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Biases in Model-Simulated Surface Energy Fluxes During the Indian Monsoon Onset Period

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6 7

8 Abstract We use eddy covariance measurements over a semi-natural grassland in the cen-

⁹ tral Indo-Gangetic Basin to investigate biases in the energy fluxes simulated by the Noah

10 land-surface model (LSM) for two monsoon onset periods: one with rain (2016) and one

¹¹ completely dry (2017). In the preliminary run with default parameters, the offline Noah

LSM overestimates the midday (1000 to 1400 local time) sensible heat flux (H) by 279%

 $_{13}$ (in 2016) and 108% (in 2017) and underestimates the midday latent heat flux (*LE*) by 56%

(in 2016) and 67% (in 2017). These discrepancies in simulated energy fluxes propagate to
 and are amplified in coupled Weather Research and Forecasting (WRF) model simulations,

and are amplified in coupled Weather Research and Forecasting (WRF) model simulations,
 as seen from the High Asia Reanalysis (HAR) dataset. One-dimensional Noah simulations

with modified site-specific vegetation parameters not only improve the partitioning of the

¹⁸ energy fluxes (Bowen ratio of 0.90 in modified run versus 3.09 in the default run), but also

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Jonathan Evans Centre for Ecology & Hydrology, Wallingford, UK E-mail: jge@ceh.ac.uk reduce the overestimation of the model-simulated soil and skin temperature. Thus, use of ambient site parameters in future studies is warranted to reduce uncertainties in short-term and long-term simulations over this region. Finally, we examine how biases in the model simulations can be attributed to lack of closure in the measured surface energy budget. The bias is smallest when the sensible heat flux post-closure method is used (5.2 W m⁻² for *H* and 16 W m⁻² for *LE* in 2016; 0.17 W m⁻² for *H* and 2.8 W m⁻² for *LE* in 2017). The

²⁵ study shows the importance of taking into account the surface energy imbalance at eddy

²⁶ covariance sites when evaluating LSMs.

27 Keywords Eddy covariance · Energy balance closure · Land-surface model · Model

28 evaluation · Surface energy balance

29 1 Introduction

The Earth is a complex system and its principal components, the atmosphere, the ocean, and 30 the land, interact with each other on a wide range of spatial and temporal scales (Suni et al. 31 2015). The impact of land-atmosphere interactions on climatic variabilities has received 32 much attention in recent years (Seneviratne and Stöckli 2008). The land surface represents 33 the lower boundary for the atmosphere and interacts with it through the exchange of energy, 34 water, and a variety of chemical species (Entekhabi et al. 1999). Solar radiation warms the 35 Earth's surface, and the total available energy is primarily partitioned into sensible heat flux 36 (henceforth, H), latent heat flux (henceforth, LE), and ground heat flux (henceforth, G_s), 37 collectively representing the surface energy balance (Trenberth et al. 2009). Studies have 38 shown that the heterogeneity of the Earth's land surface makes the feedbacks between land 39 use and the energy fluxes dynamic in space and time (Giorgi and Avissar 1997; Pielke 2001; 40 Suni et al. 2015). Thus, forecasting both climate and weather requires proper incorporation 41 of these feedbacks in model formulations. 42 An increasing body of evidence demonstrates that land-surface models (LSMs) show 43 large uncertainties when simulating the partitioning between the energy fluxes (Abramowitz 44 et al. 2007; Jiménez et al. 2011; Haughton et al. 2016; Ukkola et al. 2016). Of particular 45 note is the recent Protocol for the Analysis of Land Surface models (PALS) Land sUr-46 face Model Benchmarking Evaluation pRoject (PLUMBER) on the evaluation of 13 LSMs, 47 which revealed that all LSMs were outperformed by simple, regression-based empirical 48 models (Haughton et al. 2016). Another recent study found that LSMs systematically un-49 derestimate LE during drought conditions (Ukkola et al. 2016). In addition to the modelling 50 uncertainties, the measured surface energy balance is almost never closed, with the sum of 51 observed H, LE and G_s consistently showing a lower magnitude than the observed net ra-52 diation (R_{net}) at the hourly and half-hourly time scale at the majority of measurement sites 53 (Baldocchi et al. 2001; Wilson et al. 2002; Foken et al. 2010). This imbalance is either due to 54 errors in measurement or a result of invalid assumptions (Twine et al. 2000). The measure-55 ment errors stem from instrumental limitations and difference in footprint of the sensors. 56 For instance, while the footprint of measurement of the energy fluxes is variable, that of 57 the net radiometer is much smaller and remains constant throughout the observation period. 58 Similarly, the ground heat flux has a small footprint and is affected by the local heterogene-59 ity in soil conditions. However, these measurements errors are usually small and not enough 60 to explain the residual of the surface energy budget (Foken 2008). Another reason for the 61 imbalance is the lack of detection of energy storage by the eddy covariance method. The 62

⁶³ air and vegetation can store and release energy, which may account for part of the energy

⁶⁴ imbalance. Leuning et al. (2012) showed that the high imbalance in the daytime energy bal-

ance is due to a lack of consideration of the energy storage terms, and the closure fraction gets significantly reduced when daily averages are used instead of 30-min averages. During

⁶⁷ stable conditions or due to strong advection, the assumption of fully turbulent transport is

not valid (Oncley et al. 2007), which could cause some of the energy imbalance. Lastly,

⁶⁹ mesoscale circulation caused by landscape heterogeneity can lead to the underestimation of

⁷⁰ the energy fluxes, which implies that a single eddy covariance tower and 30-min averaging

⁷¹ periods are not enough to fully measure the fluxes (Stoy et al. 2013). Given the different

⁷² possible reasons for this energy balance non-closure, the residual of the energy imbalance

 $_{73}$ is attributed to either *H*, or *LE*, or both using different methods, commonly termed as post-

closure methods (see Sect. 2.6) (Twine et al. 2000). This dual-uncertainty in measurements
 and model simulations further complicates the process of understanding land-atmosphere

76 interactions.

77 Since the surface fluxes represent the lower boundary conditions in global-circulation as well as regional-weather models (Pitman 2003), better representation of surface energy flux 78 partitioning is essential to improve numerical weather prediction (NWP) and understand the 79 significance of land-atmosphere interactions on changes in weather and climate. It is also 80 becoming evident that slight variations in land-atmosphere interactions at the local scale 81 can have important regional effects (Pitman 2003). Thus, before relying on regional weather 82 models as accurate prognostic tools, it is imperative that the uncertainty in partitioning the 83 surface fluxes by LSMs be reduced, as also suggested by Davin et al. (2016). It is difficult to 84 evaluate LSMs at larger scales due to the lack of accurate, large-scale spatial data; there are 85 also disparities between grid-averaged model results and point-scale observations. However, 86 testing one-dimensional (1D) or point models at the local scale using networks of observing 87 stations can minimize this scale mismatch and allow us to test the accuracy of representing 88 physical and biological processes in these models. 89 The Indo-Gangetic Basin, situated in the northern part of India, is one of the most pop-90 ulous river basins in the world (Sharma et al. 2010). A major portion of the economy of this 91 region is driven by agriculture, which is particularly vulnerable to monsoonal rainfall vari-92 ability (Siderius et al. 2014). The flux of sensible heat from the warm land surface during 93 pre-monsoon period (March to June) creates a low pressure region over the Indo-Gangetic 94 Basin, inducing the flow of moist air from the Indian Ocean (Yamashima et al. 2015). As 95 such, land-atmosphere interactions have a significant impact on the strength and variabil-96 ity of the South-Asian monsoon. A modelling study found that there is a strong coupling 97 between large-scale monsoonal rainfall with soil moisture through H (Unnikrishnan et al. 98 2017). Another study linked the post-1950s weakening in the South-Asian monsoon circu-99 lation to reduced evapotranspiration driven by large-scale deforestation in India (Paul et al. 100 2016). Both of these studies used the Weather Research and Forecasting (WRF) model, 101 which has been shown to have a dry bias over the Indo-Gangetic Basin (Tang et al. 2016). 102 Several studies related to the Global Land-Atmosphere Coupling Experiment (GLACE) us-103 ing 12 general circulation models (GCMs) found that during the boreal summer, North India 104 is one of the global hotspots for land-atmosphere coupling (Koster et al. 2004; 2006; Guo 105

et al. 2006). The land-atmosphere coupling in this region also has local-scale implications.

