

1 **The temporal and spatial distribution of Triassic dinoflagellate cysts**

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8 **Abstract**

9

10 The records of dinoflagellate cysts from the Late Triassic, the time during which they first
11 appear abundantly in the geological record, are reviewed. Most of the Triassic palynological
12 literature pertains to terrestrial palynomorphs, thus it is challenging to establish a global
13 picture of the temporal and spatial distribution of Late Triassic dinoflagellate cyst around the
14 supercontinent of Pangea. Moreover, data on Late Triassic dinoflagellate cysts are dispersed,
15 and there are currently no records of dinoflagellate cysts from many marine successions. With
16 the exception of an Australian record of the dinoflagellate cyst *Sahulidinium ottii* from the
17 late Mid Triassic, and a possible early Carnian occurrence of, among others, *Rhaetogonyaulax*
18 in the Swiss Alps, cyst-forming dinoflagellates first appeared relatively synchronously around
19 Pangea from the late Carnian. There are three to six species of pre-Norian species globally,
20 whereas species richness exceeded 25 by the end of the Norian. During the Rhaetian, marine
21 seaways had gradually opened due to sustained continental breakup, allowing the expansion
22 of dinoflagellates into many European basins. New species are present, some known only
23 from restricted areas, whereas others like *Dapcodinium* appear to have a global distribution.
24 The majority of Triassic dinoflagellate cyst taxa do not extend into the Jurassic.

25

26 **Keywords**

27 biostratigraphy; dinoflagellate cysts; geographical and temporal distributions; Triassic

28

29 **1. Introduction**

30

31 *1.1. Background*

32 Knowledge of the origination of cyst-forming dinoflagellates and their geographical
33 and stratigraphical distribution around Pangea during the Late Triassic is relatively poor.
34 These widely separated data, many from studies not principally focussed on dinoflagellate
35 cysts, makes it difficult to obtain a comprehensive overview of their spatial and temporal
36 distributions. Knowledge of the composition of the various Late Triassic assemblages varies
37 due to a lack of quantitative data, and is therefore in part poorly known. Another complicating
38 factor regarding their evolution includes the fact that the Late Triassic spans ~36 my (Lucas,
39 2010; Gradstein et al., 2012, Ogg et al. 2016) and the stratigraphical resolution is relatively
40 poor, with a lack of macrofossil data and geochronological dating in most areas. In addition,
41 many relevant publications are relatively old and have applied chrono- and lithostratigraphical
42 nomenclature, which now is outdated, thereby hampering reliable age assignments and
43 correlation. Determining exact stratigraphical occurrences and establishing correlations are
44 therefore difficult, and age assignments of the dinoflagellate cyst records have, as a result,
45 varying levels of uncertainty. Most of the ages given below are those from published studies
46 and many of these have relatively large uncertainties in the ranges quoted. The relationships
47 between the dinoflagellate cysts that evolved in northern Pangea and their counterparts from
48 the southern margins of Neotethys are therefore unclear. To date, there are no records of Late

49 Triassic dinoflagellate cysts from intermediate regions such as the western or eastern margins
50 of Pangea.

51 As dinoflagellate cysts are important for relative age dating and correlation, as well as
52 proxies for some paleoenvironmental aspects, understanding their occurrence in time and
53 space is valuable (Stover et al., 1996). The nature of the data, as outlined below, makes it
54 challenging to establish an overview of Late Triassic dinoflagellate cyst distribution. A better
55 understanding of their occurrences in time and space is therefore required. This overview and
56 synopsis cannot provide comprehensive answers to all these questions. However, by
57 providing a global overview and presenting the state of the art on dinoflagellate cyst
58 distributions in space and time during the Late Triassic Epoch, we hope to provide a
59 significantly improved understanding. This paper therefore seeks to review existing
60 knowledge of the occurrences of Late Triassic dinoflagellate cysts along the coastlines of
61 Pangea. Following a summary of the known dinoflagellate cyst records from the various
62 geographical areas, their records and occurrences along the margins of Pangea are discussed,
63 in a stratigraphical context with reference to the various geographical records. A short note on
64 their paleoenvironmental significance is included. However to make further advances in this
65 regard, more work is needed in areas with marine Upper Triassic successions.

66

67 1.2. *Triassic dinoflagellate cysts, state-of-the-art*

68 During the Late Triassic, landmasses were relatively symmetrically situated around the
69 equator, forming Pangea (Figure 1). Along the margins of this supercontinent, marine
70 deposition occurred. However, most Upper Triassic successions are terrestrial, having been
71 deposited in various continental, siliciclastic depositional systems produced by continental
72 rifting. During the Late Triassic, rifting increased, eventually leading to seafloor spreading
73 and the breakup of Pangea (Ziegler et al., 1983, 2003; Golonka, 2004, 2007; Golonka et al.,

74 2018). Consequently, marine deposition took place in some of these rifts, and the areal extent
75 of marine borderlands increased.

76 Surrounding the Late Triassic continents, the first abundant cyst-forming
77 dinoflagellates evolved, developed and spread into these relatively shallow marine
78 environments. According to MacRae et al. (1996), about 24 species of dinoflagellate cysts had
79 developed globally by the Norian. Dinoflagellate cysts continued to expand throughout the
80 Jurassic to peak in diversity in the Cretaceous (MacRae et al., 1996). Dinoflagellates represent
81 a substantial part of the phytoplankton population in the oceans today, and are one of the
82 major primary producers (Wiggan et al., 2018). In the fossil record, dinoflagellate cysts
83 represent valuable tools for biostratigraphy, palaeoclimatology and palaeoecology. This is
84 despite the fact that only about 15 % of extant dinoflagellate species produce fossilisable cysts
85 (Head, 1996).

86
87 Figure 1. The palaeogeography of the Late Triassic illustrating the locations of the first
88 occurrences of dinoflagellate cyst records (adapted from Golonka et al. 2018).

89
90 The first appearance of fossil dinoflagellate cysts in the geological record has been
91 extensively debated (Bujak and Williams, 1981; Fensome et al., 1999). Le Herisse et al.
92 (2012) ruled out the presence of body fossils of dinoflagellate cysts older than the Triassic,
93 but they are cytologically primitive and their phylogenetic lineages may be as old as the
94 Precambrian (Evitt, 1985; Fensome et al., 1999). This is also indicated by biogeochemistry
95 studies on dinosteranes (Moldowan et al. 1996, Moldowan & Talyzina 1998). The Australian
96 peridiniphycidaen species *Sahulidinium ottii* is however the oldest known fossil dinoflagellate
97 cyst (Harland et al., 1975; Stover and Helby, 1987). The reasons and circumstances leading to
98 their appearance at this time is unclear. Falkowski et al. (2004a, b) suggested that changes in

99 the ocean chemistry was a major factor. *Sahulidinium ottii* was described from the upper
100 Middle Triassic (Ladinian) to lowermost Carnian of Australia (Helby et al. 1987a; Riding et
101 al. 2010), however independent dating is lacking. Despite this isolated, probably uppermost
102 Middle Triassic occurrence, on a global scale, dinoflagellate cysts first appeared and evolved
103 with relatively low diversities during the Late Triassic (MacRae et al., 1996). Whether these
104 represent the first significant evolutionary development of dinoflagellates, or cyst-forming
105 dinoflagellates, or whether the longest lineages have developed over time is still not fully
106 understood. Following *S. ottii* stratigraphically, the peridiniphycidaen genus *Rhaetogonyaulax*
107 appears to be the first to emerge around the shores of Pangea during the Carnian (e.g. Riding
108 et al., 2010, Vigran et al., 2014; Paterson and Mangerud, 2015).

109 On a global scale, Late Triassic palynological data are relatively extensive but mainly
110 comprises records of terrestrially-derived palynomorphs. Several useful reviews on these
111 terrestrial floras have been produced, including Helby et al. (1987a), Cirilli (2010), Kürschner
112 and Hengreen (2010), Césari and Colombi (2013) and Li et al. (2016). In addition, papers on
113 the Triassic-Jurassic (Tr-J) boundary provide important contributions on dinoflagellate cyst
114 occurrences (see e.g. Lindström 2016). Despite the early pioneer work on Late Triassic
115 palynology, including dinoflagellate cysts from the present high Arctic (Wiggins, 1973;
116 Fisher and Bujak, 1975; Bujak and Fisher, 1976), relatively little work has been undertaken
117 on this region since. Recent work on Late Triassic palynology in the Barents Sea area has,
118 however, revealed several records of dinoflagellate cysts (Vigran et al., 2014).

