

# Shrinkage limit test results and interpretation for clay soils

Shrinkage limit of clay soils

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## Abstract:

The shrinkage limit is one of the Atterberg limits and is a fundamental geotechnical parameter used for the assessment of the settlement of clay soils due to reduction in water content, yet is rarely tested for as part of ground investigation. This paper describes shrinkage limit test results on a variety of soils from Britain and overseas obtained using an improved laboratory testing procedure developed at the British Geological Survey (BGS). The co-relationships with the other Atterberg limits and with density are explored. In particular, the coincidence of the shrinkage limit with the water content at the peak bulk density achieved in the test is examined. The shrinkage behaviour for undisturbed and remoulded states and a 3-way relationship between water content, density and suction are demonstrated. Some tropical residual and highly smectitic soils show a very wide range of shrinkage behaviour, albeit for a small dataset, when compared with the larger dataset of temperate soils tested. Consideration is given to limitations of the new and existing test methods.

Many towns, cities, transport routes and buildings are founded on clay-rich soils and rocks. The clays within these materials may be a significant hazard to engineering construction due to their ability to shrink or swell with changes in water content (Anon 1993; Jones & Jefferson, 2012). This paper follows an earlier paper (Hobbs *et al.* 2014) which described the development of an improved test method for determining the shrinkage limit of clay soils, entitled 'SHRINKiT', and introduced a small dataset of test results. It covers new test results on a wider range of soils using the same test methods and expands the interpretation and analysis of results to include comparative undisturbed /remoulded results and relationships with the other Atterberg limits and suction test results. The thrust of this research is to encourage the measurement of this important index parameter using a safe and accurate method, and for its application to be more widespread in building and engineering.

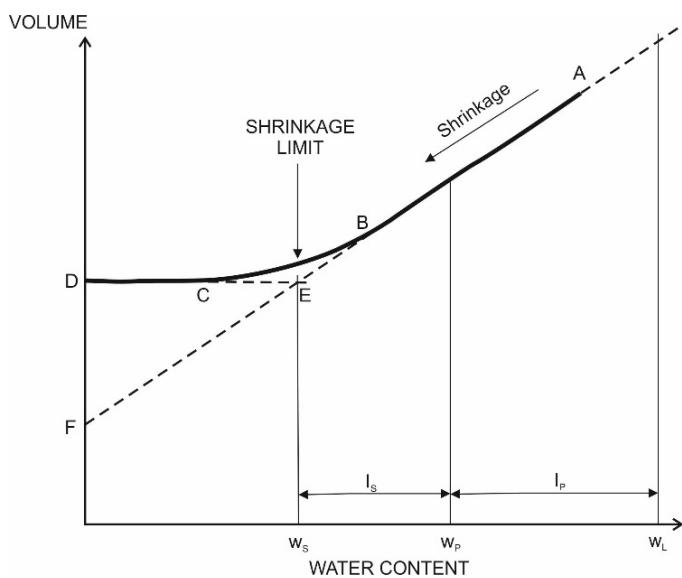


Fig. 1.

The shrinkage limit was one of seven state limits originally conceived by Albert Atterberg (Atterberg,

1911a, 1911b) and further described by Casagrande (1948) and one of three that are currently covered by test standards (e.g. BSI 1990, ASTM 2018). The shrinkage limit of fine soil ( $w_s$ ) is conceptually defined as the water content at which the phase of the soil changes from the ‘semi-solid’ to the ‘solid’ state (Sridharan & Prakash 1998). This is graphically illustrated in Fig. 1 where continuous reduction in water content results in no further volume change. The shrinkage from A to B is where volume reduction matches water loss. The gradient of the line AB is the initial degree of saturation,  $S_n$  if volume change is expressed as voids ratio and, if volume change is expressed as a percentage of dry volume, equals the shrinkage ratio,  $R_s$ . Point D is the oven dried state (105°C) and point E defines the shrinkage limit (BSI 1990) at the graphical intercept of lines AB and CD. Point B, usually referred to as the air-entry point (Haigh *et al.* 2013), represents the water content at which water loss outstrips volume reduction and the degree of saturation starts to reduce significantly. The shrinkage limit also coincides with the point of peak bulk density achieved during the test (discussed later).

The two British Standard methods for measuring shrinkage limit directly employ Archimedes principle applied to a mercury bath in order to determine the volume of the specimen, BS 1377-2, tests 6.3 and 6.4 (BSI 1990). Both methods use mercury and, therefore, have health and safety risks associated with them, including the disposal of the contaminated sample. Whilst the Standard does not specify that the tests should be just be on remoulded samples (as for the other Atterberg limits - liquid and plastic limits), the intention is implicit. However, there is no technical reason why undisturbed samples cannot be used and, undisturbed samples, in addition to remoulded samples, have been used in this study. Also, the results on undisturbed samples might have more application for engineering purposes. The Standard method uses a mercury cell originally developed by the Transport Research Laboratory, TRL (Road Research Laboratory 1952; Ackroyd 1969). The ‘subsidiary’ method, based on American Society for Testing & Materials (ASTM) and American Association of State Highway & Transportation Officials (AASHTO) methods (D427-04 and T92-97, respectively; ASTM 2007) and utilised worldwide (e.g. Mishra & Sridharan 2017), also uses mercury immersion and the same graphical construction. Other methods based on ‘coated-clod’ specimens have been used: employing immersion (ASTM 2008; Sridharan & Prakash 2009), laser scanning (Rossi *et al.* 2008) and optical scanning (Sander & Gerke 2007; Stewart *et al.* 2012). The current ASTM method (D4943-08) employs a hot wax coating Archimedes immersion technique where shrinkage limit is calculated solely from the initial and oven-dried states (ASTM 2008). This type of immersion method is destructive, unlike the scanning methods, and assumes that the initial specimen is remoulded and fully saturated and that the line AB in Fig. 1 is straight. Whilst the shrinkage limit is mentioned in current Eurocode 7 documents, the methods of testing are not described.

A new automated laboratory test apparatus for the determination of shrinkage limit, entitled SHRINKiT, was developed to provide a safer and more accurate method than those previously available and to promote the use of an important but under-utilised test. This, along with a preliminary data set, was described in Hobbs *et al.* (2010). The fundamental aspects of shrinkage behaviour were further examined by Hobbs *et al.* (2014) using the ‘SHRINKiT’ method of testing which was validated using a limited preliminary data set. The method employs a simple form of laser scanner and a digital balance to measure volume and weight, respectively. A large number of volume and weight measurements are made over a period of several days while the specimen air-dries. Cylindrical specimens (nominally 100 x 100 mm) taken from remoulded or undisturbed samples are used; the latter prepared from class 1, undisturbed samples (BSI, 2015) prepared by hand trimming in trial pits or from rotary drilled core and preserved to ensure no or minimal water loss,.