For instance, after the monsoon onset, the ratio of H to LE (the Bowen ratio, β) affects

the variability in cloud formation (Chakraborty et al. 2015). Another study suggested that

¹⁰⁹ the difference in *LE* between urban and rural locations may strongly modulate the inter-

seasonality of the surface urban heat island of cities in this region (Chakraborty et al. 2017).

Knowing how these interactions affect the Indo-Gangetic Basin at different scales, as well

as deciding on proper mitigation measures for possible future scenarios, require better pre-

dictive capacity of climate and weather models. Therefore, it is important to quantify how well LSMs can simulate the energy fluxes, since their accuracy will strongly influence the uncertainty in coupled model simulations over this region.

In the present study, eddy covariance measurements during the warmest part of the mon-116 soon onset period of two consecutive years (2016 and 2017), spanning around 12 days 117 (each), in central Indo-Gangetic Basin (see Fig. 1) are used to evaluate the Noah LSM 118 (Mitchell 2005). Noah is used as the default land-surface module for a host of WRF model 119 studies performed in India (Mohan and Bhati 2011; Panda and Sharan 2012; Samala et al. 120 2013; Vishnu and Francis 2014). However, there is a dearth of validation studies on Noah 121 LSM for Indian conditions. Previous studies on evaluating Noah LSM in India have missed 122 important variables that influence the surface energy balance, such as skin temperature and 123 Rnet, in their analysis (Bhattacharya and Mandal 2015) or have not evaluated the model us-124 ing direct measurements of LE (Patil et al. 2014). Moreover, they have not investigated the 125 influence of measurement uncertainties on such model evaluations. 126 127 The major research questions addressed by this study are: 128 1. What is the magnitude of the current biases in Noah simulations over the central Indo-129 Gangetic Basin? 130 2. How do site-specific parameters improve model simulations? 131

2. The substant day next all some methods all substantial data as a substantial data as

132 3. To what extent do post-closure methods alter model-data comparisons?

133

Significant biases are seen in the modelled partitioning of energy fluxes over this re-134 gion during the study periods represented in the Global Land Data Assimilation System 135 (GLDAS)/Noah dataset (Rodell et al. 2004) (Fig. S1). To investigate whether this is a prob-136 lem of scale, simulations are performed for the site using a 1D version of the model. Better 137 representation of vegetation and land surface properties were incorporated into Noah to 138 quantify the effect of site-specific parameters on surface energy partitioning. Comparison 139 of the observed partitioning with the results of a coupled run confirms that the biases in the 140 Noah LSM, run with default parametrization, is actually magnified in coupled model runs 141 over this region. Finally, the effect of three commonly used post-closure methods to partition 142 the residual energy on model evaluation is investigated. 143 Site description and instrumentation, model run details, and data processing are de-144

scribed in Sect. 2, observations are shown in Sect. 3.1, the improvements in model simula-

tions using site-specific land surface and vegetation parameters are discussed in Sect. 3.2.1,

¹⁴⁷ comparisons with coupled model results are presented in Sect. 3.2.2, and impact of post-

¹⁴⁸ closure methods are considered in detail in Sect. 3.2.3. Finally. the limitations and future

scope of this study are discussed in Sects. 3.3 and 3.4, respectively.

150 2 Methodology

151 2.1 Site Description

All in-situ observations are made from a 10-m tall tower in the centre of a semi-natural

¹⁵³ grassland (refer to Fig. 1) located in the western portion of the Indian Institute of Technol-

 $_{154}$ ogy, Kanpur (IITK) campus (26°30'32.72"N, 80°13'25.72"E). The grassland has an average

altitude of 132 m above sea level and an area of roughly 500 m \times 500 m (25 hectares). This

measurement site is a part of the Indo-UK Interaction of Convective Organisation with Monsoon Precipitation, Atmosphere, Surface & Sea (INCOMPASS) project's flux tower network

(Turner et al. 2015). The fetch around the tower is representative of the non-agricultural grasslands in the Indo-Gangetic Basin and is dominated by wild elephant grasses (variants)

¹⁶⁰ of *Pennisetum purpureum* and *Phragmites-Saccharum-Imperata*), plus other less common

¹⁶¹ grasses and some shrubs, with canopy height varying from 0.2 m during the dry season to

approximately 2.8 m during late monsoon. During the two study periods, the canopy height

varied from 0.25 to 0.3 m. The soil texture in the field is silt loam with about 80% silt,

¹⁶⁴ 15% clay, and 5% fine sand (by weight). The soil type is Fluvisol (alluvium), with a pH of

¹⁶⁵ 8.3, and has very little organic content, with 0.82% Carbon and 0.29% Nitrogen by weight.
 ¹⁶⁶ The groundwater table in Kanpur varies between 10 and 20 m below ground level depend-

The groundwater table in Kanpur varies between 10 and 20 m below ground level depending on season (Prasad et al. 2016), and there is surface water accumulation from irrigation

¹⁶⁸ overflow during December and July at the field site. Data collection is a challenge during

¹⁶⁹ pre-monsoon period, as intermittent wild fires disrupt continuous measurements (Sahu et al.

 $_{170}$ 2015). For the present study, data are used from 1 – 12 May for 2016 and from 17 – 28 April

¹⁷¹ for 2017. A large fire event occurred at the end of March in 2016 and removed the majority

¹⁷² of the biomass from the field site, though it quickly recovered following the fire.

173 2.2 In-situ Measurements

174 All major components of the surface energy balance, which is given by

$$R_{\rm net} = H + LE + G_{\rm s},\tag{1}$$

¹⁷⁵ are measured at the study site.

As mentioned earlier, H is the sensible heat flux, LE is the latent heat flux, and G_s is the ground heat flux at the surface. R_{net} is the net radiation, which is given by

$$R_{\text{net}} = L \downarrow + S \downarrow -L \uparrow -S \uparrow.$$
⁽²⁾

Here, $L \downarrow$ is the downwelling longwave radiation, $S \downarrow$ is the downwelling shortwave radi-178 ation, $L \uparrow$ is the upwelling longwave radiation and $S \uparrow$ is the upwelling shortwave radiation. 179 The eddy covariance tower has a Licor 7500 (LI7500) H₂O/CO₂ open-path gas anal-180 yser (LI-COR Biosciences, Logan Utah, USA) and a Gill Windmaster sonic anemometer-181 thermometer (Gill Instruments Ltd., Lymington, UK) to measure gas concentration and 182 three-dimensional (3D) wind field at a frequency of 20 Hz. These sensors were mounted 183 5.28 m above the ground, and the LI7500 had a northward separation of 0.08 m, an eastward 184 separation of 0.03 m, and a vertical separation of 0.27 m. Ambient temperature and relative 185 humidity are measured using a HMP155 temp/RH probe (Vaisala, Vantaa, Finland) mounted 186 at 4.5 m above the surface. In addition, two HFP01SC heat flux plates (Hukesflux, Delft, The 187 Netherlands), kept 0.03 m below the surface, and a 4-component net radiometer (Hukesflux, 188 Delft, The Netherlands), mounted 4.7 m above the surface, provide measurements of the 189 available energy $(R_{net} - G_s)$. Two sets of soil moisture/soil temperature measurements are 190 made using digital time domain transmissometry (TDT) sensors (Acclima Inc., Meridian, 191 Idaho, USA). The TDT sensors are at depths of 0.05 and 0.15 m below ground level and lo-192 cated underneath each heat flux plate. Wind speed and direction are measured at a height of 193 10 m above ground level using a Gill Windsonic two-dimensional (2D) anemometer (Gill In-194 struments Ltd., Lymington, UK). In addition, a Mobotix S15 camera (Mobotix, Winnweiler, 195 Germany) is used to get photographs of the cloud cover and vegetation cover four times 196 a day. A tipping bucket rain gauge (Environmental measurements Ltd., Newcastle, UK) 197 is used to measure precipitation. All data are logged using a Campbell Scientific CR3000 198

¹⁹⁹ Micrologger (Campbell Scientific, Logan, UT, USA). Other than the eddy covariance mea-

surements, all variables are scanned at 0.1 Hz and logged as one-minute means (sums for

rainfall). Note that there were a couple of rainy days during the study period of 2016 and no

rain during that of 2017.

