- 1 Uncertainty assessment in river flow projections for Ethiopia's Upper
- 2 Awash Basin using multiple GCMs and hydrological models
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# Uncertainty assessment in river flow projections for Ethiopia's Upper Awash Basin using multiple GCMs and hydrological models

3 Uncertainty in climate change impacts on river discharge in Ethiopia's Upper 4 Awash Basin is assessed using five MIKE SHE hydrological models, six CMIP5 5 GCMs, and two RCP scenarios for the period 2071–2100. Hydrological models 6 vary in their spatial distribution and process representations of unsaturated and 7 saturated zones. Very good performance is achieved for 1975–1999 (NSE: 0.65– 8 0.8; r: 0.79–0.93). GCM-related uncertainty dominates variability in projections 9 of high and mean discharges (mean: -34% to +55% for RCP4.5, -42% to +195% 10 for RCP8.5). Although GCMs dominate uncertainty in projected low flows, inter-11 hydrological model uncertainty is considerable (RCP4.5: -60% to +228%, RCP8.5: 12 -86% to +337%). ANOVA uncertainty attribution reveals that GCM-related 13 uncertainty occupies an average 68% of total uncertainty for median and high 14 flows and hydrological models no more than 1%. For low flows, hydrological 15 model uncertainty occupies an average 18% of total uncertainty; GCM-related uncertainty remains substantial (average 28%). 16

Keywords: Upper Awash Basin; hydrological modelling; climate change;uncertainty

## 19 1. Introduction

20 Climate change is expected to alter the quantity, quality and timing of river flow, 21 groundwater recharge and other hydrological processes (Jiménez Cisneros et al. 2014). 22 In turn, modifications to the distribution of freshwater resources have the potential to 23 significantly impact global water and food security (Betts et al. 2018). Quantifying the 24 hydrological impacts of climate change is critical to informing strategies designed to 25 sustain water security and maintain aquatic environments and the ecosystem services they 26 provide (Thompson et al. 2014a). The hydrological impacts of climate change are 27 commonly evaluated by perturbing meteorological inputs to hydrological models with 28 climate projections derived from General Circulation Model (GCM) simulations of 29 alternative radiative forcing scenarios (e.g. Todd et al. 2011; Thompson et al. 2013). 30 However, these climate change impact assessments are subject to multiple sources of 31 uncertainty that cascade down through each step of the impact modelling chain (Wilby 32 and Dessai 2010).

34 The cascade of uncertainty in hydrological climate change impact assessments typically 35 consists of uncertainties related to emission scenarios, GCMs and hydrological models. 36 The first source of uncertainty relates to diverging trajectories of greenhouse gas 37 concentrations (and other radiative drivers) that arising from uncertainty in economic 38 development, technological change and climate mitigation policies. Current projections 39 are expressed through a range of Representative Concentration Pathways (RCPs) (IPCC 40 2014). GCM-related uncertainty is the next, and most frequently studied, source of 41 uncertainty. It arises from differences in spatial resolution, parameterization and process 42 descriptions between GCMs (e.g. Todd et al. 2011; Hattermann et al. 2018). Integrated 43 multi-scale analyses have shown that at a global scale GCM-related uncertainty 44 dominates uncertainty in hydrological projections (e.g. Todd et al. 2011; Vetter et al. 45 2015; Krysanova et al. 2017).

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47 Uncertainty related to the structure of hydrological models is often neglected despite the 48 wide range of hydrological model codes applied in climate change impact studies. While 49 previous studies agree that hydrological model uncertianty is generally smaller than 50 GCM-related uncertainty, it cannot be ignored (e.g. Thompson et al. 2013). Hydrological 51 model uncertainty is epistemic and mainly arises from a lack of knowledge in the 52 representation of natural hydrological processes within models (Beven 2016). 53 Hydrological model structures can differ according to 1) parameterization, 2) process 54 coupling, 3) model domain discretization, and 4) classification of spatially-distributed 55 catchment characteristics including land use, geology, soil, and hydrological processes 56 (Butts et al. 2004). Acceptable and similar performance can be achieved using different 57 model structures (i.e. model equifinality; Beven 1993). Whilst different hydrological 58 models may perform similarly for a baseline period, they can produce different responses 59 when forced with the same climate change projections (e.g. Poulin et al. 2011; Thompson 60 et al. 2013).

61

62 This study investigates the cascade of uncertainty for projections of river discharge under 63 climate change within Ethiopia's Upper Awash Basin with a particular focus on 64 hydrological model structure uncertainty. Uncertainty associated with model parameters 65 during calibration can also lead to model equifinality but are not explicitly addressed in 66 this study. Hydrological model structure uncertainty is assessed by developing five 67 individual hydrological models of varying complexity using the same model code, MIKE 68 SHE. While previous studies (such as QUEST-GSI; Todd et al. 2011 and ISI-MIP; 69 Hattermann et al. 2018) have investigated hydrological model structural uncertainty using 70 a number of different model codes, this study assesses structural uncertainty by varying 71 the representation of spatial variability and the complexity of process descriptions within 72 a single hydrological model code. This study is the first time the MIKE SHE modelling 73 system has been applied to the Upper Awash Basin and is likely the first study that has 74 undertaken sensitivity analyses for alternative combinations of process representations 75 within MIKE SHE for an African catchment.

# 76 1.1 The Upper Awash Basin

77 The Awash River Basin (Fig. 1) is Ethiopia's most developed and economically important 78 river basin and the first in which modern agriculture and large-scale irrigation were 79 established (Berhe et al. 2013). It has an area of ~113,709 km<sup>2</sup> and is bordered to the west 80 by the Abbay Basin (Blue Nile). The main river runs for 1200 km from the central 81 Ethiopian highlands at ~3000 mamsl (metres above mean sea level) within the East 82 African Rift Valley in a northeastern direction to the endorheic Lake Abe at ~250 mamsl 83 (Fig.1b). Based on topography, climate and elevation it can be divided in to three zones: 84 Upper Awash, Middle Awash and Lower Awash (Taddese et al. 2003).

85

86 The Upper Awash Basin (UAB) is home to at least 15.7 million people (~17% of 87 Ethiopia's population) and includes Ethiopia's capital, Addis Ababa. The UAB 88 terminates at the Koka Dam and Reservoir, 75 km southeast of Addis Ababa. It has an 89 area of 11,500 km<sup>2</sup> and accounts for 7% of the Awash River Basin. The UAB has 90 frequently been the sole focus of hydrological research given the significantly altered 91 river regime downstream of the Koka Dam (Berhe et al. 2013; Müller et al. 2016). 92 Precipitation within the UAB is substantially modulated by the seasonal migration of the 93 Inter-tropical Convergence Zone. Mean annual rainfall varies from 1400 mm in the 94 headwaters of the Ethiopian highlands to 800 mm near Koka Dam. The climate is 95 characterized by a short rainy season in spring (February to March) and a main summer 96 wet season (June to September). Potential evapotranspiration (PET) is inversely 97 correlated to altitude (Berhe et al. 2013) and varies from 1810 mm in the humid Ethiopian 98 highlands to over 2300 mm in the arid lower valley. Sandy clay loam (42%) and clay 99 (39%) are the dominant soil types. The hydrostratigraphy of the UAB is dominated by 100 three main units (Kebede, 2013; Jira 2019): an upper or shallow (80 to 150 m depth) 101 aquifer of Quaternary alluvium as well as weathered and fractured basalt; an intermediary 102 (~100 m thick) pyroclastic confining bed; and a confined aquifer (>300 m thick) of 103 Tertiary volcanics.

104

105 Precipitation over the Ethiopian highlands is strongly influenced by large-scale controls 106 on climate variability such as the El Niño Southern Oscillation (ENSO). El Niño phases 107 are associated with below-average summer rainfall and are considered to be a major 108 driver of past drought episodes (e.g. Seleshi and Zanke 2004; Philip et al. 2018). Despite 109 its importance, previous research has shown that the GCMs of the Coupled Model 110 Intercomparison Project Phase 5 (CMIP5) are limited in their ability to simulate ENSO 111 behaviour in the observed record and show little consensus over how its behaviour may 112 change in the future (Kociuba and Power 2014; Chen et al. 2016). Projections for East 113 Africa over the 21<sup>st</sup> Century generally point towards increasing temperature (and hence 114 PET), in response to enhanced global mean temperatures, and precipitation, linked to 115 enhanced wet seasons under both RCP4.5 and RCP8.5 greenhouse-gas concentration 116 trajectories (Niang et al. 2014).

