

1 The palynology of the Lower and Middle Toarcian (Early Jurassic) in the Lusitanian  
2 Basin, western Portugal

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## 22 **ABSTRACT**

23 The uppermost Lower Jurassic (Lower and Middle Toarcian) succession of the northern  
24 Lusitanian Basin in western Portugal was examined for palynomorphs. Two localities,  
25 the Maria Pares and the Vale das Fontes sections, were sampled. The material spans the  
26 *Dactylioceras polymorphum*, *Hildaites levisoni* and *Hildoceras bifrons* ammonite  
27 biozones. The samples produced relatively low diversity dinoflagellate cyst floras which  
28 are typical of those from coeval European successions; the most abundant species is  
29 *Luehndea spinosa*. The other forms encountered were *Mancodinium semitabulatum*,

30 *Mendicodinium microscabratum*, *Mendicodinium spinosum* subsp. *spinosum*,  
31 *Mendicodinium* sp., *Nannoceratopsis ambonis*, *Nannoceratopsis gracilis* and  
32 *Nannoceratopsis senex*. Dinoflagellate cysts typically dominate throughout the  
33 *Dactyloceras polymorphum* ammonite biozone; they significantly decreased in relative  
34 proportions in the overlying *Hildaites levisoni* and *Hildoceras bifrons* ammonite  
35 biozones. The low diversity *Luehndea-Nannoceratopsis* dinoflagellate cyst flora of the  
36 Lusitanian Basin is characteristic of the Sub-Boreal region of Europe. This is a  
37 transitional region, intercalated between the Boreal and Tethyan realms. The Toarcian  
38 Oceanic Anoxic Event (T-OAE) in the Lusitanian Basin is characterised by a sudden  
39 decline in palynomorph abundance and diversity, including the virtual absence of  
40 acritarchs and dinoflagellate cysts. Following the T-OAE, *Mancodinium semitabulatum*  
41 and *Mendicodinium* spp. were the only dinoflagellate cysts recorded. This ‘blackout’ of  
42 dinoflagellate cysts during the T-OAE, and their partial recovery following the event, is  
43 indicative that the benthic anoxia was extremely high in intensity throughout the  
44 Lusitanian Basin.

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## 47 **1. Introduction**

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49 This aim of this study is to document the Lower Jurassic (Lower and Middle  
50 Toarcian) palynomorphs from the Figueira da Foz and Rabaçal areas in the northern  
51 part of the Lusitanian Basin in western Portugal, and to examine the response of  
52 dinoflagellate cysts and other marine microplankton to the Toarcian Oceanic Anoxic  
53 Event (T-OAE). Two successions were studied, the Maria Pares and Vale das Fontes  
54 sections which are located between Figueira da Foz and Rabaçal (Fig. 1).

55 The T-OAE occurred at c. 182 Ma, and is the oldest of the three major  
56 Mesozoic-Cenozoic oceanic anoxic events (Jenkyns, 2010, fig. 1). These events were  
57 amongst the most profound palaeoenvironmental perturbations during the Phanerozoic.  
58 The T-OAE was characterised by rapid rises in marine extinctions, marine stratification,  
59 oxygen reduction and seawater temperatures (Cohen et al., 2007; Suan et al., 2008a,b;  
60 2010; 2011). Oceanic Anoxic Events were global palaeoenvironmental phenomena, and  
61 the T-OAE was likely to have been caused by a massive carbon injection into the  
62 atmosphere from gas hydrates and/or methane release from sedimentary rocks due to

63 intrusive vulcanism (Hesselbo et al., 2000; Kemp et al., 2005; McElwain et al., 2005;  
64 Svensen et al., 2007; Hesselbo and Pieńkowski, 2011).

65 A distinctive prominent negative carbon isotope excursion ( $\delta^{13}\text{C}$ ), recorded in  
66 marine carbonates and sedimentary organic matter, characterises the T-OAE. In the  
67 Lusitanian Basin, the T-OAE has been confidently identified using carbon isotope  
68 evidence (Hesselbo et al., 2007; Suan et al., 2008a,b; Pittet et al., 2014). The  
69 distribution of dinoflagellates is influenced by factors such as benthic oxygen levels,  
70 light, nutrients, ocean currents, salinity, temperature and water depth (Dale, 1996).  
71 Hence Toarcian dinoflagellate populations would have been profoundly affected by the  
72 T-OAE (Bucefalo Palliani et al., 2002).

73 Previous studies on the Jurassic palynology of the Lusitanian Basin are Davies  
74 (1985), Mohr and Schmidt (1988), van Erve and Mohr (1988), Smelror et al. (1991),  
75 Bucefalo Palliani and Riding (1999a; 2003), Barrón and Azerêdo (2003), Oliveira et al.  
76 (2007) and Barrón et al. (2013). Only three of these included material from the Toarcian  
77 (Davies, 1985; Oliveira et al., 2007; Barrón et al. 2013). However dinoflagellate cysts  
78 were not discussed in detail by these authors; Davies (1985) is a purely  
79 biostratigraphical study, and Oliveira et al. (2007) and Barrón et al. (2013) mainly  
80 discussed pollen and spores.

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## 83 **2. Geological background**

84

85 The Lusitanian Basin is a marginal marine depocentre located in central western  
86 Portugal, and is oriented NE-SW (Fig. 1). It is 300 km long and 150 km wide, with a  
87 maximum basin fill of 5 km. The sediments are mainly Jurassic, but range from Upper  
88 Triassic to Paleogene. Its formation and evolution was related to the breakup of Pangaea  
89 during the opening of North Atlantic. Structurally, the Lusitanian Basin is divided into  
90 the northern, central and southern sectors, all of which are bounded by Variscan faults.  
91 Four rifting phases occurred between the Late Triassic and the Cretaceous (Azerêdo et  
92 al., 2003; Kullberg et al., 2013).

93 Throughout the Lusitanian Basin, most of the Toarcian comprises the São Gião  
94 Formation. This unit spans the *Dactylioceras polymorphum* to the lowermost  
95 *Dumortieria meneghini* ammonite biozones, and is subdivided into five members (Fig.

96 2; Duarte and Soares, 2002; Duarte, 2007). The type section of the São Gião Formation  
97 is the Maria Pares succession in the Coimbra-Rabaçal region, located in the northern  
98 part of the Lusitanian Basin (Fig. 1). This section exposes a continuous Toarcian–  
99 Aalenian succession which yields ammonite faunas throughout (Henriques, 1992;  
100 1995).

101         During the Early Jurassic, marine carbonate ramps rapidly formed in the  
102 Lusitanian Basin. Consequently Lower Jurassic strata are extensive, and are represented  
103 by a richly fossiliferous Pliensbachian and Toarcian interbedded marl-limestone  
104 succession (Comas-Rengifo et al., 2013; Mattioli et al., 2013; Henriques et al., 2014).  
105 However, the Lower Toarcian carbonate-dominated succession exhibits different facies  
106 (Duarte, 1997; Duarte and Soares, 2002; Azerêdo et al., 2014). The lowermost beds are  
107 fossiliferous grey marl and limestone couplets, belonging to the *Dactylioceras*  
108 *polymorphum* ammonite biozone (Azerêdo et al., 2003). The lower *Hildaites levisoni*  
109 ammonite biozone is represented by the Chocolate Marls, which are carbonate and  
110 sparsely fossiliferous; this unit is absent in the Maria Pares section. The middle  
111 *Hildaites levisoni* ammonite biozone normally comprises bioclastic limestones often  
112 rich in brachiopods. Marl-limestone alternations, similar to the lowermost Toarcian,  
113 constitute the upper *Hildaites levisoni* and *Hildoceras bifrons* ammonite biozones  
114 (Duarte, 1995).

115         The Lower and Middle Jurassic ammonite faunas of the Lusitanian Basin  
116 indicate a Sub-Boreal (Atlantic) affinity (Mouterde et al., 1979). However, mixed  
117 ammonite faunas indicate periodic communication between the Boreal and Tethyan  
118 realms (Terrinha et al., 2002). The earliest Toarcian *Dactylioceras polymorphum*  
119 ammonite biozone of the Mediterranean region is broadly coeval with the *Dactylioceras*  
120 *tenuicostatum* ammonite biozone of the Subboreal and Submediterranean realms (Fig.  
121 3). Succeeding this, the Mediterranean *Hildaites levisoni* ammonite biozone is the age  
122 equivalent of the *Harpoceras falciferum* ammonite biozone of northwest Europe and the  
123 *Harpoceras serpentinum* ammonite biozone of southern Europe (Fig. 3; Page, 2003).

