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1 **A GIS-based approach to delineating the areas of a lake that are suitable for**
2 **cage fish culture**

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12

13 **Abstract**

14 We present a GIS-based approach to the delineation of areas that have different levels of suitability
15 for use as tilapia cage culture sites the Kenyan part of Lake Victoria, Africa. The study area was
16 4,100 km². The method uses high-resolution bathymetric data, newly collected water quality data
17 from all major fishing grounds and cage culture sites, and existing spatial information from
18 previous studies. The parameters considered are water depth, water temperature, levels of
19 dissolved oxygen, chlorophyll-*a* concentrations, distances to the lake shoreline and proximity to
20 other constraints on cage culture development. The results indicated that the area most suitable for
21 fish cages comprised about 362 km², or approximately 9% of the total area; the remaining 91%
22 (i.e. 3,737 km²) was found to be unsuitable for tilapia cage culture. We conclude that the successful
23 implementation of this approach would need stakeholder involvement in the validation and

24 approval of potential sites, and in the incorporation of lake zoning into spatial planning policy and
25 the regulations that support sustainable use while minimising resource use conflicts. The results of
26 this study have broader applicability to the whole of Lake Victoria, other African Great Lakes, and
27 any lakes in the world where tilapia cage culture already occurs or may occur in the future.

28

29 **Keywords:** Lacustrine; cage culture; wild fisheries; spatial planning; management; sustainability.

30

31 **1 INTRODUCTION**

32 Lake Victoria lies within the borders of Kenya, Uganda, and Tanzania, with each country
33 controlling 6%, 45% and 49% of its surface, respectively. The whole lake covers an area of
34 59,947 km² and has an average depth of 40 m, a maximum depth of 80 m, and a shoreline of 7,142
35 km (Hamilton, 2018). It hosts one of the largest freshwater fisheries in the world, providing a
36 significant source of protein in East Africa and exporting fish to the European Union, United
37 States, China and Japan (Sitoki et al., 2010; FAO, 2016).

38 The area around Lake Victoria is also characterised by a rapidly growing population
39 (United Nations Population Division, 1995; CIESIN, 2017). This increased from 4.6 million
40 people in 1932 to 42.4 million people in 2010, and is expected to rise to about 76.5 million people
41 by 2030 (Bremner et al., 2013). This rapid growth in population has been associated with higher
42 levels of poverty, with lake shore residents becoming the poorest and most food insecure of any
43 communities within the Lake Victoria Basin (LVB) (Abila, 2003). This problem has been
44 exacerbated by recurrent droughts, crop failures, and environmental degradation, all of which have
45 reduced levels of food production (Abila, 2003).

46 Although the LVB is rich in natural resources such as minerals, forests, wetlands and
47 wildlife, the fishery is the primary source of income and food security for tens of millions of people
48 that live around its shoreline (Ochumba & Kibaara, 1989; Lung'ayia et al., 2001; Verschuren et
49 al., 2002; Hecky et al., 2010; Sitoki et al., 2010; Kundu et al., 2017; Sitoki et al., 2012). In 2014,
50 the value of this fishery was estimated to be about USD 650 million per year (Weston, 2015).
51 However, its productivity is now being affected by a decline in the natural fish stocks of the lake,
52 probably as a result of overfishing and illegal or unregulated fishing activities (Njiru et al., 2018b).
53 So, while the demand for fish protein has increased due to population growth (Aura et al., 2019),
54 there are now too many fishers chasing too few fish for capture fisheries, alone, to support the
55 local economy (Njiru et al., 2018a).

56 The African Union Policy Framework and Reform Strategy for Fisheries and Aquaculture
57 calls for lakes and reservoirs to be used to their full potential to generate wealth, deliver social
58 benefits and contribute to food security through market-led sustainable development strategies
59 (FAO, 2016). With wild stocks dwindling, commercial interests are now focusing on the possible
60 development of a lacustrine aquaculture industry to help to supplement capture production in lakes
61 such as Lake Victoria, Lake Tanganyika, Lake Kariba, and Lake Kivu (Beveridge & Phillips,
62 1993; Berg et al., 1996; Aura et al., 2018a). Initial results suggest that this could be a viable
63 economic venture (Aura et al., 2018a) and aid agencies within Kenya are now supporting cage
64 culture activities that are targeted explicitly at Lake Victoria (MSINGI, 2018).

65 Cage aquaculture has the potential to increase fish yield in Lake Victoria without damaging
66 wild stocks (Lwama, 1991; Kashindye et al., 2015); it can also overcome some of the conventional
67 constraints associated with more traditional systems, such as pond culture (Aura et al., 2018a).
68 While it is standard practice in the marine waters of developed countries and the emerging

69 economies of South East Asia (Garcia de Souza et al., 2013), it is a relatively nascent industry on
70 Lake Victoria and across the wider African Great Lakes (AGLs). Using cage aquaculture to meet
71 future demands for fish protein from a rapidly growing population will require the rapid expansion
72 of this industry. To do this effectively, sustainable management and utilisation of lake resources
73 will be essential.

74 Within the Kenyan part of Lake Victoria, there are currently about 60 cage culture firms
75 operating 4357 (mostly floating) fish cages (Hamilton et al., 2020) most of which are rearing Nile
76 tilapia (Aura et al., 2018a). Many of these cages are located within 200 m of the shoreline, which
77 provides fish farmers with ease of access and potential for close supervision, and shelters these
78 installations from potentially damaging winds and currents (Njiru et al., 2018b). However, if cages
79 are sited in shallow areas that act as nursery and breeding grounds for wild fish, they can pose a
80 threat to natural fish populations. While some of these regions are demarcated as breeding zones
81 and are, thus, protected from fishing (Njiru et al., 2018b), they are not protected from cage farm
82 developments. Cage fish farming can also result in conflicts with other uses of the water resource,
83 such as fishing, recreation, transport, water abstractions, cultural practices and hydro-power
84 generation (Aura et al., 2018a).

85 The rapid expansion of cage culture by the private sector in the Kenyan part of Lake
86 Victoria currently lacks a robust and enforceable regulatory framework. Although the East African
87 Community have published guidelines on the development, operation and licensing of cage
88 aquaculture, these have yet to be incorporated into the management of cage fish farming in Kenyan
89 waters. The guidelines provide useful step-by-step processes for establishing cage farms, including
90 obtaining an establishment and operating license, selecting the site, and adhering to basic fish farm

91 management practices and requirements (LVFO, 2018). However, they do not provide a robust
92 procedure for minimising any conflicts in resource use.

