# 1 Comparative morphology of Southern Ocean *Euphausia* species: ecological

# 2 significance of sexual dimorphic features

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## 14 Abstract

15 Species of the genus Euphausia dominate the euphausiid biomass of the Southern Ocean, the three 16 largest being Euphausia superba, E. triacantha and E. crystallorophias. We measured a number of 17 morphological features to identify differences between, and within, these species to obtain 18 ecological insights. Interspecifically, the greatest difference was carapace size, with that of E. 19 superba being by far the largest and most variable. This likely reflects its prolific spawning capacity 20 compared with other euphausiid species. E. triacantha exhibited an extended sixth abdominal 21 segment that could facilitate greater levels of thrust in the tail flip escape response. The pleopods, 22 which provide propulsion in forward swimming, were more than 50% larger in E. superba, indicating 23 a greater capacity for directional movement at high velocities. E. crystallorophias had eyes that were

24	almost double the size of those in <i>E. superba</i> and <i>E. triacantha</i> , which may help retain visual
25	resolution within its under-ice habitat. Intraspecifically, we found the above morphological features
26	differed little between sexes and developmental stages in E. crystallorophias and E. triacantha, but
27	differed significantly in <i>E. superba</i> . Compared to females and juveniles, male <i>E. superba</i> had
28	significantly larger eyes and pleopods, while the carapace in males became shorter as a proportion
29	of body length during growth. These features indicate a greater capacity for searching and swimming
30	in males, which, we hypothesise, increases their ability to locate and fertilise females. This
31	morphological specialisation in male <i>E. superba</i> is indicative of comparatively greater inter-male
32	competition resulting from its tendency to form large, dense swarms.

- 34 Key Words: Antarctic krill, euphausiids, swarm, reproduction, swimming, mating

## 35 Declarations

- 36 Funding The research was supported by the British Antarctic Survey, Natural Environment Research
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- 38 Conflicts of interest/Competing interests We declare no conflicts of interest in the production of
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- 40 **Ethics approval** All work was completed in compliance with British Antarctic Survey (BAS)
- 41 procedures, following the Antarctic Treaty Environmental Protocol (1996), which requires the prior
- 42 assessment of all activities in the Antarctic Treaty Area, and is applied by BAS with equal rigour to
- 43 South Georgia. Work on Antarctic krill (*Euphausia superba*) and other euphausiid species is exempt
- 44 from the UK Animals (Scientific Procedures) Act 1986, but all work was compliant with
- 45 recommended procedures in the handling and treatment of specimens.
- 46 Availability of data and material All raw data on which this study is based are published as an
- 47 appendix (Appendix 1) to the present manuscript.
- 48 **Consent to participate** We declare all relevant parties consented to participate in this study.
- 49 Consent for publication We declare all relevant parties have consented to the publication of this50 work.
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- Authors' contributions CH, MLJ and GAT devised this study with supporting ideas from JFL. All
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### 54 Introduction

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55 The genus Euphausia is the most species rich of the Euphausiacea, accounting for 31 of the 85 56 known species (Baker et al. 1990). The genus dominates the biomass of the Southern Ocean 57 euphausiid community, where three of the largest Euphausia species are found, E. superba, E. 58 crystallorophias and E. triacantha (Cuzin-Roudy et al. 2014). These species are a major part of the 59 diet of a large number of Southern Ocean higher predators, including penguins, seals and baleen whales (Croxall et al. 1999; Santora et al. 2010). They are also important in biogeochemical cycles, 60 61 particularly with regards the biological carbon pump, where their activity provides a major route for 62 carbon sequestration to depth (Tarling and Johnson 2006; Belcher et al. 2017; Liszka 2018). 63 Despite all three species being located in the Southern Ocean, the distributions of E. superba, E. 64 crystallorophias and E. triacantha only partially overlap (Baker 1959; Cuzin-Roudy et al. 2014). E. 65 triacantha has a distribution that spans across the Polar Front, penetrating part way into the 66 Antarctic water masses to the south and covering much of the sub-Antarctic water mass to the 67 north. *E. superba* is found between the Polar Front and the continental land mass, but particularly 68 concentrates in the seasonal ice zone. E. crystallorophias has an even greater affiliation to sea-ice 69 but is mainly restricted to regions where this overlaps with the continental shelf. These differing 70 distributions are accompanied by differences in lifestyle. E. triacantha are mostly found in diffuse 71 aggregations or layers and are capable of making extensive diel vertical migrations, ranging over 400 72 m in depth (Baker 1959). E. superba are obligate swarmers, and can form swarms that are both 73 densely packed and spatially extensive (Tarling et al. 2009). Less is known about the under-ice 74 behaviour of *E. crystallorophias* but there have been limited observations of them forming small 75 swarms and dispersing within sea-ice through maintaining a location close to the underside of the

All euphausiids have a body composed of a cephalothorax, which includes the carapace, two pairs of
antennae, the eyes, mouthparts, thoracic limbs and external gills, and an abdomen, with six

ice and moving within ice crevices and channels (O'Brien 1987).

79 articulating segments, each bearing a pair of pleopods (swimming legs), and a terminating segment 80 containing a telson and a pair of uropods (Fig. 1). Major morphological variations among genera and 81 species principally occur in the thoracic legs, the shape and relative length of the carapace and in the 82 eyes, which can be round or bilobed and variable in size. Within the genus Euphausia, interspecific 83 variations in morphology are relatively conservative compared to other euphausiid genera. The eyes 84 are always round (never bilobed), the carapace only really varies in the comparative length of the 85 rostrum and the presence or absence of small spines at its margins, and the thoracic legs are all 86 similar, often directed forward (Baker et al. 1990). Indeed, the most notable morphological variation 87 between Euphausia species is in overall body size, which can span almost an order of magnitude in 88 total body length (7 to 65 mm). Nevertheless, morphological similarity between species does not 89 imply similarity in lifestyle, with the genus containing a mix of herbivorous, omnivorous and 90 carnivorous species (Suh and Choi 1998; Sogawa et al. 2017)

91 Like all other euphausiid species, Euphausia species reproduce through the transfer of 92 spermatophores from males to females. During secondary sexual development, males modify the 93 first two pairs of pleopods to grasp and transfer the spermatophore during mating. The first pair of 94 pleopods becoming particularly transformed with various lobes, hooks and processes into structures 95 called petasma. In the females, variously shaped outgrowths from the ventral body wall and the 96 coxae of the limbs develop into a cup shaped structure called the thelycum, which receives the 97 spermatophore. The process of mating has been little observed, but is believed to follow a particular 98 behavioural sequence in which the male first chases the female before an embrace and then the 99 transfer of a spermatophore (Ross and Quetin 2000; Kawaguchi et al. 2011a; Kawaguchi et al. 100 2011b). Females themselves are only receptive to receiving new spermatophores for small windows 101 of time, just subsequent to moulting, although a number of moults may take place over the 102 productive season (Cuzin-Roudy and Amsler 1991; Cuzin-Roudy and Buchholz 1999).

