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3	From principles to applications
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#### 24 Abstract

25 In ecological risk assessment of chemicals, hazard identification and hazard 26 characterisation are most often based on ecotoxicological tests and expressed as summary 27 statistics such as No Observed Effect Concentrations or Lethal Concentration values and No Effect Concentrations. Considerable research is currently ongoing to further improve 28 29 methodologies to take into account toxico kinetic aspects in toxicological assessments, 30 extrapolations of toxic effects observed on individuals to population effects and combined 31 effects of multiple chemicals effects. In this context, the principles of the Dynamic Energy 32 Budget (DEB), namely the conserved allocation of energy to different life-supporting 33 processes in a wide variety of different species, have been applied successfully to the 34 development of a number of DEB models. DEB models allow the incorporation of effects 35 on growth, reproduction and survival within one consistent framework. This review aims to discuss the principles of the DEB theory together with available DEB models, databases 36 37 available and applications in ecological risk assessment of chemicals for a wide range of 38 species and taxa.

Future perspectives are also discussed with particular emphasis on ongoing research efforts
to develop DEB models as open source tools to further support the research and regulatory
community to integrate quantitative biology in ecotoxicological risk assessment.

42

#### 44 Graphical Abstract



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46

47

## 48 Abbreviations

49 AmP: Add-my-Pet; DEB: Dynamic Energy Budgets; EFSA: European Food Safety 50 Authority;  $LD_{50}$ : Lethal Dose for 50% of the individuals;  $LC_{50}$ : lethal concentration for 51 50% of the individuals; NOEC: No Observed Effect Concentration; NEC: No Effect 52 Concentration; EC: Effect Concentration;  $EC_x$ : concentration with x% effect; ERA: 53 Ecological Risk Assessment; TK: toxico-kinetic; TD: toxico-dynamic.

54

## 55 Highlights

56	•	DEB	theory	is a	a framework	for	modelling	time-specific	lethal	and	sub-lethal
57		effect	S.								

DEB models are promising tools for RA and have been applied to a variety of taxa.
The Add-my-Pet database contains life cycle and DEB parameters for 857 species.
Generic DEB models for RA are developed as open source tools as an EFSA project.

62

63 Keywords

64 Dynamic Energy Budget; ecological risk assessment; Add-my-pet; modelling; population65 dynamics

### 66 **1 Introduction**

67

68 Ecological risk assessment (ERA) of chemicals aims to characterise risks to the 69 environment associated with chemical exposure combining an exposure and hazard 70 dimension and to conclude on magnitude of effects that are deemed acceptable in relation to set protection goals (e.g. mortality). From a bird's eye view, frameworks for ERA often 71 72 use tiered approaches which may depend on the aim of the assessment, the data available, 73 and time-resources. For hazard identification and hazard characterisation, the first tier may 74 use ecotoxicological endpoints from standardised laboratory experiments with aquatic and/or terrestrial species and at high tiers, results from semi-field to field trials. Using a 75 first tier approach for hazard identification and hazard characterisation of regulated 76 compounds (including pesticides and feed additives) assessments are often based on 77

78 summary statistics like the No-Observed-Effect-Concentration (NOEC), Lethal Dose 79 (LD50), Lethal Concentration for 50% of the exposed individuals (LC50) or 50% Effect 80 Concentrations on growth (or growth rate) and reproduction (or reproduction rate) (EC50) for a specified exposure time. Environmental quality standards are then usually derived 81 using the lowest available summary statistics for the NOEC LD50, EC50, applying an 82 uncertainty factor (UF) to derive a predicted no-effect concentration (PNEC). The UF that 83 is applied depends on data availability but in most cases it is the standard default value of 84 85 100-fold UF. This default value may be replaced by data driven UFs depending of data availability on taxa specific toxicity such as chemical specific adjustment factors (CSAFs) 86 87 applied in the human health area (WHO, 2005).

88

Over the last decade, considerable research efforts have been put together to further 89 90 improve risk assessment methodologies particularly to take into account mechanistic 91 understanding of toxicity. In the human risk assessment area, the Mode of Action (MoA) 92 framework has been developed by the US-EPA and WHO as 'a biologically plausible 93 sequence of key events leading to an observed effect supported by robust experimental observations and mechanistic data'. MoA describes in a logical framework key cytological 94 95 and biochemical events that are both measurable and necessary to the observed effect. MoA 96 does not imply full understanding of Mechanism of Action (MeA) which relates to a 97 detailed molecular description of individual biochemical and physiological key events leading to a toxic effect (Boobis et al., 2006; Meek et al., 2014)). In toxicological terms, 98 99 the MoA framework provides means to investigate toxico-kinetics (TK) and toxico-100 dynamic (TD) processes at different levels of biological organisation (organism, organ, 101 cellular and sub-cellular level). TK describes the processes leading to the internal 102 concentrations of a chemical or its metabolites(s) through knowledge of absorption (A), 103 distribution (D), metabolism (M) and excretion (E) (ADME). TD describes the processes 104 that lead to the toxic effects of a chemical or its metabolites(s) once it has reached the organ(s) or tissue(s) (EFSA, 2014). In ERA, a number of MoA classifications have been 105 106 developed and include: 1. Verhaar classification using five broad categories based on 107 general toxicological responses: class 1. narcosis or baseline toxicity; class 2. less inert 108 compounds class 3 unspecific reactivity, class 4. compounds and groups of compounds 109 acting by specific mechanism, class 5.unknown mechanism, 2. the U.S. Environmental 110 Protection Agency (US-EPA) assessment Tool for Evaluating Risk (ASTER) MoA, 3. the US-EPA Mode of Action and Toxicity (MOAtox) database providing a high degree of 111 112 specificity based on fish behavioural responses or weight of evidence classification 113 (Kienzler et al., 2017).

