

1 The age, palaeoclimate and palaeoecology of the Brassington Formation (Miocene) of Derbyshire,  
2 UK

3 Matthew J. Pound<sup>1\*</sup>, James B. Riding<sup>2</sup>

4 1. Department of Geography, Northumbria University Newcastle, Newcastle upon Tyne NE1 8ST, UK

5 2. British Geological Survey, Environmental Science Centre, Keyworth, Nottingham NG12 5GG, UK

6 \* Corresponding author: matthew.pound@northumbria.ac.uk

7

8 Abstract

9 The Brassington Formation of Derbyshire and Staffordshire is the most extensive Miocene  
10 sedimentary succession in the UK. Due to its unique position at the margin of northwest Europe, the  
11 pollen from this lithostratigraphical unit provides evidence on the development of vegetation  
12 affected by North Atlantic currents and hypothesised atmospheric circulation changes while the  
13 climate cooled. Palynostratigraphy demonstrates that the uppermost Kenslow Member of the  
14 Brassington Formation is not coeval. The oldest pollen assemblage is from the more southerly Bees  
15 Nest Pit, which represents a subtropical conifer-dominated forest of late Serravallian age (c. 12 Ma).  
16 A younger assemblage was observed from the more northerly Kenslow Top Pit; this indicates that a  
17 subtropical mixed forest was present during the early Tortonian (11.6–9 Ma). The shift from a  
18 conifer-dominated to a mixed forest was related to precipitation. Whilst the total precipitation did  
19 not change between the two assemblages, the younger assemblage had more uniform rainfall  
20 throughout the year. The diachronous nature of the Kenslow Member means that the depositional  
21 model of the Brassington Formation needs revising, and this will have implications for Neogene to  
22 recent uplift of the southern Pennines.

23

24 Introduction (not headed)

25 Miocene sediments are poorly represented in the onshore UK rock record, especially when  
26 compared to extensive deposits of this age in continental Europe (Donders et al. 2009). The sparse  
27 onshore UK deposits include the aeolian/colluvial deposits of the St Agnes Outlier in Cornwall, pipe  
28 fills at Trwyn y Parc in Anglesey and weathering horizons in northeast Scotland (Walsh et al. 1987;  
29 1996; Hall et al. 2015). However, the most geographically extensive and volumetrically abundant  
30 Miocene unit in the UK is the Brassington Formation of Derbyshire and Staffordshire (Boulter et al.

31 1971; Pound & Riding 2015). The Brassington Formation is predominantly a succession of sands,  
32 gravels and clays found in c. 60 karstic cavities distributed in three distinct clusters in the southern  
33 part of the Lower Carboniferous Peak Limestone Group outcrop of the Peak District (Fig. 1). The  
34 larger cavities were extensively quarried for silica sand brickmaking (Yorke 1954; 1961). The  
35 formation is considered to have formed from a continuous, extensive sheet of  
36 alluvial/fluvial/lacustrine sediment which blanketed much of the East Midlands during the Neogene  
37 (Walsh et al. 1980, fig. 15). Boulter & Chaloner (1970), Boulter (1971a;b) and Walsh et al. (1996)  
38 assigned the Brassington Formation to the Late Miocene to Early Pliocene based on palaeobotany.  
39 This age assessment was recently refined to Late Miocene (late Tortonian) based on  
40 palynostratigraphy (Pound et al. 2012a). The formation is located close to the anticlinal crest of the  
41 Pennine Hills, and hence the age of the Brassington Formation has been used to estimate uplift rates  
42 (Westaway 2009; 2012; Banks et al. 2012). This paper provides new palynological data from the two  
43 principal outcrops in both main Brassington Formation clusters which helps elucidate both the age,  
44 lithostratigraphy and palaeoenvironment of this important unit, and the geomorphology of the  
45 English Midlands.

46

#### 47 **Geological background and setting**

48 The Brassington Formation is, by a considerable margin, the most important Miocene  
49 lithostratigraphical unit throughout onshore UK. It is up to 70 m in thickness, and found in steep-  
50 sided karst solution cavities in Derbyshire and Staffordshire (Fig. 1). It outcrops in a narrow, c. 20 km  
51 long NW-SW zone of the Peak Limestone Group massif (Ford & King 1969). This zone can be divided  
52 into three clusters of cavities. These are the Friden cluster in the north, the central Brassington  
53 cluster and the southernmost Weaver Hills cluster. The latter is in undolomitised limestone,  
54 whereas the former two clusters are largely in highly porous, intensely dolomitised limestone (Fig.  
55 1). These infills of collapsed heterolithic siliciclastic material exhibit different architectures, but are  
56 largely synclinal (Ijtaba 1973). The formation was formalised by Boulter et al. (1971), who erected  
57 three members; these are the Kirkham, Bees Nest and Kenslow members in ascending  
58 stratigraphical order. The lowermost Kirkham Member is a thick (c. 55 m) succession of white and  
59 red unconsolidated sand, which is largely massive and sporadically pebbly and clay-rich. White sand  
60 overwhelmingly predominates over red, and this may represent bleaching. The overlying Bees Nest  
61 Member is 5–10 m of unfossiliferous varicoloured, predominantly red, sandy clays. The uppermost  
62 unit is the Kenslow Member, which is up to c. 5 m of fossiliferous grey clay. The fossil content  
63 entirely comprises plant remains, largely poorly-sorted wood fragments with some cones, fruits,

64 leaves, pollen, seeds, spores and stems (Boulter & Chaloner 1970). The three members constitute a  
65 fining-upwards succession which appears to be stratigraphically coherent (Walsh et al. 1980; Pound  
66 et al. 2012a).

67 The genesis of the Brassington Formation has proved controversial. The current consensus  
68 postulates that it largely represents weathering products from Triassic strata, and was first  
69 deposited by alluvial, fluvial and lacustrine processes over the majority of the southern part of the  
70 White Peak of Derbyshire and Staffordshire. These sand-rich sediments overlaid the Lower  
71 Carboniferous (Tournasian to Visean) Peak Limestone Group and the lowermost Bowland Shale  
72 Formation (Serpukovian) (Walsh et al. 1980; Pound et al. 2012a). Small portions of the Brassington  
73 Formation serendipitously slumped post-depositionally into the karst cavities, hence protecting  
74 them from glacial erosion during the Quaternary (Walsh et al. 1972).

75 The Kirkham Member is composed of siliciclastic sheets of sands with clay and pebbles;  
76 these represent erosion products from the retreating sandstone escarpments of the Triassic  
77 Sherwood Sandstone Group. It was deposited northwards onto Carboniferous strata (Walsh et al.  
78 1980). The overlying Bees Nest and Kenslow members represent low energy aquatic or lacustrine  
79 and shallow lacustrine/swampy settings respectively. The Triassic escarpments have now retreated  
80 but, because the Kirkham Member karst-fills are relatively uniform, the Sherwood Sandstone Group  
81 clearly formed an essentially continuous sheet over most of the southern part of the Peak District. In  
82 some of the cavities, the lowermost sediments (particularly those close to the cavity walls) are  
83 coarse chert gravels derived from the Peak Limestone Group and/or blocks of Bowland Shale  
84 Formation. The chert gravel clearly is an insoluble residue derived from weathering of the Peak  
85 Limestone Group. By contrast, the shale blocks are from the former cover of the Bowland Shale  
86 Formation, some of which exhibit evidence of intensive weathering.

87 The Brassington Formation today is c. 300–360 m above sea level (asl). During Miocene  
88 times, the region was 150–250 m lower in order for Triassic erosion products to be deposited.  
89 Analysis of palaeocurrents in the Brassington cluster indicate a southerly provenance (Walsh et al.  
90 1980). It follows therefore that the southern Pennines have been subjected to significant uplift since  
91 the deposition of the Kenslow Member (Walsh et al. 1980). Based on the Tortonian age model of  
92 Pound et al. (2012a), and assuming that deposition was at or near sea level, a continuous uplift rate  
93 for this region is 0.03–0.06 mm a<sup>-1</sup>. This is significantly lower than 0.1–0.13 mm a<sup>-1</sup> suggested by  
94 Westaway (2009).