93 Any regulatory framework for the sustainable development of cage culture systems needs
94 to be able to protect the environment, support (or at least not harm) the wild fishery and maximise
95 fish yields. This requires a detailed assessment of any proposed site in terms of its potential
96 suitability for development (EL-Sayed, 2006; Aura et al., 2016). Indeed, an ability to make a
97 robust, evidence based, decision on site suitability is likely to be key to the successful and
98 sustainable development of cage culture systems across Kenya (Venturoti et al., 2016; Aura et al.,
99 2018a).

100 Several studies have developed site suitability mapping for a range of aquatic farming
101 activities using methods such as multi-criteria evaluation (e.g., Malczewski, 1999; Buitrago et al.,
102 2005; Radiarta et al., 2008; Aura et al., 2016, 2017) and habitat suitability indices (e.g., Cho et al.,
103 2012). However, most of these have focused on marine systems, with very few having been
104 developed in relation to artisanal fisheries and inland aquaculture systems – especially in
105 developing nations such as Africa (Aguilar-Manjarrez & Nath, 1998). So, there is little existing
106 information on which to base a method for zoning freshwater lakes to support multiple uses that
107 can be understood easily by local fishers. This study aimed to fill that gap in knowledge by
108 developing standardised criteria for mapping the Kenyan part of Lake Victoria in terms its
109 potential suitability for cage fish culture. Suitability was based on water quality, the protection of
110 fish breeding zones and the avoidance of constraints on development, such as water hyacinth
111 hotspots.

112 The current rise in cage culture investments and the haphazard installation of cages, could
113 spell doom for the lake ecosystem unless development is controlled more effectively. This study

114 takes a first step towards providing the evidence base that is needed to support sustainable
115 development in the Kenyan part of Lake Victoria by addressing the following research questions:

- 116 i. Where is development constrained by physical factors that affect cage culture
117 development?
- 118 ii. What is the level of suitability of the remaining areas for cage installations?
- 119 iii. How large is the area that could be designated for other lake based activities to reduce
120 potential conflicts with other uses of the resource?

121 **1.1 Study Area**

122 The Kenyan part of Lake Victoria, which this study is focused on, comprises an area of 4,100 km²
123 that has an average depth of between 6 m and 8 m, and a maximum depth of 70 m (Odada et al.,
124 2004). In this part of the lake, cage culture has been identified as a new socioeconomic frontier
125 that has good prospects for generating income while helping to conserve declining wild fish stocks.
126 Using satellite and drone technologies, this part of the lake was found to contain 4,357 fish cages,
127 covering 62,132 m², in 2019 (Hamilton et al., 2020). The local preference is for cages with
128 dimensions of 2 m x 2 m x 2 m, a stoking rate of 2000 fingerlings per cage and a one cage per
129 farmer concept. This cage size is preferred due to ease of assembling, feeding, monitoring and
130 managing the systems. Larger cages are expensive to make, and difficult to secure and launch on
131 the site.

132 In 2015, the capture fishery landed 118,145 tons of fish with an estimated value of about
133 USD 94.4 million (Aura et al., 2020). In recent years, the rapidly increasing cage culture industry
134 in this area has already been producing about 2,522 tons of fish per cycle with an estimated value
135 of USD 8.83 million (Aura et al., 2018a). This suggests that cage culture is now an emerging and
136 viable economic investment that could support the development of a “Blue Economy” in Kenya.

137 While an increase in adoption of cage culture would provide local communities with prospects of
138 better income and greater food security, the sustainable use of this new technology within the lake
139 remains uncertain.

140

141 **2 MATERIALS AND METHODS**

142 Figure 1 shows a schematic representation of the process used for delineating areas that are
143 potentially suitable for cage fish culture within the Kenyan part of Lake Victoria. In outline, the
144 process involved combining information on the physical constraints on cage development with the
145 water quality preferences of caged tilapia to produce a cage farm suitability map. Existing cage
146 fish farms were then assessed to determine the number that were located within each zone.

147 In general, the field calculator function in QGIS was used to estimate the area (in km²) of
148 each region of interest. Microsoft Excel 2016 was for data entry and cleaning, and SPSS version
149 21 (SPSS Inc., Chicago, IL, USA) and R version 3.5.0 (R Core team, 2014) were used for statistical
150 analyses. The field data collected were compared using the Kruskal-Wallis one-way ANOVA to
151 examine the spatial variations between the data from the control stations and the data collected
152 around the fish cages. The significance level was set at an alpha of 0.05.

153 **2.1 Maps of physical constraints on cage farm locations**

154 The potential development of cage fish farms is affected by a number of physical constraints on
155 their location and development. These include fish breeding grounds, water hyacinth and floating
156 island hotspots, water depth and areas that are too close to the shore. Areas with water hyacinth
157 and moving islands, for example, are unsuitable because they have been found to destroy cage
158 culture installations (Aura et al., 2018a). Although water hyacinth keeps moving around,
159 depending on the direction and strength of winds and water currents, there are specific ‘hotspot’

160 areas where it persists for long periods of time, minimising the space available for cage culture
161 installations during its period of occurrence (Opande et al., 2004; Ongore et al., 2018).
162 Furthermore, areas that are infested with heavy mats of the weed tend to have poor water quality,
163 which prevents the development of cages in these areas (Villamagna and Murphy, 2009). In
164 addition, the decomposition of the large amounts of organic matter that are produced by these mats
165 of water hyacinth leads to an increase in biological oxygen demand and a decrease in dissolved
166 oxygen (DO) levels (Balirwa et al., 2009; Taabu-Munyaho et al., 2016) which threaten the survival
167 of the fish.

168 Digital maps of areas that are designated as fish breeding grounds were available from the
169 study conducted by Aura et al. (2018b), and those designated as water hyacinth and moving island
170 hotspots were available from that of Ongore et al. (2018). Maps of distance to shoreline were also
171 created for the current study. Distance from the cage culture location to the shoreline is important
172 because it affects access to the cage culture sites for the supply of goods and services (e.g. feed,
173 equipment, fuel) and to the route to market for any fish produced (Ross et al., 2011). In addition,
174 cages need to be placed where they can be monitored in terms of their welfare and security.

