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1 Socio-economic impact classification of alien taxa (SEICAT)

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48 Author contributions

- 49 SB and SK conceived the ideas and designed methodology, SK classified the amphibians, SB wrote the
- 50 first draft of the paper, and all authors contributed to ideas and critically reviewed and edited the
- 51 manuscript and gave final approval for publication.

52 Competing Interests

53 The authors have declared that no competing interests exist.

55 Abstract

| 56 | 1. Many alien taxa are known to cause socio-economic impacts by affecting the different constituents |
|----|--|
| 57 | of human well-being (security; material and immaterial assets; health; social, spiritual and cultural |
| 58 | relations; freedom of choice and action). Attempts to quantify socio-economic impacts in monetary |
| 59 | terms are unlikely to provide a useful basis for evaluating and comparing impacts of alien taxa |
| 60 | because they are notoriously difficult to measure and important aspects of human well-being are |
| 61 | ignored. |
| 62 | 2. Here we propose a novel standardised method for classifying alien taxa in terms of the magnitude |
| 63 | of their impacts on human well-being, based on the capability approach from welfare economics. The |
| 64 | core characteristic of this approach is that it uses changes in peoples' activities as a common metric |
| 65 | for evaluating impacts on well-being. |
| 66 | 3. Impacts are assigned to one of five levels, from Minimal Concern to Massive, according to semi- |
| 67 | quantitative scenarios that describe the severity of the impacts. Taxa are then classified according to |
| 68 | the highest level of deleterious impact that they have been recorded to cause on any constituent of |
| 69 | human well-being. The scheme also includes categories for taxa that are Not Evaluated, have No |
| 70 | Alien Population, or are Data Deficient, and a method for assigning uncertainty to all the |
| 71 | classifications. To demonstrate the utility of the system, we classified impacts of amphibians globally. |
| 72 | These showed a variety of impacts on human well-being, with the cane toad (Rhinella marina) |
| 73 | scoring Major impacts. For most species, however, no studies reporting impacts on human well-being |
| 74 | were found, i.e. these species were Data Deficient. |
| 75 | 4. The classification provides a consistent procedure for translating the broad range of measures and |
| 76 | types of impact into ranked levels of socio-economic impact, assigns alien taxa on the basis of the |
| 77 | best available evidence of their documented deleterious impacts, and is applicable across taxa and at |
| 78 | a range of spatial scales. The system was designed to align closely with the Environmental Impact |

- 79 Classification for Alien Taxa (EICAT) and the Red List, both of which have been adopted by the
- 80 International Union of Nature Conservation (IUCN), and could therefore be readily integrated into
- 81 international practices and policies.
- 82 Key words: alien species, impacts, human well-being, capability approach, socio-economy

83 Introduction

84 Biological invasions are a major driver of global change and can cause high costs to recipient 85 environments and socio-economies (Pimentel et al. 2005; MEA 2005; Bellard et al. 2016). However, 86 the impacts caused by alien species vary markedly between species and contexts (Ricciardi & Cohen 87 2007; Pyšek et al. 2012; Kumschick et al. 2015a,b), and there is substantial debate as to their severity 88 and scale (Davis et al. 2011, Simberloff et al. 2011, 2013). A challenge for invasion science is to 89 provide transparent and comparable measures of impact based on clear and explicit definitions 90 (Hulme et al. 2013, Jeschke et al. 2014). What has largely been missing from the invasion science 91 toolbox is a standard method for quantifying impacts using a common metric so that they can be 92 compared across impact types, regions or species (Nentwig et al. 2010). Such a method is essential to 93 ensure that the documentation of impacts of alien taxa is objective, transparent and can underpin 94 efforts to prioritise species for policy and management. In this context, prioritisation is defined as the 95 process of ranking alien taxa for the purpose of determining their relative impacts, both 96 environmental and socio-economic, and implementing necessary management actions (McGeoch et 97 al. 2016). As such, the adoption of this method may contribute to key global policy measures aimed 98 at addressing the problems associated with biological invasions, such as the Convention on Biological 99 Diversity's (CBD) Strategic Plan for Biodiversity 2020 and associated Aichi Target 9 for biological 100 invasions (UNEP, 2011).