114 The related concept of Adverse Outcome Pathway (AOP) emerged from the field of ecotoxicology and has been defined as 'a sequence of events from the exposure of an 115 116 individual or population to a chemical substance through a final adverse (toxic) effect at 117 the individual level (from a human health perspective) or population level (from an environmental perspective)'(Ankley et al., 2010). AOPs that have been investigated and 118 119 depicted are available on the AOP Wiki tool (aopwiki.org). Recent reviews provide 120 strategies, principles and best practices (Villeneuve et al., 2014a; Villeneuve et al., 2014b). 121 The mapping of AOPs is a very active area of toxicological research and advances have 122 been made to bring AOP together into networks. A recent review provided a description of an AOP network based on five reproductive and developmental toxicity-related AOPs for 123

124 fish and illustrations on how such AOP networks can inform the development and 125 refinement of laboratory assays (Knapen et al., 2015). Recently, (Teeguarden et al., 2016a) 126 have introduced the aggregate exposure pathway (AEP) as an intuitive framework to 127 organize exposure data including ADME/TK data. The AEP framework supports, while making use of existing exposure models, the improvement of the generation, organization, 128 129 interpretation, modelling and prediction of data from exposure sciences including ADME/TK information (Teeguarden et al., 2016b). In practice, the AEP also provides a 130 131 holistic exposure counterpart to the AOP framework and a flexible tool to integrate the two frameworks together to apply risk-based, hazard-based, or exposure-based approaches 132 133 in chemical risk assessment (Teeguarden et al., 2016a).

134

In the food safety area, EFSA recently published a review on « Modern methods for human 135 hazard assessment of chemicals» which focused on mechanistic means to investigate TK 136 137 and TD processes for human risk assessment of chemicals. These included in vitro systems to move towards the use of alternative approaches to animal testing, physiologically-based 138 139 (PB) models (such as PB-TK and PB-TK-TD models), and computer models including 140 (Quantitative) Structure Activity Relationship (Q)SAR) systems), read across methods as well as OMICs technologies (transcriptomics, proteomics, and metabolomics) (EFSA, 141 142 2014). Consultation of EFSA experts and other international organisations identified 143 important needs to develop open source platforms for PB-based models to further explore their applicability and integration in chemical risk assessment for single and multiple 144 145 chemicals (EFSA, 2013; EFSA, 2014). Specifically, the models should be calibrated using 146 specific case studies to illustrate the integration of exposure, TK information and toxicity data, databases providing critical parameters to build these models (physico-chemical,
physiological, toxicological) and bioinformatic tools/algorithms to analyse and integrate
such data (EFSA, 2014).

150

In ERA, key empirical and mechanistic models have been developed over the last two 151 152 decades for terrestrial and aquatic species such as physiologically-based TK models (PB-TK), physiologically-based TK-TD models (PB-TK-TD) (Grech et al.) and dynamic 153 154 energy budget (DEB) models which are the focus of this review. Here, the principles of the DEB theory and standard DEB models are introduced together with the available databases 155 156 providing life cycle parameters to the standard DEB model. DEB models to assess impact 157 of chemicals and other stressors on organisms at the individual and population level are 158 then discussed with examples from the literature. Ongoing and Future work to develop 159 open source DEB models to support the ecotoxicological scientific community conclude.

# 160 2. DEB theory and DEB models: Fuelling the life cycle with energy and mass from161 individuals to populations

## **162 2.1. DEB theory and the standard DEB model**

163

Historically, the DEB theory finds its origin in 1979 in an ecotoxicology laboratory in the Netherlands (Delft) investigating the toxicology of chemicals on daphnids and several species of fish. The main question raised during these experiments was how to incorporate growth and reproduction in a consistent quantitative framework that would apply to different taxa? This was the starting point in the line of reasoning that kick started DEBtheory.

170 The observation that growth curves of very different species like daphnia and fish are very 171 similar in their appearance (typically characterised by Von Bertalanffy growth (Bertalanffy, 1938)), despite huge possible differences in the numbers along the axes, raises 172 173 the question on the existence of underlying fundamental principles. The DEB theory deals 174 with these underlying principles and describes how energy and mass from environmental 175 resources are used over time to fuel the life cycle of an organism under physiological 176 conditions (e.g. feeding, maintenance, growth, development and reproduction) into one 177 consistent framework. Conservation of mass and energy as well as species specific stoichiometric constraints are also taken into account. 178

179

From the DEB theory, a number of families of related models have been derived with 180 181 various levels of complexity. The complexity of the model (parameters and state variables) depends on the questions one is interested in and the availability and quality of data 182 183 available. The simplest complete DEB model is the standard DEB model in which an organism consists of one structure and one reserve and feeds on one food source (see Figure 184 185 1) (Kooijman, 2010). The standard DEB model assumes that the shape of an organism from 186 a particular species does not change during growth and that the life cycle is defined by 187 three life stages: embryo, juvenile and adult.

188

189

### figure 1 somewhere here

190

191 Figure 1 Schematic presentation of the standard DEB model

192 Boxes: state variables for the individual. Arrows: energy fluxes for the process specified

193 by the arrow

194

Figure 1 highlights that in the standard DEB model food is taken up by the organism and 195 196 is stored in reserves from which food is mobilized and used to grow, mature, reproduce 197 and maintain the integrity of the system. The use of the mobilized flux follows the so called 198 kappa-rule. The kappa-rule states that a fixed fraction of the available reserves is used for 199 growth and somatic maintenance and the remaining part is used for development, maturity 200 maintenance and reproduction.  $\kappa$  is assumed to be a constant over the lifetime of an 201 individual, this assumption implies that growth and reproduction do not compete directly 202 for resources. Embryos (which do not feed or reproduce) and juveniles (which feed but do 203 not reproduce) use the available energy from reserves for the development of physiological 204 systems and reproductive organs.

205 In each of these two branches priority is always given to maintenance: somatic maintenance the  $\kappa$  branch and maturity maintenance in the  $(1 - \kappa)$  branch. If the rate of energy 206 207 utilisation from the reserves is no longer sufficient to sustain the maintenance costs, there 208 are several options depending on the species: the individual might die, it might 209 exceptionally use energy from the reproduction buffer or if possible it might shrink/lose 210 weight reducing the maintenance costs. As development stops at puberty, when a juvenile 211 organism becomes adult, the energy is then reassigned to fuel reproduction, typically in the 212 form of a reproduction buffer. When sufficient data are available and whenever needed, 213 the standard DEB model can be extended to incorporate biological traits for specific taxa or default values under data poor conditions. For example, when developing DEB models for plants, it is essential to introduce more than one structure or primary producers would need more than one reserve (Kooijman, 2010). Different biological traits can be included in the standard DEB model as extensions, with conservation of the interpretation of parameters and parameter values.

