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1 **Effects of four years of elevated ozone on microbial biomass and extracellular**  
2 **enzyme activities in a semi-natural grassland**

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13

14 **Abstract**

15 Reduced belowground carbon (C) allocation by plants exposed to ozone may change  
16 properties and activities of the microbial community in soils. To investigate how soil  
17 microbial biomass and extracellular enzyme activities respond to elevated ozone, we collected  
18 soils from a temperate grassland after four years of ozone exposure under fully open-air field  
19 conditions. We measured soil microbial biomass, the metabolism of low molecular weight C  
20 substrates and hydrolytic extracellular enzyme activities in both bulk soil and isolated  
21 aggregates to assess changes in microbial activity and community function. After four years  
22 of elevated ozone treatment, soil total organic C was reduced by an average of 20% compared  
23 with ambient condition. Elevated ozone resulted in a small but insignificant reduction (4–  
24 10%) in microbial biomass in both bulk soil and isolated aggregates. Activities of  
25 extracellular enzymes were generally not affected by elevated ozone, except  $\beta$ -glucosidase,  
26 whose activity in bulk soil was significantly lower under elevated ozone than ambient  
27 condition. Activities of  $\beta$ -glucosidase, leucine aminopeptidase and acid phosphatase were  
28 higher in microaggregates (< 0.25 mm) as compared to macroaggregates (> 0.25 mm).  
29 Elevated ozone had no effects on mineralization rates of low molecular weight C substrates in  
30 both bulk soil and isolated aggregates. We therefore conclude that the size and activity rather  
31 than function of the soil microbial community in this semi-natural grassland are altered by  
32 elevated ozone.

33 Keywords: (semi-)natural vegetation, climate change, hydrolytic enzymes, FACE, soil  
34 aggregates

35 **1. Introduction**

36 Tropospheric ozone is currently considered to be a key air pollutant because of its negative  
37 impact on plant productivity in most parts of the world (Ashmore, 2005; Fuhrer, 2009).  
38 During the past three decades, the background concentration of tropospheric ozone over the  
39 Northern Hemisphere midlatitudes has increased at a rate of 0.5–2% per year (Vingarzan,  
40 2004). Further increases in the Northern Hemisphere background ozone concentrations may  
41 occur over this century if current emission trends continue (Meehl et al., 2007), although this  
42 view is being questioned (Oltmans et al., 2013; Ridley et al., 2017). Studies exploring  
43 ecosystem responses to elevated ozone have received widespread attention in the last two  
44 decades. There is mounting evidence that increasing tropospheric ozone concentration has  
45 many direct effects on plants, including lower net primary productivity (Ainsworth, 2008;  
46 Feng et al., 2008; Mills et al., 2018; Morgan et al., 2003), changes in plant chemistry (Booker  
47 et al., 2005; Kasurinen et al., 2007; Morgan et al., 2003), reduced stomatal conductance of  
48 plants (Feng et al., 2008; VanLoocke et al., 2012; Wittig et al., 2007), reduced root growth  
49 (Grantz et al., 2006), as well as altered root longevity and turnover (Andersen, 2003).

50 In contrast to the aboveground part, belowground processes in soils in response to  
51 elevated ozone have received less attention, despite its critical roles in biogeochemical cycles  
52 (Agathokleous et al., 2016; Andersen, 2003; Fuhrer et al., 2016). Since the penetration of  
53 ozone into the soil is limited (Toet et al., 2009), the indirect effects of ozone exposure on  
54 belowground communities and ecosystem processes are primarily due to reduced C allocation  
55 below ground. The belowground components (e.g. soil microorganisms) responses to elevated

56 ozone in terrestrial ecosystems occurs indirectly through plant-derived deposits, which has  
57 not been well documented. Under fully open-air field conditions or in open-top chambers, for  
58 example, how the composition and structure of the soil microbial community respond to  
59 elevated ozone has been examined in a soybean field (He et al., 2014), a wheat field (Li et al.,  
60 2013), a subarctic forest (Kasurinen et al., 2005), a temperate forest (Phillips et al., 2002) and  
61 a hay meadow (Kanerva et al., 2008). However, the results in these studies are conflicting,  
62 showing that elevated ozone altered (He et al., 2014; Kanerva et al., 2008; Kasurinen et al.,  
63 2005; Phillips et al., 2002) or had no significant effect (Li et al., 2013) on the composition  
64 and structure of the soil microbial community. Thus, while the inconsistent findings have  
65 often been attributed to the differences in experimental durations and other factors (e.g.  
66 fumigation facility, ecosystem type and management regime), this reflects an incomplete  
67 understanding of the response of soil microorganisms to elevated ozone.

68 Soil microorganisms are the main sources of crucial enzymes in the cycling of main  
69 nutrients (e.g. C, N and P). Moreover, soil enzyme activities are highly sensitive to  
70 environmental changes and could serve as indicators of various changes in the plant-soil  
71 system (Burns et al., 2013; Saiya-Cork et al., 2002). Activities of extracellular enzymes are  
72 strongly regulated by the presence of plants, and the release of labile substrates by living roots  
73 into soil enhances extracellular enzyme activities (Nannipieri et al., 2002). Therefore, the  
74 aforementioned changes in belowground plant growth under elevated ozone could have the  
75 potential to alter both substrate availability and extracellular enzyme activities (Andersen,  
76 2003). Studies in aspen and aspen-birch forest ecosystems have shown that elevated ozone  
77 significantly reduced cellobiohydrolase activity but did not affect N-acetyl-glucosaminidase

78 activity in the forest floor after 2- or 10-year treatment (Edwards and Zak, 2011; Larson et al.,  
79 2002). In a lysimeter study with young planted beech, Esperschütz et al. (2009) reported that  
80 soil extracellular enzyme activities were generally not affected after 4 years of ozone  
81 treatment. In contrast, Williamson et al. (2010) measured the decomposition rates of wetland  
82 plants exposed to elevated ozone and showed that the responses of activities of  $\beta$ -glucosidase  
83 and N-acetyl-glucosaminidase to elevated ozone were species-dependent. Thus, how soil  
84 extracellular enzyme activities respond to elevated ozone remains uncertain.