119 Late Triassic dinoflagellate cysts have been extensively recorded, but many papers in
120 which reports are present are principally focussed on the biostratigraphy of terrestrial
121 palynomorphs (e.g. Morbey and Neves, 1974; Smith, 1974; Warrington, 1974; Felix, 1975;
122 Bjærke and Manum, 1977; Warrington, 1978; Suneby and Hills, 1988; Aghanabati et al.,
123 2002, 2004; Warrington, 2005; Paterson and Mangerud, 2015, Vigran et al., 2014). In

124 addition, papers on the Triassic–Jurassic boundary (e.g. Warrington, 1983; Mettraux and
125 Mohr, 1989; Embry and Suneby, 1994; Warrington et al., 1995; Lindström and Erlström,
126 2006; Kürschner et al., 2007; Bonis et al., 2009; Ruckwied and Götz, 2009) and papers on
127 sequence stratigraphy (e.g. Paterson et al., 2016; Lindström et al., 2017b) have recorded Late
128 Triassic dinoflagellate cysts. The recorded genera include the suessiaceans *Beaumontella*,
129 *Lunnomidinium*, *Noricysta*, *Suessia* and *Wanneria*, together with the peridiniphycidaen forms
130 *Dapcodinium*, *Hebecysta*, *Heibergella*, *Rhaetogonyaulax*, *Sahulidinium*, *Sverdrupiella* and
131 *Valvaeodinium* (Table 1). The composition of these Late Triassic assemblages varies, and is
132 often poorly known in part due to a lack of quantitative data. Another complicating factor
133 regarding their evolution includes the fact that the Late Triassic spans ~36 myr (Lucas, 2010;
134 Gradstein et al., 2012, Ogg et al. 2016), and the stratigraphical resolution is relatively poor,
135 with a lack of macrofossil data and geochronological dating in many areas. Determining exact
136 stratigraphical occurrences and establishing correlations are therefore difficult, and age
137 assignments of the dinoflagellate cyst records have, as a result, varying levels of uncertainty.

138

139 TABLE 1. List of dinoflagellate cyst taxa appearing in Upper Triassic successions.

140 References to the author citations can be found in Williams et al. (2017).

141

142 Most of the ages given in section 3 below, are taken from published studies, and as
143 few independent age controls are available, there are relatively large uncertainties in the
144 ranges quoted (Figure 2). This paper summarises all published Late Triassic dinoflagellate
145 cyst occurrences, followed by a review of their stratigraphical importance and geographical
146 extent along the margins of Pangea.

147

148 **2. Records of Late Triassic dinoflagellate cysts from various regions**

149

150 2.1. *The present Arctic*

151 During the Late Triassic, large volumes of siliciclastic sediment were deposited along
152 the northern margins of Pangea. These now comprises the offshore and onshore successions
153 of the North Slope of Alaska, the Sverdrup Basin in the Canadian Arctic, Svalbard in the
154 Norwegian Arctic, the Barents Sea spanning the Norwegian-Russian sector and various basins
155 along the northern margins of Russia. Transgressive–regressive cycles have been confidently
156 correlated throughout this vast area (Mørk et al., 1989; Embry, 1997; Egorov and Mørk, 2000;
157 Mørk and Smelror, 2001), partially well dated by ammonites (e.g. Dagens et al. 1993).

158 Terrestrially derived material, mainly pollen and spores, dominate palynofloras from
159 the Upper Triassic successions of the present Arctic (e.g. Suneby and Hills, 1988; Hochuli et
160 al., 1989; Ilyina and Egorov, 2008; Vigran et al., 2014). However, marine palynomorphs are
161 relatively common in parts of these successions (e.g. Felix and Burbridge, 1978; Hochuli et
162 al., 1989; Vigran et al., 2014) and provide valuable information, for example on
163 palaeoecology. These marine assemblages mainly comprise acritarchs, largely the spine-
164 bearing genera *Micrhystridium* and *Veryhachium*, but also include levels dominated by
165 dinoflagellate cysts.

166

167 2.1.1. *The Norwegian Arctic (the Barents Sea area including Svalbard)*

168 During the Triassic, the Barents Sea (including Svalbard) was an intracratonic basin,
169 situated within a large embayment that had developed during the Late Palaeozoic (Worsley,
170 2008). During the Late Triassic, most of this embayment was filled by vast quantities of
171 sediment sourced from the eastern and south-western margins of the basin. Triassic rocks
172 extensively outcrop in the Svalbard Archipelago, and thick deposits are widely distributed in
173 the subsurface of the Barents Sea (Mørk et al., 1982; Rønnevik et al., 1982; Faleide et al.,

174 1984; Mørk et al., 1993; van Veen et al., 1993; Riis et al., 2008). Palynological studies of the
175 Late Triassic which reported dinoflagellate cysts include Smith et al. (1975), Bjærke (1977),
176 Bjærke and Dypvik (1977), Bjærke and Manum (1977), Hochuli et al. (1989), Nagy et al.
177 (2011), Mueller et al. (2014), Vigran et al. (2014), Paterson and Mangerud (2015, 2017) and
178 Paterson et al. (2016, 2018). No records of dinoflagellate cysts below the Kapp Toscana Group
179 have been observed, with the exception of questionable *Sentusidinium*-like cysts recovered
180 from the Botneheia Formation at one locality in Spitsbergen (Vigran et al., 2014, pl. 5).

181 Records of dinoflagellate cysts from the Island of Hopen (Smith et al., 1975; Bjærke
182 and Manum, 1977; Vigran et al., 2014; Paterson and Mangerud, 2015; Paterson et al., 2016)
183 are based on material from the lower and middle Flatsalen Formation, which is assigned an
184 early Norian age based on ammonites (Korčinskaya, 1980; Smith, 1982). A dinoflagellate cyst
185 assemblage, which is dominated by *Rhaetogonyaulax rhaetica*, is recorded in this unit
186 (Paterson and Mangerud, 2015). *Rhaetogonyaulax rhaetica* is also recorded from the
187 underlying De Geerdalen Formation (Middle to Upper Carnian) in very low proportions.
188 Although devoid of age diagnostic macrofossil, the age of the Geerdalen Formation is well
189 constrained (Paterson & Mangerud 2015, page 103–104).

190 On Spitsbergen, the oldest records of dinoflagellate cysts are from the Isfjorden
191 Member of the De Geerdalen Formation (Upper Carnian) and in the Knorringfjellet/Flatsalen
192 formations (Norian). Occurrences from the De Geerdalen Formation are largely sporadic and
193 rare, and are mainly confined to the upper part. However, at one locality (Festningen), they
194 sporadically occur in high abundances. At the same locality in the Knorringfjellet Formation
195 (Norian), the dominant species is still *Rhaetogonyaulax rhaetica*, but often *Heibergella* and
196 *Sverdrupiella* are also present (Vigran et al., 2014). This association was also observed at
197 Wilhelmøya Island in eastern Svalbard (Vigran et al., 2014). A rich assemblage of
198 *Rhaetogonyaulax*, including *Rhaetogonyaulax rhaetica*, together with *Sverdrupiella*, was also

199 recorded from the Flatsalen Formation of a Sentralbanken core (7533/2-U-2) in the central
200 Barents Sea (Vigran et al., 2014; Paterson et al., 2018). The latter study concluded that the
201 records of dinoflagellate cysts in the overlying Norian–Rhaetian Svenskøya Formation
202 observed in core 7532/2-U-1 by Vigran et al. (2014) are not *in situ*, as they are in an interval
203 with extensive rip-up clasts and are interpreted as reworking.

204 In the southern Barents Sea, Vigran et al. (2014) and Paterson and Mangerud (2017)
205 recorded low numbers of *Rhaetogonyaulax rhaetica* in Norian strata from exploration wells,
206 coeval with the Hopen successions. A few species of *Noricysta* and *Sverdrupiella* were also
207 found in an offshore well in strata of presumed Norian age by Vigran et al. (2014). In the few
208 Upper Triassic successions dominated by acritarchs from exploration wells in the southern
209 Barents Sea area, dinoflagellate cysts are however rare. This probably indicates a proximal,
210 paralic environment with minor marine incursions, where the early Norian transgression
211 barely reached the southern margins of the basin (Bugge et al., 2002; Glørstad-Clark et al.,
212 2010; Klausen et al., 2015).

213

214 2.1.2. *The Sverdrup Basin, Canadian Arctic*

215 Further west in the huge embayment which includes the Barents Sea area, the Sverdrup Basin
216 was an active depocentre between the Early Carboniferous (Mississippian) and the Neogene.
217 During the Late Triassic, this large rift/sag basin gradually subsided and was mainly filled by
218 deltaic deposits from the south and southwest. During the Norian, it also received sediments
219 from “Crockerland” to the north (Embry, 2009, 2011).