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### 126         **3. Material and methods**

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128 In this study, two Toarcian successions from the northern part of the Lusitanian  
129 Basin were sampled. The most extensive of these is the Maria Pares section near  
130 Rabaçal, where fifty-four samples (prefixed PZ) were collected (Figs. 1, 4). This is the  
131 type section of the São Gião Formation, and four members were sampled. These are the  
132 Marly Limestones with *Leptaena* Facies (MLLF), the Thin Nodular Limestones (TNL),  
133 the Marls and Marly Limestones with *Hildaites* and *Hildoceras* (MMLHH), and the  
134 Marls and Marly Limestones with Sponge Bioconstructions (MMLSB) members. The  
135 other section examined was at Vale das Fontes, near the coast at Boa Viagem Mountain  
136 in the Cape Mondego region. Here, fourteen samples (prefixed PVF) were taken from  
137 the base of the São Gião Formation (Figs. 1, 5). They are all from the MLLF and the  
138 lowermost TNL members. The samples therefore span the *Dactylioceras polymorphum*,  
139 *Hildaites levisoni* and *Hildoceras bifrons* ammonite biozones. Pittet et al. (2014) located  
140 the T-OAE in the equivalent of sample PZ9 in the Maria Pares section and sample  
141 PVF14 in the Vale das Fontes section (Figs. 6, 7).

142 The samples were all prepared using standard palynological techniques  
143 comprising acid digestion (Wood et al., 1996), however the organic residues were not  
144 oxidised. All residues were sieved using a 15 µm mesh sieve. When possible, a  
145 minimum of 300 palynomorphs were counted for each sample. The samples, aqueous  
146 residues, microscope slides and figured specimens are all curated in the collections of  
147 the LNEG (Portuguese Geological Survey), São Mamede de Infesta, Portugal.

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## 150 **4. Palynology**

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### 152 *4.1. Introduction*

153 In this section, the Lower and Middle Toarcian palynofloras from the Maria  
154 Pares and the Vale das Fontes successions are described, interpreted and the relevant  
155 horizons related to the T-OAE. The percentages of the principal dinoflagellate cysts,  
156 and the overall dinoflagellate cyst associations are illustrated in Figs. 6 and 7.  
157 Additionally, the relative abundances of the six major palynomorph groups are  
158 documented (Figs. 8, 9). The aggregated percentages of the four groups of marine  
159 palynomorphs (i.e. acritarchs, dinoflagellate cysts, foraminiferal test linings and  
160 prasinophytes) are depicted in Figs. 10 and 11. Selected palynomorphs are illustrated in

161 Plates I and II, and Tables 1 and 2 are comprehensive palynomorph datasets for each of  
162 the two sections. In tables 1 and 2, all the palynomorphs which were identified are  
163 documented, and the data are presented as percentages of the respective overall  
164 palynoflora. The palynomorph taxa at and below species level which were recorded  
165 herein, or were mentioned in the text, are listed in Appendix 1 with their respective  
166 author citations. Appendix 2 is included to document our taxonomic concepts of the  
167 dinoflagellate cyst species *Nannoceratopsis gracilis* and *Nannoceratopsis senex*.

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#### 169 4.2. *The Maria Pares section*

170 Fifty-four samples were studied from four members of the São Gião Formation  
171 at the Maria Pares section in the Coimbra-Rabaçal region (Figs. 1, 4). The samples are  
172 assigned to the Lower and Middle Toarcian *Dactylioceras polymorphum*, *Hildaites*  
173 *levisoni* and *Hildoceras bifrons* ammonite biozones of the Mediterranean scheme (Figs.  
174 2–4; Mouterde et al., 1964-1965; Elmi et al., 1989). Two of the samples, PZ14 and  
175 PZ37, proved entirely barren of palynomorphs (Fig. 8, Table 1). However, eight  
176 dinoflagellate cyst taxa were encountered throughout this section. These are: *Luehndea*  
177 *spinosa*; *Mancodinium semitabulatum*; *Mendicodinium microscabratum*;  
178 *Mendicodinium spinosum* subsp. *spinosum*; *Mendicodinium* sp.; *Nannoceratopsis*  
179 *ambonis*; *Nannoceratopsis gracilis*; and *Nannoceratopsis senex* (Fig. 6, Plate I, Table  
180 1).

181 *Luehndea spinosa* was recorded from samples PZ1 to PZ8 (Table 1). All these  
182 occurrences are in the MLLF Member within the *Dactylioceras polymorphum*  
183 ammonite biozone. Due to the known range of *Luehndea spinosa*, the Maria Pares  
184 succession is no older than Late Pliensbachian (*Amaltheus margaritatus* ammonite  
185 biozone), and no younger than earliest Toarcian (*Dactylioceras polymorphum* ammonite  
186 biozone) by comparison with elsewhere in Europe (Morgenroth, 1970; Riding, 1987;  
187 Bucefalo Palliani and Riding, 1997a,b; 2000; 2003; Bucefalo Palliani et al., 1997a). The  
188 presence of *Luehndea spinosa* in the Maria Pares section is consistent with Davies  
189 (1985), who also recorded this species, as *Luehndea* sp. A, at Brenha, Peniche and  
190 Zambujal in the Lusitanian Basin between the Late Pliensbachian (*Emaciatoceras*  
191 *emaciatum* ammonite biozone) and the Early Toarcian (*Dactylioceras polymorphum*  
192 ammonite biozone).

193 In the Maria Pares section, the inceptions of *Mancodinium semitabulatum*,  
194 *Nannoceratopsis gracilis* and *Nannoceratopsis senex* are all in sample PZ1.

195 *Mancodinium semitabulatum* ranges throughout the entire succession, but  
196 *Nannoceratopsis ambonis*, *Nannoceratopsis gracilis* and *Nannoceratopsis senex* are  
197 confined to the MLLF, TNL and the lowermost MMLHH members (*Dactylioceras*  
198 *polymorphum* and *Hildaites levisoni* ammonite biozones) (Table 1). In Europe, the  
199 consistent range bases of *Mancodinium semitabulatum*, *Nannoceratopsis ambonis*,  
200 *Nannoceratopsis gracilis* and *Nannoceratopsis senex* are all Late Pliensbachian  
201 (Morgenroth, 1970; Woollam and Riding, 1983; Bucefalo Palliani and Riding, 2003;  
202 Poulsen and Riding, 2003). *Mancodinium semitabulatum* ranges from the Pliensbachian  
203 to the Early Bajocian (Riding, 1984a; Feist Burkhardt and Wille 1992; Riding and  
204 Thomas 1992), and *Nannoceratopsis ambonis* extends from the Late Pliensbachian to  
205 the Late Bajocian (Riding, 1984b). The overall ranges of *Nannoceratopsis gracilis* and  
206 *Nannoceratopsis senex* are Late Pliensbachian to Early Bajocian (Feist Burkhardt and  
207 Monteil, 1997; Poulsen and Riding, 2003). The presence of these four species is hence  
208 entirely consistent with an Early Toarcian age for the succession studied herein.

209 *Mendicodinium* was recorded sporadically, and in low abundances, between  
210 samples PZ16 and PZ54 at Maria Pares (Table 1). This interval spans the *Hildaites*  
211 *levisoni* and *Hildoceras bifrons* ammonite biozones. Three representatives were  
212 recognised. These are *Mendicodinium microscabratum*; *Mendicodinium spinosum*  
213 subsp. *spinosum* and *Mendicodinium* sp. Representatives of the typically, but not  
214 exclusively, southern European genus *Mendicodinium* are not present in the  
215 *Dactylioceras polymorphum* ammonite biozone herein (Table 1). This is inconsistent  
216 with the records from the lowermost Toarcian *Dactylioceras tenuicostatum* ammonite  
217 biozone reported by Bucefalo Palliani et al. (1997b) from central Italy, and the  
218 occurrences from the earliest Pliensbachian to the Early Toarcian (*Hildoceras bifrons*  
219 ammonite biozone) of the Lusitanian Basin documented by Davies (1985) and Bucefalo  
220 Palliani and Riding, 2003).

