

Can the optimisation of pop-up agriculture in remote communities help feed the world?

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1 **Abstract**

2 Threats to global food security have generated the need for novel food production techniques
3 to feed an ever-expanding population with ever-declining land resources. Hydroponic
4 cultivation has been long recognised as a reliable, resilient and resource-use-efficient
5 alternative to soil-based agricultural practices. The aspiration for highly efficient systems and
6 even city-based vertical farms is starting to become realised using innovations such as
7 aeroponics and LED lighting technology. However, the ultimate challenge for any crop
8 production system is to be able to operate and help sustain human life in remote and extreme
9 locations, including the polar regions on Earth, and in space. Here we explore past research
10 and crop growth in such remote areas, and the scope to improve on the systems used in
11 these areas to date. We introduce biointensive agricultural systems and 3D growing
12 environments, intercropping in hydroponics and the production of multiple crops from single
13 growth systems. To reflect the flexibility and adaptability of these approaches to different
14 environments we have called this type of enclosed system ‘pop-up agriculture’. The vision
15 here is built on sustainability, maximising yield from the smallest growing footprint, adopting
16 the principles of a circular economy, using local resources and eliminating waste. We explore
17 plant companions in intercropping systems to supply a diversity of plant foods. We argue
18 that it is time to consume all edible components of plants grown, highlighting that nutritious
19 plant parts are often wasted that could provide vitamins and antioxidants. Supporting human
20 life via crop production in remote and isolated communities necessitates new levels of
21 efficiency, eliminating waste, minimising environmental impacts and trying to wean away
22 from our dependence on fossil fuels. This aligns well with tandem research emerging from
23 economically developing countries where lower technology hydroponic approaches are
24 being trialled reinforcing the need for ‘cross-pollination’ of ideas and research development
25 on pop-up agriculture that will see benefits across a range of environments.

1. Introduction

An expanding global population is the root cause of fundamental environmental challenges faced today. Global population estimates predict a 35% increase from 7.3 billion to 11.2 billion by 2100 (UNDESA, 2014). With increases in population come amplified anthropogenic pressures on the environment (Harte, 2007), increased pollution (Cole and Neumayer, 2004) and reduced per capita land and resource availability (Sheikh, 2006; Vörösmarty et al., 2000). The cumulative impact of these issues is likely to negatively affect the sustainability of global resources and in turn the longevity of the human population.

By 2050 it is estimated that 66% of the global population will live in urban regions (UNDESA, 2014). In the UK, the Office for National Statistics documented an 8.1% increase in urban populations between 2001 and 2011 (Gower et al., 2013). Urbanisation in western societies further decreases available land as a result of developmental pressure from cities into surrounding agricultural areas (Despommier, 2010).

Anthropogenic climate change compounds the above issues as many tropical and sub-tropical countries, more vulnerable to the impacts of global warming, may see reductions in viable arable land due to the consequences of desertification and sea level rise (Le Houérou, 1996; Rosenzweig et al., 1994; Zhang and Cai, 2011). It is therefore pertinent that innovative and efficient food production techniques are implemented at a significant scale in order to mitigate the disparity between population growth and food production.

2. Closed Environment Agriculture

Whilst efforts are being made globally to mitigate climate change, thus reducing the rate of arable land loss, additional research has been undertaken to actively increase the amount of available space for crop production. This novel thinking has led to the creation of Closed Environment Agriculture (CEA), a term which encompasses a broad range of methods for the production of food within an enclosed environment (Jensen, 2001). The use of closed environments allows for control of many factors in the aerial environment, the root zone, and

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120 53 in irradiation (Rorabaugh et al., 2002). This can optimise plant growth and resource use
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122 54 efficiency whilst also enabling food production in previously unsuitable or unpredictable
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124 55 locations. Comprehensive control of the growing environment also allows for off-season
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126 56 production, eradicating seasonal time restrictions and generating multiple crops per year
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128 57 (Sabir and Singh, 2013). This technology may also provide an alternative agricultural output
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130 58 for areas affected by climate change, industrialisation and urbanisation, and may also reduce
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132 59 reliance on seasonal agricultural labour.

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135 60 Soil-less culture is enveloped within the umbrella term of CEA, and consists of
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137 61 aeroponic, aquaponic and hydroponic technologies. The latter pertains to a system of
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139 62 horticulture by which water is used as the primary growth medium, supplied with controlled
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141 63 concentrations of nutrient solution (Jensen and Collins, 1985). Hydroponics is not a novel
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143 64 technology, however, consistent and ongoing research is increasingly revealing the full
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145 65 potential of its applications. More specifically, hydroponics has been identified as a
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147 66 technology for the future as a tool for long-duration space travel (MacElroy et al., 1987; Smith
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149 67 et al., 2005) and disaster relief, as well as aiding climate change mitigation efforts
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151 68 (Despommier, 2013).

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154 69 Hydroponic techniques vary in design, though the general principles remain similar.
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156 70 As an alternative to soil, plants are cultivated in a water-based solution containing the
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158 71 nutrients essential for plant growth. Aggregate systems replace the traditional medium of
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160 72 soil, with an inert substrate used for structural support and its water retentive properties (e.g.
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162 73 coconut coir, Rockwool, vermiculite, sand, gravel) (Jensen, 1997). Alternatively, liquid (non-
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164 74 aggregate) systems have no supportive growing medium and roots are directly exposed to
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166 75 the nutrient solution (Marr, 1994).