## Method

The shrinkage limit tests were carried out in the laboratory using the BGS’s computer automated SHRINKiT method (Hobbs *et al.* 2014; Hobbs *et al.* 2010). This measures specimen mass and volume simultaneously,

88 the former with an integral digital balance to 0.01g and the latter using a travelling laser rangefinder and  
89 rotating specimen platform which combine to act as a scanner. This enables a large number of readings  
90 per test that is used to definite the volume-water content plot to air dried and of the oven dried sample,  
91 and the graphical construction to determine shrinkage limit (Fig. 1). A single cylindrical specimen (100 x  
92 100 mm) taken from a remoulded or undisturbed sample is used (10% larger or smaller specimens can be  
93 accommodated). The apparatus is calibrated using plain and contoured aluminium cylinders of known  
94 weight and volume. Average errors of 0.015 % and 0.07 % were obtained for weight and volume,  
95 respectively, using five different calibration cylinders, and the software version (v2.5.2) and the laser  
96 point density (300 per scan) used during the tests described here. The calculation used in the ASTM test  
97 D4943-08 ‘wax’ method (ASTM 2008) when applied to the SHRINKiT data allowed a comparison to be  
98 made with the SHRINKiT results. The other soils index tests were carried out according to BS1377 (BSI:  
99 1990, Part 5). The ‘suction’ tests were carried out using a Soil Moisture Equipment Corporation 1500F1  
100 (1500 kPa capacity) ceramic plate extractor on a selection of remoulded shrinkage limit sub-samples at  
101 water contents close to their liquid limit. Seven stages were carried out from 100 to 1500 kPa and a best-  
102 fit curve applied. All tests were conducted in the laboratory at a constant 20°C.

103 As described earlier, the ASTM method (ASTM 2008) employs an Archimedes immersion technique  
104 applied to a disc-shaped specimen of remoulded soil, first air-dried, then oven-dried and coated in hot  
105 wax. The calculation employed assumes that the initial degree of saturation is 100% and that the initial  
106 condition (Point A in Fig. 1) falls on a straight line through Point E (Fig. 1). In addition to the normal  
107 graphical construction (Fig. 1), the final calculation from D4943-08 has been applied to the SHRINKiT data  
108 using the following formula:

$$110 \quad w_s = w_0 - \left[ \frac{(V_0 - V_d)\rho_w}{m_s} \right] \times 100 \quad (1)$$

111 where  $w_s$ , Shrinkage limit;  $w_0$ , Initial water content;  $V_0$ , Initial volume;  $V_d$ , Oven dry volume;  $\rho_w$ , Density  
112 of water;  $m_s$ , Oven dry mass.

### 113 **Samples**

#### 114 **Undisturbed**

115 As SHRINKiT makes strain measurements test on non-remoulded samples were carried out on ‘class 1’  
116 undisturbed samples (BSI 2015; Baldwin & Gosling 2009); that is, samples of a quality required for  
117 effective shear strength and stiffness testing, or remoulded samples prepared according to BS methods  
118 (BSI 2007). In general, undisturbed samples that are not class 1 or 2, or have not been stored correctly,  
119 are not suitable for undisturbed testing using the SHRINKiT method. All undisturbed test samples used in  
120 this research were class 1 or 2 and preserved from water loss prior to testing and stored in controlled  
121 temperature and humidity conditions. The majority of samples were hand-trimmed from blocks prepared  
122 in trial pits and collected by BGS, unless stated otherwise.

#### 123 **Remoulded**

124 Remoulded samples were prepared by hand from matching undisturbed samples according to BS methods  
125 (BSI 2007). The principal difference between undisturbed and remoulded samples in the SHRINKiT test is  
126 that the former retain their structural features, whereas the latter have been remoulded as if for preparation  
127 for liquid and plastic limit.. In addition, the water content of remoulded samples can be controlled during  
128 preparation. These factors are usually reflected in the form of the shrinkage curve, the shrinkage limit  
129 result itself and the volumetric strain; though this is dependent on the starting water content. In the case  
130 of structured, metastable and aggregated soils, such as the tropical red clay samples the differences can  
131 be significant.

132

### 133 **Results**

134

137 Following the preliminary set of test results described in Hobbs *et al.* (2014), a further thirty-two tests  
 138 were carried out using the SHRINKiT apparatus, details and results for which are tabulated in Table 1 and  
 139 Table 2, and plots for selected tests illustrated in Figs. 2 and 3. Shrinkage limits ranged from 14.3 to 41.1  
 140 % for remoulded samples and 7.7 to 30.9 % for undisturbed samples. Volumetric strains (dependent on  
 141 initial water content,  $w_0$ ) ranged from 2 to 23 % for undisturbed samples and from 18 to 52 % for  
 142 remoulded samples. Formations and soil types are seen to occupy discrete zones within Fig. 4, notably  
 143 the large zone for undisturbed tropical red clay which, though not populated, may indicate the possible  
 144 range for such soils as allophanic andosols which, in their undisturbed state, have aggregated and  
 145 metastable fabrics. Abbreviations for test parameters are explained under ‘Notation’. Interruptions in plots  
 146 are due to technical issues during tests.  
 147

**Table 1. Description of samples used for SHRINKiT tests**

Sample No.	Location	Formation	*NGR
Till_slip	Aldbrough, East Riding of Yorkshire, GB	Holderness (landslipped)	525667, 439523
Till6	Aldbrough, E Riding of Yorkshire, GB (BH3b, 2.5m)	Holderness (Withernsea Member)	525667, 439523
Till7	Aldbrough, E Riding of Yorkshire, GB (BH3b, 6.8m)	Holderness (Withernsea Member)	525667, 439523
Till8	Aldbrough, Riding of Yorkshire, GB (BH3b, 11.5m)	Holderness (Skipsea Till Member)	525667, 439523
Till9	Aldbrough, E Riding of Yorkshire, GB (BH3b, 14.6m)	Holderness (Skipsea Till Member)	525667, 439523
Till10	Aldbrough, E Riding of Yorkshire, GB (BH3b, 16.6m)	Holderness (Bridlington Member)	525667, 439523
London8	Knoll Manor Pit, Dorset, GB	London Clay	397700, 797300
London9	Poyle Quarry, Berkshire, GB	London Clay	502800, 176600
London10	Stanwell Quarry, Surrey, GB	London Clay (Palaeostrat. Div.: B1)	504900, 174600
London11	Hollingson Meads Quarry, Essex, GB	London Clay (Palaeostrat. Div.: A)	545300, 226000
London12	Hollingson Meads Quarry, Essex, GB	London Clay (Palaeostrat. Div.: A)	545300, 226000
London13	Ockendon Quarry, Surrey, GB	London Clay (Palaeostrat. Div.: A)	561400, 182000
London14	Fair Oak Pit, Southampton, Hampshire, GB	London Clay	450400, 118300
London15	Fair Oak Pit, Southampton, Hampshire, GB	London Clay	450400, 118300
London16	Knowl Hill Quarry, Berkshire, GB	London Clay	481600, 179500
Oxford3	Christian Malford, Wiltshire, GB (BH3, 5.1 m)	Oxford Clay (Peterborough Member)	397676, 179259
Oxford4	Christian Malford, Wiltshire, GB (BH3, 5.1 m)	Oxford Clay (Peterborough Member)	397676, 179259
Oxford5	Christian Malford, Wiltshire, GB (BH2, 2.5 m)	Oxford Clay (Peterborough Member)	398251, 179606
Oxford6	Christian Malford, Wiltshire, GB (BH2, 2.5 m)	Oxford Clay (Peterborough Member)	398251, 179606
TropRed1	Subang, Java, Indonesia (Pit 12, 3.0 m)	Ferralsol (Older Quaternary Volcanics)	786950, 274200
TropRed2	Subang, Java, Indonesia (Pit 12, 3.0 m)	Ferralsol (Older Quaternary Volcanics)	786950, 274200
TropRed3	Lembang, Java, Indonesia (Pit 11, 5.0 m)	Andosol (Younger Quaternary Volcanics)	786950, 246400
TropRed4	Lembang, Java, Indonesia (Pit 11, 5.0 m)	Andosol (Younger Quaternary Volcanics)	786950, 246400
TropRed5	Subang, Java, Indonesia (Pit 12, 5.0 m)	Ferralsol (Older Quaternary Volcanics)	786950, 274200
TropRed6	Subang, Java, Indonesia (Pit 12, 5.0 m)	Ferralsol (Older Quaternary Volcanics)	786950, 274200
Kannav1	Melamiou, Paphos District, Cyprus (BH4, 5.0 m)	Kannaviou	460203, 386267
Kannav2	Melamiou, Paphos District, Cyprus (BH4, 5.0 m)	Kannaviou	460203, 386267
Melange1	Arodhes, Paphos District, Cyprus (BH16, 5.0 m)	Kathikas (landslipped)	443028, 386467
Brickearth	Ospringe Pit, Faversham, Kent, GB (0.5 m)	Upper Brickearth, non-calc (reworked loess)	599700, 161164
QuickClay	Norway*		
Ostend1	Happisburgh, Norfolk, GB	Happisburgh (Ostend Clay Member)	638549, 330815
Gault5	Arlesey, Bedfordshire, GB (BH1, AR1, 15.9 m)	Gault	518870, 234630