- Elaborate descriptions of the background of DEB theory and the fundamental mathematical
- equations can be found in (Kooijman, 2010; Kooijman et al., 2008; Lika et al., 2011a; Lika
- et al., 2011b; Meer, 2006; Sousa et al., 2010).

## 222 2.2 Linking the DEB parameters to standard life-cycle parameters

- 223 The eight parameters that describe the standard DEB model represent the energetics of an
- organism and cannot be observed directly but can be derived from standard life-cycle data
- 225 (VU-Theoretical-Biology, 2017) such as:
- 226
- 227 -Time to hatching
- -Body length at birth
- -Weight at birth
- **230** -Growth rate
- 231 -Physical length at puberty
- -Age at puberty
- 233 -Weight at puberty
- 234 -Time to first reproduction
- 235 -Final body length

- **236** -Final body weight
- -Max reproduction rate
- 238 -Lifespan
- 239

Such life-cycle parameters then feed into the DEB model from which the underlying DEB
parameters are derived (Lika et al., 2014; Lika et al., 2011a; Lika et al., 2011b). The overall
quality of the data and the size of the database determine the extent to which the DEB
parameters can be derived (Jager and Zimmer, 2012).

When the same group of organisms is followed over time in the laboratory, the resulting data are not independent. The model usually predicts reproduction as a continuous rate (e.g., number of eggs per day) for which a discrete number of offspring, produced by one or more females in a time interval, are observed. In addition, growth and reproduction are graded endpoints, whereas survival is a quantal endpoint. Although these endpoints are not directly comparable, they do share information about the same underlying parameters.

Any model is a simplification of reality and specifically biological data always show variation which creates scatter in the data. Therefore in any practical application much attention is given to the optimization procedure of estimating the parameters e.g. (Lika et al., 2011b).

254

255 2.3 DEB models coupling toxico-kinetics and energetics at the individual level: DEB256 TOX and DEB-Kiss

#### 257 2.3.1 The DEB tox model

258 The DEBtox model constitutes an application of the DEB theory to understand toxic effects 259 and was first developed to address incorporation of different endpoints as the results of 260 ecotoxicological testing. The link between TK/metabolism and toxic effects was first introduced in 1984 by Kooijman and Metz (Kooijman and Metz, 1984) who designed a 261 262 model that already had most of the characteristics of the current DEB model except for the 263 reserve dynamics. Later on the approach was further refined by Kooijman, Bedaux and 264 Jager and co-workers (Jager et al., 2004; Kooijman and Bedaux, 1996). The general approach was adopted by the OECD in the guidance document dealing with "current 265 266 approaches in the statistical analysis of ecotoxicity data: a guidance to application" (OECD, 267 2006). The main difference with classical ERA is that in classical ERA summary statistics from laboratory studies in standard test species are used. These include  $EC_{50}$  for growth 268 269 (or growth rate),  $EC_{50}$  for reproduction (or reproduction rate),  $LC_{50}$  for survival and NOEC 270 for growth and/or reproduction for a fixed exposure time depending on the species. By 271 definition, single time-point summary statistics do not take into account TK and TD 272 processes.

273

The DEBtox approach takes into account TK and TD processes. In the environment, organisms get exposed to chemicals and the first step leading to a pharmacological or toxicological effect is uptake from the environment (external dose) by the organism either through soil, air, water or food. Two critical processes are then involved namely "what the body does to the chemical": the TK, as the action of the body on the substance and "what the chemical does to the body": the TD, as the action of the substance on the body

280	(Benfenati et al., 2017; Spurgeon et al., 2010). Therefore a kinetic module should be the			
281	starting point for any further steps. In practice, an elimination rate (TK) is derived from the			
282	observed time course of toxicity (TD). In this context, the elimination rate does not			
283	necessarily reflect the whole body elimination but the rate determining step in linking			
284	internal concentrations to effects (TD) (Zitko, 1979).			
285	The toxicant, once inside the organism and above some threshold level, may have an effect			
286	on growth, reproduction and or survival. This leads to the interpretation that toxicants result			
287	in physiological/toxicological modes of action, that affect life cycle traits by influencing			
288	(at least) one of the processes identified by DEB theory, see also figure 1 (Álvarez et al.,			
289	2006):			
290				
291	increasing maintenance costs			
292	• decreasing the assimilation of energy from food			
293	• increasing the energetic costs for growing new body tissue			
294	• increasing the energetic costs for producing offspring			
295	• posing a direct hazard to the developing embryo			
296				
297	Recently, Ashauer and Jager (Ashauer and Jager, 2018) have defined these parameters as			
298	"physiological MoA :a distinct way in which a chemical interferes with the energy fluxes			
299	in an organism, and thereby affects life-history traits" including maintenance, assimilation,			
300	growth costs, reproduction costs and hazard to embryo. Here, the term DEB Mode of			
301	Action (DEBMoA) is applied since physiological mode of action may also be interpreted			

302	in terms of physiology and not strictly speaking in toxicological terms as "adverse" with			
303	regards to life cycle functions.			
304				
305	Three parameters are needed to describe the whole time-course of toxic effect (Kooijman			
306	and Bedaux, 1996):			
307				
308	• A time-independent toxicological threshold below which no effects occurs			
309	irrespective of exposure time the No Effect Concentration (NEC)), (as the incipient			
310	<i>LC</i> <sub>0</sub> ). The NEC usually expressed as an environmental concentration).			
311				
312	• A TK parameter $(k_e)$ which describes when the equilibrium between internal and			
313	external concentration is set expressed in $d^{-1}$ .			
314				
315	• A TD parameter that relates to the toxic potency of the compound; the killing rate			
316	$(k_r)$ expressed in $(mol/l)^{-1}$ day <sup>-1</sup> for survival and the tolerance concentration $(C_t)$ for			
317	sub-lethal effects expressed in mol/l. The higher the killing rate and the lower the			
318	tolerance concentration the more potent the toxicity of a compound.			
319				
320	From an ERA point of view, the threshold concentration is the most important parameter			
321	(Baas et al., 2010a; Jager et al., 2006) and is also a more suitable metrics to compare species			
322	sensitivity or the toxicity of different compounds compared with $EC_x$ or $LC_{50}$ values (Baas			
323	and Kooijman, 2015; Jager et al., 2006). In fact $EC_x$ or $LC_x$ values can be calculated for			

any value of *x* for any point in time using a DEB model coupled to a TK/TD module. By

making use of the DEB framework all parameters can be extrapolated from one species tothe next and ranges of biologically plausible values exist (Lika et al., 2014).