95

96 **Materials and Methods**

97 Bees Nest Pit is located immediately south of Manystones Lane between Brassington and  
98 Wirksworth; Kenslow Top Pit is to the east of Rake Lane, near Friden (Fig. 1; 2; Table 1). Two samples  
99 were taken from the grey lignitic clay from the top of the type section of the Brassington Formation  
100 at Bees Nest Pit (Fig. 2) (Boulter et al. 1971; Walsh et al. 1972). The grey lignitic clay is from the top  
101 of the Kenslow Member; this overlies 5 m of the varicoloured clays of the Bees Nest Member and  
102 c.35 m of the white silica sands of the Kirkham Member (Walsh et al., 1980). A further sample of  
103 grey clay was taken from a crack located in a large piece of fossil wood donated to the British  
104 Geological Survey (Fig. 3; BGS fossil specimen number GSM 76976). The wood fragment came from  
105 the Kenslow Member at the west end of Kenslow Top Pit (Fig. 2) (Walsh et al., 1980) and was  
106 illustrated by Yorke (1961, fig. 62). The two samples from Bees Nest Pit (Table 1: KM-1a; b) and the  
107 grey clay from the Kenslow Top Pit wood fragment (Table 1: KM-2) were processed for  
108 palynomorphs. One sample from Bees Nest Pit (KM-1a) and KM-2 were processed through the  
109 standard acid digestion method (e.g. Wood et al. 1996). The other sample from Bees Nest Pit (KM-  
110 1b) was processed using the sodium hexametaphosphate technique of Riding and Kyffin-Hughes  
111 (2004; 2006). Pollen residues were mounted in elvacite and examined under a transmitted light  
112 microscope. Pollen and spore identification principally used Boulter (1971a), Stuchlik et al. (2001;  
113 2002; 2009; 2014), Beug (2004) and the pollen reference collection at Northumbria University. To  
114 reconstruct palaeoclimate parameters, the Co-existence Approach (CA) was used with the NECLIME  
115 Palaeoflora database (Mosbrugger & Utescher, 1997; Utescher & Mosbrugger, 2010; Utescher et al.,  
116 2014). Modern relic taxa such as *Cathaya* and *Sciadopitys* had a much wider geographical  
117 distribution during the Cenozoic (Liu & Bassinger, 2000). This has the potential to bias the CA results;  
118 therefore the proposals of Utescher et al. (2014) for these relic taxa were followed. Using the  
119 overlapping envelopes of a pollen assemblages Nearest Living Relatives it has been possible to  
120 reconstruct seven parameters. These are: Mean Annual Temperature (MAT); Coldest Month Mean  
121 Temperature (CMMT); Warmest Month Mean Temperature (WMMT); Mean Annual Precipitation  
122 (MAP); Wettest Month Mean Precipitation (MPwet); Driest Month Mean Precipitation (MPdry); and  
123 Warmest Month Mean Precipitation (MPwarm).

124

125 **Results**

126 **Palynology**

127 The palynological results are presented herein in Table 2 and Figs. 4 and 5. The palynoflora from the  
128 grey lignitic Kenslow Member clay at Bees Nest Pit (KM-1) is dominated by gymnosperm taxa  
129 (86.2%), with angiosperms and spores being minor components of the assemblage (6.6% and 0.8%  
130 respectively); 6.4% of pollen grains proved indeterminate (Fig. 4). Both the acid (KM-1a) and non-  
131 acid processing technique (KM-1b) yielded the same palynoflora from Bees Nest Pit, and as such the  
132 pollen assemblage will be described here as a single assemblage (KM-1) (Fig. 4). *Pinus* dominates the  
133 flora (38.6%) with *Picea* (13.2%), *Sciadopitys* (10.4%) and *Tsuga* (9.9%) all being major components  
134 of the palynomorph assemblage (Fig. 4). Other gymnosperm pollen recorded includes Cupressaceae  
135 (4.7%), *Cathaya* (4.1%), *Cedrus* (4.3%) and *Keteleeria* (0.9%) (Fig. 4). The most abundant angiosperm  
136 pollen in the grey lignitic clay is of *Ilex* type (*Ilexpollenites iliacus*) (2.1%), *Carya* (1.6%) and *Quercus*  
137 type (*Quercoidites microhenrici*) (Fig. 4). Other angiosperm pollen present include *Alnus*, cf. *Asperula*  
138 (Rubiaceae), *Betula*, Ericaceae (both *Ericipites baculatus* and *E. callidus* are present), Poaceae and cf.  
139 *Rhamnus* in low percentages (<1%) (Fig. 4). Spores are rare in the grey lignitic clay with the most  
140 abundant (0.5%) being those of the Lygodiaceae (*Leiotriletes wolffii*). Single specimens of  
141 *Lycopodium* and Polypodiaceae spores were also recorded (Fig. 4).

142 The Kenslow Top Pit wood fragment (KM-2) also derives from the lignitic clay of the Kenslow  
143 Member. The palynoflora from clay adhering to this wood fragment is dominated by gymnosperm  
144 taxa (50.1%). However, in KM-2, the angiosperms (33.8%) and spores (7.8%) are more numerous  
145 than in KM-1, whilst 8.3% of pollen grains were indeterminate (Table 2; Fig. 4). The dominant taxa  
146 are Ericaceae (25.2%), *Pinus* (20.4%), *Tsuga* (9.3%) and *Picea* (7.1%) (Fig. 4). The Ericaceae are  
147 represented by *Ericipites ericius*, *E. costatus*, Ericaceae indeterminate (3.3%) and *E. baculatus* (3.0%)  
148 (Fig. 4). The gymnosperms are also represented by pollen of Cupressaceae (4.5%), *Cedrus* (3.8%),  
149 *Cathaya* (2.8%), *Keteleeria* (1.3%) and *Sciadopitys* (1.0%) (Fig. 4). Angiosperm pollen, other than  
150 Ericaceae, are more diverse in KM-2 than in KM-1, but do not occur in high proportions (Fig. 4). Most  
151 abundant, after Ericaceae, are pollen of Poaceae (2.0%), *Corylopsis* (1.3%) and *Corylus* (1.0%). *Alnus*,  
152 *Compositoipollenites rizophorous*, *Ilexpollenites iliacus*, *I. margaritatus*, *Juglans*, *Liquidambar*,  
153 *Quercoidites microhenrici*, *Symplocoipollenites vestibulum*, *Symplocospollenites rotundus* and *Ulmus*  
154 all occur as less than 1% of the assemblage (Fig. 4). Spores of Bryophytes, Lycopodiophytes and  
155 Pteridophytes are also more diverse KM-2 than in KM-1 (Fig. 4). *Triplanosporites sinuous* (4.3%) is  
156 the most common, followed by spores of *Sphagnum* (1.5%), *Leiotriletes wolffi* (1.3%) and  
157 *Lycopodium* (<1%) (Fig. 4).

158

159 **Palaeoclimate**

160 The flora from KM-1 represents a subtropical, seasonally wet climate with a MAT of 17–18.4 °C (Fig.  
161 6). The WMMT was reconstructed as 26.5–28.3 °C, and the CMMT as 6.2–12.5 °C (Fig. 6). Using the  
162 co-existence approach, the MAP of the flora from KM-1 was reconstructed as 1146–1322 mm yr<sup>-1</sup>  
163 (Fig. 6). Precipitation was not evenly distributed throughout the year; MPwet was 225–293 mm,  
164 MPdry was 8–32 mm and MPwarm was reconstructed as being close to the MPwet 175–217 mm  
165 (Fig. 6). The palynoflora from KM-2 also represents a subtropical, seasonally wet climate with a MAT  
166 of 17–18.4 °C (Fig. 6). The reconstructed WMMT was 26.5–28.3 °C and the CMMT was 6.2–6.7 °C (Fig.  
167 6). MAP was 1096–1562 mm yr<sup>-1</sup> with a pronounced seasonality; the MPwet was 216–293 mm and  
168 MPdry was 7–41 mm. The warmest month was neither the driest nor the wettest month because  
169 MPwarm was 173–175 mm (Fig. 6).