175 Water depth also affects the potential location of fish cages because it determines the extent
176 to which wind velocity and fetch help to increase water circulation, this providing better DO
177 exchange and more efficient removal of wastes (Bascom, 1964; Beveridge, 2004; Perez et al.,
178 2005). To provide maps of site specific depth information, a 100 m resolution bathymetric model
179 was created from more than 4 million data points that had been collected from recent hydrographic
180 surveys. Points that did not have Global Positioning System (GPS) locations were digitised
181 manually by fitting admiralty maps to the lake shoreline using their graticule (Beveridge, 2004).
182 Point data were converted to raster data using the process of simple kriging (Anyah and Semazzi,

183 2009), using a WGS 84 EPSG 4326 projection. All of the constraint data for cage culture
184 development were converted from polygon to raster format, where necessary, and transformed into
185 thematic images for analysis.

186 **2.2 Maps of water quality data**

187 Information on selected water quality parameters were collected from the sampling sites shown in
188 Figure 2 at quarterly intervals in the dry (July – October) and wet (March – June) seasons between
189 October 2016 to October 2018. The sites were chosen to provide comprehensive coverage of all
190 known fishing grounds (n = 29) and nearby cage culture sites, including near- and off-shore areas
191 in the vicinity. The sampling sites were classified according to their position the lake as littoral
192 near-shore [Lit], near cages [Nea], off-shore [Off], and fishing grounds [Fsg]. The choice of
193 sampling sites was informed by indigenous knowledge provided by resource users and information
194 from experienced cage farmers to ensure that they spanned the main factors that affect cage farm
195 locations and wild fisheries. All sampling sites were geo-referenced using a Garmin GPS.

196 At each sampling site, depth profiled (one measurement at the surface and another below
197 1.0 m) *in situ* measurements were taken in concurrence with the maximum depth of existing cages
198 (i.e. < 2.0 m from the surface). Data on water temperature and DO concentration were recorded
199 using a Yellow Springs Instruments (Model: YSI 650). Water transparency was measured using a
200 standard Secchi disk, maximum depth was determined using a sonar depth finder with a floating
201 transducer, and chlorophyll-*a* concentrations were determined using *ex-situ* methods of analysis
202 adapted from Wetzel and Likens (1991) and APHA (2005).

203 The water quality data collected generated values for discrete locations across the study
204 area. These were interpolated to provide water quality map layers for temperature, DO, Secchi
205 depth transparency and chlorophylla concentrations.

206 **2.3 Assessment of suitability**

207 The level of suitability of different areas of the lake for cage fish culture were assessed on the basis
208 of the key biophysical conditions and constraints shown in Table 1. These were chosen in terms
209 of their likely effect on the growth and survival of caged tilapia (Dias et al., 2012; Aura et al.,
210 2016) and criteria provided by the stakeholder community (e.g. ease of access). Using the ranges
211 in values shown in Table 2, each part of the Kenyan part of Lake Victoria was assigned to one of
212 the following classes in terms of their suitability for the location of cage fish farms: ‘Most suitable’,
213 ‘Suitable’, ‘Less suitable’ and ‘Unsuitable’.

214 **2.4 Suitability mapping**

215 The development of the delineation process followed the methods described by Perez et al. (2005)
216 and Aura et al. (2016, 2017), with modifications to account for local conditions. Separate thematic
217 maps of constraints on development, distance from the shoreline, water depth, and various aspects
218 of water quality were created within a geographic information system (GIS). These were then
219 combined to generate suitability criteria. This involved using a simple Multi-Criteria Evaluation
220 (MCE) approach to aggregate the thematic maps into a map that showed the spatial distribution of
221 different levels of suitability for the siting of fish cages. First, a binary value, $C_{(x,y)} = 0$ (constrained)
222 or $C_{(x,y)} = 1$ (potentially suitable), was assigned to each location based on whether or not the
223 location was constrained. Then a suitability function ($S_{(x,y)}$) was calculated for each remaining
224 location (x,y) across the area of study. Finally, level of suitability scores were calculated for the
225 potentially suitable areas as the weighted geometric mean of all factors (Longdill et al., 2008),
226 modified by their factor suitability range (FSR) (Vincenzi et al., 2006), as shown in Equation 1.

$$227 \quad S_{(x,y)} = \prod_{i=1}^n FSR_{(x,y,i)} \quad (\text{Equation 1})$$

228 where:

- 229 • x,y is the spatial location of each point
- 230 • $FSR_{(x,y)}$ is the factor suitability value at location x,y
- 231 • i is an index corresponding to each input parameter

232 This process converted the original data into standardised cage culture suitability scores (Vincenzi
233 et al., 2006) on a four point scale of most suitable (score 4), suitable (score 3), less suitable (score
234 2), unsuitable (score 1) and constrained (score 0). The GIS software Quantum GIS Desktop
235 Version 2.18.11 (QGIS Development Team, 2009) and ESRI™ ArcMap were used to generate
236 thematic maps of suitability zones from these data (Batabyal & Chakraborty, 2015).

237

238 **3 RESULTS**

239 **3.1 Overview of biophysical parameters recorded at sampling sites**

240 The biophysical data collected from the field sampling sites were used to create the water quality
241 maps. The ranges in values for each parameter recorded are described below; there were no
242 significant seasonal ($p > 0.05$) or water column variations within the data collected. Sampling sites
243 that were close to the fish cages showed significant variations ($p < 0.05$) in chlorophyll-*a*
244 concentrations compared to those from the littoral, off-shore and fishing ground sites (Table 3).
245 The highest chlorophyll-*a* concentration occurred in Anyanga ($12.56 \pm 17 \mu\text{g L}^{-1}$), while the lowest
246 was in the littoral zone at Ogal ($2.29 \pm 0.00 \mu\text{g L}^{-1}$). The highest water temperature (27.19 ± 1.22
247 °C) was recorded off-shore at Ogal, while the fishing grounds in Mulukoba and Anyanga had the
248 lowest temperatures, both 25.90 ± 0.01 °C. There was no significant variation ($p > 0.05$; $F = 2.78$)
249 among temperatures near the cage sites. Ogal and Anyanga recorded a gradual increase in
250 temperature from the littoral region towards the off-shore zones, whereas the opposite was
251 observed in both Nyadiwa and Naya. The highest levels of DO occurred in all of the fishing

252 grounds that were sampled. All DO levels were greater than 4.0 mg L⁻¹ except in the Nyandiwa
253 littoral zone which recorded 3.64 ± 0.56 mg L⁻¹. There were no significant variations (p > 0.05) in
254 DO levels between littoral sites, cage sites, and off-shores zones. The DO levels at the cage
255 sampling sites were significantly lower (p < 0.05; 5.64) than at the fishing ground sites, but were
256 not significantly different (p > 0.05) from the littoral sites. The highest Secchi depths occurred in
257 Ramba near the cages (3.20 ± 0.17 m) and in the off-shore zones (3.00 ± 0 m). The lowest water
258 transparency among the sampling sites was recorded at Ogal. There was no clear longitudinal trend
259 in Secchi depths and no significant variations across the sampling sites (p > 0.05; F =0.38; α₁ =
260 0.05; α₂ = 0.025). Generally, the water depth at the sampling sites was highest at Ramba,
261 particularly at the fishing ground site (41.80 ± 0 m). The lowest depths were at Naya and Ogal
262 (< 8.0 m). There was no clear trend in maximum depth with significant variations (p < 0.05; F =
263 38.57) among the sampled sites.

