

## Article (refereed) - postprint

---

McCormack, Caitlin G.; Born, Wanda; Irvine, Peter J.; Achterberg, Eric P.; Amano, Tatsuya; Ardron, Jeff; Foster, Pru N.; Gattuso, Jean-Pierre; Hawkins, Stephen J.; Hendy, Erica; Kissling, W. Daniel; Lluch-Cota, Salvador E.; Murphy, Eugene J.; Ostle, Nick; Owens, Nicholas J.P.; Perry, R. Ian; Pörtner, Hans O.; Scholes, Robert J.; Schurr, Frank M.; Schweiger, Oliver; Settele, Josef; Smith, Rebecca K.; Smith, Sarah; Thompson, Jill; Tittensor, Derek P.; van Kleunen, Mark; Vivian, Chris; Vohland, Katrin; Warren, Rachel; Watkinson, Andrew R.; Widdicombe, Steve; Williamson, Phillip; Woods, Emma; Blackstock, Jason J.; Sutherland, William J.. 2016. **Key impacts of climate engineering on biodiversity and ecosystems, with priorities for future research.**

Copyright © 2016 Taylor & Francis

This version available <http://nora.nerc.ac.uk/513412/>

NERC has developed NORA to enable users to access research outputs wholly or partially funded by NERC. Copyright and other rights for material on this site are retained by the rights owners. Users should read the terms and conditions of use of this material at <http://nora.nerc.ac.uk/policies.html#access>

**This is an Accepted Manuscript of an article published by Taylor & Francis Group in Journal of Integrative Environmental Sciences on 25/02/2016, available online:**

<http://www.tandfonline.com/doi/10.1080/1943815X.2016.1159578>

Contact CEH NORA team at  
[noraceh@ceh.ac.uk](mailto:noraceh@ceh.ac.uk)

# Key impacts of climate engineering on biodiversity and ecosystems, with priorities for future research

Caitlin G. McCormack<sup>1¶</sup>, Wanda Born<sup>2†</sup>, Peter J. Irvine<sup>2</sup>, Eric P. Achterberg<sup>3,4</sup>, Tatsuya Amano<sup>1</sup>, Jeff Ardron<sup>5</sup>, Pru N. Foster<sup>6</sup>, Jean-Pierre Gattuso<sup>7,7a</sup>, Stephen J. Hawkins<sup>3</sup>, Erica Hendy<sup>6,8</sup>, W. Daniel Kissling<sup>9&</sup>, Salvador E. Lluch-Cota<sup>10</sup>, Eugene J. Murphy<sup>11</sup>, Nick Ostle<sup>12</sup>, Nicholas J.P. Owens<sup>13</sup>, R. Ian Perry<sup>14</sup>, Hans O. Pörtner<sup>15</sup>, Robert J. Scholes<sup>16</sup>, Frank M. Schurr<sup>17</sup>, Oliver Schweiger<sup>18</sup>, Josef Settele<sup>18, 18a</sup>, Rebecca K. Smith<sup>1</sup>, Sarah Smith<sup>19</sup>, Jill Thompson<sup>20</sup>, Derek P. Tittensor<sup>19,21</sup>, Mark van Kleunen<sup>22</sup>, Chris Vivian<sup>23</sup>, Katrin Vohland<sup>24</sup>, Rachel Warren<sup>25</sup>, Andrew R. Watkinson<sup>25</sup>, Steve Widdicombe<sup>26</sup>, Phillip Williamson<sup>27</sup>, Emma Woods<sup>28</sup>, Jason J. Blackstock<sup>29</sup> and William J. Sutherland<sup>1\*</sup>.

<sup>1</sup> Conservation Science Group, Department of Zoology, University of Cambridge, Cambridge, UK; [c.mccormack@zoo.cam.ac.uk](mailto:c.mccormack@zoo.cam.ac.uk); [ta307@cam.ac.uk](mailto:ta307@cam.ac.uk); [rks25@hermes.cam.ac.uk](mailto:rks25@hermes.cam.ac.uk); [w.sutherland@zoo.cam.ac.uk](mailto:w.sutherland@zoo.cam.ac.uk)

<sup>2</sup> Sustainable Interactions with the Atmosphere, Institute for Advanced Sustainability Studies e.V., Potsdam, Germany; [wanda.born@iass-potsdam.de](mailto:wanda.born@iass-potsdam.de); [peter.irvine@iass-potsdam.de](mailto:peter.irvine@iass-potsdam.de)

<sup>3</sup> Ocean and Earth Science, National Oceanography Centre Southampton, University of Southampton Waterfront Campus, Southampton, UK; [eric@noc.soton.ac.uk](mailto:eric@noc.soton.ac.uk); [S.J.Hawkins@soton.ac.uk](mailto:S.J.Hawkins@soton.ac.uk)

<sup>4</sup> GEOMAR, Helmholtz Centre for Ocean Research, Germany; [eric@noc.soton.ac.uk](mailto:eric@noc.soton.ac.uk)

<sup>5</sup> Global Contract for Sustainability, Institute for Advanced Sustainability Studies e.V., Potsdam, Germany; [jeff.ardron@iass-potsdam.de](mailto:jeff.ardron@iass-potsdam.de)

<sup>6</sup> School of Earth Sciences, University of Bristol, Wills Memorial Building, Bristol, UK; [pru.foster@bristol.ac.uk](mailto:pru.foster@bristol.ac.uk); [e.hendy@bristol.ac.uk](mailto:e.hendy@bristol.ac.uk)

<sup>7</sup> Université Pierre et Marie Curie-Paris 6, Observatoire Océanologique de Villefranche, Villefranche-sur-Mer, France; <sup>7a</sup> CNRS, Laboratoire d'Océanographie, Villefranche-sur-Mer France; [gattuso@obs-vlfr.fr](mailto:gattuso@obs-vlfr.fr)

<sup>8</sup> School of Biological Sciences, University of Bristol, Bristol, UK; [e.hendy@bristol.ac.uk](mailto:e.hendy@bristol.ac.uk)

<sup>9</sup> Institute for Biodiversity and Ecosystem Dynamics (IBED), University of Amsterdam, , The Netherlands; [wdkissling@gmail.com](mailto:wdkissling@gmail.com)

<sup>10</sup> Programa de Ecología Pesquera, Centro de Investigaciones Biológicas del Noroeste (CIBNOR), La Paz, Baja California Sur, México; [slluch@cibnor.mx](mailto:slluch@cibnor.mx)

<sup>11</sup> British Antarctic Survey, Cambridge, UK; [ejmu@bas.ac.uk](mailto:ejmu@bas.ac.uk)

<sup>12</sup> Lancaster Environment Centre, Lancaster University, Lancaster, UK; [n.ostle@lancaster.ac.uk](mailto:n.ostle@lancaster.ac.uk)

<sup>13</sup> Sir Alister Hardy Foundation for Ocean Science, the Laboratory, Plymouth, UK; [njpo@sahfos.ac.uk](mailto:njpo@sahfos.ac.uk)

<sup>14</sup> Department of Fisheries and Oceans, Pacific Biological Station, Nanaimo, British Columbia, Canada; [Ian.Perry@dfo-mpo.gc.ca](mailto:Ian.Perry@dfo-mpo.gc.ca)

<sup>15</sup> Alfred-Wegener-Institut für Polar- und Meeresforschung, Ökophysiologie, Germany; [hans.poertner@awi.de](mailto:hans.poertner@awi.de)

<sup>16</sup> Council for Scientific and Industrial Research, Pretoria, South Africa; [BScholes@csir.co.za](mailto:BScholes@csir.co.za)

<sup>17</sup> Institut des Sciences de l'Evolution de Montpellier, UMR-CNRS 5554, Université Montpellier II, France; [frank.schurr@univ-montp2.fr](mailto:frank.schurr@univ-montp2.fr)

<sup>18</sup> UFZ Centre for Environmental Research, Department of Community Ecology, D-06120 Halle, Germany; [oliver.schweiger@ufz.de](mailto:oliver.schweiger@ufz.de), [josef.settele@ufz.de](mailto:josef.settele@ufz.de); <sup>18a</sup> iDiv, German Centre

48 for Integrative Biodiversity Research (iDiv) Halle-Jena-Leipzig, 04103 Leipzig,  
49 Germany; [josef.settele@ufz.de](mailto:josef.settele@ufz.de)  
50 <sup>19</sup> UNEP World Conservation Monitoring Centre, Cambridge, UK; [wcmc.org](mailto:sarah.smith@unep-<br/>51 wcmc.org)  
52 <sup>20</sup> Centre for Ecology and Hydrology, Penicuik, Midlothian, UK; [jiom@ceh.ac.uk](mailto:jiom@ceh.ac.uk)  
53 <sup>21</sup> Department of Biology, Dalhousie University, Halifax, Canada; [wcmc.org](mailto:Derek.Tittensor@unep-<br/>54 wcmc.org)  
55 <sup>22</sup> Ecology, Department of Biology, University of Konstanz, Konstanz, Germany;  
56 [mark.vankleunen@uni-konstanz.de](mailto:mark.vankleunen@uni-konstanz.de)  
57 <sup>23</sup> Cefas, Lowestoft Laboratory, Lowestoft, UK; [chris.vivian@cefas.co.uk](mailto:chris.vivian@cefas.co.uk)  
58 <sup>24</sup> Museum für Naturkunde, Leibniz-Institut für Evolutions-und Biodiversitätsforschung,  
59 Berlin, Germany; [katrin.vohland@mfn-berlin.de](mailto:katrin.vohland@mfn-berlin.de)  
60 <sup>25</sup> School of Environmental Sciences, University of East Anglia, Norwich, UK;  
61 [r.warren@uea.ac.uk](mailto:r.warren@uea.ac.uk); [a.watkinson@uea.ac.uk](mailto:a.watkinson@uea.ac.uk)  
62 <sup>26</sup> Plymouth Marine Laboratory, Prospect Place, West Hoe, Plymouth, UK; [swi@pml.ac.uk](mailto:swi@pml.ac.uk)  
63 <sup>27</sup> Natural Environment Research Council and School of Environmental Sciences,  
64 University of East Anglia, Norwich, UK; [P.Williamson@uea.ac.uk](mailto:P.Williamson@uea.ac.uk)  
65 <sup>28</sup> The Royal Society, London, UK; [emma.woods@royalsociety.org](mailto:emma.woods@royalsociety.org)  
66 <sup>29</sup> Science, Technology, Engineering and Public Policy, University College London,  
67 London, UK; [jason.blackstock@ucl.ac.uk](mailto:jason.blackstock@ucl.ac.uk)  
68 †Current address: [caitlin.g.mccormack@gmail.com](mailto:caitlin.g.mccormack@gmail.com)  
69 ‡Current address: [wanda\\_b@gmx.net](mailto:wanda_b@gmx.net)

70 \* Authors to whom correspondence should be addressed:

71 Professor Bill Sutherland  
72 Conservation Science Group  
73 Department of Zoology  
74 University of Cambridge  
75 Downing Street  
76 Cambridge  
77 CB2 3EJ  
78 e-mail: [w.sutherland@zoo.cam.ac.uk](mailto:w.sutherland@zoo.cam.ac.uk)  
79

80 **Short title:** Biodiversity impacts of climate engineering

81 **Type of article:** Review

82 **Word count:**

83 **Abstract:** 196

84 **Main text:** 8,529, of which 2,635 in tables (including references, titles and sub-titles)

85 **Text boxes:** n/a

86 **Number of references:** 105

87 **Number of figures:** Two (2)

88 **Number of tables:** Three (3)

89 **Number of Supporting Information appendices:** Four (4)

90 **Abstract**

91 Climate change has significant implications for biodiversity and ecosystems. With slow  
92 progress towards reducing greenhouse gas emissions, climate engineering (or  
93 ‘geoengineering’) is receiving increasing attention for its potential to limit anthropogenic  
94 climate change and its damaging effects. Proposed techniques, such as ocean fertilization for  
95 carbon dioxide removal or stratospheric sulfate injections to reduce incoming solar radiation,  
96 would significantly alter atmospheric, terrestrial and marine environments, yet potential side-  
97 effects of their implementation for ecosystems and biodiversity have received little attention.  
98 A literature review was carried out to identify details of the potential ecological effects of  
99 climate engineering techniques. A group of biodiversity and environmental change  
100 researchers then employed a modified Delphi expert consultation technique to evaluate this  
101 evidence and prioritize the effects based on the relative importance of, and scientific  
102 understanding about, their biodiversity and ecosystem consequences. The key issues and  
103 knowledge gaps are used to shape a discussion of the biodiversity and ecosystem implications  
104 of climate engineering, including novel climatic conditions, alterations to marine systems and  
105 substantial terrestrial habitat change. This review highlights several current research priorities  
106 in which the climate engineering context is crucial to consider, as well as identifying some  
107 novel topics for ecological investigation.