85       Soil aggregation physically protects certain soil organic matter (SOM) fractions via  
86 influencing soil microbial communities and activities. In general, soil aggregates are  
87 fractionated by three different approaches: wet-sieving (Six et al., 1998), dry-sieving (Chenu  
88 and Cosentino, 2011) and optimal moisture (Dorodnikov et al., 2009; Kristiansen et al.,  
89 2006). To link in situ microbial communities and activities with ecological processes, the  
90 optimal moisture approach can provide an advantage of minimizing microbial responses to  
91 lab processing for a wide-range of biological assays (i.e., microbial biomass and extracellular  
92 enzyme activities) (Bach and Hofmockel, 2014). The reported decrease of the available  
93 substrates under elevated ozone, through decreased C allocation and fluxes into belowground  
94 components, are expected to affect microbial biomass and extracellular enzyme activities  
95 (Andersen, 2003). However, less is known about how extracellular enzyme activities respond  
96 to elevated ozone in either bulk soil or isolated aggregates.

97       In this study, we aimed (i) to investigate changes in soil properties, microbial biomass  
98 and extracellular enzyme activities in bulk soil after four years of elevated ozone treatment,

99 and (ii) to relate these changes observed in bulk soil to contrasting environment of differently  
100 sized aggregates. Given the aforementioned ozone effects on above- and belowground  
101 components, we hypothesized that field experimental exposure to elevated ozone in a  
102 grassland ecosystem would change soil microbial biomass and extracellular enzyme  
103 activities. For verifying this hypothesis, we collected soils from a temperate, semi-natural  
104 grassland after four years of ozone treatment under fully open-air field conditions.

## 105 **2. Material and methods**

### 106 *2.1. Experiment site*

107 Soil samples were taken from the ozone free-air controlled exposure (O<sub>3</sub>-FACE) field located  
108 at CEH Bangor Air Pollution Facility, Abergwyngregyn, North Wales, UK (13 m asl,  
109 53°15'N, 4°01'W). The study site has a temperate oceanic climate, with a mean annual soil  
110 temperature of 11°C at 10 cm depth and a mean annual rainfall of 1250 mm. The soil is  
111 classified as Eutric Cambisol (FAO) or Dystric Eutrudepts (US Soil Taxonomy) with a sandy  
112 clay loam texture, which is derived from Ordovician postglacial alluvial deposits. Vegetation  
113 was classified as *Lolium perenne leys* and related grasslands according to the UK National  
114 Vegetation Classification (MG7; Rodwell, 1992), without sheep grazing for more than 15  
115 years prior to this study. No fertilizer was applied at this site throughout the experimental  
116 period. Grass was cut 2–3 times during each growing season.

117 The O<sub>3</sub>-FACE system was established in the spring of 2014, consisting of nine rings of 4  
118 m diameter. Three ozone treatments with three replicates, namely low (ambient air), medium

119 (ambient air + 10 ppb) and high (ambient air + 20 ppb), were randomly assigned to the rings  
120 (Table 1), where the latter two treatments are hereafter referred to as elevated ozone. The  
121 rings were arranged in a replicated  $3 \times 3$  Latin square with 10 m between the centers of each  
122 ring. Ozone was generated by passing oxygen from a SeQual Integra 10 Oxygen Concentrator  
123 (SeQual Technologies, Inc., San Diego, CA, USA) through a Pacific Ozone G11 ozone  
124 generator (Benicia, California, USA). Small fans (Redring Xpelair Group Ltd, Southampton,  
125 UK) were used to push the ozone through the delivery pipe (65 mm, with 3 mm holes every  
126 10 cm). Ozone delivery was achieved via computer controlled (LabView Version 2012,  
127 National Instruments) solenoid valves operating using pulse width modulation. Wind speed  
128 was monitored continuously (WindSonic, Gill Instruments Ltd, UK) and used to  
129 instantaneously adjust solenoid operation and thus ozone delivery. Ozone release was reduced  
130 at wind speeds below  $16 \text{ m s}^{-1}$  and did not occur when wind speeds fell below  $2 \text{ m s}^{-1}$ . Ozone  
131 was sampled adjacent to the plants in each ring at a height of 30 cm for approximately 3.5  
132 min in every 30-min using an ozone analyzer (Thermo-Scientific, Model 49i, Reading, UK).  
133 Compared with previous studies using similar free-air systems (Paoletti et al., 2017;  
134 Watanabe et al., 2013), at very high wind speeds the ozone concentrations may not be well  
135 controlled and thus did not reach the target maximum concentrations. Despite this, we still got  
136 elevated ozone with the higher in the 'high' ozone treatment compared to that of the  
137 'medium' treatment as the solenoid valves were  $<1 \text{ m}$  from the  $\text{O}_3$ -FACE rings, the response  
138 time of ozone delivery to track windspeed was fast. Exposure to elevated ozone lasted from  
139 17 July to 13 October in 2014, from 13 May to 11 September in 2015, from 1 June to 30  
140 September in 2016, and from 25 May to 9 October in 2017. Ozone release was 93, 67, 93 and



141 99% of the time during the fumigation periods in the years 2014, 2015, 2016, and 2017,  
142 respectively.