220 The palynology of early exploration wells in the Sverdrup Basin, where Upper
221 Triassic rocks are extensively exposed, has been investigated. This pioneering work on Late
222 Triassic dinoflagellate cysts from the Triassic part of the Heiberg Formation proved highly
223 significant. Macrofossil data are present but scarce, indicating a Norian age (Suneby and Hills

224 1988, p. 348). The dinoflagellate cyst assemblages were described to various degrees in a
225 number of papers including Brideaux (1975), Felix (1975), Fisher and Bujak (1975), Bujak
226 and Fisher (1976), Souaya (1976), Felix and Burbridge (1978), Staplin 1978 and Fisher and
227 van Helden (1979). Suneby and Hills 1988 established the first palynological zonation for this
228 unit based on a quantitative palynological approach for the Heiberg Formation. Embry and
229 Suneby (1994) later focused primarily on the palynology of the Triassic–Jurassic boundary.

230 Fisher and Bujak (1975) and Bujak and Fisher (1976) worked on material from eight
231 wells from the western Queen Elizabeth Islands in the western Sverdrup Basin. Bujak and
232 Fisher (1976) described four new genera from the lower Heiberg Formation: *Sverdrupiella*,
233 with eleven new species (nine of which are still valid); *Heibergella* and *Noricysta*, with three
234 new species each; and *Hebecysta*, as a monotypic genus (*Hebecysta brevicornuta*). The
235 samples were rich in the species *Sverdrupiella mutabilis*, *Sverdrupiella sabinensis*,
236 *Sverdrupiella septentrionalis* (including *Sverdrupiella cristata* and *Sverdrupiella downeii*)
237 and *Sverdrupiella usitata*. *Heibergella* was also relatively common, with *Heibergella*
238 *asymmetrica* being the most common species of this genus. The other species were sporadic
239 and rarer. *Noricysta fimbriata*, *Noricysta pannucea* and *Noricysta varivallata* were largely
240 recorded only from one sample in the Sandy Point L-46 well. Several of the new species of
241 *Sverdrupiella*, including *Sverdrupiella baccata*, *Sverdrupiella manicata*, *Sverdrupiella*
242 *ornaticingulata*, *Sverdrupiella raiiformis* and *Sverdrupiella spinosa*, have never been
243 reported from elsewhere. *Rhaetogonyaulax* was reported as being extremely rare and was only
244 present in two cuttings samples (Bujak and Fisher 1976). However, according to Fisher and
245 Bujak (1975), it is persistently present. Fisher and van Helden (1979) later stated, of
246 *Rhaetogonyaulax*: “Representatives of the genus are often abundant in Late Triassic
247 sediments from the Arctic Islands”. They noted the resemblance to *Rhaetogonyaulax rhaetica*
248 from the Rhaetian of England. Their three new species (*Rhaetogonyaulax testacea*,

249 *Rhaetogonyaulax tortuosa* and *Rhaetogonyaulax uncinata*) were later reclassified as a junior
250 synonym of *Rhaetogonyaulax rhaetica* (Below, 1987a,b).

251 Felix and Burbridge (1977, 1978) reported abundant *Sverdrupiella usitata* from
252 offshore exploration wells, but not from equivalent successions onshore. They also recorded
253 *Hebecysta brevicornuta*, *Heibergella asymmetrica*, *Noricysta fimbriata*, *Rhaetogonyaulax*
254 *arctica* (as *Shublikodinium arcticum*) and *Rhaetogonyaulax rhaetica*. Felix and Burbridge
255 (1977, 1978) also noted that *Rhaetogonyaulax arctica* is present abundantly in the Schei Point
256 Group, underlying the Heiberg Formation. The upper part of their Schei Point Group is now
257 the Barrow Member of the Heiberg Formation. Those authors implied a Norian age for these
258 assemblages based on palynological correlation, but did not exclude the possibility that they
259 range down into the Carnian. The only record of dinoflagellate cysts below the Barrow
260 Member is *Rhaetogonyaulax arctica*. In contrast to the species listed above, Felix (1975)
261 reported common *Rhaetogonyaulax rhaetica* in the Heiberg Formation from nearby Ellef
262 Ringnes Island, however, no quantitative data were provided.

263 Suneby and Hills (1988) erected the first palynological zonation of the Heiberg
264 Formation and the uppermost Barrow Formation based on outcrop samples. They reported
265 rich assemblages of terrestrial palynomorphs and dinoflagellate cysts from outcrops on
266 Ellesmere Island from the eastern Sverdrup Basin. Four palynozones were established, three
267 of which are Late Triassic. The oldest one (the *Triancoresporites ancorae*–
268 *Camarozonosporites laevigatus* Biozone) was subdivided into two subzones. The youngest of
269 these was characterised by the first appearance of the dinoflagellate cysts *Hebecysta*
270 *brevicornuta*, *Heibergella aculeata*, *Heibergella asymmetrica*, *Noricysta fimbriata*, *Noricysta*
271 *pannucea*, *Sverdrupiella mutabilis*, *Sverdrupiella sabinensis* and *Sverdrupiella usitata*. The
272 subzones were assigned a Norian age based on an ammonite and bivalves (Norford et al.,
273 1973; Embry, 1982). At McKinley Bay, near the basin margin, this subzone was only

274 recognised in two closely spaced samples, indicating facies control of these assemblages. A
275 few dinoflagellate cysts were also recorded from two localities in a narrow interval within the
276 underlying subzone; including *Valvaeodinium* (as *Comparodinium*), *Rhaetogonyaulax* sp. cf.
277 *R. dilatata* and *Suessia* sp. cf. *S. swabiana*. This subzone was tentatively assigned to the early
278 to late middle Norian.

279

280 2.1.3. Alaska

281 During the Late Triassic, Alaska was situated on the northern shores of Pangea,
282 probably facing the open Panthalassa Ocean (Figure 1). Triassic marine, sedimentary rocks of
283 the Shublik Formation were deposited on a shallow shelf that was believed to be an upwelling
284 zone, but this interpretation is not universally accepted (Parrish et al., 2001; Embry et al.,
285 2002). The Shublik Formation outcrops across most of the northern front of the Brooks
286 Range, extending into the North Slope subsurface and gradually pinching out into its distal
287 equivalent, the Otuk Formation (Kelly et al., 2007). Independent evidence for the Shublik and
288 Otuk formations being Late Triassic in age is the occurrence of the bivalve genus *Monotis*
289 (Helby et al. 1987b). Despite extensive exploration of these units, very few palynological data
290 on the Shublik and Otuk formations have been published.

291 The first published Late Triassic dinoflagellate record from this region was the
292 pioneering work of Wiggins (1973) on core material from an exploration well in the Shublik
293 Formation on the Alaskan North Slope. This work focused on taxonomy, describing 10 new
294 species of *Shublikodinium*; this genus was reclassified and included in *Rhaetogonyaulax* (see
295 Stover and Evitt, 1978; Lentin and Williams, 1989). *Shublikodinium* was split too broadly at
296 the species level by Wiggins (1973), and Stover and Evitt (1978) simplified the 10 species
297 into two, *Rhaetogonyaulax arctica* and *Rhaetogonyaulax dilatata*. Wiggins (1973) also briefly
298 mentioned the occurrence of undescribed dinoflagellate cysts in his material. Later, Helby et

299 al. (1987b) referred to *Sverdrupiella* occurrences from the middle to late Norian of the
300 Shublik Formation in Alaska. The dinoflagellate cysts were recorded from layers with two
301 different species of the bivalve *Monotis*.

302 In an open file report, Witmer et al. (1981) mentioned three Upper Triassic
303 dinoflagellate zones and the occurrence of foraminifera. These authors listed dinoflagellate
304 cysts including *Hebecysta* spp., *Noricysta* spp., *Suessia swabiana* and *Sverdrupiella* spp. Most
305 of the studied wells were barren or had only rare dinoflagellate cyst occurrences; and the few
306 specimens recorded were very poorly preserved.

307

308 Figure 2. A composite range chart of Late Triassic dinoflagellate cysts from various
309 geographical areas (Time Scale from Gradstein et al. 2016).