103 Beyond the development of sexual organs, a number of sexually dimorphic features have been 104 noted in several euphausiid genera. In the genus Thysanoessa, there is sexual dimorphism in the 105 shape of the eyes and antennular flagellae, the breadth of the rostrum, the ratio of abdominal 106 segments and the arrangement and shape of pre-anal spines (Nemoto 1966). Färber-Lorda (1990) 107 also found a significant difference between males and females (as well as juveniles) in the regression 108 slope of carapace to total length in Thysanoessa macrura. In Euphausia vallentini, Nematobranchion 109 flexipes and Nematoscelis difficilis, sexual dimorphism has been found in the preanal spines (Boden 110 et al. 1955; McLaughlin 1965; Nemoto 1966) while several species of Nematoscelis exhibit great 111 enlargement of certain photophores in the males (Einarsson 1942; James 1973). In Stylocheiron 112 indicum, the proportion of length to depth of the sixth abdominal segment, and the proportion of the width of the upper part of the eye to the width of the lower part of the eye were found to be 113 114 significantly different between the sexes (Mathew 1980).

115 In Euphausia superba, sexual dimorphism has been reported in the size of the carapace and length of 116 the abdomen (Siegel 1982; Miller 1983; Färber-Lorda 1990; Färber-Lorda 1991; Amakasu et al. 2011; 117 Färber-Lorda and Ceccaldi 2020). In female E. superba, an increase in carapace to total body length 118 occurs whereas, in males, the reverse is apparent. Färber-Lorda (1990) further identified two sub-119 groups of males (Males I and II) that could be distinguished according to a Differentiation Index (DI), 120 which is the ratio between total length and the difference between abdominal length and carapace 121 length. Males II (the older subgroup) were found to have a proportionally larger abdomen and larger 122 pleopods than Males I, although supporting data for the latter was not published. Färber-Lorda and Ceccaldi (2020) also reported Males II to have more total carotenoids and a lower lipid content than 123 124 other sex-stage categories. Behaviourally, it has been shown that male and female E. superba have 125 different swimming capacities, with the swimming strokes of males being slower and more powerful 126 than those of females (Johnson and Tarling 2008). However, it remains unclear whether such 127 differences are also reflected in the morphology.

128 In this study, we compare a number of morphological features in juvenile, sub-adult, male and 129 female specimens of E. superba, E. crystallorophias and E. triacantha. Our objectives are, firstly, to 130 identify morphological variations that may reflect known differences in life-style and behaviour of 131 the three species. Secondly, we will test the hypothesis that all three Euphausia species show similar 132 levels of sexual dimorphism. For E. superba, this will provide a more thorough examination of the number of features that potentially show dimorphism in adult stages. For E. crystallorophias and E. 133 134 triacantha, this will be the first examination of whether there is any sexual dimorphism akin to that 135 observed in E. superba. Our results will be considered in terms of the ecological and evolutionary 136 pressures on Southern Ocean Euphausia and how the morphology of each species has adapted in 137 response.

#### 138 Materials and Methods

#### 139 Specimen acquisition

Specimens were collected during cruises to South Georgia and to Deception Island (Table 1) using either MIK nets (1m<sup>2</sup> mouth area) or Rectangular Midwater trawls (8m<sup>2</sup> or 25 m<sup>2</sup> mouth area). Such sampling techniques are considered to obtain relatively representative population samples despite patchiness in distributions (Watkins et al. 1990; Dalpadado et al. 2016).

## 144 Measurement and categorisation of specimens

145 Specimens were preserved in 99% Ethanol and were rinsed with distilled water before examination. 146 Each was placed under the viewing lens of an Olympus ZSX7 binocular dissecting microscope with a 147 calibrated eyepiece graticule, from which unit measurements were taken (Figs. 1 and 2, Table 2). All 148 specimens were orientated to the left and laid flat on their side to ensure measurements were 149 consistent. Samples of *E. superba* and *E. triacantha* were measured using a magnification of 1x 150 whereas E. crystallorophias required a 1.6x magnification. The unit measurements were converted to 151 millimetres. Note that the telson (TS) measurement was not included in subsequent statistical 152 analyses since it was frequently damaged.

153 Specimens were categorised by sex and maturity stage according to the guidelines of Makarov and 154 Denys (1980) for the classification of preserved specimens. Initially the presence or absence of the 155 thelycum was determined by examining the ventral surface of the specimen's cephalothorax. 156 Presence of the thelycum meant the specimen was sexed as female and categorised further according 157 to the level of development; specimens with tri-lobed structures were considered adult and specimens 158 with less developed structures considered sub-adult. Where the thelycum was absent, examination of 159 the inner paddle of pleopod 1 (p1l) for signs of male differentiation was carried out. At higher 160 magnification, the paddle was inspected for presence of the petasma; the term sub-adult was applied 161 to specimens with single or bi-lobed petasmae whereas specimens that had spermatophores or

greater petasmal differentiation were considered adult. Specimens which showed none of the above characteristics were considered juveniles. As a result of difficulties in assessing the maturity stages of the thelycum and petasma in *E. crystallorophias*, sex-stage categories in this species were reduced to male, female and juvenile only. For that species, females were any specimens with evidence of a thelycum, males with any level of development in the petasma, and juveniles, with an absence of either of those characters. All measurements across all species and sex stage categories are provided in Appendix 1.