## 143 *2.2. Aggregate-size fractionation*

144 Soil was collected from the top 10 cm of soil using 6.5 cm-diameter soil cores in November  
145 2017. Three intact soil cores were collected from each ring, placed in CO<sub>2</sub> permeable  
146 polythene bags and then transported to the laboratory. Each soil core was gently broken up  
147 along natural points of weakness and passed through an 8-mm sieve, removing visible roots  
148 and rocks. Replicated soil cores were combined into one composite sample for each ring and  
149 then stored at 4 °C to await further analysis. Prior to aggregate-size fractionation, subsamples  
150 of bulk soil were obtained from the cold-dried soils. Similar to previous studies (Bach and  
151 Hofmockel, 2014; Kristiansen et al., 2006), the optimal moisture approach was used for  
152 aggregate isolation to minimize microbial responses to lab processing for the following  
153 biological assays. Briefly, soils were cold dried at 4 °C to approximately 10% gravimetric  
154 water content. Approximately 400 g of cold-dried soil was placed on a stack of sieves  
155 including 2 mm- and 0.25 mm-mesh openings. The stack was bolted to a circular sieve shaker  
156 intend for soil particle analysis and shaken at 200–250 rpm for 3 min. Soil was gently  
157 removed from each sieve and weighed to determine the mass distribution of aggregates into  
158 the following fractions: large macroaggregates (>2 mm), small macroaggregates (0.25–2 mm)  
159 and microaggregates (<0.25 mm). Subsamples of bulk soil and individual aggregate-size  
160 fractions were saved to determine gravimetric water content, total C, microbial biomass and

161 mineralization rates of low molecular weight C substrates. Subsamples for the enzyme assay  
162 detailed below were frozen immediately at  $-20^{\circ}\text{C}$  until analysis.

### 163 2.3. Soil analysis

164 Bulk density was determined after insertion of  $100\text{ cm}^3$  metal rings into the soil, removal of  
165 soil, and drying at  $105^{\circ}\text{C}$  (24 h). Bulk density was calculated by dividing soil mass by core  
166 volume. Soil characteristics of both bulk soil and aggregate fractions were determined. Soil  
167 water content was determined gravimetrically by drying soil at  $105^{\circ}\text{C}$  (24 h). Soil pH was  
168 measured using standard electrodes in a 1:2.5 (w/v) soil-to-deionized water mixture.

169 Subsamples of bulk soil and aggregate fractions were directly extracted with 0.5 M  $\text{K}_2\text{SO}_4$   
170 (1:5 w/v) for available soil C and N pools measurement. For soil microbial biomass,  
171 additional subsamples were fumigated for 24 h with chloroform and similarly extracted with  
172 0.5 M  $\text{K}_2\text{SO}_4$  (1:5 w/v) (Vance et al., 1987). The 0.5 M  $\text{K}_2\text{SO}_4$  extracts of non-fumigation and  
173 fumigation samples were quantified using a Multi N/C 2100 TOC analyzer (AnalytikJena,  
174 Jena, Germany) to determine soil dissolved organic C (DOC), microbial biomass C and N.

175 Microbial biomass C and N concentrations were corrected using correction factors of 0.45 for  
176 C and 0.54 for N (Brookes et al., 1985; Wu et al., 1990). Total C (TC) and N (TN) of oven-  
177 dried and ground soils were determined with a TruSpec® elemental analyzer (Leco Corp., St  
178 Joseph, MI, USA). Based on the relative weight distribution of aggregates, the total microbial  
179 biomass C in different aggregates were recalculated for bulk soil. Net N mineralization and  
180 nitrification rates were determined by the aerobic incubation of soil samples for 14 days at

181 10 °C in the dark (Hart et al., 1994), followed by extraction with 0.5 M K<sub>2</sub>SO<sub>4</sub> and analyzing  
182 for soil mineral N as described above.

183 Carbon mineralization was estimated using a short-term incubation method following  
184 Robertson et al. (1999). Briefly, 20 g fresh soils for bulk soil and aggregate fractions was  
185 moistened to field moisture content (25%) with deionized water in a 1-L jar. The mason jar  
186 was closed with airtight screw-cap lid, fitted with a gas sampling port (butyl rubber septum)  
187 at the center, and was incubated at 10 °C for 21 d. Soil respiration were measured on 1, 3, 5,  
188 7, 14 and 21 d after incubation by measuring CO<sub>2</sub> concentration in the headspace air samples  
189 of the jar using a portable infrared gas analyzer (EGM-5 Environmental Gas Monitor for CO<sub>2</sub>,  
190 PP Systems, Hitchin, UK). Carbon mineralization rate was calculated and expressed as mg C  
191 kg<sup>-1</sup> h<sup>-1</sup>.

192 In addition, the mineralization of glucose, amino acids and peptide were determined to  
193 estimate rates of low molecular weight dissolved organic C and N following the method of  
194 Hill et al. (2012). Briefly, 1 g fresh weight (equivalent to *c.* 0.87 g dry weight) soil was placed  
195 into a 1.5-mL microcentrifuge tube in which a hole had been pierced in bottom. This  
196 assembly was placed into another intact microcentrifuge tube. To the surface of the soil, 150  
197 μL <sup>14</sup>C-labelled glucose (25 μM, 1.85 kBq mL<sup>-1</sup>), amino acids (10 μM, 1.55 kBq mL<sup>-1</sup>) and  
198 peptide (10 μM of L-trialanine, 1 kBq mL<sup>-1</sup>) were added. It has been suggested that an  
199 incubation period of 3 min can reflect maximum variance between treatments (Hill et al.,  
200 2012). Thus, these samples were incubated at 20°C for 3 min and then centrifuged at 4 000 g  
201 for 1 min to facilitate collection of free soil solution. An aliquot of this solution was then

202 transferred to a 6-mL scintillation vial to which 4 mL Scintisafe3 Scintillation cocktail (Fisher  
203 Scientific, Loughborough, Leicestershire, UK) was added before analysis using a Wallac  
204 1404 liquid scintillation counter (Wallac, EG&G, Milton Keynes, UK). The amino acids  
205 consisted of an equimolar mix of 20 different L-amino acids (glycine, isoleucine, arginine,  
206 glutamine, phenylalanine, histidine, asparagine, valine, threonine, leucine, alanine,  
207 methionine, cysteine, lysine, tryptophan, serine, proline, glutamate, aspartic acid and  
208 ornithine).