117

118 The scarcity of high quality and complete hydro-meteorological data has been a particular 119 challenge in the Awash Basin and neighbouring East African catchments (Mekonnen et 120 al. 2009). Sparse hydrologgcal monitoring below Koka Dam imposes further restrictions, 121 beyond the dam's regulation of discharge, on the spatial extent of hydrological 122 investigations. In this study, discharge data from two gauging stations in the UAB (Fig. 123 1) were selected on the basis on the duration, continuity and integrity of their records. Gauging stations at Melka and Hombole have drainage areas of 4456 km<sup>2</sup> and 7656 km<sup>2</sup>, 124 125 respectively. These stations were used for model calibration / validation. Models were 126 forced with daily precipitation data from 11 rain gauges (Fig. 1), which were selected 127 based on a review of data quality including initial double mass analysis. Temperature 128 records are limited and only available at an elevation of 2354 m. This record was adjusted 129 for four 500 m elevation ranges between 1500 m and 3500 m to account for spatially 130 varying PET, the other meteorological parameter forcing the models which was

131	calculated using the adjusted	temperature	records	and	the	Hargreaves	method
132	(Hargreaves and Samani 1985).						

- 133
- 134 135

#### [FIGURE 1]

## 136 **2. Methods**

# 137 2.1 Model development, calibration and validation

138 Each of the five hydrological models of the UAB was developed using the MIKE SHE 139 modelling system which is capable of simulating the major processes of the land phase 140 of the hydrological cycle (e.g. Graham and Butts 2005). MIKE SHE is commonly 141 described as being deterministic, fully-distributed and physically-based but its modular 142 structure is flexible and includes process descriptions of varying levels of complexity, 143 some of which are conceptual and semi-distributed in nature (Refsgaard et al. 2010). The 144 spatial distribution of model inputs across the MIKE SHE model grid can also be readily 145 modified and these inputs can either be uniformly or spatially distributed. By altering the 146 complexity of process descriptions and spatial distribution, MIKE SHE can be used to 147 explore the impacts of hydrological model structural uncertainty by developing models 148 of alternative process descriptions and spatial distributions (e.g. Rochester 2010; 149 Robinson 2018; Vansteenkiste et al. 2014a, b). The development of models of the UAB 150 adheres to the approaches used by MIKE SHE modelling of other large river systems (e.g. 151 Anderson et al. 2001; Thompson et al. 2013; Hudson and Thompson 2019). MIKE 11, a 152 1D hydraulic model, is dynamically coupled to MIKE SHE and simulates channel flow 153 (Thompson et al. 2004). Table 1 summarises the data requirements and sources of data 154 for each component of the five alternative MIKE SHE / MIKE 11 models of the UAB.

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- 156 157

#### [TABLE 1]

The five models span a range of commonly used hydrological model structures. They were developed using existing process representations available within the MIKE SHE modelling system, which include relatively simple spatially uniform, conceptual approaches through to spatially-distributed, physically-based process descriptions as well as a combination of the two. Whilst each model employs the same 1 km × 1 km grid size 163 and a maximum time step of 1 day, they vary according to the computational approaches 164 used to represent the unsaturated (UZ) and saturated zones (SZ) (Table 2). The models 165 also employed two alternative approaches to the spatial distribution of surface soil 166 parameters in the UZ. The first assumes uniform distribution of soil types; the second 167 spatially varies soil classes based on the FAO Digital Soil Map of the World (FAO 1998). 168 Each hydrological model is given a name according to the following three criteria: 1) 169 whether the model has a uniformly distributed (U) or spatially distributed (D) unsaturated 170 zone, 2) whether the model has conceptual (C) or physically-based (P) representation of 171 unsaturated and saturated flow, and 3) whether it used Gravity Flow (G) or Richards 172 equation (R) to describe unsaturated flow.

# 173

#### 174

175

#### [TABLE 2]

176 A split-sample calibration/validation approach (Klemeš 1986) was adopted for all five 177 hydrological models. In each case, the periods 1975–1987 and 1988–1999, for which the 178 most complete hydro-meteorological datasets are available, were used for calibration and 179 validation, respectively. Calibration/validation was based on comparison of observed and 180 simulated discharge at the two gauging stations with model performance being assessed 181 using multiple statistical measures; Nash-Sutcliffe Efficiency (NSE; Nash and Sutcliffe 182 1970), percentage deviation (Dv; Henriksen et al. 2003) and Pearson product moment 183 correlation (r). Model performance using these statistics was assessed based on the 184 scheme proposed by Henriksen et al. (2003). This scheme has previously been used in a 185 number of modelling studies (e.g. Thompson et al. 2013; Ho et al. 2016; Hudson and 186 Thompson 2019). Previous research has suggested that NSE tend to be more sensitive to 187 high and extreme flows (Pushpalatha et al. 2012; Krause et al. 2005). As the hydrology 188 at the UAB is relatively flashy and the difference between high and low flows can exceed 150 m<sup>3</sup>s<sup>-1</sup> in a single year, model performance was also assessed through the calculation 189 190 of daily and monthly logNSE using the logarithmic values of river discharge to give more 191 weight to low flows (Krause et al. 2005). In order to minimize the risks of over-192 parameterization, the number of parameters subject to calibration was kept as low as 193 possible according to the framework outlined by Refsgaard (1997). Horizontal and 194 vertical hydraulic conductivity was varied during calibration for all hydrological models 195 with the exception of Model 5, which employed the conceptual linear reservoir 196 representation of the SZ. The time constants for the interflow and baseflow reservoirs

197 were varied in the calibration of Model 5 instead. Hydraulic conductivity within the UZ 198 was varied in models 1 and 2, which both employed a conceptual representation of the 199 unsaturated zone. Bypass fraction for the dominant soil type was varied in all models.

200

# 201 2.2 Climate change scenarios

202 Each of the five calibrated and validated MIKE SHE/MIKE 11 models was perturbed 203 with projected changes in precipitation and PET from six CMIP5 GCMs (Table 3) for the 204 RCP4.5 and RCP8.5 scenarios. Changes in mean annual precipitation and temperature 205 over the UAB for these six GCMs broadly represent the range of change across the 206 complete CMIP5 ensemble with the exception of a few notable outliers (Supplementary 207 Fig.1). In this way, the range of projected climate change across the CMIP5 ensemble is 208 represented by a similar number of GCMs to the hydrological models that were developed 209 for the UAB. The delta-factor approach was used to establish future precipitation and 210 PET time series. Initially monthly change factors for precipitation (%) and mean, 211 maximum and minimum temperatures (°C) were derived by comparing basin-wide 212 projections from each GCM for the baseline (1975-1999) and future periods (2071-2100). 213 Daily baseline observed precipitation and temperature data were perturbed by these 214 monthly delta factors (e.g. Anandhi et al. 2011). PET was then recalculated using the new 215 temperature series and the Hargreaves method (Hargreaves and Samani 1985). The delta-216 factor approach is widely used in hydrological climate change impact assessments (e.g. 217 Arnell 2003; Poulin et al. 2011; Ho et al. 2016; Hudson and Thompson 2019). It should 218 be noted that this approach retains the climate variability of the baseline period but does 219 not consider modifications to rainfall intensity (Fowler et al. 2007). Additional 220 simulations employed perturbed precipitation whilst using baseline PET and vice versa. 221 This enabled assessment of the relative importance of inter-GCM uncertainty in 222 precipitation and PET to overall uncertainty (Gosling et al. 2011; Thompson et al. 2013).