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168 76 The most commonly employed hydroponic techniques include Deep Flow Techniques (DFT)
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170 77 and Nutrient Film Technique (NFT). Within DFT systems, crops are grown within raft-like
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172 78 structures on the surface of aerated nutrient solution, allowing for complete submersion of
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179 79 the root zone (Rodríguez-Delfín, 2011). The benefit of this approach is the simplicity of the
180 design and therefore relative ease of implementation. DFT is an 'open system' of
181 80 design and therefore relative ease of implementation. DFT is an 'open system' of
182 hydroponics where nutrient solutions are actively replaced at regular intervals. In contrast,
183 81 hydroponics where nutrient solutions are actively replaced at regular intervals. In contrast,
184 NFT is referred to as a 'closed system' due to the automatic filtration and recirculation of
185 82 NFT is referred to as a 'closed system' due to the automatic filtration and recirculation of
186 nutrient solutions (Rodríguez-Delfín, 2011). Here we extend the concept of CEA and soil-
187 83 nutrient solutions (Rodríguez-Delfín, 2011). Here we extend the concept of CEA and soil-
188 less culture systems to develop the concept of pop-up agriculture. Such agriculture is flexible
189 84 less culture systems to develop the concept of pop-up agriculture. Such agriculture is flexible
190 in that crops can be grown in relatively small areas as determined by particular
191 85 in that crops can be grown in relatively small areas as determined by particular
192 environmental limitations such as polar research stations, space capsules, remote offshore
193 86 environmental limitations such as polar research stations, space capsules, remote offshore
194 platforms or even school canteens, but the approach is not limited to small area agriculture.
195 87 platforms or even school canteens, but the approach is not limited to small area agriculture.
196 Pop-up agriculture embodies the aspiration to maximise the potential advantages of a more
197 88 Pop-up agriculture embodies the aspiration to maximise the potential advantages of a more
198 controlled environment to produce a more efficient circular system in which waste is limited
199 89 controlled environment to produce a more efficient circular system in which waste is limited
200 and/or re-used where possible and crops are grown and utilised to achieve maximal nutrient
201 90 and/or re-used where possible and crops are grown and utilised to achieve maximal nutrient
202 output for minimal resource input.
203 91 output for minimal resource input.

206 92 **3. History of hydroponics**

208 93 Originally, hydroponic techniques were developed for use within botanical research, though
209 not initially known by this name. William F. Gericke coined the term "hydroponics" in the 20th
210 94 not initially known by this name. William F. Gericke coined the term "hydroponics" in the 20th
211 Century after successful cultivation of tomatoes within a simple system comprised of buckets
212 95 Century after successful cultivation of tomatoes within a simple system comprised of buckets
213 filled with nutrient solution (Gericke, 1937). This innovation inspired the idea that food
214 96 filled with nutrient solution (Gericke, 1937). This innovation inspired the idea that food
215 production via hydroponics was viable on a larger scale. The development of computerised
216 97 production via hydroponics was viable on a larger scale. The development of computerised
217 systems during the 1980s allowed for the ultimate control of the enclosed environment, thus
218 98 systems during the 1980s allowed for the ultimate control of the enclosed environment, thus
219 leading to the realisation of hydroponics as a commercially viable food production technique
220 99 leading to the realisation of hydroponics as a commercially viable food production technique
221 (Sardare and Admane, 2013; Sengupta and Banerjee, 2012).
222 100 (Sardare and Admane, 2013; Sengupta and Banerjee, 2012).

225 101 Today, the most common theme in hydroponic research is the development of the
226 technology for efficient control of the microclimate in order to increase productivity and
227 102 technology for efficient control of the microclimate in order to increase productivity and
228 reduce costs (Jensen, 1997; Scoccianti et al., 2009). Nested within this general trend lies
229 103 reduce costs (Jensen, 1997; Scoccianti et al., 2009). Nested within this general trend lies
230 research regarding the specific elements of climatic control, including lighting systems
231 104 research regarding the specific elements of climatic control, including lighting systems
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105 (Ebisawa et al., 2008; Genovese et al., 2008; Martineau et al., 2012; McAvoy and Janes,
106 1983), nutrient solution composition and pH (Sardare and Admane, 2013; Tyson et al., 2008;
107 Velázquez et al., 2013), aerial and root zone temperature (Bugbee and White, 1984;
108 Papadopoulos and Tiessen, 1983; Sakamoto and Suzuki, 2015; Wu and Kubota, 2008) and
109 electrical conductivity (Cornish, 1992; Velázquez et al., 2013; Wu and Kubota, 2008). This
110 research couples technological advances with knowledge of plant physiology to produce the
111 most efficient and productive systems.

112 Use of an enclosed environment is both a strength and a weakness; the privilege of being
113 able to control environmental variables exhaustively necessitates the use of advanced
114 computer systems and sensory technology as well as provision of lighting, heating and/or
115 cooling, potentially equating to high energy costs (Jensen, 1997). Careful and accurate
116 regulation of environmental variables can produce yields of up to 20 times that of traditional
117 Open Field Agriculture (OFA) (Jensen, 1997). However, in order to achieve the full benefits
118 of ultimate environmental control, hydroponic systems require significant capital investment
119 to deliver such high yields (Ferguson et al., 2014; Sengupta and Banerjee, 2012). There are,
120 therefore, concerns that hydroponic systems may not currently be economically viable on a
121 larger scale and cannot compete with OFA methods (Jensen, 1997; Martineau et al., 2012).
122 However, OFA is not an option in certain areas of the world or in certain seasons. Hydroponic
123 systems allow the growing of higher value horticultural produce in areas of otherwise poor
124 quality land, or indoors. Also OFA and Hydroponics need to be compared in relation to their
125 carbon footprint and environmental sustainability particularly as we try to wean away from
126 our dependence on fossil fuels.

127 **4. Keeping Control of the Growing Environment**

128 Research and technological advancements ultimately aim to offset the costs of such
129 intensive systems via increases in efficiency, productivity and quality of produce (Jensen,
130 1997; Scoccianti et al., 2009). Much research has been undertaken into how to control

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297 131 individual variables most efficiently in order to generate the highest crop value (Buck et al.,
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299 132 2004; Martineau et al., 2012; Park and Kurata, 2009). Artificial lighting systems are perhaps
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301 133 the most energy-demanding element of hydroponic cultivation (Martineau et al., 2012), and
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304 134 have generated a considerable body of research. In the past, High Pressure Sodium (HPS)
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306 135 light treatments were used to extend photoperiod and increase yields; however, a large
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308 136 amount of waste heat was generated (McAvoy and Janes, 1983). More recently, LED lighting
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310 137 systems have been highlighted as a means of reducing energy costs (Brown et al., 1995;
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312 138 Martineau et al., 2012) and may also benefit crop growth (Chin and Chong, 2012; Sabzalian
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314 139 et al., 2014). Martineau et al. (2012) reported energy savings of up to 33.8% being achieved
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316 140 through use of LEDs. The ability to control light intensity and photoperiod eliminates
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318 141 seasonality, allowing for year-round crop production (Rodríguez-Delfín, 2011). In addition,
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320 142 aerial environmental factors, such as temperature and humidity, must be regulated
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322 143 consistently to complement lighting regimes. The effective interaction of these elements can
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324 144 enhance crop quality, growth and yields (Buck et al., 2004).