#### 169 <u>Statistical analysis</u>

The size structure of the population of each species was examined through determining the frequency of individuals within 1 mm intervals of reference length, plotted as histograms, onto which the respective sex-stage categories were superimposed. The relationship between reference length (rl) and carapace length (s6) was explored through the fitting of a least-squares regression, performed in Sigmaplot v14 (Systat Software Inc., Build 14.0.3.192).

175 Principal Component Analysis (PCA) was performed on (1) the entire dataset and (2) each species 176 separately. PCA analysis is an ordination method where plots are generated in which the placement 177 of samples reflects the dissimilarity of their variables i.e. those samples where variables have dissimilar 178 values lie far part from each other and vice versa. PCA reduces the many dimensional space in which 179 samples vary from each other into a small number of dimensions, or principal components. The first 180 principal component explains the largest amount of variance, with each subsequent component 181 explaining less in turn. In the present study, PCA was used to analyse data matrices containing 7 182 different morphometric variables (s6, as6, p1u, p4u, p1l, p4l and d0; see Fig. 1 and Table 2) as variables 183 and individual specimens as samples. Only specimens for which it was possible to measure all 7 184 morphometric variables were included in the final matrix, such that the total number of specimens was 97 for E. crystallorophias, 136 for E. superba and 93 for E. triacantha, giving an N for the entire 185 186 dataset of 326 specimens. Prior to analysis, all data were standardised through dividing the variable

value by the corresponding value of standard length (s3). The PCA was run to identify 5 principal
components, of which the first 2 principal components (PC1 and PC2) were projected onto 2dimensional plots. All PCAs were performed in Primer 7 (Primer-e) version 7.0.13.

Sexual dimorphism within species was initially examined through consideration of the Differentiation
Index (DI; Farber-Lorda 1990) for each individual, calculated as:

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$$DI = \frac{s3}{(s3 - s6) - s6}$$
(1)

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where *s3* is total standard length and *s6*, carapace length as defined in Table 2. The term (*s3 - s6*) is equivalent to abdominal length (i.e. end of carapace to end of the 6<sup>th</sup> of abdominal segment, so excluding the telson). The DI of individuals were grouped according to species and sex-stage category for subsequent ANOVA tests (see below).

199 A further analysis to assess levels of dissimilarity between different sex-stage categories was 200 performed using the ANOSIM test in Primer 7 which included the 7 standardised morphometric 201 variables mentioned above. ANOSIM is a permutation test built on a non-parametric procedure 202 applied to a rank similarity matrix. The test generates a statistic that assesses the significance of levels 203 of dissimilarity between samples. In the present study, we generated a matrix where the samples were 204 sex-stage categories of all three species and the variables were the 7 standardised morphometric 205 variables detailed above. This data was initially used to generate a resemblance matrix using Euclidean 206 distance. A 1-way unordered ANOSIM was run on the resemblance matrix using sex-stage category as 207 a factor and with 13 levels, representing each of the different sex-stage categories. The significance 208 level was set at 0.1%. The output was a table detailing the level of dissimilarity between each pair of 209 sex-stage categories, making comparisons both within and between species.

Comparisons between individual variables were carried out using 1-way ANOVA tests, first
performing tests for normality (Shapiro-Wilk) and equal variance (Brown-Forsythe) and using a nonparametric Kruskal-Wallis 1-way Analysis of Variance on Ranks test when either of these tests were
failed. Where significant differences were found, either a Holk-Sidak (parametric) or Dunn's Method
(non-parametric) post-hoc test was performed to identify the pairs of sex-stage categories that were
significantly different from each other at p<0.05 level. All 1-way ANOVA tests were performed in</p>
Sigmaplot v14.

217 Functions relating the growth of an individual morphometric variables to growth in standard body 218 length (s3) for E. superba were examined in RStudio (RStudio Inc., Version 1.2.5001). Competing 219 linear models were fitted, one where the sex of the individual was included as an interaction term 220 and the other where it was not. The best fitting model was determined through running 1-way 221 ANOVAs followed by Akaike information criterion (AIC) analyses. Sex was considered to have an 222 influence on the growth trajectory of a morphometric variable if the model with sex as an 223 interaction term had the lowest AIC score. Linear models, including 95% confidence bands, were 224 subsequently plotted in Sigmaplot v14.

#### 225 Results

## 226 Interspecific differences in morphology

227 Within the study populations, there was some degree of overlap in body lengths, particularly within 228 the region of 20 to 30 mm reference length (Fig. 3). E. crystallorophias was the smallest of the three 229 species, with the study population spanning 17 to 28 mm reference length and a single modal peak 230 at 22 mm. E. triacantha was, on average, 8 mm longer than E. crystallorophias, with a single modal 231 peak at 30 mm and a range of 23 to 37 mm. E. superba spanned a large range of lengths, from 25 232 mm to 62 mm, with several overlapping modes indicating a number of year classes. There was a 233 clear trend of juvenile and sub-adult specimens dominating the smaller size classes and adults 234 dominating the larger size classes, with the transition occurring around 45 mm. 235 The relationship between Reference length (rl) and Carapace length (s6) was similar between all 3 236 species and could be adequately described by a single linear regression (s6 = 0.361r/-2.4802,  $t_{slope.319}$ 237 = 59.85, p < 0.0001,  $r^2$  = 0.9187; Fig. 4). E. superba exhibited the greatest level of deviation from this 238 relationship, particularly in the upper size classes. 239 A Principal Component Analysis including 7 standardised morphometric variables (s6, as6, p1u, p4u, 240 p1l, p4l and d0) found there to be a clear separation of E. superba from E. crystallorophias and E. 241 triacantha in the first two principal components (PC1 and PC2), which explained 80% of the variance 242 in the dataset (Fig. 5). E. superba had a strong negative loading in both PC1 and PC2 while E. 243 crystallorophias and E. triacantha had mostly positive loadings on PC1 and a range of loadings on 244 PC2, spanning both the positive and negative domains. Although there was considerable overlap 245 between E. crystallorophias and E. triacantha, it was also clear that only E. triacantha specimens had 246 highly positive loadings on PC2 while *E. crystallorophias* were the only specimens with highly 247 negative loadings on PC2. The superimposed morphometric vectors indicated that variability along 248 PC1 was mainly driven by carapace length (s6), while variability along PC2 was strongly influenced by 249 the length of abdominal segment 6 (as6).