223 224

#### [TABLE 3]

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# 226 2.3 Uncertainty analysis

Systematic analysis of multiple sources of uncertainty enables quantification of the
relative magnitude of each source to overall uncertainty. For example, Bosshard *et al.*(2013) used three-way ANOVA to quantify the relative dominance of different sources

230 of uncertainty along the entire impact modelling chain. ANOVA has since been employed 231 to quantify uncertainties in multi-catchment global investigations (Karlsson et al. 2016; 232 Vetter 2015, 2017; Krysanova et al. 2017; Hatterman et al. 2018). It enables variance

- 233
- decomposition where overall impact assessment uncertainty is decomposed into elements
- 234 (i.e. individual sources of uncertainty) and interactions among them.
- 235

236 Following the approach of Bosshard et al. (2013), overall uncertainty (Y<sub>0</sub>) is defined in terms of annual Q10, Q50 and Q90 river discharges (i.e. discharges equalled or exceeded 237 238 for 10, 50 and 90 percent of the time in each year, respectively) for the climate change 239 signal for each of the impact modelling chain combinations comprising five hydrological 240 models, six GCMs, and two RCP scenarios (eq. 1). The river discharge quantiles selected 241 match those of recent multi-site impact assessments (Vetter et al. 2015; Krysanova et al. 242 2017; Hattermann et al. 2018) and characterise high, median and low flows, respectively. 243 Each source of uncertainty that is considered is an 'effect' that is hypothesized to 244 influence overall climate change signal variability. ANOVA, conducted using SPSS 22, 245 splits the total sum of squares into sum of squares for each effect and their interactions 246 (eq. 2). The variance fraction  $(n^2)$  (between 0 and 1) is then calculated for each effect and represents the percentage contribution of each effect and interactions (eq. 3). 247

248

 $Y_Q = Q_Q^{CC} - Q_Q^{BL}$ 249 (1)Q = monthly river discharge quantiles (Q10, Q50, Q90) 250

251 CC = scenario

252 BL = baseline

253 Y = overall uncertainty

- 254
- 255
- 256 257

258

SST = total sum of squares

259 SS<sub>HM</sub>, SS<sub>GCM</sub> and SS<sub>RCP</sub> correspond to SST partitioned into sum of squares of the effects

 $SST = SS_{HM} + SS_{GCM} + SS_{RCP} + SS_{HM*GCM} + SS_{HM*RCP}$ 

 $+SS_{GCM*RCP} + SS_{HM*GCM*RCP}$ 

(2)

260 (hydrological model, GCM, RCP)

261 
$$\eta_{GCM}^2 = \frac{1}{I} \sum_{i=1}^{I} \frac{SS_{GCM}}{SST},$$

262 
$$\eta_{HM}^2 = \frac{1}{I} \sum_{i=1}^{I} \frac{SS_{HM}}{SST},$$

263 
$$\eta_{RCP}^2 = \frac{1}{I} \sum_{i=1}^{I} \frac{SS_{RCP}}{SST}$$
(3)

264 *I* is the number of subsamples and  $\eta^2$  is the variance fraction for each effect.

265

# **3. Results**

#### 267 3.1 Model calibration and performance

268

269 Table 4 summarises the final values of the main calibration parameters for each of the 270 five hydrological models. As described above, the alternative process representations 271 means that not all of these parameters are relevant to each model and the parameters 272 modified during calibration varied between models. The values of horizontal and vertical 273 hydraulic conductivity within the SZ, representing the upper aquifer unit of Quaternary 274 alluvium and weathered/fractured volcanics, are up to an order of magnitude larger in 275 models 3 and 4 that use physically-based conceptualizations of the UZ, compared with 276 Model 1 with a simpler representation of the UZ. It is probable that the higher hydraulic 277 conductivities for the former are required to compensate for the longer transit times 278 through the UZ as simulated by the Gravity Flow and Richard's equation approaches. 279 Indeed, the calibrated hydraulic conductivity values are more than an order of magnitude 280 lower than those employed by Jira (2019) in SZ models using MODFLOW. The bypass 281 fraction, which determines the proportion of precipitation that under certain conditions is 282 routed directly to the water table, was similar across all models. Similarly, the same 283 values were established for the UZ hydraulic conductivity for the two models (1 and 2) 284 employing the conceptual 2-layer water balance approach.

[TABLE 4]

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288 Fig. 2a&b shows observed and simulated mean monthly discharge at both gauging 289 stations for the calibration and validation periods. Monthly mean discharges are shown 290 in the interests of clarity since simulation results are provided for each of the five 291 hydrological models. Statistical measures of model performance and their classification 292 using the scheme of Henriksen et al. (2003) using both daily and monthly mean 293 discharges at each station for both periods and all five models are presented in Table 5. 294 Simulated and observed low flows in the dry season (DJF) and logNSE values for both 295 daily and monthly mean discharges are shown in Table 6.

296

297 According to the performance scheme, monthly NSE values indicate at least 'very good' 298 model performance (NSE > 0.7) for all models at both stations for the calibration period. 299 NSE values for this period suggest improvement in performance between models 1 and 300 2 that is associated with the introduction of spatial distributed soil parameters within the 301 UZ. Model performance according to NSE is more variable in the validation period 302 although it is at least 'fair' across all models with the exception of Model 3 at Melka. 303 NSE values indicate weaker performance at a daily time-steps compared to monthly 304 metrics (see also Thompson et al. 2014b) with Model 5 providing the best performance 305 at this shorter time step. In most cases r values are above 0.9 in the calibration period and 306 are all above 0.8 with some exceeding 0.9 in the validation period.

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- 308309

#### [TABLE 5]

310 According to the values of D<sub>v</sub>, all models provide at least 'fair' performance during the 311 calibration period and indicate an overall underestimation of river flow at Melka and an 312 overestimation at Hombole. Dv values are poorer for the validation period with 313 classifications ranging from 'very poor' to 'fair'. All models tend to overestimate peak 314 discharges in the validation period, albeit to varying degrees. This is particularly notable 315 for models 4 and 5. Given the flashy nature of river flow in the UAB, Dv values are likely 316 skewed by the inability of all of the models to fully capture the range of flow extremes. 317 This is supported by the daily and monthly logNSE values which are generally lower than 318 the NSE values across both the calibration and validation periods. In common with the 319 NSE values, logNSE values are weaker at a daily time-step compared to monthly. 320 Monthly logNSE values range from 0.22-0.58 in the calibration period and -0.21 to 0.68

in the validation period across both stations, suggesting a large disparity among the hydrological models in their ability to simulate low flows. The logNSE values at Hombole are generally better than at Melka across all hydrological models. The ability to reproduce low flows is notably poor in the validation period for models 4 and 5 with negative logNSE values.

326

# 327

#### 328

#### [TABLE 6]

329 Fig. 2c-f show observed and simulated river regimes (monthly mean discharge) for all 330 hydrological models in the calibration and validation periods. Although timing of the 331 observed seasonal peak is reproduced very well for the calibration period, all models 332 underestimate wet season July-August-September (JAS) discharges at Melka. This 333 underestimation ranges from, on average, 14% for Model 4 to 35% for Model 3. 334 Underestimation of wet season discharges also dominates at Hombole (three models) with 335 a range of 6-15% (models 2 and 3, respectively). Models 4 and 5 overestimate discharge 336 at this time by, on average, 10% and 17%, respectively. All of the models except Model 337 3 overestimate the low dry season (DJF) flows. This overestimation is more pronounced 338 at Melka. The largest overestimates are for Model 4 (375% for Melka, 210% for 339 Hombole) whereas the smallest are for Model 2 (74% at Melka, 1% at Hombole). The 340 average absolute differences in DJF flows across all hydrological models are, however, relatively small (4.1 m<sup>3</sup>s<sup>-1</sup> and 4.9 m<sup>3</sup>s<sup>-1</sup> at Melka and Hombole, respectively). 341

342

343 Inter-hydrological model ranges in simulated discharge, indicated by the river regimes, 344 are larger for the validation period. At Melka, mean overestimation of JAS discharge 345 ranges from 16% (Model 5) to 52% (Model 3) whereas at Hombole different models are 346 responsible for the smallest (16%, Model 2) and largest (32%, Model 5) overestimates. 347 Underestimates in mean JAS discharges are restricted to Model 1 and are by comparison 348 relatively small (2% at Melka and 4% at Hombole). The representation of dry season 349 (DJF) river discharge remains an area of significant inter-hydrological model variability. 350 Model 3 is, as for the calibration period, the only model to underestimate low flows (12% 351 at Melka, 36% at Hombole). The remaining models all overestimate low flows by at least 352 100% at both stations. Overestimates at Melka range from a mean of 280% (Model 2) to 353 750% (Model 4) and at Hombole from 100% (Model 2) to 430% (Model 4).