108

109 **Keywords**

110 biodiversity, carbon dioxide removal, climate engineering, ecosystems, geoengineering, solar  
111 radiation management

112

113 **1. Introduction**

114 Anthropogenic emissions of greenhouse gases including carbon dioxide are considered the  
115 main cause of an observed 0.8 °C increase in average global surface temperature since pre-  
116 industrial times (IPCC 2013). These changes in greenhouse gas concentrations have  
117 implications not only for temperature, but also for precipitation, ice-sheet dynamics, sea  
118 levels, ocean acidification and extreme weather events (IPCC 2013). Such changes are  
119 already starting to have substantive effects on biodiversity and ecosystems, including altered  
120 species’ distributions, interspecific relationships and life history events, and are predicted to  
121 intensify into the future (Bellard et al. 2012; Chen et al. 2011; Warren et al. 2013). With  
122 continued high greenhouse gas emissions (International Energy Agency 2015; Jackson et al.  
123 2016), climate engineering (‘geoengineering’) has been receiving increasing attention for its  
124 potential to be used to counteract climate change and reduce its damaging effects (IPCC  
125 2013).

126

127 Climate engineering refers to large-scale interventions in the Earth system intended to  
128 counteract climate change. There are two main types (see Figure 1, Table 1 and Supporting  
129 Information1 in Supporting Information): 1) carbon dioxide removal (CDR) techniques,  
130 designed to reduce atmospheric carbon dioxide concentrations, and 2) solar radiation  
131 management (SRM), designed to reflect solar radiation away from Earth (Caldeira et al.  
132 2013; Secretariat of the Convention on Biological Diversity 2012; The Royal Society 2009).  
133 There are a range of other terms for these processes. If effective the primary impact of  
134 climate engineering would be to reduce the damaging effects of climate change; CDR by  
135 reducing CO<sub>2</sub> concentrations to abate the process of climate change itself and SRM by direct

136 lowering of global temperatures. All techniques will also have secondary impacts associated  
137 with their implementation, ranging from local land-use changes to globally reduced  
138 stratospheric ozone levels, for example (Ricke et al. 2010; Secretariat of the Convention on  
139 Biological Diversity 2012; Tilmes et al. 2013). These secondary impacts have wide-reaching  
140 and potentially complex biodiversity implications (Winder 2004). However, the possible  
141 consequences and the research needed to determine them, have received little attention from  
142 the ecological research community and are largely absent from climate engineering  
143 discussions (Russell et al. 2012).

144 *[INSERT FIGURE 1 NEAR HERE]*

145

146 The current lack of consideration of climate engineering impacts on biodiversity and  
147 ecosystems is due in part to the number, complexity, novelty, and large spatial and temporal  
148 scale of the potential effects. It is difficult or impossible to empirically test the effects of most  
149 of the techniques (Keith 2000; MacMynowski et al. 2011; Keller et al. 2014) and deciding on  
150 the most pressing research topic can be challenging. The issue can seem an overwhelming  
151 challenge for ecological science, causing research to respond slowly, and to follow rather  
152 than inform policy decisions (Sutherland & Woodroof 2009). Climate engineering has  
153 already entered policy discussions (International Maritime Organization 2013; IPCC 2013;  
154 Secretariat of the Convention on Biological Diversity 2012) and, to date, although  
155 implementation is regulated, there is no comprehensive international agreement covering all  
156 climate engineering techniques (Rickels et al. 2011). It is therefore critical that research to  
157 understand potential ecological effects of climate engineering begins as soon as possible so  
158 that it can inform the development of ecologically-sensitive techniques and evidence-based  
159 policy decisions.

160

161 For this study, a process of literature review and expert consultation was used to review the  
162 potential biodiversity and ecosystem effects of climate engineering. We focus on the potential  
163 side-effects of implementing the techniques rather than the anticipated climate change  
164 amelioration effect as the former have received relatively little attention and the latter is a  
165 large and complex body of ongoing research beyond the scope of the current project. We  
166 identify key areas where climate engineering presents important questions that should be  
167 considered within existing priority ecological research efforts, as well as identifying a  
168 number of novel knowledge gaps. We suggest a list of research questions which we hope will  
169 encourage timely investigation of the potential ecological effects of climate engineering.

170

## 171 **2. Materials and methods**

172 ‘Horizon-scanning’ involves the systematic assessment of emerging threats and opportunities,  
173 in order to identify key upcoming issues (Martin et al. 2012; Sutherland 2006; Sutherland et  
174 al. 2012; Sutherland & Woodroof 2009). In the current study, an adapted process called  
175 ‘impact scanning’ was used; impacts of climate engineering were identified from the  
176 literature and reviewed to prioritize those which are likely to have the greatest effects on  
177 biodiversity and ecosystems. The degree of scientific understanding about the effects was  
178 also evaluated, to identify critical knowledge gaps. An expert consultation process combining  
179 elements of the Nominal Group and Delphi techniques (Hutchings & Raine 2006) was used

180 (Figure 2 gives a summary). Participants gave verbal consent to take part in this exercise. We  
181 did not obtain formal written consent as all data and comments are kept anonymous and it  
182 was agreed from the outset that participants were to be authors of the resulting paper and  
183 approve its contents prior to publication.

184 *[INSERT FIGURE 2 NEAR HERE]*

## 185 **2.1. Literature reviews**

186 A literature review was conducted to identify the potential biodiversity and ecosystem effects  
187 of climate engineering techniques. As the scope of the existing literature was uncertain, the  
188 recent reports of the Royal Society (2009) and the Secretariat to the Convention on Biological  
189 Diversity (2012) were used as a starting point. An approach based on snowball sampling  
190 (Biernacki & Waldork 1981) was used to identify further relevant literature from their  
191 citations, and then from the citations of these citations, and so on. Seventeen geoengineering  
192 techniques were included in the review (Figure 1) based on those discussed in prominent  
193 literature at the time (Rickels et al. 2011; The Royal Society 2009). Overall, the review found  
194 154 environmental changes predicted to result from the techniques, each with a range of  
195 associated potential biodiversity and ecosystem effects (Supporting Information S1).  
196 Additional environmental changes were added by the participating group of researchers so  
197 that a total of 192 changes and their associated effects were assessed in total. The focus was  
198 on the side-effects of the implementation of the techniques, rather than the effects they would  
199 cause by counteracting climate change, which is beyond the scope of the current study. In a  
200 separate literature review, assessments of the technical feasibility and anticipated  
201 effectiveness of the techniques were identified using the same literature sampling technique  
202 as above, and used to shortlist five techniques about which research questions were  
203 formulated.

204

## 205 **2.2. Scoring round 1: Survey**

206 The assessment was conducted by a working group of 34 senior academic scientists with  
207 expertise in biodiversity, ecosystems and environmental and climatic change. Participants  
208 were identified through internet searches and selected to ensure an even split between  
209 terrestrial and marine expertise, and a global scope; the majority of experts were based at  
210 European institutions but there were also representatives from Canada, North America,  
211 Mexico and South Africa, and all had extensive knowledge of ecosystems beyond their  
212 institution's country.

213

214 Each participant first completed an Excel-based survey exercise. They read the report of the  
215 literature review of biodiversity and ecosystem effects of climate engineering (Supporting  
216 Information S1), and used the information to score a list of environmental changes for each of  
217 the techniques between 0 and 100, to reflect the relative importance of their potential effects  
218 on biodiversity and ecosystems. They added comments to explain their scores. Each climate  
219 engineering technique was considered separately. At the end of the survey, the participants  
220 compared their top prioritised environmental changes from each technique and scored them  
221 between 0 and 100. These values were used as 'swing weights' to calibrate the earlier scores,  
222 making them comparable across the techniques (Holt 1996). In a second Excel-based survey,  
223 participants used the literature review report in combination with their own experience and  
224 expertise to score the environmental changes between 0 and 100 to reflect the extent of

225 scientific knowledge about their biodiversity and ecosystem effects. They also suggested  
226 priority research questions. Detailed guidelines and definitions were provided for both survey  
227 exercises to ensure that scores were comparable amongst participants. They were asked to  
228 assume deployment of the technique at a ‘climatically-significant scale’ (Lenton & Vaughan  
229 2009; Williamson et al. 2012) and against a background of climate change causing a warming  
230 world with an acidifying ocean. SRM-induced climate changes were considered  
231 independently of the concurrent greenhouse gas-induced climate changes. Nevertheless, the  
232 biodiversity and ecosystem consequences identified are equally applicable when the two  
233 drivers are considered together.

234

### 235 ***2.3. Re-scoring***

236 A summary of the survey responses was sent to each expert for them to review ahead of a two  
237 day workshop in May 2013. At the workshop, participants shared reasons for their scores,  
238 and heard perspectives from others in the group. Parallel groups discussed a subset of the  
239 climate engineering techniques and their associated environmental changes and biodiversity  
240 and ecosystem effects. Following discussion, the experts then individually re-scored using the  
241 same 0-100 scale or kept their original score based on the discussion.

242 In a final session, the research questions suggested during the second pre-workshop survey  
243 were reviewed and refined.

244

### 245 ***2.4. Calculating an ‘index of priority’***

246 A median was calculated from the group’s final importance and scientific understanding  
247 scores (both using range of 0-100). This was used to calculate an ‘index of priority’ for each  
248 of the environmental changes across all of the climate engineering techniques, using the  
249 equation:  $(\text{Importance score} + (100 - \text{Understanding score})) * 0.5$ .

250 The index of priority was used to rank the environmental changes; a change is of greater  
251 priority if it has more important potential effects on biodiversity and ecosystems and/or there  
252 is less understanding about its effects. A list of the top 20 changes across all of the techniques  
253 was identified from the results of this scoring.

254

### 255 ***2.5. Shortlisted techniques and research questions***

256 As well as assessing the effects across all 17 climate engineering techniques, we specifically  
257 assessed effects associated with techniques that we concluded were more plausible for  
258 implementation than others; five of the 17 climate engineering techniques were identified  
259 from a review of existing assessments as having relatively higher anticipated efficacy  
260 (potential climate change forcing when deployed at maximum scale) and technical feasibility  
261 (availability of materials, technology and knowledge to implement) than the other techniques  
262 (Table 1) (e.g. (Caldeira et al. 2013; Lenton & Vaughan 2009; The Royal Society 2009). This was  
263 taken to indicate that they are more plausible options for implementation, meaning that  
264 potential effects associated with them are the most pertinent to consider.

265 The index of priority was used to identify two or three highest priority environmental changes  
266 associated with each of these five techniques. The expert group identified key knowledge

267 gaps and research questions about the potential biodiversity and ecosystem effects, using the  
268 questions suggested during the survey as a starting point.

269 *[INSERT TABLE 1 NEAR HERE]*

270

### 271 **3. Results and Discussion**

#### 272 **3.1. Key themes for research – across all techniques**

273 The ‘index of priority’ was used to first rank all of the environmental changes across all of  
274 the 17 climate engineering techniques, assuming equal likelihood of implementation. A full  
275 list of the median scores and index of priority values is given in Supporting Information S4.  
276 The top 20 of these environmental changes (Table 2), and patterns within the rest of the  
277 ranked list, reveals interesting themes in the types of changes that were judged by the expert  
278 group to have important biodiversity and ecosystem consequences but limited scientific  
279 understanding.

280 *(INSERT TABLE 2 NEAR HERE)*

##### 281 *3.1.1. Climatic changes*

282 The top seven of the 20 prioritized environmental changes (Table 2) recognize the potentially  
283 substantial and complex biodiversity and ecosystem implications of global-scale alterations to  
284 climatic processes associated with solar radiation management ‘dimming’ techniques -  
285 sunshades, sulfate aerosols and enhanced marine cloud albedo. These techniques reduce  
286 incoming shortwave radiation to the earth, reducing global mean surface temperature, but  
287 causing regionally variable changes in climatic conditions (Caldeira et al. 2013), such as  
288 potential enhancement of increases or decreases in precipitation caused by climate change  
289 (Irvine et al. 2010; Kravitz, Robock, et al. 2013; Ricke et al. 2010). ‘Novel’ regional climatic  
290 states could occur (Irvine et al. 2010). The ecological effects of these are challenging to  
291 predict (Williams et al. 2007).