#### 209 *2.4. Enzyme assays*

210 The potential activities of six extracellular hydrolytic enzymes:  $\beta$ -glucosidase,  
211 cellobiohydrolase,  $\beta$ -xylosidase, N-acetyl-glucosaminidase, leucine aminopeptidase and acid  
212 phosphatase were measured according to the fluorimetric protocol of Saiya-Cork et al. (2002)  
213 with modification by DeForest (2009). Briefly, 1 g of fresh soils was suspended in 125 mL  
214 sodium acetate buffer with pH adjusted to mean of soils. Soil suspensions were pipetted into  
215 96-well microplates, and enzyme activities were determined by adding 4-methylumbelliferyl  
216 (MUB)- or 7-amino-4-methylcoumarin (AMC)-linked substrates for a final concentration of  
217 40  $\mu$ M. Assays were incubated in the dark for 2 h, and the reactions were stopped with 10  $\mu$ L  
218 0.5 M NaOH. The microplates were then scanned on a fluorescence spectrophotometer (Cary  
219 Eclipse, Agilent Technologies, Inc., Santa Clara, CA, USA) using the excitation and emission  
220 filters at 365 and 450 nm, respectively. Potential enzyme activity for bulk soil and aggregate-  
221 size fractions was expressed as MUB or AMC released in nanomol per gram of dry soil or  
222 aggregate and hour ( $\text{nmol g}^{-1} \text{soil h}^{-1}$  or  $\text{nmol g}^{-1} \text{aggregate h}^{-1}$ ) as described previously

223 (DeForest, 2009). Specific activities of extracellular enzymes were also calculated as a  
224 measure of activity per unit microbial biomass and expressed as MUB or AMC released in  
225 nanomol per milligram microbial biomass C and hour ( $\text{nmol mg}^{-1} \text{C}_{\text{mic}} \text{h}^{-1}$ ). The recovery of  
226 potential enzyme activity was calculated and expressed as a proportion of the bulk soil based  
227 on the weight distribution of aggregates.

## 228 *2.5. Statistical analysis*

229 All data were checked for assumptions of normality and log-transformed if necessary. A  
230 linear mixed effect model (LME, package LME4; Bates et al., 2014) was used to test ozone  
231 and/or aggregate-size class effects on investigated parameters with column and row included  
232 as random effects. Multiple comparisons between treatment means were conducted using  
233 post-hoc Tukey HSD tests (glht package: 'multcomp'). We accepted  $P$  values of  $P \leq 0.05$  as  
234 significant and those with  $P > 0.05$ , but  $< 0.1$  as marginally significant. All statistical analyses  
235 were performed in R version 3.2.2 (R Development Core Team, 2015).

## 236 **3. Results**

### 237 *3.1. The O<sub>3</sub>-FACE system*

238 The semi-natural grassland was exposed to ozone under fully open-air field conditions from  
239 July 17, 2014 through to October 9, 2017 during the growing season, with an average of 101  
240 days effective fumigation. Inter-annual variations in ambient ozone concentration (24 h  
241 means) showed only a small variation and ranged from 20.6 ppb in 2016 to 28.2 ppb in 2014  
242 (Table 1). Across all years, mean ozone concentrations in medium and high ozone rings were

243 69 and 116% higher than that in ambient air, respectively. Accumulated exposures above a  
244 threshold of 40 ppb (AOT40) averaged  $1.3 \pm 0.7$  ppm h in the ambient rings,  $14.0 \pm 3.6$  ppm h in  
245 the medium ozone rings and  $26.4 \pm 8.0$  ppm h in the high ozone rings over the four-year  
246 period.

### 247 *3.2. Soil properties, low molecular weight C substrate mineralization and enzyme activities in* 248 *bulk soils*

249 After 4 years of ozone treatment, soil total C and N were lower by an average of 20% and  
250 16% under elevated ozone (medium and high ozone rings) than ambient ozone, respectively  
251 (both  $P < 0.05$ ; Table 2), while soil bulk density, pH and C-to-N ratio did not differ between  
252 treatments. There was an apparent decrease in DOC and microbial biomass C in the elevated  
253 ozone treatments, which was not statistically significant when compared with those of the  
254 ambient ozone treatment. The ratios of microbial biomass C to total C were higher in the  
255 elevated ozone treatments than the ambient treatment ( $P = 0.06$ ). Neither short-term C  
256 mineralization nor mineralization of low molecular weight C substrates for bulk soil was  
257 affected by elevated ozone.

258 Averaged over all treatments, higher extracellular enzyme activities in bulk soil were  
259 found for  $\beta$ -glucosidase and acid phosphatase (on average 293 and 578 nmol g<sup>-1</sup> soil h<sup>-1</sup>,  
260 respectively), while the other four enzymes showed lower and similar activities (Table 2).  
261 Elevated ozone significantly decreased  $\beta$ -glucosidase activity ( $P < 0.05$ ) but not the activities  
262 of cellobiohydrolase,  $\beta$ -xylosidase, N-acetyl-glucosaminidase, leucine aminopeptidase and  
263 acid phosphatase in bulk soil.

264 *3.3. Aggregate-size distribution, total C and microbial biomass C content*

265 Elevated ozone did not affect the relative distribution of three aggregate fractions (Table 3).

266 Large and small macroaggregates dominated in this grassland soil, whereas the

267 microaggregate fraction accounted for a very small percentage of total soil mass ( $P < 0.001$ ).

268 The weight distribution among the aggregate-size classes of the bulk soil was as follows:

269 large macroaggregates ( $>2$  mm) contributed 52.1–57.4%, small macroaggregates (0.25–2

270 mm) 35.6–38.3% and microaggregates ( $<0.25$  mm) 6.5–9.6% of the weight of bulk soil. Total

271 C content were higher in the large macro- and microaggregate fractions than in the small

272 macroaggregate fraction ( $P < 0.001$ ) but did not significantly differ between ozone treatments

273 within each aggregate fraction.

274 Across aggregate fractions, microbial biomass C showed a marginally significant

275 reduction by an average of 10% under elevated ozone ( $P = 0.086$ ; Table 3). There was no

276 clear relationship between microbial biomass C and aggregate-size classes. Relative to the

277 bulk soil, the total microbial biomass C in different aggregates showed approximately 100%

278 recoveries across ozone treatments (Fig. 1A). The ratios of microbial biomass C to total C

279 were affected by aggregate-size class ( $P < 0.01$ ) and its interaction with ozone ( $P = 0.064$ ;

280 Fig. 1B).

281 *3.4. Low molecular weight C substrate mineralization and enzyme activities in isolated*

282 *aggregates*

283 As with bulk soil, short-term C mineralization in isolated aggregates did not differ between  
284 ozone treatments (Fig. 1C), though C mineralization rates in small macroaggregates and  
285 microaggregates were lower by 32 and 31%, respectively under elevated ozone as compared  
286 to ambient conditions. Neither ozone nor its interaction with aggregate-size class had effects  
287 on mineralization rates of low molecular weight C substrates, except that stimulated glucose  
288 mineralization was detected in the large macroaggregate from the high ozone treatment (Fig.  
289 1D-F). It should be noted that the pronounced effects of aggregate-size class on  
290 mineralization rates of low molecular weight C substrates were primarily due to  
291 underestimated turnover in the large macroaggregates with a 3-min incubation period.