356

#### [FIGURE 2]

357 A comparison of annual observed and simulated Q10 discharges (i.e. discharges equalled 358 or exceeded for 10 percent of the year) at the two gauging stations for both the calibration 359 and validation periods and each hydrological model demonstrates relatively good 360 replication of high flow with reasonable r values cross the calibration (0.49-0.79) and 361 validation (0.77-0.91) periods. The spread of model results (lowest r values) is larger for 362 Model 3, which produces a notably higher bias at Melka. The r values for comparisons 363 between annual observed and simulated Q90 discharges (discharges equalled or exceeded 364 for 90 percent of the year) are less favourable for both the calibration (0.16-0.69) and 365 validation (0.14-0.69) periods. Models 1, 4 and 5 produce particularly large differences 366 between observed and simulated low flows. As demonstrated by the logNSE values, 367 Models 2 and 3 are comparatively superior in simulating annual Q90 discharges and 368 Model 3 is the only model to underestimate low flows. Inadequate low-flow performance 369 likely stems from a relatively small weighting given to low flows in the calibration 370 process and the dominant reliance on NSE and r that favour the replication of peak flows. 371

# 372 3.2 Projected changes in precipitation and PET

Catchment-averaged baseline and projected mean monthly precipitation and PET under
the RCP4.5 and RCP8.5 scenarios for each of the six GCMs are shown in Fig. 3a-d.
Percentage changes in mean annual precipitation and PET for each GCM and both
scenarios are shown in Fig. 4e-h.

377

378 The magnitude of precipitation and PET changes, as well as inter-GCM variability, is considerably larger for RCP8.5 compared to RCP4.5. All but one GCM project increases 379 380 in mean annual precipitation for both RCP scenarios. The exception is CSIRO-Mk3 that 381 projects a decline of 7% for RCP8.5. This same GCM projects only very modest (0.5%) 382 increases under RCP4.5. In both cases, increases are concentrated in the dry season and 383 precipitation declines in most wet season months. The remaining five GCMs project 384 increases at this time of year and consequentially higher annual precipitation totals. The 385 GCM responsible for the largest increase varies between RCP scenarios. MPI-ESM-MR

accounts for the largest increase for RCP4.5 (+31%) and CanESM2 (58%) for RCP8.5.
It is also notable that a number of GCMs project a change in the temporal distribution of
rainfall with an enhanced bimodal distribution developing in the form of a second, but
smaller, rainy season between January and April. This is most notable for CSIROMk3.6.0, IPSL-CM5A-MR and MPI-ESM-MR (RCP4.5) and CanESM2 and IPSLCM5A-MR (RCP8.5).

392

393 All six GCMs project increases in annual PET for both RCP scenarios although the 394 magnitude of the changes varies. For RCP4.5 increases in annual PET range from 2% 395 (CanESM2) to 10% (CSIRO-Mk3). In most cases the magnitude of gains in PET increase 396 under the RCP8.5 scenario although at the lowest extreme the 2% increase for CanESM2 397 is repeated. The largest increase of 17% is again for CSIRO-Mk3 and there is an 398 approximate consistency in the relative order of magnitude of change for different GCMs 399 between the two scenarios. CanESM2 is the only GCM that projects decline in any of the 400 mean monthly PET totals. In most cases these declines occur in months when baseline 401 PET is relatively low.

402

403

#### [FIGURE 3]

404

# 405 3.3 Projected changes in river discharge

Fig. 4 shows percentage changes in mean discharge from the baseline at both Melka and 406 407 Hombole as simulated by the five hydrological models for each GCM and the two RCP 408 scenarios. Near-consistent increases in mean catchment precipitation for the six GCMs 409 are not repeated for mean discharge. A larger proportion, albeit still a minority of the 410 GCM-hydrological model results, projects declines in mean discharge. This contrast 411 reflects consistent increases in PET. For RCP4.5 declines in mean discharge at both 412 stations for all five hydrological models are projected for CCSM4 and CSIRO-Mk3 413 whereas all but one hydrological model (Model 1) projects declines for HadGEM2-ES. 414 The largest declines are projected for CSIRO-Mk3 with a mean across the five models of 415 35% at Melka and 32% at Hombole. At the other extreme, consistent increases across the 416 five hydrological models are projected for CanESM2, IPSL-CM5A-MR and MPI-ESM-417 MR with the last projecting the largest mean increase across the hydrological models of 418 55% at both Melka and Hombole.

420 Under RCP8.5 fewer GGM-hydrological model results are associated with declines in 421 mean discharge. The GCM responsible for the largest increases (mean 169% and 193% 422 for Melka and Hombole, respectively) is CanESM2. At Melka all hydrological models 423 project declines for CCSM4 and CSIRO-Mk3 whereas at Hombole one model (Model 1) 424 projects an increase for the first of these GCMs. As with RCP4.5, CSIRO-Mk3 projects 425 the largest declines (on average 47% and 37% for Melka and Hombole, respectively and 426 larger than those for RCP4.5). For CCSM4, mean discharge is projected to decline by an 427 average of 7% at Melka and Hombole excluding Model 1. In general, where increases in 428 discharges are projected they are larger than those for RCP4.5 with the exception of MPI-429 ESM-MR (a smaller increase relative to RCP4.5). HadGEM2-ES projects an average 430 increase of 28.5% at both stations compared to the mean decline of 5% for RCP4.5. 431 432 [FIGURE 4] 433 434 Following the approach of Gosling et al. (2011) and Thompson et al. (2013), 435 Supplementary Fig. 3 and 4 show percentage change in mean annual discharge from the

436 alternative application of scenario precipitation and PET for RCP4.5 and RCP8.5. For 437 each GCM and hydrological model, projected changes in mean annual discharge are 438 obtained from the alternative application of either scenario PET and scenario precipitation 439 whilst retaining baseline time series for the other model input. The results confirm that 440 inter-GCM uncertainty in projected river discharge mainly arises from uncertainty in 441 precipitation projections. Where gains in precipitation are relatively small, enhanced PET 442 results in reductions in discharge whereas higher PET magnifies drying under a projected 443 reduction in precipitation. Mean discharge decreases in the majority of cases regardless 444 of hydrological model if only perturbed PET is applied. Comparing the range of projected 445 changes in mean annual discharge if only scenario precipitation is applied with the 446 equivalent value if only scenario PET is applied shows that projected changes in mean 447 annual discharge due to the application of perturbed precipitation alone is on average 7 448 times (15 times) larger than the corresponding range due to the application of perturbed 449 PET alone under RCP4.5 (RCP8.5).

451 Fig. 4 shows that the direction of change in mean discharge remains the same regardless 452 of hydrological model used with the few exceptions involving Model 1 described above. 453 In contrast, and as also described above, different GCMs produce both increases and 454 decreases in mean discharge. The range of change between hydrological models for an 455 individual GCM is indicative of inter-hydrological model uncertainty. Similarly, the 456 range of changes in projected discharges for different GCMs simulated by a single 457 hydrological model provides an assessment of inter-GCM uncertainty (Dams et al. 2015). 458 Table 7 reports the percentage changes in projected mean discharge for inter-hydrological 459 model and inter-GCM uncertainty. These results demonstrate that inter-GCM uncertainty 460 is larger than the uncertainty associated with the use of different hydrological models. 461 For example, for the RCP4.5 scenario the percentage range in mean discharge at Melka 462 simulated for a given GCM by the different hydrological models ranges between 7% and 463 25% (mean: 17%). The corresponding range for Hombole is 7–26% (mean: 14%). This 464 contrasts with the average inter-GCM percentage for mean discharge of 91% (73–119%) 465 and 87% (70–111%) for the two gauging stations, respectively. A similar pattern is 466 evident for RCP8.5 albeit with an increase in both sets of ranges. For example, at Melka 467 the mean inter-hydrological model range for mean discharge is 25% (8–51%) compared 468 to 216% (195-259%) for the inter-GCM range. At Hombole, inter-hydrological model 469 range is larger (mean: 79%, 56–140%) than at Melka but still smaller than the inter-GCM 470 range (mean: 221%, 176–281%).