203 2.3 Data Processing

For 2016, H and LE were computed with a missing sample allowance of 10% using the 204 EddyPRO software after removing spikes and implausible values from the raw time series 205 (Vickers and Mahrt 1997; Mauder et al. 2013). The sonic anemometer data were corrected 206 using 2D coordinate rotation (Wilczak et al. 2001) and angle of attack correction (Nakai 207 and Shimoyama 2012). Block averaging was used to compute the fluxes, followed by high-208 (Moncrieff et al. 1997) and low-frequency spectral attenuation correction (Moncrieff et al. 209 2004). H was corrected for the influence of water vapour (Schotanus et al. 1983; Liu et al. 210 2001), while LE was corrected for air density variations (Webb et al. 1980). Statistical out-211 liers were removed for both H and LE (Papale et al. 2006). In addition, absolute limits 212 for all measured variables were defined to minimize instrumental errors and data were ig-213 nored when the signal strength of the LI7500 was below 80%. The CarboEurope flagging 214 scheme described in Mauder and Foken (2011) was used to determine the best quality sur-215 face energy flux data. Finally, a fully-spatial analytical footprint analysis was performed at 216 the thirty-minute time scale to assess the representativeness of the measured fluxes (Neftel 217 et al. 2008). 218

Due to data logger errors, raw data were not available for 2017. So, gap-filled data were used for the analyses (Reichstein et al. 2005). Because of the lack of high-frequency data, a similar footprint analysis could not be performed for the second year.

Since ground heat flux is not measured at the surface, the heat stored above the heat flux plate was calculated using a numerical calorimetric approach (Liebethal et al. 2005). The soil heat storage, S_s , is given by

$$S_{\rm s} = \frac{\Delta T_{\rm s}}{\Delta t} (\rho_{\rm s} C_{\rm s} + q_{\rm v} \rho_{\rm w} C_{\rm w}) \Delta z.$$
(3)

Here, ΔT_s is the change in soil temperature in K (at 0.05 m) over a time interval Δt (30 min in this case), ρ_s is the bulk density of the dry soil in kg m⁻³, C_s is the specific heat capacity of the dry soil in J kg⁻¹ K⁻¹, q_v is the measured volumetric moisture content at 0.05 m in m³ m⁻³, ρ_w is the density of water in kg m⁻³, C_w is the specific heat capacity of water in J kg⁻¹ K⁻¹, and Δz is the depth over which the heat storage is calculated (0.03 m in this case).

The bulk dry density of the soil is 1525 kg m⁻³ based on field measurements, specific heat capacity of dry soil is assumed to be 840 J kg⁻¹ K⁻¹ since it has very little organic content (Hanks and Ashcroft 1980), and that of water is 4184 J kg⁻¹ K⁻¹.

 $G_{\rm s}$ is given by

$$G_{\rm s} = G + S_{\rm s},\tag{4}$$

where G is the measured ground heat flux.

236 2.4 Noah LSM Description

Originating from the Oregan State University (OSU) LSM, the Noah LSM has undergone 237 a host of improvements and additions once it started being used by National Centers for 238 Environmental Prediction (NCEP) in their general circulation model. The basic surface en-239 ergy balance equation in the model is (1). The $R_{\rm net}$ values are calculated for each time step 240 from the forcing values of $S \downarrow$ and $L \downarrow$, pre-defined albedo values, and $L \uparrow$ derived from skin 241 temperature (T_{skin}). T_{skin} is calculated using a simple linearized formulation (Mahrt and Ek 242 1984). The available energy is then partitioned into H and LE. H is determined by the bulk 243 heat transfer formulation (Garratt 1993), G_s is estimated using Fourier's law, and LE is ob-244 tained using Penman-derived potential evaporation formulation (Mahrt and Ek 1984). In the 245 current version, the model has one canopy layer and four soil layers (Ek et al. 2003). More 246 details about the model can be found in Chen et al. (2001). 247 For the 1D model evaluation, the latest version (3.4.1) of the uncoupled Noah LSM was 248 run offline from 28 April to 12 May for 2016 and from 17 to 28 April for 2017. The model 249 takes air temperature, humidity, wind speed, wind direction, surface pressure, precipitation, 250 $S\downarrow$, and $L\downarrow$ as forcing variables. All the data were available every 30 min, and the model 251

output was also in 30-min intervals. Four soil layers of 0.1 m, 0.1 m, 0.3 m, and 0.6 m 252 were used for the simulations. Assuming that the 0.05 m TDT measurements are for the 253 first 0.1 m layer and the 0.15 m TDT measurements are for the second 0.1 m layer, the 254 model was initialized using soil temperature and soil moisture measurements for those layers 255 (henceforth, T_{s1} , T_{s2} , q_{v1} , and q_{v2}), while linear extrapolation was used for the third and 256 fourth layers. Though soil temperature and soil moisture may not linearly change with depth, 257 since the extrapolated values are very close to the field values, the initial conditions have 258 very little effect on the simulated T_{s1} , T_{s2} , q_{v1} , and q_{v2} after a couple of time steps. Since 259 the LE data were missing for the first couple of days of the model run period in 2016, the 260 data until 1 May 2016 were not used in the evaluation. T_{skin} used to initialize the model 261 was derived from $L \uparrow$ following the Stefan-Boltzmann law, assuming a constant emissivity 262 of 0.95 (Niemelä et al. 2001). 263

The first run (henceforth, NoahEX1) was made with the default parameters, with silt loam as the soil parameter and grassland as the vegetation parameter. Both of these choices were based on site conditions. Since NoahEX1 simulations showed large deviations from observations, to investigate the contributing factors, two more runs were performed (henceforth, NoahEX2 and NoahEX3).

For NoahEX2, the offline Noah model was constrained with observed values of radiative properties (albedo and emissivity) over the site. The default value of albedo was changed from 0.19 to 0.23 (lookup table: grassland) to the mean measured midday albedo value (0.165 for 2016 and 0.138 for 2017). Similarly, the surface emissivity was changed from 0.92 to 0.96 (lookup table: grassland) to 0.95 (value used to derive the skin-temperature from $L\uparrow$).

Since vegetation plays a major role in the moisture flux through transpiration, a third 275 model run (NoahEX3) was performed after changing the vegetation properties. By default, 276 the model has a very low vegetation cover for this period, with the fraction varying from 0.17277 to 0.27 from April to May. Though only qualitative estimates were available for vegetation 278 cover, the terrain photographs show that the vegetation covered more than half the field. So, 279 the vegetation cover was changed from 0.5 to 0.6 for this run. The leaf area index (LAI) 280 for grassland in the model is varies from 0.52 to 2.10 by default. Since the grassland site 281 is primarily covered by grass of 0.25 to 0.30 m height during the study periods, the LAI 282

²⁸³ for this site may be different. An LAI-2000 plant canopy analyzer (LI-COR Biosciences,

Logan Utah, USA) was used to measure the *LAI* around the eddy covariance site during this

period in 2017. The LAI was 3.91 for short grasses and 3.53 for very short grasses. Since

the site was dominated by a combination of these during the study periods, for NoahEX3,

the LAI parameter was constrained to 3.73-3.75 for 2016 and to 3.7 for 2017, which should

²⁸⁸ be reasonably close to the field values.