327

328 In all applications, integrated effects on growth and reproduction need to be translated to 329 the underlying DEB parameters and mechanism (Lika et al., 2011a; Lika et al., 2011b). 330 The overall quality of the data and the extensiveness of the dataset (body size over time, 331 timing of spawning events, number of offspring, survival over time) determines to what 332 extent this can be carried out. Still the mode of toxic action can be difficult to extract from available data (Jager et al., 2014a; Muller et al., 2010a). Another practical consideration is 333 334 that the actual model parameters are calculated from their counterparts in the controls. So 335 having a reliable control is crucial to interpret which of the parameter values are affected 336 in order to derive the physiological mode of action.

337

With exposure above the threshold value toxic effects will develop over time, which is mathematically interpreted as a change in parameter values in the affected process, defining the DEBMoA. Each DEBMoA has specific consequences for the patterns of growth and reproduction over the life cycle (Álvarez et al., 2006).

The survival module of the model can be used as a stand-alone part of the modelling framework and consists of a scaled one-compartment model to describe uptake and elimination and a hazard model to describe survival. This basically leaves out all the energetics leading to a much simpler approach but it still gives a dynamic description of the toxicity process, allowing to deal with e.g. time-dependent exposures and growth dilution. In DEB theory the assumption is that death can be described by the hazard model, 348 contrary to the more frequently used  $LC_{50}$  where the underlying assumption is an Individual 349 Threshold (IT). When this threshold is reached the individual will die. In the hazard model 350 it is assumed that all species are equally sensitive and death is a chance process, whereas 351 in the IT approach it is assumed that the most sensitive ones die first. This has far reaching consequences: if a cohort of individuals is exposed a second time to an  $LC_{50}$  concentration, 352 353 the IT model predicts no mortality in the second exposure and the hazard model predicts 354 again 50% mortality, like it was found in a dedicated experiment (Newman and 355 McCloskey, 2000). See also the description of the so called GUTS framework for survival where an excellent description of the different approaches for survival is given and how 356 357 the different approaches relate to one another (Jager et al., 2011) and how the models can be applied and extended (Ashauer et al., 2016; Ashauer et al., 2015). 358

#### 359 2.3.2 .The DEBkiss model

The DEBkiss model has been introduced recently in the literature for the interpretation of 360 361 ecotoxicological data. The DEBkiss model is similar to the historical Kooijman-Metz 362 model (Jager et al., 2013b; Kooijman and Metz, 1984) both exclude the reserve dynamics 363 so that metabolic memory is not included and predictions for species in conditions with 364 lack of food become troublesome (see figure 2). In general terms, the reserve dynamics 365 and the underlying mechanism is probably the most debated part of general DEB theory (Meer, 2006). Advantages of the DEBkiss approach lie in the derivation of simpler models 366 367 in terms of number of state variables (Jager et al., 2013b). The loss of one or two state variables comes at the cost of biological realism and extrapolation potential since the 368 DEBkiss model is more species and context specific compared with the standard DEB 369 model. Nonetheless, the DEBkiss approach has been very useful in helping understand 370

371	effects over time under complex exposure situations (like repeated pulsed or mixtures).
372	Moreover, if the kinetics of mobilising the reserves is fast and metabolic memory is not
373	needed (e.g. organisms are fed <i>ad libitum</i> for an ecotoxicological test), the DEBkiss model
374	can be applied as a simplified version of the standard DEB model, with a comparable
375	interpretation of ecotoxicological test results (Jager et al., 2013b). A direct comparison
376	between the two models showed similar predictions if toxic effects on individuals were
377	extrapolated to effects on the intrinsic population growth rate (Jager and Klok, 2010b) with
378	a slightly better extrapolation potential of the more elaborate model. Maturity of the
379	organism (i.e. the switch to start reproduction) is also generally excluded in DEBkiss
380	models however this can be included if needed.
381	Figure 2, somewhere here
382	
383	
384	Figure 2 Graphical representation of the DEBkiss model. Here all energetic costs for
385	growth, maintenance and reproduction are taken up from food uptake without first being
386	taken up as reserves.
387	
388	Critically, as reserves are one of the cornerstones of standard DEB theory alongside
389	exploiting mass and energy conservation, care has to be taken in the interpretation and
390	comparison of different models derived by either DEBkiss or the standard DEB model.
391	Parameters have different interpretations (though the same parameter abbreviations are
392	used) depending on the framework and may impact on conclusions regarding hazard
202	characterisation of a chemical or stressor

#### **394 2.4 DEB population modelling**

395 Effects on individuals can be substantially different from effects on populations. For 396 instance, comparable reductions in reproduction due to toxic stress at an individual level 397 were demonstrated to lead to very large differences in effects at the population level (Beaudouin et al., 2015; Martin et al., 2012). Population level effects are considered to be 398 399 an important aspect of ERA but are not (vet) often taken into account routinely (Forbes and Calow, 1999; Forbes et al., 2010). There is a general consensus that DEB-based modelling 400 401 offers a first step towards population modelling since key features of an individual 402 organism's life-cycle impacting on the population (growth, reproduction and survival) are 403 captured within a consistent and well tested theoretical framework (Ananthasubramaniam et al., 2015; Bacher and Gangnery, 2006; Jager et al., 2014a). Currently, DEB modelling, 404 405 with a growing database, historical data and experience of use, can also be applied as a 406 predictive in silico tool allowing to perform informed extrapolations of toxic effects for 407 untested concentrations and other environmental conditions, such as food limitation.