250 Considering individual morphometric variables, standardised carapace length (s6) was significantly 251 longer in *E. superba* than the other two species (Kruskal-Wallis 1-way ANOVA [KW],  $H_2 = 178.97$ , p < 252 0.001), accounting for around 40% of body length in the former as opposed to around 30% in the latter (Fig. 6). The standardised 6<sup>th</sup> abdominal segment in *E. triacantha* was significantly longer than 253 254 the other two species (KW,  $H_2 = 231.88$ , p < 0.001). Other differences were found (i) in the 255 standardised lengths of the lower pleopods (p1l and p4l), which were significantly longer in E. 256 *superba* (*p1I*: KW, H<sub>2</sub> = 140.87, p < 0.001; *p4I*: KW, H<sub>2</sub> = 174.45, p < 0.001), and (ii) in standardised 257 eye diameter (*d0*), which was significantly larger in *E. crystallorophias* (KW, H<sub>2</sub> = 154.72, p < 0.001). 258 Intraspecific differences in morphology 259 An initial indication of sexual dimorphism was apparent from considering levels of variability in the

260 Differentiation Index (DI), which determines the relative sizes of the carapace and abdomen (larger 261 values indicating a comparatively larger carapace; Fig. 7). Although no significant differences in DI 262 were found between sex-stage categories in *E. crystallorophias* and *E. triacantha* (*E. crystallorophias*: 263 KW,  $H_2 = 0.587$ , p = 0.746; *E. triacantha*: KW,  $H_4 = 6.367$ , p = 0.173), significant differences were 264 found between adult females and both adult and subadult males in *E. superba* (KW,  $H_4$  = 21.599, p < 265 0.001). The DI of adult female *E. superba* was particularly wide ranging, with a value of 8.6 for the 75<sup>th</sup> percentile and 3.9 for the 25<sup>th</sup> percentile (Fig. 7). These values minimally overlap with those of 266 267 adult males (4.0 for the 75<sup>th</sup> percentile, 3.1 for the 25<sup>th</sup> percentile). DI values in the present study are 268 similar to those of Farber-Lorda (1990) for adult females while, for males, our values spread across both age categories identified by Farber-Lorda (1990), namely Males I (DI  $\sim$  4.1) and Males II (DI  $\sim$ 269 270 3.2). Nevertheless, it is to be noted that differences in measuring technique may be a source of 271 variance between studies.

Sexual dimorphism was further explored by ANOSIM, which considered levels of dissimilarities
between sex-stage categories across the same 7 standardised morphometric variables mentioned
above. As expected, significant levels of dissimilarity (<= 0.1%) were seen when comparing between</li>

275 species (Table 3). The only exception was the comparison of *E. triacantha* juveniles with male, 276 female and juvenile E. crystallorophias, where levels of similarity were low (0.3 to 1.4%) but not 277 significantly dissimilar. When considering dissimilarity within species, it was apparent that male, 278 female and juvenile E. crystallorophias were not significantly dissimilar from each other (1.4 to 279 45.5%). This was also found in *E. triacantha*, with the exception of the juveniles, which were 280 significantly different to the majority of other sex-stage categories, suggesting an ontogenetic effect. 281 The only species in which there were significant levels of dissimilarity between males and females 282 was E. superba, observed between adult females and adult males, as well as between adult females 283 and sub-adult females. Nevertheless, significant dissimilarity was not found between other E. 284 superba sex-stage categories, indicating that morphological differences between sexes increased 285 with maturity in this species.

286 Species-specific PCA analyses further clarified the above patterns (Fig. 8). The first two principal 287 components, PC1 and PC2, did not separate out clusters according to sex-stage categories in E. 288 triacantha and E. crystallorophias. However, clustering was more coherent in E. superba, where 289 adult female and, to a certain extent, sub-adult females, separated out from the other stages. In E. 290 superba, the superimposed morphometric vectors indicated that this separation was being driven 291 mainly by carapace length (s6), which showed a high loading on PC1. However, there was also a 292 separation of females from males and juveniles on PC2, for which there was an especially strong 293 influence of the lower pleopod segments (p1l and p4l). Pleopod parameters did not show a strong 294 influence in the PCA analyses in the other two species, indicating that a differentiation in pleopod 295 length between sex-stage categories is comparatively unique to *E. superba*.

In Fig. 9, standardised morphometric variables were normalised according to average values per
species to allow them all to be plotted on a single scale. The figure shows variability between stages
to be more evident in *E. superba* than the other two species in the majority of morphometric
variables (note *s6* is excluded from Fig. 9 as it broadly repeats the pattern in Fig. 7). Fig. 9 also

300 provides further detail on the intraspecific differences between sex-stage categories. In E. superba, 301 all pleopod segments in adult male E. superba were significantly longer, in standardised terms, than 302 all other sex-stage categories (*p1u*: 1-way ANOVA,  $F_{4,132}$  = 5.83, p < 0.001; *p4u*: KW, H<sub>4</sub> = 27.24, p < 303 0.001; p4l: 1-way ANOVA,  $F_{4,132}$  = 4.413, p = 0.002). The exception was p1l, which was not 304 significantly different from the other categories (*p1I*: 1-way ANOVA,  $F_{4,132}$  = 2.20, p = 0.072), probably 305 as a result of the secondary sexual development of p1l into the petasma. Also, standardised eye 306 diameter in male *E. superba* was significantly larger than all other sex-stage categories (KW,  $H_4 =$ 42.226, p < 0.001). Standarised eye-diameters were not significantly different between sex-stage 307 308 categories in the other two species.