221 In the Maria Pares section, the relative abundance of dinoflagellate cysts  
222 decreased markedly at the T-OAE in the lower part of the *Hildaites levisoni* ammonite  
223 biozone (sample PZ9). From that point, the occurrences of dinoflagellate cysts remained  
224 low throughout the remainder of the *Hildaites levisoni* ammonite biozone and in the  
225 *Hildoceras bifrons* ammonite biozone (Figs. 6, 8, Table 1).

226 In the *Dactylioceras polymorphum* ammonite biozone, *Luehndea spinosa*  
227 dominates the dinoflagellate cyst assemblages. In samples PZ5, PZ6 and PZ7, this  
228 species represents 90.8%, 68.2% and 76.7% of the palynoflora respectively (Fig. 6,

229 Table 1). It is possible that this abundance peak represents a transgressive event (Pittet  
230 et al., 2014). *Nannoceratopsis gracilis* and *Nannoceratopsis senex* are also relatively  
231 common in the *Dactyloceras polymorphum* ammonite biozone, however they each  
232 never exceed 20% of the overall palynoflora (Fig. 6). *Nannoceratopsis ambonis* is rare,  
233 and is only present in sample PZ8 (Table 1). *Mancodinium semitabulatum* is present  
234 throughout the *Dactyloceras polymorphum*, *Hildaites levisoni* and *Hildoceras bifrons*  
235 ammonite biozones, and is the only species present in all three of these biozones. The  
236 range top of consistent *Nannoceratopsis* is in sample PZ8, in the *Dactyloceras*  
237 *polymorphum* ammonite biozone. Hence in the majority of the *Hildaites levisoni*  
238 ammonite biozone, and throughout the *Hildoceras bifrons* ammonite biozone,  
239 *Mancodinium semitabulatum* is present persistently in low numbers, occasionally co-  
240 occurring with sparse representatives of *Mendicodinium* spp. (Table 1).

241 Marine palynomorphs are significantly partitioned in the Maria Pares section.  
242 Dinoflagellate cysts dominate the palynomorph assemblages in the middle and upper  
243 part of the *Dactyloceras polymorphum* ammonite biozone. By contrast, small clumps of  
244 prasinophytes and dispersed specimens of this group dominate throughout the *Hildaites*  
245 *levisoni* and *Hildoceras bifrons* ammonite biozones (Figure 8, Table 1). The small  
246 clumped prasinophytes are probably referable to *Halosphaeropsis liassica*. Acritarchs,  
247 largely *Micrhystridium* spp., and foraminiferal test linings are also frequently present  
248 throughout this succession.

249 Pteridophyte spores such as *Cyathidites* spp., *Ischyosporites variegatus* and  
250 *Leptolepidites* spp., and the pollen grains *Alisporites* spp. and *Classopollis classoides*,  
251 also dominate in certain samples. However, these terrestrially-derived palynomorphs do  
252 not exhibit any coherent or specific trends (Fig. 8). The two lowermost samples from  
253 the *Dactyloceras polymorphum* ammonite biozone (PZ1 and PZ2) are especially rich in  
254 pollen and spores, notably *Alisporites* spp. and *Classopollis classoides* (Table 1). In  
255 contrast, between samples PZ3 and PZ9, marine palynomorphs consistently dominate.  
256 Stratigraphically higher than sample PZ9, some samples exhibit a relatively high  
257 terrestrial influence. However marine palynomorphs dominate most of these samples  
258 but, as previously stated, with no coherent and sustained patterns (Figs. 6, 8, Table 1).

259 In terms of palynomorph abundance and diversity during the T-OAE (sample  
260 PZ9), acritarchs, dinoflagellate cysts and foraminiferal test linings are entirely absent.  
261 The only marine palynomorphs present are prasinophytes; small clumps of  
262 prasinophytes dominate the assemblage. Together with dispersed specimens of



263 *Tasmanites* spp., prasinophytes comprise 94.9% of the overall palynoflora in sample  
264 PZ9 (Table 1). This dominance of prasinophytes, largely in the absence of dinoflagellate  
265 cysts, is typical of the T-OAE of northern and southern Europe (Wille, 1982a,b; Loh et  
266 al., 1986; Prauss; 1989; 1996; Prauss and Riegel 1989; Prauss et al., 1991; Bucefalo  
267 Palliani and Riding, 1999b; 2000; Bucefalo Palliani et al., 2002). Pteridophyte spores,  
268 including *Cyathidites* spp. and *Leptolepidites* spp., are present in low proportions in  
269 sample PZ9 (5.1%), but no pollen grains were encountered (Table 1).

270

#### 271 4.3. *The Vale das Fontes section*

272 Fourteen samples from the Lower Toarcian MLLF and TNL members of the São  
273 Gião Formation succession at Vale das Fontes, Boa Viagem Mountain were studied  
274 herein (Figs. 1, 5). They all proved palynologically productive, and are correlated with  
275 the *Dactylioceras polymorphum* and *Hildaites levisoni* ammonite biozones of the  
276 Mediterranean scheme (Figs. 2, 3, 5; Table 2; Elmi et al., 1989; Mouterde et al., 1964-  
277 1965). Five dinoflagellate cyst species were recorded, these are: *Luehndea spinosa*;  
278 *Mancodinium semitabulatum*; *Nannoceratopsis ambonis*; *Nannoceratopsis gracilis*; and  
279 *Nannoceratopsis senex* (Fig. 7, Table 2, Plate 1). This dinoflagellate cyst association is  
280 substantially similar to those from the coeval part of the Maria Pares section, however  
281 representatives of *Mendicodinium* were not found at Vale das Fontes. Dinoflagellate  
282 cysts are relatively common in the middle and upper part of the MLLF Member  
283 (*Dactylioceras polymorphum* ammonite biozone, samples PVF1-PVF13), but they are  
284 absent in the TNL Member (*Hildaites levisoni* ammonite biozone, sample PVF14)  
285 (Figs. 7, 9; Table 2).

286 As in the Maria Pares section, *Luehndea spinosa* was found throughout the MLLF  
287 Member/*Dactylioceras polymorphum* ammonite biozone at Vale das Fontes. It is the  
288 most abundant dinoflagellate cyst in most of the samples (PVF4, PVF6–PVF9, PVF11–  
289 PVF13). In the middle and upper part of this unit/biozone, in samples PVF8, PVF9,  
290 PVF12 and PVF13, *Luehndea spinosa* attains 70.9%, 89.1%, 70.0% and 75.3% of the  
291 palynoflora respectively (Fig. 7; Table 2). As at Maria Pares, this major acme may  
292 represent a transgressive event (Pittet et al., 2014). The acme and the pattern of  
293 occurrences of this species at Vale das Fontes is, somewhat unsurprisingly, virtually  
294 identical to that at Maria Pares. The main acme of *Luehndea spinosa* underlies the T-  
295 OAE, and has two distinctive peaks with an intervening ‘swallow tail’ (Figs. 6, 7). The

296 presence of this species confirms that the MLLF Member is no younger than earliest  
297 Toarcian (subsection 4.2).

298 *Mancodinium semitabulatum*, *Nannoceratopsis gracilis* and *Nannoceratopsis senex*  
299 are also consistently present throughout the MLLF Member at Vale das Fontes. These  
300 species are persistent and relatively common, but they never exceed 16% (Table 2).  
301 Like with *Luehndea spinosa*, the profiles for *Mancodinium semitabulatum* and  
302 *Nannoceratopsis* spp. appear to be similar to those at Maria Pares. There are minor  
303 acmes of *Mancodinium semitabulatum* and *Nannoceratopsis* spp. stratigraphically  
304 below the abundance peak of *Luehndea spinosa* (Figs. 6, 7; Table 2). *Nannoceratopsis*  
305 *ambonis* is rare, as in the Maria Pares section. This species is sparsely present only in  
306 samples PVF2 and PVF5 in the lower part of the *Dactylioceras polymorphum* ammonite  
307 biozone (Table 2). The occurrence of *Mancodinium semitabulatum* and  
308 *Nannoceratopsis* spp. is compatible with an Early Toarcian age for this succession  
309 (subsection 4.2).

