

1 Improving resistivity survey resolution at sites with limited spatial extent using buried  
2 electrode arrays

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#### 14 **Abstract**

15 Electrical resistivity tomography (ERT) surveys are widely used in geological,  
16 environmental and engineering studies. However, the effectiveness of surface ERT  
17 surveys is limited by decreasing resolution with depth and near the ends of the survey  
18 line. Increasing the array length will increase depth of investigation, but may not be  
19 possible at urban sites where access is limited. One novel method of addressing these  
20 limitations while maintaining lateral coverage is to install an array of deep  
21 electrodes. Referred to here as the Multi-Electrode Resistivity Implant Technique  
22 (MERIT), self-driving pointed electrodes are implanted at depth below each surface  
23 electrode in an array, using direct-push technology. Optimal sequences of readings have  
24 been identified with the “Compare R” method of Wilkinson. Numerical, laboratory, and  
25 field case studies are applied to examine the effectiveness of the MERIT method,  
26 particularly for use in covered karst terrain. In the field case studies, resistivity images  
27 are compared against subsurface structure defined from borings, GPR surveys, and  
28 knowledge of prior land use. In karst terrain where limestone has a clay overburden,  
29 traditional surface resistivity methods suffer from lack of current penetration through the  
30 shallow clay layer. In these settings, the MERIT method is found to improve resolution  
31 of features between the surface and buried array, as well as increasing depth of  
32 penetration and enhancing imaging capabilities at the array ends. The method functions  
33 similarly to a cross-borehole array between horizontal boreholes, and suffers from  
34 limitations common to borehole arrays. Inversion artifacts are common at depths close to  
35 the buried array, and because some readings involve high geometric factors, inversions  
36 are more susceptible to noise than traditional surface arrays. Results are improved by  
37 using errors from reciprocal measurements to weight the data during the inversion.

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39 **Keywords:** Resistivity Inversion, Tomography, Optimized arrays, Sinkhole karst  
40 features, MERIT

## 41 1. Introduction

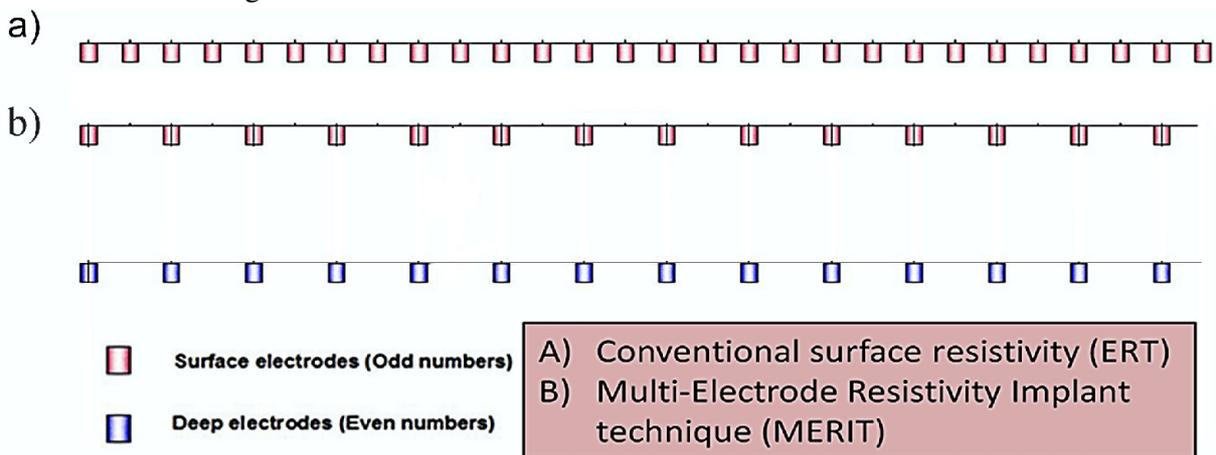
42 Electrical resistivity is a widely used geophysical method for investigating geological and  
43 hydrogeological (e.g. Kruse et al., 1998; Daniels et al., 2005; Nenna et al., 2011; Singha et al., 2014;  
44 Yeboah-Forson et al., 2014) engineering (Wilkinson et al,2006a ; Danielsen and Dahlin,2010 ), mining  
45 (Legault et al., 2008) and environmental problems (Slater et al, 2000; Pidlisecky et al., 2006; Meju,  
46 2006; Chambers *et al.* 2010; Power et al., 2015). The method can be applied to such a wide range of  
47 problems because measurements are sensitive to lithology, degree of saturation, and pore water  
48 composition (e.g. Lesmes and Friedman, 2005). Reviews of the recent developments in electrical  
49 resistivity tomography (ERT) are given by Dahlin, (2001), Auken *et al.* (2006) and more recently by  
50 Loke et al. (2013).

51 During a resistivity survey DC current is driven through the earth between pairs of electrodes installed  
52 at the surface or buried at depth. While current flows, electric potential differences are measured  
53 between other pairs of electrodes. The measured potential differences are related to the resistivity  
54 structure of the ground through which the current flows. There is clearly infinite flexibility in how the  
55 electrodes used to drive current and those used to measure potential can be spatially configured. Use of  
56 traditional electrode arrangements with simple rules for displaying apparent resistivities as pseudo-  
57 sections, such as Wenner (e.g. Loke, 2010) and dipole-dipole arrays (e.g. Telford and Sheriff, 1990),  
58 persists even after the development of commercial systems that can automate acquisition of more  
59 flexible array geometries.

60 Current commercial resistivity systems offer automated switching capabilities for driving current and  
61 measuring potentials, so users install an array of electrodes, often ~30-100. Then a sequence of  
62 readings is taken by addressing pairs of current and potential electrodes within the array. Most  
63 surveys conducted today are two-dimensional (2D); a series of electrodes are laid out in a straight line.  
64 Typically electrodes are evenly spaced along the line. Such conventional 2D surveys are logistically  
65 efficient to deploy, but there are well-recognized limitations to conventional 2D surveys, which are  
66 discussed further below.

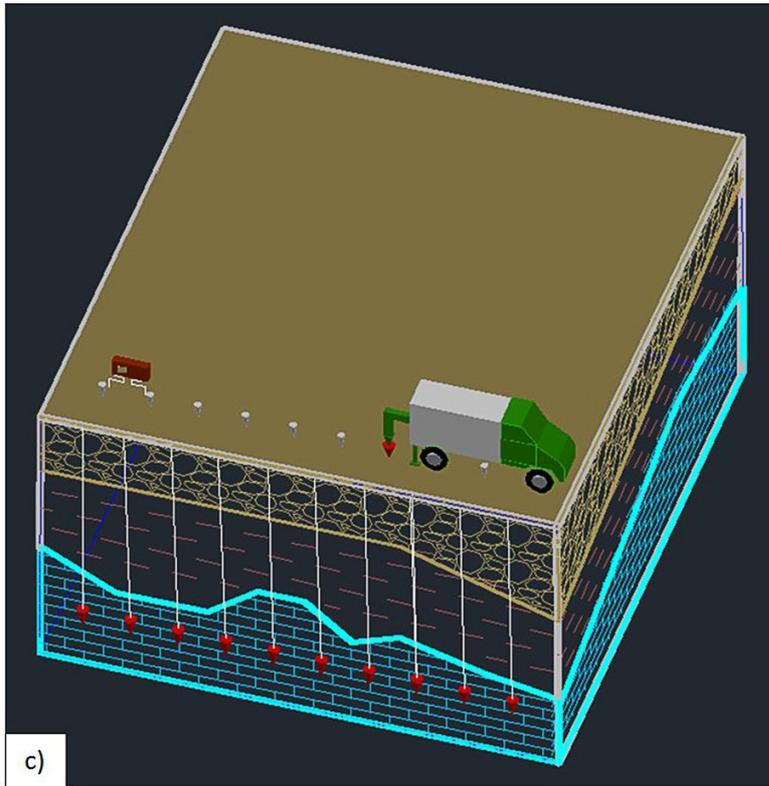
67 Other arrangements of electrodes have been tested and described, including 3D surveys in which  
68 electrodes are arranged in grids on the surface (Loke and Barker, 1996; Tsourlos and Ogilvy, 1999).  
69 More labor-intensive methods involve installing electrodes in vertical downhole arrays, for cross-  
70 borehole surveys (e.g Daily & Owen, 1991; Slater et al., 2000; Perri et al., 2012). Pidlisecky et al.  
71 (2006) used deep electrodes as current source in resistivity measurements done using a cone  
72 penetration testing (CPT) rig. Danielsen and Dahlin (2010) used horizontal boreholes drilled on the  
73 working face of a tunnel boring machine (TBM) to gain information about the rock conditions before  
74 the next heading. Power et al. (2015) demonstrated improved time-lapse monitoring of contaminant  
75 remediation using surface-to-horizontal borehole ERT relative to surface ERT. Symyrdanis et al.  
76 (2015) used surface-to-tunnel electrical resistivity tomography to study the subsurface between the  
77 ground and a tunnel. Clearly, the current state of the practice in resistivity surveys offers  
78 unprecedented flexibility in the spatial positioning of a set of electrodes.

79 In this paper, we describe and test a new arrangement of electrodes in which a series of electrodes are  
80 individually vertically implanted at a uniform depth, to form a buried horizontal array. This  
81 arrangement addresses two fundamental limitations of conventional 2D arrays. The optimization of  
82 readings within the new array is the focus of a separate paper, [Loke et al. \(2015\)](#) which discusses the  
83 advantages of optimized MERIT arrays over manually created MERIT arrays. With 2D surveys, two  
84 significant limitations arise that are particularly acute in urban settings. First, 2D surveys resolve  
85 resistivities to depths considerably shallower than the total array length. Where practitioners are  
86 limited to access on a single plot of land, the array length, and hence the depth of resolution, is  
87 constrained by the plot boundaries. This can be a critical shortcoming if the target of interest lies  
88 below the plot-limited depth of penetration. The problem is exacerbated when shallow conductive  
89 layers further inhibit deep current flow. Second, 2D surveys lose resolution at the ends of the survey  
90 line ([Loke, 2010](#)). Cross-borehole surveys, with readings made between electrodes in paired  
91 boreholes, can overcome the sensitivity limitations at depth. But the cost of drilling boreholes is  
92 relatively high, and, because of this installation expense, the number of holes is often limited, and  
93 hence lateral coverage is also limited.



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97 Figure 1. (a) Field arrangement of a conventional surface array. (b) Field arrangement of MERIT  
 98 array. c) Schematic diagram showing the installation of MERIT arrays.

99 Here we use a novel technique to enhance depth of sensitivity, with increased lateral resolution along  
 100 the surface array length. This is done by implanting half of the electrodes at a depth closer to the  
 101 subsurface target features, using an efficient direct-push technique (Figures 1a, 1b and c). To make  
 102 installation efficient and robust, deep pointed implant electrodes were designed to facilitate vibration  
 103 resistance while being driven into the ground with minimal impact (Harro and Kruse, 2013). This  
 104 array geometry is referred to as the multi - electrode resistivity implant technique, or MERIT. The  
 105 presence of deep electrodes allows higher signal strength and sensitivity at depth even when the survey  
 106 length is small. Even in areas where a longer survey would be feasible, a shorter MERIT array can  
 107 avoid unwanted sensitivities to features off the survey line (e.g. Dahlin, 2001). The installation  
 108 method is further discussed down below.

109 MERIT arrays require more time and cost compared to conventional surface resistivity surveys.  
 110 Hence, it is essential to use optimized arrays that will maximize the information gained from  
 111 measurements taken using these surface and deep arrays. Although many practitioners use readings  
 112 based on combinations of traditional arrays such as the dipole-dipole and Wenner arrays, a growing  
 113 body of literature describes methods to find more efficient combinations of electrode selections.  
 114 These ‘optimized’ arrays are mostly designed to maximize resolution of resistivity heterogeneities  
 115 throughout the target volume (e.g. Cherkaeva, E. & Tripp, A.C., 1996; Furman et al, 2004; Stummer et

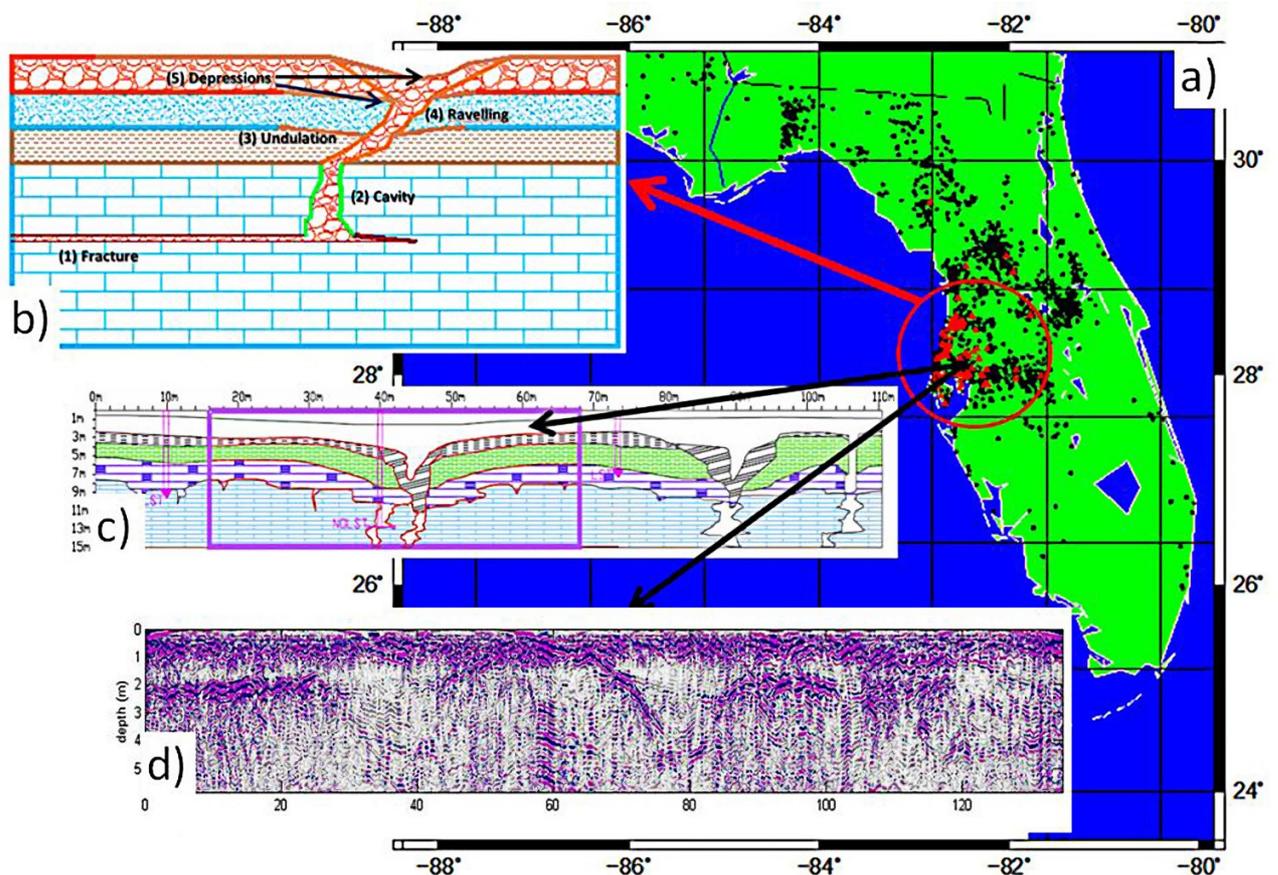
116 al, 2004; Hennig, T. & Weller, A., 2005; Wilkinson et al, 2006b; Hagrey, S. A. al and Petersen, T.,  
117 2011). In this paper, optimal sequences of readings have been identified with the “Compare R”  
118 automatic array optimization techniques (Wilkinson et al., 2006b; 2012; Loke et al., 2015) to find  
119 optimal sets of readings that will capture the sub-surface geological heterogeneities between the  
120 surface and deep arrays and below the deep arrays. This improved approach is a novel application of  
121 the resistivity method to study complex subsurface geological features such as active sinkhole features  
122 in covered karst terrain.