## 309 Morphological growth trajectories in *E. superba*

310 Male-female differences in the size of pleopods and diameter of the eyes in *E. superba* were further 311 examined in relation to growth in body length (Fig. 10). For the pleopods, we focussed on pleopod 4 312 to avoid the effects of petasma development on pleopod 1, and on the lower pleopod section, 313 where we observed the greatest variability between sex-stage categories. It was apparent that 314 pleopod growth in the two sexes showed very different trajectories, with that in males being 315 significantly steeper (F<sub>2</sub> = 4.41, p = 0.014). From a similar origin at around 25 mm standard length 316 (s3), male pleopods became 50% longer than those of females by the time 60 mm standard length 317 was reached. In terms of eye-diameter (d0), there was also a significant difference between the male 318 and female growth trajectories ( $F_2$  = 10.16, p < 0.001), with males always having larger diameters 319 than females from standard lengths of 25 mm onwards. This separation became gradually wider 320 during growth.

#### 321 Discussion

322 This study has highlighted that, despite the relatively uniform body plan within the genus Euphausia, 323 significant variations in certain morphological characters were apparent between the three largest 324 Southern Ocean species of *Euphausia*. We further demonstrated that sexual dimorphic features 325 were only apparent in *E. superba* and were not detectable in *E. triacantha* or *E. crystallorophias*. 326 Comparison of these variations provides important insights into the ecological drivers of Euphausia 327 populations that has influenced their morphology over evolutionary timescales. Furthermore, the 328 unique types of sexual dimorphism apparent in *E. superba* suggests that lifestyle and behaviour 329 differs between the sexes and that a strong selective pressure is optimising their morphology to fulfil 330 these differing roles. The uniqueness of such sexual dimorphic features in E. superba indicates that 331 similar selective pressures to alter morphology according to sex are less acute in other euphausiid 332 species.

### 333 Carapace size

334 Between species, E. superba had the largest relative carapace length, making up around 40% of total 335 body length, whereas it was closer to 30% in the other two species. The major organs within the 336 carapace are the hepatopancreas, gut, heart and, in females, the ovary. Of these, the ovary takes up 337 the majority of space when developed, which can be up to 43% of the total wet mass of the body 338 (Tarling et al. 2007). The size of the ovary ultimately dictates the size of the spawned brood, which 339 can vary significantly both within and between species (Ross and Quetin 2000). Mauchline (1988) 340 found that the relationship between brood volume and body volume for 13 species of brooding 341 euphausiids (including *E. superba* and *E. triacantha*) was logarithmic. The slope was slightly greater 342 than 1, implying the size of brood increased faster than the corresponding increase in body size. 343 Accordingly, Ross and Quetin (2000) reported that the average number of eggs per spawning episode was 187 for E. crystallorophias (the smallest of the three species considered in the present 344 345 study), and between 1300 and 2900 for E. superba (the largest species) despite adult length differing

346 only by a factor of around 2. The relative increase in carapace size in *E. superba* is therefore a means 347 of facilitating this logarithmic increase in brood size. Assuming stable population sizes in these 348 species (meaning that each individual on average only ever replaces itself), the high brood size in E. 349 superba implies that mortality over the course of the life-cycle must be far greater in E. superba than 350 in E. crystallorophias or E. triacantha. E. superba swarms are the target for many mid- and higher 351 trophic levels in the Southern Ocean (Croxall et al. 1999) and large brood size in E. superba may be 352 the product of overcoming comparatively higher levels of predation mortality. However, much less is 353 known about predator consumption of E. crystallorophias and E. triacantha and further work on this 354 aspect is required if this is to be considered a driver of brood size in *Euphausia* species.

355 Within species, PCA analysis identified carapace size as the main trait separating out adult, and to 356 some extent, subadult female E. superba from other conspecific stages. Such dimorphism between 357 sexes and stages was not seen in E. crystallorophias and E. triacantha. The fact that carapace size 358 separates out adult female *E. superba* from other stages supports what is already known for this 359 species. First mention of this sexual dimorphic character was by Bargmann (1937), who noted that 360 the carapace was 4.5 mm shorter and 2 mm less wide in male *E. superba* compared to females of 361 equivalent length. The relationship between body length and carapace length for different sexes of 362 E. superba was formalised by Siegel (1982) and Miller (1983) through deriving sex-specific linear 363 relationships for the two characters, mainly for the purpose of population dynamic studies. 364 However, whereas the female carapace increases in relative size as it grows, Färber-Lorda (1990) 365 demonstrated that growth in males is accompanied by a decrease in carapace size, while the relative 366 length of the abdomen increases. While the functional attributes of increasing carapace size in 367 females can be clearly related to enhanced brood size (see above), the function of the morphological 368 changes observed in males is less certain. Färber-Lorda (1990) considered that the smaller carapace 369 might be a remnant of shrinkage in body length during periods of over-wintering starvation. 370 Nevertheless, although shrinkage has been demonstrated in laboratory situations (Thomas and 371 Ikeda 1987), in field data, Tarling et al. (2016) found that shrinkage was far more common in females

than in males during the overwintering period. Therefore, the dimorphism is more likely to be driven
by other factors, such as locomotory capabilities and levels of activity (Färber-Lorda and Ceccaldi
2020), which we consider further below.

### 375 <u>6<sup>th</sup> Abdominal segment</u>

376 The 6<sup>th</sup> abdominal segment of *E. triacantha* was almost double the length of that of *E. superba*, with 377 E. crystallorophias being midway between the two. The function of this particular segment is 378 uncertain. The abdomen is where the majority of thrust is generated, both in forward swimming and in tail-flipping (a rapid escape response). A longer 6<sup>th</sup> abdominal segment may enhance the strength 379 380 of the tail-flipping response through increasing the total length of the abdomen in its function as a 381 paddle. Such an escape response may be more important in euphausiids in dispersed aggregations 382 where they are more likely to have individual encounters with potential predators. Baker (1959) 383 reports that "E. triacantha displays none of the shoaling habit found in E. superba", with adults being "sparsely but evenly distributed". E. triacantha are also noted for their prolific diel vertical migration 384 385 (DVM; Baker 1959; Liszka 2018), avoiding predation through occupying deeper layers during 386 daytime. For *E. crystallorophias*, an alternative refuge is sought under pack-ice, although they do 387 exhibit some degree of swarming in certain situations (Everson 1987; O'Brien 1987). E. superba rely 388 far more on swarming as an anti-predation strategy (Hamner and Hamner 2000; Tarling et al. 2018), 389 in which the close vicinity of conspecific neighbours and higher chance of collision may make tail-390 flipping a less effective escape strategy.