101

A pragmatic solution for comparing diverse environmental impacts was recently developed: the Environmental Impact Classification for Alien Taxa, or EICAT (Blackburn et al. 2014; Hawkins et al. 2015). EICAT translates impacts caused through a broad range of mechanisms into five ranked levels of impact from "Minimal Concern" to "Massive". As these are measured in the same metric (impact on native biodiversity from individuals to communities), the magnitude of different impacts can be directly, consistently and transparently compared. EICAT is receiving increasing international support

| 108 | and has recently been adopted by the IUCN (<u>https://portals.iucn.org/congress/motion/014</u> ; accessed |
|-----|--|
| 109 | 20 April 2017). |
| 110 | |
| 111 | EICAT focuses on environmental impacts only. However, alien species are also known to have socio- |

economic impacts which should also be accounted for in any management decision (Crowley et al.

113 2017). This suggests the urgent need to develop a system to assess the full socio-economic impacts

of alien taxa. Such a system may also help differentiate social and environmental impacts despite the

obvious interconnections between humans and their environments (Crowley et al. 2017) and to

address synergies and trade-offs between these impact types.

117

118 In Europe, more alien taxa are documented as causing socio-economic than ecological impacts, 119 probably because the former are more readily perceived and are immediately reported by concerned 120 people (Vilà et al. 2010). Although there is some correlation between environmental and socio-121 economic impacts across species (Kumschick et al. 2015b), socio-economic impacts cannot reliably be 122 inferred from their impact on the environment, e.g. the tiger mosquito (Aedes albopictus) probably 123 has a relatively low impact on biodiversity, but clearly a very high impact on human health. However, 124 no robust and unified solution is available for comparing socio-economic impacts among alien taxa. 125 Most attempts to quantify and compare these involve utilitarian approaches of monetising their 126 costs (Zavaleta 2000; Reinhard et al. 2003, Born et al. 2005). This seems an obvious route for 127 quantifying socio-economic impacts. Yet it is unlikely that monetising impacts will provide a useful 128 basis for comparison because converting all impacts into monetary costs is difficult, if not impossible 129 (Hoagland & Jin 2006). For example, the most comprehensive attempt to quantify the costs of alien 130 taxa in the European Union came up with a total estimate of 12.5 billion Euros/year (Kettunen et al. 131 2010). The authors were careful to emphasise that this is a minimum estimate because many species 132 and impacts were excluded. Moreover, monetary estimates of socio-economic costs vary

| 133 | considerably depending on the accounting method used (Born et al. 2005). In particular, such values |
|-----|---|
| 134 | are often derived solely from management costs and research (Scalera 2010). While costs associated |
| 135 | with management can often be readily calculated (e.g. pesticide costs, human labour), they do not |
| 136 | allow a straightforward assessment of a species' impacts before or without control, and they are |
| 137 | highly context-dependent (e.g. wages may vary widely between different countries). Furthermore, |
| 138 | socio-economic impacts of alien taxa can be more appropriately reduced by technology or adaptive |
| 139 | behaviour in affluent countries as opposed to poor countries where alien taxa can, in extreme cases, |
| 140 | lead to the collapse of socio-economic sectors, thereby causing irreversible societal changes. |
| 141 | Utilitarian approaches have difficulties in capturing such context dependence. But more importantly, |
| 142 | many aspects of human life that alien taxa could impact upon (e.g. health, security, culture) are |
| 143 | usually not included when monetising impacts. |
| 144 | To capture the full socio-economic impacts of an alien taxon, dimensions that go beyond monetary |
| 145 | costs must be considered (Turnhout et al. 2013). This is why it seems most promising to concentrate |
| 146 | on changes in peoples' well-being as described by how they are being impacted by changes in their |
| 147 | environment (including the influence of alien taxa). It has been shown that human well-being is |
| 148 | context-dependent and should not be assessed solely in terms of wealth (Diener & Seligman 2004). |
| 149 | Moreover, it depends to a large extent on peoples' position relative to their opportunities |
| 150 | (capabilities) rather than on absolute values (Diener & Seligman 2004). Pejchar & Mooney (2009) |
| 151 | suggested that the most appropriate measure of socio-economic impact of alien taxa should take |
| 152 | into account the number of people affected and the magnitude of the impact on their lives, i.e. on |
| 153 | their well-being. |
| | |
| 154 | Previous attempts to unify socio-economic impacts in a comparable metric other than money (e.g. |
| 155 | GISS: Nentwig et al. 2010; Harmonia+: D'hondt et al. 2015) are based on variable descriptions of |
| 156 | different impact scenarios. This makes comparisons between categories of socio-economic impacts |
| 157 | difficult. We propose a novel standardised system based on human well-being for classifying alien |
| 158 | taxa in terms of their socio-economic impacts. This system aims to be a practical tool that can: (i) be |