408

409 Under constant environmental conditions (and excluding intra- and interspecific 410 interactions and density effects), populations grow following an exponential model, with 411 an asymptotic population growth rate (r) (Billoir et al., 2007; Forbes et al., 2010). The 412 influence of toxicants on life-history traits (survival and reproduction) can directly be 413 expressed as a decrease in r. Even though it is clearly unrealistic to expect prolonged 414 exponential growth in real populations, r reflects the inherent capacity of a population to 415 adapt to adverse effects or bounce back from a drastic decline in numbers. Therefore, r can 416 be considered a general fitness measure for the population (Forbes et al., 2010). Note that the underlying implicit assumption here is that each new generation will have identical sensitivity. This is not necessarily the case, and sensitivity of later generations may be substantially different as demonstrated recently in multi-generation studies and such information may be accounted for in the population modelling, however, often not available even though highly relevant to ERA (Biron et al., 2012; Schultz et al., 2016).

422

423 In general, steps for the modelling of individuals to the population level include rules for 424 interaction(s) between individuals and for the transport of resources in the environment. 425 The simplest interaction rule for the standard DEB model is that individuals only interact 426 via competition for resources. In the case of organisms that reproduce by division, the 427 transition from the individual to the population is much simpler and a population of a few 428 adult individuals may behave identically to that of many small ones if the sum of their 429 masses matches. Here, the individual level is not critical but the population itself 430 (Kooijman, 2010). This was used in Poggiale et al. (Poggiale et al., 2010), where 431 population performance was directly linked to sub-individual physiology. There are 432 various DEB based applications to extrapolate toxic effects on individuals to populations using different types of population models and these are either based on complete DEB 433 models or simplified approaches (Alver et al., 2006; Ananthasubramaniam et al., 2015; 434 435 Bacher and Gangnery, 2006; Beaudouin et al., 2015; Billoir et al., 2007; Biron et al., 2012; 436 Jager and Klok, 2010a; Klanjscek et al., 2006; Kooijman et al., 1989; Martin et al., 2014; Martin et al., 2013; Martin et al., 2012; Nisbet et al., 2010). 437

#### 438 3. Databases and Tools for DEB modelling

439 DEB modelling is applicable when the relevant variables can be generated such as the No 440 Effect Concentration (NEC) which constitutes a model parameter that can be interpreted 441 and applied in ERA. In other cases, dynamic simulation studies might be required and the 442 DEB model might have to be coupled to fate and transport models or parameters controlling offspring production integrated into population level models (see above 443 population models) (Kooijman, 2012). Figure 3 illustrates a generic DEB population 444 445 modelling approach under which species and compound properties as well as toxic effects 446 feed into the standard DEB model for individuals as DEB-modes of action (such as an 447 effect on assimilation) and output parameters modulated by the toxicant. The general output of the population DEB model is given as the survival probability of the individuals 448 449 and the impact on reproduction.

450

- 451
- Figure 3 somewhere here

452

Figure 3 Schematic representation of DEB population modelling. The ellipses are inputdata, the boxes the different models and the rounded boxes model output.

## 455 3.1 Add My Pet: A Database for DEB modelling

456

457 DEB parameters describing the energetics of species cannot be derived directly from 458 observations on species but are estimated using the underlying life history parameters (as 459 was described in section 2) and these together define the underlying DEB parameters. 460 Information and quantitative data on the eco-physiology and life-history traits of different
461 species can be collected from several major databases: Add-my-Pet, Animal Diversity
462 Web, Encyclopedia of Earth and Fish Base (Kooijman et al., 2017).

463

The Add-my-Pet (AmP) database summarises the underlying energetics that together capture life history data, to derive DEB parameters. AmP is a pan European initiative and is extensively documented using collaborative online media wiki powered software. For this reason, AmP is the natural information base for supporting ERA of single and multiple chemicals. Other databases (Animal Diversity Web, Encyclopedia of Earth and Fish Base) are typically used for life cycle data, which are then used to generate DEB parameters for more species to populate the AmP database.

471 AmP is an initiative, which started in 2009, in the context of much wider aims than ERA: find the simplest organisation principles for metabolism upon which all life is based and 472 473 understand taxon-specific patterns as variations on this common theme. It turned out that 474 a number of extensions on the standard DEB model were needed to capture specific life-475 history of various groups of species. The overall model can therefore vary from taxa to taxa but it is also possible to use different extensions of the standard model for a single species 476 477 depending on the level of refinements one wants the model to achieve. This approach can 478 be followed without any loss of consistency and parameter values can be compared for 479 different species. Some examples of model extensions are relaxing the assumption of 480 constant shape whereby changes in shape allow metabolism to accelerate for part of the 481 life-cycle. Or including extra-life stages (for instance weaning for mammals), reversing the order of life-stages (adults come before embryos for insects), and choosing different modes 482

483 of reproduction (foetal or egg development). Parameters values for the different species484 remain comparable for the different variations.

The AmP collection contains data for 871 species (AmP, 2017/06/12) from all of the large phyla excluding sponges (cnidaria) (see figure 4). Furthermore, there are species belonging to each chordate order, with the exception of some deep water ray finned fish and marsupial moles. Finally, there are species from all of the primate families. The standard DEB model assuming either egg or foetal development captures the life-history of about two thirds of the taxa present in the collection quite well.

- 491 Figure 4, somewhere here
- 492

493 Figure 4 Overview of species in Add-my-Pet and the number of species included in Add
494 my-pet over time (06/12/2017)

## 495 3.2 Ecotoxicological Databases and QSAR models

For effects on survival, DEB toxicity parameters can be derived if  $LC_{50}$  values are available at different points in time or by making use of QSARs (Baas et al., 2015; Jager and Kooijman, 2009).

499

500 Most available databases report single time point toxicity data, which have yet limited 501 applications in process-based approaches or TK-TD modelling. The ECOTOX database, 502 hosted by the United States Environmental Protection Agency (US-EPA, 2013) is currently 503 the most complete database existing for which a plethora of toxicity data published in the scientific literature have been gathered and summarised, including some  $LC_{50}$  data for multiple points in time. The US-EPA ECOTOX database has been extensively used for the determination of DEB parameters for survival for pesticides using multiple points in time which are present for a limited number of substances (Baas et al., 2009a; Baas et al., 2016a). For sub-lethal toxicity, the time course of toxic effects is not straightforward and parameters have not been derived from  $EC_{50}$  data over time (Baas et al., 2010a; Jager et al., 2006).