### 123 **Sinkhole structure**

124 The efficacy of MERIT surveys is examined in this paper in particular for covered karst terrain. Karst  
125 processes commonly result in complex subsurface geologic features, including sinkholes, irregular  
126 dissolution cavities, randomly spaced fractures and complex interfaces between units. Imaging karst  
127 features can be critical to avoiding infrastructure damage. Sinkholes are extremely common, with  
128 nearly 6,694 reported sinkholes in 2010 in Florida, USA (Figure 2a), and subsidence associated with  
129 these sinkholes costs \$200 million/year in infrastructure damage (Florida Senate Interim report, 2010).  
130 Tihansky, (1999) gives a detailed description of the distribution and characteristics of sinkholes in  
131 West-Central Florida. Furthermore, sinkholes serve as a critical hydrological connection between the  
132 surface and underlying aquifers, functioning as zones of concentrated recharge (e.g. Stewart, 1998).  
133 Resistivity surveys are used globally to image geologic features associated with sinkhole formation  
134 and karst evolution (Gibson et al, 2004; El-Qady et al, 2005; Ahmed et al, 2012). Nevertheless in  
135 many settings these features remain challenging targets for traditional resistivity arrays, and we focus  
136 our assessment of the MERIT method on these societally important structures. The fundamental  
137 results, however, are applicable to any geologic setting.

138 In west-central Florida, sinkhole structures typically involve, from the bottom upwards, dissolution  
139 cavities\conduits\fractures in the limestone; undulations of bedrock contact; weathered limestone;  
140 sediment raveling zones connecting surface features with deeper voids in the bedrock; localized  
141 dissolution cavities or voids in the overburden sands and clays; and surface and subsurface depressions  
142 (Figure 2b).

143 Ground penetrating radar (GPR) is the most commonly used geophysical method in sinkhole  
144 investigations due to its capability to detect shallow soil and stratigraphic anomalies (e.g. sub-surface  
145 depressions) related to sinkhole processes (Benson and La Fountain, 1984; Beck and Sayed, 1991;  
146 Stewart and Parker, 1992; Carpenter et al., 1998; Batayneh et al., 2002; Dobecki and Upchurch, 2006;  
147 Kruse et al, 2006). However, GPR depth of investigation is typically limited to the uppermost few  
148 meters. These shallowest features are commonly only indirectly related to the actual deep dissolution  
149 cavities in the bedrock, which are the primal causes of the sinkhole hazards. Further complicating the  
150 picture, the surface features are frequently laterally offset from the deep cavities, as illustrated in  
151 Figure 2b (Kiflu et al., 2013). There is clearly a need for methods, such as resistivity, that could image  
152 both within and below the sediment cover. Here we examine the resolution of this range of targets  
153 expected from sinkhole activity using numerical, laboratory and field studies.



154  
 155 Figure 2. Sinkhole structure in Florida. (A) Distribution of reported sinkholes in Florida. Black dots  
 156 represent sinkhole database from Florida geological survey website. Red dots indicate reported  
 157 sinkholes studied by Kiflu et al. (2013). (B) Schematic representation of sinkhole structure in areas  
 158 with narrow dissolution cavities. The inclined raveling zone (4) is based on the results of Kiflu et al.  
 159 (2013). Studies on the sinkholes represented by the red dots showed the common occurrence of lateral  
 160 offset between deep and shallow sinkhole features. (C) Geologic profile showing sinkhole structure in  
 161 Geopark research site, Tampa, Florida, USA. Modified from Stewart and Parker (1992). (D) GPR  
 162 image showing shallow sinkhole features represented by the subsurface depression of bright reflector  
 163 layers.

164 2. Method

165 2.1 MERIT array Installation

166 In the MERIT approach, the subsurface electrodes are implanted using a Geoprobe® (Direct-Push)  
 167 system (e.g. United States Environmental Protection Agency, 2005). The implanted electrode is an  
 168 expendable drive point with an attached wire (Harro and Kruse, 2013). The drive point is placed in  
 169 the lower end of a groundwater sampling sheath that is pushed downwards by percussion (Fig 1c).  
 170 When it reaches the desired depth, the sheath is withdrawn leaving the implanted electrode joined to  
 171 the surface by the attached wire. This installation is more rapid and less costly compared to vertical

172 boreholes with an average rate of installation of 20 m/hr. Installation is less expensive and more rapid  
173 than conventional vertical boreholes. Cost wise, a MERIT array with 14 buried electrodes at 7.6 m  
174 depth is typically less costly than two cross-boreholes with 15-electrode string ([United States  
175 Environmental Protection Agency, 1998](#)) making it an attractive choice for deeper targets with large  
176 horizontal extent. In addition, compared to most drilling techniques, the MERIT approach minimizes  
177 the disturbance to the target itself by avoiding the use of circulation fluid and by utilizing a small  
178 borehole radius (~2.5cm). The borehole radius is much smaller than the targets of the studies described  
179 here.

180 The direct push rig has a controlled hydraulic system that permits vertical advancements in increments  
181 as small as 0.125cm. When the lengths of the push rods for installation are accurately measured, the  
182 vertical accuracy of the implanted electrodes is expected to be similar to that of an electrode mounted  
183 on a rigid support in vertical boreholes (e.g. [Wilkinson et al., 2008](#)). Following [Paasche et al. \(2009\)](#),  
184 the maximum horizontal deviation of the direct push rod from vertical is expected to be less than 5  
185 degrees.

186 Because MERIT is similar to a cross-borehole array rotated to horizontal, we can take advantage of  
187 lessons learned from cross-borehole surveys. For example, a large separation between the deep and  
188 the surface electrodes can result in decreased sensitivities at the center and problems of non-  
189 uniqueness and spurious inversion results around the lower array. For cross-boreholes, [LaBrecque et  
190 al \(1996\)](#) suggest a maximum borehole separation of 0.75 of the borehole array length. In this paper,  
191 we derive analogous guidelines for MERIT arrays. The optimal depth of implants balances tradeoffs  
192 between data quality, cost, effective depth of investigation and target depth. Choice of implant depth  
193 can further be improved by carrying out pre-survey forward modelling. After deployment of the array,  
194 the user must select the optimal combinations of electrodes as current and potential pairs to maximize  
195 information extracted per reading.

## 196 2.2 Array optimization

197 Deployment of MERIT arrays offers complex spatial geometries with opportunities to select optimal  
198 combinations of electrodes as current and potential pairs that would maximize information extracted  
199 per reading. Optimization of reading selection is also very important, as many possible combinations  
200 of readings have high geometric factors and tend to introduce significant noise into the data set.  
201 [Wilkinson et al \(2008\)](#) showed that some cross-boreholes arrays are highly sensitive to slight  
202 positioning errors. Hence, the optimized arrays will exclude unstable arrays that are highly sensitive to  
203 geometric errors and those that have high geometric factors.

204 The selection of optimal sets of readings for MERIT arrays is created using the modified version of the  
205 “Compare R” method of [Loke et al \(2014b\)](#) with algorithms suitable to these new electrode  
206 arrangements and is described in [Loke et al. \(2015\)](#). The optimization algorithm works by efficiently  
207 selecting a predetermined number of stable arrays that will maximize the model resolution from a  
208 myriad of possible array combinations of which there are  $N(N-1)(N-2)(N-3)/8$  non-equivalent four  
209 electrode configurations for N electrodes when reciprocity is taken into account ([Xu & Noel, 1991](#);

210 [Wilkinson et al, 2006b](#)). The model resolution matrix  $\mathbf{R}$  measures how well the resistivity of each  
 211 model cell can be estimated from the observed data ([Menke, 1984](#)).

212 The model resolution matrix  $\mathbf{R}$  is calculated from Jacobian (sensitivity) matrix  $\mathbf{G}$ .  $\mathbf{G}$  describes the  
 213 sensitivity of the observations to the resistivities of each model cell.  $G_{ij} = \frac{\partial f_i}{\partial \theta_j}$ , where  $f_i$  = the  $i$ th  
 214 model response and  $\theta_j$  = the  $j$ th model parameter. In common 2D resistivity inversions,  $\mathbf{G}$  is used in the  
 215 linearized least-squares equation as

$$216 \quad (\mathbf{G}^T \mathbf{G} + \lambda \mathbf{C}) \Delta \mathbf{r}_i = \mathbf{G}^T \mathbf{d} - \lambda \mathbf{C} \mathbf{r}_{i-1}, \quad (1)$$

217 where  $\Delta \mathbf{r}_i = \mathbf{r}_i - \mathbf{r}_{i-1}$  with  $\Delta \mathbf{r}_i$  represents the model parameter change vector between consecutive  
 218 iterations.  $\mathbf{C}$  is the roughness filter constraint,  $\lambda$  is the damping factor and  $\mathbf{d}$  is the data misfit vector.

219 The model resolution matrix is then given by

$$220 \quad \mathbf{R} = \mathbf{B} \mathbf{A} \quad (2)$$

221 where  $\mathbf{A} = \mathbf{G}^T \mathbf{G}$  and  $\mathbf{B} = (\mathbf{G}^T \mathbf{G} + \lambda \mathbf{C})^{-1}$  and the main diagonal elements of  $\mathbf{R}$  are used to estimate the  
 222 model cell's resolution.

### 223 2.3 Forward models and Inversion

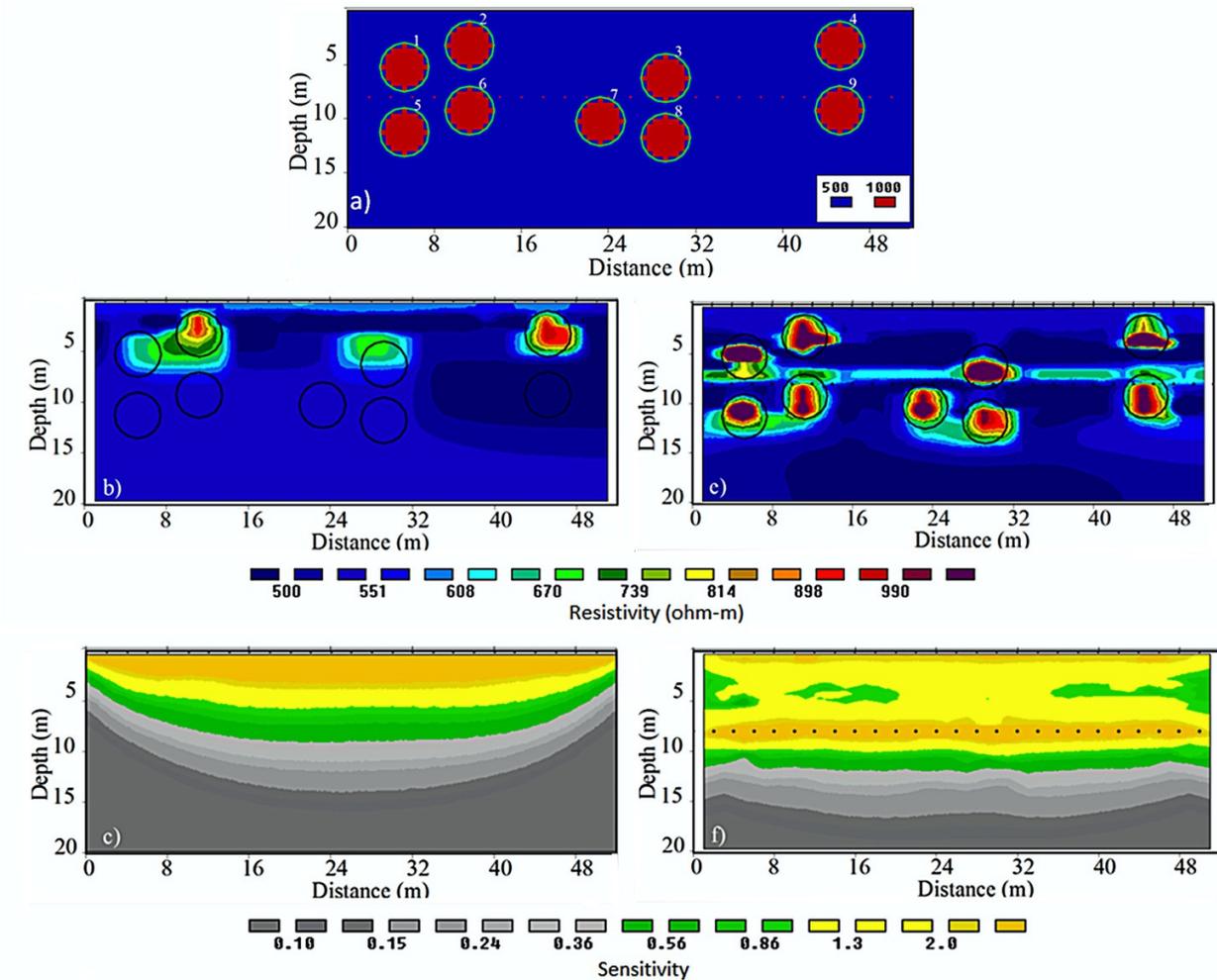
224 Forward models are simulated using Res2Dmod and Res3Dmod from Geotomo Software. The  
 225 outputs from both the 2D and 3D forward models are inverted using a modified version of Res2Dinv  
 226 software, also from Geotomo Software. 2% Gaussian noise ([Press et al., 2007](#)) is added to the  
 227 synthetic reading before inversion. The modification of Res2Dinv from the commercially available  
 228 version permits the user to locally increase the smoothing factor in the vicinity of the buried  
 229 electrodes. This modification has proven necessary to dampen inversion artefacts that otherwise are  
 230 amplified close to buried electrode locations ([Loke et al., 2015](#)). Even after using geometric factor cut-  
 231 offs for optimized sets of readings, inversions of field data sets with subsurface electrodes tend to have  
 232 more noise and negative data points compared to conventional arrays ([Wilkinson et al., 2008](#); [Loke et  
 233 al., 2014a](#)). In order to suppress this effect, the inversion is done using the L1-norm constraint in  
 234 Res2Dinv ([Loke et al., 2003](#)). L1 norm constrained inversion has higher stability and lower  
 235 susceptibility to noise ([Liu et al., 2015](#)).