264 **3.2 Potential suitability of areas for cage culture**

265 Figure 4 shows the areas of the Kenyan part of Lake Victoria that are potentially suitable, or totally
266 unsuitable, for fish cage culture. The less suitable sites occurred near the constraints on
267 development, which included water hyacinth and moving island hotspots, fish breeding grounds,
268 and along the entire nearshore area around Kisumu Bay (Figure 4). Sites that were classified as
269 ‘most suitable’ and ‘suitable’ for cage culture were found to be located in the inner lake at water
270 depths of between 4.0 m and 10.0 m, and along the lake shore areas north of Bukoma, Uyawii,
271 Utajo, Sindo, and Rasira beaches.

272 The ‘most suitable’ areas for cage fish culture consisted of 191 km² or 4.7% of the study
273 area, with ‘suitable’ areas covering a further 171.1 km² (4.2%). Thus, the total area of the lakescape
274 that is potentially suitable for cage culture was found to be about 362.4 km², or 8.8%, of the study

275 area. The area deemed to be ‘unsuitable’ for cage culture covered 3,737.50 km², or 91.16%, of the
276 study area. This comprised of 2,753 km² of less suitable areas and 984.5 km² of completely
277 unsuitable areas. Fairly inaccessible areas for cage fish farming due to the constraints on use
278 imposed by water hyacinth, demarcated fish breeding grounds and moving islands covered about
279 459 km².

280 **3.3 Sensitivity of individual levels of suitability to biophysical factors**

281 The level of dominance of the biophysical determinants in terms of their impact on the outcome
282 of the suitability mapping is shown in Table 4. Depth was the best indicator of the most suitable
283 area (61.0% of the potential area), followed by temperature (52.0%), DO (51.6%), chlorophyll-*a*
284 concentration (48.7%), distance to land (15.2%) and distance to constraint (14.5%). About 54% of
285 existing fish cages were found to be located within the constrained (unsuitable) areas, with the
286 majority being around Anyanga, Sika, Uwayi, Asat, Dunga, Chuowe, Homalime, Nyandiwa,
287 Rasira, Sori, and Tangache beaches (Figure 3).

288

289 **4 DISCUSSION**

290 This study developed a lakescape approach for assessing areas that may be suitable for the
291 development of cage fish culture in the Kenyan part of Lake Victoria. The total area that is
292 potentially suitable for cage culture was found to be about 362 km² (9%). It is suggested that this
293 information could be used to designate the part of the lake that could be used safely for cage culture
294 if combined with the use of best management practices, such as compliance with recommended
295 carrying capacities to minimise disease and fish kills. Without proper regulation, cage fish farming
296 presents environmental and food safety challenges arising from feeds, chemicals, veterinary

297 medicines, waste products, fish escapes, and diseases that are all potential contaminants of the
298 natural environment.

299 More than 54% of existing cage culture establishments are sited within ‘less suitable’ or
300 ‘constrained’ areas (i.e. fish breeding grounds; water hyacinth and moving island hotspots). This
301 probably explains the incidental water hyacinth or moving islands invasion of some of these
302 installations, such as those reported from Dunga beach in Kisumu Bay (Ombwa, V., pers. comm.).
303 Most of this part of the lakescape (about 3,737 km²; 91%) could be prioritised for wild fisheries
304 and other lake use activities. These include water hyacinth control and alternative use, protection
305 of fish breeding grounds and the development of tourism potential associated with moving islands.

306 The biophysical parameters that influenced the suitability classification of the sites can also
307 affect the ecological status of the lake, including species composition and abundance of the aquatic
308 organisms. However, the pattern of change in physical and chemical parameters across the Kenyan
309 part of Lake Victoria is highly variable and, therefore, unpredictable across the delineated sites.
310 This may be due to the shallow mean depth and landscape context of the Kenyan part of the lake.
311 The lake is strongly influenced by extremely variable mixing characteristics that are driven by
312 seasonal/diurnal changes in wind pattereddraticns and shear (Okely et al., 2010), runoff from
313 agricultural land, inputs of industrial effluent and the nature of its inflows, in addition to natural
314 processes.

315 Lack of significant variations in water temperature suggests an even effect on lake
316 biogeochemical processes. In contrast, the relatively high chlorophyll *a* in Anyanga near the areas
317 with cages compared to other sites, such as fishing grounds, indicated a marked increase in
318 chlorophyll *a* concentrations at the cage culture sites. This can be attributed to the cumulative
319 effect of eutrophication processes associated with cultured fish and food wastes (Garcia de Souza

320 et al., 2013). Low DO levels at the cage culture sampling sites were probably attributable to
321 increased DO consumption by the cultured fish and the decomposition of their organic waste
322 (Longgen et al., 2009). The aforementioned could have been the reason for higher DO levels in
323 fishing grounds than in the cage culture sampling sites and could be considered as one of the main
324 constraints on cage culture in the longer term. The high water transparency levels at Ramba are
325 associated with the relatively high mean depths at this site and its location around the Rusinga
326 Channel (outside Nyanza Gulf), which is open to the effects of wind induced mixing. This suggests
327 that, here, any potential influence of the cage culture on turbidity is negated by the effects of higher
328 levels of circulation and dilution.