310

311 2.1.4. *Arctic Russia*

312 Interestingly, despite the existence of ammonite-dated, fossiliferous marine
313 successions from East Siberia (Russian Arctic) (Dagys et al., 1993, Egorov and Mørk, 1998;
314 Konstantinov et al., 2003; Ilyina and Egorov, 2008), there are no published records of Late
315 Triassic dinoflagellate cysts. However, *Rhaetogonyaulax* is present in Upper Triassic
316 successions in the Anabar region, Northern Siberia (personal communication, Vera Pospelova
317 2018). We attribute the lack of published records of Late Triassic dinoflagellate cysts from
318 this region to previous studies focussing exclusively on terrestrial palynomorphs.

319

320 2.2. *Europe*

321 The breakup of Pangea began during the Norian in the Late Triassic, and included the
322 opening of parts of the western Tethys (Hauser et al., 2002). However, in southern Europe,

323 the first rifting phases started in the Early Triassic, and carbonate platforms developed in the
324 Calcareous Alps and the Inner Carpathians (Ciarapica, 2007; Golonka, 2007; Golonka et al.,
325 2018). Many of the classic European Upper Triassic (Keuper) successions were non-marine.
326 By contrast, during the Rhaetian, the incursion of intercontinental seaways into central Pangea
327 caused the marine inundation of central Europe (Manspeizer, 1994, Hesselbo, 2012).
328 Consequently, dinoflagellate cysts are absent in most pre-Rhaetian strata, although marine
329 deposition took place earlier for example along the Neotethyan margins. The fact that there
330 are very few records pre-dating the Rhaetian could be due to the poor preservation potential of
331 the Neotethyan carbonate platforms and subsequent Alpine metamorphism may have also
332 been influential.

333 Records of pre-Rhaetian dinoflagellate cysts in Europe include Hochuli and Frank
334 (2000) from the Lower Carnian (Julian) marine dolomites of the Lower Raibl Group
335 successions in eastern Switzerland in the Swiss Alps. These authors recorded indeterminate
336 dinoflagellate cysts, cf. *Noricysta fimbriata*, cf. *Noricysta pannucea* and *Rhaetogonyaulax* cf.
337 *wigginsii*. Hochuli and Frank (2000, 2006) made a correlation based on pollen and spores to
338 the Lower Carnian (Julian) Stuttgart Formation of Germany; these are the oldest low latitude
339 pre-Rhaetian dinoflagellate cysts. Heunisch (1986), however, reported acritarchs, but no
340 dinoflagellate cysts, from the Carnian of Germany. A recent PhD thesis reported *Heibergella*
341 sp. and indeterminate dinoflagellate cysts from boreholes in the Carnian Veszprém Marl
342 Formation in the Transdanubian Range in Hungary (Baranyi 2018). By contrast, Götz et al.
343 (2009) found that apparently marine Triassic–Jurassic boundary successions in Hungary are
344 devoid of dinoflagellate cysts. Mettraux and Mohr (1989) reported *Rhaetogonyaulax rhaetica*
345 from the Rhaetian of western Switzerland; *Dapcodinium priscum* was also observed in the
346 uppermost strata close to the Triassic–Jurassic boundary. Similarly, Schneebeili-Hermann et

347 al. (2018) recorded *Dapcodinium priscum*, *Rhaetogonyaulax rhaetica* and *Valvaeodinium* spp.
348 from the Rhaetian of northern Switzerland.

349 Several authors have reported dinoflagellate cysts from Upper Triassic and Lower
350 Jurassic Tethyan marginal shelf facies in Austria. Karle (1984) reported common
351 *Rhaetogonyaulax rhaetica* from the upper Rhaetian mergel-facies in the Calcareous Austrian
352 Alps. From the Kendelbachgraben in Austria, Morbey and Neves (1974) reported
353 *Rhaetogonyaulax rhaetica* from the lowermost Rhaetian, and *Dapcodinium* and *Heibergella*
354 (as *Rhombodella*) in overlying Rhaetian strata. Morbey (1975) published a major, pioneering
355 biostratigraphical and taxonomic study. He described *Beaumontella caminuspina* (as
356 *Cleistosphaeridium mojsisovicsii*), *Heibergella kendelbachia* (as *Rhombodella kendelbachia*),
357 *Suessia swabiana* and *Valvaeodinium koessenium* (as *Comparodinium koessenium*). Morbey
358 (1975) also reported *Dapcodinium priscum* and *R. rhaetica* from the Rhaetian. Hoelstein
359 (2004) recorded *Beaumontella langii*, *Rhaetogonyaulax rhaetica*, *Suessia swabiana*,
360 *Valvaeodinium koessenium* and *Wanneria listeri* from the Kössen beds of Austria. He
361 demonstrated that the dinoflagellate cyst assemblages varied markedly in abundance
362 throughout the successions. Important multidisciplinary contributions from this region include
363 Krystin et al. (2007), Kürschner et al. (2007) and Bonis et al. (2009). Kürschner et al. (2007)
364 integrated quantitative palynological data from the Tiefengraben with independent dating
365 methods and clearly demonstrated the change from abundant *Rhaetogonyaulax rhaetica* to
366 *Dapcodinium priscum* immediately below the Triassic–Jurassic boundary. Note the
367 assemblage composition changed within the acme of *Dapcodinium priscum*, including the
368 inception of *Beaumontella caminuspina* (as *Cleistosphaeridium mojsisovicsii*), *Beaumontella*
369 *langii* and *Valvaeodinium koessenium*.

370 From the Tatra Mountains of Slovakia, Ruckwied and Götz (2009) reported rare to
371 common occurrences of *Rhaetogonyaulax rhaetica* in a Triassic–Jurassic boundary section

372 which is dominated by pollen and spores and calibrated by conodonts and foraminifera. This
373 region was situated in an embayment of the Tethyan shelf at this time (Golonka, 2004), and
374 *Rhaetogonyaulax rhaetica* was also recorded, although less frequently, in the lowermost
375 Hettangian. Ruckwied and Götz (2009) observed rare *Dapcodinium priscum* from the Upper
376 Rhaetian, with a more continuous record in the Hettangian. Michalík et al. (2010) also
377 reported *Dapcodinium priscum* and *Rhaetogonyaulax rhaetica* from this area but stressed that
378 marine palynomorphs are rare, attesting to shallow marine conditions. From the nearby
379 Pieniny Klippen Belt in the West Carpathian of Poland, reworked *Rhaetogonyaulax rhaetica*
380 was recorded and illustrated from Middle Jurassic successions by Gedl (2008) and Barski et
381 al. (2012).

382 Upper Triassic strata from a borehole in Western Ciscaucasia, southern Russia, in the
383 eastern Paleotethys, was studied by Yaroshenko (2007). *Dapcodinium priscum* and
384 *Rhaetogonyaulax* were recorded, together with pollen and spores that are believed to be
385 Rhaetian. However, this correlation is somewhat uncertain and the palynomorph assemblages
386 could be as old as Norian.

387 The records of Late Triassic dinoflagellate cysts from the UK are all confined to the
388 Rhaetian, and were eustatically controlled. The first record of Late Triassic dinoflagellate
389 cysts was from Gloucestershire, southwest England (Sarjeant, 1963). Subsequently, Rhaetian
390 dinoflagellate cyst assemblages from onshore and offshore UK, have been extensively
391 reported by, for example, Warrington (1974, 1977a, 1977b, 1978, 1983, 1997, 2005),
392 Warrington et al. (1986, 1995) and Bucefalo Palliani and Buratti (2006). Although the
393 primary focus was on the rich terrestrially derived floras, Warrington (1974) first recognised
394 the stratigraphical importance of *Dapcodinium priscum* and *Rhaetogonyaulax* in the Rhaetian
395 of Lancashire, northwest England. Later, Warrington (1997, 2005) reported other

396 dinoflagellate cysts, such as *Beaumontella caminuspina*, *Beaumontella langii* and
397 *Valvaeodinium koessenium* from England.

398 Bucefalo Palliani and Buratti (2006) studied the Rhaetian of St Audrie's Bay and
399 Manor Farm in southwest England. They recorded rich dinoflagellate cyst assemblages
400 dominated by *Rhaetogonyaulax rhaetica* from the uppermost Mercia Mudstone and the
401 Penarth groups. In addition, frequent *Suessia* sp. A from the Blue Anchor Formation and
402 common *Dapcodinium priscum* from the uppermost Cotham Member (Lilstock Formation)
403 were observed. Rare records of other species, including some not previously recorded in the
404 UK, included *Heibergella asymmetrica*, *Heibergella* sp. cf. *H. salebrosacea*, *Noricysta*
405 *pannucea*, *Suessia swabiana* and *Sverdrupiella mutabilis*. Bucefalo Palliani and Buratti
406 (2006) presented semi-quantitative data, related their dinoflagellate cyst record to the
407 palaeogeography and postulated migration events. These authors proved that dinoflagellate
408 cysts were relatively diverse and rich by the Late Rhaetian in the UK.