511

512 The Pesticide Property Database (PPDB) is a comprehensive relational database of 513 pesticide chemical identity, physicochemical, human health and ecotoxicological data. It 514 contains data on all pesticides that are allowed in the EU and contains data on 1150 515 pesticides, 700 metabolites and some 100 related compounds. It has been developed by the Agriculture & Environment Research Unit (AERU) at the University of Hertfordshire for 516 517 a variety of end users to support risk assessments and risk management (Lewis et al., 2016). The database is fed with pesticide properties based on the monographs produced as part of 518 519 the EU review process published by EFSA. Where EFSA documents are not available, alternative sources are used. This implies that the vast majoriy of the data is well 520 521 documented and measured according to the latest ISO regulations.

## 522 4. Applications of DEB models in ERA

523 The potential combinations of species and chemicals that may be present in a particular 524 ecosystem is vast and performing ERA represents a challenge because of such complexity 525 and the limited quantitative knowledge on a wide range of taxa specific traits. Most often, 526 ERA is based on inter-species extrapolations where default uncertainty factors are applied 527 to account for lack of knowledge on inter-species TK and TD and allow site specific ERA. 528 In general terms, extrapolation operates (i) from individual to population (section 4.1), (ii) 529 from species to species (section 4.4), (iii) from chemical to chemical (particularly for 530 compounds that are structurally related) (section 4.3), (iv) from a single chemical to mixtures of chemicals (section 4.2). Since data for sub-lethal effects are often scarce (see 531 532 section 3), (v) extrapolation are from lethal effects sub-lethal effects are most common. 533 The DEB theory allows to perform extrapolations (i) to (iv) but to our knowledge, the 534 derivation of sub-lethal effects from lethal effects has not been explored yet. However, options are available for such extrapolations to be performed using TK parameters that are 535 536 derived from time course toxicity studies for lethal and sub-lethal effects using DEB theory 537 and other frameworks for extrapolations (Hendriks and Heikens, 2001; Hendriks et al., 538 2001). Specifically, the DEB theory provides a means to quantify parameters related to 539 how individuals progress their way through life and how stressors affect specific parameter 540 values. Species properties (taxa-specific traits) are combined with physical (conservation) 541 and chemical (stoichiometry) constraints so that all measurable endpoints such as growth 542 and feeding are interlinked "there is 'no free lunch" (Jager et al., 2013a) and the response/sensitivity of an organism is deeply linked to the metabolic evolution of the 543 organism survival in its specific environment. Finally, DEB models also allow the 544 545 incorporation of effects resulting from exposure to non-chemical stressors such as food 546 availability, temperature, salinity, parasites, etc.

547

In this context, a concise overview of taxa-specific and chemical specific DEB models is
provided below. For this purpose, an extensive literature search was performed in a number

550	of databases (SCOPUS, Faculty of 1000, ZETOC, Elsevier, Medline/PubMed, Springer
551	link, Taylor and Francis Crossref, GALE, AGRIS, Wiley, AIP, JSTOR, Picarta, Web of
552	Knowledge and Web of science) to report two board categories of case studies:
553	
554	• Research investigating effects of chemicals on taxa-specific lethal and sub-lethal
555	endpoints for single and multiple chemicals, with a focus on the availability of TK
556	and TD parameters that could serve as input for population dynamics modelling
557	based on DEB theory. The separation of TK and TD provides a quantitative
558	understanding of TK parameters over time linked to TD and compared with
559	controls);
560	• Case studies providing means to extrapolate individual effects on single species to
561	a population.
562	
563	Key words included in the extensive literature search included:
564	
565	• DEB
566	Dynamic Energy Budget
567	• Mixtures
568	• Ecotoxicology
569	Modelling
570	• Mortality
571	• Growth
572	Reproduction

573	Population Dynamics
574	Individual based modelling
575	
576	Assessment criteria
577	
578	• Consistency
579	• Method, full description, source code available?
580	Biological relevance
581	• Study design, was the model applied to measured data and how
582	• Parameterization of the model, do the parameters relate to biological traits?
583	• Biological relevance, do the parameters relate to biological traits
584	Application to bio-assays
585	

- 586 The number of papers extracted from the extensive are summarised in table 1.
- 587

Application	Nr of papers (after first selection)	Nr of relevant papers (after final selection)
DEB in ecotoxicology	27	18
DEB in mixtures	13	7
DEB in population dynamics	36	15

588 Table 1 Summary of results of the literature survey

589

590 The sections below provide summary tables for taxa specific DEB models and applications.

591 4.1 Taxa-specific DEB-based approaches to model toxico-kinetics and toxico592 dynamics of chemicals

593

Taxa-specific DEB based approaches to model TK ad TD impact of chemicals are given in table 2 and illustrates applications to a wide variety of aquatic taxa/species and shows limited data for terrestrial organisms. The diversity of taxa that have been modelled using these DEB approaches ranges from annelid and nematode worms, arthropods, mussels, daphnia and fish, however, the chemical space covered is still rather specific with most of the models developed on metals, pesticides and a few contaminants.

Phylum	Species	Stressor (s)	DEB Model	Reference
Annelida	Dendrobaena	Copper	DEBkiss	(Jager and
	octaedra			Klok, 2010b)
Annelida	Dendrobaena	Copper	DEBtox	(Jager and
	octaedra			Klok, 2010b)
Annelida	Dendrobaena	Copper	DEB	(Jager and
	octaedra			Klok, 2010b)
Arthropoda	Chironomus	Methiocarb	DEBMatrix	(Lopes et al.,
	riparius		population	2005)
Bacteria	Pseudomonas	Cadmium	DEB hazard	(Klanjscek et
	aeruginosa			al., 2012)

Chordata	Danio rerio	Uranium	DEB	(Augustine et
				al., 2012)
Copepoda	Calanus	PAHs	DEB	(Klok et al.,
(arctic)	glacialis and			2012)
	Calanus			
	finmarchicu			
Crustacea	Daphnia magna	Cadmium	DEB Matrix	(Billoir et al.,
			population	2007)
Crustacea	Daphnia magna	Uranium	DEBMatrix	(Biron et al.,
			population	2012)
Crustacea	Daphnia magna	Fluoranthene	DEBkiss	(Jager and
				Zimmer,
				2012)
Crustacea	Daphnia magna	3,4-	DEB IBM	(Martin et al.,
		dichloroanniline		2012)
Mollusca	Mytilus	ZnO nanoparticles	DEB	(Muller et al.,
	gallopro-			2014)
	Vincialis			
Nematoda	Acrobeloides	Pentachlorobenzene	DEB	(Álvarez et
	nanus			al., 2006)
Nematoda	Acrobeloides	Carbendazim	DEB	(Álvarez et
	nanus			al., 2006)