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# [TABLE 7]

474 Baseline and projected river regimes at Hombole for each GCM and both RCP scenarios 475 are shown in Fig. 5. Results for Hombole, the downstream station, are shown in light of 476 the overall consensus in the direction of changes projected at the two gauging stations for 477 the same RCP scenario / hydrological model. Changes in the regime at Melka follow those at Hombole (Supplementary Figure 2). There is considerable inter-GCM 478 479 uncertainty in the seasonal distribution of river flow. Changes in river regimes are more 480 pronounced for those hydrological models that include a spatially-distributed unsaturated 481 zone (models 2-4) with particularly pronounced variability in peak discharge being 482 evident for models 2 and 3. Inter-GCM variability in the regimes simulated by Model 4, 483 which used the fully distributed physically-based Richards equation, is relatively subdued

484 but is noticeably larger than for models 1 and 5 which employed spatially uniform and485 more conceptual approaches to represent key hydrological processes.

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- 487

#### [FIGURE 5]

488

489 For RCP4.5, the largest increase in peak discharges across all hydrological models and at 490 both gauging stations is projected by MPI-ESM-MR. On average this GCM projects 491 increases in JAS discharges of 42.5% and 43% at Melka and Hombole, respectively. CSIRO-Mk3 projects the largest decreases (mean JAS declines of 45.1% and 46.5%, 492 493 respectively). Whilst for RCP8.5 the same GCM (CSIRO-Mk3) projects the largest 494 decreases in JAS discharge (58% and 59% for Melka and Hombole, respectively), the 495 largest increases are projected by CanESM2 (76% and 81%, respectively). All GCMs 496 project increases in river discharge during the dry season (DJF) when low flows (Q90) 497 occur. For RCP4.5, these range between 9% and 10% for Melka and Hombole, 498 respectively for HadGEM2-ES and between 252% and 285% for MPI-ESM-MR. For 499 RCP8.5, mean changes in DJF flows range from between 52% and 66% for HadGEM2 500 to between 1000% and 1280% for CanESM2 for Melka and Hombole, respectively.

501

502 Inter-GCM and inter-hydrological model differences in projected changes in high and 503 low flows are further demonstrated in Fig. 6. This figure shows percentage changes in 504 Q10 and Q90 as simulated by each hydrological model when forced with both RCP 505 scenarios derived from the six GCMs. Results are provided for Hombole and are broadly 506 representative of those for Melka. The relative magnitude of change in low flows (Q90) 507 is much larger than changes in both mean (Fig. 4) and high (Q10) flows. Projections for 508 RCP4.5 from the different GCMs are approximately evenly split with CCSM4, 509 HadGEM2 and CSIRO-Mk3 generally producing declines in both Q10 and Q90 for all of 510 the hydrological models. Increases in these flows dominate results for the remaining three 511 GCMs (CanESM2, IPSL-CM5A-MR and MPI-ESM-MR). The magnitude of these 512 changes tends to increase in both directions for RCP8.5 although, in general, gains in the 513 magnitude of the increases in Q10 and Q95 are larger than those where these flows 514 decline.

516 Figure 6 shows substantial inter-hydrological model variability in projected high and low 517 flows at Hombole. This variability is particularly pronounced for Q90 compared to Q10. 518 In a number of cases different hydrological models project a different direction of change 519 in low flows for the same GCM. For example, for RCP4.5 Model 5 projects relatively 520 large increases for CCSM4, CSIRO-Mk3, HadGEM-ES and IPSL-CM5A-MR whereas 521 most other hydrological models project declines or only small increases. This pattern is 522 repeated for CCSM4 and CSIRO-Mk3 in the case of RCP8.5. The inter-hydrological 523 model range of changes in Q90 for a given GCM varies between 147% (HadGEM-ES) 524 and 228% (MPI-ESM-MR) under RCP4.5 and 161% (CCSM4) to 419% (CanESM2) 525 under RCP8.5. Inter-hydrological model variability in high (Q10) flows is comparatively 526 smaller and varies between 13% (IPSL-CM5A-MR) and 43% (MPI-ESM-MR) under 527 RCP4.5 and 10% (HadGEM-ES) and 67% (CanESM2) under RCP8.5. There are fewer 528 instances of the direction of change in high flows for a given GCM varying according to 529 hydrological model. Such disagreements are limited to CCSM4 for both RCP scenarios 530 and HadGEM-ES for RCP4.5. In each case, the single hydrological model that projects a 531 different direction of change (Model 5 for CanESM2 and Model 1 for HadGEM-ES) 532 projects only a very small change from the baseline.

533 534

#### [FIGURE 6]

535

# 536 3.4 Uncertainty quantification

Variance decomposition using ANOVA for individual river discharge quantiles is 537 538 presented in Figure 7. Uncertainty attribution confirms that GCM-related uncertainty is 539 the largest and most dominant source of uncertainty. The mean contribution of GCMs to 540 overall uncertainty over Q10, Q50 and Q90 runoff quantiles is 54% across both gauging 541 stations. The average fraction of uncertainty attributed to the different hydrological 542 models is 7%. For low flows (Q90) the average fraction of uncertainty attributed to the 543 different hydrological models (18%) is considerably higher than the corresponding 544 figures for both median and high flows (Q10) (1% and <0.5%, respectively). For climate 545 change signals of both high flows (Q10) and median flows (Q50), GCM and RCP 546 scenarios are the largest contributors to uncertainty (>70% for both stations). However, 547 for low flows (Q90), the contribution of hydrological model uncertainty to overall

548	uncertainty is considerably higher. The contribution of different hydrological models to
549	overall uncertainty in projections of Q90 is particularly pronounced at Hombole (27%)
550	where it is comparable to GCM-related uncertainty and larger than the combined
551	contribution of interactions between GCM and RCP-related uncertainties.
552	
553	[FIGURE 7]
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# 555 4. Discussion

556 4.1 Model performance and uncertainty sources

557 Model performance reflects findings from previous model inter-comparison studies. 558 Despite being relatively less complex, models that are conceptual or have uniformly 559 distributed parameter values (i.e. Model 1, 2 and 5 in this study) can exhibit similar, if 560 not better, performance to physically-based or spatially distributed models (i.e. Models 3 561 to 4) when calibrated against observations from an historical period (Reed et al. 2004; 562 Duan et al. 2006). It should be noted that the models were calibrated using observations 563 from only two gauging stations and similar model performance across all models is 564 expected. Despite this, model performance at Melka and Hombole for the different 565 hydrological models was comparable to, if not better than, previous hydrological models 566 of the UAB (Table 8).

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# [TABLE 8]

570 Inter-hydrological model uncertainty was considerably higher for low flows (Q90). 571 Previous continental-scale modelling studies have similarly found greater variability in 572 projections of low flows that were context- and catchment-specific (Vetter et al. 2015; 573 Krysanova et al. 2017). It has also been suggested that commonly used hydrological 574 models tend to have relatively poorer predictive ability for low flows given the focus of 575 most models on reproducing a basin's response to precipitation (Staudinger *et al.* 2011; 576 Trudel et al. 2017). Results from this study also reinforce the need to consider variables 577 beyond mean annual and monthly discharge that may reveal critical differences between 578 model structures that might otherwise not be identifiable (Gosling et al. 2011).