149 \* Sample provided by Norwegian Geotechnical Institute (NGI);

150 NGR, National Grid Reference for the country of sample origin,

151 .

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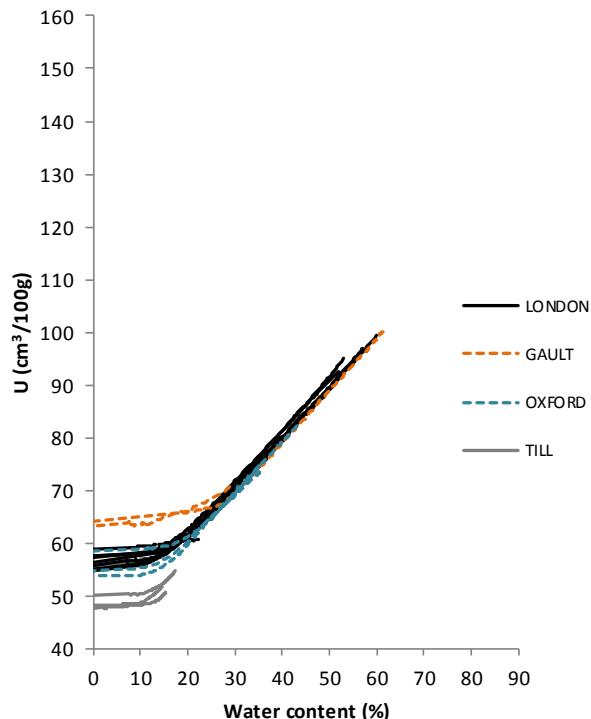
154 **Table 2.** Results of shrinkage limit (SHRINKiT) test and other index tests

Sample	State	$w_s$ (%)	$w_L$ (%)	$I_p$ (%)	$R_s$ (Mg/m <sup>3</sup> )	$w_0$ (%)	$S_{n0}$ (%)	$\Delta V_{tot}$ (%)	$I_s$ (%)	LI	$\Psi$
Till_slip	Rem	15.0	46	22	1.87	28.8	89.7	20	9.7	0.19	1.4
Till6	Und	12.0	37	17	2.02	15.4	85.9	6	8.0	-0.27	0.4
Till7	Und	11.4	36	19	2.06	16.9	97.5	11	5.6	-0.01	1.0
Till8	Und	11.2	32	16	2.07	14.6	99.0	7	4.8	-0.09	0.7
Till9	Und	13.1	31	15	1.99	17.5	98.5	9	2.9	0.10	1.5
Till10	Und	13.5	31	15	1.98	16.4	99.8	6	2.5	0.03	1.2
London8	Rem	15.5	41	22	1.80	35.6	93.6	26	3.0	0.77	6.7
London9	Rem	16.0	79	55	1.73	51.8	92.3	38	8.0	0.51	4.5
London10	Rem	16.9	75	48	1.80	60.1	94.7	44	10.2	0.69	4.8
London11	Rem	15.8	55	29	1.82	57.1	96.2	43	9.9	1.07	4.2
London12	Und	9.4	55	29	1.65	21.6	82.1	5	16.3	-0.14	0.8
London13	Rem	17.6	76	49	1.75	56.9	91.2	40	9.4	0.61	4.2
London14	Und	7.7	47	27	1.70	22.2	93.9	3	12.7	0.07	1.1
London15	Rem	18.2	47	27	1.73	29.8	88.8	18	2.2	0.35	5.3
London16	Und	16.8	74	46	1.77	25.6	88.3	14	11.2	-0.5	0.8
Oxford3 *	Und	15.7	61	36	1.83	20.9	90.3	9	9.3	-0.11	0.6
Oxford4 *	Rem	14.4	61	36	1.82	43.1	93.3	34	10.6	0.5	2.7
Oxford5 †	Und	14.7	43	24	1.93	22.1	95.4	13	4.3	0.13	1.7
Oxford6 †	Rem	14.3	43	24	1.86	35.1	91.4	28	4.7	0.67	4.4
TropRed1 *	Und	27.4	109	53	1.33	45.1	85.6	15	28.6	-0.21	0.6
TropRed2 *	Rem	26.7	109	53	1.46	68.2	87.3	37	29.3	0.23	1.4
TropRed3 †	Und	26.4	126	28	0.74	101	79.5	17	71.6	0.13	1.1
TropRed4 †	Rem	41.1	126	28	1.16	79.8	92.8	29	56.9	-0.65	0.7
TropRed5 *	Und	30.9	101	45	1.21	44.0	78.1	12	25.1	-0.27	0.5
TropRed6 *	Rem	35.4	101	45	1.32	86.4	101	39	20.6	0.68	2.5
Kannav1 †	Und	10.3	121	65	1.56	37.0	83.8	23	45.7	-0.29	0.6
Kannav2 †	Rem	18.7	121	65	1.63	86.0	95.5	52	37.3	0.46	1.8
Melange1	Und	11.0	47	25	2.04	16.3	86.8	8	11.0	-0.23	0.5
Brickearth	Und	9.9	39	16	1.61	18.5	69.5	3	11.1	-0.28	0.8
QuickClay	Und	13.9	31	12	1.88	25.5	40.4	7	5.1	0.54	2.3
Ostend1	Und	14.3	28	9	1.59	23.3	91.1	2	4.7	0.48	1.9
Gault5	Rem	25.2	75	48	1.56	61.3	95.8	36	2.0	0.70	20.0

155 \* † indicate matched undisturbed/remoulded samples; Und, Undisturbed; Rem, Remoulded.

