban pressures such as heat and air pollution (Adegun 2017; de Souza Silva et al. 482 483 2018).

484

## 485 *Reflections on the study approach*

In this study we used broad classes of UGI, however further disaggregation of vegetation types would allow more accurate estimates for services that are reliant on the structure or type of vegetation. For example, cooling is influenced by leaf area index and structure of vegetation, described as vegetation intensity in some studies (see Morakinyo et al., 2017). Fine resolution estimates of vegetation canopy (e.g. from LiDAR) would enable calculation of vegetation height and volume, which would be a major step towards providing the basis for such disaggregation. Taking into account different vegetation types through additional land cover

493 classes would also help improve estimates of air pollution removal which differ between494 deciduous trees and evergreen trees (Jones et al. 2017).

We used Sentinel-2a data, with a horizontal resolution of 10m. Although this is relatively fine 495 496 resolution, it is still likely to underrepresent tree cover, in particular where trees are sparsely distributed. The implication of this is that pollution removal, relying entirely on high green land 497 cover, is under-estimated, but probably not cooling effects because this requires a minimum 498 threshold area of woodland to be effective (Yu et al., 2020). Rooftop gardening has become 499 500 popular in Dhaka city, with approximately 36% of rooftops used for gardening and vegetation 501 cultivation (Uddin et al., 2016). This form of green space will also likely be underrepresented in the land cover map, as the continuous area of these types of vegetation are typically much 502 smaller than 10 m by 10 m. Further work on detection ability of satellite-derived NDVI would 503 be highly valuable. 504

505 The H&W benefits provided by green space, as a venue for various activities (e.g. physical 506 exercise, social interactions, etc.), is depend to a large extent upon public access. Regardless 507 of the spatial resolution of remotely sensed data, public accessibility cannot be detected (Andries et al. 2019), which means that estimates of H&W based solely on such data must 508 rely on the broad assumption that all green space is publicly accessible. Such assumptions 509 510 will rarely be valid, as areas where the supply of ES are highest are not necessarily accessible. For instance, in Dhaka, the main hotspot for the supply of all our mapped ES (See Fig. 5 C, F 511 & H), is a military restricted area that is not accessible to the general public. Other important 512 factors, such as management and upkeep of these spaces, as well as the presence of 513 514 amenities (e.g. cafes, public toilets, water fountains, etc.) are important factors in determining some components of useability (Wendel et al., 2012). Open spatial data identifying publicly 515 accesible areas would be a valuable resource for quantifying the benefits of public UGI, as 516 well as having the potential for increasing these benefits through informing the public of the 517 availability of such venues. The supply and demand representation presented here could 518

provide an effective focal point for local authority engagement by underscoring the multiple
benefits of expanding accessability to these resources.

521 Use of global datasets allows consistent and objective comparisons of study cities, however 522 they are typically the product of generalisation and may omit more localised, or fine-grain, patterns. For instance, the PM<sub>2.5</sub> dataset used in our study indicates that mean concentrations 523 for Medellin are relatively low, at around 7 ug/m<sup>3</sup>, however this is a substantial underestimate 524 of concentrations experienced on the ground, which are nearer to 25 ug/m<sup>3</sup> (del Pilar et al., 525 2019). Air quality is often monitored at relatively few sites and may be subject to a number of 526 527 sources of bias (e.g. monitoring stations only at locations of high concentration), which limit their utility in spatial analysis of supply and demand. Socio-economic datasets vary 528 considerably between countries and cities in terms of which data are publicly available, at what 529 spatial or administrative resolution, and how up-to-date the datasets are. Of these datasets, 530 531 simple population data is arguably the most important, where it is available at census levels below that of entire city. This is because benefits are experienced by people. Beyond simple 532 population, further breakdown according to socio-economic groups or proxy measures of 533 534 wealth or deprivation, and breakdown according to age groups, both serve as ways to further 535 differentiate risk among population to different groups. These risks may be different for 536 particular pressures. For example age is an important risk factor for heat impacts (e.g. 537 Gasparrini et al., 2012), and deprivation is important for air pollution (e.g. Cesaroni et al., 2013). 538

539

#### 540 Conclusions

The approach outlined here, which focuses on urban footprint, avoids the inconsistencies which can arise from using administrative boundaries that include large areas of non-urban land cover. The approach also takes into account the location of green and blue space, and the exposure and vulnerability of the population to pressures associated with urbanisation. Together, this enables more accurate assessments of UGI, providing better information to

546 planners and policy-makers. In relation to equity and environmental justice issues, this 547 specifically allows planners to identify opportunities to redress socio-economic inequities, 548 which might otherwise be missed – or worse, exacerbated. Thus, the approach outlined here 549 can help prioritise interventions to improve both health and well-being, and the natural 550 environment, by understanding the spatial relationships between service supply and demand.

551 Whilst the methods described here represent a useful development, further improvements in 552 land cover classifications and data availability (particularly around public accessibility of land 553 and socio-economic indicators) would improve the quality of information that can be provided 554 to planners and policy-makers through this kind of analysis.