326 145 Containment has the additional benefit of considerably decreasing the chances of
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328 146 exposure to pests and diseases (Sardare and Admane, 2013). A lack of soil equates to a
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330 147 reduction in the risk of soil-borne plant pathogens (Biebel, 1960). In turn, pesticide and
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332 148 herbicide requirements are reduced, thus minimising environmental pollution and waste
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334 149 production (Sardare and Admane, 2013). However, counter to this, where containment and
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336 150 biosecurity procedures are breached, disease and pest outbreaks can spread rapidly within
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338 151 the facility, as well as leading in turn to risks of their release or escape into the neighbouring
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340 152 natural environment. In some parts of the world, such as in Antarctica, such introductions of
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342 153 alien species and pathogens into ecosystems that currently host no, or few, alien species,
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344 154 are recognised as one of the greatest threats to native biodiversity and ecosystem function,
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346 155 as well as to the regulatory framework governing the continent (Frenot et al., 2005;
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348 156 Greenslade et al., 2006; Hughes and Convey, 2012).

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356 157 The consistency and efficiency of regulation of the microclimate will be subject to the
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358 158 robustness of containment of the system. Such systems also often require ventilation and
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360 159 gas exchange to the outside and this must be considered when implementing such
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362 160 technologies in areas where the climate is considered to be unsuitable for food production.
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364 161 The design of the system will vary dependant on location as no one system is cost effective
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366 162 for every climate (Jensen, 2001). Its structural integrity must be sufficient to provide
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368 163 protection from the elements, factors that are specific to each location. If inadequate
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370 164 consideration is given to maintaining structural integrity and optimum environmental
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372 165 conditions, then the system will not be economically viable (Jensen, 2001).
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376 166 **5. The Future of Hydroponics**

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378 167 Maximising efficiency and productivity is key for the successful future of hydroponic
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380 168 technology. Although primarily a technique for high value food production, applications are
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382 169 still expanding, providing solutions to issues far removed from the general principles of the
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384 170 technique. For instance, it has been suggested that hydroponic cultivation could be the key
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386 171 to large-scale implementation of urban vertical farms (Despommier, 2013; Martellozzo et al.,
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388 172 2014). Vertical farming in itself is a novel concept whereby crops are grown within stacked
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390 173 hydroponic units, hence utilising the large amounts of vertical space within urban areas
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392 174 where ground space is limited (Martellozzo et al., 2014). This concept aims to provide an
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394 175 alternative source of food into the future and reduce, possibly drastically, the need for
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396 176 reliance on traditional agriculture (Despommier, 2013). Despommier (2010) also suggested
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398 177 that this approach may clear surplus agricultural land leading to increased biodiversity levels
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400 178 and attenuating global warming through higher carbon sequestration.
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403 179 A number of studies have also suggested that governmental inputs would benefit
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405 180 the advancement of hydroponic technology (Jensen, 1997; Sardare and Admane, 2013;
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407 181 Sengupta and Banerjee, 2012). Jensen (1997) explains the role of the US government in
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409 182 assisting co-generation projects where excess heat from power generation plants was
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415 183 used to heat greenhouses. A number of facilities were considered but development was
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417 184 constrained by the complexity of such integration.
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419 185 **6. Growing food in remote communities**

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421 186 Each natural environment presents its own specific challenges. Therefore, it is the
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424 187 overarching aim of CEA technology to be a sufficient and consistent method of food
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426 188 production within a range of environments. Current research ultimately aims to reduce
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428 189 resource requirements by means of educated system design and integration of the
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430 190 technology with the surrounding environmental conditions. Capitalising on the beneficial
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432 191 aspects of a given climate (e.g. greater light intensity) and using these gains to offset and
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434 192 minimise antagonistic aspects (e.g. low water availability) will allow development of
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436 193 economically viable systems which may minimise resource use and, in turn, the associated
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438 194 environmental impacts.
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440 195 **6.1 Pop-up food production in polar regions**