### 236 3. Synthetic Models

237 The potential advantages of the MERIT technique over conventional surface resistivity are first  
 238 assessed by considering simple hypothetical subsurface features. We compare MERIT and surface  
 239 arrays in two ways: first, arrays with equal total number of electrodes; and second, arrays with equal  
 240 electrode spacing.

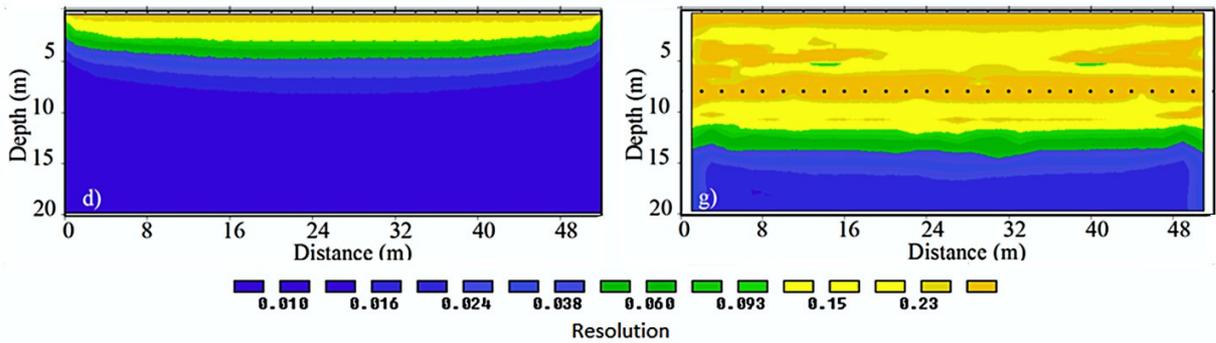
241                   3.1 Cylindrical targets  
 242    To compare conventional and MERIT approaches, 2D synthetic models containing several cylinders  
 243 (radius=2 m) oriented perpendicular to the survey line are generated (Figure 3a). The models are  
 244 designed to illustrate the effective depth of investigation, survey sensitivity, and resolution of both the  
 245 dimension and the resistivity of the target cylinders. Models for surface surveys assume a  
 246 conventional dipole-dipole array geometry ( $a=3$  and  $n=6$ ) with 203 measurements. The MERIT  
 247 models employ an optimized set 1203 of readings generated via the method of [Loke et al.\( 2015\)](#). All  
 248 models assume a 52 m long electrode array with 2 m electrode spacing. The buried electrodes in  
 249 MERIT models are at 8 m depth. 1000  $\Omega\text{m}$  resistive cylinders are embedded in a uniform 500  $\Omega\text{m}$   
 250 background. Cylinder center depths range from 3 to 12.5 m.

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256 Figure 3. Comparison of surface (left column) and MERIT arrays (right column) over buried  
 257 cylinders. (a) Forward model showing the locations and sizes of resistive cylinders ( $\rho=1000\Omega\text{m}$ , red)  
 258 embedded in a uniform background ( $\rho=500\Omega\text{m}$ , blue). The numbers near the circles are used to label  
 259 the cylinders. These cylinders are placed at locations of (5,5.5), (11,3), (29,6.5), (45,3), (5,11.5),  
 260 (11,9.5), (23,10.5), (29,12.5) and (45,9.5) meters across the array and meters deep respectively. Left  
 261 column: results for surface dipole-dipole array with 2m electrode spacing and 203 total readings Right  
 262 column: results for optimized MERIT array with similar 2m spacing and 1203 total readings. (b) and  
 263 (e) inversion results with data misfit of 1.2% and 2.2% respectively. (c) and (f) show sensitivity (d)  
 264 and (g) show resolution.

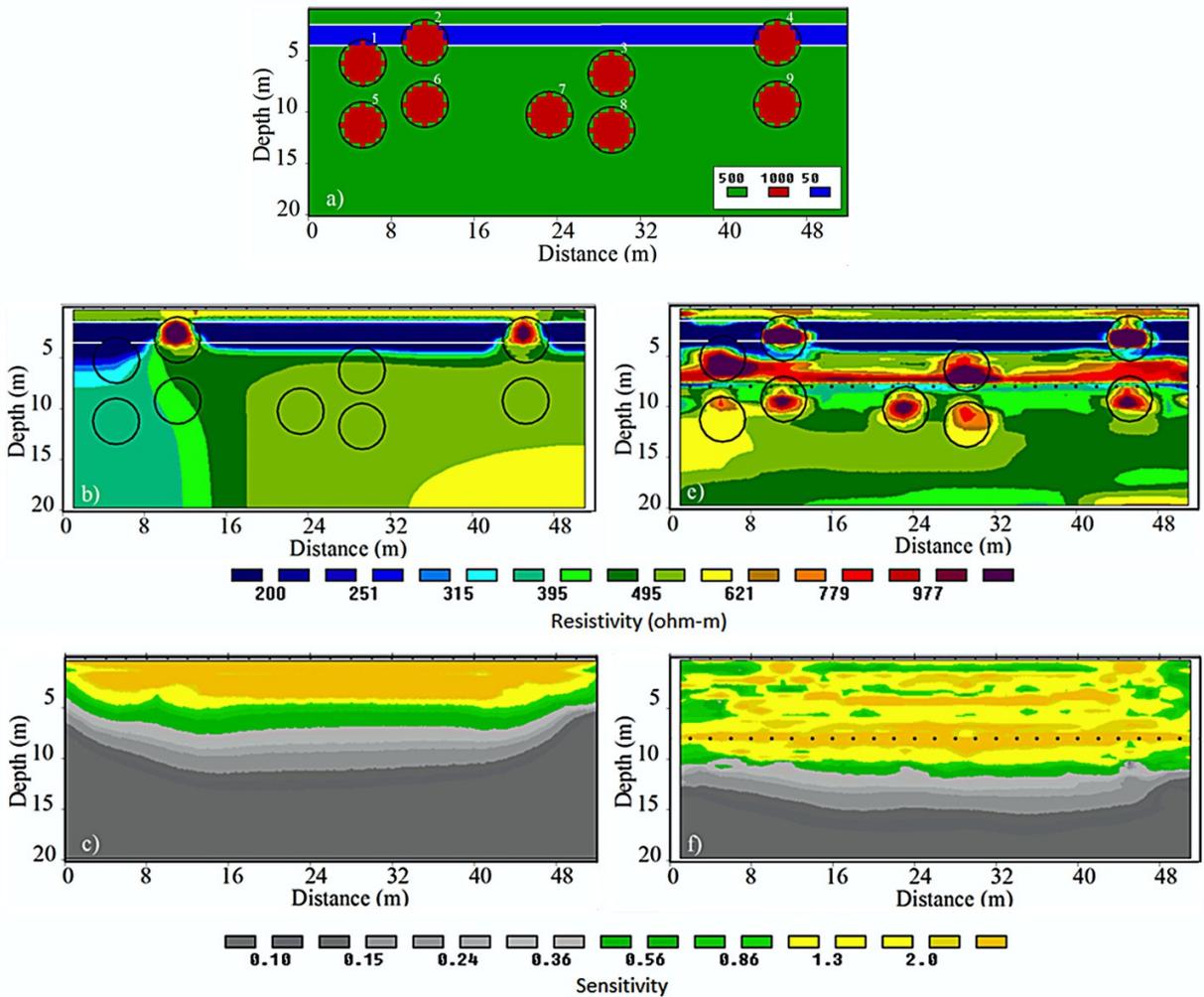
265 The differences between surface and MERIT surveys are shown clearly in the inversions for the buried  
 266 cylinders (Figures 3b and e). The MERIT array detects the 5 deeper cylinders, which are not resolved  
 267 in the surface-only array. Moreover, although the surface resistivity is able to detect Cylinder #3 just  
 268 above the deeper electrodes, the MERIT array achieves better resolution of both shape and amplitude  
 269 of the anomaly. Targets like Cylinder #1 near the profile edges are not properly detected in the surface  
 270 survey, even when at shallow depth (Figure 3b). This problem is ameliorated with the MERIT array  
 271 (Figure 3e). Figure 3e shows that while the MERIT array significantly improves resolution of deep  
 272 targets, it also suffers from inversion artefacts at depths just above the buried array. These inversion  
 273 artefacts are addressed further below.

274 The improvement in the overall resolution and sensitivity at depth and near the edges with MERIT is  
 275 also clearly illustrated in plots of model resolution and sensitivity for the inhomogeneous model  
 276 (Figure 3f and g). Following the suggestion of [Stummer et al. \(2004\)](#) to define the depth of low  
 277 resolution where model cells' R drops below 0.05, the depth of low resolution of the conventional  
 278 surface array is ~5 m. With the MERIT array, this depth of low resolution is pushed to ~5 m below the  
 279 buried electrodes, for a total depth of ~13 m. Maps of resolution (Figure 3d and g) show the  
 280 conventional surface array is less sensitive to features located near the edges of the survey line. A  
 281 similar effect is observed in MERIT arrays below the deep electrodes, but between the surface and  
 282 buried arrays there is good resolution to the ends of the profile (Figure 3g).

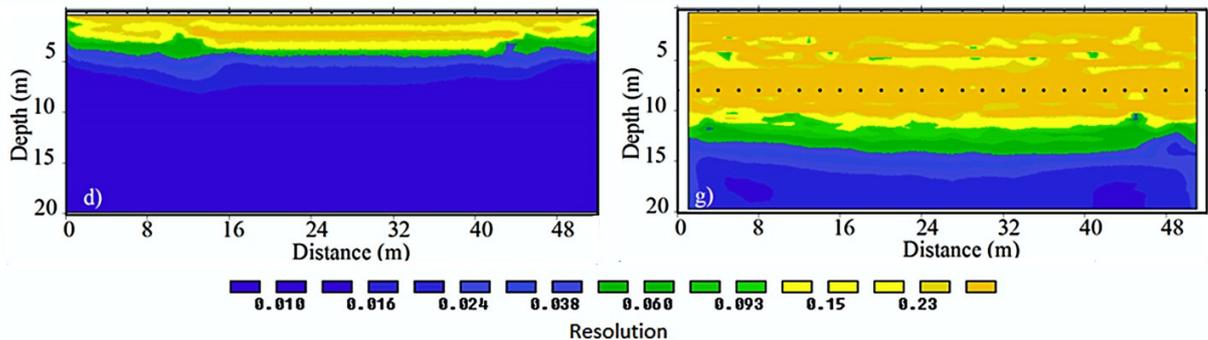
283 3.2 Effect of a shallow conductive layer

284 The benefits of buried electrodes can be even more striking in the presence of shallow conductive  
 285 layers. Getting good penetration of electric current into underlying strata (for example limestone  
 286 beneath clay in covered karst) is difficult as most of the current tends to flow through the conductive  
 287 layer (Dahlin, 2001). Figure 4a shows the same 2D buried cylinders model as Figure 4a, with the  
 288 addition of a shallow relatively more conductive (50 Ωm) layer between 1.5 and 3.5 m depth.

289 The addition of this more conductive layer reduces the threshold depth of resolution of the  
 290 conventional array from ~5m to ~4 m (Figure 3d and 4d). The mid-depth cylinder #3, below the  
 291 conductive layer, is not detected by the surface array (Figures 4b,c,d,). Yet the 13 m depth of  
 292 resolution of the MERIT array is relatively unaffected by the clay layer. Very similar resolution of  
 293 cylinders is obtained in the presence and absence of the conductive layer (Figure 3g and 4g).



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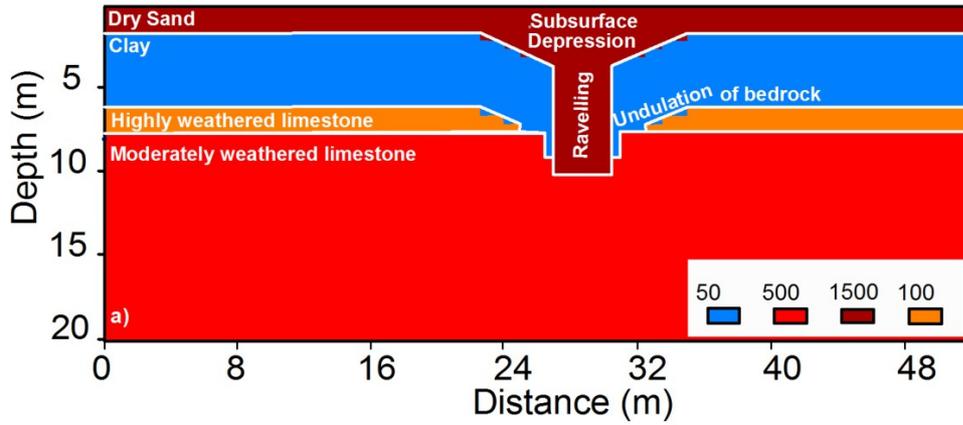


295  
 296 Figure 4. Comparison of surface (left column) and MERIT (right column) arrays over buried cylinders  
 297 within and below a thin clay layer. (a) Forward model showing the locations and sizes of resistive  
 298 cylinders ( $\rho=1000\Omega\text{m}$ , red) embedded in a background ( $\rho=500\Omega\text{m}$ , green) with a shallow low  
 299 resistivity layer ( $\rho=50\Omega\text{m}$ , blue). The numbers near the circles are used to label the cylinders. Cylinder  
 300 locations as in Figure 3. Left column: results for surface dipole-dipole array with 2m electrode  
 301 spacing and 203 total readings Right column: results for optimized MERIT array with similar 2m  
 302 spacing and 1203 total readings. (b) and (e) inversion results with data misfit of 5.3% and 8.4%  
 303 respectively. (c) and (f) show sensitivity (d) and (g) show resolution.