409 Records of Rhaetian dinoflagellate cysts from Denmark and southern Sweden include
410 Dybkjær (1988, 1991), Batten et al. (1994), Lindström (2002), Nielsen (2003), Lindström and
411 Erlström (2006, 2007), Petersen et al. (2013) and Lindström et al. (2017a). An inverse
412 quantitative relationship between *Dapcodinium priscum* and *Rhaetogonyaulax rhaetica* was
413 noted by Batten et al. (1994), suggesting a relationship between brackish and marine
414 environments.

415 Lindström (2002) described *Lunnomidinium scaniense* from the Rhaetian Höganäs
416 Formation of southern Sweden from a succession dominated by pollen and spores.
417 ?*Beaumontella caminuspina*, *Dapcodinium priscum*, *Rhaetogonyaulax rhaetica* and
418 *Rhaetogonyaulax* sp. were also present sporadically. The evolution of *Lunnomidinium*
419 *scaniense* and *Suessia* sp. A and their affinities to the Suessiaceae was discussed by
420 Lindström (2006); these two forms may reflect different salinity levels. Only one occurrence

421 of *Lunnomidinium scaniense* from Schandelach, Germany has been reported outside Sweden
422 (Lindström et al., 2017b). Lindström and Erlström (2006b) related the occurrences of
423 dinoflagellate cysts to a regional model and demonstrated that the acme of *R. rhaetica*
424 corresponds to a Late Rhaetian maximum flooding event in the Danish Basin. Recently,
425 Lindström et al. (2017a) researched the correlation of Triassic–Jurassic boundary successions
426 with focus on the terrestrial ecosystems, but reported the dinoflagellate cysts *Beaumontella*
427 *caminuspina*, *Lunnomidinium scaniense*, *Rhaetogonyaulax rhaetica*, *Suessia swabiana* and
428 *Suessia* sp. A. They confirmed that *Rhaetogonyaulax rhaetica* is a regional marker for
429 maximum flooding surfaces. Lindström et al. (2017b) also correlated Triassic–Jurassic
430 boundary sections from northwest Europe, Nevada and Peru and demonstrated how various
431 abundance events of *Rhaetogonyaulax rhaetica* can be applied in correlation.

432 In western Tethys, large areas of clay-rich sediments deposited in low dysoxic–anoxic
433 basins have yielded dinoflagellate cysts from marine Rhaetian successions. This includes
434 Sicily and southern Italy, where Cirilli et al. (2015) reported *Dapcodinium priscum* from the
435 Rhaetian part of the Streppenosa Formation.

436 Several studies recorded Rhaetian dinoflagellate cysts from south-eastern France
437 (Doubinger and Adloff, 1977; Adloff and Doubinger, 1982; Fauconnier et al., 1996; Courtinat
438 et al., 1998; 2002; Courtinat and Piriou, 2002). Courtinat et al. (1998) reported rich
439 assemblages from the Rhaetian near Lyon, including dominant *Rhaetogonyaulax rhaetica*,
440 representing up to 95% of the palynomorphs. However, some horizons lack *Rhaetogonyaulax*
441 *rhaetica*; in addition *Beaumontella caminuspina*, *Beaumontella delicata*, *Beaumontella langii*,
442 *Dapcodinium priscum* and *Suessia swabiana* were observed. These authors interpreted the
443 succession as representing a marginal marine setting, becoming fully marine up-section.
444 *Dapcodinium priscum* and *Rhaetogonyaulax rhaetica* were observed in the largely paralic
445 Rhaetian of the Massif-Central, south of Lyon by Courtinat et al. (2002) in a study otherwise

446 focused on palynofacies from these paralic successions. From the same successions, Courtinat
447 and Piriou (2002) discussed the changing quantitative occurrences in twelve Rhaetian sections
448 of *Dapcodinium priscum* and *Rhaetogonyaulax rhaetica* and concluded that *Dapcodinium*
449 *priscum* seemed to be an opportunistic species occupying specific ecological niches, whereas
450 *Rhaetogonyaulax rhaetica* was a more open marine species.

451

452 2.3. Iran

453 Several publications have documented dinoflagellate cysts from the Upper Triassic of
454 Iran including Aghanabati et al. (2002, 2004). The latter reported a relatively diverse
455 assemblage including *Heibergella aculeata*, *Heibergella asymmetrica*, *Hebecysta balmei* and
456 *Rhaetogonyaulax rhaetica*; this was divided into four assemblage zones, all of which are of
457 inferred Norian age.

458 Ghasemi-Nejad et al. (2004) published on the Alborz Mountains, immediately south of
459 the Caspian Sea. The dinoflagellate cysts were placed into the *R. wigginsii* and *R. rhaetica*
460 zones of the *Rhaetogonyaulax* Superzone of the well-established Australian zonation.
461 Independent ammonite dating suggests an early–middle Norian age for the *R. wigginsii* zone,
462 which comprises abundant *R. wigginsii* and intervals of abundant *Heibergella aculeata*,
463 *Heibergella asymmetrica* and *Heibergella salebrosacea*. By contrast, the *Rhaetogonyaulax*
464 *rhaetica* zone is characterised by a monospecific assemblage of the index taxon.

465 From northeastern Iran, in a region which faced the Paleotethys, Ghasemi-Nejad et al.
466 (2008) recorded diverse, poorly preserved Norian dinoflagellate cyst assemblages from the
467 Miankuhi Formation. These included various species of *Hebecysta*, *Heibergella*,
468 *Rhaetogonyaulax* and *Sverdrupiella* in addition to two indeterminate genera. Notably,
469 *Rhaetogonyaulax rhaetica* was not recorded. Their age determination is based on correlation
470 to the Upper Norian *H. balmei* zone of Australia.

471 Recent work in east-central Iran (Sabbaghiyan et al., 2015) described a rich
472 dinoflagellate cyst assemblage from the Nayband Formation. This unit is Rhaetian in age
473 based on plant macrofossils. *Rhaetogonyaulax rhaetica* is present throughout the interval
474 studied however; *Dapcodinium priscum*, *Hebecysta brevicornuta* *Heibergella asymmetrica*,
475 *Heibergella kendelbachia*, *Heibergella salebrosacea*, *Noricysta pannucea* and *Sverdrupiella*
476 cf. *mutabilis* are also present.

477

478 2.4. Oceania

479 Upper Triassic successions mainly comprising deltaic, estuarine and marginal marine
480 successions have been extensively studied throughout the North West Shelf of Australia and
481 many wells have provided an extensive palynomorph database (Figure 2). Palynology, and in
482 rare cases conodonts, are the only biostratigraphical tools used, and the palynomorph
483 assemblages are largely dominated by pollen and spores. However, some contributions
484 include reports of dinoflagellate cysts (Helby et al., 1987a; Brenner, 1992; Nicoll and Foster,
485 1994; Backhouse and Balme, 2002; Backhouse et al., 2002).

486 The first Mesozoic palynomorph biozonation of the North West Shelf of Australia was
487 by Helby et al. (1987a), and was based on both marine and terrestrially derived forms. These
488 authors defined the *Shublikodinium* (now *Rhaetogonyaulax*) middle Triassic to lower Jurassic
489 Superzone which is of Anisian–Pliensbachian age. This unit includes six dinoflagellate cyst
490 zones, the oldest is based on the occurrence of *Sahulidinium ottii* in the Sahul Shoals-1 well.
491 In ascending order, their zones were based on the lowest occurrence of *Rhaetogonyaulax*
492 *wigginsii*, *Suessia listeria* (now *Wanneria listeri*), *Hebecysta balmei* and *Rhaetogonyaulax*
493 *rhaetica*. The youngest zone (within the Superzone) is the *Dapcodinium priscum* zone (as the
494 *Dapcodinium priscus* Zone) which has a base in the uppermost Rhaetian is defined by the
495 total range of *Dapcodinium priscum*.

496 Riding et al. (2010) critically reviewed the chronostratigraphical ages of the zones of
497 the *Rhaetogonyaulax* Superzone of Australia. The age of the base of this unit was revised to
498 Late Ladinian, probably Carnian, as opposed to Anisian. Furthermore, the base of the
499 *Rhaetogonyaulax wigginsii* zone was considered to be latest Carnian, and the uppermost
500 occurrence of *Rhaetogonyaulax rhaetica* is in the latest Triassic. According to Riding et al.
501 (2010) there is then a hiatus spanning most of the Carnian placing the base of the overlying
502 *Rhaetogonyaulax wigginsii* Interval Zone in the latest Carnian ranging up to the Middle
503 Norian. This is in contrast to Helby et al. (1987) who placed the base of the *Rhaetogonyaulax*
504 *wigginsii* zone near the base of the upper Carnian and its top at the base of the Norian (Riding
505 et al. 2010, fig. 3). At present most Australian palynologists align with Helby et al. (1987)
506 (personal communication, Daniel Mantle 2018).