292 Activities of  $\beta$ -glucosidase, N-acetyl-glucosaminidase, leucine aminopeptidase and acid  
293 phosphatase were distributed differently through aggregate-size classes ( $P < 0.05$ – $0.01$ ; Fig.  
294 2). Across ozone treatments, activities of  $\beta$ -glucosidase and acid phosphatase were of the  
295 order microaggregate > large macroaggregate > small macroaggregate. The lowest activity of  
296 leucine aminopeptidase was found both in the high ozone treatment and small  
297 macroaggregate fraction. Activities of cellobiohydrolase and  $\beta$ -xylosidase showed similar  
298 across all aggregate-size classes irrespective of ozone. Since aggregate-size class had no  
299 effect on microbial biomass, the patterns of specific activities of extracellular enzymes are  
300 almost identical to patterns as seen above (data not shown). Cumulative proportional enzyme  
301 activity in isolated aggregates did not differ from bulk soil, with somewhat larger variation  
302 ranged from 89% to 144% across enzymes (data not shown).

#### 303 **4. Discussion**



304 *4.1. Aggregate-size fractionation*

305 According to the concept of aggregate hierarchy (Tisdall and Oades, 1982), the bulk soil has  
306 been fractionated into its constituent aggregates using different disruptive techniques (Chenu  
307 and Cosentino, 2011; Mendes et al., 1999; Six et al., 1998). In this study, we chose the  
308 optimal moisture sieving technique which allows limited mechanical stress to breakdown of  
309 macroaggregates along the planes of weakness, releasing the microaggregates located on  
310 surfaces of macroaggregates and along their planes of weakness (Dorodnikov et al., 2009;  
311 Kristiansen et al., 2006). The small portion of microaggregates isolated in this study (6.5–  
312 9.6%) was comparable to those reported in other studies (Bach and Hofmockel, 2016; Kumar  
313 et al., 2017). This finding further supports the claim that free microaggregates and the  
314 microaggregates adhering on the surface of macroaggregates are isolated. On the other hand,  
315 the most distinguishing characteristics of optimal moisture sieving compared to the  
316 conventional wet- and dry sievings is to minimize effects on the soil microbial community  
317 and biological parameters. This is supported by our results showing that cumulative  
318 recoveries of microbial biomass and enzyme activity were 99–102% and 89–144%,  
319 respectively, across all treatments and enzymes.

320 The aggregate weight distribution detected here were in the order: large  
321 macroaggregates > small macroaggregates > microaggregates (Table 3). This is in agreement  
322 with other studies showing that large and small macroaggregates dominated in agricultural  
323 soils (Bach and Hofmockel, 2014; Kristiansen et al., 2006; Kumar et al., 2017). The  
324 distribution of aggregate-size classes was not altered after four years of ozone treatment,

325 although a significant reduction of root biomass under elevated ozone was detected (ambient  
326 ozone:  $1176 \pm 142 \text{ g m}^{-2}$  vs. elevated ozone:  $725 \pm 87 \text{ g m}^{-2}$ ;  $P = 0.024$ ). Consistent with this  
327 finding, the high plant density resulted in a two-fold increase of root biomass but had no  
328 effect on aggregate redistribution in a maize field (Kumar et al., 2017). Consequently, our  
329 findings indicate that elevated ozone had no effect on the distribution of soil aggregate-size  
330 classes, although there are negative impacts of elevated ozone on root growth and  
331 belowground C allocation (Andersen, 2003; Grantz et al., 2006).

#### 332 *4.2. Effects of elevated ozone on microbial biomass in bulk soil and isolated aggregates*

333 Numerous studies have been conducted to assess the effect of elevated ozone on soil  
334 microbial biomass, but the results remain controversial. Whereas some studies showed a  
335 decrease in microbial biomass (Bao et al., 2015; Kanerva et al., 2008; Phillips et al., 2002),  
336 others reported no difference (Cheng et al., 2011; Zhang et al., 2014) or even an increased  
337 microbial biomass (Mörsky et al., 2008) from soils under elevated ozone. Our results support  
338 those studies that found a negative response of soil microbial biomass to elevated ozone,  
339 partly corroborating our initial hypothesis. Ozone exposure is considered to alter C flux to  
340 soil via changes in rhizodeposition and litter quality or quantity (Andersen, 2003), and  
341 therefore, the decreased microbial biomass in bulk soil is most likely due to reduced root  
342 biomass and substrate availability under elevated ozone. Further, this is primarily associated  
343 with a significant reduction of microbial biomass in the microaggregate fraction under  
344 elevated vs. ambient ozone (Table 3). Since macroaggregates and microaggregates are  
345 inhabited predominately by fungal and bacterial communities, respectively, we speculate that

346 bacterial communities in microaggregates might be strongly affected by elevated ozone in this  
347 grassland soil. In contrast, some studies have shown that elevated ozone significantly reduced  
348 both fungal biomass and the fungal-to-bacterial ratio, suggesting that fungi may be more  
349 sensitive to elevated ozone as compared to bacteria (Kanerva et al., 2008; Li et al., 2013;  
350 Phillips et al., 2002). This inconsistency could be due to the differences in ecosystem types,  
351 experimental duration and methods, as well as environmental conditions. Nonetheless, we are  
352 aware that the present study is the first to assess the response of microbial biomass to elevated  
353 ozone among different aggregate fractions and further investigations are required.