391 Pleopod length

Pleopod length differed significantly between species, being close to 10% of body length in *E. superba* but around 6% in the other two species. The pleopods generate the thrust for forward
swimming through synchronised backward strokes. Murphy et al. (2009) examined the kinetimatics
of swimming in *E. superba* and determined that they stroke their pleopods in a metachronistic
fashion, where the swimming beat starts with the rearmost pair of pleopods and then moves

397 forwards sequentially through the other four pairs. This pattern of swimming is affected by the 398 distance between pleopods (B) relative to the pleopod length (L), with B/L falling between 0.2 and 399 0.65. The disadvantage of L being small is that short appendages yield small amounts of forward 400 thrust. However, larger values of L require greater limb rigidity and musculature to compensate for 401 the higher hydrodynamic loads experienced by the longer limb moving through the water. Making 402 the pleopods as long as possible will generate greater thrust for forward swimming, so long as they 403 do not interfere with adjacent pleopods. E. superba is capable of sustained forward swimming 404 speeds in excess of 2 body lengths per second (Kils 1979) and can perform directional horizontal 405 migrations, even against prevailing flows (Hamner 1984; Tarling and Thorpe 2014). The swimming 406 capabilities of E. crystallorophias and E. triacantha are less well known, but their comparatively 407 shorter pleopods suggest that directional horizontal migrations are less important to their 408 behavioural ecology.

409 The only species to show significant differences in pleopod length between sexes and stages was E. 410 superba. In some of the largest specimens (60 mm), we found pleopods to be 50% longer in males 411 compared to females, although this proportional difference is less in smaller specimens. Endo 412 (1989), who found that the pleopods in males were better developed in more mature specimens, 413 was first to report this phenomenon and it was subsequently noted by Färber-Lorda (1990). In 414 tethering experiments carried out by Johnson and Tarling (2008), male E. superba were found to 415 have stronger but slower pleopod beats compared to equivalently sized females. As mentioned 416 above, greater limb rigidity is required in larger pleopods to withstand the higher hydrodynamic 417 loads (Murphy et al. 2009). Euphausiids lose rigidity during the premoult and postmoult periods of 418 their moult cycle, which repeatedly occur every 10 to 30 days in E. superba. Compared to females, 419 Tarling et al. (2006) found that the moult cycle lasted around twice as long in males, so decreasing 420 the period in which rigidity is lost. The longer intermoult period may also allow a greater period in 421 which to build up cuticle rigidity, although measurements of the comparative rigidity of males and 422 female cuticles are presently lacking in any euphausiid species.

423 Eye diameter

424 Relative eye diameter was around 50% larger in E. crystallorophias compared to the other two 425 species. In a comparative physiological study carried out by Hiller-Adams and Case (1988), it was 426 shown that visual resolution increased with increasing eye size within and between euphausiid 427 species. Furthermore, they found eyes grew more slowly with respect to body size at deeper water 428 depths. With respect to habitat, E. crystallorophias is the only one of the three species that almost 429 exclusively focusses on under-ice habitats, where light will be considerably attenuated by the 430 prevailing ice-cover. To some degree, this greater light attenuation may simulate light levels found at 431 deeper depths, where euphausiids have smaller eyes. The fact that the opposite is found in E. 432 crystallorophias suggests that the selective pressures determining eye size are not solely to do with 433 prevailing light levels. E. crystallorophias is omnivorous (Hopkins 1987; O'Brien 1987; Kattner and 434 Hagen 1998) and is capable of capturing potentially fast moving, surface-dwelling micro- and 435 mesozooplankton, for which high levels of visual resolution are required. Conversely, deeper 436 euphausiids are likely to exhibit a greater dependence on detritivory (Mauchline and Fisher 1969) 437 where tactile foraging, using flagellae, may be more effective. The comparatively large eyes in E. 438 crystallorophias allow it to maintain sufficient visual resolution despite the high levels of light 439 attenuation in its under-ice habitat. Although E. triacantha may also have to contend with low light 440 levels during the deep phase of its vertical migration, its main feeding habitat is in the surface layers 441 where it feeds at dusk and dawn as well as during the night, which may be relatively well illuminated 442 during the polar summer (Liszka 2018). E. superba remains limited to the top 50 m during both day 443 and night (Tarling et al. 2009) and so feeds within well-lit surface layers throughout the diel cycle. 444 Significant intraspecific differences in eye diameter was only found in *E. superba*, with adult males 445 having significantly larger eyes than adult and sub-adult females, juveniles and sub-adult males. 446 Comparatively larger eyes in male *E. superba* has been previously noted by Bargmann (1937) and 447 Färber-Lorda (1990). Eye-diameter has sometimes been used as a preferred indicator of age given

448 the capacity of euphausiids to shrink in body length during periods of starvation while eye size is 449 preserved (Sun et al. 1995). One hypothesis therefore is that males in the present study population 450 were older than equivalently sized females due to male shrinkage. Taking into account that male 451 shrinkage appears to be rare in nature (see above), there is other evidence from the present study to 452 refute such an explanation. Fig. 10 showed that eye diameter of the males was already larger than 453 females at comparatively small sizes and that this difference became progressively larger with 454 increasing body size. A further explanation could be that males may occupy a deeper habitat than 455 females where a larger eye-size is required to compensate for the attenuation of light. The 456 occupation of different depth strata by males and females has been observed in northern krill 457 (Meganyctiphanes norvegica, Tarling 2003) but there is no evidence of different eye sizes between 458 sexes in that species. Furthermore, there is little present evidence of any depth partitioning of sexes 459 in E. superba.