| 159 | used to identify the magnitude of socio-economic impacts of alien taxa; (ii) considers the context |
|-----|---|
| 160 | dependency of impacts, thereby facilitating comparisons of impacts among regions and taxa; (iii) |
| 161 | facilitates predictions of potential future impacts of the species in the target region and elsewhere; |
| 162 | and (iv) aids in the prioritisation of alien taxa and relevant introduction pathways for management |
| 163 | actions. The proposed Socio-Economic Impact Classification for Alien Taxa (SEICAT) has the same key |
| 164 | properties as (and is thus complementary to) the EICAT scheme (Blackburn et al. 2014). Like EICAT, |
| 165 | SEICAT focuses on deleterious impacts, and classifies species on the basis of the best available |
| 166 | evidence of their most severe documented impacts in regions to which they have been introduced. |
| 167 | The goal of SEICAT, like other risk assessments, is not to weigh deleterious against beneficial impacts |
| 168 | to determine the net value of an introduction of an alien taxon, but rather to highlight potential |
| 169 | consequences. It provides a consistent procedure for translating the broad range of impact types and |
| 170 | measures into ranked levels of socio-economic impact, and is applicable across taxa and at various |
| 171 | spatial scales. |

173 Theoretical background and the need for a pragmatic approach

174 Many multidimensional indices of well-being have been developed, most of them for assessments of 175 poverty (Decang & Lugo 2013). However, as far as we know, none specifically assess changes to 176 human well-being via changes in the environment. Our framework is based on the capability 177 approach to assess human well-being in welfare economics and social sciences (Sen 1999, Robeyns 178 2011). This approach has become a paradigm in human development policy. It has inspired, among 179 other things, the creation of the Human Development Index (HDI) of the United Nations (Anand 180 1994), and has been identified as a promising approach for evaluating effects of environmental 181 changes on society (Hicks et al. 2016).

The core characteristic of this approach is its focus on what people are able to do and to be in their
life, i.e. on their general capabilities. Examples include peoples' opportunities to be educated, and

their ability to move around and enjoy supportive social relationships (Robeyns 2011). A people's set
of capabilities is determined by environmental factors, economic settings, and social context (Figure
1a). Of the given opportunities (capabilities), people choose a set of activities to engage in (their
realised activities) according to their personal and cultural preferences. The capabilities are strongly
linked to peoples' well-being (Sen 1999).

189 Alien taxa can influence peoples' capabilities and realised activities via changes in environmental 190 factors, economic settings, or the social context (Figure 1b). Thereby, different constituents of 191 human well-being may be affected: security; material and immaterial assets; health; and social, 192 spiritual and cultural relations (Table 1; Narayan et al. 2000, Pejchar & Mooney 2009). These 193 constituents are analogous to the impact mechanisms in EICAT (Blackburn et al. 2014). The 194 overarching premise for all constituents is the freedom of choice and action, i.e. the opportunity to 195 be able to achieve what a person values doing and being. For example, the introduction of a new 196 crop into a region where many people are undernourished can enlarge the capabilities of people by 197 improving their health and access to material assets; this enables them to invest more time into 198 preferred activities. By contrast, introduction of crop pests generally reduces the capability set of 199 people because people would have to spend more resources (material and immaterial assets, e.g. 200 time, money) to compensate for the losses, switch to less preferred crops that are not attacked by 201 the pest, causing losses which may prevent e.g. their ability to send children to school. Such impacts 202 would be perceived as detrimental.

Moreover, an alien taxon can affect not only the whole set of potential activities directly, but can also influence the activities that are actually realised. For example, stinging alien animals (e.g. wasps, mosquitoes, jellyfish) can make areas unsuitable for outdoor activities by threatening human health (thereby reducing the capability set), but they can also indirectly (by threatening human safety) reduce the frequency of outdoor activities at sites where there are no aliens because of the fear of getting stung (thereby reducing the realised activities within the available capability set).