329 Based on the delineation approach, the order of importance of the biophysical parameters
330 affecting cage culture potential were as follows: depth > temperature > DO > chlorophyll-*a* >
331 distance to land > distance to constraint (Table 4). This indicates that depth could be ranked as the
332 most important variable to consider variable in the determination of cage culture site suitability
333 compared to other factors such as distance from land. The nature of the bay (i.e. sheltered or open),
334 and proximity to land based activities are also likely to influence levels of water quality and mixing
335 at the sampling sites (Aura et al., 2018b). Significant effects of bathymetry are mediated,
336 principally, through water depth, wind velocity and fetch, all of which help to increase water
337 circulation for better DO exchange and create high water currents for the better removal of wastes
338 (Bascom, 1964; Beveridge, 2004; Perez et al., 2005). The existence of cages in the less suitable or
339 unsuitable areas of water depths (< 4.0 m) could be the reason for the fish kills that have been
340 reported at cage sites such as Anyanga and Nyenye Got in Siaya county (Njiru et al., 2018b).

341 This initial, desk based approach to the delineation of areas that are suitable for cage culture
342 has been shown to have the potential to support the suitable development of these systems whilst

343 minimising conflict with other uses of this water resource. However, future development requires
344 the incorporation of new data, for example transportation routes, water abstraction points and
345 carrying capacity, and validation of the outputs through stakeholder engagement activities.

346

347 **5 CONCLUSIONS AND RECOMMENDATIONS**

348 The current study proposes a potential method for the delineation of areas that are suitable for cage
349 fish culture. This is based on biophysical factors and spatial interpolations. The order of suitability,
350 based on biophysical parameter preferences, from most suitable to the less suitable was depth >
351 temperature > DO > chlorophyll *a* > distance to land > distance to constraint. Depth is the most
352 important factor, because locating cages in shallow waters is likely to exacerbate problems
353 associated with eutrophication, and with increased DO consumption by the cultured fish and the
354 decomposition of their organic waste. Low DO levels at cage culture sites is important because it
355 is likely to be a precursor to fish kills, which have an enormous impact on the local economy. As
356 a result of our study, we recommend the fast-tracking of regulations to control the location of new
357 cage culture establishments, the relocation of existing cages to ‘suitable’ and ‘most suitable’ areas,
358 and the implementation of best management practices to minimise resource use conflicts. The
359 proposed approach could be incorporated into future lacustrine spatial planning policies and
360 regulations once navigation routes and abstractions points have been mapped and included. Future
361 studies could consider inclusion of hydrography, wave height and carrying capacity data for further
362 refinement of the approach.

363

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533 **Figure legends**

534

535 **FIGURE 1** Schematic representation of the process for determining suitable areas for potential
536 cage fish culture within Lake Victoria, Kenya.

537

538 **FIGURE 2** Lake Victoria, Kenya, showing current cage culture sites and fishing grounds that were
539 sampled for water quality. The sites were categorised as Lit = Littoral zone; Nea = Near cages; Off
540 = Off-shore, Fsg = Fishing grounds. Samples were collected quarterly between October 2016 and
541 October 2018.

542

543 **FIGURE 3** Maps showing (a) fish breeding sites (potential areas for protection), and (b) water
544 hyacinth hotspots of Lake Victoria, Kenya (Adapted and modified from Ongore et al., 2018 and
545 Aura et al., 2018b)

546

547 **FIGURE 4** Map of Lake Victoria, Kenya, showing potential suitability for cage fish culture.

Figures

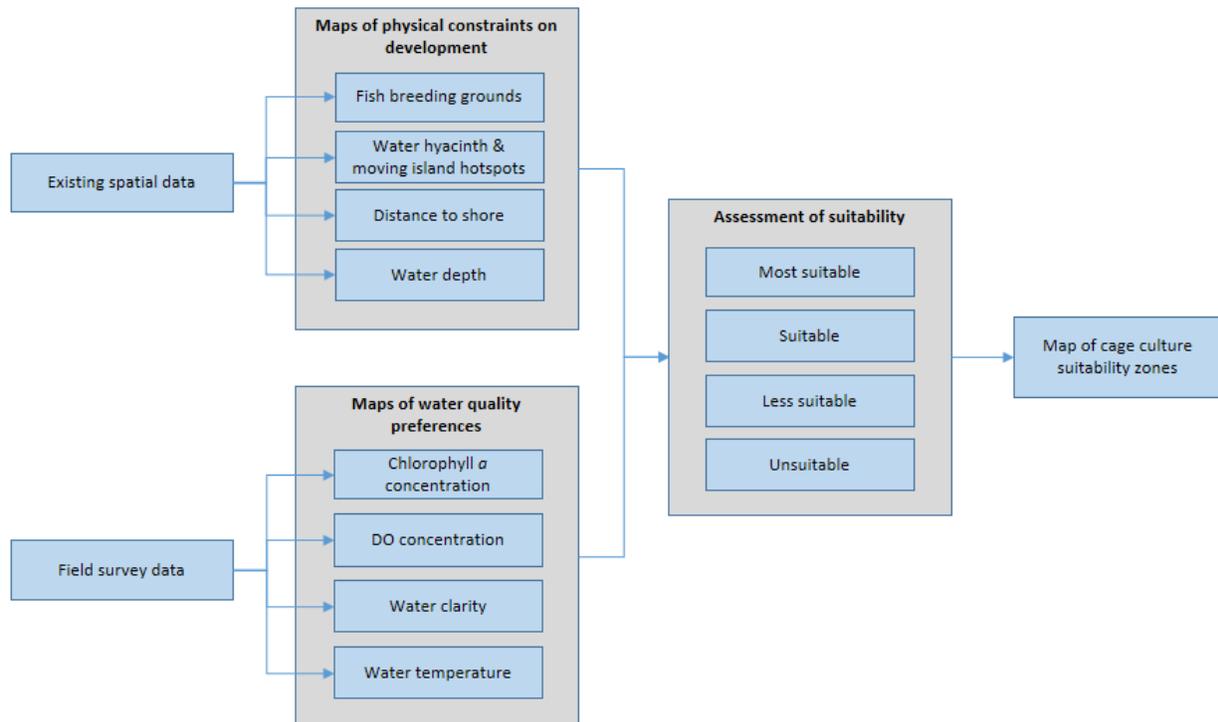


FIGURE 1 Schematic representation of the process for determining potentially suitable areas for cage fish culture within Lake Victoria, Kenya.