170

### 171 **Palynostratigraphy**

172 The age of the uppermost Kenslow Member of the Brassington Formation was originally defined as  
173 Late Miocene–Early Pliocene, based on the pollen floras from the plant-bearing grey clay at Bees  
174 Nest and Kenslow Top pits (Fig. 7) (Boulter, 1971a; 1971b; Boulter et al., 1971). This was  
175 subsequently revised to Late Miocene, most likely late Tortonian (9–7 Ma), by Pound et al. (2012a)  
176 using a previously undescribed flora from a mottled grey-brown clay from the east end of Kenslow  
177 Top Pit (Table 1; 2: KM-3). This age assignment came from the presence of *Quercoidites microhenrici*  
178 and Symplocaceae pollen, together with sparse *Carya* and the absence of Arecaceae pollen (Pound  
179 et al., 2012a). However, the pollen floras of KM-1 from the Bees Nest Pit Kenslow Member and KM-2  
180 from the Kenslow Member of the west end of Kenslow Top Pit are significantly different to the KM-3  
181 flora reported by Pound et al. (2012a).

182 The higher occurrence of *Carya* in the KM-1 suggests that this occurrence of the Kenslow Member is  
183 older than both KM-2 and KM-3 (Fig. 4; 7). *Carya* is a typical component of Middle Miocene floras of  
184 northwest Europe (Donders et al., 2009; Larsson et al., 2011). However, many Middle Miocene  
185 samples have higher pollen diversities than either of the samples reported here; often with  
186 abundant thermophilic elements, corresponding to the Mid-Miocene Climatic Optimum (MMCO)  
187 (Nagy, 1990; Jiménez-Moreno et al., 2005; Larsson et al., 2011). Whilst KM-1 contains one taxon  
188 considered to be a thermophilic Rubiaceae (Jiménez-Moreno, 2006), it does not contain members of  
189 the Arecaceae or other megathermic or thermophilic taxa typical of MMCO European pollen  
190 assemblages (Jiménez-Moreno, 2006; Uhl et al., 2006; Jiménez-Moreno & Suc, 2007). It is therefore  
191 considered to be younger than the MMCO (17–14.5Ma), but older than the late Tortonian (9–7.25

192 Ma) KM-3 flora reported in Pound et al. (2012a). The KM-1 flora from the Bees Nest Pit Kenslow  
193 Member is low-diversity, which makes it difficult to directly compare to samples from continental  
194 Europe (which typically have higher diversities). However, a high proportion of Pinaceae pollen  
195 (71.13%) and *Carya* (the second most abundant angiosperm pollen) makes it comparable to the  
196 Serravallian (13.65–11.61 Ma of Austria (Jiménez-Moreno et al., 2008), Denmark (Larsson et al.,  
197 2011) and France (Gardère & Pais, 2007). The relatively high-proportion of *Sciadopitys* (Fig. 4) may  
198 correspond to the second *Sciadopitys* maximum in the successions of the Lower Rhine District which  
199 is in the upper Serravallian Garzweiler Seam (Zagwijn & Hager, 1987; Utescher et al., 2012).

200 The key pollen taxa used to date the KM-3 flora of Pound et al. (2012a) are present in the KM-2  
201 sample from the Kenslow Top Pit wood fragment (Fig. 3; Table 1; 2), and therefore this sample can  
202 also be attributed to the Late Miocene (Fig. 7). Proportional comparison with continental European  
203 sites is difficult because, although many show increasing-amounts or peaks in Ericaceae pollen, it  
204 was not a dominant element (Gardère & Pais, 2007; Worobiec, 2009; Larsson et al., 2011) until the  
205 Taxodiaceae-Ericaceae coastal Atlantic forests of the Early Pliocene (Fauquette et al., 2007).  
206 However, an Early Pliocene age is precluded due to the presence of pollen types indicative of the  
207 Late Miocene (Donders et al., 2009; Ivanov et al., 2010; Pound et al., 2012a). *Triplanosporites*  
208 *sinuosus* is the most common spore in the assemblage, and is not known after the Middle Miocene  
209 in Poland (Stuchlik et al., 2001). The differences with the KM-3 assemblage reported in Pound et al.  
210 (2012a) are difficult to attribute to geographical differences, because the two samples come from  
211 the same karstic depression, and are only c. 200 m from each other (Fig. 2). Therefore the  
212 proportional differences (Fig. 4; Table 2) are likely to stem from some temporal difference. As KM-2  
213 contains *Cathaya* sp. and shares lithological characteristics with the Kenslow Member at Bees Nest  
214 Pit (Boulter et al., 1971; Walsh et al., 1980), it is likely to be temporally intermediate between the  
215 palynologically younger and (?)stratigraphically higher KM-3 sample of Pound et al. (2012a) and the  
216 older, stratigraphically comparable Bees Nest Pit Kenslow Member. It is therefore proposed to be  
217 early Tortonian in age (11.61–9 Ma), possibly late Serravallian if *Triplanosporites sinuosus* can be  
218 proven to be stratigraphically important (Fig. 7). The pollen and spore assemblages of the Kenslow  
219 Member therefore suggest variable deposition times between the lithologically comparable fossil  
220 wood-bearing grey clays (Kenslow Member of Bees Nest Pit (KM-1) and the Kenslow Member of  
221 Kenslow Top Pit (KM-2)) and the stratigraphically higher mottled clay (KM-3) described by Pound et  
222 al. (2012a) (Fig. 7;8).

223

224 **Palaeoecology**

225 The Kenslow Member has preserved a diverse palynomorph assemblage that indicates the presence  
226 of different vegetation types during the Middle to Late Miocene from the western margin of  
227 northwest Europe. All three assemblages are dominated by pollen from trees and shrubs,  
228 demonstrating the presence of a forest type biome during Kenslow Member times (Pound et al.,  
229 2012a; b). However, there is a decrease in the proportion of trees and shrubs from the oldest  
230 assemblage (KM-1) to KM-3, the youngest association (Fig. 5); this trend was previously reported in  
231 Pound et al. (2012b). This decrease in trees and shrubs is matched by an increase in the proportion  
232 of herbaceous and understory taxa (Fig. 5), which was a common trend across Europe during the late  
233 Neogene (e.g. Larsson et al., 2011). Fern spores are most dominant in KM-2 (considered to be  
234 stratigraphically and temporally in the middle of the Kenslow Member), whilst the youngest KM-3  
235 sample contains the highest proportion of *Sphagnum*, and represents a warm-temperate mixed  
236 forest (Pound et al., 2012a).

237 The oldest KM-1 palynomorph assemblage, from the Kenslow Member at Bees Nest Pit is dominated  
238 by conifers, with a low proportions of angiosperms and ferns (Fig. 4). *Pinus* dominates the  
239 assemblage, but this genus is commonly over-represented in palynomorph assemblages, whereas  
240 other gymnosperm taxa may be under-represented (Webb & McAndrews, 1976; Pound et al., 2015).  
241 *Sciadopitys* comprises 10.4% of the assemblage and today is represented by a single species. This is  
242 *Sciadopitys verticillata* which inhabits temperate regions of Japan between the altitudes of 300 and  
243 1500 m, although its natural range has been greatly modified by anthropogenic activity (Tsukada,  
244 1963). During the Neogene it was a common component of the peat-forming swamp community  
245 (Figueiral et al., 1999; Ivanov et al., 2007) and is found abundantly in the post-glacial bogs of Japan  
246 (Tsukada, 1963). It is also considered to be a component of the Miocene well-drained lowland  
247 conifer forests in association with *Cathaya*, *Cedrus*, *Pinus*, *Sequoia* and *Tsuga* (Larsson et al., 2011).  
248 The Kenslow Member sediment which yielded the Bees Nest Pit pollen assemblage also contains  
249 large fragments of wood up to 1 m long (Fig. 3). This wood has previously been identified as *Sequoia*  
250 by Yorke (1954), and reassessed as *Cryptomeria anglica* by Boulter (1969), Boulter & Chaloner (1970)  
251 and Boulter (1971). Pollen identified and counted as Cupressaceae in this study includes pollen that  
252 is attributable to *Cryptomeria* type (Boulter, 1971). Today this genus is monotypic and inhabits  
253 humid warm-temperate to cool-temperate areas of China and Japan (Tsukada, 1982). At the  
254 southern limit of its distribution on Yakushima Island, Japan (30.5°N, 130.5°E), *Cryptomeria japonica*  
255 co-dominates forests with *Tsuga sieboldii* and *Abies firma* from altitudes of 800 to 1800 m, in a  
256 subtropical humid climate (Suzuki and Tsukahara, 1987). A subtropical humid climate is also  
257 reconstructed from the Co-existence Approach results (Fig. 6). A MAT of 17–18.4°C, with mild  
258 winters of >6.2°C and hot summers of 26.5–28.3°C (Fig. 6) is envisaged. Precipitation was high, but



259 seasonally distributed with the wettest month receiving 225–293 mm, and the driest month as little  
260 as 8 mm (Fig. 6). As the reconstructed range for the warmest month is 175–217 mm, it may be that  
261 the wettest period was late spring to early summer, which is comparable to the modern forests of  
262 *Cryptomeria japonica* on Yakushima Island (Suzuki & Tsukahara, 1987). A subtropical forest  
263 environment is supported by the dominant angiosperms in the assemblage such as *Carya*, Ericaceae,  
264 *Ilex* and evergreen *Quercus* (Fig. 4; Table 2). These are typical indicators of the Miocene  
265 mesothermic forests. The dominance of conifer pollen and wood in the Kenslow Member of Bees  
266 Nest Pit indicates that a warm-temperate, predominantly needleleaf, forest was growing in Britain  
267 during the late Serravallian.