| 209 | |
|-----|---|
| 210 | Quantifying the impact of alien taxa on human well-being |
| 211 | In practice, we cannot measure the complete set of peoples' capabilities and how they have been |
| 212 | changed by an alien taxon, because many opportunities are not realised and thus remain |
| 213 | unrecognised. However, what is ultimately important for human well-being is how much the realised |
| 214 | activities of people have changed (Robeyns 2005a). Focusing on the magnitude of changes in realised |
| 215 | activities due to alien taxa facilitates the comparison of their impacts on well-being at various spatial |
| 216 | scales and in societies with different backgrounds. |
| 217 | We define an activity as any human endeavour that is, or could be, affected in its entirety by an alien |
| 218 | taxon. This includes agriculture, hunting, recreation, industry, tourism, and so on. Defining activities |
| 219 | is critical to the use of SEICAT, and will inevitably be different across different regions. A relatively |

220 straightforward possible consideration is to choose activities according to the nature of the impact of

an alien taxon such that all people in the focal region participating in the activity can be considered

as being potentially affected. In some regions, agriculture might be a relatively minor activity, and so

it can be considered as a single activity affected in its entirety by the alien taxon. In other regions it

224 might be necessary to consider different types of agriculture (e.g. cereal, market vegetables,

livestock) as separate activities. It should also be remembered that people engage in multiple

activities at a time and through time.

Impact assessments should always refer to a well-defined area (focal region); this may be a country, continent or some other geographically restricted area in which the alien taxon occurs (Blackburn et al. 2014). Within this region, SEICAT users may choose to weigh activities differently to account for different values placed upon them by society. This can ensure that, for example, the total loss of an activity engaged in by very few people could be appropriately assessed against a less severe impact that affects many people. More details about these and other practical considerations involved in implementing SEICAT are described in the Supporting Information.

| 234 | We define eight categories into which alien taxa can be classified according to the magnitude of |
|-----|---|
| 235 | changes in peoples' realised activities (Figure 2), detailed definitions of which are given in Table 2. |
| 236 | This classification is analogous to the IUCN Red List and EICAT schemes (Mace et al. 2008; Blackburn |
| 237 | et al. 2014, Hawkins et al. 2015). Five of the categories follow a sequential series of impact levels |
| 238 | described by semi-quantitative scenarios. These were designed so that each step change in category |
| 239 | reflects an increase in the order of magnitude of the particular impact; a new level of social |
| 240 | organization is involved at each step. The remaining categories are Not Evaluated (NE; for taxa that |
| 241 | have not yet been assessed), No Alien Population (NA; for taxa that have no known alien population), |
| 242 | and Data Deficient (DD; alien taxa for which there is inadequate information on impacts). |
| 243 | Alien taxa can have impacts on activities through effects on any of the constituents of human well- |
| 244 | being (Table 1), similar to environmental impacts being potentially caused through several |
| 245 | mechanisms in EICAT. During an assessment, all available evidence is gathered on socio-economic |
| 246 | impacts of an alien taxon in its introduced range. For the final classification of the alien taxon, the |
| 247 | highest deleterious impact level through any of the constituents of human well-being on an activity is |
| 248 | reported. |
| 249 | |
| 250 | Reporting |

251 Since the proposed impact classification regards the whole socio-economic system as one entity 252 determining human well-being, the maximum score found in any of the activities assessed is decisive 253 for the final outcome (analogous to EICAT; Blackburn et al. 2014). It is, however, recommended that 254 the magnitude of impacts on all activities affected by the alien taxon be reported to allow other ways 255 of summarising the results, e.g. as systematic reviews, or frequency distribution of SEICAT scores. It 256 should also be reported which constituents of well-being are affected by each impact. Furthermore, 257 different activities might be of interest to different stakeholders involved in decisions made 258 regarding the management of alien taxa. Since the (perceived) impact of a species can change over