156 Refer to 'Notation' section for geotechnical parameter abbreviations.

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159 **Fig. 2.**

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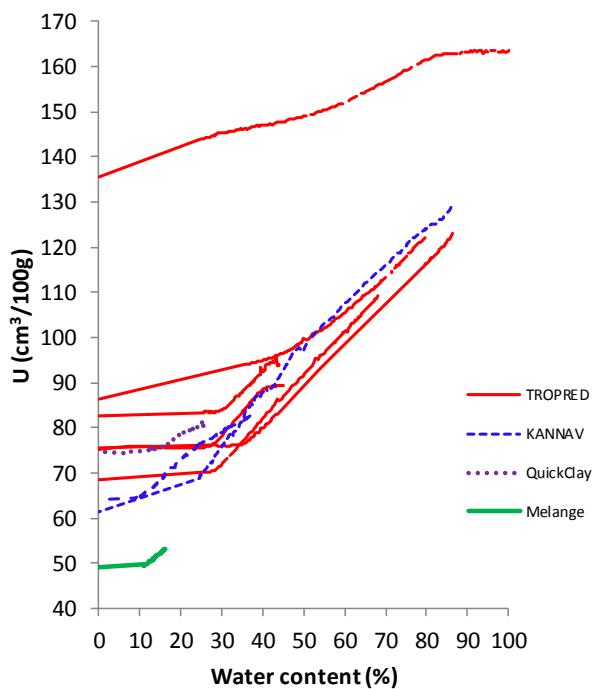


Fig. 3.

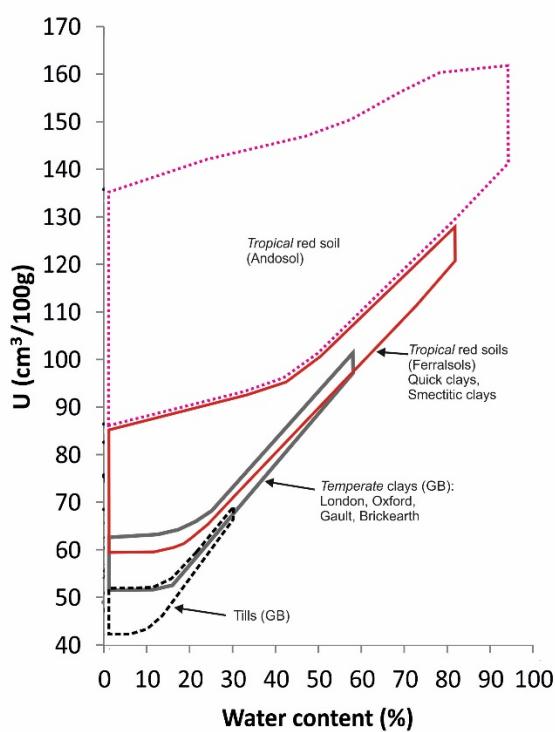


Fig. 4.

### Shrinkage sensitivity

A limited number of ‘matched pair’ samples were tested to examine shrinkage limit ‘sensitivity’; that is, the change in value from the undisturbed to the remoulded state. The results from these paired samples are shown in Table 3. Sensitivities are positive (i.e. remoulded value greater than undisturbed) with the exceptions of the Oxford Clay

175 Formation samples and TropRed 1 & 2 samples where sensitivities were slightly  
 176 negative (i.e. remoulded value less than undisturbed), though probably within margins  
 177 of error for the test method.

178

179 **Table 3. Shrinkage limit sensitivities for ‘matched’ undisturbed and remoulded samples**

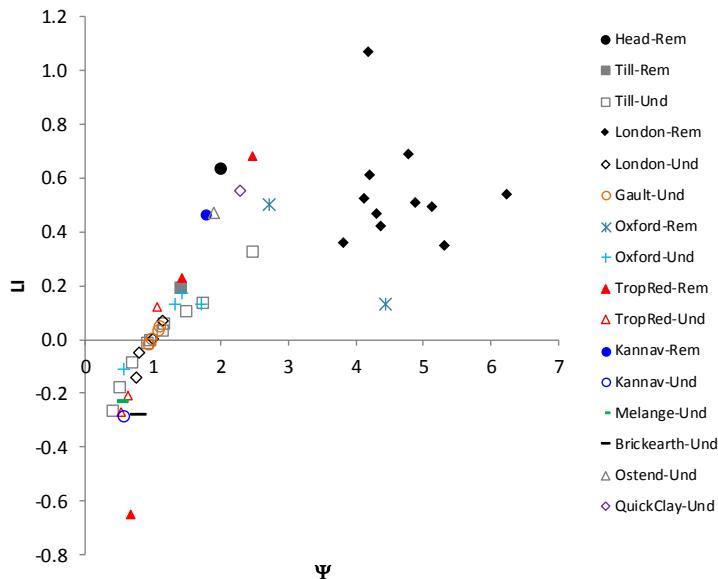
Samples	$w_s$ (Und) %	$w_s$ (Rem) %	Sensitivity %
LONDON 12 & 11	9.4	15.8	+68
LONDON 14 & 15	7.7	18.2	+136
OXFORD 3 & 4	15.7	14.4	-8
OXFORD 5 & 6	14.7	14.3	-3
KANNAV 1 & 2	10.3	18.7	+82
TROPRED 1 & 2	27.4	26.7	-3
TROPRED 3 & 4	26.4	41.1	+56
TROPRED 5 & 6	30.9	35.4	+15

180 Und, Undisturbed; Rem, Remoulded

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184

185 **Fig. 5.**

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187 The shrinkability index,  $\Psi$  is defined in equation 2:

$$\Psi = \frac{(w_0 - w_s)}{I_s} \quad (2)$$

188 where  $w_0$ , initial water content;

189  $w_s$ , shrinkage limit;

190  $I_s$ , shrinkage index (equation (3)).

$$I_s = w_P - w_S \quad (3)$$

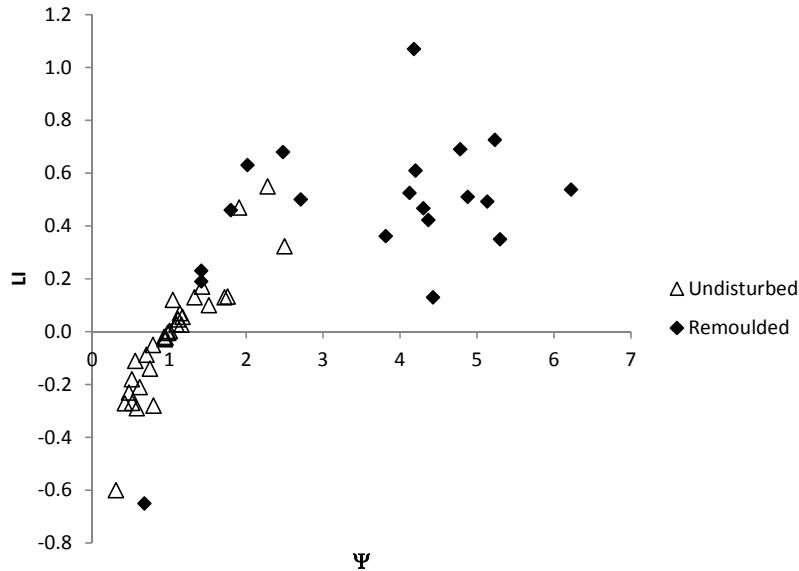
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192 Shrinkability index is a measure of the initial water content of the tested specimen in  
 193 relation to the shrinkage index and is here defined in the same way that liquidity index  
 194 relates water content to plasticity index. The relationship between shrinkability index  
 195 and liquidity index is illustrated in Figs. 5 and 6. These plots show groupings by  
 196 formation / soil type and specimen state, respectively. These figures also show,  
 197 somewhat counter-intuitively, that the ‘remoulded’ data (mainly London Clay  
 198 Formation) are more scattered than the ‘undisturbed’ (sample Gault5 has been omitted  
 199

200

as it had an anomalous shrinkability index,  $\Psi$  of 20.1). It is notable that, whilst the liquidity index is often negative for undisturbed samples, the shrinkability index cannot be, as the specimen would have been untestable at an initial water content below the shrinkage limit.