268 The KM-2 palynomorph assemblage contains a high proportion of Ericaceae grains (Fig. 4). Boulter  
269 (1971) originally interpreted this as reflecting a heathland that would have inhabited surrounding  
270 uplands. However, the Neogene warm-temperate forests of Europe would have had an extensive  
271 shrub layer, and the Ericaceae have been frequently assigned to this ecological group (Ivanov et al.,  
272 2007; Larsson et al., 2011). Several of the Ericaceae grains in the Kenslow Top Pit wood fragment  
273 (*Ericipites callidus*, *E. costatus* and *E. ericius*) have affinities with the genus *Vaccinium* (Table 2).  
274 Modern species of this genus can achieve prodigious sizes, for example *Vaccinium arboreum* forms a  
275 medium to large shrub (up to 10 m high) in the mesophytic forests of Florida and Louisiana (Wenslaff  
276 & Lyrene, 2003). In the southern mixed hardwood forests of North America, *Vaccinium arboreum* is  
277 structurally important to the understory of both the transitional forests between the early pine-  
278 dominated stands and the near-climax pine-hardwood forests (Quarterman & Keever, 1962). Aside  
279 from the dominance of Ericaceae pollen, KM-2 also contains a greater number of angiosperm taxa  
280 than KM-1 (Fig. 4; Table 2). The presence of *Juglans* sp., *Liquidambar* sp. and *Symplocos* spp. shows a  
281 strong affinity with continental European warm-temperate mixed forests during the Serravallian and  
282 Tortonian (Ivanov et al., 2007; Larsson et al., 2011; Szulc & Worobiec, 2012). The wood fragment  
283 that the pollen assemblage was extracted from is *Cryptomeria anglica*, thereby demonstrating that  
284 these trees were members of the forest community that surrounded the depositional setting. This  
285 similarity with the Bees Nest Pit is also seen in the diversity of gymnosperm taxa, and the high  
286 proportion of *Pinus* sp. and *Tsuga* sp. (Fig. 4; Table 2). Climatically, the Co-existence Approach  
287 reconstruction shows that only the warmest month precipitation was likely to have been different  
288 from that reconstructed by the Bees Nest Pit pollen assemblage (Fig. 6). However, the high  
289 angiosperm proportions and the spore content (Fig. 5) shows that the flora is distinctly different. The  
290 spore assemblage is dominated by *Triplanosporites sinuosus* that has no confirmed modern relative.  
291 However, Chateauneuf (1980) compared the genus to members of the family Lygodiaceae, whilst  
292 Stuchlik et al. (2001) demonstrated morphological similarities with some members of the genus

293 Cyathea. Today, species of Cyatheaceae and Lygodiaceae are found in tropical, sub-tropical and  
294 temperate zones, and have been assigned to the understory component of swampy or riparian  
295 forests during the Miocene (Kayseri-Özer et al., 2014). The entire flora from KM-2 reconstructs a  
296 warm-temperate mixed forest with an extensive understory component (Fig. 4).

297

## 298 **Discussion**

299

### 300 **Multiple Miocene ages for the Kenslow Member**

301 Based on the palynostratigraphy of the Kenslow Member samples from Bees Nest Pit (KM-1) and the  
302 wood fragment from Kenslow Top Pit (KM-2), the Kenslow Member was deposited diachronously  
303 (Fig. 7; 8). Furthermore, the KM-3 assemblage from the east side of Kenslow Top Pit reported by  
304 Pound et al. (2012a) is younger than both the Kenslow Member at Bees Nest Pit and the Kenslow  
305 Member at the west end of Kenslow Top Pit. This implies that this youngest pollen and spore  
306 assemblage was deposited in an unidentified lithological unit of the Brassington Formation at  
307 Kenslow Top Pit that may be equivalent to the uppermost clays of the Kenslow Member reported  
308 from Kirkhams Pit by Walsh et al. (1980). These diachronous ages for the Kenslow Member may be  
309 explained in several ways. Palaeocurrent indicators from the sands of the Kirkham Member at Bees  
310 Nest Pit indicate that sediment was supplied from the south (Walsh et al., 1980). Similarly,  
311 palaeocurrent proxies for the more northern Friden cluster of pits mainly show sediment being  
312 transported from the south, but some material is of western and northern provenance (Walsh et al.,  
313 1980). As most palaeocurrent proxies indicate a sediment source from the south, the Miocene  
314 depocentre of the southern Pennines may have slowly shifted north, thus accounting for the  
315 younger age of the Kenslow Member at the more northerly Kenslow Top Pit. An alternative  
316 hypothesis posits uniform deposition ages for the Kirkham Member, and possibly the Bees Nest  
317 Member, followed by asynchronous subsidence of the various pockets, and deposition of the  
318 Kenslow Member into these hollows (Pound et al., 2012a). This diachronous subsidence and  
319 deposition of the Kenslow Member would be comparable to the processes that formed the karstic  
320 fill of the Gray Fossil Sites of Tennessee, USA (Zobaa et al., 2011).

321 The new palynostratigraphical age assessments for the Kenslow Member of the Brassington  
322 Formation provide evidence for a poorly-known part of the onshore UK geological record and have  
323 implications for the uplift of the Pennines (Walsh et al., 1972; Westaway, 2009; Pound et al., 2012a).  
324 Pennine uplift has been estimated on the assumption that the Brassington Formation was deposited

325 at or near sea-level and subsequently foundered into the karstic hollows (Walsh et al., 1972;  
326 Westaway, 2009). Calculating a time-averaged uplift rate has been a relatively simple matter of  
327 dividing the degree of uplift by the age of the Kenslow Member (Westaway, 2009; Pound et al.,  
328 2012a). In Westaway (2009), the age of the Kenslow Member was taken as Early Pliocene, giving an  
329 uplift rate of 0.10–0.13 mm yr<sup>-1</sup>, based on an uplift of 300 m from the Brassington Formation at sea-  
330 level to the present day topography. Subsequently Pound et al. (2012a) demonstrated that the  
331 Kenslow Member is late Tortonian in age, and therefore a time-averaged uplift rate of 0.03–0.06 mm  
332 yr<sup>-1</sup> was determined. The multiple ages for the Kenslow Member (Fig. 7; 8) mean that the estimate of  
333 Pound et al. (2012a) is the most rapid time-averaged estimate possible. Moreover, the time-  
334 averaged uplift rates depend on the Brassington Formation being entirely deposited before  
335 karstification took place (Westaway, 2009). If, the karstic hollows formed asynchronously during  
336 Brassington Formation deposition, with each Kenslow Member then forming in the hollow, then the  
337 use of this unit to determine Pennine uplift requires further research.

