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# Ozone critical levels for (semi-)natural vegetation dominated by perennial grassland species

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## Abstract

New critical levels for ozone based on accumulated flux through stomata (phytotoxic ozone dose, POD), for temperate perennial grassland (semi-)natural vegetation have been agreed for use within the Convention on Long-Range Transboundary Air Pollution. These were based on data from several experiments conducted under naturally fluctuating environmental conditions that were combined and analysed to give linear dose-response relationships. Dose-response functions and flux-based critical levels were derived based on biomass and flower number. These parameters showed a statistically significant decline with increasing accumulated stomatal ozone flux. The functions and critical levels derived are based on sensitive species and can be used for risk assessments of the damaging effect of ozone on temperate vegetation communities dominated by perennial grassland species. The critical level based on flower number was lower than that for biomass, representing the greater sensitivity of flower number to ozone pollution.

## Keywords

Ozone; biodiversity; flowering; stomata; critical level; biomass

## Introduction

Tropospheric ozone is a secondary pollutant formed by reactions of precursors, which mainly originate from anthropogenic sources, in the presence of sunlight. Baseline ozone concentrations increased rapidly during the late 20<sup>th</sup> century and at northern mid-latitudes approximately doubled over the period 1950 to 2000 (Parrish et al., 2012). These levels caused significant damage to vegetation such that impacts could be observed on plants in ambient air conditions, with field evidence of effects demonstrated on over 80 (semi-)natural vegetation species in 16 European countries over the period 1994-2006 (Mills et al., 2011a). Since approximately 2000, the rate of increase in ozone concentration has slowed, and for individual monitoring sites in Europe there can be decreasing, increasing or no trend in summertime daytime ozone concentrations over the period 2000–2014 depending on the individual site (Chang et al., 2017). This is thought to reflect changes in emissions of ozone precursors such as carbon monoxide, methane, non-methane volatile organic compounds and nitrogen oxides. Many models of future ozone projections are very sensitive to small changes in emissions and/or climate change (Young et al., 2013). Despite some efforts to reduce emissions of precursor molecules in many parts of Europe, model results from the Task Force on Hemispheric Transport of Air Pollution show that for ozone in Europe, the contributions from precursor emissions originating from the rest of the world are larger than for European emissions alone, with the largest contributions from North America and eastern Asia (Jonson et al., 2018).

51 Ozone enters plants through stomata. Two of the factors that influence sensitivity to ozone are  
52 control of the flux of ozone into the leaf, and the capacity for detoxification and repair processes  
53 (Wieser and Matyssek, 2007). Ozone damage can trigger a cascade of defence reactions, which  
54 can further affect plant responses including growth or resource partitioning (Weigel et al.,  
55 2015). Many species of (semi-)natural vegetation are known to be sensitive to ozone pollution,  
56 based on ozone-exposure experiments and can show significant impacts with average ozone  
57 concentrations less than 70 pbb (e.g. Hayes et al., 2012, Rämö et al., 2007). Effects can include  
58 visible leaf injury, reduced flowering, reduced seed quality, premature senescence and reduced  
59 plant or seed biomass, however, some species have been shown to be resistant to ozone  
60 (Bungener et al., 1999, Hayes et al., 2006).

61  
62 Semi-natural vegetation types including grassland, heathland and fens have been identified as  
63 sensitive to ozone pollution based on the responses of the component species (Mills et al.,  
64 2007). A recent review by Bergmann et al. (2017) showed that 258 herbaceous species  
65 assessed during field studies along ozone gradients in Europe and North America showed  
66 visible injury symptoms attributed to ozone pollution. Evidence from simple constructed  
67 species mixtures has shown changes to the plant community including alterations in the  
68 biomass of individual component species (Rämö et al., 2007), reductions in total biomass  
69 (Hayes et al., 2010) and alterations in flower number and timing of flowering (Hayes et al.,  
70 2012). Results from intact plant communities is scarce, but effects have been shown. These  
71 include effects on species composition without an accompanying change in total biomass of an  
72 upland mesotrophic grassland (Wedlich et al., 2012) and a calcareous grassland (Thwaites et  
73 al., 2006). However, some other studies have shown small or no effects (Rinnan et al., 2013;  
74 Volk et al., 2014), sometimes despite containing some individual species that are known to be  
75 sensitive to ozone.

76  
77 Analysis of survey data in the UK has shown changes in grassland species composition that  
78 were partially attributed to ambient ozone (Payne et al., 2011). Impacts of ambient ozone have  
79 also been reported in the field in Europe (Mills et al., 2011a), USA (Fuhrer et al., 2016; Temple  
80 et al., 2005; U.S. Environmental Protection Agency, 2014) and south-east Asia (Emberson et  
81 al., 2009, Feng et al., 2015), based on occurrence of visible leaf-injury symptoms and air-  
82 filtration and chemical protection experiments investigating injury and/or yield. Beneficial  
83 effects of reducing ambient ozone concentrations using filtered air have been shown for some  
84 vegetation types, particularly for crops such as wheat (Pleijel et al., 2018) and spinach  
85 (Gonzalez-Fernandez et al., 2016), and also for (semi-)natural vegetation species (Gimeno et  
86 al., 2004; Sanz et al., 2011). The meta-analysis of Pleijel et al. (2018) showed that a range of  
87 yield and growth variables responded negatively in non-filtered compared to charcoal-filtered  
88 air, with the mean ozone concentration of the non-filtered air treatments being 36 ppb.