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**Fig. 6.**

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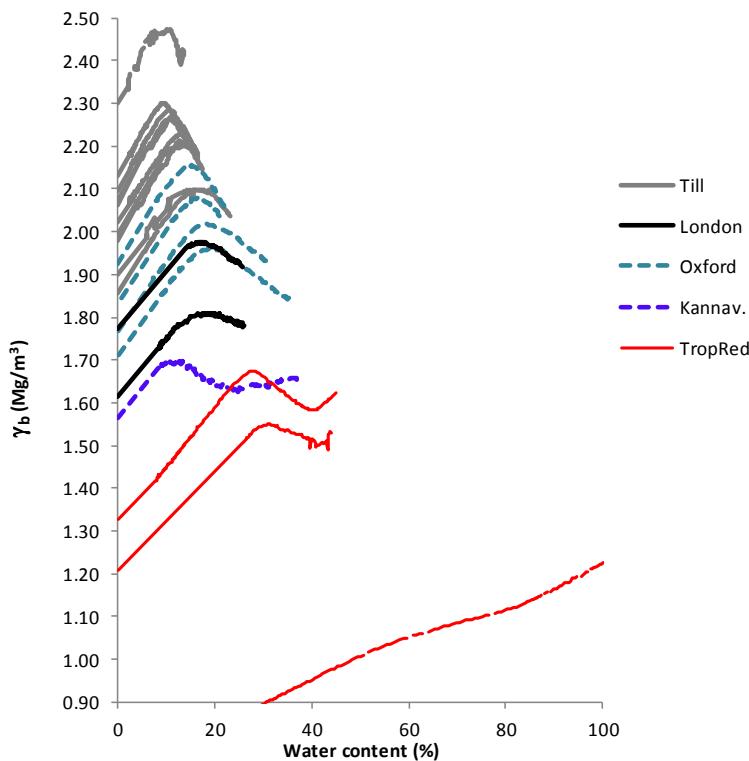
### Density relationships

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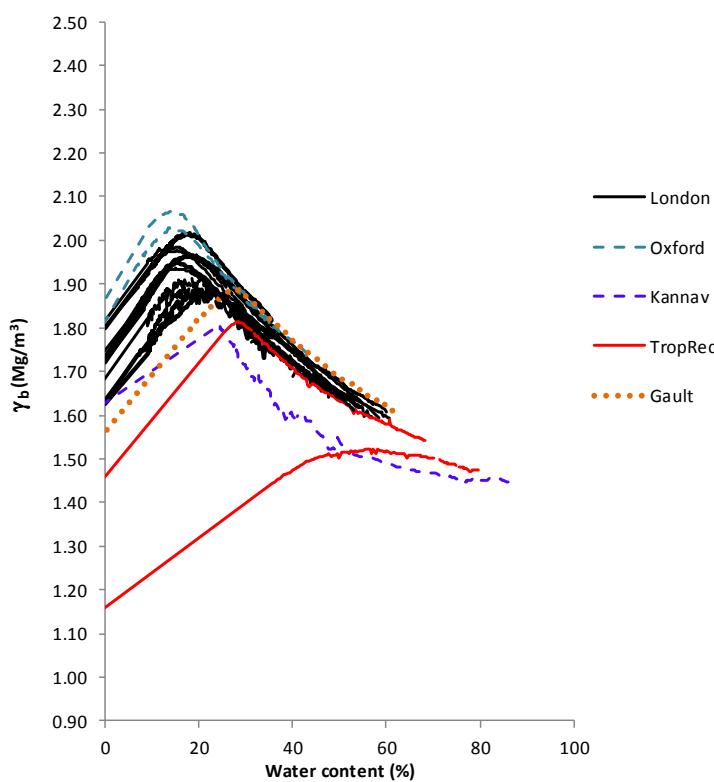
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As pointed out by Garzonio & Sfalanga (2003) the shrinkage limit should, in theory, coincide with the peak of the bulk density curve. In practice this does appear to be the case, as described by Hobbs *et al.* (2014) and further demonstrated below. Relationships between water content and bulk density for selected undisturbed and remoulded SHRINKiT samples are shown in Figs. 7 and 8, respectively. These relationships resemble those for compaction tests, except that the latter use dry density. Water content at the peak bulk density achieved in the SHRINKiT test is observed to increase with reducing density. In general, the upper part of the 'undisturbed' plot (Fig. 7) is occupied by glacial tills the central part by Oxford and London Clay Formations (and other GB clays) and the lower part by tropical red and smectitic clays; the former plotting well below the GB soils. The 'remoulded' plot (Fig. 8) features more tightly packed curves particularly in the case of the London Clay Formation samples, though maintaining the distribution of Fig. 7. This is due to the greater degree of homogeneity associated with remoulded samples whereby all structural and most fabric features (present in the undisturbed samples) are removed.

226



**Fig. 7.**

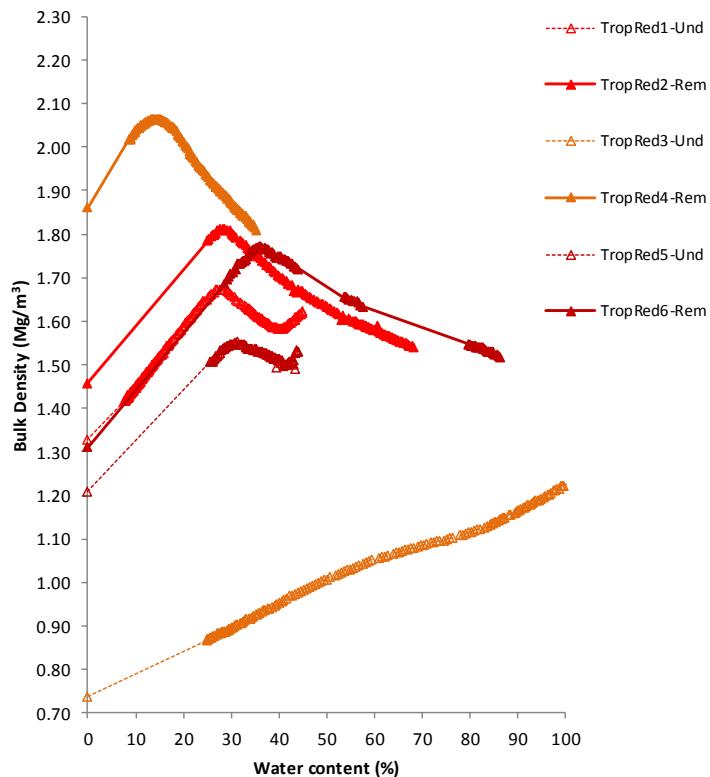


**Fig. 8.**

233 Specific examples where matched undisturbed/remoulded samples (refer to Table 2)  
 234 have been tested are shown in Figs. 9 and 10. Here, the large density increases from the  
 235 undisturbed to the remoulded state, for the tropical red clay soils, are shown,  
 236 particularly for the andosols (TropRed 3 & 4). This compares with more modest density