507 Riding et al. (2010) also commented that of the 11 Triassic dinoflagellate cyst taxa in
508 the *Rhaetogonyaulax* Superzone, six are known from Europe, whereas the other five
509 (*Hebecysta balmei*, *Rhaetogonyaulax wigginsii*, *Sahulidinium ottii*, *Suessia* sp. A and
510 *Wanneria listeri*) are only known from Australia. However, *Wanneria listeri* had been
511 recorded from Austria by Feist-Burkhardt et al. (2002).

512 The work of Brenner (1992) was from Ocean Drilling Program material drilled on the
513 Wombat Plateau off northwest Australia and includes records of *Hebecysta balmei* (as
514 *Heibergella balmei*), *Wanneria listeri* (as *Suessia listeria*), *Suessia swabiana* and
515 *Rhaetogonyaulax rhaetica*. He also provided plates of various unidentified and undescribed
516 forms, including species of *Noricysta*?, *Suessia* and *Rhaetogonyaulax*.

517 The first report of Late Triassic dinoflagellate cysts from New Zealand was a study of
518 the upper Norian by Wilson and Helby (1986) from the southern island. Subsequently, Helby
519 and Wilson (1988) described *Sverdrupiella warepaensis* from the type section of the Warepan
520 Stage, Otago. They reported *Sverdrupiella* to be the predominant dinoflagellate genus

521 occurring in association with only one single specimen of *Rhaetogonyaulax*. The assemblage
522 is recorded in beds with *Monotis* shellbeds which correlates to the Cordillaranus Zone of late
523 Norian age.

524 Martini et al. (2004) reported a well-preserved dinoflagellate cyst assemblage from the
525 Manusela Limestone (previously the Asinepe Limestone) from Indonesia. This area was an
526 island situated between Tethys and Panthalassa during the Late Triassic (Golonka, 2007).
527 Together with abundant terrestrially derived palynofloras, Martini et al. (2004) recorded
528 *Beaumontella caminuspina*, *Beaumontella delicata*, *Heibergella aculeata*, *Heibergella*
529 *asymmetrica* and *Rhaetogonyaulax rhaetica*. They correlated this assemblage to the middle–
530 upper Norian *Heibergella* (now *Hebecysta*) *balmei* Interval Zone (Helby et al., 1987a). This is
531 supported by evidence from corals and foraminifera with a clear Tethyan affinity (Charlton
532 and van Gorsel, 2014). From Seram, Helby et al (1987) reported rare *Sverdrupiella* in
533 association with *Heibergella* in the shallow water Kanikeh Formation. A short paper from the
534 Andaman Islands, India (Sharma and Sarjeant, 1987), reported *Heibergella* spp. and
535 *Rhaetogonyaulax rhaetica*, and linked these observations to Indonesia.

536 There is only one record of Triassic dinoflagellate cysts from Antarctica. Foster et al.
537 (1994) reported and illustrated one specimen of *Rhaetogonyaulax* from the Flagstone Bench
538 Formation of East Antarctica. This co-occurs with a well-preserved terrestrial Onslow
539 Microflora of Norian age. No other independent dating was available.

540

541 2.5. Africa

542 From the Upper Triassic Mbuo Formation in southern Tanzania, Hudson and Nicholas
543 (2014) reported *Dapcodinium priscum*, *Sverdrupiella* sp. and *Sahulidinium ottii* from
544 explorations wells. However, they based their observations on unpublished industry reports

545 and their only general age assignment is correlation of the terrestrial and marine palynomorph
546 assemblages.

547

548 2.6. *South America*

549 No records of Late Triassic dinoflagellate cysts from South America have been reported thus
550 far. Most of the known successions are non-marine, for example the Ischigualasto Formation
551 of Argentina. Nonetheless, Upper Triassic marine successions are known, for example, in
552 Mendoza Province of central western Argentina, where Norian–Rhaetian bivalves and
553 brachiopods were reported by Damborenea and Manceñido (2012) and Damborenea et al.
554 (2017). Furthermore, Sansom (2000) documented Rhaetian conodonts and ichthyoliths from
555 Chile, and Ferrari (2015) reported Rhaetian marine gastropods from Peru, but relatively little
556 palynological research has been done on the fragmentary and sparse Upper Triassic strata
557 along this margin.

558

559 3. **The stratigraphic records of Triassic dinoflagellate cysts**

560 3.1. *Upper Ladinian? to Lower Carnian*

561 To date, the first known fossil dinoflagellate cysts recorded are *Sahulidinium ottii*
562 from the upper middle Triassic/lowermost Carnian of Australia, however the dating is
563 uncertain and is based on indirect evidence (Riding et al., 2010). Following substantial
564 hiatuses representing a time interval with no records of about 10 my, the next published
565 occurrences from this area are those from the upper Carnian. However, small
566 *Rhaetogonyaulax* have been recently recorded in lower Carnian successions from Australia
567 (personal communication, Daniel Mantle 2018), indicating this the apparent gap may actually
568 represent a continuous record. Stratigraphically, the next published occurrences on a global
569 scale are those from the northern shores of the Neotethys. These includes the lower Carnian

570 occurrences of Switzerland (Stover and Helby, 1987; Hochuli and Frank, 2000) and Hungary
571 (Baryani 2018). The two latter records are geographically separated and stratigraphically
572 isolated, but were connected to the Neotethys, an area with carbonate platforms and reefs. The
573 reported species includes *Rhaetogonyaulax* cf. *wigginsii*, an “Australian” species, cf.
574 *Noricysta* (Switzerland) and *Heibergella* sp. (Hungary). Interestingly none of these taxa have
575 so far been recorded in the Carnian from the northern shores of Pangea.

576

577 3.2. *Upper Carnian*

578 Although records of Late Triassic dinoflagellate cysts from Pangea are fragmentary
579 and sporadic, and they are likely under-reported, dinoflagellate cysts seem to appear relatively
580 synchronously and consistently around Pangea from near the base of the upper Carnian
581 (Figure 2). In all areas, *Rhaetogonyaulax* seems to have been the pioneer genus.

582 In Australia, *Rhaetogonyaulax wigginsii* has been recorded from the upper Carnian
583 (Stover and Helby, 1987; Riding et al., 2010) and, with the exception of *Suessia swabiana*, no
584 other pre-Norian taxa are apparently present in this area. There are no confirmed records of
585 *Suessia swabiana* until the Rhaetian in other areas, but *Suessia* sp. cf. *Suessia swabiana* was
586 reported by Suneby and Hills (1988) from the Norian in the Sverdrup Basin.

587 Along the northern shores of Pangea, *Rhaetogonyaulax arctica* was the first species to
588 appear. So far, records include those from the embayment comprising the Norwegian Arctic
589 (Vigran et al., 2014; Paterson and Mangerud, 2015), the Sverdrup Basin (Fisher and Bujak,
590 1975; Bujak and Fisher, 1976, Felix and Burbridge 1978) and Alaska (Wiggins, 1973), an
591 area facing the open Panthalassa Ocean.

592 There is still some uncertainty related to the occurrences of other species in the upper
593 Carnian, as there are published records of other taxa from the upper Carnian in the Sverdrup
594 Basin. However, these richer Carnian records are from ditch cuttings samples (e.g. Bujak and

595 Fisher 1976, table 1 for the Skybattle Bay-15 well below 7594 feet) and it is therefore
596 possible that these occurrences represent caving from the overlying Norian shales. In the
597 Barents Sea, the only record of possible pre-Norian taxa other than *Rhaetogonyaulax* species
598 are those of Hochuli et al. (1989). These authors reported *Heibergella asymmetrica*,
599 *Heibergella salebrosacea* and *Sverdrupiella mutabilis*, co-occurring with *Rhaetogonyaulax*
600 *arctica*, in their Assemblage C which they assigned an early Norian age. Paterson and
601 Mangerud (2015) however, did not record these species on Hopen. Vigran et al. (2014),
602 recorded similar assemblages from the Knorringfjellet Formation of Norian age in the
603 Festningen section. Only species of *Rhaetogonyaulax* were recorded below the base of the
604 Norian at this locality. As Hochuli et al. (1989) do not provide range charts from the various
605 localities, there is still uncertainty as to the first appearance of these species. Neither can we
606 rule out that the appearance of *Rhaetogonyaulax* is diachronous across the region due to the
607 flooding from the Northwest. Nonetheless, it seems clear that *Rhaetogonyaulax* was the
608 pioneer genus, but that different species of this genus appeared approximately simultaneously
609 on the northern and southern flanks of Pangea. If this hypothesis is correct, it implies that the
610 number of pre-Norian forms globally were probably between three and five species. No
611 earlier records have so far been published from these localities, despite the fact that Middle
612 Triassic successions in these regions are dominantly marine, and have yielded many
613 acritarchs.