338

### 339 **Miocene climates and vegetation**

340 The palynological data and interpretations on the Brassington Formation material studied herein  
341 provides evidence for an important interval in geological time. The Miocene was an interval that was  
342 both warmer and wetter than the present day (Utescher et al., 2011; Pound et al., 2012b; Denk et  
343 al., 2013; Quaijtaal et al., 2014). These warmer than present day climates peaked during the Middle  
344 Miocene Climatic Optimum (MMCO) between 17 and 14.5 Ma. Then, the global climate cooled  
345 steadily with six Miocene isotope events (Mi-events) indicating that there was a step-like pattern to  
346 the cooling (Quaijtaal et al., 2014). The regions immediately adjacent to the North Atlantic do,  
347 however, show a more muted response to the Miocene cooling than more continental regions due  
348 to the influence of the North Atlantic Thermohaline Circulation (NATC) (Pound et al., 2012b; Denk et  
349 al., 2013; Utescher et al., 2015). During the Miocene, the British Isles formed a peninsula separating  
350 the Atlantic Ocean from the North Sea (Harzhauser & Piller, 2007). This unique location on the  
351 northwest edge of the Eurasian continent, separating the Atlantic Ocean from the North Sea, makes  
352 the albeit sparse Miocene sediments of the UK ideal for understanding the development of Neogene  
353 oceanic climates.

354 The Brassington Formation has now revealed three windows into this interval of global climatic  
355 cooling (Figs. 4; 6; 7). The oldest sample (KM-1) from the Kenslow Member at Bees Nest Pit shows  
356 that a subtropical, seasonally wet, conifer-dominated forest inhabited the outer margin of northwest

357 Europe at around 12 Ma (Figs. 4; 6). Periods of proportionally higher conifer concentrations have  
358 been identified in the Serravallian of the Porcupine Basin, offshore southwest Ireland (Quaijtaal et  
359 al., 2014; 2015). The middle to late Serravallian has also been identified as a warm and dry interval in  
360 the Roer Valley Graben in the southeast of the Netherlands (Donders et al., 2009). The latest  
361 Serravallian in Portugal and central Europe has also been identified as an interval of relatively low  
362 precipitation (Antunes & Pais, 1984; Böhme et al., 2008). Following this, there were then two  
363 “washhouse” intervals at c. 10 Ma and 9–8 Ma in Europe associated with tectonic changes in Central  
364 America (Böhme et al., 2008). However, the MAP reconstructed from the three Brassington  
365 Formation floras is significantly higher than estimates for the Iberian Peninsula and central Europe  
366 based on herpetological data (Böhme et al., 2008). This supports the small-mammal based  
367 reconstructions of van Dam (2006), that the modern west–east precipitation gradient was already  
368 well-established during the late Middle Miocene. Changes in the MPwarm of the flora preserved in  
369 the Kenslow Member may reflect the hypothesised shifting of atmospheric circulation between the  
370 Serravallian and the Tortonian (Quan et al., 2014).

371 Comparing the new temperature reconstructions for the Kenslow Member flora herein with those of  
372 continental Europe shows comparable MATs to the records from the Netherlands (Donders et al.,  
373 2009). However warmer MATs and CMMTs have been reconstructed for leaf floras from the  
374 Weissenlocher and Molasse basins (northeast and southern Germany, respectively), which may have  
375 had a colder climate that was less influenced by the NATC (Mosbrugger et al., 2005). The WMMT of  
376 both the Tortonian Kenslow Top Pit floras are higher than other northern European localities that  
377 bordered the then isolated North Sea (Quan et al., 2014). This shows that, despite a globally warmer  
378 climate, the NATC had a warming effect on the terrestrial realms bordering the North Atlantic (Denk  
379 et al., 2013). The warmer than present MATs reconstructed for the Tortonian Kenslow Top Pit floras  
380 (Fig. 6) are inconsistent with climate model results for this region, which either show cooler than  
381 modern temperatures or no change (Knorr et al., 2011; Micheels et al., 2011). Some of this data–  
382 model disparity may stem from the manner that vegetation and land-surfaces are treated in each  
383 model (Knorr et al., 2011; Micheels et al., 2011; Pound et al., 2011). However, neither of the two  
384 floras reported for the Tortonian of the Brassington Formation are inconsistent with the current  
385 global vegetation reconstruction (Pound et al., 2011). The way vegetation is represented in climate  
386 models may be causing at least some of this mismatch. The Miocene forests of northwest Europe  
387 would have had considerable stature; modern old-stand *Cryptomeria japonica* attain heights of up to  
388 65 m and *Scidopitys verticillata* can reach 45 m. Both these species can live for thousands of years  
389 (Tsukada, 1963; 1982; Suzuki & Tsukahara, 1987). This situation has implications for the accurate  
390 simulation of carbon cycling, evapotranspiration and surface roughness (Cox, 2001; Essery et al.,

391 2001) in Miocene climate modelling studies. Most vegetation and land surface scheme models use  
392 parameters defined from common modern trees, rather than those that were typical of the  
393 Neogene. For example within the widely used Meteorological Office Surface Exchange Scheme  
394 (MOSES), evergreen needleleaf trees are assigned a fixed height of only 10 m (Hough & Jones, 1997;  
395 Cox et al., 1999; Pound et al., 2011). Correct boundary conditions, however small, can have  
396 important regional impacts (Krinner et al., 2012; Pound et al., 2014). Therefore it is important for  
397 palaeoclimate modelling studies to work closely with the palaeontological data community to  
398 develop realistic simulations of past climates.

399

#### 400 Conclusions

401 From two new palynomorph assemblages, the age of the Kenslow Member of the Brassington  
402 Formation is refined, and deposition is shown to be diachronous. This new evidence changes our  
403 understanding of the Brassington Formation, and makes a sedimentological restudy essential. The  
404 oldest flora is from the late Serravallian, and was produced by a subtropical, seasonally wet conifer-  
405 dominated forest. Sediments of the Serravallian were previously unknown from onshore UK. The  
406 second new flora is slightly younger (early Tortonian), and represents a subtropical mixed forest with  
407 a high proportion of representatives of the Ericaceae. When combined with the palynoflora of  
408 Pound et al. (2012a), the late-Middle–Late Miocene record of the UK demonstrates a general cooling  
409 trend comparable to the more complete continental records. However, the floras from the  
410 Brassington Formation provide a unique view on the development of European precipitation  
411 records, and may support the hypothesised regional shift in wind directions from the Serravallian  
412 into the Tortonian by Quan et al. (2014).

413

#### 414 Acknowledgements

415 This research was made possible with a William George Fearnside's Fund grant awarded by the  
416 Geological Society in 2013. We are grateful to Jane Spencer of Natural England for facilitating access  
417 to Bees Nest Pit. The owner of Kenslow Top Pit, Janet Renwick, kindly granted the authors access to  
418 the quarry on several occasions. James B. Riding publishes with the approval of the Executive  
419 Director, British Geological Survey (NERC). We thank xxx and yyy for their helpful reviews.

420

#### 421 References

- 422 Antunes, M.T., Pais, J., 1984. Climate during the Miocene in Portugal and its evolution. *Paléobiologie*  
423 *Continentale* 14, 75-89.
- 424 Banks, V.J., Jones, P.F., Lowe, D.J., Lee, J.R., Rushton, J., Ellis, M.A., 2012. Reply to comment by Rob  
425 Westaway on "Review of tufa deposition and palaeohydrological conditions in the White Peak,  
426 Derbyshire, UK: implications for Quaternary landscape evolution.". *Proceedings of the Geologists'*  
427 *Association* 123, 789-790.
- 428 Beug, H.-J., 2004. *Leitfaden der pollenbestimmung für Mitteleuropa und angrenzende Gebiete*.  
429 Verlag Dr. Friedrich Pfeil, München. p. 542.
- 430 Böhme, M., Ilg, A., Winklhofer, M., 2008. Late Miocene "washhouse" climate in Europe. *Earth and*  
431 *Planetary Science Letters* 275, 393-401.
- 432 Boulter, M.C., 1969. *Cryptomeria*— a significant component of the European Tertiary.  
433 *Paläontologische Abhandlungen B* 3, 279-287.
- 434 Boulter, M.C., 1971a. A palynological study of two of the Neogene plant beds in Derbyshire. *Bulletin*  
435 *of the British Museum (Natural History): Geology* 19, 359-410.
- 436 Boulter, M.C., 1971b. A survey of the Neogene flora from two Derbyshire pocket deposits. *The*  
437 *Mercian Geologist* 4, 45-61.
- 438 Boulter, M.C., Chaloner, W.G., 1970. Neogene fossil plants from Derbyshire (England). *Review of*  
439 *Palaeobotany and Palynology* 10, 61-78.
- 440 Boulter, M.C., Ford, T.D., Ijtaba, M., Walsh, P.T., 1971. Brassington Formation: A newly recognised  
441 Tertiary Formation in the Southern Pennines. *Nature. Physical science* 231, 134-136.
- 442 Chateauneuf, J.J., 1980. *Palynostratigraphie et Paleoclimatologie de l'Eocene superieur et de*  
443 *l'Oligocene du bassin de Paris*. l'Universite Pierre et Marie Curie, Paris, p. 360.
- 444 Cox, P., 2001. Description of the "TRIFFID" Dynamic Global Vegetation Model. Hadley Centre  
445 technical note 24, 1-16.
- 446 Cox, P.M., Betts, R., Bunton, C.B., Essery, R.L.H., Rowntree, P.R., Smith, J., 1999. The impact of new  
447 land surface physics on the GCM simulation of climate and climate sensitivity. *Climate Dynamics* 15,  
448 183-203.