580 A number of global multi-model studies have similarly suggested higher hydrological 581 model-related uncertainty in projections of low flows under climate change. Interhydrological model uncertainty in Q90 from the current study was also comparable to the 582 583 absolute percentage differences found between hydrological models (>30%) by 584 Vansteenkiste et al. (2014a,b). Comparing multiple distributed and semi-distributed 585 models (including MIKE SHE) with different conceptualizations of groundwater-surface 586 water interactions for a Belgian catchment, it was concluded that projections exhibited 587 common impact trends for high/mean flows among the models but that results were 588 highly variable for low flows. This variability in low flows occurred without any specific 589 conceptualization of groundwater flow yielding superior model performance during 590 calibration. Using four hydrological models of varying complexity, including both 591 lumped and distributed approaches, in a climate change impact assessment for the 592 Tualatin River Basin (Oregon, USA), Najafi et al. (2011) similarly concluded that choice 593 of model exerts considerable uncertainty in discharge projections during the dry season. 594

595 Uncertainty attribution showed that the mean contribution of GCMs to overall uncertainty 596 for the UAB was comparable to the GCM fraction of total uncertainty (57%) averaged 597 over 12 global basins by Krysanova et al. (2017). The average fraction of uncertainty 598 attributed to the different hydrological models for Q90 is comparable to the fraction 599 calculated for four out of the 12 basins (Niger, Darling, Upper Amazon and Blue Nile) in 600 this earlier study. In contrast, the hydrological model-related fraction of uncertainty for 601 Q10 is substantially lower than projected for all 12 basins by Krysanova et al. (2017). 602 Our results reinforce that the contributions of individual uncertainty sources vary in space 603 and that this might warrant different modelling philosophies to reduce the relative 604 dominance of different sources of uncertainties (Hattermann et al. 2018).

605

# 606 4.2 Comparison between hydrological models

607 Uncertainties associated with hydrological model structures can be considerable 608 depending on the calibration strategy and the selected hydrological variables. The 609 addition of spatially distributed soil classes from Model 1 to Model 2 while the other 610 process representations of unsaturated and saturated flow remained the same was 611 assumed to be advantageous. However, additional parameterization as a result of 612 specifying individual calibration parameters for each soil type may contribute to over-613 parameterization and an improvement in model performance may not result across 614 different climatic and environmental conditions (Jakeman and Hornberger 1993). 615 Comparing MIKE SHE models with spatially uniform and distributed parameterisations 616 of the Tern catchment (UK), Rochester (2010) found better performance from distributed 617 models at locations underlain by impermeable geology. The domination of clay within 618 the UAB and the representation of the saturated zone as a single layer of basaltic volcanic 619 strata that is impermeable in certain regions (Yitbarek et al. 2012), may contribute to 620 better performance of Model 2. However, although also including spatially distributed 621 soils, the performance of Models 3-5 was only comparable and in some cases, marginally 622 worse than models 1 and 2. This may relate to the additional parameters associated with 623 the variation of process descriptions of unsaturated and saturated flow and the limitation 624 of the manual calibration strategy employed in this study. Model equifinality exhibited 625 for mean flows reflect findings of previous model intercomparison studies but 626 considerable uncertainties exists for the representation of low flows. Even though models 627 1-4 all employed a finite difference method for saturated zone flow, their performance 628 for low flows varied substantially. This suggests that parameter and structural uncertainty 629 associated with the representation of the unsaturated zone and so groundwater recharge 630 is particularly important for the simulation of low flows.

631

632 Given that the models used in this study span a range of commonly-used model structures, 633 variations in process descriptions within MIKE SHE show that the evaluation of singular 634 model components within an individual model code should be as fundamental as 635 comparisons between hydrological model codes. Considerably different parameter values 636 used in the alternative models demonstrate the importance of considering model 637 equifinality. Variable model performance due to the use of different process 638 representations of the unsaturated zone highlight the fact that considerable uncertainties 639 still remain in the representation of the subsurface and the contributions from 640 groundwater to low flows among hydrological models. The addition of conceptual 641 effective parameters to represent macropore flows and spatial heterogeneity within 642 physically-based process equations is further indicative of the need to better characterize 643 and constrain epistemic uncertainties (Beven and Germann 2013). Comparing the 644 simulation of root-zone dynamics using reservoir schemes (e.g. 2-layer water balance) 645 and the Richards equation, Baroni et al. (2010) found comparable performance especially 646 when no site-specific calibration was conducted. Although the Darcy-Richards equation 647 remains the dominant description of the unsaturated zone in physically-based distributed 648 models, non-linearity in spatially heterogeneous soils, inaccuracy at the catchment scale 649 and the exclusion of preferential flow are concerns (Gupta et al. 2012; Beven and 650 Germann 2013). The inclusion of preferential flow in the model employing the gravity 651 flow unsaturated zone approach (Model 3) did not have a large influence on overall model 652 performance.

653

# 654 4.3 Climate change implications

655 Projections from the six different GCMs demonstrate the dominance of projected 656 increases in precipitation over the UAB. This reflects results of past multi-model studies 657 and ensemble projection that have consistently projected increased precipitation over East 658 Africa (Niang et al. 2014). Examining historical global precipitation data, Knoben et al. 659 (2019) detected a gradual transition from bimodal to unimodal precipitation regimes 660 latitudinally across Africa with a bimodal regime over Ethiopia. A number of GCMs used 661 in this study project the development of a unimodal regime over the UAB under both 662 RCP4.5 and RCP8.5. It is therefore plausible that under climate change, the latitudinal 663 gradient in precipitation modality across Africa may shift. Projections of increased 664 precipitation are in apparent contrast to significant drought events experienced across 665 East Africa in recent decades, sometimes referred to as the 'East African Climate 666 Paradox' (Souverijns et al. 2016; Nicholson 2017). Possible reasons for the discrepancy 667 include the impacts of anthropogenic aerosol emissions, uncertainty in GCM 668 representation of key processes, changes in seasonality of the rainy season and natural 669 variability (Rowell et al. 2015; Wainwright et al. 2019).

670

671 Consistency in outcomes of the impact of climate projections from different GCMs for 672 increases in discharge and high flows (Q10) is greater for RCP8.5 than for RCP4.5, 673 indicating a degree of confidence over the projected direction of change under scenarios 674 of higher greenhouse-gas concentrations (i.e. RCP8.5). Projected increases in mean 675 flows, Q10 and flood frequency over East Africa and other monsoonal regions are well 676 documented in global-scale modelling studies (Arnell and Gosling 2013; Koirala *et al.*  677 2014). Greater model agreement in projections of increased discharge under RCP8.5 in
678 this study is also consistent with an evaluation of CMIP5 model agreement in global
679 streamflow change (Koirala *et al.* 2014). Given that this study is only based on a subset
680 of CMIP5 GCMs, an extension of this study would be to conduct a complete assessment
681 using all 41 CMIP5 GCMs or genealogical-based model groups (e.g. Ho *et al.* 2016;

- Thompson *et al.* 2017; Hudson and Thompson 2019).
- 683

684 Effective decision-making in the UAB may be hampered by the large range of 685 uncertainties revealed in this study. Projected increases in precipitation and river flow 686 could be expected to benefit agricultural production. However, reductions in precipitation 687 and river flow are equally plausible. Assessing the economic impacts of hydrological 688 changes in the Awash River Basin, Borgomeo et al. (2018) found that a 5% reduction in 689 precipitation or a spatial redistribution of rainfall under climate change could incur up to 690 a 10% drop in GDP of the agricultural sector. The high sensitivity of low flows to 691 hydrological model structural uncertainty relative to mean and high flows will have 692 significant implications for both drought mitigation (e.g. Duan et al. 2014) and 693 environmental flows (e.g. Thompson et al. 2014a). Diverging scenarios projected by 694 different GCMs and hydrological models may be plausible but could easily be omitted in 695 ensemble analyses. Recent studies have suggested employing a 'storylines' approach to 696 navigate uncertainties incurred along the modelling chain (Clark et al. 2016; Shepherd 697 2019). Increasingly popular in climate science, storylines are suites of equally plausible, 698 quantitative narratives that are catered towards providing regional climate change 699 information to better enable decision-making (Shepherd 2019). Applying a storylines 700 approach could better present information of plausible hydrological changes directed at 701 operational decision making and stress-testing water resources systems to improve 702 climate resilience.