354       The lack of correlation between soil microbial biomass and aggregate-size class  
355 contradicts the findings of others in agricultural soils, where they found soil microbial  
356 biomass were positively or negatively correlated with decreasing aggregate size (Dorodnikov  
357 et al., 2009; Kumar et al., 2017). Different microbial biomass between microaggregates and  
358 macroaggregates are often attributed to the contrasting environment of differently sized  
359 aggregates, which in turn contributes to the differential distribution of bacteria and fungi in  
360 micro- and macroaggregates (Chenu et al., 2001; Gupta and Germida, 1988; Jastrow et al.,  
361 2007). Since the composition and structure of the soil microbial community were not  
362 determined in isolated aggregates, we are not sure if the lack of correlation between microbial  
363 biomass and aggregate-size class is related to changes in microbial communities. In a recent  
364 review, Gupta and Germida (2015) also point out that further studies are warranted to  
365 investigate the distribution and temporal dynamics of microbes in distinct aggregates. While  
366 total organic C and microbial biomass C did not differ between ozone treatments within each  
367 aggregate fraction, the reduced ratio of microbial biomass C to total organic C in

368 microaggregates may have contributed to the decline in total C in bulk soil under elevated  
369 ozone (Sparling, 1992). In contrast, the increased ratio of microbial biomass C to total organic  
370 C in the bulk soil under elevated ozone may be caused by decreases in total organic C content  
371 rather than microbial biomass.

372 *4.3. Effects of elevated ozone on extracellular enzyme activities in bulk soil and isolated*  
373 *aggregates*

374 As an overall indicator of microbial activity, the significantly lower activity of  $\beta$ -glucosidase  
375 in bulk soil under elevated vs. ambient ozone supports the findings suggesting depressed  
376 microbial activity due to reduced C allocation into the belowground ecosystem (Andersen,  
377 2003). Further, the significant reduction of the ratio of the natural logarithm of  $\beta$ -glucosidase  
378 and the sum of N-acetyl-glucosaminidase and leucine aminopeptidase in bulk soil indicates  
379 that elevated ozone could stimulate microbes to produce enzymes towards acquisitions of  
380 organic N (Sinsabaugh et al., 2008), despite the absence of ozone effect on individual  
381 enzymes (Table 2). Chitin is one of the dominant sources of organic N to soil, and N-acetyl-  
382 glucosaminidase releases small, N-containing amino sugars from chitin in addition to C  
383 (Olander and Vitousek, 2000). In this grassland without fertilizers application and grazing for  
384 a long-term period, elevated ozone might have resulted in microbially decomposing  
385 recalcitrant organic matter for both energy source and nutrient demand (e.g. N). Thus, these  
386 findings support our hypothesis regarding ozone effects on extracellular enzyme activities.  
387 Yet, there are very few studies addressing the responses of extracellular enzyme activity to  
388 elevated ozone and showing mixed results. For example, studies in aspen and aspen-birch

389 forest ecosystems reported that elevated ozone had no effects on enzyme activities in the  
390 second year of treatment (Larson et al., 2002), whereas after 10 years cellobiohydrolase  
391 activity was affected in the forest floor but N-acetyl-glucosaminidase remained unaffected  
392 (Edwards and Zak, 2011). Further, Williamson et al. (2010) measured the decomposition rates  
393 of wetland plants exposed to elevated ozone and concluded that the response of hydrolytic  
394 enzyme activity to ozone was species dependent. Collectively, these conflicting results  
395 indicates that ozone effects on extracellular enzymes remain poorly understood and further  
396 work is needed.

397       Across all enzymes, enzyme activities were somewhat higher in microaggregates than in  
398 macroaggregates irrespective of ozone treatment. This is consistent with the previous findings  
399 showing that the highest enzyme activities occurred in microaggregates, especially for  $\beta$ -  
400 glucosidase (Dorodnikov et al., 2009; Kumar et al., 2017). We found that enzyme activities in  
401 isolated aggregates generally equaled or exceeded those in bulk soil and may have been even  
402 greater if there were enzyme losses during the aggregate fractionation. This supports the  
403 findings by several researchers who reported similar or higher recovery of enzyme activity in  
404 isolated aggregates as compared to the bulk soil (Allison and Jastrow, 2006; Bach and  
405 Hofmockel, 2014; Dorodnikov et al., 2009). This indicates that a lack of enzyme activity  
406 might be not responsible for C accumulation associated with soil aggregation. In addition,  
407 elevated ozone affected neither enzyme activities nor low molecular weight C substrate  
408 mineralization within each aggregate fraction, suggesting that substrate utilization patterns of  
409 soil microbial communities were unchanged.

410 **5. Conclusions**

411 To our knowledge the present study is the first to assess the responses of microbial biomass  
412 and extracellular enzyme activities in bulk soil and isolated aggregates to elevated ozone  
413 under O<sub>3</sub>-FACE conditions. Our results demonstrated that elevated ozone for a period of four  
414 years had negative impacts on both soil C sequestration and total microbial biomass activity  
415 (i.e., decreased microbial biomass and β-glucosidase activity), which was mainly due to  
416 reduced belowground C allocation. Ozone exposure did not affect soil aggregation in this  
417 semi-natural grassland, probably contributing to the absence of effects of ozone and its  
418 interaction with aggregate-size class on low molecular weight C substrate utilization and  
419 extracellular enzyme activities. It should also be noted that the small, statistically insignificant  
420 changes (e.g. microbial biomass) could be associated with high variability. Therefore, our  
421 results suggest that changes in the quantity and quality of plant C inputs at elevated ozone can  
422 contribute to reduce soil total C content but not to alter the function of the soil microbial  
423 community in this semi-natural grassland.