292

293 Changes to temperature and precipitation patterns were considered by the group to be highly  
294 important for biodiversity and ecosystems as they are strong determinants of species’ life  
295 history, phenology, physiological performance, distribution and interactions (Cahill et al.  
296 2013; Pörtner & Farrell 2008). A reduction in the equator-to-pole temperature gradient, for  
297 example, would shift species’ climatic ranges (Couce et al. 2013), which would lead to  
298 altered ecological community assemblages and a change in the distribution of biomes  
299 (Burrows et al. 2011; Walther et al. 2002). Changes in the amplitude of seasonal temperature  
300 variation could strongly influence the timing of ecological processes such as migration,  
301 breeding, flowering and phytoplankton blooms (Edwards & Richardson 2004; Menzel et al.  
302 2006; Sims et al. 2001). Both the climatic effects and the biodiversity impacts they cause are  
303 likely to be highly regionally variable, due to factors such as local microclimatic conditions  
304 (De Frenne et al. 2013), or circulation patterns in the marine environment, meaning there are  
305 large gaps in knowledge and understanding of the effects and a need for research.

306

307 Changes affecting precipitation and surface water availability were also prioritized;  
308 regionally variable changes to precipitation patterns, the slowing of the global hydrological



309 cycle (Tilmes et al. 2013), and a potential reduction in continental rainfall associated with  
310 enhanced desert albedo (Irvine et al. 2011), were all included in the top 20 (Table 2). Water  
311 availability influences rates of primary productivity and the composition of plant  
312 communities that underpin terrestrial habitats (Cleland et al. 2013). Determining the  
313 trajectory of the ecological effects of changing precipitation patterns is subject to uncertainty  
314 due to differences in individual and species responses, which compound uncertainties over  
315 the likely direction and magnitude of the precipitation change (Hoffmann & Sgro 2011;  
316 Mustin et al. 2007). Paleoecological records of responses to past precipitation changes – for  
317 example, the ‘greening’ of the Sahara – can offer some indication of potential effects (e.g.  
318 Willis et al. 2013), as can ongoing research on effects of precipitation changes associated  
319 with climate change, but specific research needs to be conducted in the context of climate  
320 engineering scenarios.

321

### 322 3.1.2. *Changes affecting marine ecosystems*

323 Many of the prioritized environmental changes are associated with ocean systems (Table 2).  
324 Already, anthropogenic emissions of CO<sub>2</sub> are causing ocean acidification due to increased  
325 dissolved inorganic carbon in ocean waters. Such chemical changes have potential impacts on  
326 the acid-base balance, metabolic energy allocation and calcification of marine organisms  
327 (Bopp et al. 2013; Kroeker et al. 2013). Solar radiation management techniques would not  
328 address atmospheric CO<sub>2</sub>, so in the absence of additional actions to reduce greenhouse gas  
329 levels, concentrations will almost certainly increase relative to present day, which could lead  
330 to worsening acidification (Keller et al. 2014). However, there is uncertainty about the net  
331 effect; for the same emission rates, solar radiation management could lessen CO<sub>2</sub> rise in the  
332 atmosphere by causing enhanced terrestrial CO<sub>2</sub> uptake and by avoiding positive feedbacks  
333 (e.g. carbon release from thawing tundra, fire etc.; see Matthews et al. 2009). The net effect  
334 of SRM on ocean acidification could therefore be slightly beneficial compared to a non-SRM  
335 scenario. However, SRM will also reduce sea-surface temperatures, which affect CO<sub>2</sub>  
336 dissolution rates, ocean circulation and other poorly-understood feedback processes, so the  
337 overall effect is uncertain (Williamson & Turley 2012). The relationship between temperature  
338 and ocean acidification impacts on marine calcifiers, and ecosystems dependent on carbonate  
339 structures (e.g. coral reefs), is an area of active research (e.g. Anthony et al. 2011) but has so  
340 far received little attention in the climate engineering context. To date, only one study (Couce  
341 et al. 2013) has investigated these potential implications of SRM, and finds that moderate  
342 deployment could reduce degradation of global coral reef habitat compared to no SRM,  
343 according to model simulations.

344

345 SRM ‘dimming’ techniques will affect global ocean circulation through changes to the  
346 energy exchanges between the ocean and the atmosphere (McCusker et al. 2012). Light  
347 availability (partially determined by incoming solar irradiance), temperature, and nutrient  
348 patterns fundamentally determine marine ecological communities, and are responsible for  
349 diversity both between ocean strata and across latitudes. Changes to circulation will alter  
350 these factors, with the potential for biodiversity consequences throughout the entire marine  
351 system (Drinkwater et al. 2010; Hardman-Mountford et al. 2013). The group’s scores indicate  
352 there is limited scientific understanding of the likely biodiversity and ecosystem effects,  
353 particularly as they will vary regionally (Secretariat of the Convention on Biological  
354 Diversity 2012). The group acknowledged that oceanic islands would be highly vulnerable to

355 changes in ocean-atmosphere dynamics (e.g. Loope & Giambelluca 1998). These habitats  
356 often support a high concentration of endemic species and their populations are generally  
357 small and geographically isolated, restricting their ability to adapt. Novel impacts of climate  
358 engineering could also affect them, such as possible deposition of sea water used for  
359 enhanced cloud albedo; this could further reduce freshwater availability, which is often  
360 limited on islands (Meehl 1996).

361

362 Increased primary productivity in the surface ocean due to artificially enhanced fertilization is  
363 judged to be a highly important change across the various CDR fertilization methods (Table  
364 2). The phytoplankton communities that would be directly impacted underpin a significant  
365 proportion of ocean ecological communities and determine parameters such as light  
366 penetration, nutrient cycling, and the supply of organic material to benthic systems  
367 (Falkowski et al. 1998; Kirk 2011). Ocean fertilization could therefore have profound effects  
368 throughout marine ecosystems, particularly in currently low-productivity areas (Falkowski et  
369 al. 1998). ‘Knock-on’ trophic effects observed in open-ocean fisheries, whereby changes in  
370 one group of species has broad effects throughout the ecosystem (e.g. Bailey et al. 2009),  
371 would very likely occur. Effects are likely to be widely spread by global ocean circulation  
372 (Williamson et al. 2012). Although their effects are sometimes conflated in the climate  
373 engineering literature, we suggest that it is critical to distinguish iron fertilization in high  
374 nutrient low chlorophyll ocean regions from nitrogen or phosphorous fertilization in low  
375 nutrient low chlorophyll regions. Field trials of iron fertilization have shown varying impacts  
376 on phytoplankton communities and the marine ecosystem (Williamson et al. 2012) and a  
377 diversity of effects can also be anticipated to result from nitrogen or phosphorus fertilization  
378 (Lampitt et al. 2008). Increased productivity caused by enhanced upwelling/downwelling was  
379 judged to be less well understood and so was the highest prioritized; modeling suggests that  
380 intended effects of enhanced vertical mixing may be less strong than anticipated, will vary  
381 greatly from place to place, and may even be opposite from that desired (Dutreuil et al.  
382 2009). The engineered structures required for enhanced upwelling were also judged to have  
383 important biodiversity and ecosystem implications, creating artificial reefs or acting as  
384 ‘stepping stones’ for species migration, distribution, and aggregation (Mineur et al. 2012).

385

### 386 *3.1.3. Changes affecting the deep ocean*

387 Environmental changes with effects in the deep ocean were repeatedly identified as priorities  
388 for further research by the group (Table 2). There is a general lack of knowledge about these  
389 environments (Costello et al. 2010) but fisheries research indicates that deep sea species are  
390 sensitive to disturbance and slow to recover (e.g. Devine et al. 2006). It is therefore likely that  
391 effects of climate engineering techniques on the deep sea would be long-lasting. Large-scale  
392 coverage of the deep-ocean seabed, associated with the technique biomass storage in the  
393 ocean (Table 1), would be a significant alteration of relatively undisturbed habitats. Reduced  
394 oxygen and enhanced nutrient levels due to decaying organic matter could impact species  
395 richness, physiological processes and community composition (Lampitt et al. 2008; Levin et  
396 al. 2001). There is a need to increase fundamental understanding of these environments  
397 before deployment of any climate engineering technique that might impact them.

398

### 399 *3.1.4. Large-scale terrestrial habitat disturbance or destruction*

400 Large-scale disturbance of terrestrial habitats was a topic prioritized by the group, and could  
401 result from a number of climate engineering techniques (Supporting Information S1).  
402 Although the effects of such habitat change are considered to be relatively well understood  
403 (Table 2), the anticipated scale associated with climate engineering on a ‘climatically  
404 significant’ scale is considerable and would be additional to current processes. Specifically,  
405 the replacement of (semi-)natural grassland and shrubland, or forest habitats, with reflective  
406 plants to increase surface albedo for SRM was included in the 20 priority changes (Table 2).  
407 This conversion of existing habitat constitutes complete habitat loss for inhabitant species  
408 (Secretariat of the Convention on Biological Diversity, 2012). Detrimental effects could be  
409 reduced by limiting planting to degraded land (e.g. Tilman et al. 2009). However, the area  
410 required in order for the technique to impact the global climate would inevitably exceed this  
411 resulting in conversion of natural or semi-natural habitats (see Lenton & Vaughan 2009;  
412 Tilman et al. 2009).

413

414 Alteration or loss of desert habitats through coverage with manmade reflective materials (an  
415 SRM technique) is also included within the 20 prioritized changes (Table 2). It is estimated  
416 that to offset the warming from a doubling of atmospheric CO<sub>2</sub> concentrations, an area of  
417 approximately 12 million square kilometers – roughly 1.2 times the area of the Saharan desert  
418 – would need to be covered (Lenton & Vaughan 2009; Vaughan & Lenton 2011). Although  
419 considered to have low biodiversity, desert regions contain many endemic species that are  
420 highly adapted to the local conditions. They are likely to be significantly affected by a long-  
421 term increase in shading and change in regional temperatures caused by man-made structures  
422 (Stahlschmidt et al. 2011). Alteration of the habitats may allow other species to become  
423 established in desert regions, leading to changes in the unique ecological community  
424 composition (Steidl et al. 2013).

425

#### 426 *3.1.5. Alteration of soil properties*

427 Another essential area for research was the impact of climate engineering on soils.  
428 Specifically, changes in soil properties due to the addition of powdered alkali rocks for  
429 enhanced weathering (a CDR technique) was included in the top 20 (Table 2). This would  
430 cause a fundamental alteration of biogeochemical properties of the soil (pH, structure, etc.)  
431 with the potential to reduce soil biodiversity and disrupt the activity of the soil organisms that  
432 underpin overlying ecological communities (Jensen et al. 2003). An associated increase in the  
433 availability of nutrients could also feedback to alter the composition and productivity of plant  
434 communities (Dawson et al. 2012). The overall combined effects of changes to  
435 interdependent abiotic soil properties —such as temperature, physical structure and  
436 biogeochemistry — are difficult to predict (Davidson et al. 1998) and understanding of soil  
437 dynamics and biota, and their interactions with above-ground systems, requires more research  
438 (De Deyn & van der Putten 2005). Similar concerns were raised in relation to the application  
439 of biochar to soil as a means to increase carbon sequestration (another CDR technique), as the  
440 effects of this technique on soil biodiversity are poorly understood (Lehmann et al. 2011).

441

#### 442 *3.2. Priority areas for research*

443 Five climate engineering techniques (Table 1) were found in existing assessments to have  
444 higher anticipated technical feasibility and efficacy than other techniques (e.g. The Royal  
445 Society, 2009; Vaughan & Lenton, 2011). Of the solar radiation management techniques,  
446 stratospheric sulfate aerosols and enhanced marine cloud albedo are relatively well-studied  
447 through model simulations and inter-comparisons, and both anticipated to have high potential  
448 effectiveness in counteracting climate change (Kravitz et al. 2013b). Of the carbon dioxide  
449 removal techniques, bioenergy with carbon capture and storage (BECCS) uses techniques  
450 that are already relatively well-developed and has good carbon sequestration potential  
451 (Caldeira et al. 2013). It is also included in mitigation scenarios in the recent IPCC Fifth  
452 Assessment report (van Vuuren et al. 2011; IPCC, 2014). Ocean fertilization with iron is  
453 receiving ongoing commercial interest and field trials demonstrate that it is possible, even if  
454 its ability to absorb and store atmospheric carbon dioxide over the long-term appears to be  
455 low (Strong et al. 2009; Williamson et al. 2012). Direct air capture (DAC) was also found to  
456 be pertinent to consider as there is ongoing research and development of potential technology  
457 designs (e.g. Choi 2011).

458

459 For each of these techniques, the index of priority was used to identify the highest priority  
460 environmental changes that they could cause if implemented. For each change, the expert  
461 group identified key knowledge gaps and research questions about its biodiversity and  
462 ecosystem effects, detailed in Table 3.