310 Only samples PVF1 and PVF4 in the lower part of the *Dactylioceras polymorphum*  
311 ammonite biozone at Vale das Fontes exhibit more terrestrial influence than marine, due  
312 mainly to the abundance of *Classopollis classoides* (Table 2). However, in the middle  
313 and upper *Dactylioceras polymorphum* ammonite biozone (samples PVF7–PVF13),  
314 marine influence dominates. This reaches a maximum of 94.7% marine palynomorphs  
315 in sample PVF9, largely due the abundance of *Luehndea spinosa* (Fig. 9, Table 2). As in  
316 the Maria Pares section, this marine acme is virtually all due to abundant dinoflagellate  
317 cysts stratigraphically below the T-OAE. There are five dinoflagellate cyst abundance  
318 peaks in samples PVF7, PVF8, PVF9, PVF12 and PVF13, (Fig. 7; Table 2). The pre-T-  
319 OAE dinoflagellate cyst acme is twin-peaked in both sections examined, with a  
320 ‘swallow-tail’ underlying a thick limestone bed (Figs. 6, 7).

321 Acritarchs (*Micrhystridium* spp.), foraminiferal test linings and prasinophytes are  
322 present in all the samples. Spores, such as *Cyathidites* spp. and *Kraeuselisporites*  
323 *reissingeri*, are also consistently present, generally in low percentages. Gymnospermous  
324 pollen, largely *Classopollis classoides* and *Alisporites* spp., is relatively common  
325 throughout (Table 2). There is a general decrease in the relative abundance of pollen up-  
326 section, but no other obvious coherent trends in the non-dinoflagellate cyst  
327 palynomorphs (Fig. 9). During the T-OAE (sample PVF14), no acritarchs or  
328 dinoflagellate cysts were recorded. This relatively sparse assemblage is dominated by

329 indeterminate spores; foraminiferal test linings, pollen and prasinophytes are also  
330 present (Table 2).

331

#### 332 4.4. Overview of the palynology of the two successions studied

333 The Maria Pares and Vale das Fontes Toarcian sections studied herein yielded abundant  
334 and moderately well-preserved palynofloras. The dinoflagellate cysts recognised  
335 comprise: *Luehndea spinosa*; *Mancodinium semitabulatum*; *Mendicodinium*  
336 *microscabratum*; *Mendicodinium spinosum* subsp. *spinosum*; *Mendicodinium* sp.;  
337 *Nannoceratopsis ambonis*; *Nannoceratopsis gracilis*; and *Nannoceratopsis senex* (Plate  
338 I, Tables 1, 2). This association is typical of the Lower and Middle Toarcian throughout  
339 Europe and adjacent regions (Wille, 1982a; Riding, 1984c; 1987; Davies, 1985; Riding  
340 et al., 1991; 1999; Koppelhus and Nielsen, 1994; Bucefalo Palliani and Riding, 1997a,b;  
341 1999a,b; 2000; 2003; Bucefalo Palliani et al., 1997b). *Luehndea spinosa* is confined to  
342 the MLLF Member, and is by far the most abundant species, followed by *Mancodinium*  
343 *semitabulatum*, *Nannoceratopsis gracilis* and *Nannoceratopsis senex* (Figs 6, 7; Tables  
344 1, 2). *Nannoceratopsis ambonis* was recorded occasionally in low numbers, and  
345 *Mendicodinium* is only sparsely present above the T-OAE in the Maria Pares section  
346 (Table 1). Dinoflagellate cysts dominate the palynomorph assemblages throughout the  
347 *Dactylioceras polymorphum* ammonite biozone (Figs 8, 9). *Luehndea spinosa* is the  
348 most stratigraphically significant species. The relative abundances of *Luehndea spinosa*,  
349 *Mancodinium semitabulatum* and *Nannoceratopsis* spp. are substantially similar in both  
350 sections, and it seems likely that the abundance peaks of *Luehndea spinosa* represent  
351 transgressive events (Figs. 6, 7; Pittet et al., 2014).

352 These dinoflagellate cyst records are generally consistent with previous research  
353 on the palynology of the Lower Toarcian of the Lusitanian Basin (Davies, 1985;  
354 Oliveira et al., 2007; Barrón et al., 2013). Davies (1985) identified *Luehndea spinosa*,  
355 *Mancodinium semitabulatum*, *Mendicodinium* spp., *Nannoceratopsis senex* and  
356 *Scrinocassis weberi*. The latter species was not recorded in this study. The Ponta do  
357 Trovão section, near Peniche is the Toarcian Global Stratotype Section and Point  
358 (GSSP), in the Peniche area (Elmi et al., 2007). *Mancodinium semitabulatum*,  
359 *Nannoceratopsis gracilis* and *Nannoceratopsis* sp. were identified from this important  
360 succession by Oliveira et al. (2007) and Barrón et al. (2013).

361 Pollen, prasinophytes and spores are also present in relatively high proportions.  
362 The pollen grains largely comprise *Alisporites* spp., *Araucariacites australis*,  
363 *Cerebropollenites macroverrucosus*, *Classopollis classoides* and *Perinopollenites*  
364 *elatoides*. The spores are chiefly *Cyathidites* spp., indeterminate forms and  
365 *Kraeuselisporites reissingeri* (Tables 1, 2). Most of the prasinophytes are either large,  
366 dispersed specimens of *Tasmanites* or clumps of small (c. 20 µm diameter) bodies  
367 which are referable to *Halosphaeropsis liassica* (see Mädlér 1968; Bucefalo Palliani  
368 and Riding, 2000, fig. 7I). By contrast, acritarchs and foraminiferal test linings are  
369 relatively sparse (Figs. 8, 9, Tables 1, 2). All the non-dinoflagellate cyst palynomorphs  
370 are entirely consistent with an Early Jurassic age (Srivastava, 1987; 2011; Weiss, 1989;  
371 Ziaja, 2006). The consistent presence of significant levels of marine palynomorphs  
372 indicates a sustained marine depositional setting relatively close to the sources of the  
373 terrestrially-derived palynomorphs.

374 The most abundant continental palynomorph recorded throughout both sections  
375 was *Classopollis classoides* (Tables 1, 2). *Classopollis* is a gymnosperm pollen genus  
376 produced by plants belonging to the extinct Mesozoic conifer family Cheirolepidiaceae  
377 (Francis, 1983). These were thermophilic and xerophytic conifers that tolerated semiarid  
378 conditions, and lived in both low-lying water margin and upland environments (Pocock  
379 and Jansonius, 1961; Batten, 1975; Filatoff, 1975; Srivastava 1976). These plants  
380 covered large areas, but their abundance declined sharply with increasing palaeolatitude  
381 (Vakhrameev, 1981; Riding et al. 2013). *Classopollis* has been reported from the Lower  
382 Jurassic of the Lusitanian Basin (Davies, 1985; Oliveira et al., 2007; Barrón et al.,  
383 2013). The presence of *Classopollis classoides*, and the absence of *Callialasporites*, is a  
384 characteristic of the Late Sinemurian to Early Toarcian interval (Helby et al. 1987;  
385 Quattrocchio et al., 2011). The bryophyte spore *Kraeuselisporites reissingeri* is present  
386 only in the *Dactylioceras polymorphum* ammonite biozone in the successions studied  
387 herein (Tables 1, 2). The range of this species is Late Triassic to Early Jurassic  
388 (Rhaetian–Early Sinemurian) of northwest Europe according to Morbey (1978) and  
389 Morbey and Dunay (1978). However, Barrón et al. (2010) reported *Kraeuselisporites*  
390 *reissingeri* from the Toarcian–Aalenian GSSP at Fuentelsaz, Spain.

391 The effects of the T-OAE are markedly evident in samples PZ9 and PVF14, due  
392 to the sharp decline in palynomorph diversity and numbers. Furthermore, acritarchs and  
393 dinoflagellate cysts are absent (Figs 6, 7; Tables 1, 2). Clumps of the small prasinophyte

394 *Halosphaeropsis liassica* dominate sample PZ9, indicating a strong marine trend (Figs.  
395 8, 10). By contrast, spores dominate sample PVF14 from the Chocolate Marls (Fig. 9).