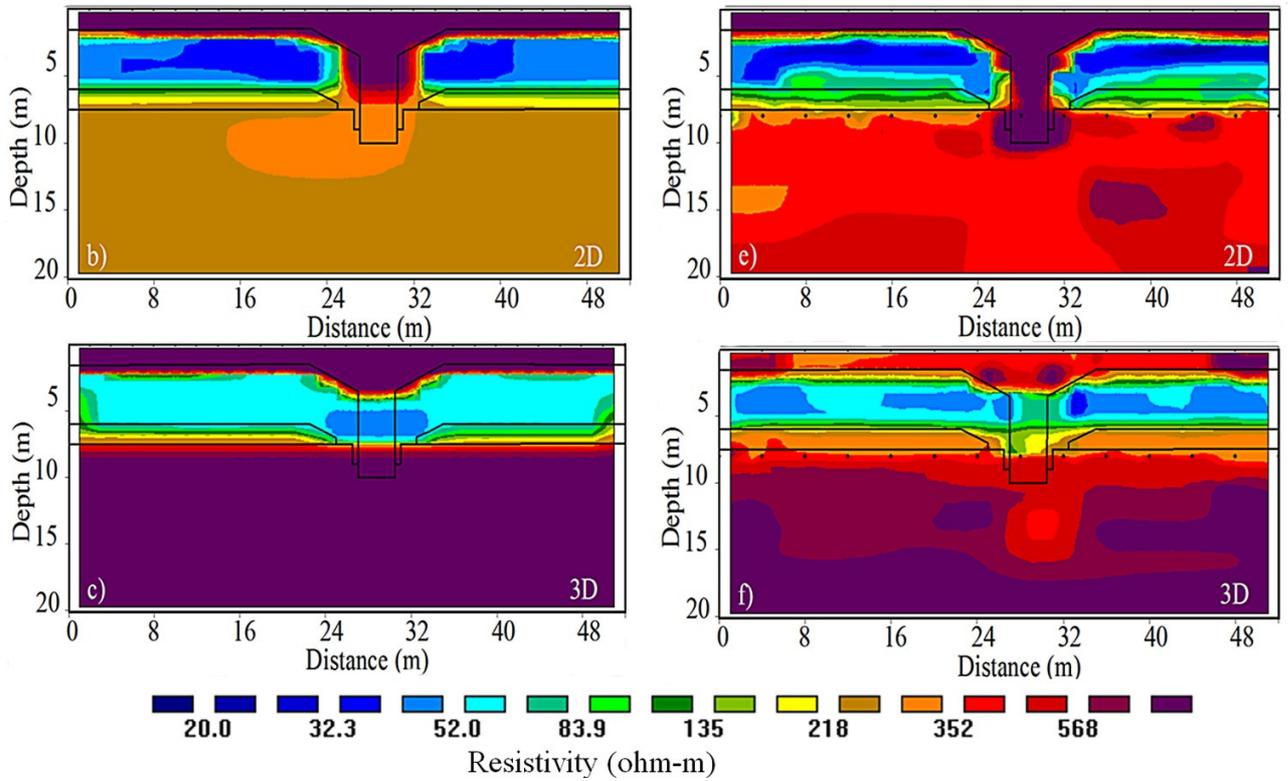
### 304 3.3 Sinkhole structure

305 Figures 2c and 2d show a sinkhole structure observed in west-central Florida. Figure 5 illustrates a  
 306 synthetic model mimicking simple aspects of this structure. An uppermost sand layer ( $1500\Omega\text{m}$ ) is  
 307 underlain by a clay layer ( $50\Omega\text{m}$ ), in turn underlain by a thick limestone ( $500\Omega\text{m}$ ) with a thin  
 308 transitional weathered layer ( $100\Omega\text{m}$ ) (Figure 5a). The sediment-bedrock interface is disrupted at the  
 309 center below a sub-surface depression in the sand and clay layers. Finally, the vertical feature cutting  
 310 the clay layer is filled by sands raveling downward from the top layer. At this field site we infer that  
 311 these raveling zones can be laterally elongated (Kruse, 2014) or can have small lateral extent with  
 312 cylindrical conduit-like shapes (Kruse et al., 2006). Both scenarios are investigated, with a 2D model  
 313 to simulate an elongated raveling zone, and a 3D model for a cylindrical conduit. As a conduit can  
 314 have hydrologic significance as a breach in the clay semi-confining unit, resolution of this feature is a  
 315 desired outcome. The conventional arrays comprise 27 surface electrodes spaced at 2m spacing while  
 316 the MERIT arrays comprise 14 surface and 14 deep electrodes with 4m spacing thus fixing the total  
 317 number of electrodes used in both methods close to 28 electrodes.

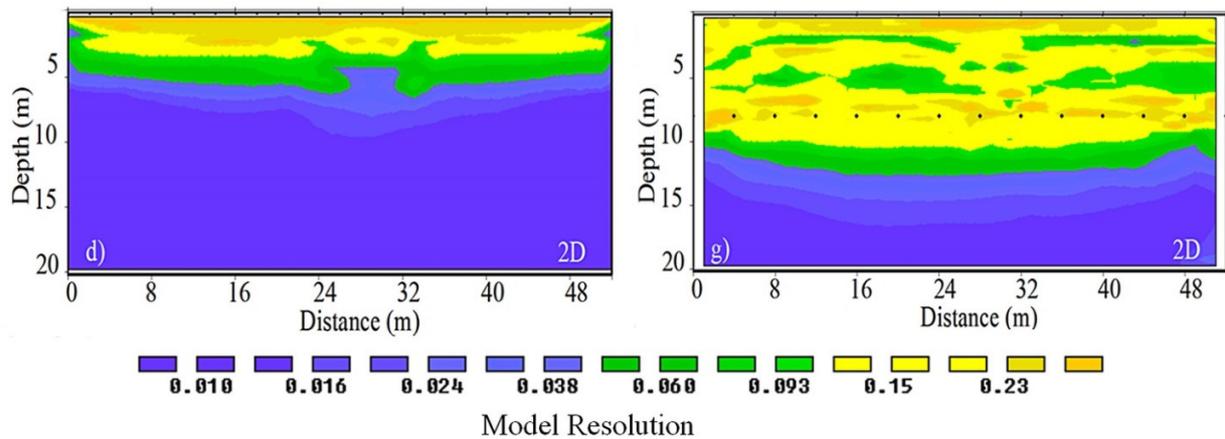
318 The resulting inverted images for 2D arrays are shown in Figures 5b,c,e, and f. Comparing the model  
 319 resolution for the conventional arrays and MERIT shows that the depth of low resolution ( $R < 0.05$ ) is  
 320 located at 5.5m and 12.5m for the 2D forward model and at 6.5m and 13.8m for the 3D forward  
 321 model, with surface and MERIT arrays, respectively. A noticeable decrease in model resolution is  
 322 present at the center of the conventional array, due to the central resistive conduit. As seen for the  
 323 cylinder models, resolution significantly decreases near the edges of the conventional arrays, but not  
 324 for the MERIT array.



325



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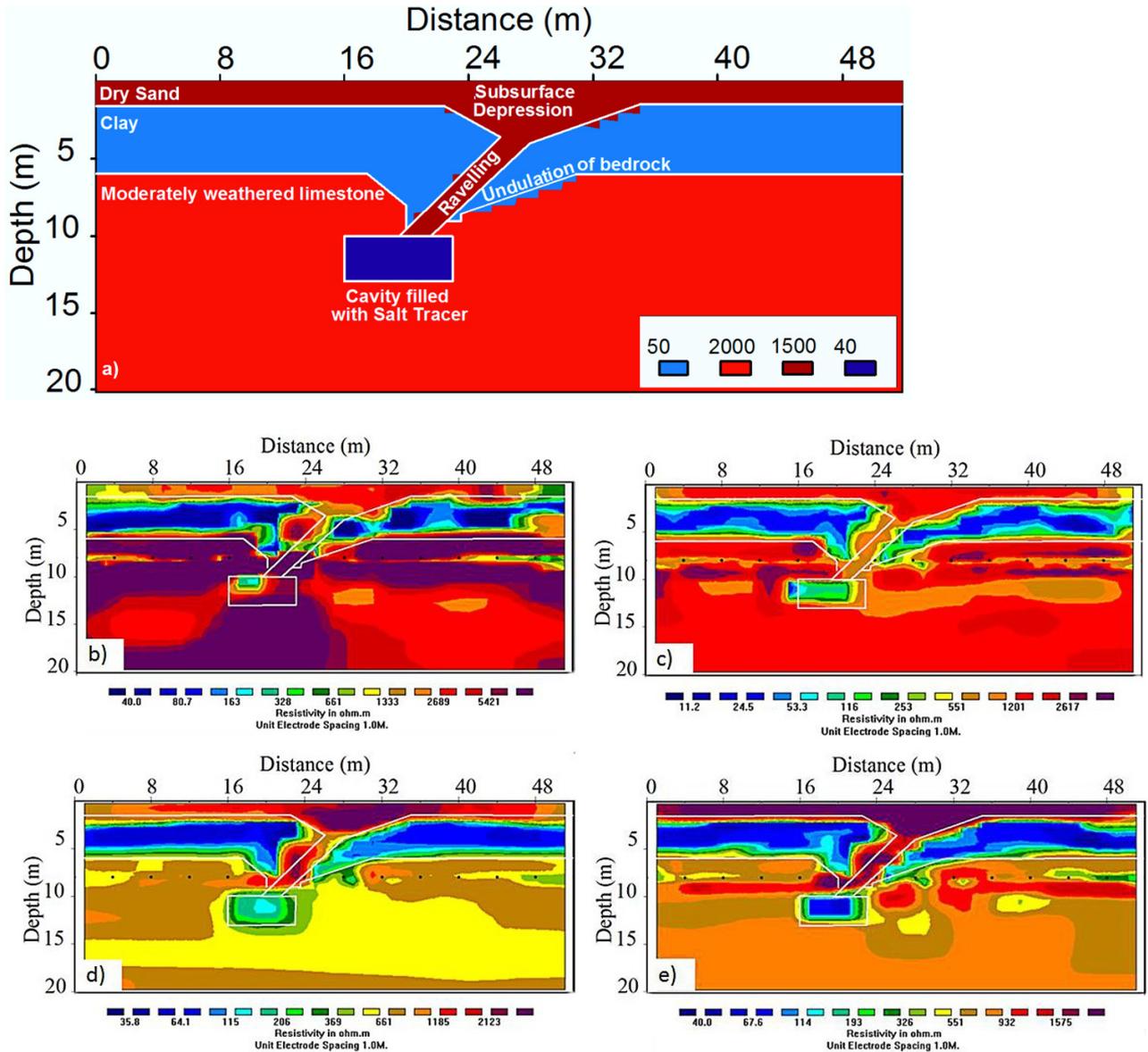


327

328 Figure 5 Sinkhole structure. (a) Generalized synthetic sinkhole model showing resistivity variation in a  
 329 sinkhole structure based on the geologic cross-section by Stewart and Parker, 1992. Sand unit  
 330 ( $\rho=1500\Omega\text{m}$ , green) is on the top and inside a raveling vertical conduit system. Below the sand is a  
 331 clay layer ( $\rho=50\Omega\text{m}$ , blue) with both the top and bottom contacts undulating. Weathered, clay rich  
 332 limestone ( $\rho=100\Omega\text{m}$ , orange) overlies the bottom fractured limestone ( $\rho=5000\Omega\text{m}$ , light blue). Left  
 333 column: results for surface dipole-dipole array with 2m electrode spacing and 203 total readings Right  
 334 column: results for optimized MERIT array with similar 2m spacing and 1203 total readings. The 2D  
 335 inversion results are labeled as 2D or 3D depending whether the readings are taken from 2D or 3D  
 336 forward models. (b) and (e) 2D inversion of 2D forward model with data misfit of 2.6% and 2.8%  
 337 respectively. (c) and (f) 2D inversion of 3D forward model with data misfit of 0.8% and 6%  
 338 respectively. (d) and (g) Model resolution for 2D inversion of 2D forward model.

339 Figure 5 shows that both surface and MERIT methods are clearly able to detect the shallow contact  
 340 and sub-surface depression between the top sand and clay layers. The inversion of the readings taken  
 341 from the 3D forward model shows that this undulation is slightly less resolved in the MERIT array  
 342 since the top electrodes have 4m spacing, compared to the conventional array which has 2m spacing.  
 343 More significant differences are revealed in the identification of the vertical raveling zone. This  
 344 raveling zone is manifested as a break in the continuity of the clay layer between 27 m and 32m and a  
 345 sharp increase in resistivity compared to the resistivity of the clay layer (50 ohm-m). With the  
 346 traditional surface array, the 2D conduit (elongate raveling zone) (Figure 5b) is better resolved than  
 347 the 3D conduit (cylindrical raveling zone) (Figure 5c), in the sense that there is no indication of the  
 348 raveling zone penetrating the limestone for the 3D cylindrical conduit. With the MERIT surveys, both  
 349 the 2D and 3D versions of conduit are detected in the form of anomalies at limestone depths (Figures  
 350 5e and f). However, the 3D cylindrical conduit (Figure 5f) is clearly less accurately captured in the  
 351 inversion. MERIT's improvement over the surface array in resolving the 3D cylindrical conduit and its  
 352 vertical continuity is novel and important in terms of helping to link the surface features with activities  
 353 in the intermediate (overburden soil) and deeper (bedrock) activities. These linkages are keys to  
 354 understanding hydrologic function and to properly mitigate karst-related sinkhole hazards.

355 Cavities in the limestone bedrock are themselves important targets. If the voids can be imaged,  
 356 grouting can be done much more efficiently to mitigate the collapse of overlying sediments. Figure 6  
 357 shows a model with a top sand soil underlain by a clay layer that is in turn underlain by limestone. In



358

359

360 Figure 6. Comparison of Data misfit with different bedrock resistivities. (a) Resistivity structure of  
 361 Forward model. (b) Inverted resistivity image of highly resistive ( $\rho=12000\Omega\text{m}$ ) bedrock with data  
 362 misfit of 18.7% at iteration 8 (c) Inverted resistivity image of moderately resistive ( $\rho=2000\Omega\text{m}$ )  
 363 bedrock with data misfit of 4.3% at iteration 8. (d) Inverted resistivity image of low resistive  
 364 ( $\rho=400\Omega\text{m}$ ) bedrock with data misfit of 7.3% at Iteration 4. (e) Inverted resistivity image of low  
 365 resistive ( $\rho=400\Omega\text{m}$ ) bedrock with data misfit of 1.2% at Iteration 10. Note that unlike the other  
 366 figures in the paper, the color scale of resistivity varies from image to image.