89  
90 Critical levels for ozone for (semi-)natural vegetation based on reductions in biomass were first  
91 proposed in a workshop in Kuopio in 1996 (Kärenlampi and Skärby, 1996) and were based on  
92 a limited range of experimental data collated by Ashmore and Davison (1996). There has been  
93 an increasing body of evidence to demonstrate that fluxes of ozone into the leaves of vegetation  
94 is a better metric to predict risk of damage to vegetation than ozone concentration (e.g. Mills  
95 et al., 2011a). In order to calculate fluxes of ozone to vegetation it is necessary to parameterise  
96 a model to describe the extent of opening of stomata in response to changing meteorological  
97 conditions (Emberson et al, 2000), which requires a dataset of measurements of stomatal  
98 conductance. Flux-based critical levels were approved and documented by the Convention on  
99 Long-Range Transboundary Air Pollution (LRTAP Convention, 2010), providing a  
100 standardised methodology for application at national and regional scales. A total of eight flux-

101 based critical levels were agreed in 2010 (Mills et al., 2011b). For (semi-)natural vegetation, a  
102 single critical level was based on the response of *Trifolium spp.*, for protection of pasture and  
103 fodder quality in productive perennial grasslands, and the vitality of natural species in perennial  
104 grasslands of high conservation value (Mills et al., 2011b).

105  
106 Since 2010, data have become available for a wider range of (semi-)natural vegetation species,  
107 and encompassing a wider range of response variables. In particular a growing number of  
108 experimental work has included accompanying stomatal conductance measurements, allowing  
109 parameterisation of stomatal flux models. Due to the large diversity of (semi-)natural  
110 communities across Europe in terms of ecophysiology, life form, species composition and  
111 management practices such as grazing, cutting or fertilisation regime, ozone critical levels have  
112 been established for widespread ozone sensitive species representing broad categories of (semi-  
113 )natural vegetation plant communities. Here we provide an overview of three new flux-based  
114 critical levels of ozone for (semi-)natural vegetation and the response functions used to derive  
115 them. These functions are suitable for use in temperate perennial grasslands found in Boreal,  
116 Atlantic and Continental biogeographical regions of Europe that are dominated by grasses and  
117 forbs and have little or no tree cover, and may be grazed. These were agreed for use within the  
118 Convention on Long-Range Transboundary Air Pollution (CLRTAP) at the 30<sup>th</sup> Task Force  
119 Meeting of the International Cooperative Programme on Effects of Air Pollution on Natural  
120 Vegetation and Crops (ICP Vegetation) in 2017. Details of flux-based critical levels of ozone  
121 for other vegetation, including Mediterranean annual grassland, temperate and Mediterranean  
122 crops and trees, and methodology for applying these critical levels in risk assessments is found  
123 in LRTAP Convention (2017).

124

## 125 **Methods**

### 126 Data used

127 Data were collated from northern/mid European pasture and grassland experiments, from free-  
128 air ozone enrichment, open-top chamber and solardomes experiments conducted with intact  
129 swards, constructed mixed species communities or individual species, exposed to ozone for  
130 between 2 and 6 months. For each experiment, data on the response of individual species was  
131 used rather than the total biomass of a mixed species community in order to avoid missing  
132 effects where changes in species composition had occurred, but with no change in total biomass  
133 (Thwaites et al., 2006; Wedlich et al., 2012). The complete database comprised a total of 39  
134 experiments, of which 30 were published and 9 used data from unpublished studies  
135 (Supplementary material).

136

### 137 Calculation of POD<sub>1</sub>SPEC

138 POD<sub>1</sub>SPEC is a species-specific phytotoxic ozone dose accumulated over a threshold of  $y$ .  
139 Where necessary hourly data and species-specific parameterisations were available, stomatal  
140 flux for ozone was modelled for each species in each experiment using DO<sub>3</sub>SE version 3.1.  
141 The model is described in Emberson et al. (2000) and uses a multiplicative algorithm based on  
142 reduced stomatal opening in response to light, temperature, soil moisture, vapour pressure  
143 deficit (VPD), ozone concentration and plant phenology (e.g. anthesis, seed formation),  
144 compared to the stomatal conductance under optimum conditions. Stomatal ozone fluxes were  
145 calculated based on projected leaf area (PLA). No attempt was made to estimate the soil  
146 moisture in some experiments/treatments and thus only well-watered treatments were included  
147 to be able to assume that soil moisture did not affect the stomatal uptake of ozone.

148

149 Initially stomatal ozone fluxes were calculated based on species-specific models, however, due  
150 to the high variability in  $g_{max}$  between species, it became apparent when combining data from

151 different species, that any comparison was overwhelmed by the influence of  $g_{\max}$  on total  
152 stomatal ozone flux. In addition, the environmental response of stomatal ozone flux between  
153 the grassland species was broadly similar (Supplementary material). Hence, ‘standardised’  
154 total stomatal ozone flux was calculated, using a fixed  $g_{\max}$  of  $190 \text{ mmol O}_3 \text{ m}^{-2} \text{ PLA s}^{-1}$  for  
155 grasses and  $210 \text{ mmol O}_3 \text{ m}^{-2} \text{ PLA s}^{-1}$  for forbs/legumes, representing the mean values from  
156 the individual species parameterisations (supplementary material). In addition, a common  
157 parameterisation was used for response to meteorological variables, separately for ‘grasses’  
158 and ‘forbs/legumes’ (Table 1). This approach also allowed a wider number of species to be  
159 included in the analysis, as species-specific stomatal ozone flux models and  $g_{\max}$  values were  
160 not available for all species.

161

162 It is generally accepted that plants can detoxify a certain amount of ozone, and it is the ozone  
163 above this threshold that is harmful, therefore, a threshold should be used in calculations of  
164 phytotoxic ozone dose (Musselman et al., 2006). In order to account for an ozone detoxification  
165 threshold of the plants when calculating the POD, a flux-threshold of  $1 \text{ nmol m}^{-2} \text{ s}^{-1}$  was used  
166 for both ‘grasses’ and ‘forbs/legumes’ ( $'y'$  in  $\text{POD}_y$ ). The threshold of  $1 \text{ nmol}$  was tested for  
167 suitability according to the recommendation made by B ker et al. (2015), i.e. that for the  
168 normalised linear response function the confidence interval of the intercept includes 100%. The  
169 value of  $1 \text{ nmol}$  is further supported as this matches the flux-threshold used to establish flux-  
170 based ozone critical levels for forest trees.