555

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560

#### 561 Appendix

562 *I: Case study city summaries:* 

Dhaka (population 19,578,000 – UN, 2018). The capital and largest city of Bangladesh, Dhaka 563 is one of the largest and most densely populated cities in the world. It has a tropical, hot, humid 564 climate and is located on the flat, low-lying, lower reaches of the Ganges delta, making it 565 566 particularly vulnerable to sea level rise and flooding. A mega-city, Dhaka has been inhabited since the first millennium. It is a city of global strategic importance, which has experienced 567 rapid population growth since the 1970s; although growth has diminished in more recent 568 569 years, it is still very high (37.7% 2019). This persistent growth is driving urbanisation and is 570 reflected in the city's continued spatial expansion (Roy et al., 2019).

571 Kigali (population 1,058,000 – UN, 2018). The capital and largest city of Rwanda, Kigali has recently grown beyond 1 million people (with city boundaries expanded). It has a tropical, 572 warm, humid climate and is located in a hilly landscape sprawling across four ridges, 573 separated from each other by large valleys. Rapid hydrologic responses from highly urbanised 574 575 sub-catchments in the city, in combination with poor drainage infrastructure management and lack of flood management knowledge, make flooding a major issue. Urban development often 576 gives rise to dramatic changes in urban land use, where natural green spaces are removed 577 578 and replaced with impervious built-up surfaces. There are plans for further development (2040 masterplan) including skyscrapers, pedestrian walkways and green spaces. 579

Leicester (population 354,000 – ONS, 2017). The UK city of Leicester is the most populous municipality within the East Midlands region and the 11th most populous in England. It has a temperate climate and is centred on the banks of the River Soar on flat to gently rolling terrain. One of the oldest cities in England, with a history going back at least two millennia, Leicester is a city with a historically moderate rate of population growth that has increased somewhat in recent decades.

586 Medellin (population 3,934,000 – UN, 2018): Medellin is the second largest city in Colombia, 587 after the capital, Bogota. It has a tropical, warm, humid climate and is located within a narrow 588 valley at approx. 1,500 m.a.s.l (60 km long and 8 to 10 km in its wider part). With its 589 surrounding area containing nine other cities, the metropolitan area is the second largest 590 agglomeration of population and economy (nearly four million inhabitants), in Colombia. 591 Medellin was nominated for 'most innovative city of the year' in 2012 and won the award in 592 2013. Much new development is both planned and ongoing.

Zomba (population 105,000 – NSO, 2018). Zomba was the capital of Malawi until 1974, when this status was transferred to Lilongwe. It has a tropical, hot, humid climate and is located along the banks of the Mulunguzi River at the foot of the Zomba Plateau, an escarpment that rises to some 1800m. Although relatively small, Zomba is steadily growing (1977 - 24k, 2018 - 105k) and is now the fourth largest in Malawi.

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#### 856 Figure Legends

- Figure 1. Locations of the five Case study cities. Images from Google Earth (31 March 2020).
- 858 Figure 2. Conceptual approach to deriving 'weighted demand' for ES. Higher numbers of people, higher
- 859 levels of poverty and higher levels of pressure all lead to increased demand.
- 860 Figure 3. Land Cover maps for A) Dhaka City, B) Kigali City, C) Leicester City, D) Medellin City, E)
- 201 Zomba City, and F) the true colour satellite imagery for Zomba City (for reference with 'E') showing the
- 862 administrative boundary and the urban footprint.
- Figure 4. Access to green spaces and to high green spaces, of minimum 0.5 ha, calculated as % of urban footprint (A), and % of population (B), within 300 m.
- 865 Figure 5. Dhaka Mapped pressures, ES supply and weighted demand. Panels depict: A) PM<sub>2.5</sub>
- pressure, B) PM<sub>2.5</sub> weighted demand, C) PM<sub>2.5</sub> removal service supply, D) Heat pressure, E) Cooling
- 867 weighted demand, F) Cooling service supply, G) H&W weighted demand, H) H&W service supply.
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## 880 Figures



*Figure 1.* 



*Figure 2.* 



889 Figure 3.



## 892 Figure 4.



895 Figure 5.

## 899 Tables

900 Table 1. Urban footprint (UF) areas, the percentage they occupy of the administrative boundaries, and

901	the % land cover types of the UF area, for each of the five cities.	
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City	UF Area (km²)	UF as % of Admin Area	High green	Low Green	Blue space	Combined Blue/greer space
Dhaka	209.2	70.0%	3.1%	32.9%	4.52%	40.6%
Kigali	156.6	21.5%	2.5%	47.7%	0.13%	50.3%
Leicester	64.5	97.9%	3.5%	33.6%	0.52%	37.6%
Medellin	117.8	31.8%	13.1%	21.7%	0.06%	34.9%
Zomba	16.2	38.7%	2.4%	45.2%	0.03%	47.7%

- 917 Table 2. Ecosystem service values for PM<sub>2.5</sub> removal and cooling provided by urban green and blue
- 918 space, for each of the five case study cities. Ambient PM<sub>2.5</sub> and maximum daily temperatures for 2018
- 919 also provided for information.

City	PM <sub>2.5</sub> removed by woodland (kg/yr)	Estimated change in PM <sub>2.5</sub> due to trees (µg/m³)	Aggregate cooling effect (°C)	Ambient PM₂.₅ (µg/m³)	Max daily Temp (2018) (°C)
Dhaka	48,402	-4.12	-0.63	63.58	37
Kigali	11,368	-1.49	-0.6	24.73	30
Leicester	3,265	-0.83	-0.44	12.53	33
Medellin	13,164	-0.73	-0.98	7.3	31
Zomba	488	-0.62	-0.65	10.6	36

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