367 this model the sub-surface depression of the sand–clay contact is laterally offset from a deep  
368 dissolution cavity. The cavity is the original source of hazard. Ideally, mapping of the raveling zone  
369 and shallow and deeper undulations could help in estimating the location of the associated limestone  
370 cavities. One way researchers have tried to map analogous sub-surface geological heterogeneities is  
371 through the injection of conductive tracers (e.g. Slater et al., 1997; Slater et al., 2000; Robinson et al.,  
372 2015). These conductive tracers are expected to follow preferential flow paths, such as the raveling  
373 zone. For resistivity surveys, the conductive tracers can preferentially enhance signal contrast, and  
374 ‘light up’ an area in time-lapse imaging. Here we examine such a scenario, simulating a void filled  
375 with conductive tracer.

376 In the 2D model in Figure 6a the conductive fluid is assumed to be concentrated in a cavity, while the  
377 overlying raveling zone has returned to background high resistivity. Figure 6b-d show inversion  
378 results for the same structure, with varying resistivity of the limestone bedrock (high=12000  $\Omega\text{m}$ ,  
379 medium=2000  $\Omega\text{m}$  and low=400  $\Omega\text{m}$ ). Also the bedrocks in all the models has good signal contrast  
380 compared to the overlying clay and the saline filled cavity. In all cases the MERIT array captures the  
381 sand depression, the low-resistivity cavity, and some anomaly in the vicinity of the raveling zone. All  
382 inversions show artefacts near the depth of the buried electrodes, which appear as the horizontal  
383 ‘stripes’ around the deep array. And because the method yields artefacts close to the buried electrodes,  
384 electrodes should ideally be buried above or below target depths – perhaps a distance on the order of  
385 the lateral spacing between electrodes.

#### 386 3.4 Data RMS misfit: survey design and interpretation

387 The misfit between the data and the inversion results (presented as a percentage of the reading) is a  
388 commonly used gauge of the quality of the inversion results. Data misfits for MERIT surveys are  
389 typically higher than for surface surveys, as discussed in the introduction. In Figures 6b, 6c, and 6e  
390 the inversions were run until the criteria for termination was satisfied. The criterion assumed in this  
391 paper is that the results of an inversion iteration vary by less than 0.1 % from the previous iteration.  
392 At termination, the data misfits are 18.7 %, 4.3 % and 1.2 % for the high, medium and low resistivity  
393 bedrock models respectively. Interestingly, the quality of the inversion is highly dependent on the  
394 presence of a highly resistive unit and absolute value of the resistivity contrast between the conductive  
395 clay and the resistive limestone. The higher the bedrock resistivity, the higher the data misfit and the  
396 poorer the recovery of the raveling zone and the void. Also more artifacts with locally high or low  
397 resistivity values are introduced as seen in the model with the highest resistivity value and data misfit  
398 of 18.7%. Presumably this is because of: 1) the ease of current flow in the less resistivity bedrock  
399 models which allows better imaging of the void and 2) the negative effect of very high apparent  
400 resistivity values on the inversion. These high apparent resistivity values arise from array geometries  
401 that sample larger volume of the highly resistive bedrock. In L1-norm regularized inversion, these  
402 high resistivity readings would be more affected by the damping contributing to the bigger data misfit.  
403 This is an important factor since in most geological settings; the presence of more indurated, drier,  
404 resistive bedrock underlying softer, moister, less resistive sediment is a common state. Thus the deep  
405 arrays of MERIT, closer to the bedrock, tend to have higher data misfit.

406 Figures 6d and 6e illustrate the dangers of pushing the inversion process too far to lower the RMS  
407 misfit. Both figures share the same forward model; Figure 6d shows the inversion terminated at  
408 iteration 4 with 7.3 % misfit; Figure 6e at iteration 10 with 1.2 % misfit. The latter is below the 2 %  
409 noise level; at this level the inversion is clearly amplifying artefacts as it fits the noise. The geological  
410 structures are equally identifiable in both cases.

411 In summary, the results from MERIT arrays are reasonably expected to have a higher data misfit  
412 especially in areas with more complex subsurface heterogeneity that includes highly resistive  
413 bedrocks. We suggest that these results should be accepted after a moderate effort to reduce error and  
414 an attempt to do ground-truthing and repeated or reciprocal measurements. Similar high data misfit  
415 while giving geologically reasonable results is observed in cross-borehole surveys as shown by  
416 [Wilkinson et al. \(2008\)](#) and [Loke et al. \(2014a\)](#).

417 The data processing approach used in the field studies in this paper to reduce data misfit includes  
418 eliminating bad data points in a sequential manner involving inversion and removal of noisy data  
419 points. In the inversion, reciprocal measurements are used to suppress noisy data using a data  
420 weighting matrix.

421

#### 422 4. Laboratory Experiments

423 Two laboratory experiments were carried out to investigate the effectiveness of MERIT in a controlled  
424 environment. Both experiments were designed to be slightly similar to the synthetic models discussed  
425 above. In the first experiment (Figure 7), 5 resistive rods were placed in a water tank, creating a  
426 scenario similar to the cylinder synthetic model of Figure 3. In a second experiment (Figure 8), a small  
427 analogue sinkhole model was created to roughly mimic the sinkhole cross-section of [Stewart and  
428 Parker, \(1992\)](#), Figure 2c. In both experiments deep electrodes were implanted directly beneath  
429 surface electrodes.

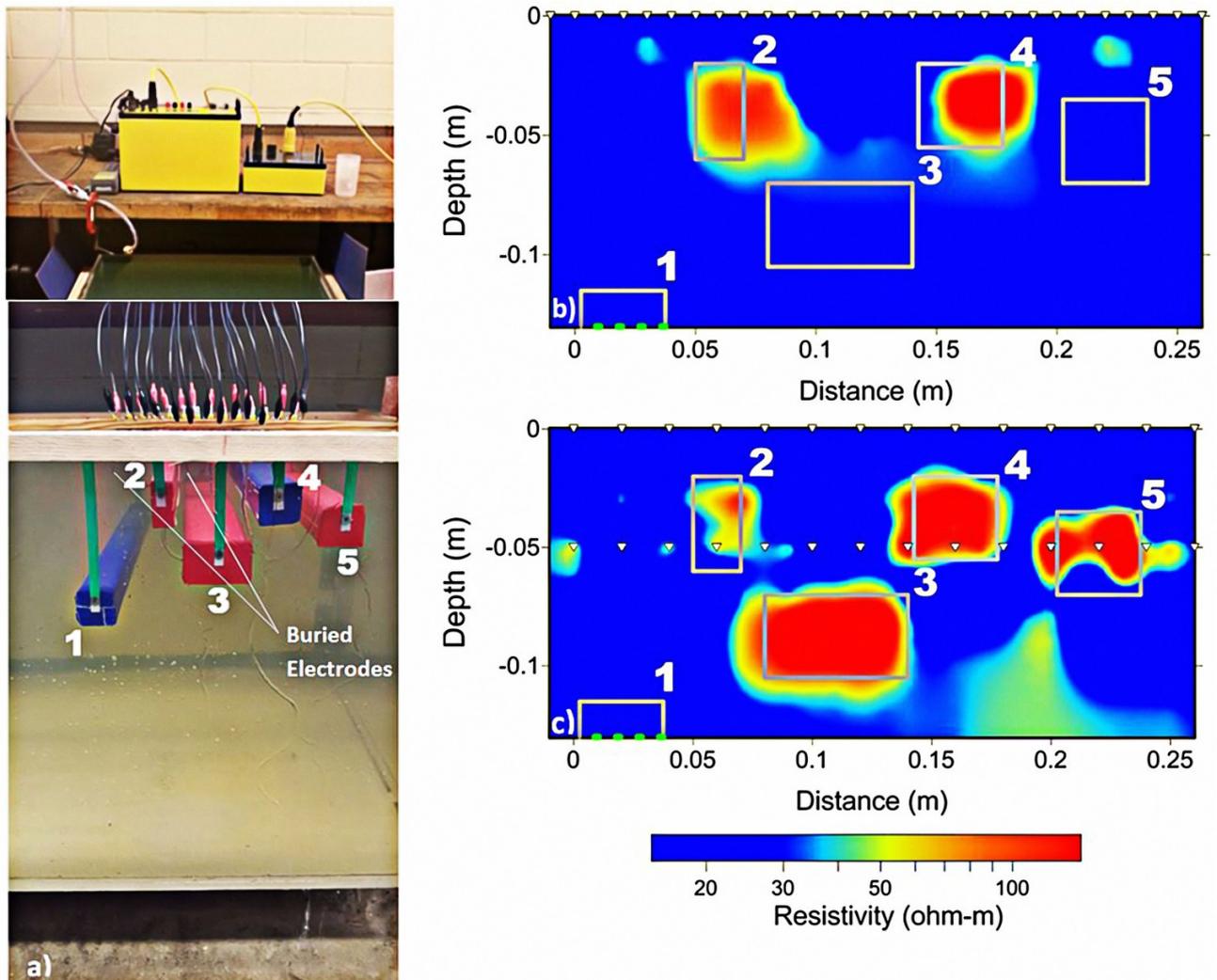
##### 430 4.1 Rectangular Rods

431 In this experiment, 5 small insulated prisms were fixed at known locations (Figure 7). Data were  
432 collected for a conventional array with 28 electrodes spaced at 1cm and a MERIT array with 14  
433 surface and 14 deep electrodes spaced at 2 cm. Deep electrodes were mounted at 5 cm depth. All rods  
434 except 2 and 3 had dimensions of 3.5 x 3.5 cm in the plane of the survey and 80cm perpendicular to  
435 the survey centered in the middle of the rods. Rod 2 and rod 3 had dimensions of 2 x 4 x 80 cm and 6  
436 x 3.5 x 80 cm, respectively (Figure 7). Holes drilled in blocks 2, 4 and 5 served as passages for the  
437 deep electrodes. Rods 1 and 5 are located close to the edges of the survey line while the rest are  
438 located closer to the center. Rods 2 and 4 mostly lay between surface and deep electrodes, rod 5 is  
439 close to the deep electrodes and rods 1 and 3 are located below the deep electrodes.

440 The surface array detected only the shallow rods 2 and 4 (Figure 7b and 7c) but poorly resolved the  
441 dimension of the smaller rod 2. The MERIT array (Figure 7d) detected the shallow rods 2 and 4 and  
442 also better resolved the smaller rod 2. It also detected the deep rod 3 and rod 5 near the edge. Unlike

443 the MERIT array, the surface array was not able to detect rod 5 near the edge and above the deep  
444 electrodes.

445



446

447 Figure 7. Experimental Rods. (a) Experimental setup of 5 rectangular rods in a water medium. The  
448 rods are made of wood insulated by plastic tape. The green dotted lines in rod 1 indicates that only part  
449 of rod one is shown in (b) and (c). Resistivity measurements are carried out using a SuperSting R1  
450 resistivity meter. Both the surface and deep electrodes are made of copper wires with insulated and  
451 stripped sections. (b) Inverted resistivity image using conventional surface arrays (average noise level  
452 = 0.67%, data misfit error= 3.6%). (c) Inverted resistivity image using MERIT arrays (average noise  
453 level = 0.39% and data misfit error =7.5%).

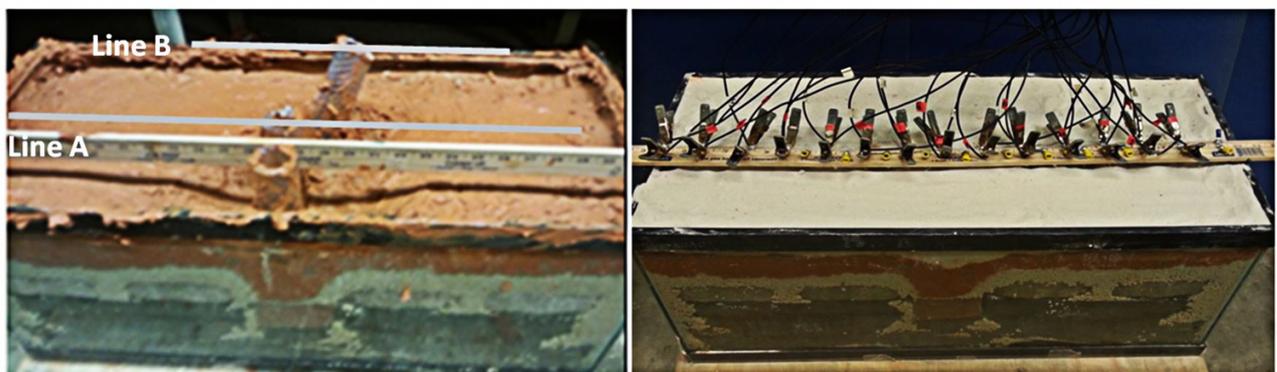
454 The MERIT array suffers a similar limitation below the deep arrays, where rod 1 near the edge is not  
455 detected. While the MERIT array has doubled the depth of resolution of the surface array, it suffers

456 from inversion artefacts (at depth, right side) and near the deep electrodes. It also slightly mis-located  
457 rod 2 which is probably due to its smaller size and the presence of several target prisms to resolve.

#### 458 4.1 Sinkhole analog model

459 An experimental sinkhole analog model was constructed mimicking a sediment-covered sinkhole  
460 structure such as the one studied by [Kruse et al. \(2006\)](#) (Figure 2c). The model has top layer of loose  
461 fine to medium sand underlain by cohesive clay soil (Figure 8). Below the clay, in order to mimic the  
462 weathered undulations in resistive bedrock, limestone blocks were emplaced over insulated foam  
463 padding. Weathered limestone chips mixed with a small amount of clay were used to mimic the  
464 weathered top of limestone. Three sand-filled “conduits” were created along the midline of the tank  
465 through the sand and clay with 4.5cm diameter plastic tubing with sand which was then removed, and  
466 the conduit filled with sand. Two conduits are vertical, one is inclined at an angle of ~70 degrees  
467 (Figure 8). In the middle of the tank just below these conduits, construction bricks with limestone chip  
468 and sand-filled cavities further simulates the bedrock that has undergone complex dissolution.