171

172  $\text{POD}_1\text{SPEC}$  was calculated for all species in each experiment where hourly ozone and  
173 meteorological data were available, using either the ‘grasses’ or ‘forbs/legumes’  
174 parameterisation as appropriate. Relative biomass based on  $\text{POD}_1\text{SPEC}$  for each species in  
175 each experiment was calculated, and datapoints with relative biomass  $>2$  were omitted as  
176 outliers. Those with relative biomass  $<0.4$  were checked for plausibility by assessing whether  
177 they were outlier points, or were a component of the response relationship of a sensitive  
178 species.

#### 179 Ozone critical levels

180 Critical levels for ozone are designed to protect the most sensitive species (LRTAP  
181 Convention, 2010), therefore, data from individual species were included in subsequent  
182 analysis subject to meeting the following criteria: a) number of datapoints  $>3$ , b) intercept of  
183  $\text{POD}_1\text{SPEC}$  relationship between 0.9 and 1.1, and c) slope of relationship  $<$  median of all  
184 negative slopes. Points ‘a’ and ‘b’ are applied together to ensure that there is a usable  
185 relationship between  $\text{POD}_1\text{SPEC}$  and the response parameter. Point ‘c’ is to ensure that  
186 analysis is based on sensitive species.

187

188 When using low flux thresholds  $'y'$  of  $1 \text{ nmol m}^{-2} \text{ s}^{-1}$ , ozone concentrations as low as 3-5 ppb  
189 can contribute to ozone flux (Gonz lez-Fern ndez et al., 2016; Hayes et al., 2019a), far below  
190 background levels and even far below estimated ozone concentrations in the mid-19<sup>th</sup> century.  
191 Therefore, it is not appropriate to use a  $\text{POD}_1$  of zero for deriving ozone critical levels. Hence,  
192 at the CLRTAP Ozone Critical Level Workshop in Madrid in November 2016, it was decided  
193 that an accumulated flux value calculated at a constant 10 ppb ozone (estimated pre-industrial  
194 mean ozone concentration) should be set as a reference value (Ref10  $\text{POD}_Y$ ) for determining  
195 flux-based critical levels, by calculating  $\text{POD}_Y$  using a constant ozone concentration of 10 ppb  
196 and the climatic conditions in the experiment. If data from several experiments were combined  
197 from different climates, the mean of the Ref10  $\text{POD}_Y$  was used as the Ref10  $\text{POD}_Y$  for that  
198 function (Scientific Background Document A of LRTAP Convention, 2017). The reference

199 POD<sub>y</sub> represents a minimum ozone flux that would be achieved by a plant species or  
200 community under clean air conditions. To calculate critical levels of stomatal ozone flux for  
201 an effect using POD<sub>1</sub>SPEC, a 10% reduction in the effect parameter was used, compared to  
202 that at Ref10POD<sub>1</sub>. The application of this approach in the derivation of a critical level is shown  
203 in Figure 1. The use of Ref10 POD<sub>y</sub> does not affect the slope of the dose-response relationship,  
204 it is used purely for the purposes of setting Critical Levels of ozone, because otherwise there  
205 could theoretically be an ozone critical level that is not achievable, with the ozone  
206 concentrations needed for this lower than pre-industrial ozone. Even in pre-industrial times  
207 there were ozone concentrations estimated to be 10-15 ppb (Royal Society, 2008).

208

#### 209 Statistical Analysis

210 Analysis of the relationships between POD<sub>1</sub>SPEC and the response parameters of ‘above-  
211 ground biomass’, ‘total biomass’ and ‘flower number’ was performed using linear regression  
212 in R (R Core Team, 2018). Graphical model validation was carried out using plots of the  
213 standardised residuals, to ensure that there was no evidence of heterogeneity.

214 **Table 1:** Parameterisation table for the DO<sub>3</sub>SE model for POD<sub>1</sub>SPEC calculations for sunlit  
 215 leaves at the top of the canopy for representative (semi-)natural vegetation species of  
 216 temperate perennial grasslands. Full definitions of the parameters are provided in LRTAP  
 217 Convention (2017).

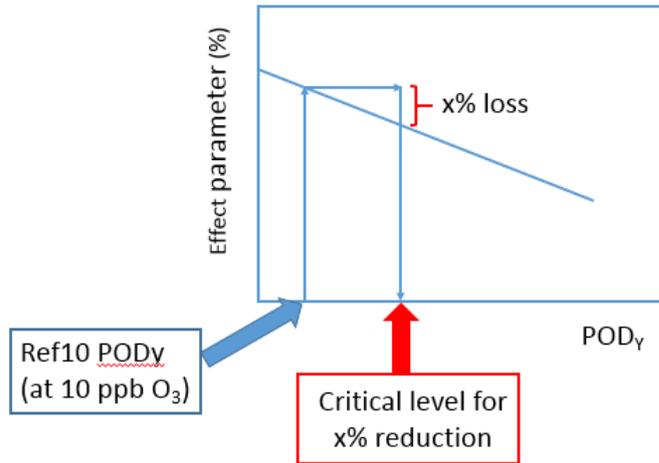
Parameter	Units	(Semi-)natural vegetation parameterisation for sunlit leaves at top of canopy - POD <sub>1</sub> SPEC	
Region ( <i>may also be applicable in these regions</i> )		Atlantic, Boreal, Continental, ( <i>Pannonian, Steppic</i> )	
Land cover type		Perennial grasslands (Grass spp.)	Perennial grasslands (Forbs incl. legumes)
$g_{max}$	mmol O <sub>3</sub> m <sup>-2</sup> PLA s <sup>-1</sup>	190	210
$f_{min}$	fraction	0.1	0.1
light_a	-	0.01	0.02
T <sub>min</sub>	°C	10	10
T <sub>opt</sub>	°C	24	22
T <sub>max</sub>	°C	36	36
VPD <sub>max</sub>	kPa	1.75	1.75
VPD <sub>min</sub>	kPa	4.5	4.5
ΣVPD <sub>crit</sub>	kPa	-	-
PAW <sub>t</sub>	%	-	-
SWC <sub>max</sub>	% volume	-	-
SWC <sub>min</sub>	% volume	-	-
SWP <sub>max</sub>	MPa	-0.1	-0.1
SWP <sub>min</sub>	MPa	-1	-0.6
$f_{O_3}$	fraction	-	-
A <sub>start_FD</sub> <sup>i</sup>	day of year	91 (April 1 <sup>st</sup> )	91 (April 1 <sup>st</sup> )
A <sub>end_FD</sub> <sup>i</sup>	day of year	273 (September 30 <sup>th</sup> )	273 (September 30 <sup>th</sup> )
Time window length	month	3	3
Leaf dimension	cm	2 <sup>ii</sup>	4 <sup>ii</sup>
Canopy height	m	0.2	0.2
$f_{phen\_a}$	fraction	1	1
$f_{phen\_b}$	fraction	1	1
$f_{phen\_c}$	fraction	1	1
$f_{phen\_d}$	fraction	1	1
$f_{phen\_e}$	fraction	1	1
$f_{phen\_1\_FD}$	no. of days	-	-
$f_{phen\_2\_FD}$	no. of days	-	-
$f_{phen\_3\_FD}$	no. of days	-	-
$f_{phen\_4\_FD}$	no. of days	-	-
LIM <sub>start_FD</sub>	year day	-	-
LIM <sub>send_FD</sub>	year day	-	-