703

# 704 **5.** Conclusions

Climate change impacts on river discharge in the Upper Awash Basin (UAB) of Ethiopia,
assessed using an ensemble of five MIKE SHE hydrological models, six CMIP5 GCMs,
and two greenhouse-gas concentration trajectories (RCP4.5, RCP8.5) reveal substantial
GCM-related uncertainty in projected river discharge that determines both the direction

709 and magnitude of change from baseline to the end of this century, 2071-2100 (RCP4.5: -710 34% to +55%; RCP8.5: -42% to +195%). Our application of an ensemble of five MIKE 711 SHE hydrological models found, consistent with previous model inter-comparison 712 studies, that models with spatially uniform parameter values exhibit similar performance 713 to physically-based models with spatially distributed parameterizations. Model 714 performance generally exceeded that of previous hydrological models of the UAB and demonstrated a bias to its representation of peak flows (Q10) compared to low flows 715 716 (Q90). Uncertainty attribution using ANOVA shows that GCM-related uncertainty 717 represents, on average, 68% of the total uncertainty for mean and high flows whereas 718 hydrological model uncertainty constitutes an average 18% of total uncertainty in the 719 low-flow projections. At the downstream gauging station in the UAB (Hombole), the 720 contribution of uncertainty in hydrological model structure (27%) to total uncertainty was 721 comparable to that of GCM-related uncertainty for low (Q90) flows. Of note is that 722 uncertainties arising from different hydrological model structures are masked if only 723 projections of mean annual discharge are considered.

724

725 Substantial uncertainties in the representation of low flows attributed to hydrological 726 model structure have significant implications for the prediction and management of 727 drought risks in semi-arid catchments such as the UAB. The lack of integrated monitoring 728 infrastructure observing precipitation, surface waters and groundwater levels currently 729 impairs the development of robust conceptual models of basin hydrology including 730 critically seasonal interactions between groundwater and streamflow, and the observed 731 contribution of groundwater to baseflow. On the modelling side, possible extensions to 732 this study include consideration of additional sources of uncertainties along the impact 733 modelling chain such as PET-related uncertainty stemming from the choice of algorithm 734 used in its calculation (e.g. Kingston et al. 2009; Thompson et al. 2014b). Alternative 735 approaches to bias correcting climate projections such as quantile mapping (see Rahman 736 et al. 2020) could also be explored. Characterizing the propagation of impact model 737 uncertainty in the hydrological projections in terms of environmental flows (e.g. 738 Thompson et al. 2014a) could also be a next step to better understand potential hydro-739 ecological impacts of climate change on the Upper Awash Basin.

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- 743

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751

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#### 1002 Figure titles

Figure 1. The River Awash Basin (a) location within East Africa; (b) delineation of the Upper Awash Basin within the Awash Basin; and (c) the Upper Awash Basin including elevation, main drainage network and hydro-meteorological monitoring infrastructure from which data are used in the current study. (Note: this figure is purely to illustrate the location of the UAB within Ethiopia and is not representative of an official endorsement of disputed country borders)

1009 Figure 2. Baseline and simulated river discharge at the Melka and Hombole gauging

1010 stations: (a,b) monthly mean discharge (through the simulation period with calibration

1011 and validation periods indicated; (c-f) river regimes for calibration and validation periods;

- 1012 note: different y-axis ranges.
- 1013 Figure 3. a-d) Percentage change in precipitation and PET from the baseline (1979-1999)
- 1014 for each GCM and RCP scenario (2071-2100); e-h) Baseline and projected mean monthly
- 1015 catchment-averaged precipitation and PET for each GCM and both RCP scenarios.

1016 Figure 4. Percentage changes in mean discharge at Melka and Hombole relative to the

1017 baseline for each hydrological model and GCM under RCP4.5 (top row) and RCP 8.5

1018 (bottom row); note: different y-axis ranges for the two RCP scenarios.

1019 Figure 5. Baseline and simulated river regimes at Hombole for each GCM and1020 hydrological model under RCP4.5 and RCP8.5.

Figure 6. Percentage changes in Q10 and Q90 at Hombole for each hydrological modeland GCM under the RCP4.5 and RCP8.5 scenarios.

Figure 7. Contribution of each source of uncertainty and interactions between them to
overall uncertainty in projections of Q90, mean and Q10 discharges at Melka and
Hombole.

Supplementary Figure 1 Boxplots of delta factors for annual mean precipitation and
annual mean temperature over the Upper Awash catchment for all CMIP5 GCMs and the
six selected GCMs.

- 1029 Supplementary Figure 2 Baseline and simulated river regimes at Melka for each GCM
- 1030 and hydrological model under RCP4.5 and RCP8.5.
- 1031 Supplementary Figure 3. Percentage change in mean discharge at Melka and Hombole
- 1032 simulated by each hydrological model from the combined and individual application of
- 1033 scenario precipitation and PET for the six GCMs and RCP 4.5 scenario.
- 1034 Supplementary Figure 4. Percentage change in mean discharge at Melka and Hombole
- 1035 simulated by each hydrological model from the combined and individual application of
- 1036 scenario precipitation and PET for the six GCMs and RCP 8.5 scenario.

Model component	Input	Data source/derivation
MIKE SHE	•	
Model Domain	Catchment extent	ESRI polygon shapefile established from ALOS digital elevation model
Topography	Digital Elevation Model (DEM)	30m × 30m resolution ALOS Digital Elevation Model resampled to 1km MIKE SHE grids (Tadono <i>et al.</i> 2014)
Land use/vegetation	Land use	Seven land use classes specified using a 2015 land cover map (rainfed agriculture, Irrigated agriculture, grassland, bushland, forest wetland open water and urban areas)
	LAI/Root depth	Literature (Allen <i>et al.</i> 1998)
Overland flow	Manning's M; Spatial distribution	<ul><li>Uniform</li><li>Derivation: 2D finite difference method</li></ul>
Catchment meteorology	Precipitation	Observed daily station data from 11 meteorological stations distributed by Thiessen's polygons. A grid file defined 11 meteorological sub-catchments based on the areas of each Thiessen's polygons to account for the spatial distribution in precipitation across the entire catchment
	Evapotranspiration (PET)	<ul> <li>Time-varying PET derived by calculating lapse rate for four elevation ranges (1750m, 2250m, 2750m and 3250m) from daily minimum and maximum temperature at 2354m</li> <li>PET derived using the Hargreaves method (Hargreaves and Samani 1985)</li> </ul>
Unsaturated Zone	Soil classes	- Spatial distribution vary among HMs according to the FAO soil map of the world (FAO 1996)
	Soil hydraulic properties	Literature and USDA soil classes hydraulic properties
Saturated Zone	Spatial distribution	<ul> <li>Uniform</li> <li>Derivation: Varies among HMs between finite difference or linear reservoirs</li> </ul>
MHZD 11		
MIKE 11	River network	- River delineation from aerial photography (Google Earth Pro) and stream order from ALOS DEM
	Cross-sections of stream network	<ul> <li>Synthetic cross sections (Representative max. cross section depths and profiles estimated from stream orders derived from DEM and Google Earth Pro)</li> </ul>
	Hydrodynamic parameters: High order; Fully dynamic	- Uniform Manning's n of 0.04 (Chow 1959) throughout river network

1038 Table 1. Summary of inputs and data sources for key components of the coupled MIKE1039 SHE/MIKE11 model of the Upper Awash Basin.