469 Two electrode geometries were tested. The first array (A, Figure 8) had 14 surface electrodes and 14  
470 deep electrodes buried at 8 cm depth; with 5.08cm horizontal spacing between electrodes. The array  
471 was centered over a central vertical raveling zone. Clearly resistivity readings will be affected by the  
472 edges of the tank ([Loke et al, 2014b](#)), but were neglected for the purposes of this simple experiment.  
473 The second (B, Figure 8) had 14 surface and 14 deep electrodes buried at 5cm depth with a 2.54 cm  
474 horizontal spacing. Array B was centered over the inclined raveling zone far enough (half the survey  
475 length) from the tank edges that edge effects should be small.



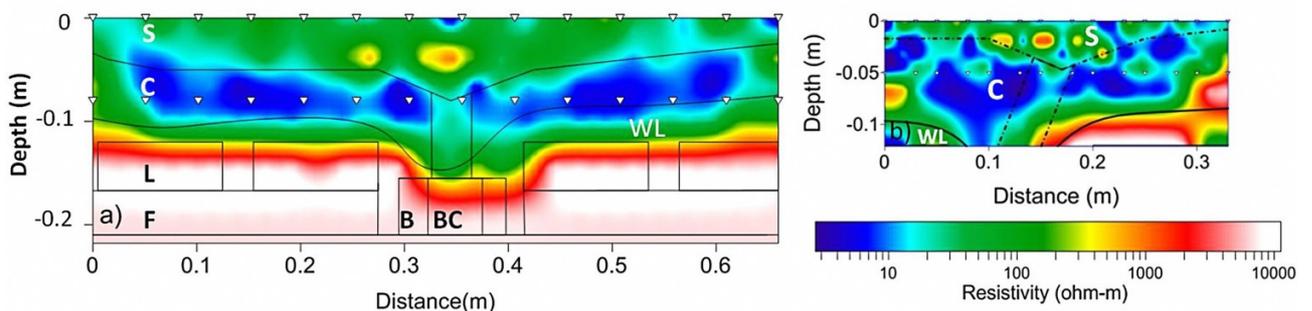
476  
477 Figure 8. Sinkhole analog model based on the geologic cross-section of a covered karst sinkhole  
478 ([Stewart and Parker, 1992](#)). (Left) Photo taken during construction. Resistive foam padding lines the  
479 tank base. A limestone bedrock with limestone chip and sand-filled vertical fractures is created over  
480 the base, and overlain by fragmented limestone. In the middle, red construction bricks with chip and  
481 sand-filled voids simulate a more heterogeneous zone. A clay layer overlies the fragmented limestone  
482 and dips down over the bricks. Two vertical conduits and one inclined conduit are created in the clay  
483 layer with plastic tubing. The tubing was removed, the conduits filled with sand, and a poorly  
484 saturated sand layer was overlain on the top of the clay. The gray lines show the location of the two

485 resistivity lines with 2.54cm (top) and 5.08cm (bottom) electrode spacing. The left edges of the lines  
 486 correspond to the starting point of the survey lines. (Right) Resistivity setup for the study with 5.08cm  
 487 electrode spacing; 14 at the surface and 14 buried at 8 cm depth.

488 Figure 9a shows the inversion results from the experiments. The first figure shows the inversion result  
 489 from the array A, the longer array with deeper electrodes across a vertical conduit. It can be seen that  
 490 most of the longer wavelength sinkhole features are well resolved. The sub-surface depression in the  
 491 sand-clay contact and the top of bedrock are well imaged. Moreover, the narrow vertical raveling zone  
 492 penetrating the clay layer is also detected. However, the continuation of this zone into the redbrick as  
 493 sand filled cavity is not properly resolved, presumably due to the smaller resistivity contrast between  
 494 the sand and the redbrick.

495 Figure 9b, over an inclined conduit, shows similar results. The effective depth of penetration is lower  
 496 due to the shorter survey length. Nevertheless both the shallow contact between the sand and clay  
 497 layer and the contact between the clay and the underlying limestone chips are seen. The inclined  
 498 sandy conduit is not clearly imaged, but the offset between the lower depression centered at a distance  
 499 of 0.125 m and sand-clay contact depression centered at 0.175 m is slightly captured.

500 Both inversions show considerable fine scale complexities that are not intentionally included in the  
 501 physical model. These features could be inversion artifacts or could also be small heterogeneities that  
 502 arise during material mixing or watering. Although the result captures most of the target features, it  
 503 has a very high data misfit (14.9% for Figure 9a and 28.05% for Figure 9b) that is extremely high  
 504 compared to the noise in the data set determined from repeated measurements, which is less than 1%  
 505 for both experiments. This high data misfit is possibly related to the presence of the highly resistive  
 506 bedrock layers represented by solid rock blocks and insulated foam padding. These results are fairly  
 507 consistent with the results from the numerical model (Figure 6b) involving a sinkhole structure with  
 508 highly resistive bedrock (12000  $\Omega\text{m}$ ). For Figure 9b, an attempt made to reduce the data misfit by  
 509 removing noisy data points resulted in lower misfit but more artefacts with less resemblance to the true  
 510 analogue model.



511  
 512 Figure 9. Resistivity inversion results from experimental sinkhole analogue model. (a) Resistivity  
 513 measurement taken using 28 electrodes and 5.08cm spacing and the deep electrodes buried at 8cm  
 514 depth. The line is located at the center of the vertical raveling zone. A total of 502 measurements are

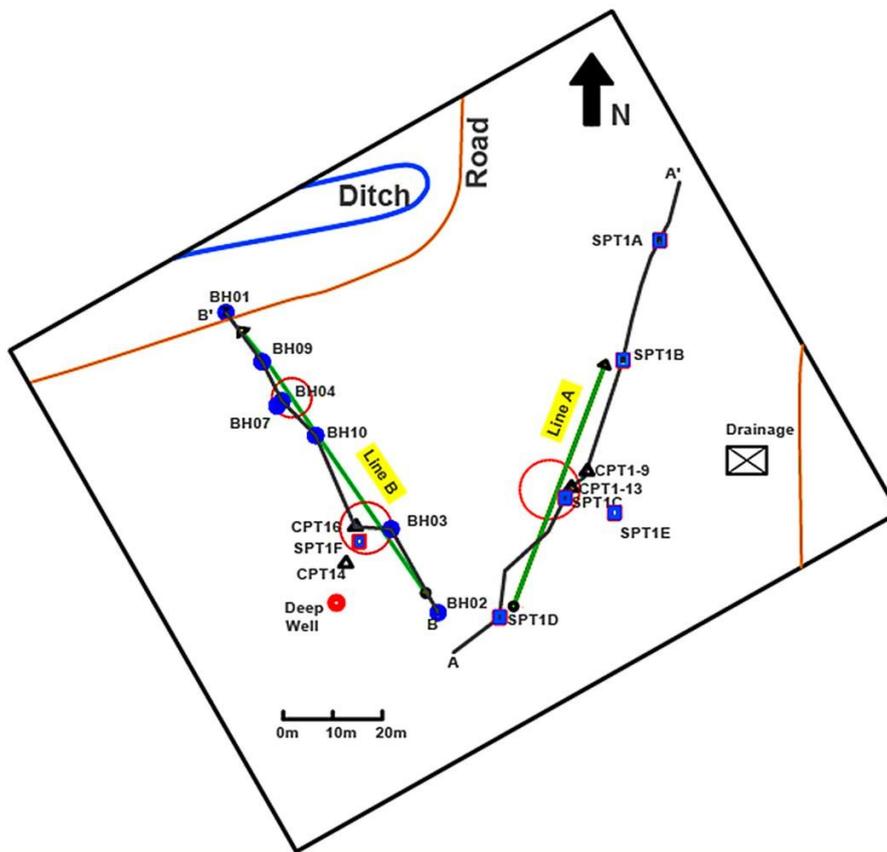
515 used in the inversion. S = Sand; C = Clay; L = limestone; WL=Weathered limestone; B = brick; BC =  
 516 cavity in brick; F =Foam padding. (b) Resistivity measurement taken using 28 electrodes and 2.54cm  
 517 spacing and the deep electrodes burried at 5cm depth. The line is located at the center of the inclined  
 518 ravelling zone. A total of 579 measurements are used in the inversion.

519 5. Field case study

520 Two field-scale case studies are described here.

521 5.1 Field case study 1: Sinkhole related subsurface karst features

522 The first case study site is located in covered karst in-west central Florida, in the Geopark research site  
 523 on the campus of the University of South Florida (Figure 11; location shown in Figure 2). This  
 524 research site has been studied by [Stewart and Parker \(1992\)](#) and [Kruse et al. \(2006\)](#). Ground truth  
 525 information includes drilling logs, standard penetration tests (SPT), cone penetration tests (CPT),  
 526 geologic profiles, and GPR survey data (Figures 11-14).



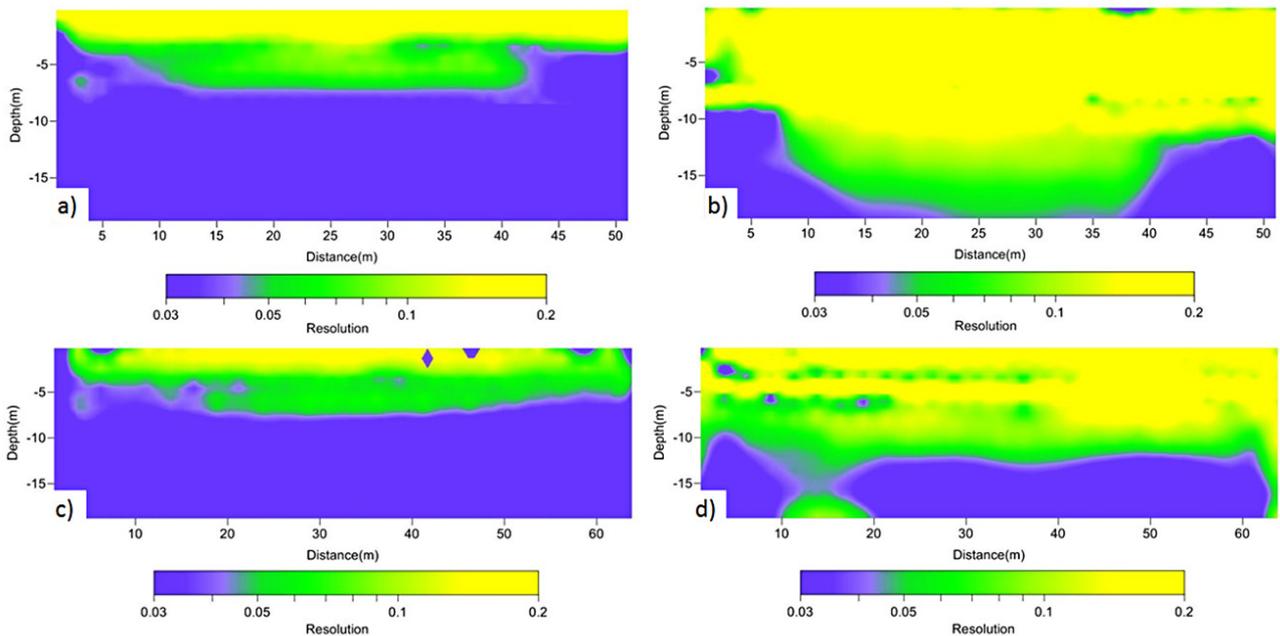
527

528 Figure 11. Map of Geopark research site at the University of South Florida, USA. The cyan lines  
 529 indicate geologic profile lines studied by Stewart and Parker (1992) and present study. The location of  
 530 this site is the same as for the GPR lines as shown in Figure 2. Resistivity surveys along Lines A and  
 531 B are described in this paper. The start of both surveys is towards the bottom end of the lines.

532 Two MERIT lines (Line A and Line B) were installed by implanting 14 deep electrodes on each line.  
533 The deep electrodes are implanted at 7.6 m depth with a 4 m spacing on Line A and at a depth of 5 m  
534 with 5 m spacing on Line B. Conventional surface resistivity surveys were conducted using a 2 m  
535 spacing on Line A and 2.5 m and 5 m spacing for Line B. In both survey lines, the main targets are  
536 common sinkhole-related features, including contacts between stratigraphic layers, undulations at  
537 contacts, raveling zones and dissolution cavities (e.g. Figure 2b).

538 The noise level of the field data can be described in two ways: first, as the percent difference between  
539 repeated measurements with the identical electrode locations, and secondly as the percent difference  
540 between reciprocal sets of readings, in which the current and potential electrode pairs are switched.  
541 (In theory reciprocal readings should produce identical apparent resistivities.) By the first metric  
542 (repeated measurements), MERIT arrays have generally higher noise level compared to the surface  
543 arrays. On line A the average noise level in the field data are 0.58% and 2.1% for the surface and  
544 MERIT arrays respectively. On Line B, the same values are 1.6% and 1.7%. Reciprocal measurements  
545 were run for MERIT arrays on Line B; these show a wide range, with a minimum reciprocal error of  
546 0.1%, and 75% of the reciprocal errors below 7.2%. During the inversion, errors associated with the  
547 reciprocal readings were used in the data weighting matrix. The average reciprocal error becomes 3%  
548 after filtering out the 25% of the data that has a higher reciprocal error above 7.2%.

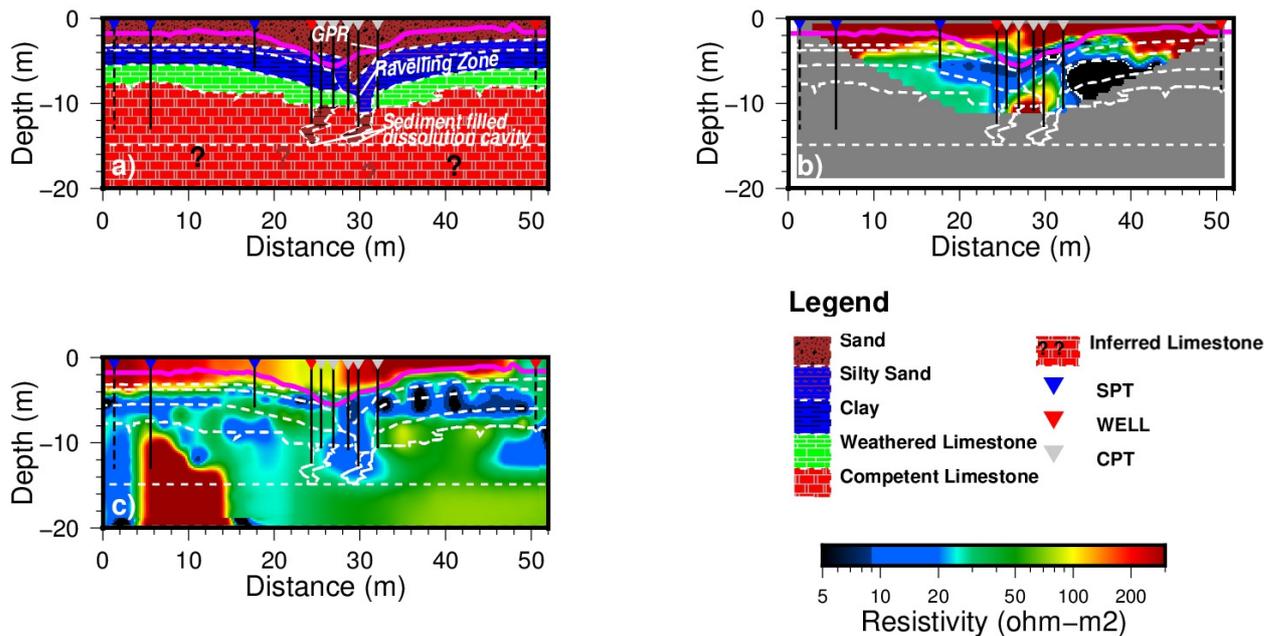
549 The addition of the deep implant electrodes results in significant improvement in depth of  
550 investigation as characterized by resolution, in both line A and line B (Figure 12). Improvements are  
551 most significant in regions that have low resistivities, and on the edges of the array between surface  
552 and deep electrode depths.