460 To explore potential drivers of eye size further, it is well known that an increase in eye-size will 461 increase visual resolution, which could enhance (i) predator avoidance, (ii) prey capture and (iii) 462 mate location. In relation to (i), females are more common in the diets of certain krill predators (Hill 463 et al. 1996), although it is difficult to disentangle whether this is a result of predator preference for 464 the higher nutritional content of females or better prey avoidance by males. In terms of (ii), studies 465 of the diet of krill have found little to separate male and female krill, both in terms of stomach 466 contents and stable isotopes (Schmidt and Atkinson 2016). For (iii), there has historically been a 467 dearth of information regarding the process of mating in euphausiids. However, Ross et al. (1987) 468 noted in the laboratory that there was a period of chase prior to mating. In situ, Kawaguchi et al. 469 (2011a) similarly noted a chase period before an embrace, firstly through the krill connecting 470 ventrally to each other and then by the male forming a C shape around the cephalothorax of the 471 female. At some point during this sequence, the spermatophore is transferred. How males identify 472 females that are ready to be mated is less known, although there is a distinct difference in the 473 colouration of the thelycum of a female that is ready to be mated (bright red) compared to that of a

mated female (dark red to brown, Makarov and Denys 1980). Enhanced visual resolution is likely to
provide an advantage in identifying females that are ready to be mated. Nevertheless, this selective
pressure will be prevalent across all euphausiid species, while evidence of differences in eye size or
shape between sexes is limited to E. *superba*, *Thysanoessa* species (Nemoto 1966) and *Stylocheiron*species (Mathew 1980). This suggests that other drivers of sexual dimorphism must also be
operating.

## 480 <u>Can swarming drive sexual dimorphism?</u>

481 In his review of mate searching in zooplankton, Kiørboe (2008) identified one major driver to be a 482 limitation to the period over which females are receptive to mating. This would result in inter-male 483 competition, with a race between males to find females that are ready to be mated. Mate encounter 484 rate then becomes a priority since a potential mate may already be fertilised if the male is too slow 485 to locate it. Time limitation occurs in euphausiids because females become receptive to receiving 486 new spermatophores only in the period just subsequent to moulting (Cuzin-Roudy and Buchholz 487 1999), which occurs at intervals of around 2 to 3 weeks during the productive period (Tarling et al. 488 2006).

489 Although swarming is not exclusive to *E. superba*, the obligate level to which this species performs 490 this behaviour may provide the unique combination of factors that lead to sexual dimorphism. In 491 being part of a swarm, there is greater potential to mate with a number of receptive females during 492 a time-limited window. In aggregations that are more diffuse, distances between individuals are 493 larger, which gives more of an advantage to nearest neighbours over prolific swimmers. Altering 494 morphology to become a specialised searcher and swimmer is unlikely to enhance reproductive 495 fitness in a dispersed aggregations since there is little chance of beating a slower male that 496 immediately neighbours a receptive female (Kiørboe 2008). The sexual dimorphism observed in E. 497 superba suggests that, in being part of a swarm, those odds of beating slower neighbouring males to receptive females are improved. Specialisms in swimming (e.g. larger abdomen and longer pleopods) 498

and searching (e.g. larger eyes) will have fitness benefits since there is a realistic chance of increasing
the number of times an individual can mate successfully. This hypothesis is supported by the recent
findings of Färber-Lorda and Ceccaldi (2020) where carotenoids, which are an indirect indicator of
higher levels of activity and stress, are more highly concentrated in mature males, while lipid
reserves are comparatively lower. Both findings are consistent with a life-style of time-limited mate
searching.

## 505 <u>Concluding remarks</u>

506 This study of the comparative morphology of three *Euphausia* species that dominate the biomass of 507 the Southern Ocean euphausiid community has been instructive in examining their ecology. 508 Morphological differences between species are consistent with what is presently known about the 509 habitat and behaviour of the respective species. Although the origin of our samples was limited to 510 the Atlantic sector of the Southern Ocean, including the Antarctic Peninsula region, we consider our 511 findings to be indicative of these species traits throughout the Southern Ocean since this region 512 typifies the environmental and biotic drivers found more widely in this ocean. In making interspecific 513 comparisons, we have revealed the unique degree to which E. superba exhibits sexual dimorphism. 514 Our identification of these sexual dimorphic features has, in turn, provided insights into the major 515 selective pressures that have acted on these organisms over evolutionary time. It also emphasises 516 the intimate relationship between the many distinctive aspects of the Southern Ocean environment 517 and the morphology and behaviour of the Euphausia species that have exploited it so successfully.

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- 672

### 673 Figure Legends:

- 674 Fig. 1: Body plan of a typical *Euphausia* specimen showing the dimensions of the morphological
- 675 measurements made in the present study. RL Reference length, S3 standard length 3, S6 –
- 676 carapace length, TS length of the telson, AS6 length of the 6<sup>th</sup> abdominal segment, d0 eye
- diameter. Note pleopod measurements were also made (see Fig. 2)
- 678 Fig. 2: Measured dimensions of the 1<sup>st</sup> and 4<sup>th</sup> pleopods. P4U Upper segment of the 4<sup>th</sup> pleopod,
- 679 P4L lower segment of the 4<sup>th</sup> pleopod, P1U upper segment of the 1<sup>st</sup> pleopod, P1L lower
- 680 segment of the 1<sup>st</sup> pleopod
- 681 Fig. 3: Euphausia spp.: Frequency plots of the reference lengths (mm) of E. triacantha, E. superba
- 682 and *E. crystallorophias* specimens used in the present analysis
- 683 Fig. 4: *Euphausia spp*.: Relationship between reference length (*rl*, mm) and carapace length (*s6*, mm)
- 684 in sex-stage categories of the 3 species, showing fitted linear regression (s6 = 0.361rl-2.4802,  $t_{slope,319}$
- 685 = 59.85, p < 0.0001,  $r^2$  = 0.9187) and 95% confidence band. *E.crys Euphausia crystallorophias*;
- 686 E.sup- E. superba; E.tri E. triacantha
- 687 Fig. 5: Euphausia spp.: Principal Component Analysis of the morphological characters of E.
- 688 *crystallorophias, E. superba* and *E. triacantha* showing the first two principal components. Vectors of
- 689 the morphometric variables are superimposed. Morphological measurements were standardised
- 690 prior to analysis through dividing by standard length (s3). Codes for the morphological characters are
- 691 provided in Table 2
- Fig. 6: *Euphausia spp*.: Box plots of the standardised measurements of 7 morphological characters
  for *E. crystallorophias* (E. cry), *E. superba* (E. sup) and *E. triacantha* (E. tri). The box extends from the
- 694 first to the third quartile. The line in the box is the median and the whiskers are the minimim and
- 695 maximum values, while the dots are outliers. Morphological measurements were standardised