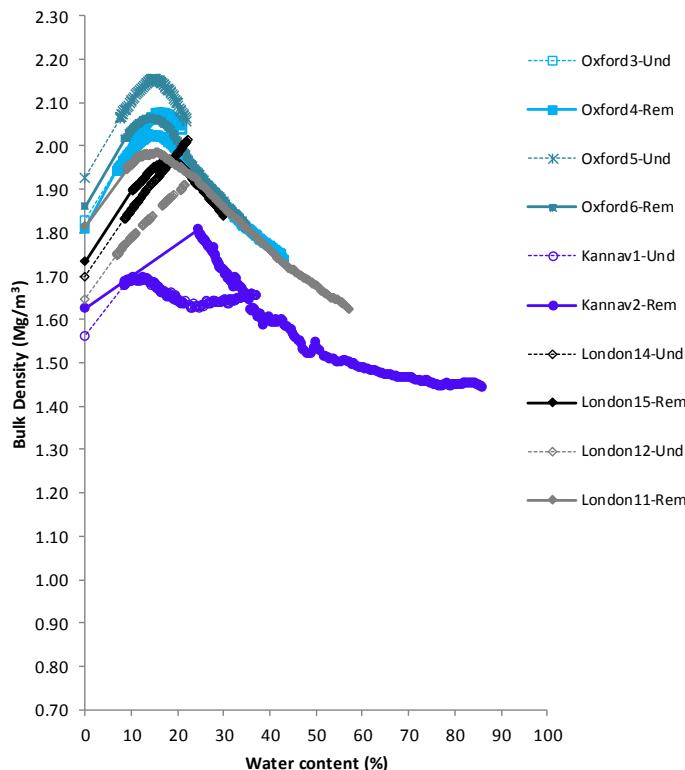
increases shown by the Oxford Clay and Kannaviou Formations. This behaviour is due to breakdown on remoulding of aggregated fabrics in the case of the Tropical Red samples (Fig. 9) and breakdown of structural features in the case of the Oxford Clay Formation and Kannaviou Formation samples (Fig. 10).

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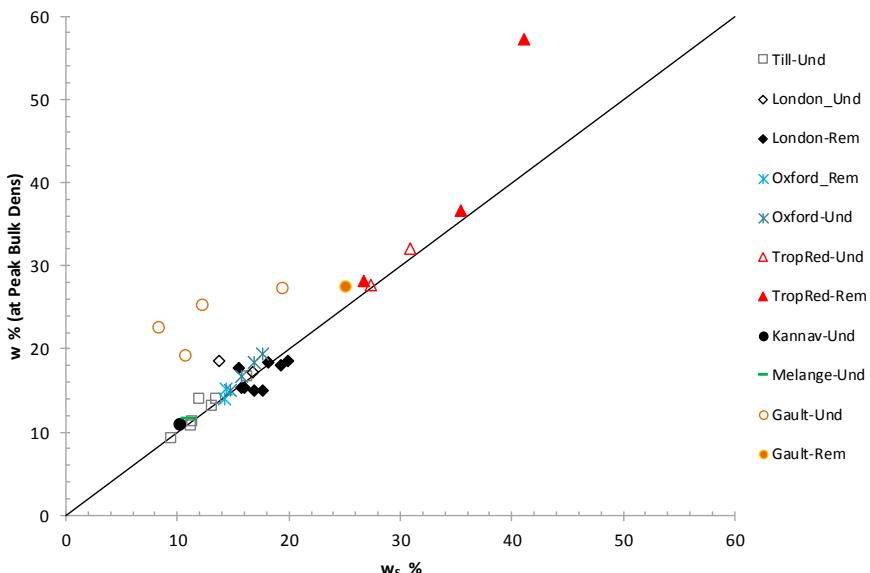
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**Fig. 9.**



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247 **Fig. 10.**  
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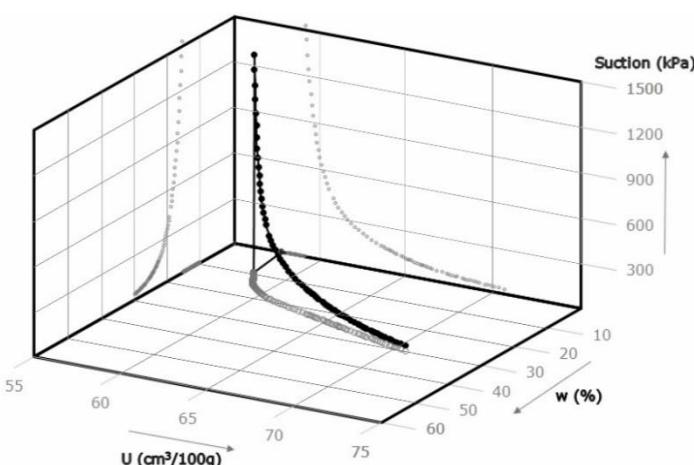
249 The coincidence of the shrinkage limit with the water content at the maximum bulk  
250 density achieved during the test has been referred to in Hobbs *et al.* (2014). The  
251 relationship is shown in Fig. 11. This might suggest that a ‘peak bulk density’ approach,  
252 determined from the SHRINKiT test, could serve as an alternative to the graphical  
253 construction employed by both BS1377 (BSI 1990) and SHRINKiT (Fig.1). However,  
254 some samples did not produce a peak bulk density during the test. These included  
255 Brickearth, Quick Clay, Ostend Member (a glaciolacustrine deposit) and Gault  
256 Formation samples, mainly undisturbed, which had either fractured badly during the  
257 test or had a high silt content. The reason for the maximum bulk density occurring at  
258 the shrinkage limit is that at this point in the shrinkage process the rate of volume loss  
259 is reducing before there is a significant reduction in weight loss rate. This is the point  
260 where desaturation of all pore sizes within the specimen is underway, which might also  
261 be connected to micro-cracking of clay peds contributing to the rapid slowing of volume  
262 reduction rate.  
263