| 259 | time (Strayer et al. 2006), we suggest reporting the current maximum impact score and the |
|-----|--|
| 260 | maximum score ever achieved in history (Hawkins et al. 2015). The latter is a proxy of the potential |
| 261 | maximum impact the species can achieve. It should be noted that some alien taxa have positive |
| 262 | impacts on human well-being and can increase peoples' capabilities which would become apparent |
| 263 | through an increase in selected activities (e.g. Pienkowski et al. 2015). These positive impacts need to |
| 264 | be taken into account when making management decisions, but are not scored in SEICAT. However, |
| 265 | SEICAT could provide a framework for scoring such positive impacts on human well-being. |
| 266 | |
| 200 | |
| 267 | Properties of the classification |
| 268 | SEICAT provides a common metric for all detrimental effects caused by alien taxa on socio-economy. |
| 269 | In contrast to other schemes that rely on monetary values, it assesses the entire spectrum of possible |
| 270 | impacts on human well-being and social structures. SEICAT provides a process for translating the |
| 271 | broad range of impact measures into ranked levels according to observed changes in peoples' |
| 272 | activities. It therefore allows distinction between taxa with different magnitudes of impact and |
| 273 | provides a framework for comparing impacts among taxa, mechanisms, particular |
| 274 | introduction/invasion events and regions. Analogous to EICAT, SEICAT can be used to flag species |
| 275 | with high potential impacts. However, the context-dependency of impacts should be considered |
| 276 | when transferring impacts from one region to another (see Supporting Information). |
| 277 | The classification is dynamic and should be based on the best available evidence. Hence, species can |
| 277 | move between impact categories as new data become available, for example if the quality of |
| 270 | evidence improves socio-economic or environmental conditions change an invasion proceeds or is |
| 279 | successfully managed. The classification can bandle the lack of knowledge on some components of |
| 280 | |
| 281 | well-being, because it uses the maximum known impact. It thus identifies knowledge gaps and helps |
| 282 | focus research to improve impact classification over time (see Supporting Information). The SEICAT |
| 283 | protocol can be applied to assess impacts at a range of spatial scales, allowing national, continental, |

and global categorisation of impacts. It can therefore inform national or global assessment schemes
in which species are assigned to management lists depending on their impacts (see Supporting
Information). Finally, SEICAT considers only impacts on human well-being, but in combination with
EICAT it is possible to assess environmental and socio-economic impacts in concert, thus evaluating
the complete spectrum of deleterious impacts of alien taxa.

289

290 Congruency of SEICAT and EICAT

291 The properties of SEICAT align with those of EICAT, mostly due to their structural similarity. The 292 assessment units in EICAT are the native species in the local communities, and the irreversible loss of 293 a native species from the local community is regarded as a Massive environmental impact. Similarly, 294 the assessment units in SEICAT are human activities. Consequently, the complete irreversible loss of 295 an activity (e.g. cereal farming) caused by an alien taxon from a local social community (e.g. a human 296 settlement) is considered as a Massive impact on human well-being. In EICAT, impacts accumulate 297 through different impact mechanisms, whereas in SEICAT impacts accrue at the level of constituents 298 of human well-being (Table 1). Combining the two classification schemes for a complete assessment 299 of negative effects on the recipient systems can inform evidence-based listing processes (e.g. 300 Kumschick et al. 2016). For example, alien taxa that score high in both schemes can be identified and 301 prioritised for management actions. Also, different stakeholder groups might weigh environmental 302 and socio-economic impacts differently allowing them to use different weights for EICAT and SEICAT 303 scores according to their needs or beliefs. Both SEICAT and EICAT follow a similar approach to that 304 used in the widely adopted Red Listing approach of the IUCN, which paves the way for integration 305 with existing management and policy procedures.

306

| 307 | Application | |
|-----|-------------|--|
| | | |

To illustrate the applicability and usefulness of SEICAT, we assessed all alien amphibians globally (104 308 309 species; Measey et al. 2016). In addition to the references found by Measey et al. (2016), we 310 supplemented their literature search focussing only on socio-economic impacts. We used the 311 scientific species name as a search term in databases such as Google scholar, ISI Web of Knowledge 312 and databases specific to amphibians and alien species, manually filtering through the sources 313 identified by reading titles and (if applicable) abstracts. We then looked for references in the 314 resulting sources until no further records of impact were found. Suitable data for socio-economic 315 impacts was found in 20 articles/reports for 44 impacts involving 7 species (Supporting Information 316 Table S1). Impacts covered almost all impact classes: the cane toad, *Rhinella marina*, was the only 317 species scoring MR, affecting several constituents of human well-being but most importantly leading 318 to abandonment of certain cultural practices in Aboriginal communities in Australia due to the loss of 319 totem species (van Dam et al. 2002). However, these impacts were considered to be reversible after 320 control of the toad and thus we currently did not classify these as MV. The Asian common toad, 321 Duttaphrynus melanostictus, has been reported to have caused death of a child in Timor after eating 322 a toad meal; however no further changes in social activities were reported (Trainor 2009). This 323 consequently resulted in a classification as MO (fewer people participating in activities). We 324 acknowledge that the death caused by an alien might lead to a change in the activities of other 325 people, but such changes are rarely reported. A major reason for the lack of reporting is probably 326 that impacts through e.g. food poisoning caused by eating toxic animals and plants can be easily 327 avoided and are therefore not causes of major concern for human well-being in most regions despite 328 their potentially severe consequences. This is in contrast to risks that cannot be directly controlled, 329 e.g. exposure to allergenic pollen produced by an alien plant. Such less controllable risks can have 330 much more far-reaching impacts on human well-being and affect larger parts of societies. Three 331 species were classified as MN: the coqui frog, *Eleutherodactylus coqui*, is widely reported to have 332 large socio-economic impacts due to noise pollution, but the only impact on human activities which