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638 doi:10.1007/s11104-013-1973-9

639



640 **Table 1** Mean ozone concentrations (24 h), mean daily maximum ozone concentration and AOT40 in daylight hours (08:00 to 20:00 GMT) measured in the  
 641 ozone free-air controlled exposure (O<sub>3</sub>-FACE) experiment at CEH Bangor Air Pollution Facility during the growing seasons in 2014–2017. Values represent  
 642 means ± SEM (n = 3)

	July–October 2014			May–September 2015			June–September 2016			May–October 2017		
	Mean conc. (ppb)	Daily max. (ppb)	AOT40 (ppm h)	Mean conc. (ppb)	Daily max. (ppb)	AOT40 (ppm h)	Mean conc. (ppb)	Daily max. (ppb)	AOT40 (ppm h)	Mean conc. (ppb)	Daily max. (ppb)	AOT40 (ppm h)
Low	28.2±1.2	39.9±1.4	1.1±0.2	28.1±0.4	40.5±0.5	3.5±0.5	20.6±0.1	31.8±0.8	0.4±0.0	22.9±0.6	32.8±0.5	0.3±0.0
Medium	36.8±4.0	68.1±11.1	7.1±3.1	40.5±5.4	71.4±15.9	8.4±2.2	43.3±3.6	77.4±6.6	20.3±4.8	44.1±2.5	86.1±4.2	20.2±4.7
High	49.5±5.8	99.9±12.5	16.2±5.2	40.4±1.6	67.8±2.9	11.2±1.1	62.6±7.7	101.5±11.2	46.2±10.3	54.9±6.1	106.5±12.3	31.9±10.1

643

644 **Table 2** Soil characteristics, mineralization rates of low molecular weight C substrates and  
 645 potential extracellular enzyme activity under different ozone treatments

	Ozone level			<i>P</i> value
	Low	Medium	High	
Total C (g C kg <sup>-1</sup> )	39.8±1.7	31.3±0.4	32.6±2.2	*
Total N (g N kg <sup>-1</sup> )	3.5±0.2	2.8±0.1	3.0±0.2	*
C:N ratio	11.6±0.9	11.2±0.3	10.8±0.4	<i>NS</i>
Bulk density (g cm <sup>-3</sup> )	0.83±0.01	0.83±0.02	0.87±0.01	<i>NS</i>
pH	5.1±0.2	5.3±0.1	5.1±0.1	<i>NS</i>
Dissolved organic C (mg C kg <sup>-1</sup> )	215±11	192±12	202±12	<i>NS</i>
Microbial biomass C (mg C kg <sup>-1</sup> )	903±37	889±33	849±51	<i>NS</i>
Microbial biomass N (mg N kg <sup>-1</sup> )	95±3	107±6	96±10	<i>NS</i>
Microbial biomass C-to-N ratio	9.5±0.5	8.4±0.2	8.9±0.4	<i>NS</i>
Microbial biomass C-to-total C ratio (%)	2.27±0.08	2.84±0.08	2.61±0.17	•
C mineralization (mg C kg <sup>-1</sup> h <sup>-1</sup> )	1.13±0.13	0.75±0.08	1.18±0.29	<i>NS</i>
Glucose mineralization (mg C kg <sup>-1</sup> h <sup>-1</sup> )	1.27±0.12	1.32±0.26	1.34±0.10	<i>NS</i>
Amino acids mineralization (mg N kg <sup>-1</sup> h <sup>-1</sup> )	0.15±0.01	0.18±0.02	0.17±0.00	<i>NS</i>
Peptide mineralization (mg N kg <sup>-1</sup> h <sup>-1</sup> )	0.31±0.02	0.30±0.04	0.30±0.02	<i>NS</i>
β-glucosidase (nmol g <sup>-1</sup> soil h <sup>-1</sup> )	332±28	293±20	224±11	*
Cellobiohydrolase (nmol g <sup>-1</sup> soil h <sup>-1</sup> )	50.5±10.7	74.0±10.7	54.4±15.5	<i>NS</i>
N-acetyl-glucosaminidase (nmol g <sup>-1</sup> soil h <sup>-1</sup> )	40.7±3.1	47.7±9.2	53.0±0.6	<i>NS</i>
β-xylosidase (nmol g <sup>-1</sup> soil h <sup>-1</sup> )	39.0±3.8	47.1±4.3	39.8±8.1	<i>NS</i>
Leucine aminopeptidase (nmol g <sup>-1</sup> soil h <sup>-1</sup> )	19.5±0.9	23.7±3.4	20.1±1.1	<i>NS</i>
Acid phosphatase (nmol g <sup>-1</sup> soil h <sup>-1</sup> )	537±34	535±36	599±108	<i>NS</i>

646 Values represent means ± SEM (n = 3). Statistical results from linear mixed effect model with  
 647 ozone as a fixed factor and column/row as random effects are reported. *NS*, • and \* indicate  
 648 not significant ( $P \geq 0.1$ ), significant difference at  $P < 0.1$  and  $P < 0.05$ , respectively.

649

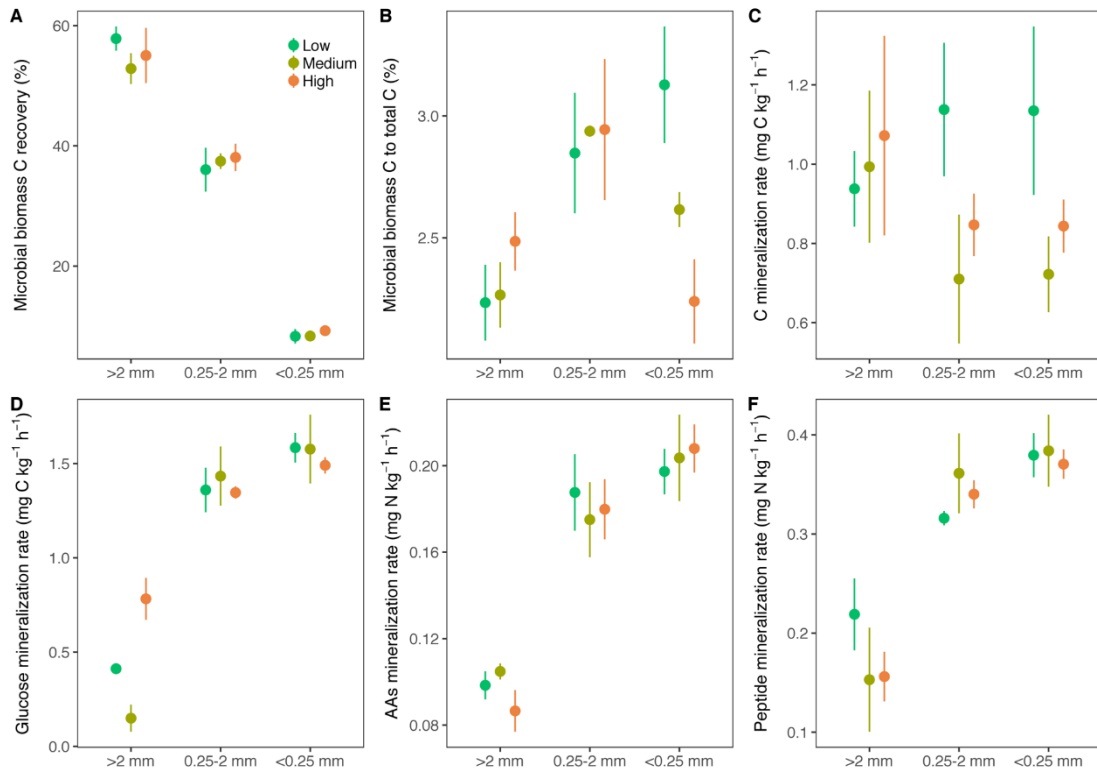
650 **Table 3** Aggregate-size distribution, organic C content and microbial biomass C in soil aggregates under different ozone treatments