289 2.5 Criteria for Model Evaluation

To evaluate the model, three statistical parameters were used: the coefficient of determination (r^2) , the root-mean-square error (*RMSE*), and the mean bias deviation (*MBD*).

The *RMSE*, which is a measure of the difference between the observed and predicted values, is given by

$$RMSE = \sqrt{\left(\frac{\sum_{i=1}^{n} (P-O)^2}{n}\right)},\tag{5}$$

where O is the observed value, P is the predicted value, and n is the number of data points.

Since *RMSE* does not show whether the model over or underestimates the observed values, the *MBD* was also determined, given by

$$MBD = \frac{\sum_{i=1}^{n} (P - O)}{n}.$$
(6)

Thus, a positive bias represents an over-prediction by the model, while a negative bias 300 represents an under-prediction. All the data points available were used to evaluate R_{net} , $S\uparrow$, 301 $L\uparrow$, T_{s1} , T_{s2} , q_{v1} , q_{v2} , T_{skin} , and G_s . To quality-assure the energy fluxes, only the data when 302 70% contribution of energy fluxes are from within the field site were considered for model 303 evaluation for 2016. By doing so, the assumptions of a 1D model are satisfied. Moreover, 304 only the highest quality of energy flux data (quality flag 0) based on the CarboEurope flag-305 ging system (Mauder and Foken 2011) were used for the evaluation. Since the dataset for 306 2017 did not include the raw data, there were not as many high quality data points and no 307 footprint coverage. Thus, for 2017, the energy flux data with quality flags of 0 and 1 were 308 used for the evaluation. It should be noted that the 2017 data were mainly used to verify 309 whether the results we obtained for 2016 were consistent across two consecutive monsoon 310 onset periods. 311

312 2.6 Post-Closure Methods

Where to assign the measured residual energy due to the non-closure is an important open 313 question in this field (Foken 2008). One approach, known as the β post-closure approach, is 314 to force closure by using the measured β (Twine et al. 2000). This assumes that the ratio of 315 H and LE is same for the missing flux as the ratio detected by the eddy covariance system 316 (Ruppert et al. 2006). However, this assumption may not be true. The contribution of large 317 eddies, that cannot be detected for shorter averaging periods, may be dominated by LE or H. 318 Another approach, called the *LE* post-closure approach, is to attribute the missing energy to 319 LE (Falge et al. 2005). A previous study showed that by increasing the averaging period from 320 30 min to 24 h to 5 days, the residual completely disappeared (Mauder and Foken 2006). 321

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 $_{322}$ Moreover, the study found that the residual was primarily caused by *H*. This predominance

 $_{323}$ of *H* in the energy balance residual has also been found in a recent study for 6 land use types

- (Charuchittipan et al. 2014). Based on this, a third approach, known as the H post-closure
- ³²⁵ approach, assigns the missing energy to H (Ingwersen et al. 2011). ³²⁶ A part of the difference between model simulations and observations could be due to

A part of the difference between model simulations and observations could be due to the degree of closure achieved at the study site and the post-closure method employed (In-

- the degree of closure achieved at the study site and the post-closure method employed (Ingwersen et al. 2015). In this study, all three approaches were used to investigate the impact
- ³²⁹ of the post-closure approach on the model evaluation.

330 3 Results and Discussion

331 3.1 Observed Surface Energy Budget

Figure 2 shows the time series of observed R_{net} , H, LE, and G during the study periods 332 in 2016 (Fig. 2a) and 2017 (Fig. 2b). Here, upwelling H and LE, and downwelling R_{net} 333 are considered positive, while downwelling H and LE, and upwelling R_{net} are considered 334 negative. G is positive when directed away from the surface (into the soil). All the times 335 mentioned in the figures or text are local. Both years show similar patterns, with LE higher 336 than H for the entire period. In 2016, the turbulent energy fluxes show comparable values 337 on the 3rd, 4th, and 5th of May. There has not been a lot of work on the partitioning of the 338 energy fluxes over India during the monsoon onset period. A previous study over a suburban 339 eddy covariance station in Lucknow, situated in the northern part of the Indo-Gangetic Basin, 340 found that maximum daytime LE (142 \pm 84 W m⁻²) was slightly higher than H (130 \pm 82 341 W m⁻²) during pre-monsoon (Venkata Ramana et al. 2004). The study did not look at the 342 energy balance closure (EBC) due to unavailability of $R_{\rm net}$ and G measurements. Another 343 study used the β energy balance method over an irrigated ecosystem in Eastern India and 344 found that the magnitude of LE was three to four times that of H during pre-monsoon (Kar 345 and Kumar 2007). 346 For the next part of the study, G_s was calculated after accounting for the storage term, 347 S_s . For 2016, during midday, mean S_s is -14.2 W m⁻², which is about 22% of the magnitude 348 of the measured G (midday mean of 63.6 W m⁻²). For midnight (2200 to 0200), mean S_s 349 is 4.8 W m⁻², approximately 36% of the magnitude of the measured G for the same time 350 period (-13.4 W m⁻²). For 2017, the mean midday and midnight S_s are -7.8 W m⁻² and 2.8 351 W m⁻², respectively. For this period, the storage accounts for 16% of the measured G during 352 midday and and 43% of G during midnight. Figure 3a and 3b show the regressions between 353 the available energy and the sum of the turbulent fluxes (H + LE) using 30-min averaged 354 data for both years. The values during the day are in yellow, while those during the night 355

are in violet. The slope of linear regression is 0.79 for 2016 and 0.77 for 2017, while the determination coefficient r^2 is 0.96 for 2016 and 0.97 for 2017. When the regressions are

³⁵⁸ performed using daily averaged data instead (refer to Fig. 3c and 3d), the slope of the linear

regression increases to 0.85 for 2016 and 0.92 for 2017. This is due to the impact of the

360 storage terms on the surface EBC. During the day, there is a large energy imbalance since a

part of the residual energy is stored in the vegetation, the soil (which is taken into account

here), and the canopy air underneath the sensors. During the night, this energy is released, leading to a H + LE greater than the available energy, as indicated by the violet points in

Figure 4a is the mean diurnal cycle plot of observed H and LE, G_s , R_{net} , the energy imbalance, and the footprint of measurements for 2016. The bounded lines represent the

³⁶³ leading to a H -³⁶⁴ Fig. 3a and 3b.

standard deviation from the measured mean, hourly values. The mean R_{net} reaches a maximum value of 586.1 ± 128.2 W m⁻² around local noon (1200). *LE* dominates during this period, with a maximum value of 286.3 ± 72.2 W m⁻² at 1300. At the same time, *H* has a value of 119.7 ± 37.7 W m⁻², making the β at this time approximately 0.42. The average G_S from the two soil flux plates at 1300 is 88.5 ± 24.4 W m⁻². The values for 2017 are very similar to 2016. The mean R_{net} peaks at noon, with a value of 637.7 ± 64.2 W m⁻². *LE* peaks at 1300 (322.7 ± 55.2 W m⁻²), and β is 0.43. G_S at 1300 is 62.6 ± 3.8 W m⁻².

Figure 4a also shows the energy imbalance $(R_{net} - G_s - H - LE)$ at the measurement 374 site for 2016. As also indicated by Fig. 3a, the energy imbalance is maximum during the 375 day, especially around noon, with a maximum value of 114.0 ± 121.9 W m⁻² at noon. The 376 energy imbalance is negative during night-time, i.e., the extra energy stored during the day 377 is released, causing the turbulent fluxes (H + LE) to be higher than the available energy. 378 Overall, the mean residual during the 2016 study period is 28.6 ± 60.5 W m⁻². For 2017, 379 the residual flux is 135.6 ± 47.1 W m⁻² at noon and has an overall mean of 19.0 W m⁻². It 380 should be noted that the variability in the fluxes are much lower in 2017 compared to the 381 previous year. This is because of the lack of cloudy and rainy days during this study period. 382

The diurnal variation of the footprint of energy flux measurements for 2016, as calculated using the fully-spatial footprint analysis is also shown in Fig. 4a. On average, over 90% of the turbulent fluxes originate from within the field during the day. At night, there is more contribution from outside the field. The minimum flux contribution from within the field site is seen at 0500 ($65.5 \pm 24.4\%$). Owing to a lack of raw data, a similar footprint could not be calculated for 2017.