- 449 Denk, T., Grimm, G.W., Grímsson, F., Zetter, R., 2013. Evidence from "Köppen signatures" of fossil  
450 plant assemblages for effective heat transport of Gulf Stream to subarctic North Atlantic during  
451 Miocene cooling. *Biogeosciences* 10, 7927-7942.
- 452 Donders, T.H., Weijers, J.W.H., Munsterman, D.K., Kloosterboer-van Hoeve, M.L., Buckles, L.K.,  
453 Pancost, R.D., Schouten, S., Sinninghe Damsté, J.S., Brinkhuis, H., 2009. Strong climate coupling of  
454 terrestrial and marine environments in the Miocene of northwest Europe. *Earth and Planetary  
455 Science Letters* 281, 215-225.
- 456 Essery, R.L.H., Best, M., Cox, P., 2001. MOSES 2.2 technical documentation. Hadley Centre technical  
457 note 30, 1-30.
- 458 Fauquette, S., Suc, J.-P., Jiménez-Moreno, G., Micheels, A., Jost, A., Favre, E., Bachiri Taoufiq, N.,  
459 Bertini, A., Clet-Pellerin, M., Diniz, F., Farjanel, G., Feddi, N., Zheng, Z., 2007. Latitudinal climatic  
460 gradients in the Western European and Mediterranean regions from the Mid-Miocene (c. 15 Ma) to  
461 the Mid-Pliocene (c. 3.5 Ma) as quantified from pollen data. In: Williams, M., Haywood, A.M.,  
462 Gregory, F.J., Schmidt, D.N. (Eds.), *Deep-time perspectives on climate change: Marrying the signal  
463 from computer models and biological proxies*. The Geological Society, London, pp. 481-502.
- 464 Figueiral, I., Mosbrugger, V., Rowe, N.P., Ashraf, A.R., Utescher, T., Jones, T.P., 1999. The Miocene  
465 peat-forming vegetation of northwestern Germany: an analysis of wood remains and comparison  
466 with previous palynological interpretations. *Review of Palaeobotany and Palynology* 104, 239-266.
- 467 Ford, T.D., King, R.J., 1969. Outliers of possible Tertiary age: A. The pocket deposits of Derbyshire. In:  
468 Sylvester-Bradley, P.C., Ford, T.D. (Eds.), *The geology of the East Midlands*. University of Leicester,  
469 Leicester, pp. 324-330.
- 470 Gardère, P., Pais, J., 2007. Palynologic data from Aquitaine (SW France) Middle Miocene Sables  
471 Fauves Formation. *Climatic Evolution. Ciências da Terra* 15, 151-161.
- 472 Gradstein, F.M., Ogg, J.G., Schmitz, M., Ogg, G., 2012. *The Geologic Time Scale 2012*. Elsevier,  
473 Amsterdam. 1144 p.
- 474 Hall, A.M., Gilg, H.A., Fallick, A.E., Merritt, J.W., 2015. Kaolins in gravels and saprolites in north-east  
475 Scotland: Evidence from stable H and O isotopes for Palaeocene–Miocene deep weathering.  
476 *Palaeogeography, Palaeoclimatology, Palaeoecology* 424, 6-16.

- 477 Harzhauser, M., Piller, W.E., 2007. Benchmark data of a changing sea - Palaeogeography,  
478 Palaeobiogeography and events in the Central Paratethys during the Miocene. Palaeogeography,  
479 Palaeoclimatology, Palaeoecology 253, 8-31.
- 480 Hough, M.N., Jones, R.J.A., 1997. The United Kingdom Meteorological Office rainfall and evaporation  
481 calculation system: MORECS version 2.0-an overview. Hydrol. Earth Syst. Sci. 1, 227-239.
- 482 Ijtaba M., 1973. The stratigraphy and sedimentology of the Pocket Deposits in the Bees Nest and  
483 Kirkham's Pits, near Brassington, Derbyshire [Unpublished MPhil thesis]. Chelsea College, London  
484 University.
- 485 Ivanov, D.A., Ashraf, A.R., Mosbrugger, V., 2007. Late Oligocene and Miocene climate and vegetation  
486 in the Eastern Paratethys area (northeast Bulgaria), based on pollen data. Palaeogeography,  
487 Palaeoclimatology, Palaeoecology 255, 342-360.
- 488 Ivanov, D., Djorgova, N., Slavomirova, E., 2010. Palynological subdivision of Late Miocene sediments  
489 from Karlovo Basin (Central Bulgaria). Phytologia Balcanica 16, 23-42.
- 490 Jiménez-Moreno, G., 2006. Progressive substitution of a subtropical forest for a temperate one  
491 during the middle Miocene climate cooling in Central Europe according to palynological data from  
492 cores Tengelic-2 and Hidas-53 (Pannonian Basin, Hungary). Review of Palaeobotany and Palynology  
493 142, 1-14.
- 494 Jiménez-Moreno, G., Suc, J.-P., 2007. Middle Miocene latitudinal climatic gradient in Western  
495 Europe: Evidence from pollen records. Palaeogeography, Palaeoclimatology, Palaeoecology 253,  
496 208-225.
- 497 Jiménez-Moreno, G., Fauquette, S., Suc, J.-P., 2008. Vegetation, climate and palaeoaltitude  
498 reconstructions of the Eastern Alps during the Miocene based on pollen records from Austria,  
499 Central Europe. Journal of Biogeography 35, 1638-1649.
- 500 Kayseri Özer, M.S., Sözbilir, H., Akgün, F., 2014. Miocene palynoflora of the Kocaçay and Cumaovası  
501 basins: a contribution to the synthesis of Miocene palynology, palaeoclimate, and palaeovegetation  
502 in western Turkey. Turkish Journal of Earth Sciences 23, 233-259.
- 503 Knorr, G., Butzin, M., Micheels, A., Lohmann, G., 2011. A warm Miocene climate at low atmospheric  
504 CO<sub>2</sub> levels. Geophysical Research Letters 38, L20701.



- 505 Krinner, G., Lézine, A.-M., Braconnot, P. Sepulchre, P. Ramstein, G. Grenier, C., and Gouttevin, I.,  
506 2012. A reassessment of lake and wetland feedbacks on the North African Holocene climate,  
507 Geophysical Research Letters 39, L07701, doi:10.1029/2012GL050992.
- 508 Larsson, L.M., Dybkjær, K., Rasmussen, E.S., Piasecki, S., Utescher, T., Vajda, V., 2011. Miocene  
509 climate evolution of northern Europe: A palynological investigation from Denmark.  
510 Palaeogeography, Palaeoclimatology, Palaeoecology 309, 161-175.
- 511 Liu, Y.-S., Basinger, J.F., 2000. Fossil *Cathaya* (Pinaceae) Pollen from the Canadian High Arctic.  
512 International Journal of Plant Sciences 161, 829-847.
- 513 Micheels, A., Bruch, A.A., Eronen, J., Fortelius, M., Harzhauser, M., Utescher, T., Mosbrugger, V.,  
514 2011. Analysis of heat transport mechanisms from a Late Miocene model experiment with a fully-  
515 coupled atmosphere-ocean general circulation model. Palaeogeography, Palaeoclimatology,  
516 Palaeoecology 304, 337-350.
- 517 Mosbrugger, V., Utescher, T., 1997. The coexistence approach -- a method for quantitative  
518 reconstructions of Tertiary terrestrial palaeoclimate data using plant fossils. Palaeogeography,  
519 Palaeoclimatology, Palaeoecology 134, 61-86.
- 520 Mosbrugger, V., Utescher, T., Dilcher, D.L., 2005. Cenozoic continental climatic evolution of Central  
521 Europe. Proceedings of the National Academy of Sciences of the United States of America 102,  
522 14964-14969.
- 523 Nagy, E., 1990. Climatic changes in the Hungarian Miocene. Review of Palaeobotany and Palynology  
524 65, 71-74.
- 525 Pound, M.J., Riding, J.B., 2015. Miocene in the UK! Geoscientist 25, 20-22.
- 526 Pound, M.J., Haywood, A.M., Salzmann, U., Riding, J.B., Lunt, D.J., Hunter, S.J., 2011. A Tortonian  
527 (Late Miocene, 11.61-7.25 Ma) global vegetation reconstruction. Palaeogeography,  
528 Palaeoclimatology, Palaeoecology 300, 29-45.
- 529 Pound, M.J., Riding, J.B., Donders, T.H., Daskova, J., 2012a. The palynostratigraphy of the Brassington  
530 Formation (Upper Miocene) of the southern Pennines, central England. Palynology 36, 26-37.
- 531 Pound, M.J., Haywood, A.M., Salzmann, U., Riding, J.B., 2012b. Global vegetation dynamics and  
532 latitudinal temperature gradients during the Mid to Late Miocene (15.97–5.33Ma). Earth-Science  
533 Reviews 112, 1-22.