396

397

## 398 **5. Comparison of the Lusitanian Basin marine palynofloras with other studies**

399

400 Fossil biotas, including dinoflagellate cysts, have allowed the characterisation of  
401 two distinct palaeogeographical areas in Europe. These are the northern Boreal Realm  
402 and the southern Tethyan Realm (Figure 12). They are separated by an intermediate  
403 Sub-Boreal region with mixed faunal/floral characteristics. This area comprises  
404 southern France, southern Germany, Hungary and Portugal (Bucefalo Palliani and  
405 Riding, 1997b; 2003).

406 During the Pliensbachian and Early Toarcian, dinoflagellate cyst associations  
407 from the Boreal Realm are dominated by *Luehndea spinosa*, *Mancodinium*  
408 *semitabulatum* and *Nannoceratopsis* spp. (Riding, 1987, fig. 3; Riding et al., 1999, fig.  
409 11; Bucefalo Palliani and Riding, 2000, fig. 3). The range base of the *Parvocysta* suite  
410 is in the *Hildoceras bifrons* ammonite biozone (Wille, 1982, fig. 2; Riding et al., 1991,  
411 fig. A2), however most of the constituent species of this group are typically Late  
412 Toarcian (Riding, 1984c, fig. 3). The northern area typically exhibits markedly higher  
413 species diversities than the Tethyan Realm (Bucefalo Palliani and Riding, 2003, figs. 2,  
414 3). This appears to be a sustained trend as this phenomenon was continued significantly  
415 later in the Jurassic (Riding and Michoux, 2013).

416 By contrast, Pliensbachian and Lower Toarcian successions of the Tethyan  
417 Realm yield lower diversity floras, including *Mancodinium semitabulatum* and  
418 relatively high levels of *Mendicodinium* spp. (Bucefalo Palliani and Riding, 2003, fig.  
419 3). *Mendicodinium* is also prominent in the Early Jurassic of Australia (Riding and  
420 Helby, 2001), hence appears to be a characteristically circum-Tethyan genus. However  
421 this genus is also present, in low proportions, in Denmark and northern England in the  
422 Boreal Realm (Poulsen, 1996; Bucefalo Palliani and Riding, 2000, fig. 3). Furthermore,  
423 in the Tethyan Realm, *Luehndea spinosa* and *Nannoceratopsis* spp. are never as  
424 prominent as they are further north, and the *Parvocysta* complex is virtually absent.

425 *Nannoceratopsis* is entirely absent throughout this interval in central Italy (Bucefalo  
426 Palliani and Riding, 2003).

427         The Early/Middle Toarcian dinoflagellate cyst floras recorded herein from the  
428 Lusitanian Basin are of relatively low diversity. *Luehndea spinosa* is the dominant  
429 species, followed by *Nannoceratopsis gracilis*, *Nannoceratopsis senex* and  
430 *Mancodinium semitabulatum*; *Mendicodinium* spp. proved rare (Tables 1, 2). Other  
431 forms reported from this interval in the Lusitanian Basin and Hungary such as  
432 *Scrinioicassis weberi*, *Umbriadinium mediterraneense* and *Valvaeodinium* spp. were not  
433 encountered herein (Baldanza et al., 1995; Davies, 1985; Bucefalo Palliani and Riding,  
434 2003). Moreover, the genus *Valvaeodinium*, which is present elsewhere in the Northern  
435 Hemisphere, is entirely absent. Unfortunately, the nearby Algarve Basin of southern  
436 Portugal, which is within the Submediterranean Province of the Tethyan Realm  
437 (Azerêdo et al., 2003), has not yielded any marine palynomorphs from the Lower  
438 Jurassic (Borges et al., 2011).

439         However, the levels of species richness recorded and the taxa encountered in the  
440 Lusitanian Basin are broadly similar with coeval floras from northern Europe and  
441 Russia (Wille, 1982a, fig. 2; Riding, 1984c, fig. 3; 1987, fig. 3; Feist-Burkhardt and  
442 Wille, 1992, fig. 2; Poulsen, 1996, fig. 12; Riding et al., 1999, fig. 11; Bucefalo Palliani  
443 and Riding, 2000, figs. 3, 4; Bucefalo Palliani et al., 2002, figs. 3, 9, 13). The  
444 dominance of *Luehndea spinosa*, *Mancodinium semitabulatum*, *Nannoceratopsis*  
445 *gracilis* and *Nannoceratopsis senex* throughout the Early Toarcian is therefore relatively  
446 constant from northern Siberia through the North Sea southwards to the Lusitanian  
447 Basin.

448         Overall therefore, this low diversity *Luehndea-Nannoceratopsis* dominated flora  
449 of the Lusitanian Basin is more characteristic of the Boreal than the Tethyan Realm.  
450 However, the principal difference between the Boreal Realm and the Lusitanian Basin is  
451 the consistent presence of several species of *Nannoceratopsis* and the genus  
452 *Scrinioicassis* in the former. For example *Nannoceratopsis deflandrei* subsp.  
453 *anabarensis*, *Nannoceratopsis spiculata*, *Nannoceratopsis raunsgaardii* and  
454 *Nannoceratopsis tricerat* are confined to the Boreal Realm (Bucefalo Palliani and  
455 Riding, 2003). Furthermore, the high diversity levels of *Mendicodinium*, and forms such  
456 as *Luehndea cirilliae*, *Umbriadinium mediterraneense* and *Valvaeodinium hirsutum*  
457 characteristic of the Tethyan Realm (Bucefalo Palliani and Riding, 1997c; Bucefalo

458 Palliani et al., 1997a), are not present in central west Portugal. It therefore appears that,  
459 unsurprisingly, the low diversity dinoflagellate cyst assemblage recorded from the  
460 Lusitanian Basin is most typical of the intermediate Sub-Boreal region (Davies, 1985;  
461 Bucefalo Palliani and Riding, 2003; Olivera et al., 2007; Barrón et al., 2013).

462

463

## 464 **6. The effects of the Toarcian Oceanic Anoxic Event**

465

466 The Toarcian Oceanic Anoxic Event (T-OAE) significantly diminished all biotas,  
467 especially benthic ones, due to the effects of the intense bottom water anoxia. This event  
468 directly caused some extinctions (Fig. 12; Harries and Little, 1999; Bucefalo Palliani et  
469 al., 2002; Caswell et al., 2009; Caswell and Coe, 2012; 2013). The profoundness of the  
470 anoxia was greater in the Boreal Realm than in the Tethyan region, and the event was  
471 more sustained in the north (Bucefalo Palliani and Riding, 2003). In the Boreal Realm,  
472 this event caused the extinction of *Luehndea spinosa* (see Riding, 1987). In the  
473 Lusitanian Basin, the T-OAE caused the virtual disappearance of all dinoflagellate cyst  
474 taxa within the lowermost part of the *Hildaites levinsoni* ammonite biozone which is  
475 consistent with the Boreal Realm (Figs. 6–9, 12). It is therefore clear that the  
476 dinoflagellates and their cysts were responding to a major palaeoenvironmental change.

477 In northern Europe, the dinoflagellate cyst record became re-established in the  
478 *Hildoceras bifrons* ammonite biozone by floras returning from refugia in the littoral  
479 zone when the marine environments became re-oxygenated (Fig. 12; Bucefalo Palliani  
480 et al., 2002). Furthermore, there was significant speciation in northern Europe and  
481 surrounding regions at this time (Riding, 1984c; Riding et al., 1991; 1999). This  
482 renewal and diversification did not occur in the the Lusitanian Basin and throughout the  
483 Tethyan Realm (Fig. 12; Bucefalo Palliani and Riding, 2003). An example of this is that  
484 *Nannoceratopsis gracilis* and *Nannoceratopsis senex* are absent immediately above the  
485 T-OAE in the Lusitanian Basin (Figs. 6, 12). Further north, these species quickly  
486 became re-established in the *Harpoceras falciferum* ammonite biozone (Fig. 12; Riding,  
487 1987, fig. 3; Bucefalo Palliani and Riding, 2000, fig. 3).