553

554 Figure 12. Comparison of resolution of resistivity survey with 28 electrodes arrays across the surface  
 555 (left graphs) versus 14 shallow and 14 deep electrodes (right graphs) for Line A (top) and Line (B)  
 556 (bottom). (a) Line A using conventional surface arrangement. (b) Line A using MERIT arrangement  
 557 with electrodes at 7.6 m depth. (c) Line B using conventional surface arrangement. (d) Line B using  
 558 MERIT arrangement with electrodes at 5 m depth. Both lines run from south on left to north on right.  
 559 See Figures 2 and 11 for locations.

560 On both lines, sinkhole-associated features include loose sediments, presumably raveling zones, which  
 561 have higher moisture content relative to the surrounding less disturbed soils (Figures 13a at 24 and 29  
 562 m and Figure 14b at 45 m). These raveling zones result in low resistivity areas around the sinkhole  
 563 locations, especially during the rainy season. On Line A (Figure 13), the use of the deep electrodes  
 564 enables four distinct improvements in the resistivity image. (1) There is better agreement with a  
 565 depression in a GPR reflecting horizon identified from simple auger holes as an internal stratification  
 566 within the top sand layer with a slightly cohesive internal layer of clayey silty sand and coring  
 567 indications for the sand-clay contact (magenta line Figure 13). (2) The MERIT results show better  
 568 agreement with the general attitude of bedding captured in the CPTs, SPTs, and wells (Figure 13).

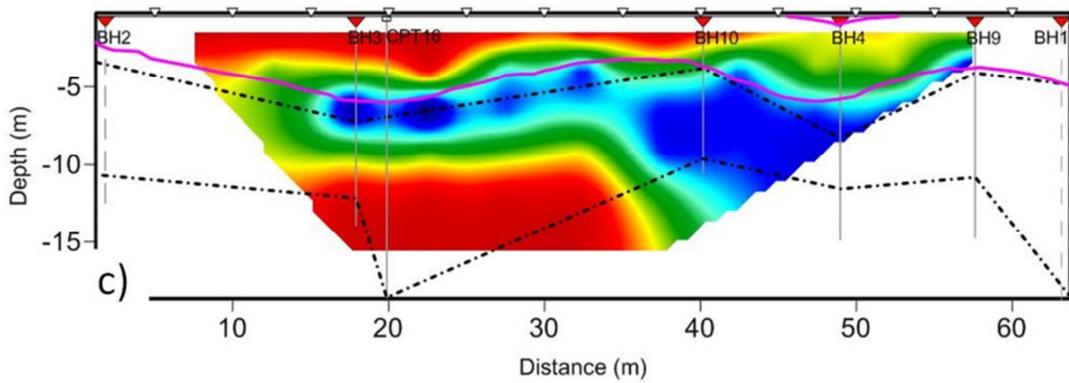
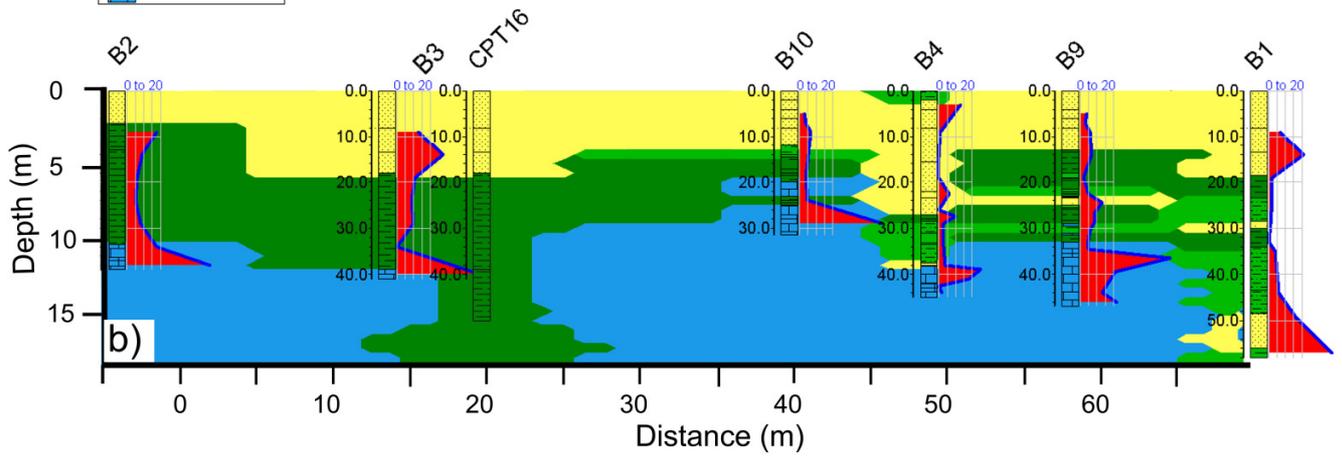
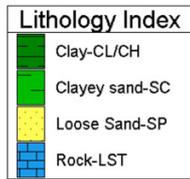
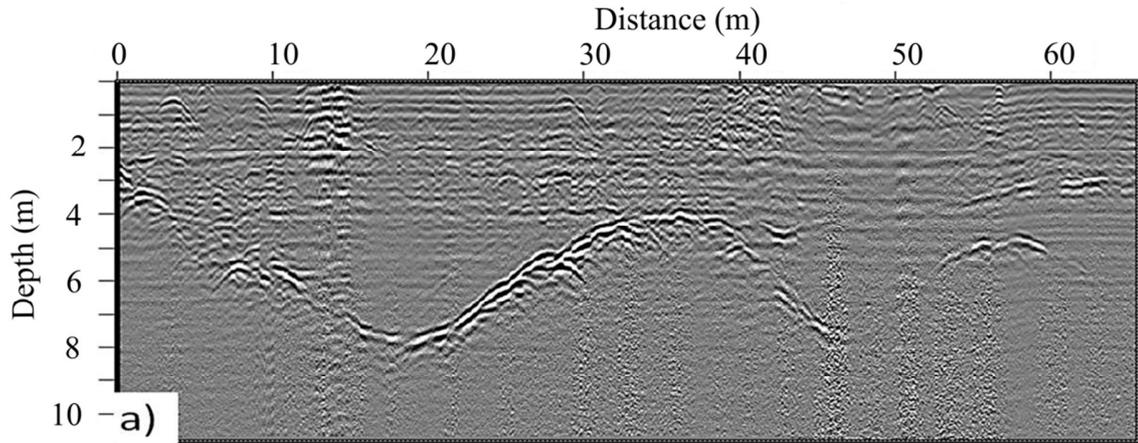


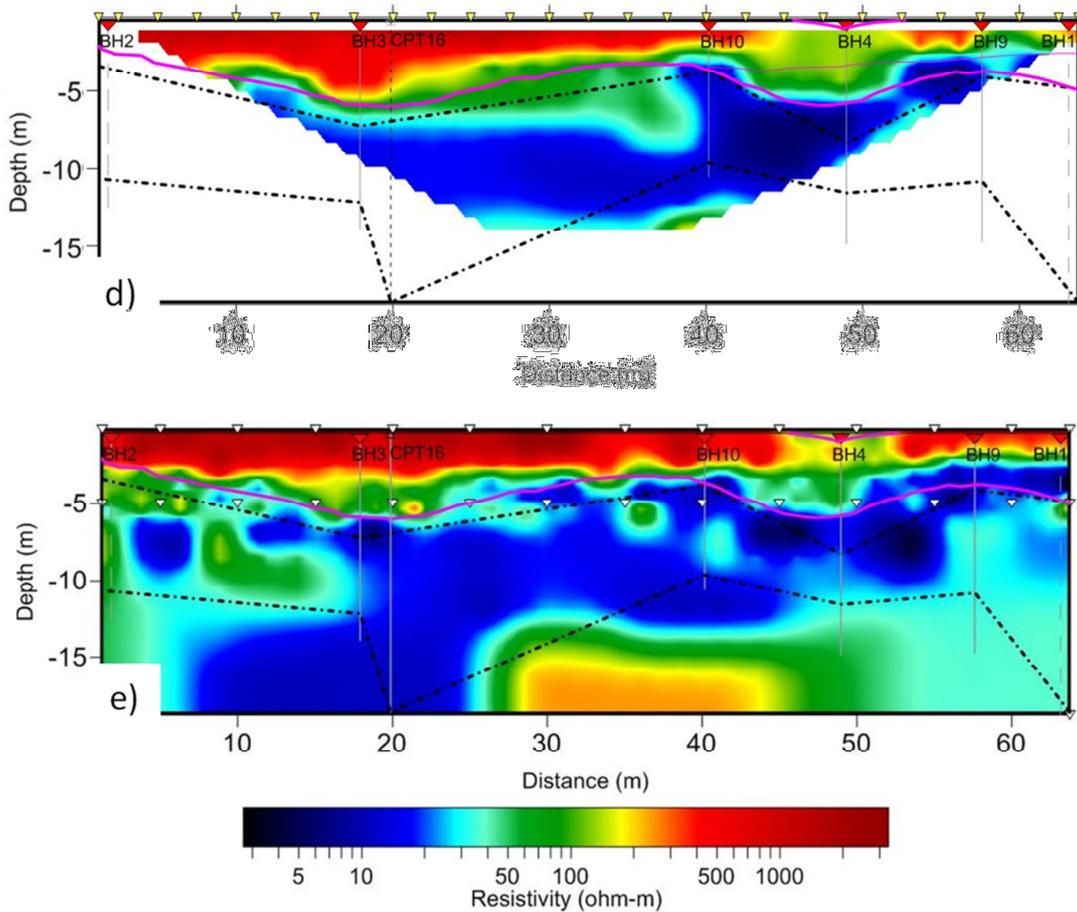
569 Figure 13. Line A in the covered karst USF Geopark (Figures 2 and 11 for location). (a) Geologic  
 570 cross-section along Line A modified from Stewart and Parker (1992). (b) Resistivity image using  
 571 conventional 28-electrode surface array with data misfit of 10.3% and (c) using a MERIT array with  
 572 deep electrodes at 7.62 m and data misfit of 15%. Magenta lines indicate depths to a strong GPR  
 573 reflector, identified through auguring as a clayey silty sand layer within cover sands. Interpretations  
 574 from boreholes located within the survey length are shown with solid lines and those off the survey  
 575 line are indicated by dashed lines.  
 576

577 (3) There is an indication of a resistivity low near the surface around 25 m, that coincides with a gentle  
578 surface depression where shallow angering shows thin (>40cm) organic soil on the top but lacks the  
579 internal stratification and the clayey silty sand layer observed in other auger holes. The organic layer is  
580 inferred to accumulate solely near the sinkhole depression because during wet seasons, organic  
581 deposits will be concentrated here and contribute to the observed low resistivity. (4) The dissolution  
582 cavity detected by [Stewart and Parker \(1992\)](#) at ~28 m and it's overlying raveling coincide with a  
583 resistivity low at ~28 m in the MERIT inversion. These raveling zones are generally too narrow to be  
584 resolved using conventional arrays. (5) Finally, there is considerable fine scale complexity in the  
585 resistivity images

586 On line B (Figure 14), a GPR profile shows 3-4m depressions in the depth to a clay-rich layer at 20 m  
587 and at 49 m. The GPR reflector depression at 20 m overlies a zone of thick clay, where limestone was  
588 not reached by a CPT to >14m (CPT16; Figure 14). In contrast the depression at 49 m overlies a zone  
589 of thickened sands, but limestone at 11.3 m depth (B4, Figure 14). The boring results show large  
590 lateral variability in the cover sediments; clearly the raveling process of sediments over limestone is  
591 highly locally heterogeneous. We infer that sediments infilled a limestone dissolution feature at 20 m,  
592 but that this is no longer a site of active dissolution. The overlying sediments have had time to be well  
593 compacted, as seen in the relatively high SPT values in B3 (Figure 14b). In contrast, above the GPR  
594 reflector depression at ~49m, a surficial lens of organic soil, 8 m wide and up to 80 cm thick, is seen in  
595 both GPR and B4 (Figure 14a and b). We speculate that the second sinkhole is active with loose soil  
596 populated by plant growth during wet seasons. The complex stratigraphy and low SPT values at B4  
597 further suggest a zone of active raveling.

598 Both MERIT and surface-only resistivity arrays show good agreement with undulations in the sand-  
599 clay contact seen with both GPR and coring. Below this contact, the MERIT profile (Figure 14e)  
600 shows better agreement with geological results than the surface profile with equal 5 m spacing (Figure  
601 14c), in that MERIT shows a thick low-resistivity zone coincident with the thick clay recorded at  
602 CPT16 at 20 m. The surface array with 2.5m spacing also partly shows the presence of thicker clay  
603 around 20m. The MERIT results suggest high-resistivity limestone that is breached at 20 m and again  
604 on the northern end of the line. Borehole B1 5 m from the northern end of the line (see Figure 11 for  
605 location) shows possible dissolution cavities indicated by absence of bedrock, voids and loss of  
606 circulation fluid, and low densities determined by SPT tests up to 56m. Both features are not  
607 sufficiently imaged by the surface arrays because they are located at depth and near the edge where the  
608 surface arrays suffer from poor sensitivity and resolution.