218 “-“ = parameterisation not required for this species.

219 <sup>i</sup> Days of year given for non-leap year. Note that this refers to the start and end of the accumulation period based on a fixed  
 220 day rather than effective temperature sum.

221 <sup>ii</sup> Not given, set to match wheat (grass species) and potato (forb species, including legumes).

222 Primary abbreviations for the parameters are temperature (T), vapour pressure deficit (VPD), plant available water (PAW),  
 223 soil water content (SWC), soil water potential (SWP). Fphen is used to define the phenology function.



224  
 225 **Figure 1:** Method for using Ref10  $POD_{\gamma}$  (i.e.  $POD_{\gamma}$  at 10 ppb constant ozone) as reference  
 226 point for ozone critical level derivation.  
 227

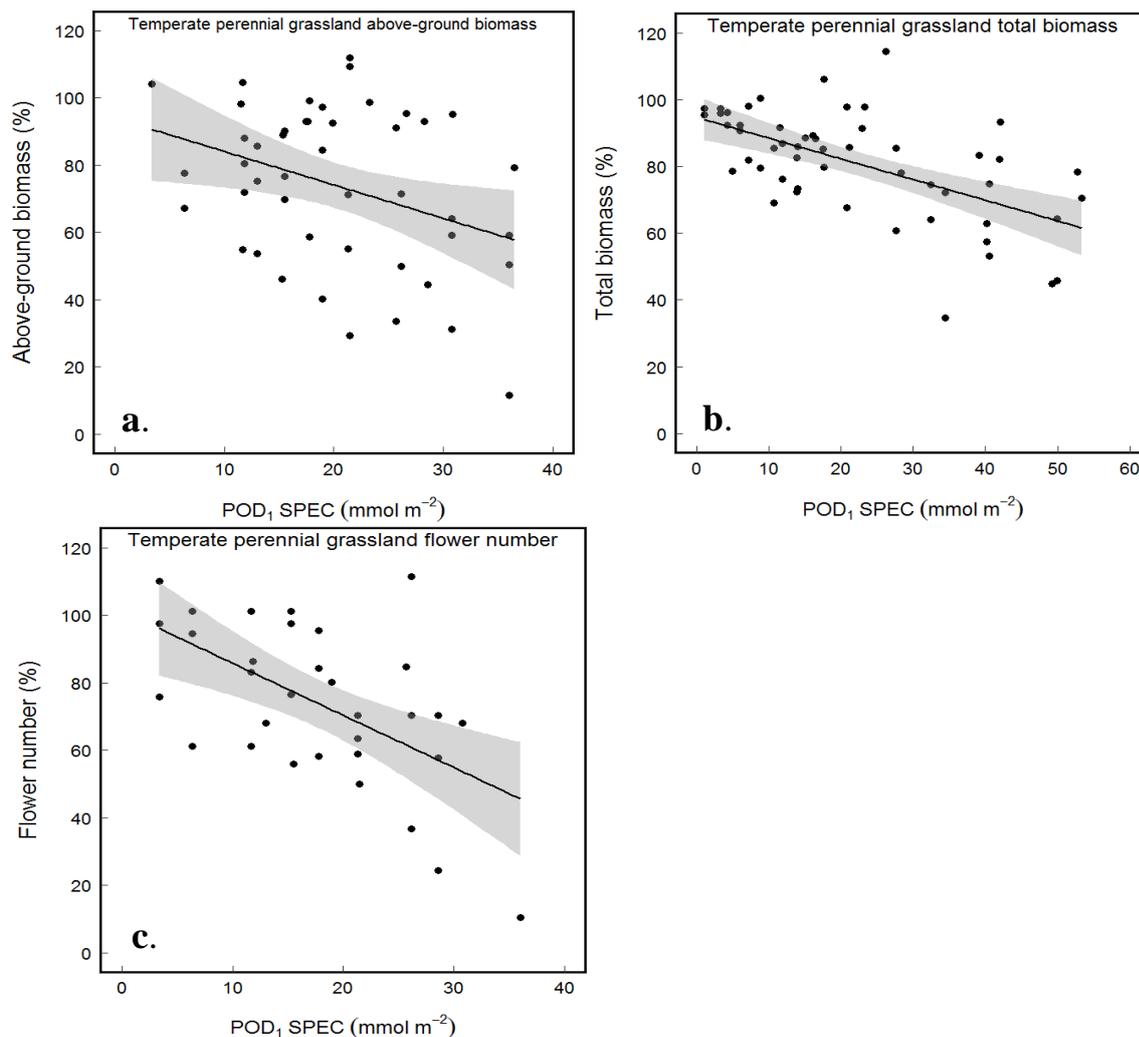
228

229 **Results**

230 Dose-response functions for above-ground biomass and total biomass, based on  $POD_{1SPEC}$   
 231 and using a standardised  $g_{max}$ , are shown in Figure 2a and 2b. Only ozone-sensitive species are  
 232 included and the number of datapoints varied between the two figures, as not all component  
 233 experiments measured root biomass in addition to shoot biomass. The number of species used  
 234 to derive these functions was six and four for above-ground biomass and total biomass  
 235 respectively. The relationships are statistically significant, with  $p=0.018$  for above-ground  
 236 biomass and  $p<0.001$  for total biomass. Comparison of the slope of the response function shows  
 237 that the relationship for above-ground biomass is not statistically more sensitive to ozone than  
 238 that for total biomass ( $p>0.05$ ). Relative flower number shows a significant decline with  
 239 increasing ozone dose ( $p<0.001$ ; Figure 2c). The relationship for flower number is based on 32  
 240 datapoints from four ozone-sensitive species. The total list of species in the final response  
 241 functions comprises: *Campanula rotundifolia*, *Dactylis glomerata*, *Fritillaria meleagris*,  
 242 *Leontodon hispidus*, *Potentilla erecta*, *Primula veris*, *Ranunculus acris*, *Sanguisorba*  
 243 *officinalis*, *Sanguisorba minor*, *Scabiosa columbaria*, *Trifolium pratense*. Figures illustrating  
 244 which species is represented by each datapoint are shown in the Supplementary material.

245

246 For each of these relationships the corresponding reference flux, REF10  $POD_1$ , was calculated  
 247 as  $0.1 \text{ mmol m}^{-2} \text{ PLA}$ . A 10% reduction in the effects parameter was considered to be a  
 248 biologically significant effect that could be important for plant ecosystems. Therefore, critical  
 249 levels were determined for a 10% reduction of the effect based on the slope of the relationship.  
 250 The critical level is lowest for flower number ( $6.6 \text{ mmol m}^{-2} \text{ PLA}$ ) and highest for total biomass  
 251 ( $16.2 \text{ mmol m}^{-2} \text{ PLA}$ ), with the critical level for above-ground biomass intermediate ( $10.2$   
 252  $\text{mmol m}^{-2} \text{ PLA}$ ).  
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**Figure 2:** Ozone flux-effect relationships based on  $POD_1$ SPEC for temperate perennial grasslands for a) above-ground biomass, b) total biomass and c) flower number. The statistical significance of the slopes are  $p=0.018$ ,  $p<0.001$  and  $p<0.001$  respectively.