488 The range top of *Luehndea spinosa* throughout Europe is within the  
489 *Dactylioceras polymorphum* ammonite biozone or equivalent (Fig. 12). This bioevent

490 was observed slightly stratigraphically higher, in the lowermost part of the *Hildaites*  
491 *levisoni* ammonite biozone, in the Lusitanian Basin (Figs. 6, 12). Apparently, only  
492 *Mancodinium semitabulatum* recolonised the Lusitanian Basin in the *Hildaites levisoni*  
493 and *Hildoceras bifrons* ammonite biozones (Figs. 6, 12; Table 1). Bucefalo Palliani and  
494 Riding (2003, fig. A3.4) documented the occurrence of *Nannoceratopsis gracilis* and  
495 *Nannoceratopsis senex* in the *Harpoceras falciferum* ammonite biozone, following the  
496 T-OAE throughout Europe. However, this cosmopolitan genus did not recolonise the  
497 two successions studied here from the Lusitanian Basin (Fig. 12). This was perhaps due  
498 to the severe intensity of the T-OAE in central-west Portugal. It therefore appears that  
499 *Mancodinium semitabulatum* was more resilient to benthic anoxia and marine  
500 stratification than was *Nannoceratopsis*.

501

502

## 503 7. Conclusions

504

505 A palynological study of Lower Toarcian strata at Maria Pares and Vale das  
506 Fontes in the Lusitanian Basin of Portugal yielded low diversity dinoflagellate cyst  
507 floras typical of the latest Early Jurassic of Europe. The dominant species is *Luehndea*  
508 *spinosa*, and *Mancodinium semitabulatum*, *Mendicodinium microscabratum*,  
509 *Mendicodinium spinosum* subsp. *spinosum*, *Mendicodinium* sp., *Nannoceratopsis*  
510 *ambonis*, *Nannoceratopsis gracilis* and *Nannoceratopsis senex* were also recorded.  
511 Dinoflagellate cysts normally dominate the palynofloras in the *Dactylioceras*  
512 *polymorphum* ammonite biozone, but their relative proportions markedly decreased in  
513 the *Hildaites levisoni* and *Hildoceras bifrons* ammonite biozones. The Lower Toarcian  
514 of the Lusitanian Basin yielded a low diversity *Luehndea-Nannoceratopsis* dominated  
515 dinoflagellate cyst flora. This is characteristic of the transitional Sub-Boreal region  
516 which is characterised by a flora which appears to be intermediate between the Boreal  
517 and Tethyan realms (Bucefalo Palliani and Riding, 2003).

518 The T-OAE in the Lusitanian Basin is marked by the absence of acritarchs and  
519 dinoflagellate cysts, and an abrupt decline in palynomorph abundance and diversity.  
520 After the T-OAE, only *Mancodinium semitabulatum* and *Mendicodinium* spp. were  
521 recorded. This complete ‘blackout’ of dinoflagellate cysts in the T-OAE, and their  
522 limited recovery following this event strongly suggests that the benthic anoxia was of



523 high intensity in the Lusitanian Basin. Other Toarcian successions in the Lusitanian  
524 Basin should be studied in order to better understand the palaeobiological effects of the  
525 T-OAE on dinoflagellate populations, and to clarify the palaeogeographical affinity of  
526 this important depocentre.

527

528

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534

535

## 536 **Appendix 1**

537

538 A list of all palynomorphs which were recovered from the material studied  
539 herein, or were mentioned in the text with full author citations. The 18 dinoflagellate  
540 cyst taxa listed which were not found in the material from the Lusitanian Basin are  
541 asterisked. The taxa are listed alphabetically in four groups. References to the  
542 dinoflagellate cyst author citations can be found in Fensome and Williams (2004).

543

### 544 **Dinoflagellate cysts:**

545 \**Luehndea cirilliae* Bucefallo Palliani et al. 1997

546 *Luehndea spinosa* Morgenroth 1970 (Plate I, 7–9)

547 *Mancodinium semitabulatum* Morgenroth 1970 (Plate I, 10–12)

548 \**Mendicodinium brunneum* Bucefalo Palliani et al. 1997

549 *Mendicodinium microscabratum* Bucefalo Palliani et al. 1997 (Plate I, 5)

550 *Mendicodinium spinosum* Bucefalo Palliani et al. 1997 subsp. *spinosum* (autonym)  
551 (Plate I, 4)

552 \**Mendicodinium umbriense* Bucefalo Palliani et al. 1997

553 *Mendicodinium* sp. (Plate I, 6)

554 *Nannoceratopsis ambonis* Drugg 1978 (Plate I, 3)

555 \**Nannoceratopsis deflandrei* Evitt 1961

556 \**Nannoceratopsis deflandrei* Evitt 1961 subsp. *anabarensis* Ilyina et al. 1994

- 557 *Nannoceratopsis gracilis* Alberti 1961 (Plate I, 1)
- 558 \**Nannoceratopsis magnicornis* Bucefalo Palliani & Riding 1997
- 559 \**Nannoceratopsis raunsgaardii* Poulsen 1996
- 560 *Nannoceratopsis senex* van Helden 1977 (Plate I, 2)
- 561 \**Nannoceratopsis spiculata* Stover 1966
- 562 \**Nannoceratopsis symmetrica* Bucefalo Palliani & Riding 2000
- 563 \**Nannoceratopsis tricerias* Drugg 1978
- 564 \**Scriniocassis weberi* Gocht 1964
- 565 \**Susadinium scrofoides* Dörhöfer & Davies 1980
- 566 \**Umbriadinium mediterraneense* Bucefalo Palliani & Riding 1997
- 567 \**Valvaeodinium hirsutum* Bucefalo Palliani & Riding 1997
- 568 \**Valvaeodinium koessenium* (Morbey 1975) Below 1987
- 569 \**Valvaeodinium perpunctatum* (Wille & Gocht 1979) Below 1987
- 570 \**Valvaeodinium punctatum* (Wille & Gocht 1979) Below 1987
- 571 \**Valvaeodinium stipulatum* (Wille & Gocht 1979) Below 1987
- 572
- 573 **Miscellaneous microplankton:**
- 574 foraminiferal test linings (Plate II, 12)
- 575 *Halosphaeropsis liassica* Mädler 1968 (prasinophyte)
- 576 *Micrhystridium* spp. (acritarch) (Plate II, 10)
- 577 *Tasmanites* spp. (prasinophyte) (Plate II, 11)
- 578
- 579 **Spores:**
- 580 *Calamospora tener* (Leschik 1955) Mädler 1964
- 581 *Cibotiumspora juriensis* (Balme 1957) Filatoff 1975
- 582 *Cingutriteles* sp.
- 583 *Conbaculatispora* sp.
- 584 *Concavisporites toralis* (Leschik 1955) Nilsson 1958
- 585 *Concavisporites* spp.
- 586 *Cyathidites* spp. (Plate II, 1)
- 587 *Ischyosporites variegatus* (Couper 1958) Schulz 1967 (Plate II, 2)
- 588 *Kraeuselisporites reissingeri* (Harris 1957) Morbey 1975 (Plate II, 3)
- 589 *Leptolepidites* spp. (Plate II, 4)
- 590 *Lycopodiacidites rugulatus* (Couper 1958) Schulz 1967 (Plate II, 5)

- 591 *Osmundacidites wellmanii* Couper 1953  
592 *Plicifera delicata* (Bolchovitina 1953) Bolchovitina 1966  
593 *Retitriletes* spp.  
594 *Todisporites* spp.  
595  
596 **Pollen:**  
597 *Alisporites* spp. (Plate II, 6)  
598 *Araucariacites australis* Cookson 1947 ex Couper 1958 (Plate II, 7)  
599 *Cerebropollenites macroverrucosus* (Thiergart 1949) Schulz 1967 (Plate II, 8)  
600 *Classopollis classoides* (Pflug 1953) Pocock & Jansonius 1961 (Plate II, 9)  
601 *Exesipollenites* spp.  
602 *Inaperturopollenites* sp.  
603 *Perinopollenites elatoides* Couper 1958