614

615 3.3. *Norian*

616 Although relatively few marine Norian successions which have produced
617 dinoflagellate cysts have been observed on a global scale, several of them comprise relatively
618 diverse and rich assemblages. This Norian “explosion” appears to be a genuine evolutionary
619 event and its inception in the northern areas coincided with a major transgression where

620 ammonoids provide independent dating for some of the palynological records. However, the
621 general scarcity of independent age control, later changes in lithostratigraphical successions,
622 uncertainties as to stage boundaries and stage durations, and thereby ties to the time scale,
623 makes it difficult to distinguish early and late Norian dinoflagellate cyst assemblages.
624 However, it seems clear that early Norian records include those from northern Pangea
625 (Alaska, the Barents Sea and the Sverdrup Basin) and from Australia, which was located on
626 the southern shores facing the Neotethys. Additionally, there are reports from Iran, which was
627 located in the northern parts of the Neotethys (Figure 2). The age constraints for these are
628 relatively good; several of these sections have independent ammonite control.

629 The dinoflagellate cyst assemblages reported as of early Norian age from the Sverdrup
630 Basin in the Canadian Arctic, included *Valvaeodinium* (as *Comparodinium*) and *Suessia* sp.
631 cf. *S. swabiana* (see Suneby and Hills 1988). These authors also reported upper Norian
632 assemblages comprising *Noricysta pannucea* and *Heibergella asymmetrica*. Other records
633 were given a general Norian age including those of *Hebecysta brevicornuta*, *Heibergella*
634 *aculeata*, *Noricysta fimbriata*, *Noricysta varivallata*, *Sverdrupiella mutabilis*, *Sverdrupiella*
635 *sabinensis* and *Sverdrupiella septentrionalis*. Suneby and Hills (1988) also reported
636 undifferentiated forms of *Rhaetogonyaulax* and Fisher and Bujak (1975) and Bujak and Fisher
637 (1976) recorded various species of *Hebecysta*, *Heibergella*, *Noricysta* and *Sverdrupiella* from
638 the western Queen Elisabeth Islands.

639 In contrast to the diverse associations from the Sverdrup Basin, mainly
640 *Rhaetogonyaulax arctica* and *Rhaetogonyaulax rhaetica* are present in the lower Norian of
641 the Norwegian Arctic, although *Heibergella*, *Noricysta* and *Sverdrupiella* occur rarely
642 (Vigran et al., 2014; Paterson & Mangerud, 2015). This could be due to the fact that the
643 Barents Sea was situated in the inner part of a huge embayment which received massive levels
644 of clastic input from the eroding Uralides mountains resulting in a dominantly paralic basin

645 fill, with occasional marine incursions. The largest of these was the early Norian
646 transgression. The upper Norian in the Norwegian Arctic is devoid of marine palynomorphs.

647 In the Neotethys area, Norian dinoflagellate cyst records are so far restricted to Iran
648 (Aghanabati et al. 2002; Aghanabati et al. 2004; Ghasemi-Nejad et al., 2004). From northern
649 Iran the lower middle Norian dinoflagellate assemblages are relatively rich comprising
650 abundant *Rhaetogonyaulax wigginsii*, *Heibergella asymmetrica*, *Heibergella salebrosacea*
651 and *Heibergella aculeata* (see Ghasemi-Nejad et al. 2004), resembling the assemblages from
652 Australia. Above in the Iranian successions, Ghasemi-Nejad et al. (2004) only recorded
653 species of *Rhaetogonyaulax* including *Rhaetogonyaulax rhaetica*, which they assigned a
654 younger late Norian to Rhaetian age. Also in Indonesia *Rhaetogonyaulax rhaetica* was
655 reported as of middle–late Norian age. It therefore seems that *Rhaetogonyaulax rhaetica*
656 appeared later in the Neotethys area compared to the northern flanks of Pangea, where it
657 appears in the early Norian. In northeastern Iran, Ghasemi-Nejad et al. (2008) also recorded
658 *Sverdrupiella* in assemblages of early Late Norian age. As no independent age control is
659 available here, it is, however, difficult to correlate this more exactly to other areas than
660 anticipating a general Norian age.

661 From Indonesia, situated latitudinally between Iran and Australia, Martini et al. (2004)
662 recorded similar Norian assemblages; however, they also reported *Beaumontella*
663 *caminuspina*, and *Beaumontella delicata*. This is interesting as *Beaumontella* was previously
664 considered to have its first global appearance in the Rhaetian, for example *Beaumontella*
665 *langii* from the Rhaetian of England and in the uppermost Rhaetian in Australia (Bucefalo
666 Palliani and Buratti, 2006; Riding et al., 2010). Interestingly *Beaumontella langii* has, recently
667 also been recorded from the late Norian in Australia (personal communication Daniel Mantle,
668 2018) showing that *Beaumontella* most likely appeared in the Neotethyan area and migrated
669 when the rifting increased.

670 In Australia, *Rhaetogonyaulax wigginsii* appeared around the Carnian–Norian
671 transition (see above). In addition to *Rhaetogonyaulax wigginsii*, *Wanneria listeri* has a first
672 appearance in the lowermost Norian. These first occurrences are therefore distinctly different
673 from the those on the northern flank of Pangea. In Australia, these species are followed by
674 *Hebecysta balmei*, *Noricysta* spp. and *Sverdrupiella* spp., resembling the assemblages in the
675 Sverdrup Basin at the generic level. Also from the Carnarvon Basin, Backhouse et al. (2002)
676 reported upper Norian dinoflagellate cyst assemblages dominated by *Hebecysta balmei*
677 occurring in relatively thin intervals linked to flooding events.

678 The *Sverdrupiella* suite had a circum-Pacific distribution during the Norian, according
679 to Helby et al. (1987b). The species in the high northerly latitudes are, however, different
680 from those from Australia (Helby and Wilson, 1988) where *Sverdrupiella* appear to be rare.
681 *Sverdrupiella* sp. cf. *S. septentrionalis* and *Sverdrupiella* cf. *S. mutabilis* are recorded from
682 Iran, and rare *Sverdrupiella usitata* from Australia (Backhouse et al., 2002). Helby and
683 Wilson (1988) described *Sverdrupiella warepaensis* from New Zealand. Other Australian
684 records have reported undifferentiated *Sverdrupiella* spp. (Riding et al., 2010). With the
685 exception of *Sverdrupiella warepaensis*, species of *Sverdrupiella* are few in Australia. The
686 rich *Sverdrupiella* suite is mainly confined to the high northerly latitudes; in the Canadian
687 Arctic nine species were recorded. The majority of the Arctic records outside the Sverdrup
688 Basin include sparse occurrences from Alaska and the Barents Sea, most are only identified at
689 the generic level. One of us (JBR) has observed the entire *Sverdrupiella* suite from the
690 Northern Slope of Alaska (unpublished data), but there are large regions of this state where
691 this flora is apparently absent (personal communication, Robert Ravn). *Sverdrupiella usitata*
692 is typically the most common species of this assemblage, and has been observed reworked
693 into younger strata (unpublished data). Based on the published records reviewed in the present

694 account the number of dinoflagellate species had globally exceeded 25 by the end of the
695 Norian–Rhaetian transition.

696

697 3.4. Rhaetian

698 During the Rhaetian, marine inundation of the gradually opening rift system led to the
699 expansion of habitats for dinoflagellates. The Rhaetian transgression resulted in dinoflagellate
700 cyst migration into formerly land-locked regions of northern Pangea, including Austria,
701 Denmark, England, France, Italy, Slovakia, Sweden and Switzerland. In addition, there are a
702 number of Rhaetian records from other Neotethyan localities including Australia, Ciscaucasia
703 and east central Iran. In contrast to the Tethyan occurrences, the localities from the northern
704 shores of Pangea are characterised by paralic facies resulting in mainly non-marine Rhaetian
705 successions in the Barents Sea area and the Sverdrup Basin.