## Discussion

### Species tested and representativeness of perennial grassland ecosystems in Europe

There are 4000+ species of (semi-)natural vegetation in Europe. Although response functions and relative sensitivities have been derived for >100 species (Hayes et al., 2007; Bergmann et al., 2015), at least 98% of (semi-)natural species remain untested. A larger range of species, and habitat coverage is needed in order to improve the assessment of risk from ozone pollution to (semi-)natural vegetation. The use of biogeographic regions (EEA, 2016) is a tool that can be used to define the area for which a critical level is applicable means that the critical levels can be used over a wider area than from where the experimental data originated (LRTAP Convention, 2017). This is useful for identifying areas that might be at risk of adverse effects of ozone pollution, but assumes that the same species are found across the biogeographic region.

The most suitable EUNIS classes (<http://eunis.eea.europa.eu/>) to use are those represented by the habitats for which the critical levels have been derived. These include the temperate perennial grasslands ‘Permanent mesotrophic pastures and aftermath-grazed meadows’, ‘Low

278 and medium altitude hay meadows’, ‘Unmanaged mesic grassland’ and ‘Meadows of the  
279 steppe zone’. However, not all temperate grasslands are sensitive to ozone, for example, alpine  
280 grasslands and pastures are known to be more resilient to ozone in terms of biomass growth  
281 (Volk et al., 2014). These critical levels are applicable to perennial grasslands in the European  
282 biogeographic regions ‘Boreal’, ‘Atlantic’ and ‘Continental’, as the functions were derived  
283 from species found in these regions (LRTAP Convention, 2017). They may also be indicative  
284 of risk in Steppic and Pannonian grasslands as these species can also occur in these regions,  
285 although they may be of smaller importance.

286

#### 287 Comparison to parameterisations and CL’s from the Mediterranean region

288 The critical levels derived here for temperate perennial grasslands were of a similar magnitude  
289 to those proposed for annual Mediterranean pastures, where the critical levels proposed for a  
290 10% loss of above-ground biomass and reproductive capacity were a  $POD_1SPEC$  of 12.2 mmol  
291  $m^{-2}$  and 7.2 mmol  $m^{-2}$  respectively (Sanz et al., 2016). As for temperate perennial grasslands,  
292 reproductive capacity was more sensitive to ozone than biomass.

293

#### 294 Indicators of biodiversity and ecosystem services

295 In this study flower number was used as a proxy for biodiversity, and biodiversity was not  
296 measured directly. Other relevant indicators could include seed quality as a reduction in seed  
297 germination rate by up to 30% has been shown for ozone-treated plants compared to control  
298 with ozone concentrations of 55 ppb (8h daily mean, Bender et al., 2006). Relative abundance  
299 of individual vegetation species within a community has also been shown to be affected by  
300 ozone (Evans and Ashmore, 1992; Wedlich et al., 2012), and the response of a dominant  
301 species in the community could also influence biodiversity, as secondary effects on species  
302 composition have been shown in some studies (Thwaites et al., 2006).