604  
605

## 606 **Appendix 2**

607

608           In this contribution, we do not follow the taxonomic proposals of Ilyina et al.  
609 (1994) with regard to the dinoflagellate cyst species *Nannoceratopsis senex*. These  
610 authors changed the status of this taxon from a species to a subspecies of  
611 *Nannoceratopsis deflandrei*. Ilyina et al. (1994) placed the newly attributed subspecies  
612 *senex*, together with their new subspecies *anabarensis* and the autonym subspecies  
613 *deflandrei*, into the species *Nannoceratopsis deflandrei*. This strategy was on the basis  
614 that *Nannoceratopsis deflandrei* has a relatively untextured autophragm, in comparison  
615 to *Nannoceratopsis gracilis* which has a rough, spongy wall. This difference between  
616 the species *Nannoceratopsis gracilis* and *Nannoceratopsis senex* was also discussed by  
617 Piel and Evitt (1980, p. 103). In the present author's view, the principal criteria for  
618 speciation in *Nannoceratopsis* should be the lateral outline and the number of  
619 hypocystal horns, and not the fine-scale texture of the autophragm. Therefore we  
620 maintain the original contention of van Helden (1977), who established  
621 *Nannoceratopsis senex* as a distinctly tear-shaped form of this genus with a narrow  
622 antapical margin defined by the distal part of the single hypocystal horn (Plate I, 2).  
623 This contrasts with *Nannoceratopsis gracilis*, which has a subtriangular lateral outline  
624 with a much wider antapical margin (Plate I, 1). *Nannoceratopsis gracilis* is therefore

625 deemed to be a senior synonym of *Nannoceratopsis deflandrei*, as originally envisaged  
626 by Evitt (1962).

627

628

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1032 **Display material captions:**

1033

1034 **Fig. 1.** The location and geological setting of the Lusitanian Basin of western Portugal  
1035 (adapted from Reolid and Duarte, 2014). The sections studied are indicated by the  
1036 numbers 1 and 2. The Maria Pares section, close to Zambujal village in the Rabaçal area  
1037 (1) is at 40° 3' 10''N, 8° 27' 25''W. The Vale das Fontes section in the Figueira da Foz  
1038 area (2) is at 40° 12' 10''N, 8° 51' 31''W.

1039

1040 **Fig. 2.** The ammonite biostratigraphy and lithostratigraphy of the Toarcian of the  
1041 northern and central parts of the Lusitanian Basin, western Portugal based on Perilli and  
1042 Duarte (2006). The grey shading indicates the four lithostratigraphical units which were  
1043 studied herein.

1044

1045 **Fig. 3.** Correlation of the Lower Toarcian ammonite biozones in the Mediterranean  
1046 (North Africa, Italy, Portugal, southern Spain), Submediterranean (southern England,

1047 France, Germany, northern Spain) and Subboreal (northern Britain) provinces, adapted  
1048 from Page (2003).

1049

1050 **Fig. 4.** The lithological log of the Lower and Middle Toarcian succession in the Maria  
1051 Pares section (modified from Duarte, 1995), with the positions of the palynomorph  
1052 samples PZ1–PZ54 indicated. The ammonite biozones are based on, and modified from,  
1053 Mousterde et al. (1964-1965) and Elmi et al. (1989). MLLF = Marly Limestones with  
1054 *Leptaena* Facies Member; TNL = Thin Nodular Limestones Member; MMLHH = Marls  
1055 and Marly Limestones with *Hildaites* and *Hildoceras* Member; MMLSB = Marls and  
1056 Marly Limestones with Sponge Bioconstructions Member.

1057

1058 **Fig. 5.** The lithological log of the Lower Toarcian succession in the Vale das Fontes  
1059 section (modified from Duarte, 1995), with the positions of the palynomorph samples  
1060 PVF1–PVF14 indicated. The ammonite biozones are based on, and modified from,  
1061 Mousterde et al. (1964-1965) and Elmi et al. (1989). MLLF = Marly Limestones with  
1062 *Leptaena* Facies Member; TNL = Thin Nodular Limestones Member.

1063

1064 **Fig. 6.** The relative proportions of the dinoflagellate cysts *Luehndea spinosa*,  
1065 *Mancodinium semitabulatum* and *Nannoceratopsis* spp., expressed as a percentage of  
1066 the overall palynoflora, from the Lower Toarcian (*Dactylioceras polymorphum* and  
1067 *Hildaites levisoni* ammonite biozones) in the Maria Pares section. *Mendicodinium* spp.  
1068 are not included. The right hand column illustrates the percentages of all dinoflagellate  
1069 cyst taxa, including *Mendicodinium* spp. T-OAE = Toarcian Oceanic Anoxic Event.

1070

1071 **Fig. 7.** The relative proportions of the dinoflagellate cysts *Luehndea spinosa*,  
1072 *Mancodinium semitabulatum* and *Nannoceratopsis* spp., expressed as a percentage of  
1073 the overall palynoflora, from the Lower Toarcian (*Dactylioceras polymorphum* and  
1074 *Hildaites levisoni* ammonite biozones) in the Vale das Fontes section. *Mendicodinium*  
1075 spp. are not included. The right hand column illustrates the percentages of all  
1076 dinoflagellate cyst taxa, including *Mendicodinium* spp. T-OAE = Toarcian Oceanic  
1077 Anoxic Event.

1078

1079 **Fig. 8.** The relative abundances, expressed as percentages, of the six main palynomorph  
1080 groups recorded from the Lower and Middle Toarcian (*Dactylioceras polymorphum*,

1081 *Hildaites levisoni* and *Hildoceras bifrons* ammonite biozones) of the Maria Pares  
1082 section (samples PZ1–PZ54). Samples PZ14 and PZ37 are entirely devoid of  
1083 palynomorphs. Note the dominance of prasinophytes in the T-OAE (sample PZ9). T-  
1084 OAE = Toarcian Oceanic Anoxic Event.

1085

1086 **Fig. 9.** The relative abundances, expressed as percentages, of the six main palynomorph  
1087 groups recorded from the Lower Toarcian (*Dactylioceras polymorphum* and *Hildaites*  
1088 *levisoni* ammonite biozones) of the Vale das Fontes section (samples PVF1–PVF14). T-  
1089 OAE = Toarcian Oceanic Anoxic Event.

1090

1091 **Fig. 10.** The relative abundances of marine palynomorphs (i.e. acritarchs, dinoflagellate  
1092 cysts, foraminiferal test linings and prasinophytes) within the overall palynofloras from  
1093 the Lower Toarcian (*Dactylioceras polymorphum* and *Hildaites levisoni* ammonite  
1094 biozones) of the Maria Pares section. Note the peaks at and below the T-OAE (Toarcian  
1095 Oceanic Anoxic Event).

1096

1097 **Fig. 11.** The relative abundances of the marine palynomorphs (i.e. acritarchs,  
1098 dinoflagellate cysts, foraminiferal test linings and prasinophytes) within the overall  
1099 palynofloras from the Lower Toarcian (*Dactylioceras polymorphum* and *Hildaites*  
1100 *levisoni* ammonite biozones) of the Vale das Fontes section. Note the peak in sample  
1101 PVF9. T-OAE = Toarcian Oceanic Anoxic Event.

1102

1103 **Fig. 12.** A comparison of the stratigraphical ranges of selected dinoflagellate cysts from  
1104 the Lower and Middle Toarcian (*Dactylioceras polymorphum* to *Hildoceras bifrons*  
1105 ammonite biozones or their equivalents) of the major European Basins. In the Tethyan  
1106 Realm, the ranges are plotted from central Italy and the Lusitanian Basin, Portugal. Data  
1107 from German and the U.K. are depicted for the Boreal Realm. The position of the major  
1108 dinoflagellate cyst disappearance event or ‘blackout’ caused by the T-OAE is depicted  
1109 in yellow. Note how this event appears to be slightly diachronous; it is older in the  
1110 Tethyan Realm than in the Boreal Realm.

1111

1112 **Table 1.** The palynomorph assemblages from the Lower and Middle Toarcian of the  
1113 Maria Pares section near Rabaçal. The numbers represent percentages of the respective

1114 taxon within the overall palynoflora; blank spaces indicate the absence of the respective  
1115 form.