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442 196 Conventional agriculture is not possible within the polar regions due to unfavourable soil
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444 197 conditions, temperature limitations and highly variable seasonal light conditions. Indigenous
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446 198 populations have survived within the Arctic on a hunter-gatherer diet since soon after the
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448 199 retreat of the northern ice sheets after the last ice age, living a more nomadic lifestyle to
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450 200 ensure the sustainability of food sources (Kuhnlein and Receveur, 1996). Nowadays, a shift
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452 201 in food availability and supply logistics has led to a divergence from a traditional diet to one
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454 202 which is mostly imported from lower latitudes, and traditional food sources now account for
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456 203 only 10-36% of the average adult diet (Kuhnlein et al., 2004). In the Canadian Arctic, this
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458 204 has been accredited to colonialism and the introduction of Hudson's Bay stores in the late
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460 205 19th Century (Kuhnlein et al., 2004). In turn, there has been a lifestyle shift to a more
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462 206 sedentary way of living, also generating diet-related health concerns (Young, 1996).
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465 207 Unlike the Arctic, the Antarctic has no history of indigenous human population. Human
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467 208 exploration of the continent and surrounding isolated islands commenced in the last 1-3
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474 209 centuries, with human occupation associated with research stations starting after the Second
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476 210 World War. Contemporary human presence on the continent relies entirely on imported food,
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478 211 including fresh fruit and vegetables. Due to extreme environmental conditions during the
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480 212 austral winter, resupply ships are only able to bring food and other resources to the continent
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482 within a maximum 5 month window during the summer (Bamsey et al., 2015). After the final
483 213 resupply of the summer season, overwintering staff must survive on mostly frozen, canned
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485 214 and dried foods once fresh food stores have been depleted (Potter, 2010). In some stations,
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487 215 this diet is supplemented by greenhouse or hydroponically grown produce (Potter, 2010).
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489 216 Hydroponics systems in these stations not only provide benefits to physical health via the
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491 217 availability of fresh food, but also aid mental wellbeing during the dark isolated winter months
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493 218 (Bates et al., 2009).
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497 220 Hydroponics has been in use within Antarctica since the 1960s (Scozzianti et al.,
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499 221 2009). Hill (1967) provides a description of an attempt to grow salad crops on the Brunt Ice
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501 222 shelf using hydroponics and motivated by what was possible. From the 1960s onwards more
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503 223 than 46 different crop growth facilities have been or are currently in operation in the Antarctic,
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505 224 with a total of nine research stations still operating hydroponics systems (Bamsey et al.,
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507 225 2015). In the past, crops were also grown within traditional greenhouses and wooden
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509 226 structures, often affixed to the outside of existing buildings (Bamsey et al., 2015), although
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511 227 both these and more formal hydroponics systems have proved repeatedly to be a source of
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513 228 biosecurity concerns, both in terms of alien species being introduced to and existing
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515 229 synanthropically within the facilities, and instances of their escape into the surrounding
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517 230 environment, in some cases further becoming established (Frenot et al., 2005). A good
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519 231 example of a non-native micro-arthropod species being introduced via a hydroponic system
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521 232 and subsequently contained is that of *Xenylla* sp., a collembolan discovered in 2014 at Davis
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523 233 Station, East Antarctica (Bergstrom et al., 2017). The incursion was identified and
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525 234 eradicated, but the event also highlighted the need for several levels of control. The Antarctic
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533 235 Treaty System is the agreed legislative framework for the region. Alongside the Treaty itself,
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535 236 which says little about Antarctic conservation, the Protocol on Environmental Protection to
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537 237 the Antarctic Treaty (entered into force 1998) is the instrument concerned with general
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539 238 Antarctic protection and conservation (Blay, 1992). Mindful of the region's pristine nature,
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541 239 the low level of species introductions at present, and its importance for scientific research,
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543 240 those negotiating the Protocol set some of the highest legislative standards found globally
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545 241 concerning non-native species (Hughes and Pertierra, 2016). Annex II 'Conservation of
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547 242 Antarctic Fauna and Flora' states that non-native plants and animals shall not be introduced
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549 243 to Antarctica without a permit (with the exception of imported foods) and that any species
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551 244 found shall be removed or disposed of unless it is shown that they pose no risk to native
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553 245 biota (ATS, 2009). However, it is not clear whether or how the Protocol applies to species
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555 246 introduced accidentally rather than deliberately, or where liability for consequential costs
556
557 247 might lie (see Hughes and Convey, 2014, for discussion of these issues). To help with
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559 248 implementation of Annex II, the Treaty Parties developed the 'Non-native Species Manual'
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561 249 in 2011, which was substantially revised in 2017 (ATS, 2017). The manual provided Parties
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563 250 with advice on biosecurity issues generally, and included specific but basic guidelines on
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565 251 how to minimise and contain any biosecurity risks associated with hydroponic systems in
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567 252 Antarctica (Australia and France, 2012; Grewal et al., 2011).

570 571 253 **6.2. Food in Space**

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573 254 During the 20th Century, it was suggested that hydroponics may be used within space travel
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575 255 and habitation (MacElroy et al., 1987). Food for crew members aboard the International
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577 256 Space Station (ISS) is pre-prepared, packaged and then sent in unmanned resupply vessels
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579 257 along with scientific equipment and other necessary supplies. It is vitally important that the
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581 258 nutritional requirements of crew members are met via a varied diet, especially for future long-
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583 259 duration space missions (Smith et al., 2005). Long-duration space missions will not have the
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585 260 luxury of regular resupply, and systems such as hydroponics will necessarily form part of
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592 261 life-support systems, providing dietary support as well as water recycling, atmospheric
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594 262 regeneration and waste processing (Mitchell, 1994). Biosecurity, health and food standards
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596 263 are clearly implicit in the design and development of such systems to mitigating any possible
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599 264 risks. For plant production, hydroponic crop generation is integrated with supplementary life
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601 265 support systems, improving system sustainability and reliability (Wheeler et al., 1996). Such
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603 266 systems are known as Bioregenerative Life Support Systems (BLSS) and were initially
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605 267 studied by the U.S. Air Force during the 1950s and 1960s (Wheeler and Sager, 2006). The
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607 268 National Aeronautics and Space Administration (NASA) began conducting research within
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609 269 this field independently during the 1960s and by 1985 had initiated their Controlled
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611 270 Ecological Life Support System (CELSS) project (Wheeler and Sager, 2006). The CELSS
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613 271 project involved the use of atmospherically sealed containers, formerly hypobaric test
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615 272 chambers, for simulated bio-regenerative crop production (Prince and Knott III, 1989) known
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617 273 as Biomass Production Chambers (BPCs).

619 274 During the 1990s, NASA, in collaboration with the National Science Foundation Office
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621 275 of Polar Programmes, developed a testbed for the CELSS programme. The CELSS Antarctic
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624 276 Analog Project (CAAP) was undertaken at the Amundsen-Scott South Pole Station and was
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626 277 designed to determine feasibility and further develop the technologies for life support
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628 278 systems (Straight et al., 1994). This analogue was chosen due to similarities in
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630 279 developmental and design limitations between polar stations and spacecraft, including
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632 280 energy and resource constraints, biosecurity concerns, and isolation and space limitations
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634 281 (Bubenheim et al., 2003). BPCs contained 20 m² of growing area and 113 m³ of atmospheric
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636 282 volume, which was designed to support only one individual (Wheeler and Sager, 2006).
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638 283 Though innovative at the time, this research highlighted issues surrounding space availability
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640 284 and area-use efficiency. The CAAP was primarily developed to investigate methods by which
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642 285 energy efficiency, productivity and area utilisation could be maximised (Bubenheim et al.,
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644 286 2003). During the 2000s International Space Station crew members have grown edible
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287 plants such as peas in a space garden, including in the Lada space greenhouse system in
288 the Russian segment (Sychev et al., 2007). A range of crops for cultivation in space have
289 been suggested including lettuce, tomato, cabbage, radish, carrot, chard, green onion,
290 pepper, strawberry, mizuna and several herbs (Wheeler, 2009). Recently, NASA crew have
291 used a plant growth system called Veggie (Massa et al., 2016) developed by Orbital
292 Technologies Corporation (ORBITEC) to grow such edible plants. The Veggie system is
293 designed to have low power consumption, low launch mass and minimal operator
294 intervention. In addition, therapeutic plant care is likely to be a benefit for crew member
295 health and wellbeing through the restorative effect of contact with nature, as has been
296 reported in studies on Earth (Schebella et al., 2017).