- 534 Pound, M.J., Tindall, J., Pickering, S.J., Haywood, A.M., Dowsett, H.J., Salzmann, U., 2014. Late  
535 Pliocene lakes and soils: a data - model comparison for the analysis of climate feedbacks in a warmer  
536 world. *Climate of the Past* 10, 167-180.
- 537 Pound, M.J., Lowther, R.I., Peakall, J., Chapman, R.J., Salzmann, U., 2015. Palynological evidence for a  
538 warmer boreal climate in the Late Pliocene of the Yukon Territory, Canada. *Palynology* 39, 91-102.
- 539 Quaijtaal, W., Donders, T.H., Persico, D., Louwye, S., 2014. Characterising the middle Miocene Mi-  
540 events in the Eastern North Atlantic realm: A first high-resolution marine palynological record from  
541 the Porcupine Basin. *Palaeogeography, Palaeoclimatology, Palaeoecology* 399, 140-159.
- 542 Quaijtaal, W., Mertens, K.N., Louwye, S., 2015. Some new acritarch species from the lower and  
543 middle Miocene of the Porcupine Basin, North Atlantic Ocean: biostratigraphy and palaeoecology.  
544 *Palynology* 39, 37-55.
- 545 Quan, C., Liu, Y.-S., Tang, H., Utescher, T., 2014. Miocene shift of European atmospheric circulation  
546 from trade wind to westerlies. *Sci. Rep.* 4. doi:10.1038/srep05660.
- 547 Quarterman, E., Keever, C., 1962. Southern mixed hardwood forest: Climax in the southeastern  
548 Coastal Plain, U.S.A. *Ecological Monographs* 32, 167-185.
- 549 Riding, J.B., Kyffin-Hughes, J.E., 2004. A review of the laboratory preparation of palynomorphs with a  
550 description of an effective non-acid technique. *Revista Brasileira de Paleontologia* 7, 13–44.
- 551 Riding, J.B., Kyffin-Hughes, J.E., 2006. Further testing of a non-acid palynological preparation  
552 procedure. *Palynology* 30, 69-87.
- 553 Stuchlik, L., Ziemińska-Tworzydło, M., Kohlman-Adamska, A., Grabowska, I., Ważyńska, H.,  
554 Słodkowska, B., Sadowska, A., 2001, Atlas of pollen and spores of the Polish Neogene, Volume 1 –  
555 spores. W. Szafer Institute of Botany, Polish Academy of Sciences, Kraków. 158pp.
- 556 Stuchlik, L., Ziemińska-Tworzydło, M., Kohlman-Adamska, A., Grabowska, I., Ważyńska, H.,  
557 Sadowska, A., 2002, Atlas of pollen and spores of the Polish Neogene, Volume 2 – gymnosperms. W.  
558 Szafer Institute of Botany, Polish Academy of Sciences, Kraków. 237pp.
- 559 Stuchlik, L., Ziemińska-Tworzydło, M., Kohlman-Adamska, A., Grabowska, I., Słodkowska, B.,  
560 Ważyńska, H., Sadowska, A., 2009, Atlas of pollen and spores of the Polish Neogene, Volume 3 –  
561 angiosperms (1). W. Szafer Institute of Botany, Polish Academy of Sciences, Kraków. 232pp.

- 562 Stuchlik, L., Ziemińska-Tworzydło, M., Kohlman-Adamska, A., Grabowska, I., Słodkowska, B.,  
563 Worobiec, E., Durska, E., 2014, Atlas of pollen and spores of the Polish Neogene, Volume 4 –  
564 angiosperms (2). W. Szafer Institute of Botany, Polish Academy of Sciences, Kraków. 466pp.
- 565 Suzuki, E., Tsukahara, J., 1987. Age structure and regeneration of old growth *Cryptomeria japonica*  
566 forests on Yakushima Island. The Botanical Magazine, Tokyo 100, 223-241.
- 567 Szulc, J., Worobiec, E., 2012. Neogene karst sinkhole and its deposits from Gorazdze Quarry, Upper  
568 Silesia - Archive for palaeoenvironmental reconstructions. Annales Societatis Geologorum Poloniae  
569 82, 371-385.
- 570 Tsukada, M., 1963. Umbrella Pine, *Sciadopitys verticillata*: Past and Present Distribution in Japan.  
571 Science 142, 1680-1681.
- 572 Tsukada, M., 1982. *Cryptomeria japonica*: Glacial Refugia and Late-Glacial and Postglacial Migration.  
573 Ecology 63, 1091-1105.
- 574 Uhl, D., Bruch, A., Traiser, C., Klotz, S., 2006. Palaeoclimate estimates for the Middle Miocene  
575 Schrotzburg flora (S Germany): a multi-method approach. International Journal of Earth Sciences 95,  
576 1071-1085.
- 577 Utescher, T., and Mosbrugger, V., 2010, Palaeoflora database. Available from:  
578 <http://www.palaeoflora.de>.
- 579 Utescher, T., Bruch, A.A., Micheels, A., Mosbrugger, V., Popova, S., 2011. Cenozoic climate gradients  
580 in Eurasia - a palaeo-perspective on future climate change? Palaeogeography, Palaeoclimatology,  
581 Palaeoecology 304, 351-358.
- 582 Utescher, T., Ashraf, A.R., Dreist, A., Dybkjaer, K., Mosbrugger, V., Pross, J., Wilde, V., 2012.  
583 Variability of Neogene Continental Climates in Northwest Europe - a detailed Study based on  
584 Microfloras. Turkish Journal of Earth Sciences 21, 289-314.
- 585 Utescher, T., Bruch, A.A., Erdei, B., François, L., Ivanov, D., Jacques, F.M.B., Kern, A.K., Liu, Y.S.,  
586 Mosbrugger, V., Spicer, R.A., 2014. The Coexistence Approach—Theoretical background and  
587 practical considerations of using plant fossils for climate quantification. Palaeogeography,  
588 Palaeoclimatology, Palaeoecology 410, 58-73.
- 589 Utescher, T., Bondarenko, O.V., Mosbrugger, V., 2015. The Cenozoic Cooling – continental signals  
590 from the Atlantic and Pacific side of Eurasia. Earth and Planetary Science Letters 415, 121-133.