264  
265 **Fig. 11.**

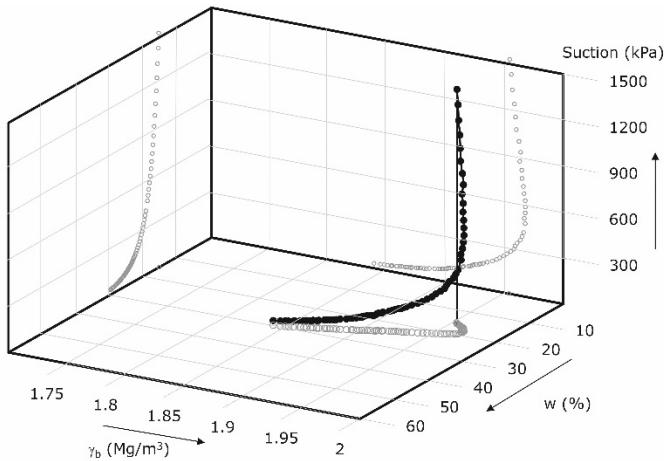
266  
267 **Suction relationships**

268  
269 As an adjunct to the shrinkage limit study, a small number of 'suction' measurements  
270 were made using a sub-1500 kPa ceramic plate extractor on remoulded shrinkage limit  
271 sub-samples. These confirm the relationship between bulk density and water content  
272 during shrinkage, discussed earlier, and introduce a relationship with suction, thus  
273 providing a three-dimensional 'characteristic curve' plot. An example for a remoulded  
274 London Clay Formation sample is shown in Fig. 12. The equivalent water content/bulk  
275 density/suction plot is shown in Fig. 13. This confirms the coincidence of peak bulk  
276 density (at 18.3% water content) with the shrinkage limit (18.2%) in this case.



278  
279 **Fig. 12.**

280



281

**Fig. 13.**

283

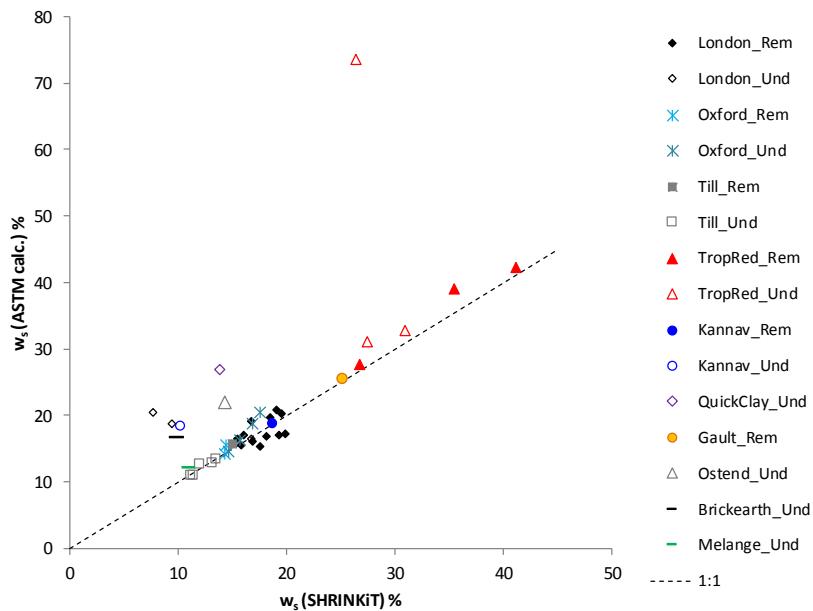
284 A comparative plot of the shrinkage limit derived from the SHRINKiT results using the  
 285 graphical construction method shown in Fig. 1 and calculations taken from the ASTM  
 286 method (refer to ‘Method’ section) and applied to the SHRINKiT data, is shown in Fig.  
 287 14, classified by formation and sample state.

288

289

290

291



292

**Fig. 14.**

294

295 The plot shows a good agreement between remoulded samples of different types and  
 296 formations. However, undisturbed samples generally show a poor agreement, albeit  
 297 with exceptions, due to the lower degree of saturation and tend to have a non-linear AB  
 298 line (Fig. 1). For example, the outlying undisturbed ‘TropRed’ sample in Fig. 14 has a  
 299 particularly sinusoidal AB line in the SHRINKiT test plot which thus does not lend itself  
 300 to the ASTM method.

301

## 302 Discussion

303

304 The results of laboratory tests to determine the shrinkage limit of fine-grained soils  
305 using an improved method, SHRINKiT and described in Hobbs *et al.* (2014), are reported  
306 and discussed. The method allows for a much greater number of measurement points  
307 during air drying than British Standard or other immersion methods and is capable of  
308 dealing with most weak, sensitive, metastable soils and undisturbed soils generally  
309 including those with structural weaknesses and silt/sand inclusions. A clear division  
310 between temperate soils and tropical soils, at least for those types tested, has been  
311 demonstrated whereby the latter have much higher shrinkage limits. The smectitic soils  
312 from Cyprus which were tested are intermediate between these. These factors are likely  
313 to be due to gross differences in soil fabric and clay mineralogy; an aspect which  
314 requires further research. Soils in the remoulded state have been shown to exhibit more  
315 uniform shrinkage behaviour compared with undisturbed with the exception of their  
316 shrinkability index relationships. This reflects their homogeneity.  
317

318 The coincidence of the shrinkage limit with the water content at the peak bulk density  
319 achieved during the test has been observed for a majority of test. The reason for this is  
320 probably the progress of desaturation of the specimen during air drying, though its  
321 precise nature remains unclear. This relationship, and any departures from it, merit  
322 further research. The use of 'peak bulk density' as an alternative to the familiar  
323 shrinkage curve graphical construction (Fig. 1), in order to determine shrinkage limit,  
324 has been considered but does not apply to all the soils tested; as some did not produce  
325 a discernible peak bulk density during the test. It is suggested that this was due to  
326 fractures developing in the specimen during the test, leading to ambiguity in the  
327 measurement of volume, as was the case with several 'undisturbed' samples,  
328 particularly those from the Gault Formation. However, the problem of specimens  
329 fracturing during drying affects all shrinkage limit test methods.  
330

331 The shrinkage limit results obtained by using the ASTM D4943-08 (ASTM 2008)  
332 calculation applied to the SHRINKiT data have demonstrated good agreement with the  
333 normal SHRINKiT result obtained by graphical construction (Fig.1) for remoulded, and  
334 some undisturbed, samples with high initial degrees of saturation. However, many  
335 undisturbed samples, typically with initial degrees of saturation less than 90%, showed  
336 poor agreement and also in many cases gave non-linear plots during the initial phase of  
337 shrinkage. To further this line of investigation, and with the introduction of a moisture  
338 extractor apparatus, a small number of three-dimensional 'water content/bulk  
339 density/suction' syntheses have been made and an example of London Clay Formation  
340 shown. This gives a form of enhanced 'soil characteristic curve' which potentially  
341 encapsulates the full nature of shrinkage behaviour. However, this has not yet been  
342 done on remoulded samples.  
343

344 The factors that determine the outcome of shrinkage limit tests have been discussed by  
345 Sridharan & Prakash (1998) who state that plasticity (liquid limit and plasticity index)  
346 is a poor indicator, but that the grain size, 'packing' and fabric of the soil are  
347 determining factors. The results from the SHRINKiT tests described here, and in more  
348 detail in Hobbs *et al.* (2014), confirm this conclusion inasmuch as correlations with the  
349 other two Atterberg limits are generally poor, whereas the density relationships are  
350 indicative of a closer relationship between shrinkage limit and soil fabric. However, the  
351 influence of clay mineralogy and plasticity reveals itself in the development, or  
352 otherwise, of fractures during the test; the latter also being affected by drying rate. It is  
353 interesting to note, in the light of the above comments, and those of Sridharan &

354 Prakash (1998), that plasticity, specifically plasticity index, is frequently (and  
355 incorrectly) used in the foundation engineering and building industries as a surrogate  
356 for the direct measurement of shrinkage.