303

304 In the current study the critical level for flower number was lower than that for biomass,  
305 indicating the high sensitivity of this biologically significant response variable. Although there  
306 are comparatively few studies on (semi-)natural vegetation species, similar patterns have been  
307 demonstrated in some other vegetation,, for example, effects of ozone on wheat grain yield  
308 were larger than the effects on biomass, based on a combined analysis of 22 experiments  
309 (Pleijel et al., 2014). Similarly, a study based on 128 experiments across all vegetation types  
310 (including crops) showed that elevated ozone corresponded to a reduction in fruit number, fruit  
311 weight and seed number, and concluded that detrimental effects of ozone on reproductive  
312 growth and development were compromising the fitness of native plant species (Leisner and  
313 Ainsworth, 2012). Leisner and Ainsworth (2012) also showed that there was a decrease in the  
314 flower number with elevated ozone and that this was larger for perennial plants than for  
315 annuals. This could be due to a change in biomass partitioning, as there could be enhanced  
316 allocation to repair and maintain leaves damaged by ozone, particularly in perennial species,  
317 in order to maintain photosynthetic capacity to sustain longer-term survival.

318

319 Direct effects on animals, fungi, bacteria and insects that live in close association with these  
320 plants have not been considered as there is only a small amount of quantified evidence for these  
321 impacts (Bergmann et al., 2017). The dose-response relationships described in this study could  
322 also be used as a starting point for assessing the risk from ozone pollution on ecosystem  
323 services, for example, effects on total biomass and above-ground biomass could indicate  
324 potential effects on carbon sequestration.

325

#### 326 Interactions with other factors

327 In deriving response functions, the impact of ozone was considered in isolation. However,  
328 vegetation is exposed to multiple stresses that could have ameliorating or exacerbating  
329 interactions and these interactions are complex so that responses cannot be extrapolated from  
330 responses to single drivers. Climatic conditions, rising carbon dioxide and other pollutants can  
331 modify the responses of vegetation to ozone. Some of these influence the amount of ozone  
332 uptake through the stomata and therefore can be partially accounted for by using POD as the  
333 ozone exposure metric, for example changes in meteorological inputs such as warming (Bender  
334 and Weigel, 2007; Hayes et al., 2019a). However, changes in nitrogen input, including from  
335 atmospheric deposition, and changes in climate can also affect plant growth and biomass  
336 partitioning and these can act in combination with ozone. For example, at low ozone  
337 concentrations nitrogen can stimulate biomass, whereas this stimulating effect can be lost at  
338 high ozone concentrations (Wyness et al., 2011; Mills et al., 2016; Dai et al., 2019). In addition,  
339 nitrogen deposition can alter the species number and composition within plant communities,  
340 which may also alter community sensitivity to ozone pollution (Hayes et al., 2019b). Elevated  
341 carbon dioxide might reduce stomatal opening, but the response of vegetation to combined  
342 elevated carbon dioxide and ozone was found to be finely balanced depending on their relative  
343 concentrations in the atmosphere (Uddling et al., 2010). The timing of the growing season and  
344 therefore the timing of ozone uptake by the vegetation may also be affected by changes in  
345 climate (Peñuelas et al., 2002; Menzel et al., 2006).

346

#### 347 Long-term effects

348 These relationships are based on relatively short-term responses of vegetation to ozone. Ozone  
349 pollution and regions of highest uptake can vary in spatial distribution between different years,  
350 depending on factors including local meteorology so that some areas may experience  
351 conditions favouring high ozone uptake intermittently rather than continuously (Hewitt et al.,  
352 2016). However, legacy effects can also occur, for example, spring biomass of plants exposed  
353 to ozone the previous year was reduced for some species (Hayes et al., 2006) and for soil with  
354 a history of elevated ozone, plant biomass was reduced compared to cores from ambient  
355 conditions (Li et al., 2015).

356

#### 357 Use of the $y$ threshold (and $g_{max}$ )

358 Use of a threshold ozone flux represents the ability to detoxify low amounts of ozone that enter  
359 the plant, with increased damage occurring when this is exceeded (Burkey et al., 2006). The  
360 mechanisms for this include antioxidants such as ascorbate and glutathione (Foyer and  
361 Shigeoka, 2011), which are produced by the plant and react with ozone to form less harmful  
362 products. It has been suggested that differences in sensitivity to ozone may be due to differences  
363 in either antioxidant concentration to scavenge free radicals (Zhang et al., 2012) or the ability  
364 to rapidly upregulate antioxidants and enzyme activity in the presence of stress (Wang et al.,  
365 2010). Using a constant threshold for stomatal ozone flux (' $Y$ ' in  $POD_Y$ : Phytotoxic Ozone  
366 Dose over a threshold flux of  $Y \text{ nmol m}^{-2} \text{ PLA s}^{-1}$ ) is considered to act as a surrogate for an  
367 ozone detoxification threshold (Musselman et al., 2006) with different values used for different  
368 types of vegetation, i.e. crops, trees and (semi-)natural vegetation (Mills et al., 2011b).

369

#### 370 Canopy-scale fluxes

371 Whilst this analysis assumes that all species in a grassland experience the same ozone uptake,  
372 the relative position of a plant within the vegetation canopy could affect both ozone  
373 concentration and the rate of ozone uptake. It has been shown that the vertical distribution of  
374 ozone within a grassland canopy is influenced by the vertical distribution of leaf area and  
375 turbulence, with ozone concentrations at 25 cm height reduced by 36% compared to the  
376 concentration at 90 cm (Jäggi et al., 2006). There could also be an influence of microclimate

377 within the canopy, particularly for low-growing plants, and this can influence the ozone uptake  
378 due to effects of some variables (including light, temperature and humidity) on stomatal  
379 opening.

380

381 Within the DO<sub>3</sub>SE model the ozone flux to leaves of the upper canopy is calculated, whereas  
382 the modelled flux to the whole canopy may be different, and also affected by factors including  
383 the frequency of hay cuts and timing of the growing season (Ashmore et al., 2007). In order to  
384 use canopy scale fluxes for ozone risk assessment, effects at the canopy scale need to be  
385 available from experiments. This should include changes in species composition, as there could  
386 be underestimations in assessment of risk if there is a change in species composition but not in  
387 overall biomass. Any change in species composition is also required to assess potential  
388 implications of ozone on biodiversity.