and the second second

| 333 | was reported was a decline in property trade due to increased real-estate prices in affected areas in |
|-----|--|
| 334 | Hawaii (Kaiser & Burnett 2006). Thus, houses are still being sold and traded, but the activity of |
| 335 | property trade is not doing as well when the frog is present. Also, human health might be affected by |
| 336 | the noise levels, but reports were lacking. A congener of the coqui frog, E. planirostris, affects the |
| 337 | nursery trade as plant shipments need to be treated. However, no other effects on trade were |
| 338 | reported, and the activity did not seem to be reduced, but was just more onerous (Olson et al. 2012). |
| 339 | Various minor impacts were also reported for Osteopilus septentrionalis (Johnson 2007; see Table |
| 340 | S1). In the case of Hyla meridionalis, it was reported that they cause a "deafening noise" (assuming |
| 341 | this is not meant literally), without mention of any impacts on e.g. human health or activities being |
| 342 | negatively affected in any specific way (Cheylan 1983); therefore, this was classified as MC. The |
| 343 | African clawed frog, Xenopus leavis, was classified as data deficient (DD) because the only impact |
| 344 | reports were from the native range where it can affect fisheries. A further 98 species for which no |
| 345 | studies on their impacts were found were also classified as DD (Supporting Information Table S1), |
| 346 | and all other amphibians had no record of alien populations and were consequently classified as NA |
| 347 | (not listed). |

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349 Most classifications (with the exception of *E. coqui*) were of low confidence due to the nature of the 350 reports, which were mainly based on observations and statements from affected people, but better 351 quality studies are lacking. It is expected that such reports currently constitute the main evidence of 352 impacts on human well-being until more systematic socio-economic studies that focus on changes in 353 human activities due to alien taxa are done. General guidelines on how to conduct such studies are 354 available (Palmer-Fry et al. 2017, Woodhouse et al. 2016) and we hope that the publication of SEICAT 355 triggers research in this direction. However, even with low quality data and in the presence of large 356 uncertainties, SEICAT allowed a clear, meaningful, and transparent ranking of the species, with the 357 cane toad causing the highest impact on human well-being, followed by the Asian common toad

(whose impacts can be largely avoided), while other amphibians caused only minor or negligibleimpacts.

360

| 361 | Comparing SEICAT and EICAT scores for amphibians for which both classifications are available (Table |
|-----|--|
| 362 | 3) shows that the scores are identical in only one species and that in general there is no good |
| 363 | correlation between both scores. In most species, the EICAT scores were higher than the SEICAT |
| 364 | scores, indicating that amphibians might tend to have stronger impacts on the environment than on |
| 365 | human well-being (assuming that EICAT and SEICAT classifications can be considered as equivalent). |
| 366 | However, because some species have larger environmental impacts and others higher impacts on |
| 367 | human well-being it is not possible to forecast socio-economic impact from environmental impacts |
| 368 | accurately (a simple regression model assuming no correlation between the two scores actually fits |
| 369 | better than a model assuming a linear relationship). It is currently not well understood which species |
| 370 | have high or low impacts and which are more likely to affect the environment or socio-economy, but |
| 371 | classification systems such as SEICAT and EICAT could be used to link such patterns to traits to |
| 372 | understand and forecast species with different types of impact. |
| | |

373

374 Conclusion and outlook

375 Considerable progress has been made recently on the quantification and classification of

environmental impacts of alien taxa (e.g. Blackburn et al. 2014; Hawkins et al. 2015; Kumschick et al.