3.2 Site-specific Parameters and Post-closure Approaches to Improve Simulated Energy Partitioning

The offline Noah LSM was run over the observation site for both years. To account for the energy imbalance in flux tower observations, the model was evaluated after correcting the observations using three commonly used post-closure approaches.

The mean value of β from 1000 to 1400 is determined for each day of the study period 394 for the initial observation, the model runs, and after forcing closure of the surface energy 395 balance using only quality-assured data. Figure 5 shows the box plot of the midday β for the 396 study periods for each case (Fig. 5a for 2016 and Fig. 5b for 2017). The observations show 397 a mean midday β of 0.41 in 2016 and 0.52 in 2017. NoahEX1 and NoahEX2 yield β values 398 of over 3 for both years, while NoahEX3 simulations of β are closer to the observations. 399 Finally, the post-closure approaches also result in high variability of energy flux partitioning 400 (from 0.26 for the LE post-closure method to around 1 for the H post-closure method for 401 2016 and from 0.39 to 0.85 for 2017). This is partly because of the high residual energy 402 during the midday. It should be noted that the H closure produces the closest value to the 403 final model run (NoahEX3) for both years. The variability in β is much higher for 2016, 404 especially with NoahEX1 and NoahEX2. This is because the model responds strongly to the 405 forced precipitation, which is not a factor in 2017. The following subsections discuss the de-406 tails of the evaluation results, the possible reasons for the improvements, and its implications 407

⁴⁰⁸ for land-surface modelling in the Indo-Gangetic Basin.

409 3.2.1 Offline Noah LSM Runs

The diurnal variation of the modelled and observed components of the surface energy bal-410 ance are shown in Fig. 6. For H and LE, each subsequent run reduces the discrepancies 411 between the simulations and the observations, which is also seen in the time series of the 412 quality-assured observed data and simulated values (refer to Fig. S1 and S2). For G_s , Noa-413 hEX1 and NoahEX2 show higher diurnal variability, which is fixed in NoahEX3. Not much 414 difference is seen in R_{net} for the different Noah runs, and the values are close to observations 415 in all cases. The simulations capture the time of the peak H quite well. For LE and G_s , the 416 diurnal peak in the simulations is slightly before the peak in observations. NoahEX3 simula-417 tions underestimate the daytime G_s compared to NoahEX1 and NoahEX2, while improving 418 the night-time simulations. 419

The scatter plots of the measured and simulated surface energy balance components are 420 shown in Fig. 7. NoahEX3 simulations are closest to the observations, as also seen from the 421 time series (refer to Fig. S1 and S2). For H, the slope of the regression improves from 2 in 422 NoahEX1 to 1.6 in NoahEX3 for 2016 and from 1.7 to 1.2 for 2017. For LE, the slope is 423 0.36 in NoahEX1 and improves to 0.93 in NoahEX3 (improvement from 0.31 to 1 for 2017). 424 For G_s , it changes from 1.1 to 0.65 in the final model run for 2016 and from 2 to 1.3 in 2017. 425 For R_{net} , all the model runs perform quite well, though there is an improvement of the slope 426 of the regression from 0.92 to 0.99 for 2016 and from 0.88 to 0.99 for 2017. It should be 427 noted that Fig. 7c and 7d indicate that Noah LSM does not provide negative LE. However, 428 our observations show cases of negative LE at our site, probably due to condensation during 429 dew fall. 430

Table 1 shows the evaluation of R_{net} , $S \uparrow, L \uparrow, T_{s1}, T_{s2}, q_{v1}, q_{v2}, T_{\text{skin}}, H, LE$, and G_s for 431 all the offline Noah model runs for 2016, while Table 1 shows the corresponding results for 432 2017. The mean of the simulated values for each model run is also shown. The quality as-433 surance of the flux data based on footprint coverage, combined with the low canopy height, 434 removes almost all of the night-time values for 2016. Using night-time data for validation 435 of modelled energy fluxes can significantly reduce the *RMSE* and *MBD* (since H and *LE* 436 are very small in magnitude during the night). This cannot provide a complete picture of the 437 midday energy flux partitioning and the biases in the model. This issue is prevalent in many 438 studies, with both daytime and night-time flux data being used for the error calculation (Patil 439 et al. 2014). Employing this criterion in the 2016 dataset leads to the higher RMSE com-440 pared to previous studies. However, this approach provides better indication of the midday 441 biases for Noah-simulated heat and moisture fluxes. Moreover, the use of simulated data 442 corresponding to quality-assured measurements leads to higher mean values for H and LE 443 due to the predominance of daytime values. In comparison to the 2016 case, the quality con-444 trol of the flux data did not involve screening for footprint coverage of the measured fluxes 445 in 2017. Thus, the RMSE, the MBD, as well as the mean of the modelled fluxes are lower in 446 2017, even though the peak daytime values are very similar for both the years (refer to Fig. 447 4). 448

For NoahEX1, the diurnal variation in R_{net} is well captured by the model ($r^2=1$) (refer 449 to Fig. 6g and 6h). This is partly because the R_{net} is forced by the measured $S \downarrow$ and $L \downarrow$. 450 However, the model underestimates the R_{net} (*MBD* = -20.8 W m⁻² for 2016; -31.2 W m⁻² for 451 2017), due to an overestimation of both $S \uparrow (MBD = 11.8 \text{ W m}^{-2} \text{ for } 2016; 19.7 \text{ W m}^{-2} \text{ for}$ 452 2017) and $L \uparrow (MBD = 7.6 \text{ W m}^{-2} \text{ for 2016}; 10.0 \text{ W m}^{-2} \text{ for 2017})$. The model significantly 453 over-predicts the soil temperature at both depths (MBD=4.0 K for T_{s1} and 3.0 K for T_{s2} 454 in 2016; 6.3 K for T_{s1} and 4.6 K for T_{s2} in 2017; p-value for two-sample t-test between 455 observed and modelled values < 0.001). T_{skin} is also overestimated, though to a lesser extent 456

(RMSE=2.1 K for 2016; 2.8 K for 2017). The variation in T_{skin} is better captured by the 457 model (r^2 =0.98 and 0.96 for 2016 and 2017) compared to that of T_{s1} or T_{s2} . The average 458 magnitude of the soil moisture is well-predicted by the model (MBD=4.0% for q_{v1} and 2.3% 459 for q_{v2} in 2016; 0.3% for q_{v1} and 3.5% for q_{v2} in 2017). However, the model cannot capture 460 the variation of soil moisture well in most cases ($r^2=0.45$ for q_{v1} and 0.41 for q_{v2} in 2016; 461 0.17 for q_{v1} and 0.95 for q_{v2} in 2017). 462 The simulated H is significantly higher than the measurements (MBD= 104.3 W m⁻² for 463 2016; 52.9 W m⁻² for 2017; p-value for two-sample t-test between observed and modelled 464 values < 0.001), while LE is significantly lower (MBD=-89.07 W m⁻² for 2016; -61.57 W 465 m^{-2} for 2017; *p*-value for two-sample t-test between observed and modelled values < 0.001). 466 $G_{\rm s}$ shows a very low MBD (-5.00 W m⁻² for 2016; -0.5 W m⁻² for 2017) with a much higher 467 *RMSE* (19.0 W m^{-2} for 2016; 34.5 W m^{-2} for 2017). This suggests that the diurnal variation 468 of G_s is much more pronounced in the model, with both positive and negative deviations 469 from the observed values, as seen in Fig. 6e and 6f. Overall, the model overestimates H (by 470 471 279% in 2016 and by 108% in 2017) and underestimates LE (by 56% in 2016 and by 67% in 2017). For 2016, the midday β is 3.09; much higher than the observed midday β of 0.41. 472 For 2017, the simulated midday β is 3.23 versus the lower observed β of 0.52. 473 The evaluation of the NoahEX1-simulated variables confirm that there are still a number