297 **7. What to Grow in Antarctica, and in Space?**

298 Few stations currently operate hydroponics units within Antarctica; however, between them
299 a wide range of crops are cultivated. The Australian Antarctic Division (AAD) currently
300 operate three of the nine existing hydroponics systems at their Casey, Mawson and Davis
301 research stations. These facilities grow a range of crops including lettuce, celery, cucumbers,
302 tomatoes, chilies, onions, silver beet and a variety of herbs (Bamsey et al., 2015). During
303 the austral summer of 2012–2013, the Davis facility produced a total edible yield of 237 kg.
304 However, 420 kg of green waste was also incinerated (Sheehy, 2013; as cited in Bamsey et
305 al., 2015).

306 At an Italian Station at Terra Nova Bay in Victoria Land, lettuce, zucchini and
307 cucumber were grown during the original experiments and were cultivated only during the
308 austral summer, as the station is not a wintering station (Bamsey et al., 2015). Lettuce plants
309 performed well and, during the second trial season, approximately 2.5 kg/m² was harvested
310 (Campiotti et al., 2000). Zucchini and cucumber plants grew well but, due to the short period
311 of cultivation (40 days), were unable to fruit (Campiotti et al., 2000). During the 2001–2002

709
710 312 summer season, fruit crops, such as tomatoes and strawberries, were successfully
711
712 313 introduced to the system (Scoccianti et al., 2009).
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714 314 The vast majority of crops cultivated within Antarctica are tall fruiting crops, lettuce
715
716 315 varieties, leafy greens and herbs, due to their ease of cultivation. Although these provide
717
718 316 vital minerals and vitamins to staff, a lack of crops high in carbohydrates and fat means that
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721 317 current produce serves primarily as a supplement to a mostly canned and dry food diet.
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723 318 Though this does not pose much of an issue for staff on Antarctic research stations, in order
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725 319 for these systems be viable for space missions, further advances must be made in order to
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727 320 reduce the high inputs required for more nutritionally valuable crops.
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729 321 It is pertinent to cultivate 'staple' crops which are considered more nutritious and will
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731 322 contribute to a higher proportion of overall dietary requirements (Wheeler et al., 1996).
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733 323 However, higher output requires greater input and so a balance must be achieved between
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735 324 harvest index, nutritional requirements, processing and horticultural needs (Wheeler, 2017).
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737 325 During the course of the CELSS programme, researchers at the Kennedy Space Centre
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739 326 cultivated a mixture of leafy greens, starchy vegetables, grains and fruits. Most commonly
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741
742 327 used were wheat, rice, potato, sweet potato, soybean, peanut and lettuce (Hoff et al., 1982).
743
744 328 Additional benefits of growing crop plants within the Biomass Production Chambers included
745
746 329 removal of CO₂, generation of O₂ and waste water purification (Stutte, 2006).
747

748 330 During the CAAP program, crops were chosen based on nutritional content, versatility
749
750 331 and processing requirements (Bubenheim et al., 2003). Crop lists for these experiments
751
752 332 varied slightly from previous BLS experiments, consisting primarily of leafy vegetables, herbs
753
754 333 and salad vegetables with minimal carbohydrate contribution. Two hydroponic studies were
755
756 334 undertaken within the CAAP testbed crop production chamber which both aimed to
757
758 335 demonstrate production capacity of the system; the first was a batched lettuce crop trial and
759
760 336 the second a continuous mixed crop trial (Bubenheim et al., 2003). Results of these two
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762 337 studies suggested that although the lettuce crop had a greater production efficiency, the high
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338 diversity of the mixed crop trial offered an increased calorific contribution, offsetting the lower
339 yields (Bubenheim et al., 2003). This suggests that the nutritional benefits offered by a higher
340 variety crop list would offset the reduced yields.

341 **8. Learning to produce more with less: a blueprint for the future**

342 **8.1 Space availability**

343 Space is a major limitation for hydroponic systems in urban areas, and even more so in
344 polar stations and spacecraft. In Antarctica, hydroponics units have ranged from a 0.8 m²
345 benchtop system at Scott Base to the 50 m² South Pole Food Growth Chamber (SPFGC)
346 at Amundsen-Scott South Pole Station (Bamsey et al., 2015). Space available within the
347 SPFGC was deemed sufficient to provide 100% of the vegetable requirements for 35 over-
348 wintering station staff (Straight et al., 1994). The average size of current systems is
349 approximately 24 m² and, although this is not of sufficient size or efficiency to substantially
350 influence a station's logistics, these systems are still considered beneficial (Bamsey et al.,
351 2015).

352 In addition to the CAAP in Antarctica, research for agriculture in space has been
353 undertaken by numerous countries, all aiming to provide sufficient life support systems within
354 limited space (Wheeler, 2017). During the 1990s, Japanese scientists developed the
355 Controlled Environment Experiment Facility which contained 150 m² of growing space,
356 providing sufficient food, air and water supplies for two people and two goats (Tako et al.,
357 2010). Most recently, Chinese researchers at Beihang University were able to provide 100%
358 of oxygen needs and 55% of food requirements for three people using only 69 m² of growing
359 space (Fu et al., 2016). These advances in space utilisation were achieved via research into
360 novel technologies such as LEDs, vertical farming, innovative water delivery systems and
361 novel waste recycling processes (Wheeler, 2017). Research into hydroponics in space as
362 well as in terrestrial systems is mutually beneficial for progress with regards to space
363 utilisation practices for both applications (Wheeler, 2017).

8.2 Aeroponics

A variation of hydroponics called aeroponics, in which the water and nutrient solution is delivered to the plant root system as an aerosol, was reviewed for crop growth by Gopinath et al. (2017). The advantage of such a system being that the root zone remains highly aerated and no separate aeration system is required. Aeroponics has received attention in areas such as the development of seed potatoes where aeroponics allows the advantages of hydroponics in developing tubers in a clean nutritious environment with fewer potential soil borne contaminants while not requiring tubers to be immersed in water (Buckseth et al., 2016; Margaret Chiipanthenga, 2012). Aeroponics shares the improvement in water use efficiency attributed to hydroponic systems (Barbosa et al., 2015), and of particular note for efficient production of crops in pop-up systems, aeroponics allows spatial flexibility in the design of growth areas with the possibility to improve crop density. In particular in combination with flexible point sources of illumination, such as that possible using LEDs, the delivery of water by aerosol allows plants to be grown across different shaped surfaces, for instance an early example of aeroponics illustrated growing plants on two sides of a triangle (Abou-Hadid et al., 1994). Such flexibility will allow different spatial orientations of plants and lights to be optimised, in particular such designs have the potential to provide highly novel solutions for crops grown under microgravity in space capsules.