377 2015a, b) but assessing their effects on human well-being remains a challenge. Possible exceptions

- are purely economic pests such as agricultural pests (Simberloff et al. 2013) or species affecting
- human health (Rabitsch et al. 2017). There is a general demand for socio-economic impacts to be
- included in the decision making process on the legal regulation of alien species in trade, e.g. under
- the new EU Regulation (1143/2014), when justification for prioritising species is needed. Additionally,
- 382 changes in SEICAT assessments over time (similar to the Red List Index of Invasive Alien Species from

| 383 | the Biodiversity Indicators Partnership; https://www.bipindicators.net/indicators/red-list-index/red- |
|-----|--|
| 384 | list-index-impacts-of-invasive-alien-species) could be used for developing an indicator of trends in |
| 385 | socio-economic impacts, which is of crucial importance to guide policy and management decisions |
| 386 | (Latombe et al. 2017; Rabitsch et al. 2016). Furthermore, socio-economic analyses can engage the |
| 387 | public in ways that information on environmental impacts does not (Genovesi et al. 2014; Simberloff |
| 388 | et al. 2013), thereby clarifying the framing of alien species problems (Woodford et al. 2016). |
| 389 | The global assessment of socio-economic impacts of alien amphibians shows that it is possible to |
| 390 | differentiate between alien species with different levels of impacts meaningfully, even in the |
| 391 | presence of uncertainty. The assessment also reveals that many impact descriptions are of low |
| 392 | quality leading to classifications with low certainty and that for some suspected impact mechanisms |
| 393 | information is not reported (e.g. presumed health effects due to noise). Furthermore, for the |
| 394 | majority of species, no socio-economic impacts were reported, and they have to be classified as DD |
| 395 | for the moment. The current classification, although useful, is dynamic and should therefore be seen |
| 396 | as a starting point; species' classifications might change in the future as more and better data |
| 397 | become available. As is the case with other classifications (e.g. Red List, EICAT), SEICAT classifications |
| 398 | should therefore be regularly revised and updated. |
| 399 | In summary, SEICAT can aid policy makers creating policies for alien taxa and allocating funds to |
| 400 | prevention and control programmes (Scalera 2010) as well as research activities (e.g. by identifying |
| 401 | knowledge gaps, traits of species with high impacts etc.). Assessments can also be used as |
| 402 | transparent and consistent indicators to raise awareness on alien taxa and to strengthen public |
| 403 | support for policy measures (Smeets & Weterings 1999). |
| 404 | |

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424 Data Accessibility

425 Data deposited in the Dryad repository: <u>http://datadryad.org/resource/doi:10.5061/dryad.4g622</u>.
426

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593 List of Supporting Information

- 594 SI1 Details of SEICAT application
- 595 SI2 Table S1 SEICAT Application to Amphibians
- 596
- 597

- 598 Figure 1: (a) A person's capability set depends on environmental factors, economic settings (goods &
- services), and the social context. From this set, people select the activities they want to achieve
- 600 (realised activities). (b) Alien taxa can reduce peoples' opportunities via changes in environmental
- 601 factors, economic settings or the social context. SEICAT defines negative impacts as losses in realised
- 602 activities attributable to an alien taxon (black hatched area).
- 603
- 604 Figure 2: Socio-Economic Impact Classification of Alien Taxa SEICAT (after Blackburn et al. 2014;
- Hawkins et al. 2015). Detailed descriptions of the classes are given in Table 2.

- 606 **Table 1**: Constituents of human well-being and examples of their subcategories (after MEA 2005).
- 607 The overarching premise for all constituents is the freedom of choice and action, i.e. the opportunity
- to be able to achieve what a person values doing and being.

| Constituents of human well-being | Examples | | |
|--|---|--|--|
| Safety | Personal safety | | |
| | Secure resource access | | |
| | Security from disasters | | |
| Material and immaterial assets | Adequate livelihoods | | |
| | Sufficient nutritious food | | |
| | Shelter | | |
| | Access to goods | | |
| Health | Strength | | |
| | Feeling well | | |
| | Access to clean air and water | | |
| Social, spiritual and cultural relations | Social, spiritual and cultural practice | | |
| | Mutual respect | | |
| | Friendship | | |

- 610 **Table 2:** Description of socio-economic impact classification of alien taxa according to observed
- 611 changes in peoples' activities.