474 of notable issues with the model. This is in agreement with previous work on offline Noah 475 model evaluations (Velde et al. 2009; Ingwersen et al. 2011). A study in Nebraska found 476 that the model performed poorly during wet periods, with an enhanced diurnal range in 477 soil temperature, overestimation of peak H by 57% and underestimation of LE by 50%478 due to the effect of sub-surface water (Radell and Rowe 2008). A study in the Tibetan 479 plateau compared three default parametrizations in Noah model during a dry week and found 480 similar results to the results presented here, i.e. the surface partitioning was biased towards H 481 $(MBD = 50 \text{ W m}^{-2})$ (Velde et al. 2009). In another study, simulations with constant minimum 482 canopy resistance were compared to those with time varying minimum canopy resistance for 483 a wheat field in Germany (Ingwersen et al. 2011). The study showed that the biases in the 484 flux simulations depended on the stage of crop growth, with the model overestimating LE 485 and underestimating H during the fruit-ripening stage and the opposite happening before the 486 ripening period. 487

Very few studies have been performed on the evaluation of the offline Noah model in 488 India. An evaluation study at a semi-arid site in India found an overestimation of soil temper-489 ature by Noah during the Indian monsoon, with an underestimation during the pre-monsoon 490 period (Patil et al. 2011). Another study compared Noah model simulated soil temperature 491 to observations for dry and wet periods for four semi-arid sites of the LASPEX experiment. 492 They found a similar overestimation, with the *RMSE* for the temperature of the top soil layer 493 ranging between 1.8 and 4.8 K (Waghmare et al. 2012), while the *RMSE* for R_{net} varied be-494 tween 36.6 and 76.6 W m⁻². A recent study used 1 year of soil temperature data for two 495 sub-tropical sites and also found that the soil temperature was consistently overestimated 496 by the model for the first layer (RMSE=1.5 to 2 K)(Bhattacharya and Mandal 2015). All 497 three studies found that the simulated soil temperature improve for the deeper layers, with 498 RMSE reducing with depth, which is also seen in the present study. The energy fluxes have 499 not been evaluated in depth for India. While two of the studies used measured H to evalu-500 ate Noah model, they did not have direct measurements of moisture flux. One study found 501 a significant overestimation of H for a sub-tropical site, with RMSE greater than 100 for 502 all periods (Patil et al. 2014). The other study, which was for the semi-arid site, found that 503 Noah-simulated H was almost double the observed midday values for the wet period, with 504

⁵⁰⁵ no observed data available for the dry period (Patil et al. 2011).

The bias in R_{net} for 2016 is reduced from -20.8 W m⁻² in the NoahEX1 to -9.7 W m⁻² in

⁵⁰⁷ NoahEX2 (refer to Table 1). Moreover, the regression is closer to the 1:1 line (refer to Fig. 7g). This is primarily because the lower albedo increases the R_{net} (from an overall mean of

⁵⁰⁹ 138.1 W m⁻² to 149.2 W m⁻²) by reducing $S \uparrow$ (from 55.5 W m⁻² to 41.6 W m⁻²). However,

the increasing R_{net} also increases T_{skin} (from 306.9 K to 307.1 K), and thus $L \uparrow$ (from 498.0

511 W m⁻² to 501.6 W m⁻²), T_{s1} (from 306.1 K to 306.3 K), and T_{s2} (from 304.7 K to 304.9

512 K). It also slightly reduces q_{v1} (from 16.1% to 16.0%) and q_{v2} (from 17.5% to 17.4%).

The increased surface emissivity would reduce the R_{net} and would have opposite effect on

 T_{skin} , T_{s1} , T_{s2} , q_{v1} , and q_{v2} . However, the effect of the change in albedo dominates in this

case. With the increase in available energy, T_{skin} , T_{s1} , and T_{s2} , the difference between the

 $_{516}$ forced air temperature and the modelled T_{skin} increases, thus increasing the overestimation

of *H*. On the contrary, the bias in LE decreases due to the higher LE in this simulation. The patterns seen in the 2017 simulations are similar, albeit showing different magnitudes

519 of change.

The use of site-specific vegetation parameters – in addition to realistic albedo and emissivity – in NoahEX3 significantly improves the results compared to NoahEX2. *LE* is predicted well by the model (*RMSE*=47.1 W m⁻² and *MBD* =1.0 W m⁻² in 2016; 33.3 W m⁻² and 2.8 W m⁻² in 2017). The overestimation of *H* is also reduced (*MBD*=62.1 W m⁻² in 2016; 18.4 W m⁻² in 2017), though the *RMSE* is still high. While R_{net} now has a positive bias (*MBD*=2.1 W m⁻² in 2016; 0.8 W m⁻² in 2017), the *RMSE* and *MBD* are smaller than that for NoahEX1 and NoahEX2. The temperature values are also improved, with lower

bias for T_{s1} (*MBD*=4.2 K in NoahEX2 versus *MBD*=2.0 K for NoahEX3 in 2016; 6.7 K

in NoahEX2 versus 4.2 K for NoahEX3 in 2017), T_{s2} (*MBD*=3.2 K in NoahEX2 versus

⁵²⁹ MBD=0.7 K for NoahEX3; 4.9 K in NoahEX2 versus 2.3 K for NoahEX3 in 2017) and

 T_{skin} (*MBD*=2.0 K in NoahEX2 versus *MBD*=0.1 K for NoahEX3; 2.6 K in NoahEX2 ver-

⁵³¹ sus 0.3 K for NoahEX3 in 2017), possibly due to higher rates of evaporative cooling. The

small overestimation of R_{net} in this run is because of the lower $S \uparrow (MBD = -2.1 \text{ W m}^{-2} \text{ in})$

⁵³³ 2016; -2.3 W m⁻² in 2017) and $L \uparrow (MBD = -0.8 \text{ W m}^{-2} \text{ in 2016}; 1.0 \text{ W m}^{-2} \text{ in 2017}).$

534 3.2.2 Propagation of LSM Biases into Coupled Simulations

In addition to the issues with the 1D version of the Noah model run with default configu-535 ration for this study (NoahEX1), we also find that the GLDAS dataset, in which the Noah 536 model is forced at a global scale, shows similar severe underestimation of LE and overes-537 timation of H during this period (refer to Fig. S1). Running Noah model in an uncoupled 538 mode cannot accurately predict how these biases will translate to errors in coupled modes. 539 We expect that while coupled simulations will show the same patterns (overestimation of 540 H and underestimation of LE when not using site-specific vegetation parameters), running 541 LSMs in coupled versus uncoupled modes would have an impact on the magnitude of the 542 simulated fluxes (and thus, the β), as also seen by Nemunaitis-Berry et al. (2017) for Okla-543 homa city. To confirm this hypothesis, we compare our results with the High Asia Reanalysis 544 (HAR) dataset (Maussion et al. 2014). 545