463 *[INSERT TABLE 3 NEAR HERE – UNLESS INCLUDING AS AN APPENDIX INSTEAD]*

#### 464 *3.2.1. Reinforcing current research priorities*

465 Many of the questions are relevant to existing research priorities in ecological science, but  
466 climate engineering presents an important and unique context for investigation. For example,  
467 ‘What are the rates of warming that species can tolerate by means of adaptation or  
468 migration...?’ (Table 3) is a key area of research in relation to climate change (e.g. (Peck et  
469 al. 2014; Quintero & Wiens 2013; Schloss 2012). It is also critical to consider within the  
470 context of climate engineering. Atmospheric and stratospheric solar radiation management  
471 (‘dimming’) techniques will cause global-scale reduction in incoming radiation leading to  
472 stabilized or reduced rates of warming. With intensive implementation, abrupt termination of  
473 the techniques would be expected to cause a rapid rise in global mean temperatures - the  
474 ‘termination effect’ - unless additional actions had been used in the interim to reduce  
475 atmospheric CO<sub>2</sub> (Jones et al. 2013; Matthews & Caldeira 2007). Some of the ecological  
476 impacts of the termination effect can be anticipated from ongoing research into the effects of  
477 ongoing climate change which indicates that warming could alter species distributions,  
478 migration patterns, breeding etc. (Cotton 2003; Hurlbert 2012). However, the rate of  
479 temperature increase associated with the termination effect at intensive SRM implementation  
480 is likely to be much more rapid. Rates of change could exceed the ability of many species to  
481 adapt or migrate (Bellard et al. 2012; Cahill et al. 2013; Quintero & Wiens 2013) which could  
482 lead to local extinctions and substantial changes in community assemblages (Willis et al.  
483 2010). Palaeoecological records suggest that global biodiversity showed resilience to similar  
484 rapid temperature changes during the last glacial-interglacial transition (Willis et al. 2010),  
485 but modern pressures including habitat fragmentation and degradation may now limit the  
486 capacity of species to track changes. Overall, there still remain large uncertainties about the  
487 exact nature of the ecological impacts of global temperature rises and scientific understanding  
488 of the biodiversity and ecosystem effects of the termination effect was judged by the group to

489 be low (Table 3). The intensity of the effects could however be much less if a more moderate  
490 approach to SRM implementation was used. For example, if techniques were implemented at  
491 a scale to induce only a small degree of cooling (Kosugi, 2012) or to curtail the rate of  
492 warming in parallel with emissions reduction efforts (MacMartin et al. 2014)

493

494 Similarly, several of the research questions identified in relation to bioenergy with carbon  
495 capture and storage (BECCS) (Table 3) are existing priority topics of research in relation to  
496 biofuels for energy (Fletcher 2011; Gove et al. 2010; Wiens et al. 2011). Overall, the effects  
497 of biomass production were considered to be well understood compared to other  
498 environmental changes assessed (scores in Supporting Information S4). However, the  
499 significant scale of production required for BECCS as a climate engineering technique  
500 represents a significant additional demand for feedstocks, reinforcing the importance of  
501 research effort on the ecological effects of such production.

502

### 503 3.2.2. *Novel research areas*

504 Other environmental changes predicted to be caused by climate engineering create relatively  
505 novel conditions compared both to conditions observed in the past, and to projected  
506 trajectories of ongoing climate and environmental change. The ecological effects of these  
507 changes are relatively less well understood. For example, reduced incoming solar radiation  
508 caused by atmospheric and stratospheric solar radiation management techniques will lead to  
509 reduced rates of global warming. However, in the absence of measures to address greenhouse  
510 gas emissions, atmospheric CO<sub>2</sub> levels would remain high. This high CO<sub>2</sub>, low temperature  
511 climate differs from both current conditions and the high temperature, high CO<sub>2</sub> conditions  
512 projected under future emissions scenarios (Secretariat of the Convention on Biological  
513 Diversity 2012) and represents a relatively novel global climate compared to current,  
514 historical or paleo-historical conditions (Tilmes et al. 2013; Williams et al. 2007).  
515 Temperature and CO<sub>2</sub> control fundamental ecological processes and the relative influence of  
516 the two parameters is highly complex (Long et al. 2004). Climate and vegetation models  
517 suggest that elevated CO<sub>2</sub> would be the dominant influence and could reduce water stress of  
518 plants leading to enhanced terrestrial primary productivity in almost all regions (Donohue et  
519 al. 2013; Long et al. 2004; Wiens et al. 2011), but there is a large degree of uncertainty in  
520 these projections (Jones et al. 2013; Kravitz, Caldeira, et al. 2013). Individual species,  
521 functional groups and biomes will also vary in their response to temperature and CO<sub>2</sub> levels  
522 (De Frenne et al. 2013; Higgins & Scheiter 2012). The potential to predict these effects is  
523 currently limited by factors including the low-resolution representation of ecological  
524 interactions in integrated global scale models (Mustin et al. 2007; Ostle & Ward 2012).  
525 Scientific understanding of the effects was judged to be low (see Supporting Information S4).

526

527 Even when environmental changes have historical natural proxies, there often remain  
528 knowledge gaps about their biodiversity and ecosystem effects. For example, implications of  
529 increased primary productivity in high nutrient low chlorophyll ocean regions with iron  
530 fertilization can be anticipated to some extent from observations of natural fertilization from  
531 deep water upwelling (Blain et al. 2007) or deposition of air borne dust (Martinez-Garcia et  
532 al. 2014). However, the complexity of ocean systems and possible feedbacks mean that  
533 certainty about the ecological effects remains low, reflected in the expert group scientific

534 understanding score (Table 3). Questions like ‘What ecosystem effects might occur beyond  
535 the fertilization zone...?’ would require dedicated investigation should this climate  
536 engineering technique be implemented.

537

538 The suggested research questions (Table 3) demonstrate critical knowledge gaps about  
539 ecological effects of climate engineering, which will need to be addressed if the techniques  
540 are pursued. Many relate to topics already recognized by the ecological research community  
541 as priority knowledge gaps, but in the climate engineering context, may require investigation  
542 over different scales, timeframes and locations. Others relate to novel conditions that could  
543 be created by climate engineering, which raise new questions about potential biodiversity and  
544 ecosystem impacts.

545

### 546 **3.3. Concluding remarks**

547

#### 548 *3.3.1. Inclusion of biodiversity and ecosystem effects in climate engineering research* 549 *and decision making*

550 In the discussion about climate engineering to date, potential biodiversity and ecosystem  
551 impacts of the techniques have received little attention and there has been very limited work  
552 by the ecological research community on this topic. We believe it has thus far been  
553 challenging to identify discrete research questions due to the scale, number, range and  
554 complexity of potential biodiversity and ecosystem effects. In addition, there is perhaps  
555 reluctance to engage with climate engineering, given that it involves large-scale manipulation  
556 of the earth system and is viewed by some as a distraction from reducing greenhouse-gas  
557 emissions.

558

559 In an effort to encourage timely research into the biodiversity and ecosystem impacts of  
560 climate engineering, we have reviewed a comprehensive range of potential effects and made  
561 a critical first attempt to prioritize them based on assessment of the importance of their  
562 biodiversity and ecosystem effects and the degree of scientific understanding about them. In  
563 doing so, we have identified some key knowledge gaps and questions. Some of these fit  
564 within research priorities already identified by ecological science, but climate engineering  
565 presents a novel application and extension of the investigations and reinforces the need to  
566 investigate these topics further. Others relate to conditions potentially created by climate  
567 engineering that differ from past conditions and from those projected under underlying  
568 climate and environmental change.

569

570 Discussions – and decisions – on the governance of climate engineering are already  
571 occurring, e.g. recent amendments to the London Protocol (International Maritime  
572 Organization 2013; Schafer et al. 2013). For sound policy decisions to be made, it is critical  
573 that they are based on good scientific understanding. We hope our identification of key  
574 knowledge gaps and suggested research questions will act as a platform for more detailed  
575 consideration of the ecological implications of climate engineering from now on, both from  
576 the ecological research community, and from those working on climate engineering and  
577 related policy.

578

579 *3.3.2. Expert consultation and uncertainty*

580 Expert elicitation can help enhance limited information available from scientific study  
581 (Martin et al. 2012). It is useful in the case of climate engineering as empirical studies of the  
582 techniques are logistically difficult or impossible to conduct at the scales necessary  
583 (Secretariat of the Convention on Biological Diversity 2012). Extrapolation from analogous  
584 natural processes (for example, global dimming caused by volcanic eruptions; Robock et al.  
585 2013) and climate envelope modeling (Couce et al. 2013) can inform expectations of future  
586 scenarios to some extent (Robock et al. 2013), but are less effective when conditions will be  
587 novel relative to the past (Sutherland 2006).

588

589 The expert group used their collective knowledge to interpret available information to  
590 identify which biodiversity and ecosystem effects of climate engineering from a long and  
591 diverse list are important to investigate further. They acknowledged complexities of the  
592 potential ecological effects of climate engineering not previously acknowledged in the  
593 climate engineering literature. For example, the importance of distinguishing the effects of  
594 ocean fertilization with iron from those associated with nitrogen or phosphorus, and the need  
595 to particularly consider vulnerability of island biodiversity.

596

597 Inevitably, there are sources of uncertainty and variability inherent in expert consultation.  
598 Our outcomes may have been different with a different group of experts due to varying  
599 knowledge and opinion on the ecological impacts being discussed. Outcomes also depend  
600 very much on how the issues are framed, such as the context in which climate engineering is  
601 considered. For example, whilst it was specified that the working group should consider the  
602 effects against a background of a warming world with an acidifying ocean, it was left up to  
603 the individual to interpret whether that should be a ‘business as usual’ scenario or one with  
604 low, medium or high global mitigation effort. As noted in the introduction, we also did not  
605 consider the effects of the overall climate amelioration that would occur if climate  
606 engineering were effective, which would also have considerable biodiversity and ecosystem  
607 effects, including some likely benefits.

608

609 There are also many uncertainties related to climate engineering that make anticipating  
610 biodiversity and ecosystem effects challenging. Most technologies are in the early stages of  
611 design and it is difficult to predict how they might evolve. The location, timing and scale of  
612 any future deployment of such techniques are all theoretical (Keith 2000), making it difficult  
613 to identify the specific circumstances under which the environmental changes would occur  
614 (Russell et al. 2012; The Royal Society 2009). This significant topic of ongoing research  
615 should occur in parallel with attempts to project biodiversity and ecosystem effects of climate  
616 engineering. Biodiversity experts and climate engineering impact modelers should  
617 collaborate in order to produce reasonable scenarios of deployment (Carey & Burgman 2008)  
618 (and see Cusack et al. 2014).

619

620 **4. Conclusion**

621 Any climate engineering technique designed to alter the global climate will have significant  
622 implications for biodiversity and ecosystems. This study makes a first attempt to identify  
623 effects related to currently-discussed techniques that are priorities for detailed investigation.  
624 The outcomes should be considered for what it is: an assessment by a group of experienced  
625 researchers based on currently available information. It is not an evaluation of the relative  
626 benefits or risks of climate engineering. It is a scoping of knowledge gaps and research  
627 priorities related to the biodiversity and ecosystem effects of implementing the techniques.  
628 The major themes identified show the types of ecological impacts that are particularly critical  
629 to consider, and highlight both important overlaps with existing research priorities and  
630 knowledge gaps that require new research focus. If interest in climate engineering continues,  
631 biodiversity and ecosystem consequences must be comprehensively considered so that  
632 unintended consequences are avoided and any potential co-benefits are realized. Further  
633 horizon scanning and expert consultation processes similar to those used here could be  
634 valuable in identifying emerging issues.

635

### 636 **Acknowledgements**

637 This work was funded by the Institute for Advanced Sustainability Studies, who we thank for  
638 their efficient organization and hospitality for the workshop. We also thank the various  
639 experts who completed the survey but could not attend the workshop: Tom Battin, Richard T.  
640 Conant, Jason Hall-Spencer, Sandra Lavorel and Klaus Lorenz. We are also grateful to the  
641 Cambridge Conservation Initiative (CCI) Shared Challenges Programme who funded the  
642 initial literature review, and to Rosamunde Almond (CCI) who was involved in the  
643 conception of the project. WJS is funded by Arcadia

644

### 645 **Figure legends**

646 **Figure 1. Schematic of climate engineering techniques considered in this review,**  
647 **covering Carbon Dioxide Removal (CDR) techniques and Solar Radiation Management**  
648 **(SRM) techniques**

649 **Figure 2. Flow diagram of study methodology.**

### 650 **Supporting information captions**

651 **Supporting Information S1. Report of literature review to identify environmental**  
652 **changes and potential biodiversity and ecosystem effects caused by currently discussed**  
653 **climate engineering techniques.** This provides an extensive list of potential ecological  
654 effects of climate engineering, supported by references where available. Although extensive,  
655 it cannot detail every possible effect of climate engineering, as this is far beyond its scope.