696 through dividing by standard length (s3). *E.crys – Euphausia crystallorophias; E.sup- E. superba; E.tri*697 – *E. triacantha*

698	Fig. 7: <i>Euphausia spp</i> .: Box plot of the Differentiation index for different sex-stage categories of <i>E</i> .
699	crystallorophias, E. superba and E. triacantha. The box extends from the first to the third quartile.
700	The line in the box is the median and the whiskers are the minimum and maximum values, while the
701	dots are outliers. Ec – E. crystallorophias, Es – E. superba, Et – E. triacantha, F – female, J – juvenile,
702	M – male, FA – adult female, FS – sub-adult female, MA – adult male, MS – sub-adult male
703	Fig. 8: Euphausia spp.: Principal Component Analysis of the morphological characters of sex-stage
704	categories of E. crystallorophias (upper), E. superba (middle) and E. triacantha (lower) showing the
705	first two principal components. Vectors of the morphometric variables are superimposed.
706	Morphological measurements were standardised prior to analysis through dividing by standard
707	length (s3). Codes for the morphological characters are provided in Table 2.
708	Fig. 9: Euphausia spp.: Box plots of the standardised measurements of 7 morphological characters
709	for E. crystallorophias, E. superba and E. triacantha. Each of the measurements was normalised
710	according to average values per species of the respective morphological character. The box extends
711	from the first to the third quartile. The line in the box is the median and the whiskers are the
712	minimum and maximum values, while the dots are outliers. Codes for the morphological characters
713	are provided in Table 2. Ec – E. crystallorophias, Es – E. superba, Et – E. triacantha, F – female, J –
714	juvenile, M – male, FA – adult female, FS – sub-adult female, MA – adult male, MS – sub-adult male
715	Fig. 10: Euphausia superba: Relationship between standard length (s3) and the lower segment of
716	pleopod 4 (p4I, left) and eye diameter (d0, right) for sub-adult and adult females (red) and sub-adult
717	and adult males (cyan) of <i>E. superba</i> only. Lines indicate best fit regressions (bold) bounded by 95%
718	confidence bands. All measurements are in mm.

Species	Sample Location	Cruise Number	Date Collection	of
Euphausia superba	South Georgia, Antarctica	JR245	24/12/2010	
Euphausia triacantha	South Georgia, Antarctica	JR177	02/02/2008	
Euphausia crystallorophias	Deception Island, Antarctica	JB05	10/03/1985	

Table 1. Sample populations examined during the present study. Samples from collections at the British Antarctic Survey

Measurements	Description						
Reference Length (rl)	Horizontal distance from the base of eyestalk at the anterior edge of the carapace, to posterior tip of telson. <i>Measurement provides baseline reference length for each animal. Used here for considering population structure.</i>						
Standard length (s3)	Horizontal distance from the base of eyestalk at the anterior edge of the carapace, to the posterior ventral edge of the sixth abdominal segment. Measurement mitigates impact of damage to telson on reliability of data. Used here to standardize measurements of other morphological characters.						
Carapace ( <i>s6</i> )	Horizontal distance from the base of eyestalk at the anterior edge of the carapace, to the posterior edge of carapace. <i>Measurement provides baseline reference length of cephalothorax</i> .						
Abdominal Segment 6 ( <i>as6</i> )	Horizontal distance from the anterior edge to the posterior edge of abdominal segment 6.						
Telson ( <i>ts</i> )	Horizontal distance from the anterior junction of the telson with the sixth abdominal segment, to the posterior tip of the telson (excluding setae and uropods).						
Upper segment of Pleopod 1 (p1u)	Vertical distance from the articulation where the upper segment of Pleopod 1 adjoins the abdomen, to the articulation between the upper and lower segments of Pleopod 1.						
Lower segment of Pleopod 1 ( <i>p1l</i> )	Vertical distance from the articulation between upper and lower segments of Pleopod 1, to the tip of paddle (excluding setae). Note that there is differentiation in the male reproductive organ located on the inner paddle of the lower segment of pleopod 1 in euphausiid species.						
Upper segment of Pleopod 4 (p4u)	Vertical distance from the articulation where the upper segment of Pleopod 4 adjoins the abdomen, to the articulation between the upper and lower segments of Pleopod 4.						
Lower segment of Pleopod 4 ( <i>p4l</i> )	Vertical distance from the articulation between upper and lower segments of Pleopod 4, to the tip of paddle (excluding setae).						
Diameter of Eye ( <i>d0</i> )	Vertical distance between dorsal and ventral lateral edges of left eye.						

Table 2. Morphological measurements made on each individual specimen of the three study species. See Fig. 1 and Fig. 2 for further indication of the location of these measurements.

	EcF	EcJ	EcM	EsFA	EsFS	EsJ	EsMA	EsMS	EtFA	EtFS	EtJ	EtMA
EcJ	1.4											
EcM	17.6	45.5										
EsFA	0.1	0.1	0.1									
EsFS	0.1	0.1	0.1	0.1								
EsJ	0.1	0.1	0.1	1	21.7							
EsMA	0.1	0.1	0.1	0.1	0.9	3.1						
EsMS	0.1	0.1	0.1	0.3	8.1	76.2	1.8					
EtFA	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1				
EtFS	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	75.6			
EtJ	0.3	1.4	0.3	0.1	0.1	0.1	0.1	0.1	0.1	0.1		
EtMA	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	40.9	12.2	0.1	
EtMS	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	13.4	21.4	0.3	41.5

Table 3. *Euphausia spp.*: Dissimilarity matrix of all measured morphological characters in each sex-stage category for each species as derived by an ANOSIM analysis (see text). A value of 0.1 (grey shading) indicates a significant level of dissimilarity in morphology between the respective pair, with values >0.1 (bold) indicating the level of similarity. Ec – *E. crystallorophias*, Es – *E. superba*, Et – *E. triacantha*, F – female, J – juvenile, M – male, FA – adult female, FS – sub-adult female, MA – adult male, MS – sub-adult male.



Fig. 1



Fig. 2



Fig. 3



Fig. 4



Fig. 5







Fig. 7



Fig. 8



Species sex-stage category

Fig. 9



Fig. 10