Nematoda	Acrobeloides	Cadmium	DEB	(Álvarez et
	nanus			al., 2006)
Nematoda	Caenorhabditis	Uranium	DEB	(Goussen et
	elegans			al., 2015)
Nematoda	Caenorhabditis	Aldicarb	DEB	(Wren et al.,
	elegans			2011)
Various	Various	Various (mercury,	DEB	(Muller et al.,
	(mussels,	copper,		2010a)
	oysters,	chlorophenols,		
	earthworms,	toluene, PAHs,		
	water	tetradifon, pyridine)		
	fleas and			
	zebrafish)			
Various	Various	Pesticides	DEB	(Baas and
	(mussels,	(carbofuran,		Kooijman,
	oysters, fish,	carbaryl,		2015)
	water	Chlorpyrifos,		
	fleas)	Malathion)		

601 Table 2 Overview of Taxa-specific DEB models available in the literature including model

602 type (matrix population models, Individual based population models, hazard indicates

603 only survival).

#### 4.2 Modelling toxico-kinetics and toxico-dynamics of multiple chemicals using DEB

## 606 modelling

607 DEB models provide useful tools to model the combined toxicity of multiple chemicals. 608 This specific application of DEB models was recently discussed as part of EFSA 's 609 colloquium on "Harmonisation of human and ecological risk assessment of combined exposure to multiple chemicals" (EFSA, 2015). DEB based models have been developed 610 611 for the interpretation and prediction of combined toxicity of chemical mixtures providing means to improve extrapolation potential. As with single toxicants, the temporal dynamics 612 613 of combined effects induced by a chemical mixture on endpoints like survival or growth 614 are not quantifiable using data from single time-point dose-response experiments. DEB models are intrinsically "biology based" and are essential for developing explicit 615 616 hypothesis on mixture effects using dose addition as the default assumption or analysing 617 evidence for interaction that may increase (synergy) or decrease toxicity (antagonism) 618 (Baas et al., 2010).

619

620 In practice, a distinction can be made between DEB models for survival and DEB models 621 for sub-lethal effects that usually also include effects on survival. Models investigating 622 mixture effects on survival have been first developed for binary mixtures as component-623 based approaches (Baas et al., 2007) and were subsequently further developed to model effects of more complex mixtures with comparable constituents (Baas et al., 2009a; Baas 624 et al., 2010b). Further development of DEB models then focused on actual complex 625 626 environmental mixtures with up to 100 different constituents (including metals, pesticides, 627 salts, nutrients, PAHs) (Baas et al., 2009b). For each model, predictions were compared with available data. Models investigating sub-lethal mixture effects were first developed
from binary mixtures with similar mode of action (2 PAHs) (Jager et al., 2010). These have
been now generalised to binary mixtures for mixtures with a different modes of action
(Jager et al., 2014b; Margerit et al., 2016) and such a generic approach is illustrated in in
Figure 5.

633

## Figure 5, somewhere here

634

Figure 5. Generic approach for modelling combined effects of chemical mixtures withinthe framework of DEB theory.

637

638 In principle, every chemical entity is characterised with its own TK and toxicity (TD) 639 which may affect one or more DEB parameters. This is translated in the general DEB model 640 to an effect on life-cycle traits such as body size (growth), reproduction and survival. Note 641 that some interactions may take place physiologically as metabolic processes are often 642 ruled by feedback loops affecting on growth and reproduction. Synergistic effects may 643 occur under food limitation and exposure to toxicants thereby affecting maintenance and somatic growth, as they compete for the same allocated reserves (Jager et al., 2014b). In 644 645 addition, body size determines feeding rate which feeds back to body size and body size in 646 itself affects TK and the initiation and rate of reproduction. A chemical in a mixture 647 producing adverse effects on growth of the exposed organisms may affect the TK of its neighbour mixture components and their effects on reproduction. A list of DEB based 648 649 applications investigating combined toxicity of mixtures is given in Table 3.

Phylum	Species	Stressor (s)	DEB Model	Reference
Arthropoda	Tribolium	РАН	DEB	(Baas et al.,
	castaneum		mixture	2010b)
			survival	
Arthropoda	Folsomia	Copper, Cadmium,	DEB	(Baas et al.,
	candida	Lead, Zinc	mixture	2007)
			survival	
Chordata	Pimephalus	Narcotics	DEB	(Baas et al.,
	promelas		mixtures	2009a)
			survival	
Crustacea	Daphnia magna	Mixture of toxicants	DEB	(Baas et al.,
			mixtures	2009b)
			survival	
Crustacea	Daphnia magna	Mixture of toxicants	DEB	(Baas et al.,
			mixtures	2016b)
			survival	
Crustacea	Daphnia magna	Binary mixture	DEB	(Jager et al.,
		PAHs	(mixture)	2010)
Mollusca	Mytilus	Produced water	DEB	(Muller et al.,
	gallopro-			2010b)

	vincialis and M.			
	californianus			
Nematoda	Caenorhabditis	Cadmium	DEBkiss	(Jager et al.,
	elegans	Fluoranthene	mixture	2014b)
Nematoda	Caenorhabditis	Uranium Cadmium	DEB	(Margerit et
	elegans		mixture	al., 2015)

652

2 Table 3 Applications of DEB theory for modelling combined toxicity of chemical mixtures

## 4.3. Using DEB models for read across between species and chemical and natural stressors

654 Several DEB based publications demonstrated that good results in toxicity predictions for 655 compounds with no experimental data available or for inter-species extrapolation in data-656 sparse conditions. This has been illustrated for a wide range of chemicals including metals, 657 narcotics and various kinds of pesticides as well as impact of food limitation or temperature 658 stress both on an individual and on a population level.