656 **Supporting Information S2. Summary of the survey guidelines provided to members of**  
657 **the working group when completing the initial scoring exercise.**

658 **Supporting Information S3.** Description of process used to adjust scores to remove  
659 potentially influential scorer bias.



660 **Supporting Information S4.** Table of the full list of environmental changes from all climate  
661 engineering techniques assessed, with median importance and scientific understanding scores  
662 and index of priority values.

663

664 **Authors and contributors:** RS and SS conducted the initial literature review of climate  
665 engineering effects, with subsequent input from CGM, WB and PI. CGM and WJS designed  
666 the study process and delivered the workshop along with WB, PI and JJB. JJB contributed  
667 significantly to the literature review of the technical feasibility of climate engineering  
668 techniques. All other authors (except TA) completed the survey scoring task and attended the  
669 workshop. TA analyzed the output data. CM wrote the first draft of the manuscript, and all  
670 authors contributed substantially to revisions. WJS, WB and PI in particular made significant  
671 contributions to the direction and content of the manuscript.

672

### 673 **References**

674 Anthony, KRN, A. Kleypas, J, & Gattuso, J-P. 2011. Coral reefs modify their seawater  
675 carbon chemistry – implications for impacts of ocean acidification. *Glob Change Biol*,  
676 17: 3655-3666. doi: 10.1111/j.1365-2486.2011.02510.x

677 Bailey, DM, Collins, MA, Gordon, JDM, Zuur, AF, & Priede, IA. 2009. Long-term changes  
678 in deep-water fish populations in the northeast Atlantic: a deeper reaching effect of  
679 fisheries? *Proc R Soc B*, 276: 1965-1969.

680 Bellard, C, Bertelsmeier, C, Leadley, P, Thuiller, W, & Courchamp, F. 2012. Impacts of  
681 climate change on the future of biodiversity. *Ecol Lett*, 15: 365-377.

682 Biernacki, P & Waldorf, D. 1981. Snowball Sampling: problems and techniques of chain  
683 referral sampling. *Socio Meth Res*. 10: 141 -153.

684 Blain, S, Queguiner, B, Armand, L, Belviso, S, Bombled, B, Bopp, L, Bowie, A, Brunet, C,  
685 Brussaard, C, Carlotti, F, et al. 2007. Effect of natural iron fertilization on carbon  
686 sequestration in the Southern Ocean. *Nature*, 446: 1070-1074. doi:  
687 [http://www.nature.com/nature/journal/v446/n7139/supinfo/nature05700\\_S1.html](http://www.nature.com/nature/journal/v446/n7139/supinfo/nature05700_S1.html)

688 Bopp, L, Resplandy, L, Orr, JC, Doney, SC, Dunne, JP, Gehlen, M, Halloran, P, Heinze, C,  
689 Ilyina, T, Séférian, R, et al. 2013. Multiple stressors of ocean ecosystems in the 21st  
690 century: projections with CMIP5 models. *Biogeosciences*, 10: 6225-6245. doi:  
691 10.5194/bg-10-6225-2013

692 Burrows, MT, Schoeman, DS, Buckley, LB, Moore, P, Poloczanska, ES, Brander, KL,  
693 Brown, C, Bruno, JF, Duarte, CM, Halpern, BSH, J., Kappel, CV, Kiessling, W,  
694 O'Connor, MI, Pandolfi, JM, Parmesan, C, Schwing, FB, Sydeman, W.J. &  
695 Richardson, A.J. 2011. The pace of shifting climate in marine and terrestrial  
696 ecosystems. *Science*, 334.

697 Cahill, AE, Aiello-Lammens, ME, Fisher-Reid, MC, Hua, X, Karanewsky, CJ, Ryu, HY,  
698 Sbeglia, GC, Spagnolo, F, Waldron, JB, Warsi, O, & Wiens, JJ. 2013. How does  
699 climate change cause extinction? *Proc R Soc B*, 280.

- 700 Caldeira, K, Govindasamy, B, & Cao, L. 2013. The science of geoengineering. *Annu Rev*  
701 *Earth Planet Sci*, 41: 231-256.
- 702 Carey, JM, & Burgman, MA. 2008. Linguistic uncertainty in qualitative risk analysis and  
703 how to minimize it. *Ann NY Acad Sci*, 1128: 13-17.
- 704 Chen, IC, Hill, JK, Ohlemuller, R, Roy, DB, & Thomas, CD. 2011. Rapid range shifts of  
705 species associated with high levels of climate warming. *Science*, 333: 1024-1026.
- 706 Choi, S, Drese, JH, Eisenberger, PM, and Jones, CW. 2011. Application of amine-tethered  
707 solid sorbents for direct CO<sub>2</sub> capture from the ambient air. *Environ Sci Tech*, 45:  
708 2420-2427.
- 709 Cleland, EE, Collins, SL, Dickson, TL, Farrer, EC, Gross, KL, Gherardi, LA, Hallett, LM,  
710 Hobbs, RJ, Hsu, JS, Turnbull, L, & Suding, KN. 2013. Sensitivity of grassland plant  
711 community composition to spatial vs. temporal variation in precipitation. *Ecology*, 94:  
712 1687-1696. doi: 10.1890/12-1006.1
- 713 Costello, MJ, Coll, M, Danovaro, R, Halpin, P, Ojaveer, H, & Miloslavich, P. 2010. A census  
714 of marine biodiversity knowledge, resources, and future challenges. *PLoS ONE*, 5:  
715 e12110. doi: 10.1371/journal.pone.0012110
- 716 Cotton, PA. 2003. Avian migration phenology and global climate change. *PNAS*, 100:  
717 12219-12222.
- 718 Couce, E, Irvine, PJ, Gregorie, LJ, Ridgwell, A & Hendy, EJ. 2013. Tropical coral reef  
719 habitat in a geoengineered, high-CO<sub>2</sub> world. *Geophys Res Lett*, 40: 1799-1805. doi:  
720 10.1002/grl.50340
- 721 Cusack, DF, Axsen, J, Shwom, R, Hartzell-Nichols, L, White, S, & Mackey, KRM. 2014. An  
722 interdisciplinary assessment of climate engineering strategies. *Front Ecol Environ*, 12:  
723 280-287. doi: 10.1890/130030
- 724 Davidson, EA, Belk, E, & Boone, RD. 1998. Soil water content and temperature as  
725 independent or confounded factors controlling soil respiration in a temperate mixed  
726 hardwood forest. *Glob Change Biol*, 4: 217 - 227.
- 727 Dawson, W, Fischer, M, & van Kleunen, M. 2012. Common and rare plant species respond  
728 differently to fertilisation and competition, whether they are alien or native. *Ecol Lett*,  
729 15: 873-880.
- 730 De Deyn, GB, & van der Putten, W. 2005. Linking aboveground and belowground diversity.  
731 *TREE*, 20.
- 732 De Frenne, P, Rodríguez-Sánchez, F, Coomes, DA, Baeten, L, Verstraeten, G, Vellend, M,  
733 Bernhardt-Römermann, M, Brown, CD, Brunet, J, Cornelis, J, et al. 2013.  
734 Microclimate moderates plant responses to macroclimate warming. *PNAS*, 110:  
735 18561-18565. doi: 10.1073/pnas.1311190110
- 736 Devine, JA, Baker, KD, & Haedrich, RL. 2006. Fisheries: Deep-sea fishes qualify as  
737 endangered. *Nature*, 439: 29.

738 Donohue, RJ, Roderick, MJM, T.R., & Farquhar, GD. 2013. Impact of CO<sub>2</sub> fertilization on  
739 maximum foliage cover across the globe's warm, dry environments. *Geophys Res*  
740 *Lett* 40: 3031-3035.

741 Drinkwater, K, Hunt, G, Lehodey, P, Lluch-Cota, S, Murphy, EJ, Sakuri, Y, Schwing, F,  
742 Beaugrand, G & Svein, S. 2010. Climate forcing on marine ecosystems. In M.  
743 Barange, J. G. Field, R. P. Harris, E. E. Hofmann, I. Perry & F. Werner, *Marine*  
744 *Ecosystems and Global Change: Oxford Scholarship Online*.

745 Dutreuil, S, Bopp, L, & Tagliabue, A. 2009. Impact of enhanced vertical mixing on marine  
746 biogeochemistry: lessons for geo-engineering and natural variability. *Biogeosciences*,  
747 6: 901-912. doi: 10.5194/bg-6-901-2009

748 Edwards, M, & Richardson, AJ. 2004. Impact of climate change on marine pelagic phenology  
749 and trophic mismatch. *Nature*, 430: 881-884. doi: 10.1038/nature02808

750 Falkowski, P, Barber, RT, & Smetacek, V. 1998. Biogeochemical controls and feedbacks on  
751 ocean primary productivity. *Science*, 281: 200-206.

752 Fletcher, RJ, Robertson, BA, Evans, J, Doran, PJ, Alavalapati, JRR, & Schemske, DW. 2011.  
753 Biodiversity conservation in the era of biofuels: risks and opportunities. *Front Ecol*  
754 *Environ*, 9: 161-168.

755 Foster, J, Cooper, G, & Galbraith, L. 2013. Patent application: Salt water spray systems for  
756 cloud brightening droplets and nano-particle generation. US patent application  
757 PCT/US2013/020589. Washington, DC: U.S. Patent and Trademark Office

758 Gove, B, Flower, KA, & Bradbury, RB. 2010. A review of environmental consequences of  
759 biomass production for UK energy consumption. Sandy, Bedfordshire: RSPB.

760 Hardman-Mountford, NJ, Polimene, L, Hirata, T, Brewin, RJ, & Aiken, J. 2013. Impacts of  
761 light shading and nutrient enrichment geoengineering approaches on the productivity  
762 of a stratified, oligotrophic ocean ecosystem. *J R Soc Interface*, 10: 20130701.

763 Hartmann, J, West, AJ, Renforth, P, Kohler, P, De la Rocha, CL, Wolf-Gladrow, DA, Durr,  
764 HH, & Scheffran, J. 2013. Enhanced chemical weathering as a geoengineering  
765 strategy to reduce atmospheric carbon dioxide, supply nutrients, and mitigate ocean  
766 acidification. *Rev Geophys*, 51: 113-149.

767 Higgins, SI, & Scheiter, S. 2012. Atmospheric CO<sub>2</sub> forces abrupt vegetation shifts locally,  
768 but not globally. *Nature*, 488: 209-212.

769 Hoffmann, AA, & Sgro, CM. 2011. Climate change and evolutionary adaptation. *Nature*,  
770 470: 479 - 485.

771 Holt, J. 1996. Balancing task allocation in teams. In: Robertson, S, editor *Contemporary*  
772 *Ergonomics*. London: Taylor and Francis, p 351.

773 Hurlbert, AHL, Z. 2012. Spatiotemporal variation in avian migration phenology: Citizen  
774 science reveals effects of climate change. *PLoS ONE*, 7.

775 Hutchings, A, & Raine, R. 2006. A systematic review of factors affecting the judgements  
776 produced by formal consensus development methods in health care. *J Health Serv Res*  
777 *Policy*, 11: 172-179.