8.3 Bio-intensive Agriculture (BIA)

BIA is one method which uses space-saving agricultural techniques and mixed planting to maximise space use efficiency (Jeavons, 2001). A similar approach is taken in SPIN (small plot intensive) farming for use in backyards and small (less than one acre) urban spaces (Christensen, 2007), and may be traced back to prehistoric intensive midden cultivation (Guttmann, 2005). Although BIA is a soil-based technique, several of the broader principles are transferable to hydroponics, including companion planting, intensive planting

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390 arrangements and 3D structuring (Jeavons, 2001). This design has shown great potential,
391 and was described by Glenn et al., (1990) during the Biosphere II trials. These principles are
392 not novel and originated from Alan Chadwick's 'Biodynamic French Intensive Method' during
393 the 1960's (Chadwick, 2008).

394 **8.4 Intercropping Systems**

395 An additional method for maximising productivity is the space utilisation method of
396 intercropping. This technique describes the cultivation of two or more crop species together
397 in the same space (Li et al., 2014). Shorter crops, such as lettuce varieties, can be planted
398 interspersed between taller crops, such as tomatoes, utilising the space between larger
399 plants which would usually remain unoccupied. The interspecific interactions between
400 intercropped plants have been suggested to positively influence below-ground resource use
401 efficiency (Hauggaard-Nielsen and Jensen, 2005) and pest management (Fagan et al.,
402 2014; Parker et al., 2013) in addition to space utilisation. However, the vast majority of
403 investigations in this area has involved traditional soil-based systems, with little reference to
404 hydroponics.

405 Certain crops have been shown to either positively or negatively affect the growth and
406 survival of neighbouring plants. Commercial horticultural texts provide basic information on
407 which combinations of crops work best when planted together but do not provide the
408 underlying scientific principles behind such companionships. Information is largely based on
409 circumstantial evidence with little academic evidence. However, there has been an increase
410 in research since the turn of the century to more comprehensively determine the credibility
411 of these suggestions (Bomford, 2009; Li et al., 2014; Parolin et al., 2015). With regards to
412 hydroponics, these effects may be encountered when utilising recirculating or dual-culture
413 hydroponic systems. These systems reduce environmental and economic costs via recycling
414 and recirculation of the nutrient solution (Bugbee, 2004). In some cases, the production of
415 bioactive root exudates may offer the benefit of increased growth (Stutte, 2006).

945
946 416 Organic compounds exuded by plant roots may increase the uptake of micronutrients
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948 417 by other plants (Mackowiak et al., 2001); however, the mode of action of this process
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950 418 remains little understood (Stutte, 2006). For example, a bioactive compound produced in
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952 419 hydroponically grown potatoes, known as TIF (Tuber Inducing Factor), was found to
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954 420 enhance the harvest index of several crop species, showing potential within dual culture
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956 421 systems (Edney et al., 2001). Similarly, research conducted by Schuerger and Laible (1994)
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958 422 on the biocompatibility of wheat and tomatoes within a dual-culture system showed that there
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960 423 were no significantly adverse effects on either species. Their results indicated that
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962 424 intercropping of multiple species is a viable space utilisation method. It was also suggested
963
964 425 that root zone competition may have led to a slight increase in wheat yield. Mixed cropping
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966 426 has also been assessed for space exploration and no negative effects detected when
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968 427 growing radish, lettuce and bunching onion together hydroponically (Edney et al., 2006).

971 428 Alternatively, bioactive root exudates may have allelopathic effects, negatively
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973 429 affecting growth and productivity (Lee et al., 2006; Li et al., 2010; Mortley et al., 1998).
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975 430 Mortley et al. (1998) showed that allelopathic compounds released into the nutrient solution
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977 431 by sweet potato inhibited the growth and yield of peanut plants. Therefore, it is necessary to
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979 432 understand which species are viable companion species when considering multi-culture
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981 433 systems. This information is widely available for traditional agriculture (Cunningham, 2000),
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983 434 but it is yet to be determined whether it is transferrable to hydroponic systems, and so as
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985 435 multispecies plant systems increase in popularity, biocompatibility must be carefully
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987 436 considered (Schuerger and Laible, 1994).

990 437 **8.5 Root-to-Shoot Diets**

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992 438 In Antarctic hydroponic units a large proportion of green waste is produced, generating
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994 439 losses in productivity and additional practical challenges and costs in disposal (Bamsey et
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996 440 al., 2015). All waste (with the exception of sewage and grey water) must be either incinerated
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998 441 (which uses fuel) or stored and then removed from the Antarctic Treaty area. In order to
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1005⁴⁴² maximise the output it is beneficial to minimise biological waste via the cultivation of crops
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1007⁴⁴³ which are high in edible biomass. Cultivation of high edible value crops such as lettuce
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1010⁴⁴⁴ varieties, cabbages, leafy greens and herbs would maximise the productivity of hydroponic
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1012⁴⁴⁵ systems. However, as mentioned previously, these crops have a lower overall nutritional
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1014⁴⁴⁶ contribution to diets than fruiting crops and root vegetables (Bubenheim et al., 2003).
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1016⁴⁴⁷ Alternatively, green waste could be reduced via consumption of edible by-products which
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1018⁴⁴⁸ would traditionally be disposed of. This "Root to Shoot" ideology addresses the need to
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1020⁴⁴⁹ reduce commercial and domestic food waste, and aims to find novel uses for what are
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1022⁴⁵⁰ typically regarded as 'waste products' (Youngman, 2016).