706 The Rhaetian assemblages are somewhat different to their Carnian and Norian
707 counterparts, with *Dapcodinium priscum* having its lowermost occurrence over a wide area.
708 The other dinoflagellate cyst genus expanding in the Rhaetian is *Wanneria*, which first
709 appears in Australia and seems to have migrated northwards. *Wanneria misolensis* has a short
710 range in the early Rhaetian in Australia and is a useful marker. The cosmopolitan species
711 *Rhaetogonyaulax rhaetica*, which first appeared in the late Carnian, expanded both in
712 numbers and spatial extent during the Rhaetian and apparently became extinct close to the
713 Triassic–Jurassic boundary. Most other Triassic genera also extended into the Rhaetian and
714 had range tops close to the Triassic–Jurassic boundary. An exception to this is *Sverdrupiella*,
715 which is extremely rare in the Rhaetian. This genus was reported from the Rhaetian in Europe
716 by Morbey and Dunay (1978) and Powell (1992); however, these authors did not document
717 where *Sverdrupiella* was recorded or provided illustrations. The latter report is of a single

718 specimen of *Sverdrupiella* sp. from the Rhaetian of the Blyborough Borehole, Lincolnshire,
719 central England (JBR unpublished information).

720 A global regression caused an extremely widespread hiatus near the end of the
721 Triassic; however, a late Rhaetian transgression has been recognised in several areas. This
722 frequently resulted in an influx of dinoflagellate cysts. In southern Sweden, Lindström and
723 Erlström (2006) recorded the suessiacean species *Lunnomidinium scaniense*, together with
724 *Rhaetogonyaulax rhaetica*, below an influx of *Rhaetogonyaulax rhaetica*, with *Dapcodinium*
725 *priscum* just below the Triassic–Jurassic boundary. This represents the maximum extent of the
726 Rhaetian sea in this area.

727 A detailed analysis of the Triassic–Jurassic boundary is beyond the scope of this paper.
728 However, we note that there are uncertainties due to condensed sections and hiatuses in the
729 Global Stratotype Section and Point (GSSP) for the Hettangian at Kuhjoch, Austria (Morton,
730 2012; Hillebrandt et al., 2013). Important studies on the palynology of the Triassic–Jurassic
731 boundary include Kuhjoch (Kürschner et al., 2007; Bonis et al., 2009), St. Audrie’s Bay, UK
732 (Bonis et al. 2010), and the Danish/Swedish basins (Lindström and Erlström, 2006; Lindström
733 et al., 2017a,b). Of the main Triassic dinoflagellate cyst taxa, only *Beaumontella langii*,
734 *Dapcodinium priscum* and *Heibergella? kendelbachia* extended into the Jurassic (Figure 2).

735

736 **4. The paleoenvironmental preferences of Triassic dinoflagellate cysts**

737 At present, knowledge concerning the paleoenvironmental preferences of Late Triassic
738 dinoflagellates is very limited, and no focussed studies on this topic have been performed. In
739 most cases, their use as marine indicators have been their most important input. There are
740 occasional observations on their link to depositional environments for example, Helby et al
741 (1987) noted that *Sverdrupiella* on the Alaskan shelf was recorded in clastic sediments with

742 high nutrient contents and restricted circulation. However, there are at present insufficient
743 data to draw conclusions on this point.

744 One of the few attempts to link upper Triassic dinoflagellate cysts to
745 paleoenvironmental conditions include Courtinat and Piriou (2002) who recorded
746 *Dapcodinium* and *Rhaetogonyaulax* from the Rhaetian of the Tethyan region, frequently in an
747 inverse quantitative relationship. Batten et al. (1994) and Poulsen (1996) have also noted this
748 configuration. Courtinat and Piriou (2002) related this phenomenon to a mainly restricted
749 nearshore marine versus a deeper marine environment. They noted that *Rhaetogonyaulax*
750 *rhaetica* seemed to occur in more marine settings, compared to *Dapcodinium priscum* which
751 was recorded in more restricted depositional settings. They postulated that *Dapcodinium* is
752 therefore probably a more opportunistic euryhaline species. By contrast, Batten et al. (1994)
753 suggested that *Rhaetogonyaulax rhaetica* may have been more tolerant of brackish water
754 conditions, as it occurs in marine mudstones close to an intra-basinal high in the Danish
755 Basin. In their study *Dapcodinium priscum* was recorded with well-preserved plant material.
756 Ghasemi-Nejad et al. (2004) in more general terms related their dinoflagellate records to a
757 shallow sea with fluvial deposition, leading to rich nutrient conditions as a cause for
758 dinoflagellate cysts to flourish.

759 *Rhaetogonyaulax rhaetica* has been recorded in the terrestrially-dominated, shallow
760 marine successions for example of Slovakia (Ruckwied and Götz, 2009) in relatively low
761 proportions. Most records of *Rhaetogonyaulax* appear however to represent relatively deeper
762 water environments such as outer shelf. Paterson et al. (2016) demonstrated that
763 *Rhaetogonyaulax rhaetica* had abundance peaks which correlate to maximum flooding
764 surfaces. From the same study, *Rhaetogonyaulax arctica* seemed to occur during the early
765 stages of transgression (Paterson & Mangerud 2014, Paterson et al. 2016). The
766 palaeoenvironmental significance of this genus is therefore not fully understood. As more

767 dinoflagellate cyst data become available from the Upper Triassic, there should be potential to
768 link them to depositional settings and potentially use them as paleoenvironmental proxies.

769

770 **5. Conclusions**

771 Based on present knowledge from published records, this review has shown that the global
772 appearance of dinoflagellate cysts around the shores of Pangea took place near the base of the
773 Upper Triassic, maybe as early as in the latest Middle Triassic in Australia. This marks the
774 first appearance of a new group of marine plankton in the oceans. The peridiniphycidaen
775 genus *Rhaetogonyaulax* seems to be the pioneer in all areas, and the cosmopolitan species
776 *Rhaetogonyaulax rhaetica*, which first occurred in the late Carnian, expanded in both
777 numbers and spatial extent during the Rhaetian. Four peridiniphycidaen genera (*Hebecysta*,
778 *Heibergella*, *Rhaetogonyaulax* and *Sverdrupiella*), and one suessiacean genus (*Noricysta*)
779 had already developed globally by the middle Norian on the northern side of Pangea, whereas
780 another two suessiacean genera (*Suessia* and *Wanneria*) had developed in the southern
781 shores of Neotethys in Australia. Following these genera, *Valvaeodinium* appeared within the
782 middle part of the Norian, followed by *Beaumontella*. The latter genus was previously thought
783 to be confined to the Rhaetian, but is recorded in upper Norian successions in Australia and
784 Indonesia. Near the base of the Rhaetian, *Dapcodinium* appears for the first time. Many
785 dinoflagellate cyst species are endemic, being confined to the northern or southern realms,
786 and the lack of independent dating makes precise correlation of the various assemblages
787 difficult.

788 It is clear that most Triassic genera extended into the Rhaetian, but *Sverdrupiella*
789 seems to be an exception, as it is extremely rare in the Rhaetian. The majority of Triassic
790 dinoflagellate cyst taxa became extinct at the Triassic–Jurassic boundary. The exceptions are
791 *Beaumontella langii* and *Dapcodinium priscum*, which range up into the lower Jurassic.

792 Knowledge on the paleoenvironmental significance of Late Triassic dinoflagellate cysts is so
793 far extremely limited.

794 Outstanding problems in understanding the spatial and temporal occurrences of Late
795 Triassic dinoflagellate cysts include the lack of records from Russia. Here, the potential for
796 future studies appears high because well-known ammonite dated marine successions are
797 present, and dinoflagellate cysts have been observed (see above). In addition, lack of records
798 from South America and other areas along the eastern side of Pangea hampers for example the
799 understanding of potential migration routes. In order to improve our knowledge on the oldest
800 occurrences of dinoflagellate cysts, studies of frontier areas, combined with more detailed
801 studies from the areas where they are already known to occur, offer rich potential for future
802 studies.

803

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1296 **FIGURES**

1297

1298 Figure 1. The palaeogeography of the Late Triassic illustrating the locations of first
1299 occurrences of dinoflagellate cyst records (adapted from Golonka et al. 2018).

1300

1301 Figure 2. A composite range chart of Late Triassic dinoflagellate cysts from various
1302 geographical areas (time scale from Gradstein et al. 2016).

1303

1304 **TABLE 1**

1305

1306 List of dinoflagellate cyst taxa appearing in Upper Triassic successions. References to the
1307 author citations can be found in Williams et al. (2017).