- 778 International Energy Agency. (2015). Energy and Climate Change: World Energy Outlook  
779 Special Report. Paris: International Energy Agency.
- 780 International Maritime Organization. (2013). Marine geoengineering including ocean  
781 fertilization to be regulated under amendments to international treaty Retrieved 7th  
782 November, 2013, from [http://www.imo.org/MediaCentre/PressBriefings/Pages/45-](http://www.imo.org/MediaCentre/PressBriefings/Pages/45-marine-geoengineering.aspx)  
783 [marine-geoengineering.aspx](http://www.imo.org/MediaCentre/PressBriefings/Pages/45-marine-geoengineering.aspx)
- 784 IPCC. 2005. IPCC Special Report on Carbon Dioxide Capture and Storage. In B. Metz,  
785 Davidson, O., de Coninck, H., Loos, M., Meyer, L. (Ed.). Cambridge: Cambridge  
786 University Press.
- 787 IPCC. 2013. Working Group I Contribution to the IPCC Fifth Assessment Report Climate  
788 Change 2013: The Physical Science Basis Summary for Policymakers. Cambridge,  
789 UK: Cambridge University Press.
- 790 Irvine, PJ, Ridgwell, A, & Lunt, DJ. 2010. Assessing the regional disparities in  
791 geoengineering impacts. *Geophys Res Lett*, 37: L18702. doi:  
792 doi:10.1029/2010GL044447
- 793 Irvine, PJ, Ridgwell, A, & Lunt, DJ. 2011. Climatic impacts of surface albedo  
794 geoengineering. *J Geophys Res*, 116: D24112. doi: doi:10.1029/2011JD016281
- 795 Jackson, RB, Canadell, JG, Le Quéré, C, Andrew, RM, Korsbakken JI, Peters GP, &  
796 Nakicenovic, N. 2016. Reaching peak emissions. *Nat Clim Chang*, 6: 7-9.
- 797 Jensen, KD, Beier, C, Michelsen, A, & Emmett, BA. 2003. Effects of experimental drought  
798 on microbial processes in two temperate heathlands at contrasting water conditions.  
799 *Applied Soil Ecology*, 24: 165-176. doi: 10.1016/S0929-1393(03)00091-X
- 800 Jones, A, Haywood, JM, Alterskjær, K, Boucher, O, Cole, JNS, Curry, CL, Irvine, PJ, Ji, D,  
801 Kravitz, B, Egill Kristjánsson, J, et al. 2013. The impact of abrupt suspension of solar  
802 radiation management (termination effect) in experiment G2 of the Geoengineering  
803 Model Intercomparison Project (GeoMIP). *J Geophys Res: Atmospheres*, 118: 9743-  
804 9752. doi: 10.1002/jgrd.50762
- 805 Keith, DW. 2000. Geoengineering the climate: History and prospect. *Ann Rev Energy Env*,  
806 25: 245-284.
- 807 Keller, DP, Feng, EY, & Oschlies, A. 2014. Potential climate engineering effectiveness and  
808 side effects during a high carbon dioxide-emission scenario. *Nat Commun*, 5. doi:  
809 10.1038/ncomms4304
- 810 Kirk, JTO. 2011. Light and photosynthesis in aquatic ecosystems (3rd ed.). Cambridge:  
811 Cambridge University Press.
- 812 Kosugi, T. 2013. Fail-safe solar radiation management geoengineering. *Mitig. adapt.*  
813 *strategies glob. chang.* 18: 1141 – 1166.
- 814 Kravitz, B, Caldeira, K, Boucher, O, Robock, A, Rasch, PJ, Alterskjær, K, Karam, DB, Cole,  
815 JNS, Curry, CL, Haywood, JM, et al. 2013. Climate model response from the  
816 Geoengineering Model Intercomparison Project (GeoMIP). *J Geophys Res:*  
817 *Atmospheres*, 118: 8320-8332. doi: 10.1002/jgrd.50646

- 818 Kravitz, B, Robock, A, & Irvine, P. 2013. Robust results from climate model simulations of  
819 geoengineering. *Eos, Trans Amer Geophys Union*, 94: 292-292. doi:  
820 10.1002/2013eo330005
- 821 Kroeker, KJ, Kordas, RL, Crim, R, Hendriks, IE, Ramajo, L, Singh, GS, Duarte, CM, &  
822 Gattuso, J-P. 2013. Impacts of ocean acidification on marine organisms: quantifying  
823 sensitivities and interaction with warming. *Glob Change Biol*, 19: 1884-1896. doi:  
824 10.1111/gcb.12179
- 825 Lampitt, RS, Achterberg, EP, Anderson, TR, Hughes, JA, Iglesias-Rodriguez, MD, Kelly-  
826 Gerreyn, BA, Lucas, M, Popova, EE, Sanders, R, Shepherd, JG, et al. 2008. Ocean  
827 fertilization: a potential means of geoengineering? *Philos Trans R Soc A*, 366: 3919-  
828 3945.
- 829 Latham, J, Bower, K, Choullarton, T, Coe, H, Connolly, P, Cooper, G, Craft, T, Foster, J,  
830 Gadian, A, & Galbraith, L. 2012. Marine cloud brightening. *Philos Trans R Soc A*,  
831 370: 4217-4262.
- 832 Lehmann, J, Rillig, MC, Thies, J, Masiello, CA, Hockaday, WC, & Crowley, D. 2011.  
833 Biochar effects on soil biota - a review. *Soil Biol Biochem*, 43: 1812-1836.
- 834 Lenton, TM, & Vaughan, NE. 2009. The radiative forcing potential of different climate  
835 geoengineering options. *Atmos Chem Phys*, 9: 5539-5561.
- 836 Levin, LA, Etter, RJ, Rex, MA, Gooday, AJ, Smith, CR, Pineda, J, Stuart, CT, Hessler, RR,  
837 & Pawson, D. 2001. Environmental influences on regional deep-sea species diversity.  
838 *Annu Rev Ecol Syst*, 32: 51-93.
- 839 Long, SP, Ainsworth, AE, Rogers, A, & Ort, DR. 2004. Rising atmospheric carbon dioxide:  
840 Plants FACE the future. *Annu Rev Plant Biol*, 55.
- 841 Loope, L, & Giambelluca, T. 1998. Vulnerability of island tropical montane cloud forests to  
842 climate change, with special reference to East Maui, Hawaii. *Clim Chang*, 39: 503-  
843 517. doi: 10.1023/a:1005372118420
- 844 MacMartin, DG, Caldeira, K & Keith, DW. 2014. Solar engineering to limit the rate of  
845 temperature change. *Philos Trans R Soc A*, 372. Doi: [10.1098/rsta.2014.0134](https://doi.org/10.1098/rsta.2014.0134)
- 846 MacMynowski, DG, Keith, DW, Caldeira, K, & Shin, H-J. 2011. Can we test  
847 geoengineering? *Energy Env Sci*, 4: 5044-5052.
- 848 Martin, TG, Burgman, MA, Fidler, F, Kuhnert, PM, Low-Choy, S, McBride, M, &  
849 Mengersen, K. 2012. Eliciting expert knowledge in conservation science. *Cons Biol*,  
850 26: 29-38. doi: 10.1111/j.1523-1739.2011.01806.x
- 851 Martinez-Garcia, A, Sigman, DM, Ren, H., Anderson, R.F., Straub, M., Hodell, D.A.,  
852 Jaccard, S.L., Eglinton, T.I., and Haug, G.H. 2014. Iron fertilization of the  
853 Subantarctic Ocean during the last ice age. *Science*, 6177: 1347-1350.
- 854 Matthews, HD, & Caldeira, K. 2007. Transient climate-carbon simulations of planetary  
855 geoengineering. *PNAS*, 104: 9949-9954.
- 856 Matthews, HD, Cao, L, & Caldeira, K. 2009. Sensitivity of ocean acidification to  
857 geoengineered climate stabilisation. *Geophys Res Lett*, 36.

- 858 Matthews, S, O'Connor, R, & Plantinga, AJ. 2002. Quantifying the impacts on biodiversity of  
859 policies for carbon sequestration in forests. *Ecol Econ*, 40: 71-87. doi:  
860 [http://dx.doi.org/10.1016/S0921-8009\(01\)00269-5](http://dx.doi.org/10.1016/S0921-8009(01)00269-5)
- 861 McCusker, K, Battisti, DS, & Bitz, CM. 2012. The climate response to stratospheric sulfate  
862 injections and implications for addressing climate emergencies. *J Clim*, 25.
- 863 Meehl, GA. 1996. Vulnerability of freshwater resources to climate change in the tropical  
864 pacific region. *Water Air Soil Pollut*, 92: 203-213. doi: 10.1007/bf00175566
- 865 Menzel, A, Sparks, TH, Estrella, N, Koch, E, Aasa, A, Ahas, R, Alm-KÜbler, K, Bissolli, P,  
866 Braslavská, OG, Briede, A, et al. 2006. European phenological response to climate  
867 change matches the warming pattern. *Glob Change Biol*, 12: 1969-1976. doi:  
868 10.1111/j.1365-2486.2006.01193.x
- 869 Mineur, F, Cook, E, Minchin, D, Bohn, K, Macleod, A, & Maggs, C. 2012. Changing coasts:  
870 marine aliens and artificial structures. *Oceanogr Mar Biol*, 50: 189-234
- 871 Mustin, K, Sutherland, WJ, & Gill, JA. 2007. The complexity of predicting climate-induced  
872 ecological impacts. *Clim Res*, 35: 165-175. doi: 10.3354/cr00723
- 873 Ostle, N, & Ward, S. 2012. Climate change and soil biotic carbon cycling. In: *Soil Ecology  
874 and Ecosystem Services* (pp. 241-255). Oxford: Oxford University Press.
- 875 Peck, LS, Morley, SA, & Richard, JC, M.S. 2014. Acclimation and thermal tolerance in  
876 Antarctic marine ectotherms. *J Exp Biol*, 217: 16-22.
- 877 Pörtner, HO, & Farrell, AP. 2008. Physiology and climate change. *Science*, 322: 690-692.
- 878 Quintero, I, & Wiens, JJ. 2013. Rates of projected climate change dramatically exceed past  
879 rates of climatic niche evolution among vertebrate species. *Ecol Lett*, 16: 1095-1103.
- 880 Ricke, K, Morgan, G, & Allen, M. 2010. Regional climate response to solar-radiation  
881 management. *Nat Geosci*, 3: 537-541.
- 882 Rickels, W, Klepper, G, Dovern, J, Betz, G, Brachatzek, N, Cacean, S, Gussow, K,  
883 Heintzenberg, J, Hiller, S, Hoose, C, et al. (2011). Large-Scale Intentional  
884 Interventions into the Climate System? Assessing the Climate Engineering Debate.  
885 Scoping report conducted on behalf of the German Federal Ministry of Education and  
886 Research (BMBF). Kiel: Kiel Earth Institute.
- 887 Robock, A, MacMartin, D, Duren, R, & Christensen, M. 2013. Studying geoengineering with  
888 natural and anthropogenic analogs. *Clim Chang*, 121: 445-458. doi: 10.1007/s10584-  
889 013-0777-5
- 890 Russell, LM, Rasch, PJ, Mace, GM, Jackson, RB, Shepherd, J, Liss, P, Leinen, M, Schimel,  
891 D, Vaughan, NE, Janetos, AC, et al. 2012. Ecosystem impacts of geoengineering: A  
892 review for developing a science plan. *Ambio*, 41: 350-369. doi: 10.1007/s13280-012-  
893 0258-5.
- 894 Schafer, S, Irvine, PJ, Hubert, A-M, Reichwein, D, Low, S, Stelzer, H, Maas, A, &  
895 Lawrence, MG. 2013. Field tests of solar climate engineering. *Nature Clim Chang*, 3:  
896 766-766. doi: 10.1038/nclimate1987

- 897 Schloss, CA, Nunez, TA & Lawler, JJ. 2012. Dispersal will limit ability of mammals to track  
898 climate change in the Western Hemisphere. PNAS. doi: 101073/pnas1116791109
- 899 Secretariat of the Convention on Biological Diversity. 2012. Geoengineering in relation to the  
900 Convention on Biological Diversity: Technical and Regulatory Matters. CBD  
901 Technical Series No 66. (pp. 152). Montreal.
- 902 Sims, DW, Genner, MJ, Southward, AJ, & Hawkins, SJ. 2001. Timing of squid migration  
903 reflects North Atlantic climate variability. Proc R Soc B, 268: 2067-2611.
- 904 Singarayer, JS, Ridgwell, A, & Irvine, PJ. 2009. Assessing the benefits of crop albedo bio-  
905 geoengineering. Env Res Lett, 4.
- 906 Stahlschmidt, ZR, DeNardo, DF, Holland, JN, Kotler, BP, & Kruse-Peebles, M. 2011.  
907 Tolerance mechanisms in North American deserts: Biological and societal approaches  
908 to climate change. J Arid Environ, 75: 681-687.
- 909 Steidl, RJ, Litt, AR, & Matter, WJ. 2013. Effects of plant invasions on wildlife in desert  
910 grasslands. Wildlife Soc B, 37: 527-536. doi: 10.1002/wsb.308
- 911 Strong, A, Chisholm, S, Miller, C, & Cullen, J. 2009. Ocean fertilization: time to move on.  
912 Nature, 461: 347-348.
- 913 Sutherland, WJ. 2006. Predicting the ecological consequences of environmental change: a  
914 review of the methods. J Appl Ecol, 43: 599-616. doi: 10.1111/j.1365-  
915 2664.2006.01182.x
- 916 Sutherland, WJ, Bardsley, S, Clout, M, Depledge, MH, Dicks, LV, Fellman, L, Fleishman, E,  
917 Gibbons, DW, Keim, B, Lickorish, F, et al. 2012. A horizon scan of global  
918 conservation issues for 2013. TREE, 28: 16-22.
- 919 Sutherland, WJ, & Woodroof, HJ. 2009. The need for environmental horizon scanning.  
920 TREE, 24: 523 - 527. doi: doi:10.1016/j.tree.2009.04.008
- 921 The Royal Society. 2009. Geoengineering the climate - Science, governance and uncertainty.  
922 RS Policy document 10/09. London: The Royal Society.
- 923 Tilman, D, Socolow, R, Foley, JA, Hill, J, Larson, E, Lynd, L, Pacala, S, Reilly, J,  
924 Searchinger, T, Somerville, C, & Williams, R. 2009. Beneficial biofuels—The food,  
925 energy, and environment trilemma. Science, 325: 270-271. doi:  
926 10.1126/science.1177970
- 927 Tilmes, S, Fasullo, J, Lamarque, J-F, Marsh, DR, Mills, M, Alterskjær, K, Muri, H,  
928 Kristjánsson, JE, Boucher, O, Schulz, M, et al. 2013. The hydrological impact of  
929 geoengineering in the Geoengineering Model Intercomparison Project (GeoMIP). J  
930 Geophys Res: Atmos, 118: 11,036-011,058. doi: 10.1002/jgrd.50868
- 931 Vaughan, NE, & Lenton, TM. 2011. A review of climate geoengineering proposals. Clim  
932 Chang, 109: 745-790.
- 933 Walther, G-R, Post, E, Convey, P, Menzel, A, Parmesan, C, Beebee, TJC, Fromentin, J-M,  
934 Hoegh-Guldberg, O, & Bairlein, F. 2002. Ecological responses to recent climate  
935 change. Nature, 416: 389-395.