1116

1117 **Table 2.** The palynomorph assemblages from the Lower Toarcian of the Vale das  
1118 Fontes section, north of Figueira da Foz. The numbers represent percentages of the  
1119 respective taxon within the overall palynoflora; blank spaces indicate the absence of the  
1120 respective form.

1121

1122 **Plate I.** Selected dinoflagellate cysts from Toarcian of the Maria Pares and Vale das  
1123 Fontes sections of the Lusitanian Basin, in west-central Portugal. All specimens are  
1124 housed in the collections of the LNEG (Portuguese Geological Survey), S. Mamede de  
1125 Infesta, Portugal. The sample number, slide number and England Finder coordinates are  
1126 provided. All the scale bars represent 20  $\mu\text{m}$ .

1127 1. *Nannoceratopsis gracilis* Alberti 1961 emend. Evitt 1962. Vale das Fontes  
1128 section, Lower Toarcian (*Dactylioceras polymorphum* ammonite biozone), sample  
1129 PVF10, slide 1, R47/1. Right lateral view. Note the dorsal antapical horn and the  
1130 microreticulate autophragm.

1131 2. *Nannoceratopsis senex* van Helden 1977. Vale das Fontes section, Lower  
1132 Toarcian (*Dactylioceras polymorphum* ammonite biozone), sample PVF2, slide 1, Q57.  
1133 Right lateral view. Note the single antapical horn and the microreticulate autophragm.

1134 3. *Nannoceratopsis ambonis* Drugg 1978 emend. Riding 1984. Vale das Fontes  
1135 section, Lower Toarcian (*Dactylioceras polymorphum* ammonite biozone), sample  
1136 PVF2, slide 1, Y36/1. Left lateral view. Note the prominent dark sagittal rim and the  
1137 microreticulate autophragm.

1138 4. *Mendicodinium spinosum* Bucefalo Palliani et al. 1997 subsp. *spinosum*  
1139 (autonym). Maria Pares section, Lower Toarcian (*Hildaites levisoni* ammonite biozone),  
1140 sample PZ26, slide 1, F49/1. Oblique dorsal view. Note the spines and the smooth  
1141 autophragm.

1142 5. *Mendicodinium microscabratum* Bucefalo Palliani et al. 1997. Maria Pares  
1143 section, Lower Toarcian (*Hildaites levisoni* ammonite biozone), sample PZ16, slide 1,  
1144 T36/1. Right lateral view. Note the microscabrate autophragm.

1145 6. *Mendicodinium* sp. Maria Pares section, Lower Toarcian (*Hildaites levisoni*  
1146 ammonite biozone), sample PZ29, slide 1, M33/3. Oblique left lateral view. Note that  
1147 this form is larger than most Toarcian specimens of *Mendicodinium*; the width is 40  $\mu\text{m}$ .

- 1148 7. *Luehndea spinosa* Morgenroth 1970. Vale das Fontes section, Lower Toarcian  
1149 (*Dactylioceras polymorphum* ammonite biozone), sample PVF8, slide 1, O24. Mid-  
1150 ventral view, high focus. Note the prominent cingulum which is interrupted by the  
1151 sulcus.
- 1152 8. *Luehndea spinosa* Morgenroth 1970. Vale das Fontes section, Lower Toarcian  
1153 (*Dactylioceras polymorphum* ammonite biozone), sample PVF10, slide 1, Y27/3.  
1154 Dorsal view, high focus. Note the uninterrupted cingulum.
- 1155 9. *Luehndea spinosa* Morgenroth 1970. Maria Pares section, Lower Toarcian  
1156 (*Dactylioceras polymorphum* ammonite biozone), sample PZ5, slide 1, O22/2. Oblique  
1157 dorsal view. Note the antapical (1''''') plate.
- 1158 10. *Mancodinium semitabulatum* Morgenroth 1970. Maria Pares section, Lower  
1159 Toarcian (*Hildaites levisoni* ammonite biozone), sample PZ32, slide 1, D42. Oblique  
1160 ventral-left lateral view. Note the well-preserved precingular plates which are involved  
1161 in the formation of the 'disintegration' archaeopyle, in which all the epicystal plates are  
1162 lost, apparently one-by-one. The anterior sulcal plate (the sulcal tongue) is visible; this  
1163 is clearly not involved in archaeopyle formation.
- 1164 11. *Mancodinium semitabulatum* Morgenroth 1970. Vale das Fontes section, Lower  
1165 Toarcian (*Dactylioceras polymorphum* ammonite biozone), sample PVF1, slide 1,  
1166 H56/2. Oblique right lateral-ventral view. Note the clearly detached 7'' plate, which is  
1167 immediately adjacent to the much narrower anterior sulcal plate (sulcal tongue).
- 1168 12. *Mancodinium semitabulatum* Morgenroth 1970. Maria Pares section, Lower  
1169 Toarcian (*Hildaites levisoni* ammonite biozone), sample PZ32, slide 1, K39. Slightly  
1170 oblique dorsal view. Note the anterior sulcal plate (sulcal tongue) and the release of all  
1171 the epicystal plates during archaeopyle formation.

1172

1173 **Plate II.** Selected miscellaneous microplanton, pollen and spores from the Toarcian of  
1174 the Maria Pares and Vale das Fontes sections of the Lusitanian Basin, in west-central  
1175 Portugal. All specimens are housed in the collections of the LNEG (Portuguese  
1176 Geological Survey), S. Mamede de Infesta, Portugal. The sample number, slide number  
1177 and England Finder coordinates are provided. All the scale bars represent 20  $\mu$ m.

- 1178 1. *Cyathidites* sp. Maria Pares section, Lower Toarcian (*Hildaites levisoni*  
1179 ammonite biozone), sample PZ20, slide 1, F50.

- 1180 2. *Ischyosporites variegatus* (Couper 1958) Schulz 1967. Maria Pares section,  
1181 Lower Toarcian (*Hildaites levisoni* ammonite biozone), sample PZ19, slide 1, J51.
- 1182 3. Tetrad of *Kraeuselisporites reissingeri* (Harris 1957) Morbey 1975. Vale das  
1183 Fontes section, Lower Toarcian (*Dactylioceras polymorphum* ammonite biozone),  
1184 sample PVF5, slide 1, E34/3.
- 1185 4. Tetrad of *Leptolepidites* sp. Vale das Fontes section, Lower Toarcian  
1186 (*Dactylioceras polymorphum* ammonite biozone), sample PVF13, slide 1, H28.
- 1187 5. *Lycopodiacidites rugulatus*(Couper 1958) Schulz 1967. Maria Pares section,  
1188 Lower Toarcian (*Hildaites levisoni* ammonite biozone), sample PZ19, slide 1, J37/2.
- 1189 6. *Alisporites* sp. Maria Pares section, Lower Toarcian (*Hildaites levisoni*  
1190 ammonite biozone), sample PZ15, slide 1, S70/4.
- 1191 7. *Araucariacites australis* Cookson 1947 ex Couper 1958. Vale das Fontes  
1192 section, Lower Toarcian (*Dactylioceras polymorphum* ammonite biozone), sample  
1193 PVF5, slide 1, O40.
- 1194 8. *Cerebropollenites macroverrucosus* (Thiergart 1949) Schulz 1967. Vale das  
1195 Fontes section, Lower Toarcian (*Dactylioceras polymorphum* ammonite biozone),  
1196 sample PVF1, slide 1, P41.
- 1197 9. Tetrad of *Classopollis classoides* (Pflug 1953) Pocock & Jansonius 1961. Vale  
1198 das Fontes section, Lower Toarcian (*Dactylioceras polymorphum* ammonite biozone),  
1199 sample PVF2, slide 1, K39/3.
- 1200 10. *Micrhystridium* sp. Vale das Fontes section, Lower Toarcian (*Dactylioceras*  
1201 *polymorphum* ammonite biozone), sample PVF2, slide 1, E54/3.
- 1202 11. *Tasmanites* sp. Vale das Fontes section, Lower Toarcian (*Dactylioceras*  
1203 *polymorphum* ammonite biozone), sample PVF10, slide 1, J49/3.
- 1204 12. Foraminiferal test lining. Vale das Fontes section, Lower Toarcian  
1205 (*Dactylioceras polymorphum* ammonite biozone), sample PVF7, slide 1, H50.  
1206