# Table 2. Alternative specification of the unsaturated and saturated zones within each MIKE SHE model. 1041 1042

1042 WIRE STIL Model.		Ι	Model name <sup>1</sup>		
	Model 1 (UCP)	Model 2 (DCP)	Model 3 (DPP-G)	Model 4 (DPP-R)	Model 5 (DCC)
Unsaturated Zone Spatial Distribution		<u>/</u> /	<u></u>	<u> </u>	<u> </u>
Uniform (U)	√				
Distributed (D)		$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$
Unsaturated Flow Process Representation					
2-layer Water Balance (C)	√	$\checkmark$			$\checkmark$
Gravity Flow (P-G)			$\checkmark$		
Richards Equation (P-R)				$\checkmark$	
Saturated Flow Process Representation					
Finite Difference (P)	√	$\checkmark$	$\checkmark$	$\checkmark$	
Linear Reservoir (C)					$\checkmark$
1043       Model halfe fells for whether of hot the         1044       distributed (D) conceptual (C) or physicall         1045       distribution of the unsaturated zone, and the         1046       saturated zone respectively.         1047       1048         1049       1050         1051       1052         1053       1054         1055       1056         1057       1058         1059       1060         1061       1062         1063       1064         1065       1066         1066       1067         1068       1069         1070       1071         1072       1072	ly-based (P) in he process rep	n their repres resentation o	entation of th f the unsatura	e spatial ated zone and	d
10/3					
10/4 1075					
1075					
1077					

# 1078 Table 3. Selected GCMs and their respective host institutions.

Modelling institute	GCM
Canadian Centre for Climate Modelling and Analysis	CanESM2 (CA)
National Centre for Atmospheric Research	CCSM4 (CC)
Commonwealth Scientific and Industrial Research (AU)	CSIRO-Mk3.6.0 (CS)
Met Office Hadley Centre	HadGEM2-ES (HA)
Institut Pierre-Simon Laplace	IPSL-CM5A-MR (IP)

1119	Table 4. Final values of calibration parameters for each MIKE SHE model.

	Calibration parameter	Model 1 (UCP)	Model 2 (DCP)	Model 3 (DPP-G)	Model 4 (DPP-R)	Model 5 (DCC)
	UZ Saturated hydraulic	9.8e-009	9.8e-008	NA <sup>1</sup>	NA <sup>1</sup>	NA <sup>1</sup>
	Horizontal hydraulic	2.1e-008	3.5e-008	3.5e-007	5e-007	$NA^1$
	Vertical hydraulic conductivity (ms <sup>-1</sup> )	2.4e-009	3e-009	6e-008	8e-007	$NA^1$
	Bypass fraction	0.25	0.15	0.2	0.25	0.18
1120 1121 1122	<sup>1</sup> NA denotes that the process parameter.	representation	included within	a specific	model does not	include this
1123						
1124						
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1141 Table 5. Daily and monthly model performance statistics at Melka and Hombole for

1142 each hydrological model for the calibration (cal.) and validation (val.) periods.

Station		Dv	· (%)	D	aily NSE	Monthly	NSE	Monthly a	r
Model 1 (UCP)									
Melka	cal.	-0.98 🖈	****	C	).45 ★★	0.78 * 7	***	0.90	
	val.	26.	9**	C	.45 * *	0.82 * 7	***	0.93	
Hombole	cal.	11.8	***	C	.45 * *	0.82 * 7	***	0.91	
	val.	14.6	***	0.	52 * * *	0.83 * 7	***	0.93	
Model 2 (DCP)									
Melka	cal.	-5.72	****	0.	53 * * *	$0.85 \star \star$	$\star\star\star$	0.92	
	val.	41	.4 ★	C	.28 ★★	0.53 *	$\star\star$	0.88	
Hombole	cal.	3.3 <b>*</b>	****	0.6	1 * * * *	0.88 * *	***	0.94	
	val.	28.4	4 * *	0.	55 * * *	0.78 * 7	***	0.90	
Model 3 (DPP-G)									
Melka	cal.	-29.	$2 \star \star$	C	.42 ★★	0.79 * 7	***	0.79	
	val.	58	.8 ★		0.19 ★	0.33 7	**	0.91	
Hombole	cal.	-10.5	***	C	.33 ★★	0.73 * 7	***	0.87	
	val.	32.2	$2 \star \star$	C	.32 ★★	0.60 ★	$\star\star$	0.93	
Model 4 (DPP-R)									
Melka	cal.	9.79	****	C	.38 ★★	0.79 * 7	***	0.91	
	val.	68	.2 ★		0.13 ★	0.59 ★	$\star\star$	0.87	
Hombole	cal.	36.	1 * *	0.	54 ★★★	0.77 * 7	***	0.91	
	val.	55	.7 ★	0	.21 ★ ★	0.67 * 7	***	0.89	
Model 5 (DCC)									
Melka	cal.	-6.18	****	0.6	9 * * * *	0.80 * 7	***	0.92	
	val.	40.3	8 * *	0.	64 * * *	0.65 * 7	***	0.90	
Hombole	cal.	38.	7★★	0.6	5 * * * *	0.66 * 7	***	0.87	
	val.	40.:	5 * *	0.	62 * * *	$0.55 \star$	$\star\star$	0.89	
Performance	Exe	cellent	Very Go	od	Fair	Poor	r	Very Poor	
indicator <sup>1</sup>	**	***	***	*	$\star\star\star$	$\star\star$		*	
Dv (%)		<5	5-10		10-20	20-4	0	>40	
NSE	>	0.85	0.65-0.8	35	0.50-0.65	0.20-0	0.50	< 0.20	

<sup>1</sup>143 <sup>1</sup>Model performance criteria based on Henriksen *et al.* (2003)

1144

1146Table 6. Daily and monthly logNSE values and mean DJF river discharge for each1147hydrological model for the calibration (cal.) and validation (val.) periods

Station		Daily logNSE	Monthly logNSE	Sim. DJF flow (m <sup>3</sup> s <sup>-1</sup> )	Obs. DJF flow (m <sup>3</sup> s <sup>-1</sup> )
Model 1 (UCP)		<u> </u>	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	, , , , , , , , , , , , , , , , , , ,	,
Melka	cal.	0.49	0.58	3.56	1.46
	val.	0.33	0.41	9.55	1.61
Hombole	cal.	0.43	0.55	5.83	4.23
	val.	0.39	0.49	13.28	4.33
Model 2 (DCP)					
Melka	cal.	0.33	0.47	2.48	
	val.	0.44	0.54	6.25	
Hombole	cal.	0.27	0.34	4.27	
	val.	0.39	0.51	8.91	
Model 3 (DPP-G)					
Melka	cal.	0.22	0.23	0.92	
	val.	0.54	0.63	1.40	
Hombole	cal.	0.25	0.23	2.01	
	val.	0.58	0.68	2.92	
Model 4 (DPP-R)					
Melka	cal.	0.23	0.37	6.92	
	val.	0.12	-0.21	13.76	
Hombole	cal.	0.28	0.33	13.11	
	val.	-0.04	0.11	23.14	
Model 5 (DCC)					
Melka	cal.	0.12	0.22	4.65	
	val.	-0.03	-0.21	7.20	
Hombole	cal.	0.22	0.31	7.64	
	val.	0.36	0.34	10.49	

- Table 7. Inter-GCM uncertainty range (difference in maximum and minimum % change in mean discharge) between hydrological models and inter-hydrological model uncertainty range between GCMs.
- 1160

		RC	CP 4.5	RC	P8.5
	Model	Melka	Hombole	Melka	Hombole
	Model 1 (UCP)	75	70	207	281
Inter-GCM	Model 2 (DCP)	102	99	237	231
uncertainty	Model 3 (DPP-G)	119	111	259	239
range	Model 4 (DPP-R)	85	78 76	194	176
	Model 5 (DCC)	13	/6	184	179
Inter HM		1/7	13	51	140 62
uncertainty	CSIRO-MK3	24	19	10 24	02 56
ange within	HadGEM2-ES	14	10	8	50 77
single GCM	IPSL-CM5A-MR	16	11	34	74
_	MPI-ESM-MR	25	26	22	63

1178	Table 8. Model performance of previous hydrological models of the UAB; cal. and val.
1179	refer to calibration and validation periods, respectively.

Model	No. of stations	Time- step	Period	NSE	r	Reference
WatBal	3	Monthly	cal.	NA	0.88-0.96	Hailemariam (1999)
			val.	NA	0.72-0.93	
MODSIM	2	Monthly	combined	NA	0.59-0.76	Berhe <i>et al.</i> (2013)
GeoSFM	3	Daily	cal.	0.60-0.63	0.69-0.71	Dessu et al. (2016)
			val.	0.60-0.61	0.64-0.66	
HSPF	4	Daily	cal.	0.53-0.88	0.60-0.90	Gizaw et al. (2017)
			val.	0.53-0.72	0.64-0.89	
SWAT	2	Daily	cal.	0.67-0.89	0.67-0.89	Tolera <i>et al.</i> (2018)
			val.	0.26-0.94	0.26-0.94	



1183 Figure 1



1186 Figure 2



1190 Figure 3



1193 Figure 4









1199 Figure 6



1202 Figure 7