389

### 390 **Conclusions**

391 This paper provides an overview of the recently revised flux-based dose-response relationships  
392 and critical levels that have been agreed within the LRTAP Convention for use in assessment  
393 of risk to (semi-)natural vegetation dominated by temperate perennial grassland. For a 10%  
394 reduction in above-ground biomass, total biomass and flower number, the critical levels are a  
395 POD<sub>1</sub> of 10.2, 16.2 and 6.6 mmol m<sup>-2</sup> respectively. Further work is needed to include a wider  
396 range of species and to cover a wider range of plant habitats. In addition, further work is needed  
397 to characterise the potential modifying influence of climate change and other pollutants on  
398 these response functions.

399

### 400 **Declarations**

401 Ethics approval and consent to participate

402 Not applicable.

403

404 Consent for publication

405 Not applicable.

406

407 Availability of data and materials

408 The datasets used and/or analysed during the current study are available from the  
409 corresponding author on reasonable request.

410

411 Competing interests

412 The authors declare that they have no competing interests.

413

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416

417 Authors' contributions

418 All authors contributed to the study conceptualisation and design. Data collection and  
419 analysis was performed by FH, with guidance from JB, LG, HH and GM. The first draft of  
420 the manuscript was written by FH. All authors read and approved the final manuscript.

421

422

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# **Ozone critical levels for (semi-)natural vegetation dominated by perennial grassland species**

## **Supplementary Information**

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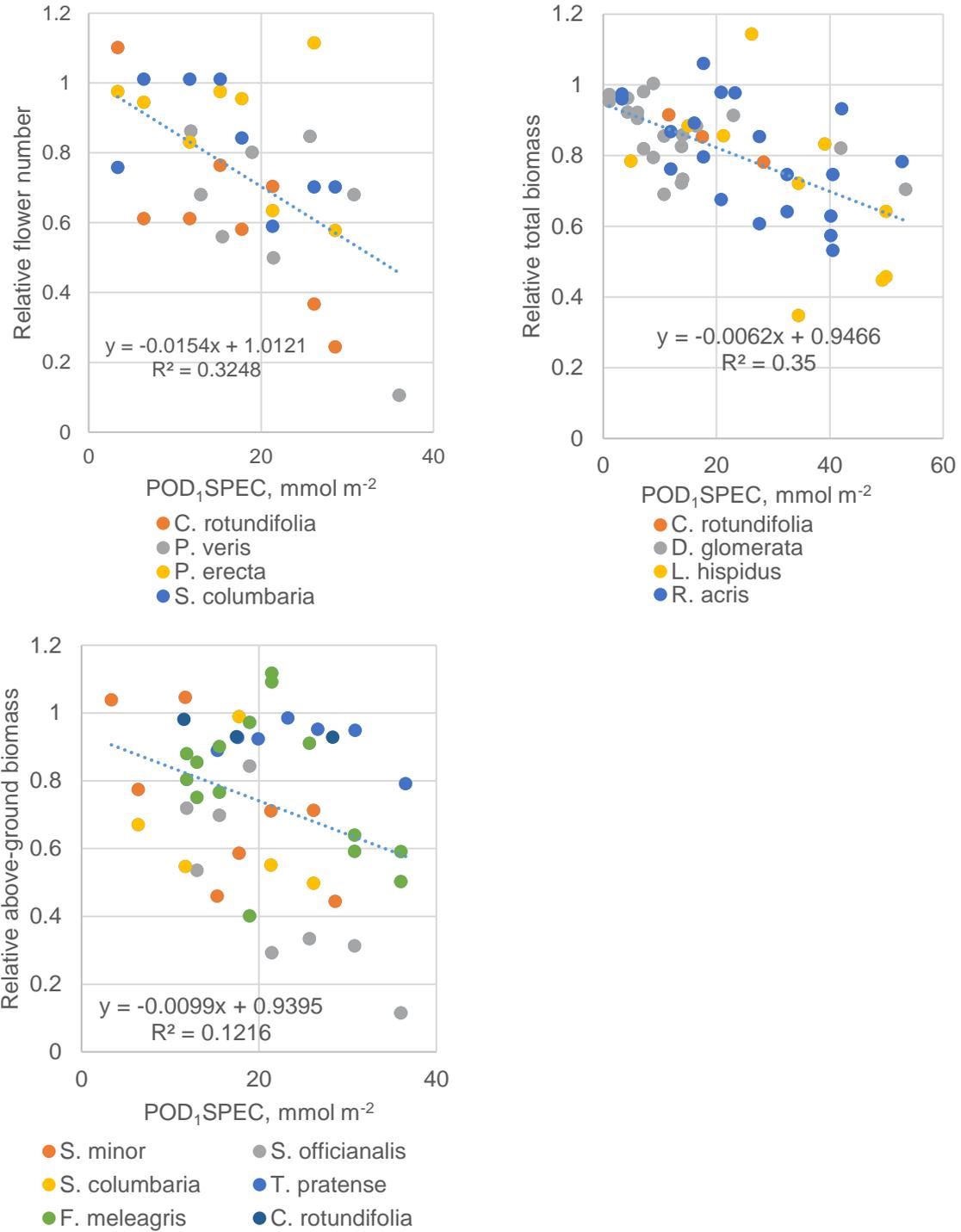
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**Table S1:** References for the 39 studies included in the database of responses of (semi-)natural vegetation to ozone. Note that it was not possible to calculate ozone fluxes for many of these datasets due to lack of availability of hourly meteorological data. All studies except Kohut et al. (1988) and Pflieger et al. (2010) used pots/large containers. All studies except the USA studies of Kohut et al. (1988) and Pflieger et al. (2010) were conducted in Europe.