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1024⁴⁵¹ Many food crops have secondary edible parts in addition to the commonly edible
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1027⁴⁵² portion, which are not generally consumed due to comparatively unfavourable flavour or
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1029⁴⁵³ texture (Stephens, 2005). This includes stems, leaves, flowers and roots. Culinary
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1031⁴⁵⁴ professionals invent novel ways in which to incorporate these by-products into the common
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1033⁴⁵⁵ diet to increase their palatability (Youngman, 2016). However, some plant parts may be
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1035⁴⁵⁶ inedible and possibly even poisonous. For example, vegetables of the 'Nightshade'
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1037⁴⁵⁷ (*Solanaceae*) family, including tomato, potato, eggplants and peppers, contain toxic
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1039⁴⁵⁸ glycoalkaloids (Carman Jr et al., 1986). Also referred to as solanine, concentrations of this
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1041⁴⁵⁹ chemical are lowest in the fruits/tubers and so are non-toxic; however, high concentrations
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1044⁴⁶⁰ are present in the foliage which should therefore not be consumed (Slanina, 1990). In
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1046⁴⁶¹ contrast, the phenolic compounds found in the roots, stalks and leaves of some plants are
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1048⁴⁶² high in antioxidants (Otles and Yalcin, 2012). For example, nettle roots (*Urtica dioica*) have
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1050⁴⁶³ high phenolic and antioxidant activity (Otles and Yalcin, 2012). The same is true for the
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1052⁴⁶⁴ Indian pennywort (*Centella asiatica*), native to Asian wetlands and used to treat a range of
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1054⁴⁶⁵ ailments including kidney problems, cancer and bronchitis (Jaganath and Ng, 2000; Kan,
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1056⁴⁶⁶ 1986; Zainol et al., 2003).

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1064⁴⁶⁷ The "Root to Shoot" principle needs further investigation and is particularly attractive
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1066⁴⁶⁸ in hydroponics as all plant components are clean and accessible. During space exploration,
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1069⁴⁶⁹ uneaten plant parts could have considerable potential for conversion to bio-based materials
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1071⁴⁷⁰ or use as a feedstock for bioreactors. There is significant scope to harvest and utilise
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1073⁴⁷¹ biomass and plant components that would otherwise be discarded, and even scope for
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1075⁴⁷² bioprospecting novel compounds. However, detailed analyses of nutrition, potential toxicity
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1077⁴⁷³ and contamination are required in order to minimise any potential risks to human health.

1078 1079⁴⁷⁴ **8.6 Circular economics**

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1081⁴⁷⁵ Recent innovations in energy, nutrient solutions and lighting sensors can now be exploited
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1083⁴⁷⁶ to assemble automated crop growing systems based on the principles of the circular
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1086⁴⁷⁷ economy. Circular economics was first introduced by David Pearce and R. Kerry Turner in
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1088⁴⁷⁸ 1990 (Pearce and Turner, 1990) and attempts to integrate the energy and resource cycling
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1090⁴⁷⁹ principles of natural systems into industrial and economic systems (Geng and Doberstein,
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1092⁴⁸⁰ 2008) . A link is created between waste and primary resources in a similar way to that of
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1094⁴⁸¹ natural systems; for example, nutrient recycling of waste plant biomass back into the soil.
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1096⁴⁸² These techniques have been developed in an effort to promote resource minimisation and
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1098⁴⁸³ generate more environmentally sustainable development (Andersen, 2007). This principle
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1100⁴⁸⁴ revolves around the notion that a closed system is one in which resources can be more
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1103⁴⁸⁵ sustainably maintained than that of traditional linear industrial systems.

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1105⁴⁸⁶ Antarctic research stations operating during the austral winter represent the ideal
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1107⁴⁸⁷ model for closed systems. They have limited access to the outside world and the importing
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1109⁴⁸⁸ of goods and exporting of waste are both largely impossible. Circular economic principles
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1111⁴⁸⁹ implemented at the stations can optimise resource use during the winter, and this also
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1113⁴⁹⁰ applies within hydroponic facilities. For temperature control, intelligent building design
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1115⁴⁹¹ could be used to exploit heat sources and sinks (Agoudjil et al., 2011). Waste water could
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1117⁴⁹² be filtered recirculated using the Nutrient Film Technique (NFT) which is a closed system
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1123⁴⁹³ of hydroponics (Rodríguez-Delfín, 2011). In addition, local precipitation could be harvested
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1125⁴⁹⁴ and recycled (Helmreich and Horn, 2009; Kurunthachalam, 2014) and even integrated
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1128⁴⁹⁵ energy could be captured locally (e.g. solar, wind). This can be combined with efficient
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1130⁴⁹⁶ LED technology which has high energy efficiency a long life-cycle and low maintenance
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1132⁴⁹⁷ costs (Singh et al., 2015) and provides a safe working environment with no glass
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1134⁴⁹⁸ coverings, low touch temperatures and no mercury to dispose (Massa et al., 2016).

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1136⁴⁹⁹ **9. How we share and exploit this knowledge to design crop production systems**
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1138⁵⁰⁰ **that respond to food security threats in economically developing countries?**

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1140⁵⁰¹ Growing crops using the minimum of resources to sustain human life clearly has the greatest
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1142⁵⁰² value and potential impact in economically developing countries. Research is already
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1145⁵⁰³ emerging within such countries using what Orsini et al. (2013) describe as ‘simple
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1147⁵⁰⁴ hydroponics’. In stark contrast to polar and space research, access to advanced growing
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1149⁵⁰⁵ resources and strategies represents the most significant challenge here (McCartney and
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1151⁵⁰⁶ Lefsrud, 2018). However, charitable aid could and should be directed specifically towards
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1153⁵⁰⁷ plant growing facilities (e.g. seeds, containers, LEDs, solar power, indoor systems etc.) or
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1155⁵⁰⁸ even outdoor systems that use solar radiation.

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1157⁵⁰⁹ Hydroponics is space and water efficient but energy inefficient compared to soil-
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1159⁵¹⁰ based horticulture (Barbosa et al., 2015). The balance of cost benefit in adopting popup
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1162⁵¹¹ systems will likely depend on which resources are limiting and/or costly in the local
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1164⁵¹² environment and which can be provided, perhaps by sustainable technologies. Therefore
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1166⁵¹³ equatorial regions with low water availability, degraded soils and high sunlight may favour a
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1168⁵¹⁴ form of hydroponics/aeroponics if solar panels can be used for energy. McCartney and
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1170⁵¹⁵ Lefsrud (2018) also recently reviewed protected agriculture systems in extreme
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1172⁵¹⁶ environments and highlight the need for cooling and ventilation systems in tropical regions
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1174⁵¹⁷ but heating in polar regions (McCartney and Lefsrud, 2018).