659

660 The DEBtox approach, particularly in the context of exposure chemical mixtures, is often 661 challenged for requiring a 'substantially higher data demand' compared with standard approaches e.g. (Backhaus et al., 2013). This holds true for the characterisation of  $LC_x$  or 662 *EC<sub>x</sub>* values for mixtures based on fixed time-points as well for more elaborate experimental 663 designs often lacking experimental data and requiring simplification of models (Jager et 664 al., 2014a). However, the DEB modelling approach has an important asset providing a 665 quantitative tool to test mixture toxicity for any x value in  $LC_x$  or  $EC_x$  (including zero) 666 667 without the need to assess 50% effect level for random time point which may vary widely 668 across species. Most often, environmental risk assessors would then need to apply a safety 669 factor as 50% of effect may be considered too high and not protective enough for the taxa. 670 If the standard mixture models are applied to assessments other than the fixed time 50% 671 effect level, they then become substantially more data intensive compared with the DEBbased approach. In addition, a successful approach has been developed to predict combined 672 673 toxicity of a real life complex environmental mixture based on the TK-TD based DEB approach, readily available data combined with read across and QSAR applications (Baas 674 675 et al., 2009b). In this case, standard methods to model mixture toxicity namely 676 Concentration addition (CA) (Hewlett and Plackett, 1959) and Independent action (IA) (Bliss, 1939) both failed to make reliable predictions for the complex environmental 677 678 mixture.

679

Finally, DEB models do not have the flaw of a single time point  $LC_{50}$  48 hr which may need to be extrapolated to different exposure time. This also holds true for the extrapolation between mixture exposure expressed as toxic units to the effect size (i.e. here percentage of effect) (Baas et al., 2016b). Table 4 gives an overview of this application of DEB theory, including effects of temperature and food limitation.

- 685
- 686

Phylum	Species	Stressor (s)	DEB Model	Reference
Chordata	Merluccius	PCB accumulation	DEB	(Bodiguel et al.,
	merluccius			2009)
Chordata	Danio rerio	Population growth	DEB IBM	(Beaudouin et al.,
				2015)

Copepoda	Calanus sinicus	Temperature	DEBkiss	(Jager et al., 2015)
Crustacea	Daphnia magna	Food limitation	Matrix Simplified DEB	(Nisbet et al., 2010)
Crustacea	Daphnia magna	Pesticide mixtures	DEB mixture survival	(Baas et al., 2016b)
Crustacea	Daphnia magna	Pesticide mixtures	DEB mixture survival	(Baas et al., 2009b)
Mollusca	Crassostrea gigas	Harvesting oysters	DEB IBM	(Bacher and Gangnery, 2006)
Mollusca	Lymnea stagnalis	Food limitation	DEB	(Zimmer et al., 2012)
Mollusca	Lymnea stagnalis	Food limitation	DEBkiss	(Jager et al., 2013b)
Mollusca	Macoma Balthica	population dynamics	Lotka DEB	(Kooi and van der Meer, 2010)

Table 4 The use of DEB theory in data sparse conditions and for non-standard stressorslike temperature or food limitation

689

690 4.4 Using DEB models to quantify interspecies differences in toxico-kinetics and toxico-

691 dynamics of chemicals

692 Recently, DEB-based models have been developed to quantify interspecies differences in

693 TK and TD and are summarised in table 5. For example, chronic time-course toxicity

bioassays (10-day) have been performed in three bee species (honey bee, solitary bee and

bumble bee) for six chemicals and six mixtures and survival DEB-models were fitted to
the experimental data to determine the elimination and killing rate of the individual
compounds and mixtures. To date, these results provided the first time-course chronic
datasets and inter species comparison for three bee species (Heard et al., 2017; Hesketh et
al., 2016; Robinson et al., 2017).

700

Phylum	Species	Stressor (s)	DEB Model	Reference
Arthropoda	Apidea	Pesticides/metals	DEB survival	(Hesketh et al.,
				2016)
Arthropoda	Apis mellifera,	Pesticides/metals	DEB survival	(Heard et al.,
	Bombus			2017)
	terrestris, Osmia			
	bicornis			
various	Various	narcotics	DEB survival	(Baas et al.,
				2015)
various	Various	pesticides	DEB survival	(Baas and
				Kooijman, 2015)

- 702 Table 5. DEB-based models investigating interspecies differences in toxico-kinetics and
- 703 toxico-dynamics

#### **5. Future directions and conclusions**

705 This review provides an account of the DEB theory and applicability of DEB models to 706 assess chemical toxicity on individuals and population dynamics of aquatic and terrestrial 707 organisms. A key component of the approach is the separation of TK and TD processes, 708 which provides (1) elimination rate as a key TK parameter, (2) time-independent toxicity 709 parameters describing toxic effects for different endpoints and integrating them within one 710 consistent framework using databases such add my pet providing parameters for the 711 standard DEB model. As a consequence, DEB models also provide a tool to quantify 712 interspecies differences in TK and TD processes thus providing a basis for predictive 713 modelling across taxa and chemical space.

714

715 In order to further enhance the applicability of generic DEB models for the modelling of population dynamics in terrestrial and aquatic organisms, a collaborative project between 716 717 EFSA (Italy), the Centre for Ecology and Hydrology (UK), the High North Research 718 Centre for Climate and the Environment (Norway) and Terraprima (Portugal) is exploring 719 the development of open source DEB tools in R and their Applications in ERA for 720 modelling toxicity of single and multiple chemicals at the individual and population level. This project further support the application of biologically-based models in ERA including 721 722 PB-TK models as review elsewhere (Gresh et al., 2016).

723

Further work is recommended in this area to further support the application of biologicallybased models in ERA including: 1. Development of open source databases providing *taxa specific parameters* (physiological parameters, molecular information on taxa specific

727 traits (e.g. receptor sequences, adverse outcome pathways etc..) and chemical specific 728 parameters (physico-chemical properties, toxicity and TK parameters etc..). 2. Design of 729 toxicity studies should be designed to provide and understanding of both TK and TD 730 dimension to provide time-independent toxicological threshold, elimination rate of the compound and potency information on the compound. Examples of such study designs 731 include the recent interspecies comparison studies in honey bees, solitary bees and bumble 732 bees to investigate chronic toxicity (10 day) of pesticides and contaminants (single and 733 734 mixtures).

735

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739

## 740 Disclaimer

- 741 The opinions expressed in this study are those of the authors and do not necessarily reflect
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