612

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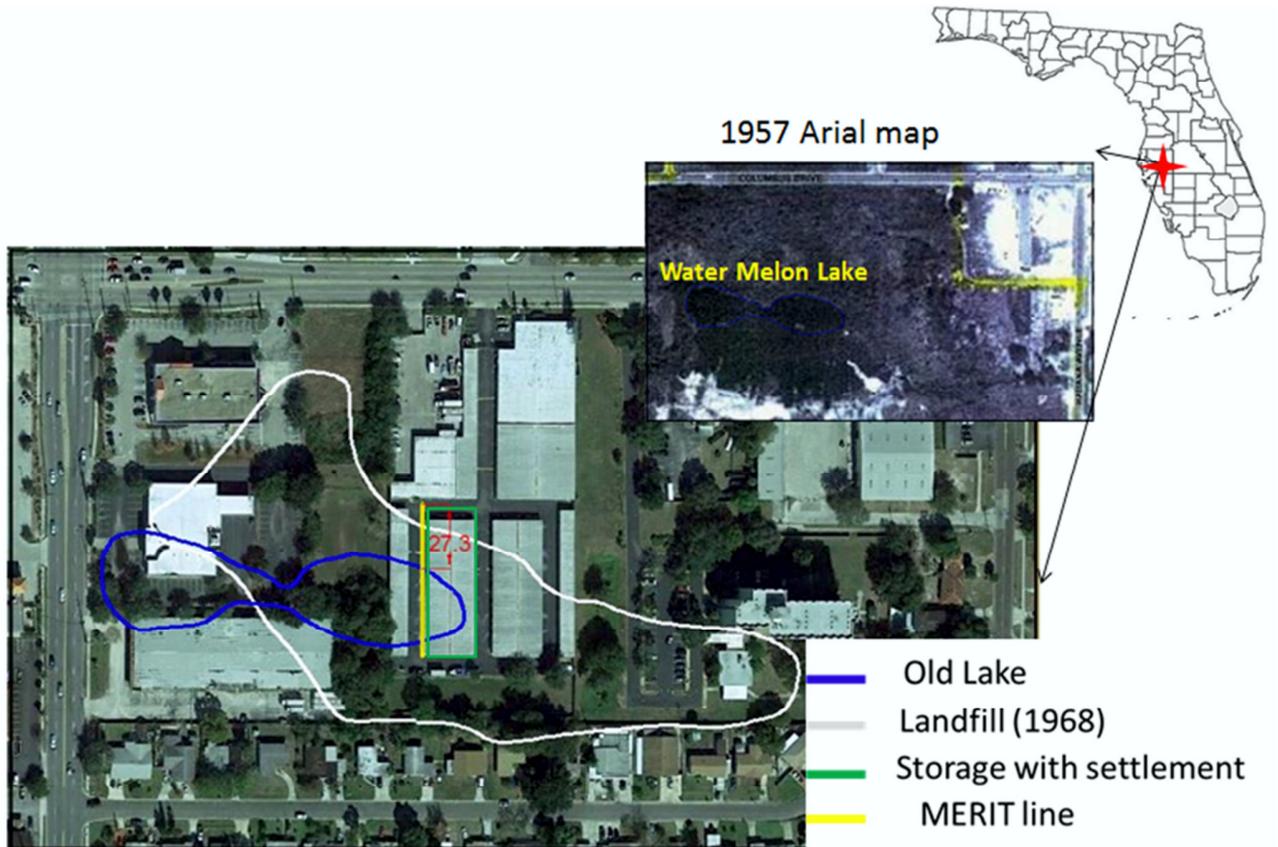
614 Figure 14. Geopark Resistivity result on Line B. (a) Ground penetrating radar showing depressions  
 615 in clay-rich layer beneath sands. (b) Geologic cross-section along Line B based on 10 borehole logs  
 616 and 1 CPT log. Red graphs show SPT values (sampled at 5ft interval) in a scale of 0 to 50 where  
 617 small numbers indicate relatively loose sediment. BH1, BH2, and CPT16 are laterally offset from the  
 618 resistivity line by less than 5m. (c) Resistivity images from Line B using conventional array with 5m  
 619 spacing (data misfit =10.8%) (d) and 2.5m spacing (data misfit =5.9%) (e). Resistivity image using  
 620 MERIT arrays with 5m spacing (data misfit= 12%). Dashed lines show lithologic contacts (top: sand-  
 621 clay; bottom: clay-limestone) recorded on cored sections of SPT borings. Most of these boreholes are  
 622 located along the resistivity line except BH1, BH2 and CPT16 which are located with 5m of the  
 623 resistivity line.

624

625

## 626 5.2 Field case study 2: Landfill site

627 This case study site is a storage facility in Tampa, Florida, undergoing differential settlement in an  
 628 urban setting with limited access.



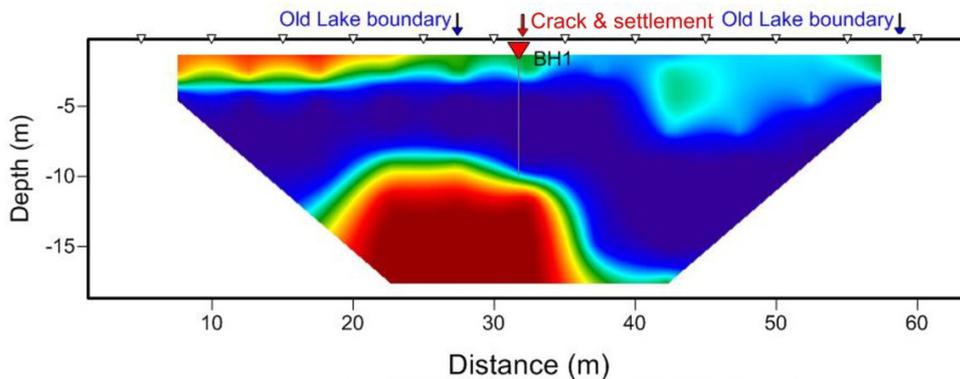
629

630 Figure 15. Differential settlement at a landfill constructed over an old lake, Water Melon Lake in  
 631 Tampa, Florida, USA. The lake boundary is mapped from a 1957 aerial photograph and the landfill  
 632 boundary from a 1968 aerial photograph. A 1972 aerial photograph shows that the landfill was  
 633 extended north and west of the 1968 boundary. 27.3 indicate the distance in meters from the north  
 634 edge of the resistivity line to the boundary of the infilled Water Melon lake. The southern edge of the  
 635 resistivity line is 6m from the edge of the old lake. The north edge of the line corresponds to the  
 636 starting point of the resistivity survey.

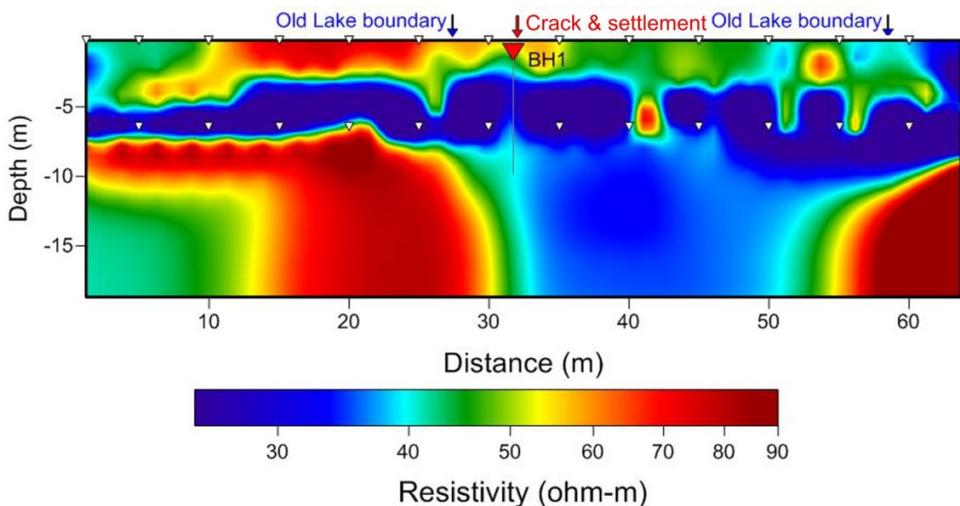
637 The site was a landfill, active between 1968-1972 based on aerial photograph records (Figure 15). The  
 638 landfill partially infilled an old sinkhole lake (Water Melon lake). The uppermost part of the fill is  
 639 compacted and levelled. A borehole (BH1 on Figure 16, 32 m from the northern end of the resistivity  
 640 line on Figure 15) shows the uppermost fill as asphalt and more compacted soil (possibly material  
 641 reworked from the natural ground), underlain by relatively loose landfill material containing fragments  
 642 of wood, red bricks and other materials. The drilling was terminated at 7.3 m due to complete water  
 643 loss, without reaching any kind of bedrock material. Historical records of the landfill construction also  
 644 confirm similar information. The current structures on the site are simple, one floor storage buildings.  
 645 The middle part of the building highlighted in green on Figure 15 has experienced significant  
 646 settlement, with cracks and offsets in the roof.

647 A resistivity survey was carried out as part of an investigation of the cause of the differential  
 648 settlement and its relation to the old landfill activity. The 65 m-long survey occupied the maximum  
 649 available length on site (Figure 15). 14 deep electrodes were implanted at 6.57m depth and 5m spacing  
 650 with a total installation time of 7 hr. The resistivity survey installation is located parallel to and 1 m  
 651 east of a vapor extraction trench installed to monitor the environmental impact of the landfill, and ~1m  
 652 east of the settling building. The old lake boundary is 27.3 m from the northern end of the resistivity  
 653 line and is 6m from the southern end. The maximum differential settlement in the building is at ~ 32m.  
 654 The proximity of the old lake boundary and maximum differential settlement suggests the landfill is  
 655 significantly thicker over the old lake, than on surrounding material.

656 The average noise level in the surface field data is 0.9%. For the MERIT arrays, the field measurement  
 657 included reciprocal readings and has an average noise level of 0.6% and an average reciprocal error of  
 658 0.4%. These reciprocal errors were used to weight the observed data during the inversion. The contact  
 659 resistance for both surface and MERIT electrodes is also very comparable. For example, the maximum  
 660 and average contact resistance for the surface electrodes is 456  $\Omega$  and 295  $\Omega$  and 484  $\Omega$  and 277  $\Omega$  for  
 661 the MERIT electrodes. Also on Line B above (Figure 14), similar contact resistance was observed for  
 662 surface and MERIT electrodes with maximum and average value of 3470  $\Omega$  and 1395  $\Omega$  for surface  
 663 arrays and 4826  $\Omega$  and 1120  $\Omega$  for the MERIT arrays.



664



665

666 Figure 16. Resistivity results from the profile over an old landfill shown as yellow line on Figure 15.  
667 Inverted resistivity image using conventional array (left) and MERIT array (right). Data misfits are  
668 3.1% and 3.7% for the conventional array and MERIT array respectively. White dots show electrode  
669 locations and left end of the line points towards north.

670 The results from both the surface and MERIT surveys (Figure 16) show the contact between relatively  
671 resistive asphalt and compacted top layer and a lower conductive unit of landfill material. Most  
672 importantly, both images show a sharp resistivity boundary at 8-10 m depth, interpreted as the contact  
673 between the landfill material and the higher resistivity bedrock. This deep high-resistivity layer is  
674 discontinuous; it is absent south of ~35 m from the surface resistivity inversion, and absent between  
675 ~30 m and 55 m in the MERIT image. We interpret this gap in the deep resistive layer as a result of  
676 the old lake, subsequently filled. This interpretation is supported by the differential settlement  
677 described above. We can then assess the resistivity results against the known lake boundaries. The  
678 MERIT image shows a slightly better fit to the northern lake boundary. Notably, the MERIT array  
679 also shows the southern lake boundary, which is outside the zone of resolution of the surface array.  
680 This site is thus an example of the utility of the MERIT geometry in a setting where array lengths are  
681 limited.

682 The data misfit comparison between the surface and MERIT arrays shows that the MERIT arrays have  
683 relatively higher data misfit compared to the conventional surface arrays (Figure 13 for Line A, Figure  
684 14 for Line B and Figure 16 for Landfill site). For Line A and Line B, while both arrays do a good job  
685 of capturing the near-surface variations, they both have higher data misfit compared to the results at  
686 the Landfill site. This could be related to the difference in the degree of complexity of the underlying  
687 karst structure in the two sites.

688 Comparing the data misfit of the MERIT inverted results from the Landfill site and Line B at the  
689 Geopark (Figure 14), it can be seen that the data misfit is significantly lower for the Landfill site  
690 although reciprocal error was used to suppress noisy data points on both. One explanation for that is  
691 the overall better data quality observed on the Landfill data compared to Line B. For example, the  
692 maximum contact resistance for Line B was 4826  $\Omega$ . Even though this number is lower than the  
693 commonly accepted value of 5000  $\Omega$  (AGI, 2005), it is 10 times greater than the maximum contact  
694 resistance value observed for the Landfill site (484  $\Omega$ ). Similarly, the average noise level (1.7%) and  
695 average reciprocal error (3.0%) for Line B again are higher than what is observed for the Landfill site  
696 (0.6% and 0.4%).

## 697 6. Conclusion

698 2D surface resistivity surveys have fundamental limitations in depth of resolution, particularly at the  
699 ends of the array. These problems can limit the utility of the method at sites with limited working  
700 space. The problem is exacerbated by the presence of shallow conductive layers. Installation of a  
701 buried array of electrodes extends the depth of resolution and expands the zone of resolution to the  
702 ends of the array. This array geometry, referred to as multi-electrode resistivity implant technique  
703 (MERIT), is examined with synthetic models, laboratory experiments, and field case studies. In the

704 field the deep electrodes are implanted using robust direct push technique using self-driving pointed  
705 electrodes. In practice, we find-

- 706 • Depth of resolution can be approximately doubled over that of a conventional surface array of  
707 equal length.
- 708 • Decrease in depth of penetration due to shallow clay layers is much less in MERIT arrays  
709 compared to conventional surface arrays.
- 710 • Good resolution is obtained up to the ends of the array, with some sensitivity (as expected) to  
711 features beyond the ends of the line.
- 712 • Improved resolution of geometries and absolute resistivity values are obtained for features  
713 between the surface and buried arrays.
- 714 • Because of geometric effects, the method is inherently somewhat noisier than surface arrays.  
715 Inversion artefacts appear close to the depth of the buried electrodes, analogous to the  
716 artefacts that appear close to electrodes in cross-borehole surveys.
- 717 • Inversion results are improved when reciprocal measurements are used to reduce the weight  
718 of noisy data in the inversion.

719

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721

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