Aggregate-size class	Weights distribution (%)			C content (g C kg <sup>-1</sup> )			Microbial biomass C (mg C kg <sup>-1</sup> )		
	Low	Medium	High	Low	Medium	High	Low	Medium	High
> 2 mm	57.4±3.2	56.6±1.6	52.1±1.4	41.0±2.4	36.8±2.1	35.9±1.0	912±47	829±24	891±43
0.25–2 mm	36.1±2.5	35.6±2.1	38.3±1.9	32.0±2.8	31.8±0.4	28.8±0.2	896±9	935±5	848±86
< 0.25 mm	6.5±0.9	7.8±0.5	9.6±0.4	37.1±1.6	36.5±1.6	36.8±1.3	1160±92	958±65	819±34
Ozone	<i>NS</i>			<i>NS</i>			•		
Aggregate size	***			***			<i>NS</i>		
Interaction	<i>NS</i>			<i>NS</i>			•		

651 Values represent means ± SEM (n = 3). Statistical results from linear mixed effect model with ozone and aggregate-size class as fixed factors and column/row  
 652 as random effects are reported. *NS*, • and \*\*\* indicate not significant ( $P \geq 0.1$ ), significant difference at  $P < 0.1$  and  $P < 0.001$ , respectively.

653 **Figure captions**

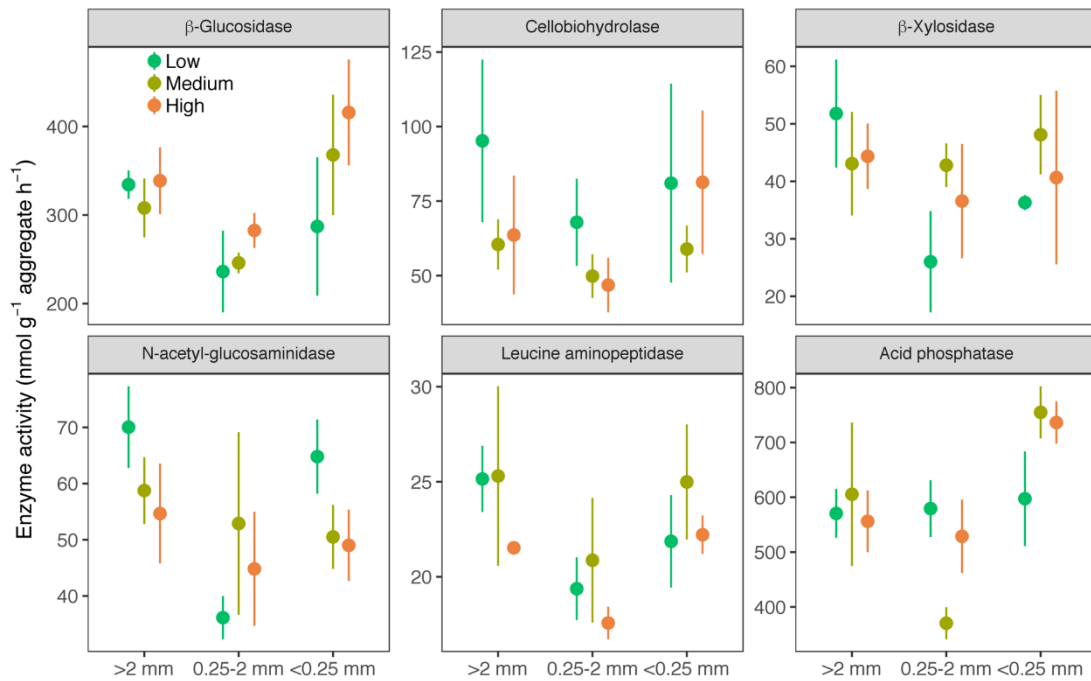
654 **Fig. 1** Microbial biomass C recovery, microbial biomass C-to-total C ratio, short-term C  
655 mineralization, mineralization rates of low molecular weight C substrates (glucose, amino  
656 acids (AAs) and peptide) in three aggregate fractions under different ozone treatments.  
657 Values represent means  $\pm$  SEM (n =3). See text for further explanation on statistical results  
658 from linear mixed effect model with ozone and aggregate-size class as fixed factors and  
659 column/row as random effects.



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661

662 **Fig. 2** Potential activities of  $\beta$ -glucosidase, cellobiohydrolase,  $\beta$ -xylosidase, N-acetyl-  
 663 glucosaminidase, leucine aminopeptidase and acid phosphatase in three aggregate fractions  
 664 under different ozone treatments. Values represent means  $\pm$  SEM (n =3). See text for further  
 665 explanation on statistical results from linear mixed effect model with ozone and aggregate-  
 666 size class as fixed factors and column/row as random effects.



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