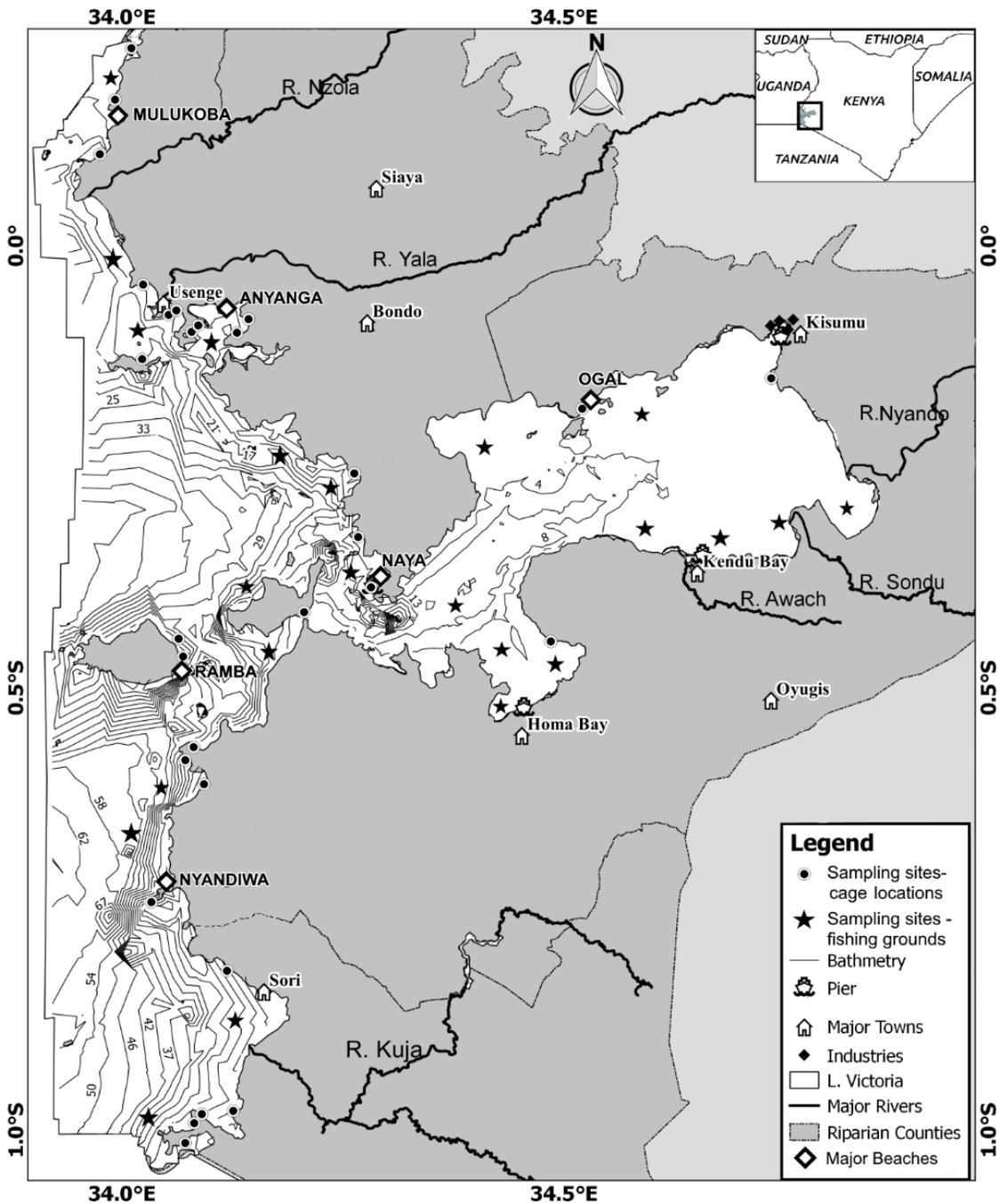


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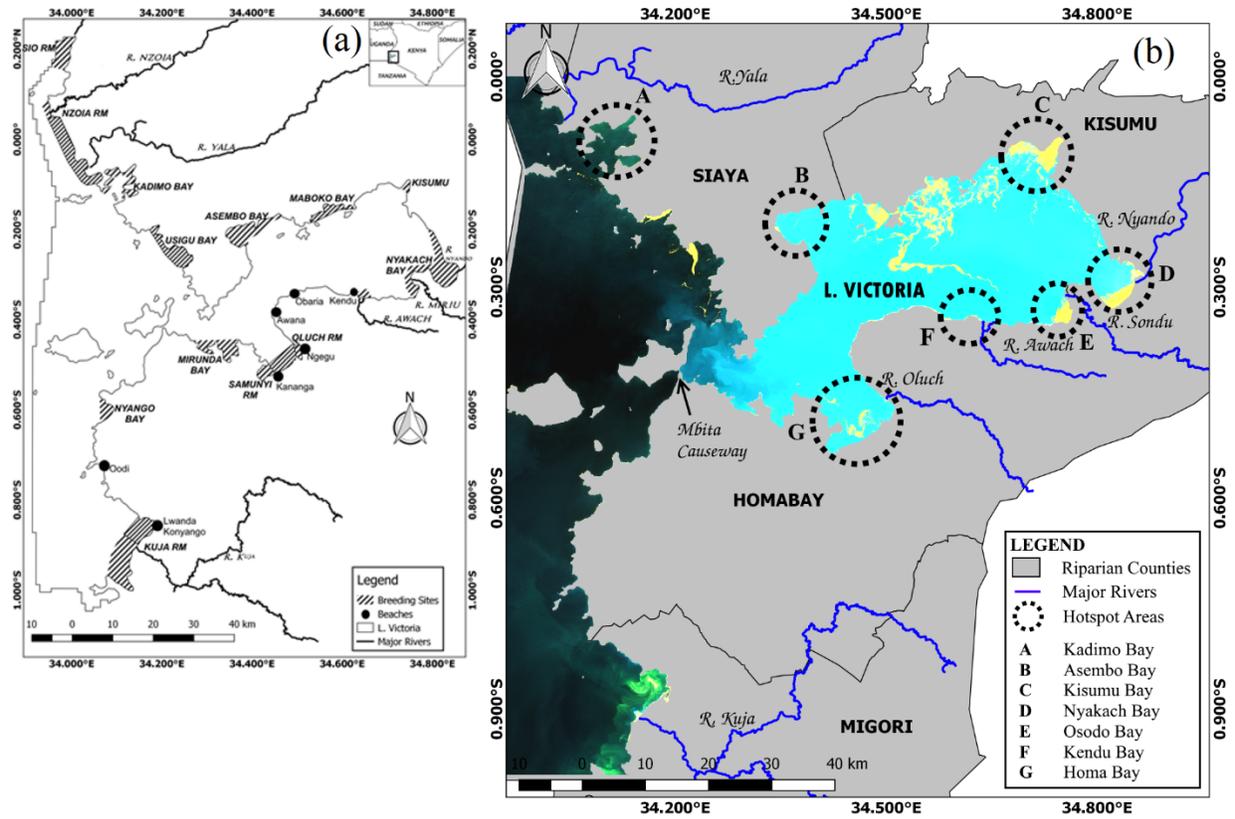


FIGURE 3 Maps showing (a) fish breeding sites (potential areas for protection), and (b) water hyacinth hotspots of Lake Victoria, Kenya (Adapted and modified from Ongore et al., 2018 and Aura et al., 2018b).