| Impact | Description | | | | | | |
|----------------|---|--|--|--|--|--|--|
| classification | | | | | | | |
| | | | | | | | |
| Minimal | An alien taxon is considered to have impacts of Minimal Concern when it has been | | | | | | |
| Concern MC | studied with regard to its impacts on human well-being, but no deleterious impacts | | | | | | |
| | have been reported. Taxa that have been evaluated under the SEICAT process but | | | | | | |
| | for which impacts have not been assessed in any study should not be classified in | | | | | | |
| | this category, but rather should be classified as Data Deficient. | | | | | | |
| | | | | | | | |
| Minor MN | Negative effect on peoples' well-being, such that the alien species makes it difficult | | | | | | |
| | for people to participate in their normal activities. Individual people in an activity | | | | | | |
| | suffer in at least one constituent of well-being (i.e. security; material and | | | | | | |
| | immaterial assets; health; social, spiritual and cultural relations). Reductions of | | | | | | |
| | well-being can be detected through e.g. income loss, health problems, higher effo | | | | | | |
| | or expenses to participate in activities, increased difficulty in accessing goods, | | | | | | |
| | disruption of social activities, induction of fear, but no changes in activity size, i.e. | | | | | | |
| | the number of people participating in that activity remains the same. | | | | | | |
| | | | | | | | |
| Moderate MO | Negative effects on well-being leading to changes in activity size, fewer people | | | | | | |
| | participating in an activity, but the activity is still carried out. Reductions in activity | | | | | | |
| | size can be due to various reasons, e.g. moving the activity to regions without the | | | | | | |
| | alien taxon or to other parts of the area less invaded by the alien taxon; partial | | | | | | |
| | abandonment of an activity without replacement by other activities; or switch to | | | | | | |
| | other activities while staying in the same area invaded by the alien taxon. Also, | | | | | | |

| | spatial displacement, abandonment or switch of activities does not increase hun | | | | | | |
|----------------------|--|--|--|--|--|--|--|
| | well-being compared to levels before the alien taxon invaded the region (no | | | | | | |
| | increase in opportunities due to the alien taxon). | | | | | | |
| | | | | | | | |
| Major MR | Local disappearance of an activity from all or part of the area invaded by the alien | | | | | | |
| | taxon. Collapse of the specific social activity, switch to other activities, or | | | | | | |
| | abandonment of activity without replacement, or emigration from region. Change | | | | | | |
| | is likely to be reversible within a decade after removal or control of the alien taxon. | | | | | | |
| | "Local disappearance" does not necessarily imply the disappearance of activities | | | | | | |
| | from the entire region assessed, but refers to the typical spatial scale over which | | | | | | |
| | social communities in the region are characterised (e.g. a human settlement). | | | | | | |
| | | | | | | | |
| Massive MV | Local disappearance of an activity from all or part of the area invaded by the alien | | | | | | |
| | taxon. Change is likely to be permanent and irreversible for at least a decade after | | | | | | |
| | removal of the alien taxon, due to fundamental structural changes of socio- | | | | | | |
| | economic community or environmental conditions ("regime shift"). | | | | | | |
| | | | | | | | |
| Data Deficient | There is inadequate information to classify the taxon with respect to its impact, or | | | | | | |
| DD | insufficient time has elapsed since introduction for impacts to have become | | | | | | |
| | apparent. | | | | | | |
| | | | | | | | |
| Data Deficient DD | economic community or environmental conditions ("regime shift"). There is inadequate information to classify the taxon with respect to its impact, or insufficient time has elapsed since introduction for impacts to have become apparent. | | | | | | |

- 614 **Table 3**: Socio-economic (this paper) and environmental impact (Kumschick et al. 2017) classification
- 615 of alien amphibians.

| | SEICAT | Confidence | EICAT | Confidence |
|--------------------------------|--------|------------|-------|------------|
| Rhinella marina | MR | low | MR | high |
| Duttaphrynus melanostictus | MO | low | MR | low |
| Eleutherodactylus coqui | MN | high | МО | high |
| Eleutherodactylus planirostris | MN | low | MC | medium |
| Hyla meridionalis | MC | low | МО | low |
| Osteopilus septentrionalis | MN | low | МО | low |



Figure 1: (a) A person's capability set depends on environmental factors, economic settings (goods & services), and the social context. From this set, people select the activities they want to achieve (realised activities). (b) Alien taxa can reduce peoples' opportunities via changes in environmental factors, economic settings or the social context. SEICAT defines negative impacts as losses in realised activities attributable to an alien taxon (black hatched area).



Figure 2: Socio-Economic Impact Classification of Alien Taxa SEICAT (after Blackburn et al. 2014; Hawkins et al. 2015). Detailed descriptions of the classes are given in Table 2.