The HAR dataset is based on WRF model runs over Asia at 30 km x 30 km resolution and uses Noah LSM as its land component. We use the data at the daily scale from 2010 to 2014 for the grid encompassing our study area. Figure 8 shows the daily mean β from the HAR data, the observed data for the two study periods, and the corresponding NoahEX1 runs for those periods. The NoahEX1 results for only those points that are also present in the observed dataset after quality control are used to calculate the daily means. As seen earlier in Fig. 5 for midday, the default uncoupled Noah model version significantly overestimates

the daily mean β , with values ranging from 2 to 4 compared to observed values of less than 553 0.5. The first study period shows more variability in β due to the model's high sensitivity to 554 rain events. The HAR dataset shows even higher values than the uncoupled model output, 555 with β ranging from 3 to 6. The HAR reanalysis is constrained using the Operational Model 556 Global Tropospheric Analyses and is not a true regional-scale reanalysis. Thus, forcing the 557 Noah model using 30-min observed data at the field-scale expectedly shows improvement in 558 the simulation of the energy flux partitioning compared to the WRF-Noah modelled data in 559 the HAR database. The combined analyses show that the biases in the Noah model extend 560 far beyond uncoupled simulations and will impact the variables that are derived from the 561 lower boundary conditions in coupled models. 562

563 3.2.3 Impact of Post-closure Approaches

In the first case, we force EBC based on the observed β for every 30-min interval. Since 564 the 2017 data have a lot of night-time values, which lead to negative β , and absurd H and 565 LE after forced closure, all the data points with $\beta < 0.8$ were removed for this period. On 566 average, the β closure leads to the increase of the measured H (by 86.1% in 2016 and 76.0%) 567 in 2017) and measured LE (by 25.3% in 2016 and 55.5% in 2017). For the second case, the 568 residual is assigned to LE, which increases the mean LE by 48.5% (in 2016) and 15.4%569 (in 2017) while H obviously remains unchanged. For the third case, the residual is assigned 570 to H. This increases the mean H by 197.7% (in 2016) and 64.9% (in 2017), while LE 571 obviously remains unchanged. It should be noted that the final mean percentage increases 572 were calculated using only those times when data were available for both the measured 573 fluxes and the corrected fluxes after forcing closure. 574 Figure 9 shows the effect of the post-closure methods applied in the model evaluation. 575

Decimal points are not shown for RMSE and MBD in the figure to conserve space. For 576 H, both the RMSE and MBD decrease irrespective of the approach used. The improve-577 ment is most significant for the H post-closure approach. Since the discrepancy between 578 the observed and NoahEX3-simulated variables is partly due to overestimation of H, as-579 signing the entire energy balance residual to H has the greatest impact on model evaluation 580 $(RMSE=71.7 \text{ W m}^{-2} \text{ and } MBD=5.2 \text{ W m}^{-2} \text{ for } 2016; 39.1 \text{ W m}^{-2} \text{ and } -0.1 \text{ W m}^{-2} \text{ for } 2017).$ 581 For the LE post-closure method, there should be no improvement for H, since the H val-582 ues do not change. However, since the dataset is modified to remove the unrealistic values, 583 the *RMSE* and *MBD* are slightly different. For the β approach, the improvement in *MBD* 584 is minimal for 2016, but more significant for 2017. The highest r^2 is also found for the H 585 closure (r^2 =0.69 for 2016; 0.91 for 2017). For LE, the H post-closure method performs the 586 best since the LE is not changed and Noah captures the magnitude and variation in LE after 587 using site-specific vegetation parameters (RMSE=72.6 W m⁻² and MBD=16.4 W m⁻²; 33.3 588 W m⁻² and 2.8 W m⁻² for 2017). 589

590 3.3 Limitations of the Study

The NoahEX3 simulations may still lead to significant uncertainties. It is evident that the vegetation parameters have a major impact on the simulated *LE*. There was no site-specific measurements of stomatal resistance. Instead, the default values were used. Similarly, the vegetation cover derived from the MOBOTIX camera are only based on visual inspection. Higher stomatal resistance and lower vegetation cover would reduce the simulated *LE* and improve the correlation between simulated and observed values after post-closure. Since

⁵⁹⁷ the LAI variation was constrained in the model runs (being set to 3.73) compared to the

measured range (3.53 to 3.91), a sensitivity study was performed for both years to quantify

⁵⁹⁹ LAI effect on the energy flux simulations (refer to Table T1). LE increases and H decreases

due to higher LAI, which is expected. Increasing or decreasing LAI by 0.2 changes the mean

H by less than 1%. The highest change is seen for *LE* in 2017, with an increase of around

⁶⁰² 3% due to an increase in *LAI* of 0.2. Thus, the uncertainty in specified *LAI* in the model runs ⁶⁰³ has minimal impact on the simulated fluxes in this study.

The re-evaluation of the NoahEX3 simulations using three different post-closure ap-604 proaches suggests that the approach can have a strong influence on the results of a model 605 evaluation. While the H method provides the best match with the NoahEX3 simulations, it 606 is important to note that it is unlikely that the residual energy only consists of sensible heat. 607 There could be some contribution, though small, from LE (Mauder and Foken 2006). Thus, 608 the diurnal variation of the heat flux is distorted by the artificial attribution of the residual 609 energy to H. In summary, there are possibilities of misinterpretation in model evaluation 610 studies when only one post-closure method is used, as also suggested by Ingwersen et al. 611

2015.
Though extending the averaging time for the EddyPRO processing can indicate how the
missing energy is partitioned and improve the EBC, in this study, corrections are already
made for the low-frequency co-spectral losses (Moncrieff et al. 2004). Moreover, a previous modified ogive analysis showed that 30 min is still the optimum averaging time for
measurements over low vegetation (Charuchittipan et al. 2014).

Finally, forcing EBC at the 30-min time scale is not appropriate, since a complete EBC ignores heat storage (Leuning et al. 2012). While G_s is corrected for soil heat storage, heat storage in the biomass is ignored in the present study. Biomass storage depends strongly on the biomass content of the terrain, and has been shown to range from -50 to 50 W m⁻² for temperate deciduous forests (Gu et al. 2007) and from -5 to 25 W m⁻² for maize crop (Meyers and Hollinger 2004). By forcing the EBC, some bias is introduced into the model evaluation.

In the present study, we selected two short periods (12 days each) with continuous meteorological measurements during two consecutive years. The conditions prevalent during our study period and the site's surface properties are representative of the monsoon onset conditions in the Indo-Gangetic Basin. Nonetheless, these results do not necessarily imply that the Noah model has similar high biases in other period of the year. It is also possible that the change in phenology affects these biases during the other periods of the year.

631 3.4 Future Scope

Given the dearth of studies on evaluating LSMs in India, especially those using a complete 632 suite of observations, it is imperative that large scale experiments be performed using multi-633 ple eddy covariance sites to investigate biases in the land-surface modules of global climate 634 and regional weather models. These studies will improve understanding of land-atmosphere 635 interactions in this region and lead to more accurate prediction of local weather and climate. 636 We show preliminary evidence that coupled simulations using default Noah model is 637 heavily biased in this study region. The discrepancy in β simulation can affect both short-638 and medium-range weather forecasting. Moreover, it is important to examine whether these 639 biases in modelled β may be contributing to the well-known problems that climate and 640 numerical weather prediction models face when dealing with the South Asian summer mon-641 soon (Turner and Annamalai 2012; Saha et al. 2014; Roxy et al. 2015). 642

Our findings are applicable to all places where seasonality or absolute vegetation prop-643 erties are not accurately represented in the model's default parameterizations. Given the 644 impact of site-specific parameters on LSM performance, widespread in-situ measurements 645 are necessary in this region. Many of these parameters, like albedo, vegetation fraction, LAI, 646 emissivity, etc. can be derived from satellite measurements, though evaluation is necessary 647 for different scales (Glenn et al. 2008; Li et al. 2015). Other parameters, like surface rough-648 ness and stomatal resistance, are more site-specific. The data gathered from these studies 649 can be used to update the existing lookup tables in Noah model (and other LSMs) and lead 650 to future model development more suited to the ambient conditions of the Indo-Gangetic 651 Basin. 652

653 4 Conclusions

⁶⁵⁴ The present study shows that the Noah LSM performs poorly over a grassland site in central ⁶⁵⁵ Indo-Gangetic Basin during the monsoon onset period. Significant differences are found ⁶⁵⁶ between observed (midday β of 0.41 for 2016 and 0.52 for 2017) and modelled (midday β ⁶⁵⁷ of 3.09 for 2016 and 3.23 for 2017) energy fluxes, with *H* being significantly overestimated ⁶⁵⁸ and *LE* being underestimated. Moreover, T_{skin} , T_{s1} and T_{s2} are all overestimated, while R_{net} ⁶⁵⁹ is underestimated. These biases are amplified in coupled model runs that use Noah LSM as ⁶⁶⁰ a land-surface module.