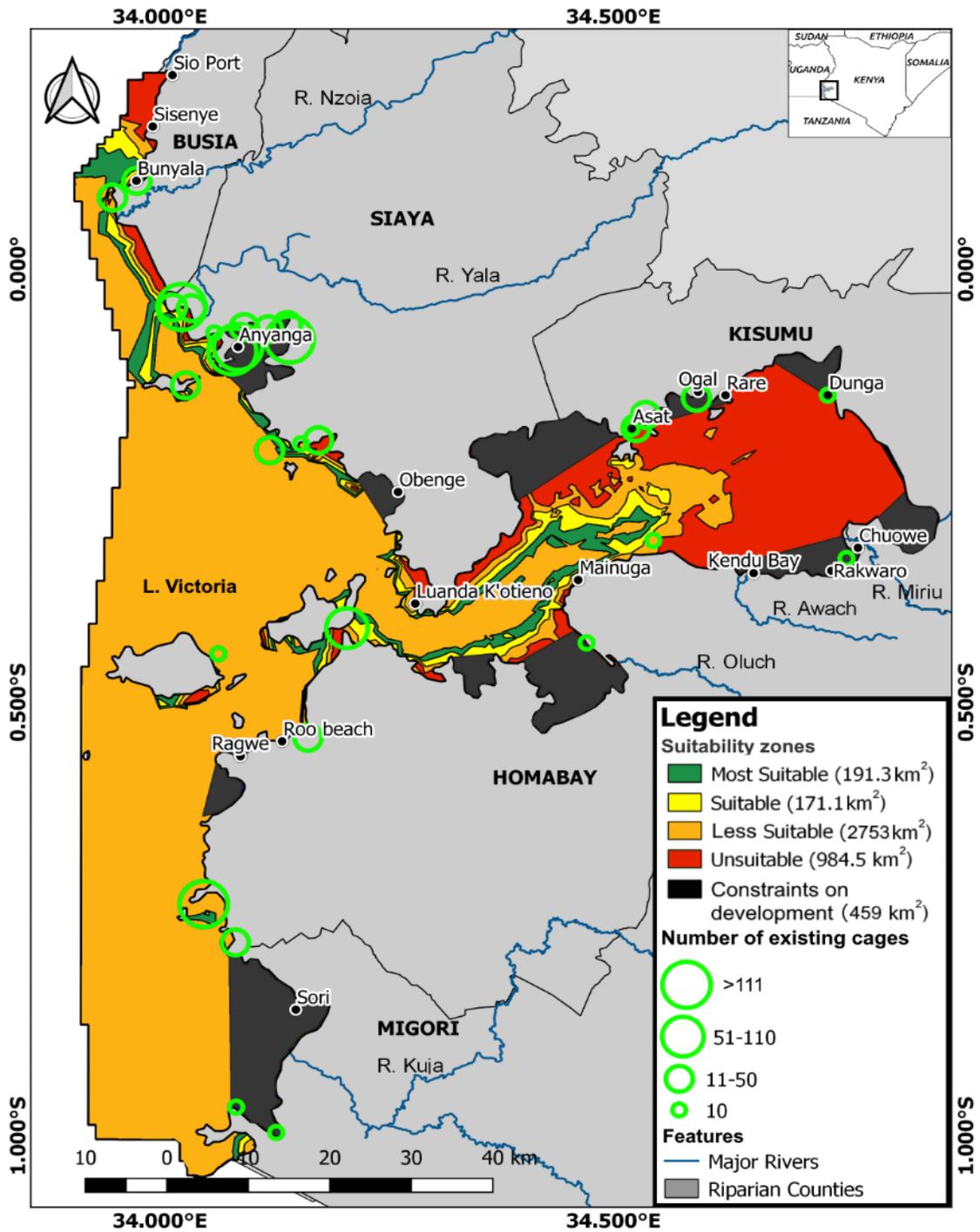


FIGURE 4 Map of Lake Victoria, Kenya, showing potential suitability for cage fish culture.

Tables

TABLE 1 Justification of the selected biophysical variables used in the cage culture site suitability classification.

Variable	Justification	References
Chlorophyll- <i>a</i> ($\mu\text{g L}^{-1}$)	Indicator of primary production	OECD (1982) Bhatnagar & Devi (2013), Aura et al. (2016)
Temperature ($^{\circ}\text{C}$)	Water temperature affects fish metabolism, oxygen consumption, ammonia and carbon dioxide production rates, Feed Conversion Ratio (FCR) and fish growth rate.	Muir (2000), Pillay & Kutty (2005)
Dissolved oxygen (DO, mg L^{-1})	DO influences growth, survival, behavior and physiology of fish	Muir et al. (2000)
Secchi depth (m)	Composite measure of water transparency or visibility; affected by suspended and dissolved solids, sunlight and salinity.	Beveridge (2004)
Depth (m)	Greater depth facilitates water exchange and avoids oxygen depletion, accumulation of uneaten food, fecal material and debris, disease infection and buildup of noxious gases such as hydrogen sulphide and methane from decomposition of wastes; depths greater than 20 m should be avoided for small cages as they tend to have high waves that can stress the fish.	Beveridge (2004), Perez et al. (2005)
Distance to fish breeding grounds (km)	Distances to fish breeding have been included to help safeguard wild fish populations.	Ongore et al. (2018)
Distance to water hyacinth/moving islands (km)	Distances based on hotspots taking wind patterns and water currents into account; interference from water hyacinth or moving islands can destroy cage installations.	Ongore et al. (2018)
Distance to land (km)	Access to the sites for supply of goods and services (e.g. feed, equipment, fuel) and route to the markets for fish produced.	Ross et al. (2011)

TABLE 2 Suitability ratings for cage culture sites in a lacustrine ecosystem (Adapted from OECD, 1982; Bhatnagar & Devi, 2013).