Reference	Experimental system	Approximate duration	Number of species
Bergmann et al, 1999. <i>New Phytologist</i> 144:423-435	OTCs	6 weeks	17
Bungener et al, 1999. <i>New Phytologist</i> 142:283-293	OTCs	23 weeks	24
Calatayud et al, 2011. <i>Ecotoxicology and Environmental Safety</i> 74:1131-1138.	OTCs	16 weeks	1
Hewitt et al, 2014. <i>Environmental Pollution</i> 189:111-117.	Solardomes	12 weeks	2
Danielsson et al, 1999. <i>Environmental and Experimental Botany</i> 42:41-49.	OTCs	7 weeks	2
Dawnay and Mills 2009. <i>Environmental Pollution</i> 157:503-510.	Solardomes	12 weeks	1
Foot et al, 1996. <i>New Phytologist</i> 133:503-511.	OTCs	26 weeks	1
Franzaring et al, 2000. <i>Environmental and Experimental Botany</i> 44:39-48	OTCs	14 weeks	10
Gimeno et al 2004 <i>Environmental Pollution</i> 132: 297-306	OTCs	10 weeks	19
Hayes et al. unpublished UK Harebell ploidy study 2012.	Solardomes	12 weeks	1
Hayes et al., 2006. <i>Atmospheric Environment</i> 40:4088-4097.	Solardomes	10 weeks	27
Hayes, Williams, Macmillan, Mills Unpublished UK data 2006.	Solardomes	12 weeks	6
Williamson et al., 2010. <i>Environmental Pollution</i> 158:1197-1206.	Solardomes	4 weeks	7
Jones et al 2010. <i>Environmental Pollution</i> 158:559-565.	Solardomes	10 weeks	1
Kohut et al 1988. <i>Journal of Environmental Quality</i> 17:580-585.	OTCs	12 weeks	2
Ramo et al., 2006. <i>Environmental and Experimental Botany</i> 58:287-298.	OTCs	12 weeks	1
Mortensen and Nilsen 1992. <i>Norwegian Journal of Agricultural Science</i> 6:195-204.	Growth Chambers	5 weeks	16
Pflieger et al 2010. <i>Agriculture, Ecosystems and Environment</i> 138:116-126	OTCs	13 weeks	5
Pleijel and Danielsson 1997. <i>New Phytologist</i> 135:361-367.	OTCs	4 weeks	27
Power and Ashmore 2002. <i>New Phytologist</i> 156:399-408.	OTCs	3 weeks	12
Ramo et al 2007. <i>Environmental Pollution</i> 145:850-860.	OTCs	37 weeks	7
Sanz et al 2007. <i>Atmospheric Environment</i> 41:8952-8962.	OTCs	4 weeks	1
Sanz et al 2011. <i>Environmental Pollution</i> 159:423-430.	OTCs	8 weeks	1
Sanz et al 2014. <i>Atmospheric Environment</i> 94:765-772.	OTCs	5 weeks	1
Hayes et al, 2010. <i>Atmospheric Environment</i> 44:4155-4165.	Solardomes	24 weeks	7
Hayes et al, Unpublished UK data 2005.	Solardomes	12 weeks	8
Hayes et al, Unpublished UK data 2006 (individual species)	Solardomes	12 weeks	6
Hayes et al, Unpublished UK data 2006. (communities)	Solardomes	12 weeks	3
Hayes et al, Unpublished UK data 2007.	Solardomes	12 weeks	3
Hayes et al. 2011. <i>Environmental Pollution</i> 159:2420-2426.	Solardomes	20 weeks	2
Mills et al 2009. <i>Global Change Biology</i> 15:1522-1533.	Solardomes	20 weeks	2
Hayes et al unpublished data from 2007/2008 similar to Mills et al.,2009 (above)	Solardomes	20 weeks	2
Wagg et al 2012. <i>Environmental Pollution</i> : 165:91-95.	Solardomes	16 weeks	2
Hayes et al Unpublished UK data from 2011/2012.	Solardomes	12 weeks	3
Hayes et al. solardomes calcareous communities unpublished UK data from 2009/10	Solardomes	24 weeks	5
Hayes et al., 2012, <i>Environmental Pollution</i> 163:40-47.	Solardomes	24 weeks	5
Hayes et al Unpublished UK data from 2009/2010 using a similar set-up to Hayes et al 2012	Solardomes	24 weeks	3
Tonneijck et al., 2004. <i>Environmental Pollution</i> 131:205-213.	OTCs	15 weeks	4
Wyness et al 2011. <i>Environmental Pollution</i> 159:2493-2499	Solardomes	23 weeks	2

**Table S2:** Environmental responses and  $g_{\max}$  of selected species, including the number of datapoints used to parameterise the DO<sub>3</sub>SE model. Note that a standardised parameterisation was used for the flux-effect relationships presented.

Species	No. of datapoints	$g_{\max}$ (ozone)	$t_{\min}$	$t_{\max}$	$t_{\text{opt}}$	VPD min	VPD max	SWP min	SWP max	light a
<i>Dactylis glomerata</i>	880	262	12	32.6	24	3.2	1.2	-0.1	0	-0.01
<i>Anthoxanthum odoratum</i>	272	198								
<i>Briza media</i>	106	112								
<i>Ranunculus acris</i>	512	219	12	36	24	3.2	1.8	-0.12	-0.02	-0.005
<i>Leontodon hispidus</i>	546	408	6	29	21	3.2	1.2			-0.012
<i>Campanula rotundifolia</i>	317	219	9	41	25	3.2	1.2	-0.2	0	-0.006
<i>Sanguisorba minor</i>	355	259	12.5	34	25	3.2	2	-0.4	-0.05	-0.007
<i>Sanguisorba officinalis</i>	449	141	8	34	21	3.5	1.5	-1.5	0	-0.015
<i>Leontodon autumnalis</i>	115	112	11	33	23	3.2	1.2	-0.015	0	-0.07
<i>Scabiosa columbaria</i>	346	273	14	34	26	3.5	1.2	-0.3	-0.05	-0.007
<i>Primula veris</i>	423	95	8	38	23	3.5	1.7	-0.2	0	-0.015
<i>Fritillaria meleagris</i>	254	37	12	34	22	3.5	2	-0.25	0	-0.008
<i>Potentilla erecta</i>	411	134	10	35	25			-0.07	0	



**Figure S1:** Relative total biomass, relative above-ground biomass and relative flower number related to  $POD_1SPEC$ , with the individual species used in the plots separately identified.