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1182⁵¹⁸ Social capital is high in economically developing countries so some technological
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1184⁵¹⁹ aspects of plant husbandry might be by-passed via human collaboration. However, there is
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1186
1187⁵²⁰ a need for knowledge to be communicated about the value of hydroponic systems. Also the
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1189⁵²¹ control of such systems often relies on information and communications technology (ICT).
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1191⁵²² There is evidence that mobile phones are being used widely as the core ICT in economically
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1193⁵²³ developing countries. For example, in a study of 202 South African universities, 36% of
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1195⁵²⁴ students tested used a mobile phone for health information (Cilliers et al., 2017). Also a study
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1197⁵²⁵ in Uganda showed that in women there was a link between mobile phone ownership and
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1199⁵²⁶ dietary diversity and empowerment (Sekabira and Qaim, 2017). Research is also emerging
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1201⁵²⁷ from developing countries on the use of mobile phones to operate sensors for hydroponics
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1204⁵²⁸ (Ibayashi et al., 2016; Peuchpanngarm et al., 2016; Ruengittinun et al., 2017; Sihombing et
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1206⁵²⁹ al., 2018). Hence, mobile phone technology may be a central vehicle that facilitates
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1208⁵³⁰ information about new crop production systems also useful for sensor and system control in
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1210⁵³¹ economically developing countries.

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1212⁵³² A further challenge to growing crops in economically developing countries is access
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1214⁵³³ to inorganic sources of fertilizer. This is not an issue for polar and space crop production but
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1216⁵³⁴ finding alternative sources of nutrients is a necessity if crop production systems are ever to
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1218⁵³⁵ become sustainable. Fertilizers from organic origin (animal and even human sources)
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1221⁵³⁶ represent a resource to grow plants and aligns well with the principle of circular economics
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1223⁵³⁷ promoted in this review. Research in economically developing countries already highlights
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1225⁵³⁸ the potential of exploiting animal manures in hydroponics for plant growth (Abd-Elmoniem et
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1227⁵³⁹ al., 2001; Capulín-Grande et al., 2000). Further, human urine may be exploitable as a plant
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1229⁵⁴⁰ fertilizer (Andersen, 2007; Andersson, 2015; Chrispim et al., 2017; Mnkeni et al., 2008).

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1231⁵⁴¹ For both polar/space and economically developing countries there is a need to focus
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1233⁵⁴² more on staple crops. Previously the CELLS space programme tested some starchy
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1235⁵⁴³ vegetables including potato. Crops high in carbohydrate would also be particularly valuable
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1241⁵⁴⁴ in economically developing countries and some research has already developed looking at
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1244⁵⁴⁵ potato and yam propagation in aeroponic systems (Margaret Chiipanthenga, 2012; Maroya
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1246⁵⁴⁶ et al., 2014). Further, research is also needed on the use of hydroponics to deliver high
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1248⁵⁴⁷ protein crops (e.g. pulses and legumes) and there may even be benefits if plants can fix their
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1250⁵⁴⁸ own nitrogen. For economically developing countries, crops high in proteins could potentially
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1252⁵⁴⁹ supplement the use of livestock maybe using manure as a plant resource.

1253 1254 1255⁵⁵⁰ **Conclusions**

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1257
1258⁵⁵¹ Polar/space research on crop science versus 'simple hydroponics' in economically
1259
1260⁵⁵² developing countries may be complete opposites in terms of access to resources and
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1262⁵⁵³ research investment. Clearly space and polar research activities have been historically well
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1264⁵⁵⁴ resourced but highlight the potential to grow crops in environments limited in resources. The
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1266
1267⁵⁵⁵ challenge now is to build on this research, to develop technologies, systems and methods
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1269⁵⁵⁶ that are sustainable, inexpensive and more widely applicable. Hydroponic and LED efficacy
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1271⁵⁵⁷ and the application of circular economic principles, exploiting local renewable resources and
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1273⁵⁵⁸ valuing waste can bring new efficiency and opportunity into crop production. BIA principles
1274
1275⁵⁵⁹ and intensive planting of 3D arrangements combined with intercropping in hydroponics
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1277⁵⁶⁰ provides diversity of food and may increase community efficiency in terms of light, water and
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1279⁵⁶¹ nutrient utilisation. Plant assemblages of course enhance the possibility of risks from pests
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1281⁵⁶² and pathogens so this need to considered in relation to system design and operation.

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1283
1284⁵⁶³ Tandem research emerging from economically developing countries highlights how
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1286⁵⁶⁴ some elements of technology could be by-passed or even replaced to grow soil-less crops
1287
1288⁵⁶⁵ in such regions. These including using human effort in place of automation, mobile phones
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1290⁵⁶⁶ for ICT and organic sources of nutrients. The time is now ripe to look for 'cross-pollination'
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1292⁵⁶⁷ of ideas on soilless crops, novel 'pop up' growing systems, finding value in all edible crop
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1294⁵⁶⁸ components, using simple and accessible technologies and turning our waste into resource.

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1300⁵⁶⁹ Our future depends on our capacity to innovate, to challenge what we see as agriculture,
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1302⁵⁷⁰ and learn to get more from less by living and what we have.
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1306⁵⁷¹ **Acknowledgements** 1307

1308⁵⁷² The Institute of Biological Environmental and Rural Sciences receives strategic funding from
1309
1310⁵⁷³ the Biotechnology and Biological Sciences Research Council. The authors also
1311
1312⁵⁷⁴ acknowledge the financial support of the Welsh Assembly Government and Higher
1313
1314⁵⁷⁵ Education Funding Council for Wales through the Sêr Cymru National Research Network for
1315
1316⁵⁷⁶ Low Carbon, Energy and Environment for the Plants and Architecture Project; and of the
1317
1318⁵⁷⁷ European Union through the Welsh European Funding Office for the BEACON project.

1319
1320⁵⁷⁸ PC, KH and BS-R are supported by NERC core funding to the BAS 'Biodiversity, Evolution
1321
1322
1323⁵⁷⁹ and Adaptation' Team, BAS Environmental Office, and the Aurora Innovation Centre,
1324
1325⁵⁸⁰ respectively. We thank C.D. Martin (BAS) for helpful discussions.
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Conflict of interest:

All authors have approved the manuscript and agreed to this submission and its future publication. There are no conflict of interests declared and this work is original. This manuscript nor any part of it has not been previously published and is not being considered for publication anywhere else.