- 936 Warren, R, VanDerWal, J, Price, J, Walbergen, JA, Atkinson, I, Ramirez-Villegas, J, Osborn,  
937 TJ, Jarvis, A, Shoo, LP, Williams, SE, & Lowe, J. 2013. Quantifying the benefit of  
938 early climate change mitigation in avoiding biodiversity loss. *Nat Clim Chang*, 3.
- 939 Wiens, JJ, Fargione, J, & Hill, J. 2011. Biofuels and biodiversity. *Ecol Appl*, 21.
- 940 Williams, JW, Jackson, ST, & Kutzbach, JE. 2007. Projected distributions of novel and  
941 disappearing climates by 2100 AD. *Proc Natl Acad Sci USA*, 104: 5738-5742. doi:  
942 10.1073/pnas.0606292104
- 943 Williamson, P, & Turley, C. 2012. Ocean acidification in a geoengineering context. *Philos*  
944 *Trans R Soc A*, 370: 4317-4342. doi: 10.1098/rsta.2012.0167
- 945 Williamson, PW, D.W.R., Law, CS, Boyd, PW, Collos, Y, Croot, P, Denman, K, Riebesell,  
946 U, Takeda, S, & Vivian, C. 2012. Ocean fertilisation for geoengineering: A review of  
947 effectiveness, environmental impacts and emerging governance. *Process Saf Environ*,  
948 90: 475-488.
- 949 Willis, KJ, Bailey, RM, Bhagwat, SA, & Birks, HJB. 2010. Biodiversity baselines, thresholds  
950 and resilience: testing predictions and assumptions using palaeological data. *TREE*,  
951 25: 583-591.
- 952 Willis, KJ, Bennett, KD, Burrough, SL, Macias-Fauria, M, & Tovar, C. 2013. Determining  
953 the response of African biota to climate change: using the past to model the future.  
954 *Philos Trans R Soc B: Biological Sciences*, 368.
- 955 Winder, MS, D.E. 2004. Climate change uncouples trophic interactions in an aquatic  
956 ecosystem. *Ecology*, 85: 2100-2106.
- 957 Zhou, S, & Flynn, PC. 2005. Geoengineering downwelling ocean currents: a cost assessment.  
958 *Clim Chang*, 71: 203–220.



# Tables

**Table 1.** Description of climate engineering techniques and shortlisting on the basis of technical feasibility, affordability and/or anticipated effectiveness.

Climate engineering technique	SRM or CDR	Description	Prioritization	Reasons for prioritization
<b>High priority techniques</b>				
Ocean fertilization - iron	CDR	Soluble iron minerals added to regions of the ocean where availability limits productivity. Cover c. 30% of the ocean surface, including the Southern Ocean, and the equatorial and northern Pacific <sup>1</sup>	High	Field experimentation <sup>2</sup> shows enhanced CO <sub>2</sub> uptake can be achieved. Iron has greater potential CO <sub>2</sub> sequestration per amount of nutrient added compared to macronutrient fertilization <sup>2</sup> , so is prioritized over nitrogen/phosphorus (below).
Bio-energy with carbon capture and storage (BECCS)	CDR	Biomass burned for fuel and CO <sub>2</sub> emissions produced during processing and combustion captured and transferred to long-term geological or ocean storage <sup>1,3</sup> .	High	Techniques for bioenergy production, processing, combustion, and capture and storage of CO <sub>2</sub> already developed <sup>1,3</sup> . Relatively high anticipated CO <sub>2</sub> sequestration potential <sup>1,4,5</sup> .
Marine cloud albedo	SRM	Reflectivity of clouds over the ocean is enhanced by increasing the number of particles which act as cloud condensation nuclei, by spraying seawater into clouds <sup>1,5</sup> .	High	Potential for large radiative forcing effect <sup>5,6</sup> ;. Potentially technically feasible and relatively affordable technology <sup>1,7,8</sup>
Stratospheric sulfate aerosols	SRM	Sulfur dioxide or hydrogen sulfide injected into the lower stratosphere to form sulfate aerosol particles which scatter incoming shortwave radiation <sup>4</sup> .	High	Potential for large radiative forcing effect <sup>5,6</sup> . Potentially technically feasible and relatively affordable technology <sup>4</sup> .
Direct air capture (DAC)	CDR	Free-standing structures constructed in areas with good airflow. Sorbent materials on surfaces selectively trap CO <sub>2</sub> from ambient air. Isolated CO <sub>2</sub> transferred to a long-term geological or ocean store <sup>4</sup> .	High	High anticipated CO <sub>2</sub> sequestration potential <sup>5,6</sup> . Relatively achievable technological requirements <sup>1</sup> .
<b>Lower priority techniques</b>				
Ocean fertilization – nitrogen/phosphorus	CDR	Soluble phosphorus or nitrogen minerals added to regions of the ocean where availability limits productivity. These regions cover 40%	Low	Limited carbon sequestration potential <sup>2,6</sup> . Significant volumes of mined minerals required <sup>1</sup> .

		of the ocean surface including tropical and subtropical gyres <sup>1,2</sup> .		
Biomass – storage in the ocean	CDR	Terrestrial biomass harvested, baled and deposited onto the sea floor below 1000-1500m where conditions limit decomposition <sup>1,9</sup>	Low	Unlikely to be viable at a scale to appreciably offset global CO <sub>2</sub> emissions <sup>1</sup> . Requires novel techniques and equipment.
Biochar	CDR	Biomass burned in low oxygen (‘pyrolysis’) to form solid product similar to charcoal. This is dug into soils where it acts as a carbon reservoir <sup>1,9</sup> .	Low	Feasibility and anticipated effectiveness in achieving net CO <sub>2</sub> reduction limited by significant land use requirements <sup>1,6</sup> .
Enhanced weathering <i>in situ</i>	CDR	CO <sub>2</sub> dissolved in solution and injected into basic rocks in the Earth’s crust to react with basic minerals such as olivine to form mineral compounds <sup>1</sup> .	Low	Significant logistical challenges and uncertainty over chemical feasibility and energy requirements <sup>1</sup> .
Afforestation or reforestation	CDR	Forest established on currently non-forested land to increase CO <sub>2</sub> uptake and storage through photosynthesis <sup>1,9</sup> .	Low	Biodiversity and ecosystem effects of afforestation and reforestation have previously been subject to detailed reviews so are not considered here (e.g. 10)
Enhanced weathering: to land	CDR	Basic rock minerals —such as olivine— are quarried, ground into fine particles and spread on soils to undergo accelerated weathering, reacting with atmospheric CO <sub>2</sub> and converting it to mineral compounds <sup>9,11</sup>	Low	Relatively good technical feasibility but high energy requirements and CO <sub>2</sub> emissions associated with quarrying, processing and spreading materials <sup>1,9,11</sup> .
Enhanced weathering: to ocean	CDR	Quarried and processed carbonate or silicate materials are added to the surface ocean. The basic/alkaline materials react with CO <sub>2</sub> in the water, converting it to bicarbonate ions. CO <sub>2</sub> content of the ocean is reduced allowing more to be absorbed from the atmosphere <sup>9</sup> .	Low	[See. Enhanced weathering: to land]
Enhanced upwelling/downwelling	CDR	The natural process of upwelling — deep-ocean waters brought to the surface by ocean circulation— is enhanced using man-made pipes and pumps. Water brought to the surface is rich in nutrients and cooler than existing surface waters, leading to increased uptake of atmospheric CO <sub>2</sub> . Alternatively, natural	Low	Very limited potential to achieve net drawdown of CO <sub>2</sub> due to high CO <sub>2</sub> content of waters brought to surface by both techniques <sup>2</sup> . Significant logistical and engineering challenges <sup>12</sup>

		downwelling would be enhanced by cooling CO <sub>2</sub> -rich ocean surface waters, causing them to sink to the deep ocean <sup>1,12</sup> .		
Surface albedo - urban	SRM	Albedo of urban structures increased using bright paint or materials <sup>1,13</sup> .	Low	Very low anticipated radiative forcing potential and therefore low cost-effectiveness <sup>1,5,6</sup> .
Surface albedo - desert	SRM	Albedo of desert regions—which receive a high proportion of incoming solar radiation—increased by covering areas in man-made reflective materials <sup>5,6</sup> .	Low	Very low anticipated affordability and very large land requirements <sup>1</sup> .
Surface albedo - crop	SRM	Plants selected for high surface albedo are established over large areas of cropland or grassland/shrubland <sup>1,13,14</sup>	Low	Low anticipated radiative forcing potential <sup>4,5</sup> (Vaughan & Lenton 2011), scale of implementation required for measurable effect prohibitively large <sup>5,6</sup> .
Sunshades	SRM	Sun shields or deflectors are installed in space to reflect a proportion of sunlight away from the Earth <sup>1,4</sup> .	Low	Very low timeliness and affordability <sup>1,4</sup> .
1. The Royal Society 2009, 2. Williamson et al. 2012, 3. IPCC 2005, 4. Caldeira et al. 2013, 5. Lenton & Vaughan 2009, 6. Vaughan & Lenton 2011, 7. Foster et al. 2013, 8. Latham et al. 2012, 9. Secretariat of the Convention on Biological Diversity 2012, 10. Matthews et al. 2002, 11. Hartmann et al. 2013, 12. Zhou & Flynn 2005, 13. Irvine et al 2011, 14. Singarayer et al. 2009				

**Table 2.** Top environmental changes across all techniques presented in rank order according to an ‘index of priority’\*. A higher value indicates a greater priority for research due to higher judged importance and/or lower scientific understanding of potential biodiversity and ecosystem effects. See Supporting Information S4 for a full list of environmental changes and scores.