Running the model with modified land surface radiative properties slightly improves the R_{net} and *LE* estimates, but worsens the simulated T_{s1} , T_{s2} , and T_{skin} . The improvement in the prediction of almost all the variables when using site-specific vegetation parameters implies that these parameters, as defined in the model's lookup table, are not representative of the Indo-Gangetic Basin.

Forcing closure of the measured energy fluxes using three approaches, after accounting for heat storage in the soil, shows that part of the difference in model simulations and observations can be explained by the difference in EBC between the model and observations. Overall, attributing all the residual energy to H shows the greatest improvement.

In summary, significant biases are seen in Noah's simulated turbulent fluxes at multiple scales in this region during the monsoon onset period. Since Noah model is a default land-surface module in many numerical weather prediction models, these biases can cause uncertainty in coupled model simulations. Further work is needed to better parameterize vegetation properties in land-surface models in this region.





Map of study area with position of the eddy covariance flux tower, with relative position of the study area within India in the inset. Image Courtesy: Google



Fig. 2

Time series of observed surface energy budget terms during the \mathbf{a} 2016 and \mathbf{b} 2017 study periods. The dates are in the format, mm-dd



Available energy $(R_{\text{net}} - G_s)$ versus sum of energy fluxes (H + LE) using 30-min averages for **a** 2016 and **b** 2017 and daily averages for **c** 2016 and **d** 2017. The black dotted lines represent the reference lines with slopes of unity and n is the sample size



Diurnal variation of measured H, LE, R_{net} , G_s , and residual energy flux for **a** 2016 and **b** 2017. The fraction of measured fluxes from field site is also shown for 2016. The solid lines represent the mean values, while the shaded areas represent the standard deviations



Box and whisker plots of midday (1000 to 1400) β from initial observations, uncoupled Noah runs, and observations after forcing EBC for the study periods in **a** 2016 and **b** 2017. The horizontal line indicates a β of 1 and μ represents the mean β for each category. The vertical boxes span the interquartile range (25th to 75th percentile) with the dot showing the median value, and the whiskers extending to the maximum and minimum observations. The sample size is 94 for 2016 and 93 for 2017



Diurnal variation in offline Noah-simulated **a** H, **c** LE, **e** G_s , and **g** R_{net} for 2016 and **b** H, **d** LE, **f** G_s , and **h** R_{net} for 2017 against site observations. The dots and error bars are for the mean and standard deviation of observations, while the solid lines and shaded areas for the mean and standard deviation of the simulated data



Fig. 7

Regressions of offline Noah-simulated **a** H, **c** LE, **e** G_s , and **g** R_{net} for 2016 and **b** H, **d** LE, **f** G_s , and **h** R_{net} for 2017 against site observations. The black dotted lines are the reference line with slopes of unity and n is the sample size



Time series of daily mean β from observations, uncoupled Noah simulations, and coupled WRF-Noah model simulations from HAR. For HAR, the solid lines represent the mean values of 2010 to 2014, while the shaded areas represent the standard deviations. The horizontal line indicates a β of 1



Impact of three post-closure approaches on the evaluation of the Noah-simulated **a** H and **c** LE for 2016, and **b** H and **d** LE for 2017. The black dotted lines are the reference lines with slopes of unity and n is the sample size

	NoahEX1				NoahEX2				NoahEX3			
	Statistics											
Variable	Mean	r^2	RMSE	MBD	Mean	r^2	RMSE	MBD	Mean	r^2	RMSE	MBD
$R_{\rm net} ({\rm W m}^{-2})$	138.1	1.00	29.5	-20.8	149.2	1.00	12.7	-9.7	160.9	1.00	8.3	2.1
$S \uparrow (W m^{-2})$	55.5	0.99	21.8	11.8	41.6	0.99	4.6	-2.14	41.6	0.99	4.6	-2.14
$L\uparrow (W m^{-2})$	498.0	0.98	10.2	7.6	501.6	0.98	13.9	11.1	489.6	0.97	7.7	-0.8
T_{s1} (K)	306.1	0.86	4.4	4.0	306.3	0.86	4.6	4.2	304.2	0.87	2.3	2.0
T_{s2} (K)	304.7	0.79	3.0	3.0	304.9	0.79	3.2	3.2	302.4	0.67	0.9	0.7
q_{v1} (%)	16.1	0.45	4.8	4.0	16.0	0.44	4.7	3.9	14.3	0.12	3.2	2.2
q_{v2} (%)	17.5	0.41	2.6	2.3	17.4	0.40	2.6	2.3	14.0	0.03	1.4	-1.1
$T_{\rm skin}$ (K)	306.9	0.98	2.1	1.8	307.1	0.98	2.4	2.0	305.2	0.97	1.2	0.1
$H (W m^{-2})$	148.4	0.88	134.4	104.3	163.8	0.88	154.4	119.7	106.2	0.89	85.3	62.1
<i>LE</i> (W m ⁻²)	65.2	0.83	117.0	-89.1	67.2	0.83	114.9	-87.1	155.3	0.87	47.1	0.98
$G_{\rm s}~({\rm W~m^{-2}})$	-6.9	0.88	19.0	-5.0	-7.1	0.88	20.2	-4.8	-4.3	0.89	19.0	-7.6

Table 1: Evaluation of offline Noah simulations for 2016

Table 2: Evaluation of offline Noah simulations for 2017

	NoahEX1				NoahEX2				NoahEX3				
	Statistics												
Variable	Mean	r^2	RMSE	MBD	Mean	r^2	RMSE	MBD	Mean	r^2	RMSE	MBD	
$R_{\rm net} ({\rm W m}^{-2})$	129.1	1.00	44.4	-31.2	146.8	1.00	18.2	-13.6	161.2	1.00	7.8	0.8	
$S \uparrow (W m^{-2})$	58.1	0.99	34.1	19.7	36.0	0.99	4.8	-2.3	36.0	0.99	4.8	-2.3	
$L\uparrow (W m^{-2})$	498.2	0.96	13.8	1.0	504.0	0.96	19.5	15.7	489.3	0.98	7.0	1.0	
T_{s1} (K)	306.0	0.81	7.0	6.3	306.4	0.81	7.4	6.7	303.9	0.87	4.6	4.2	
T_{s2} (K)	304.0	0.71	4.8	4.61	304.3	0.71	5.0	4.9	301.7	0.59	2.4	2.3	
q_{v1} (%)	15.5	0.17	0.4	0.3	15.4	0.58	0.3	0.1	14.8	0.97	0.65	-0.48	
q_{v2} (%)	21.5	0.95	4.0	3.5	21.5	0.95	4.0	3.5	17.4	0.91	1.0	-0.5	
$T_{\rm skin}$ (K)	307.1	0.96	2.8	2.2	307.5	0.96	3.2	2.6	305.2	0.98	1.2	0.3	
H (W m ⁻²)	81.4	0.86	86.4	52.9	98.0	0.86	111.1	68.4	47.0	0.89	40.3	18.4	
<i>LE</i> (W m ⁻²)	32.6	0.92	105.8	-61.6	34.0	0.92	103.6	-60.1	97.0	0.93	33.3	2.8	
$G_{\rm s}~({\rm W~m^{-2}})$	-11.2	0.89	34.5	-0.5	-11.7	0.88	37.2	0.0	-6.9	0.91	13.5	-4.9	

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