Indicator	Most suitable	Suitable	Less suitable	Unsuitable
Chlorophyll- <i>a</i> ($\mu\text{g L}^{-1}$)	7.5 - 4.5	<4.5 - 1.5	<1.5 - 0.5	>7.5 & <0.5
Temperature ($^{\circ}\text{C}$)	30 - 28	<28 - 26	<26 - 24	>30 & <24
Dissolved oxygen (DO, mg L^{-1})	≥ 5	<5 - 3	<3 - 1	<1
Secchi depth (m)	>0.7	0.7 - >0.5	0.5 - >0.3	≤ 0.3
Depth (m)	<10 - 8	<8 - 6	<6 - 4	≤ 4 or ≥ 10
Distance to breeding grounds/water hyacinth (km)	>0.5	0.5 - >0.4	0.4 - >0.2	≤ 0.2
Distance to land (km)	> 0.4	0.4 - >0.3	0.3 - >0.2	≤ 0.2

TABLE 3 Average water quality values (\pm StDev) for major beaches with cage culture sites compared to those for fishing grounds at similar locations. To provide representative coverage, site selection was based on intensity of cage culture practice, fishing intensity and county administrative coverage (Wu et al., 1996; Kashindye et al., 2015). Sites were categorised as Lit = Littoral zone; Nea = Near cages; Off = Off-shore; Fsg = Fishing ground and sampled quarterly between October 2016 and October 2018.

Station	Site	Chlorophylla ($\mu\text{g L}^{-1}$)	Temperature ($^{\circ}\text{C}$)	DO (mg L^{-1})	Secchi depth (m)	Depth (m)
Ogal	Lit	2.29 \pm 0.00	26.36 \pm 0.16	5.03 \pm 0.87	0.35 \pm 0.00	2.67 \pm 0.29
	Nea	4.58 \pm 0.30	26.85 \pm 0.80	5.35 \pm 1.11	0.48 \pm 0.03	3.93 \pm 0.12
	Off	6.76 \pm 1.11	27.19 \pm 1.22	5.43 \pm 1.01	0.50 \pm 0.00	3.83 \pm 0.58
	Fsg	6.53 \pm 0.10	26.23 \pm 0.31	7.81 \pm 0.63	0.30 \pm 0.00	6.70 \pm 0.00
Ramba	Lit	7.20 \pm 0.40	26.00 \pm 0.19	5.52 \pm 0.17	2.23 \pm 0.40	2.23 \pm 0.40
	Nea	11.56 \pm 1.31	26.45 \pm 0.51	4.61 \pm 0.76	3.20 \pm 0.17	29.67 \pm 0.58
	Off	7.50 \pm 0.71	26.14 \pm 0.58	4.99 \pm 1.02	3.00 \pm 0.00	28.00 \pm 0.00
	Fsg	5.47 \pm 28	26.44 \pm 0.33	8.18 \pm 1.54	1.65 \pm 0.00	41.80 \pm 0.00
Nyandiwa	Lit	4.47 \pm 0.17	26.55 \pm 0.29	5.02 \pm 0.72	1.42 \pm 0.84	4.25 \pm 0.00
	Nea	8.94 \pm 0.22	26.36 \pm 0.30	4.76 \pm 0.87	1.90 \pm 0.00	8.00 \pm 0.00
	Off	5.61 \pm 1.10	26.31 \pm 0.28	4.85 \pm 0.69	1.90 \pm 0.00	9.50 \pm 0.00
	Fsg	8.58 \pm 0.74	26.24 \pm 0.13	6.45 \pm 1.08	0.40 \pm 0.00	34.00 \pm 0.00
Anyanga	Lit	5.26 \pm 0.29	26.03 \pm 0.07	3.64 \pm 0.56	1.43 \pm 0.21	1.67 \pm 0.29
	Nea	12.56 \pm 17	26.16 \pm 0.31	4.48 \pm 1.24	1.40 \pm 0.00	4.17 \pm 0.29
	Off	4.47 \pm 0.00	26.28 \pm 0.42	5.50 \pm 1.57	1.13 \pm 0.06	5.00 \pm 0.00
	Fsg	5.50 \pm 0.09	25.90 \pm 0.00	6.69 \pm 0.00	1.25 \pm 0.00	4.70 \pm 0.00
Mulukoba	Lit	4.47 \pm 0.69	26.56 \pm 0.25	5.78 \pm 0.62	1.30 \pm 0.17	2.77 \pm 0.00
	Nea	8.94 \pm 0.87	26.50 \pm 0.69	7.09 \pm 0.52	1.10 \pm 0.10	6.00 \pm 0.87
	Off	5.61 \pm 0.17	26.52 \pm 0.50	6.68 \pm 0.43	1.10 \pm 0.00	7.87 \pm 0.32
	Fsg	6.22 \pm 0.41	25.90 \pm 0.00	6.69 \pm 0.00	1.25 \pm 0.00	4.70 \pm 0.00
Naya	Lit	5.38 \pm 0.43	26.37 \pm 0.05	6.29 \pm 0.25	1.25 \pm 0.09	2.17 \pm 0.76
	Nea	12.14 \pm 0.31	26.30 \pm 0.24	6.44 \pm 0.40	1.30 \pm 0.10	4.33 \pm 0.29
	Off	8.31 \pm 0.57	26.15 \pm 0.26	6.40 \pm 0.49	1.20 \pm 0.00	5.00 \pm 0.00
	Fsg	7.78 \pm 0.62	26.82 \pm 0.49	8.51 \pm 1.61	0.90 \pm 0.00	7.40 \pm 0.00

TABLE 4 Surface area of Lake Victoria, Kenya, in each cage culture suitability class as determined by the criteria shown in Table 3. Values are expressed as a percentage of total potential area (about 4,100 km²).

Parameter	Most suitable	Suitable	Less suitable	Unsuitable
Chlorophyll- <i>a</i> (µg L ⁻¹)	48.7	32.0	10.0	9.3
Temperature (°C)	52	33	8	7
Dissolved oxygen (DO, mg L ⁻¹)	51.6	36.3	10.7	1.4
Secchi depth (m)	40.4	34.6	11.2	13.8
Depth (m)	61.0	30.1	5.7	3.2
Distance to breeding grounds/water hyacinth (km)	14.5	18.9	37.5	29.1
Distance to land (km)	15.2	22.8	29.4	32.6