357  
358 Based on the shrinkage limit test results described in this paper and in Hobbs *et al.*,  
359 (2014) a proposed classification for the shrinkage limit of remoulded samples is shown  
360 in Table 4. Using this classification, all remoulded GB clays fall within the ‘low’ to  
361 ‘high’ classes with the exception of the Gault Formation sample (Gault5) which is ‘very  
362 high’. The London Clay Formation samples tested lie within the ‘high’ class while the  
363 tropical red clays tested lie within the ‘very high’ and ‘extremely high’ classes. The  
364 Oxford Clay Formation and Till samples tested lie within the ‘medium’ class.

365  
366 **Table 4. Proposed classification for shrinkage limit,  $w_s$  (remoulded samples only)**

$w_s$ (%)	Class description
<10	Low
10 - 15	Medium
15 - 20	High
20 - 30	Very high
>30	Extremely high

367  
368 It is proposed that such a classification, in this case based on shrinkage limit,  
369 particularly in relation to the in situ water content, would be more useful than those  
370 traditionally used by the building and construction industries based solely on plasticity  
371 index. A pragmatic approach would be to provide both shrinkage index and plasticity  
372 index data, thus giving the full range of water content behaviour across the Atterberg  
373 indices. The SHRINKiT test has the capability to provide extra information for industry  
374 in terms of measured volumetric strains and shrinkage anisotropy for undisturbed clay  
375 formations and derived compacted fill materials alike. The influence of structural and  
376 fabric features, such as joints and inclusions, found in the natural soil, is accounted for  
377 in this test method.

378  
379 **Conclusions**

380 The SHRINKiT method provides an alternative to the current BS methods and equivalent  
381 mercury immersion and wax coating methods and benefits from greater accuracy,  
382 safety and scope for research. Its applicability across a wide range of shrinkage  
383 behaviour has been demonstrated from British to tropical clay soils. Some basic  
384 relationships have been shown, for example with the shrinkage equivalents of plasticity  
385 and liquidity indices. The significance of the shrinkage limit and its sensitivity to  
386 undisturbed and remoulded sample states has been explored where matched samples  
387 were available. The use of water content at peak bulk density in the SHRINKiT test as a  
388 proxy for shrinkage limit (from graphical construction) has been indicated (with  
389 reservation) and the combining of shrinkage and suction data has also been  
390 demonstrated for remoulded samples. The SHRINKiT method, in common with other  
391 methods, performs poorly where the test specimen suffers major fractures during the  
392 test; the latter probably a function of plasticity, clay mineralogy and drying rate.

393  
394 Improved knowledge of the shrinkage behaviour of fine-grained soils can only benefit  
395 engineering and building practice, particularly where soils with high clay content and  
396 active clay minerals are involved. The range of water contents over which volume  
397 change occurs, based on laboratory tests, is a useful predictive tool for subsidence and  
398 heave in foundations and as a factor in geohazard assessment generally. To that end, a

400 soil classification for shrinkage limit has been put forward.

401  
402 The SHRINKiT method has the flexibility to test a wide variety of soil types and  
403 specimen states, some of which would be untestable by other standard or established  
404 methods. The shrinkage limit, as an Atterberg limit sensu strictu, should logically be  
405 applicable only to remoulded samples, the work with undisturbed samples described  
406 here provides additional insight into the true shrinkage behaviour of natural clay  
407 materials in the field and the reasons for departures from the behaviour measured with  
408 remoulded samples in laboratory tests and encountered in the use of engineered clay  
409 fills. Ideally, both sample states should be tested and the shrinkage sensitivity  
410 determined.

411  
412 **Fig. 1.** Schematic plot of water content vs. volume showing graphical construction to determine  
413 shrinkage limit (dashed lines), and other Atterberg Limits.  $w_s$ , Shrinkage limit;  $w_p$ , Plastic limit;  $w_L$ ,  
414 Liquid limit;  $I_s$ , Shrinkage index;  $I_p$ , Plasticity index.

415 **Fig. 2.** Water content vs. Volume per 100g dry soil, U for selected samples (SHRINKiT test) of GB  
416 soils by Formation / soil type

417 **Fig. 3.** Water content vs. Volume per 100g dry soil, U for selected samples (SHRINKiT test) of non-  
418 GB soils by Formation / soil type.

419 **Fig. 4.** Envelopes of Water content vs. Volume per 100g dry soil, U for all data (SHRINKiT test).

420 **Fig. 5.** Shrinkability index vs. Liquidity index for all data (by formation / soil type); sample GAULT5  
421 omitted for clarity; Und, Undisturbed; Rem, Remoulded

422 **Fig. 6.** Shrinkability index vs. Liquidity index for all data (by sample state).

423 **Fig. 7.** Water content vs. Bulk density, selected data, by formation / soil type (undisturbed samples only).

424 **Fig. 8.** Water content vs. Bulk density, selected data, by formation / soil type (remoulded samples only).

425 **Fig. 9.** Water content vs. Bulk density, tropical red clay soils (matched undisturbed/remoulded samples,  
426 refer to Table 2); Und, Undisturbed; Rem, Remoulded.

427 **Fig. 10.** Water content vs. Bulk density, selected GB & Cyprus data, by formation (matched  
428 undisturbed/remoulded samples, refer to Table 2); Und, Undisturbed; Rem, Remoulded.

429 **Fig. 11.** Shrinkage limit, vs. Water content at peak bulk density by formation/soil type and sample state  
430 (line shows 1:1 relationship); Und, Undisturbed; Rem, Remoulded.

431 **Fig. 12.** Three-axis plot of Water content vs. Unit volume vs. Suction for sample LONDON15

432 **Fig. 13.** Three-axis plot of Water content vs. Bulk density vs. Suction for sample LONDON15

433 **Fig. 14.** Shrinkage limit (SHRINKiT: graphical construction), vs. Shrinkage limit (SHRINKiT: ASTM  
434 calculation) by formation/soil type and state (dashed line, 1:1 relationship); Und, Undisturbed; Rem,  
435 Remoulded.

436

437

## 438 Notation

439

440 $G_s$	Specific gravity
441 $I_p$	Plasticity index ( $= w_L - w_p$ )
442 $I_s$	Shrinkage index ( $= w_p - w_s$ )
443 $L_s$	Linear shrinkage
444 Rem	Remoulded sample
445 $R_s$	Shrinkage ratio
446 $S_{n0}$	Degree of saturation at start of test
447 $w_0$	Water content at start of test
448 $w_L$	Liquid limit
449 $w_p$	Plastic limit
450 $w_s$	Shrinkage limit
451 LI	Liquidity index ( $= (w_0 - w_p)/I_p$ )
452 $\Psi$	Shrinkability index ( $= (w_0 - w_s)/I_s$ )
453 $\Delta V_{tot}$	Volumetric strain (total volume reduction during test, <i>dependent on w<sub>0</sub></i> )
454 U	Unit volume (volume per 100 g dry soil)
455 Und	Undisturbed sample

456

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463

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