Rank	Technique	SRM or CDR	Environmental change	Median importance score (interquartile range) 100 = highest importance	Median scientific understanding score (interquartile range) 0 = no scientific understanding; 100 = complete scientific understanding	Index of priority* (100 = highest priority)
1	Solar radiation management ‘dimming’ techniques <sup>†</sup>	SRM	The ‘termination effect’ <sup>‡</sup> : Rapid increase of global temperatures if solar radiation management failed or was terminated	99.9 (6)	20 (5)	90
2	Solar radiation management ‘dimming’ techniques <sup>†</sup>	SRM	Regionally-variable changes in precipitation due to altered atmospheric circulation. Increase in some areas, decrease in others	80 (18)	30 (10)	75
3	Solar radiation management ‘dimming’ techniques <sup>†</sup>	SRM	Creation of high CO <sub>2</sub> /low temperature climate (unlike either the current low CO <sub>2</sub> /low temperature conditions or high CO <sub>2</sub> /high temperature conditions of projected climate change)	70 (27)	20 (8)	75
4	Solar radiation management ‘dimming’ techniques <sup>†</sup>	SRM	Reduced amplitude of seasonal temperature range with warmer winters and cooler summers	75 (20)	30 (10)	73

5	Solar radiation management 'dimming' techniques <sup>†</sup>	SRM	Small but detectable global cooling within ~5 years of solar radiation management deployment (relative to elevated temperatures caused by global warming effect)	74 (11)	30 (5)	72
6	Solar radiation management 'dimming' techniques <sup>†</sup>	SRM	Reduced equator-to-pole temperature gradient due to greater reduction in incoming solar radiation at the tropics than at higher latitudes	70 (19)	30 (6)	70
7	Solar radiation management 'dimming' techniques <sup>†</sup>	SRM	Slowing of the global hydrological cycle (reduced evaporation and precipitation)	70 (15)	30 (10)	70
8	Enhanced desert albedo	SRM	Potentially strong reduction in continental rainfall, particularly in monsoon regions	64 (15)	30 (8)	68
9	Enhanced upwelling/ downwelling	CDR	Increased primary productivity in surface ocean as a result of artificially enhanced upwelling of nutrient-rich deep waters (in mid-ocean locations)	63 (25)	30 (23)	67
10	Solar radiation management 'dimming' techniques <sup>†</sup>	SRM	Changes in ocean circulation patterns due to changes in energy into and out of the ocean due to reduced atmospheric temperature	63 (17)	30 (10)	67
11	Ocean fertilization with iron	CDR	Increased primary productivity in high nutrient low chlorophyll regions of the ocean due to iron fertilization	70 (30)	40 (15)	66
12	Enhanced upwelling/downwelling	CDR	Increased area of man-made structures in the ocean for artificial enhancement of upwelling or downwelling	55 (20)	25 (16)	65
13	Biomass: storage in the ocean	CDR	Increased nutrient availability in deep ocean and on sea floor due to deposition of harvested terrestrial biomass	50 (23)	15 (18)	65
14	Enhanced cropland or grassland albedo	SRM	Establishment of monocultures of high-reflectivity vegetation over several million km <sup>2</sup> to replace natural and semi-natural grassland and shrubland habitats	80 (17)	50 (28)	65

15	Biomass: storage in the ocean	CDR	Reduced oxygen in deep ocean due to decomposition of introduced organic matter (harvested terrestrial biomass)	55 (33)	30 (28)	65
16	Enhanced cropland or grassland albedo	SRM	Conversion of (dark) forest habitats to establish (lighter) grassland or cropland	79 (25)	50 (30)	63
17	Biomass: storage in the ocean	CDR	Large-scale coverage (smothering) of deep-ocean seabed with harvested terrestrial biomass	52 (47)	25 (15)	63
18	Enhanced weathering: base materials to land	CDR	Change in soil properties with addition of powdered basic rock (soil structure, density, aggregation and water retention)	9 (9)	30 (10)	63
19	Enhanced desert albedo	SRM	Large-scale covering of desert surface with man-made materials	50 (13)	25 (23)	61
20	Ocean fertilization: nitrogen or phosphorus	CDR	Increased primary productivity in low nutrient low chlorophyll regions of the ocean due to nitrate or phosphate fertilization	60 (20)	40 (13)	60
<p>* The 'Index of priority' is calculated by: <math>(\text{Importance score} + (100 - \text{Understanding score})) * 0.5</math></p> <p>† Solar radiation management 'dimming' techniques refers to sunshades, stratospheric sulfate aerosols and enhanced marine cloud albedo, which reflect a proportion of incoming solar radiation back into space. Environmental changes under this heading are taken to be common to these three techniques.</p> <p>‡ The termination effect is associated with the possible failure or termination of SRM 'dimming' techniques, rather than their implementation or functioning.</p>						

**Table 3.** Priority research questions relating to the highest priority environmental changes associated with each of the five shortlisted climate engineering techniques. The ‘Index of priority’ combines their importance score and scientific understanding score; environmental changes with high importance and low scientific understanding of the biodiversity and ecosystem consequences were considered priorities for research.

Technique	Prioritized Environmental Changes	Index of Priority	Suggested Priority Research Questions
1. Stratospheric sulfate aerosols	Termination effect: Rapid increase of global temperatures if solar radiation management fail or are terminated	89.9	1. What are the rates of warming that species can tolerate by means of adaptation or migration and which key species and ecosystem-level processes are most vulnerable to such rapid changes?
			2. Does a rapid increase in temperature modify the effects of other important stressors, and what are the synergistic effects of these multiple stressors on biodiversity and ecosystems?
			3. What consequences does an abrupt change from cooling to rapid warming have for evolutionary adaptation to warming?
	Creation of high CO <sub>2</sub> /low temperature climate (relative to current low CO <sub>2</sub> /low temperature baseline and high CO <sub>2</sub> /high temperature of projected climate change)	75	1. What is the effect on primary productivity of the combined influence of increased CO <sub>2</sub> concentrations and reduced temperatures for the dominant plant species in major terrestrial biomes and for oceanic phytoplankton?
			2. How will enhanced CO <sub>2</sub> concentrations and reduced global temperatures impact on ocean uptake of CO <sub>2</sub> and acidification rates and what are the implications for calcifying organisms and their role in transferring particulate organic carbon to the deep ocean?
			3. What are the indirect effects of high atmospheric CO <sub>2</sub> levels and reduced temperature on biodiversity and ecosystem structure and function, including the effects on taxa other than primary producers and as a result of impacts cascading through food webs?
Regionally-variable changes in precipitation due to altered atmospheric circulation. Increase in some areas, decrease in others.	75	1. How will changes in precipitation affect aridification and regional distributions of species and communities, especially trophic levels other than primary producers, and what implications does this have for ecosystem processes they control?	
		2. What impacts do variations in precipitation regimes have on belowground processes, including water uptake and root structure, over the medium to long term?	
		3. In marine habitats, how might changes in freshwater inputs to the ocean affect the intensity and distribution of acidification in the marine surface layer and ocean interior, and how does this affect ocean biodiversity and ecosystem function in various regions?	

2. Enhanced marine cloud albedo			<i>[Prioritized environmental changes for this technique are the same as for 1. Stratospheric sulfate aerosols – they are common to both]</i>	
3. Ocean fertilization with iron	Increased primary productivity in high nutrient low chlorophyll regions of the ocean	66	<ol style="list-style-type: none"> <li>1. What are the taxon-specific responses of phytoplankton to fertilization in terms of their growth and chemical composition (C, N, P, Si and Fe stoichiometry) under different states of nutrient (in)sufficiency, and how should these responses be included in models of community and ecosystem response?</li> <li>2. What ecosystem effects might occur beyond the fertilization zone (e.g. through changes in downstream nutrient regimes, changes in flux to deeper ocean communities)?</li> <li>3. How might higher trophic levels (including zooplankton, fish and mammals) respond to enhanced throughput of organic material, due to large-scale and long-term fertilization, and how might such effects influence areas beyond the fertilization zone?</li> </ol>	
	Increase in anoxic or hypoxic regions in mid and deep oceans due to increased respiration during decomposition of additional organic matter		55	<ol style="list-style-type: none"> <li>1. What are the likely rates of biological degradation of the organic matter generated by iron fertilization in deep, cold ocean environments and would the character of the material (e.g. carbon:nitrogen ratio) make a difference to mineralization rates?</li> <li>2. What is the anticipated scale of the impact of substantially increased input of organic matter (and its subsequent decomposition) on mid-water oxygen levels; will existing oxygen minimum zones be expanded or new ones created?</li> <li>3. How might increased volumes of anoxic water directly or indirectly impact higher trophic levels, for example, fish and mammals (e.g. on geographical and depth ranges, migration routes, physiological processes, prey availability and foraging etc.)?</li> </ol>
	Conversion of habitats to large-scale production of biofuel feedstocks			56
4. Biofuels with carbon capture and storage (BECCS)				



	Biodiversity and ecosystem impacts of species used in feedstocks (e.g. introduced fast-growing tree varieties, invasive species etc.)	52	<ol style="list-style-type: none"> <li>1. Can structurally complex, multispecies biofuel plantations be established that have adequate biomass production for economic viability, whilst also providing habitat for native species and other non-biofuel ecosystem services?</li> <li>2. Is the long term net impact on biodiversity and ecosystem services less if a small area of highly productive, high water demanding, agrochemical dependent and potentially invasive biofuel crops is established, relative to the impact of developing a larger area for biofuels, which although less productive, are also less water-demanding, agrochemical dependent and less likely to become invasive?</li> <li>3. Which genetic and agronomic methods could be used to reduce the risk of invasiveness and the need for agrochemicals, whilst increasing productivity and water use efficiency of biofuel crops?</li> </ol>
5. Direct air capture (DAC)	Construction of large air-capturing structures on open areas of land	33	<ol style="list-style-type: none"> <li>1. Which locations could be most suitable for the placement of the DAC structures and what is the profile of the ecosystems and biodiversity that currently exist there? (i.e. are species rare/unique/endemic? How resilient are communities to disturbance?)</li> <li>2. How large will the footprint of the DAC structures be and will they present an influential obstacle in the landscape, causing potential interference to species' feeding, nesting or migratory activity?</li> <li>3. To what degree will habitats be altered and disturbed by the construction and maintenance of direct air capture structures? (e.g. will land need to be cleared? Will permanent access routes be established and frequently used?)</li> </ol>
	Contamination of air 'downstream' of DAC if reactive chemicals used to capture CO <sub>2</sub> evaporate	42	<ol style="list-style-type: none"> <li>1. Will the likely concentration of chemicals in air passing through the DAC structure represent a biologically-significant level to species in surrounding ecosystems?</li> <li>2. How far from direct air capture structures might species be impacted by air contamination effects?</li> <li>3. How will contamination impact species' fitness and the structure of communities in habitats where DAC structures are established?</li> </ol>



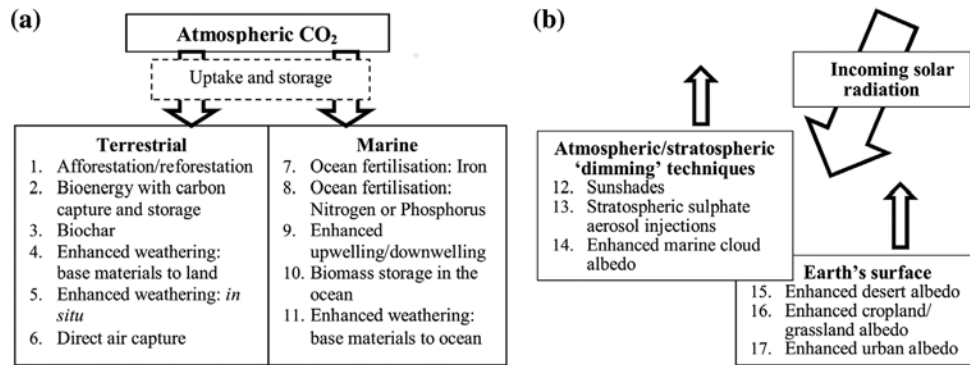


Figure 1. Schematic of climate engineering techniques considered in this review, covering cDr techniques and Srm techniques.

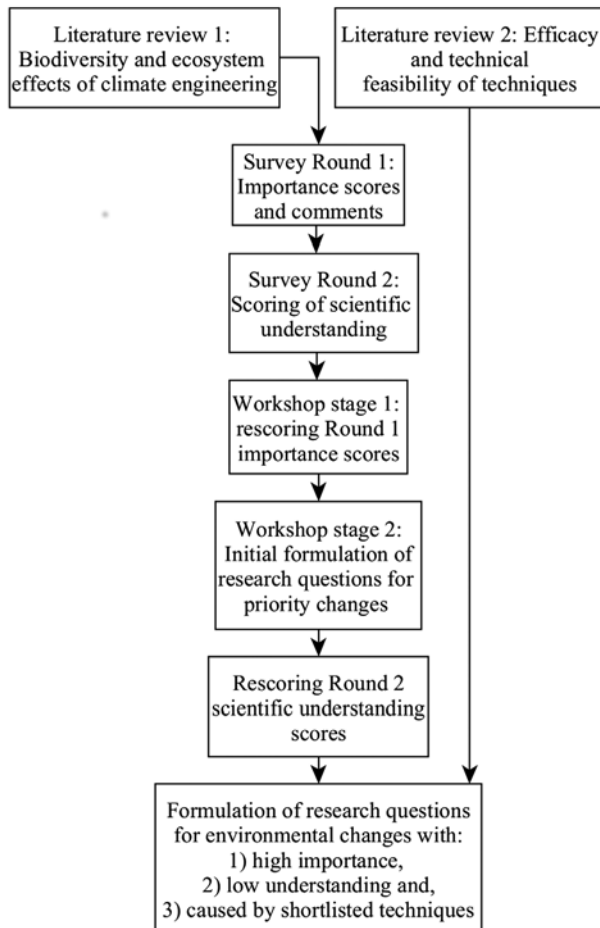


Figure 2. flow diagram of study methodology.