- 591 van Dam, J.A., 2006. Geographic and temporal patterns in the late Neogene (12-3 Ma) aridification  
592 of Europe: The use of small mammals as paleoprecipitation proxies. *Palaeogeography,*  
593 *Palaeoclimatology, Palaeoecology* 238, 190-218.
- 594 Walsh, P.T., Boulter, M.C., Ijtaba, M., Urbani, D.M., 1972. The preservation of the Neogene  
595 Brassington Formation of the southern Pennines and its bearing on the evolution of Upland Britain.  
596 *Journal of the Geological Society* 128, 519-559.
- 597 Walsh, P.T., Collins, P., Ijtaba, M., Newton, J.P., Scott, N.H., Turner, P.R., 1980. Palaeocurrent  
598 directions and their bearing on the origin of the Brassington Formation (Miocene-Pliocene) of the  
599 Southern Pennines, Derbyshire, England. *The Mercian Geologist* 8, 47-62.
- 600 Walsh, P.T., Atkinson, K., Boulter, M.C., Shakesby, R.A., 1987. The Oligocene and Miocene Outliers of  
601 West Cornwall and their Bearing on the Geomorphological Evolution of Oldland Britain.  
602 *Philosophical Transactions of the Royal Society of London. Series A, Mathematical and Physical*  
603 *Sciences* 323, 211-245.
- 604 Walsh, P.T., Morawiecka, I., Skawinaska-Wieser, K., 1996. A Miocene palynoflora preserved by  
605 karstic subsidence in Anglesey and the origin of the Menaian Surface. *Geological Magazine* 133, 713-  
606 719.
- 607 Webb III, T., McAndrews, J., 1976. Corresponding patterns of contemporary pollen and vegetation in  
608 central North America. *GSA Memoir* 145, 267-299.
- 609 Wenslaff, T.F., Lyrene, P.M., 2003. Unilateral cross compatibility in *Vaccinium elliotii* x *V. arboretum*,  
610 an intersectional blueberry hybrid. *Euphytica* 131, 255-258.
- 611 Westaway, R., 2009. Quaternary uplift of northern England. *Global and Planetary Change* 68, 357-  
612 382.
- 613 Westaway, R., 2012. Comment on: 'Review of tufa deposition and palaeohydrological conditions in  
614 the White Peak, Derbyshire, UK: Implications for Quaternary landscape evolution' by V.J. Banks, P.F.  
615 Jones, D.J. Lowe, J.R. Lee, J. Rushton, and M.A. Ellis, 2012. *Proceedings of the Geologists' Association*  
616 123, 117–129. *Proceedings of the Geologists' Association* 123, 787-788.
- 617 Wood, G.D., Gabriel, A.M., Lawson, J.C., 1996. Chapter 3. Palynological techniques – processing and  
618 microscopy. In: Jansonius, J., McGregor, D.C. (Eds.), *Palynology: principles and applications*.  
619 American Association of Stratigraphic Palynologists Foundation, Dallas 1, 29-50.

- 620 Worobiec, E., 2009. Middle Miocene palynoflora of the Legnica lignite deposit complex, Lower  
621 Silesia, Poland. *Acta Palaeobotanica* 49, 5-133.
- 622 Yorke C., 1954. The pocket deposits of Derbyshire. Privately published, Birkenhead, three volumes.  
623 113 p.
- 624 Yorke C., 1961. The pocket deposits of Derbyshire. A general survey. Privately published, Birkenhead,  
625 85 p.
- 626 Zagwijn, W.H., Hager, H., 1987. Correlations of continental and marine Neogene deposits in the  
627 south-eastern Netherlands and the Lower Rhine District. *Meded. Werkgr. Tert. Kwart. Geol.* 24, 59-  
628 78.
- 629 Zobaa, M.K., Zavada, M.S., Whitelaw, M.J., Shunk, A.J., Oboh-Ikuenobe, F.E., 2011. Palynology and  
630 palynofacies analyses of the Gray Fossil Site, eastern Tennessee: Their role in understanding the  
631 basin-fill history. *Palaeogeography, Palaeoclimatology, Palaeoecology* 308, 433-444.
- 632

Sample number	Grid reference	Locality	Latitude	Longitude	Lithotype	Description
KM-1a; b	SK 24100 54580	Bees Nest Pit	53.08	-1.64	Grey clay	Grey fossiliferous clay of the Kenslow Member, north side of pond to the east of the pit entrance
KM-2	SK 18185 61586	Kenslow Top Pit*	53.15	-1.73	Grey clay	Grey clay extracted from a cavity in a fossil wood fragment, which originally came from the Kenslow Member at Kenslow Top Pit
KM-3	SK 18289 61420	Kenslow Top Pit	53.15	-1.73	Mottled grey-brown clay	Pollen sample reported in Pound et al. (2012a)

633 Table 1. Sample details of the three pollen assemblages extracted from the Kenslow Member of the  
 634 Brassington Formation. The grid reference for KM-2 is based on the locality map of Walsh et al.  
 635 (1972).

636

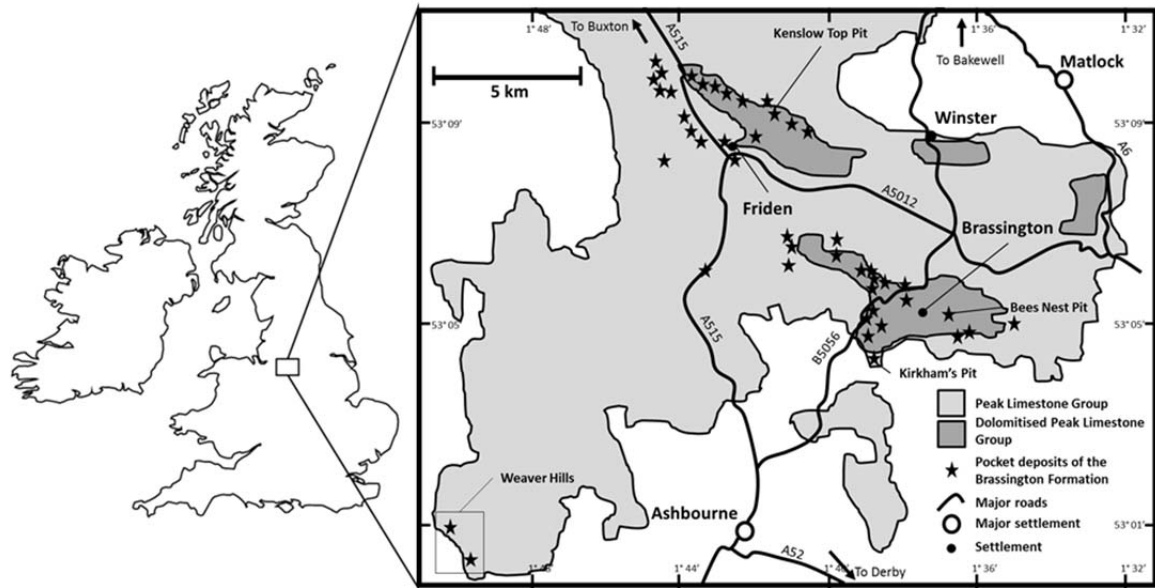
Division	Family	Pollen/spore	Nearest Living Relative	KM-1 Bees Nest Pit, Kenslow Member	KM-2 Kenslow Top Pit, Kenslow Member (clay from wood fragment)	KM-3 Kenslow Top Pit (Pound et al., 2012a)
Bryophyta	Sphagnaceae	<i>Stereisporites</i> spp.	<i>Sphagnum</i> spp.	0.00%	1.51%	11.58%
Lycopodiophyta	Lycopodiaceae	<i>Lycopodium</i> sp.	<i>Lycopodium</i> spp.	0.13%	0.76%	0.00%
Pteridophyta	Lygodiaceae	<i>Leiotriletes wolffi</i>	<i>Lygodium</i> spp.	0.53%	1.26%	0.00%
	Osmundaceae	<i>Osmunda</i> sp.	<i>Osmunda</i> spp.	0.00%	0.00%	1.76%
	Polypodiaceae	<i>Verrucatosporites favus</i>	Polypodiaceae	0.13%	0.00%	0.28%
	Unknown	<i>Triplanosporites sinuous</i>	Unknown	0.00%	4.28%	0.00%
Gymnosperm	Cupressaceae	Cupressaceae	Cupressaceae	4.68%	4.53%	1.11%
	Pinaceae	<i>Abies</i> sp.	<i>Abies</i> spp.	0.00%	0.00%	1.76%
		<i>Cathaya</i> sp.	<i>Cathaya</i> spp.	4.14%	2.77%	0.00%
		<i>Cedrus</i> sp.	<i>Cedrus</i> spp.	4.28%	3.78%	2.69%
		<i>Keteleeria</i> sp.	<i>Keteleeria</i> spp.	0.94%	1.26%	0.00%
		<i>Picea</i> sp.	<i>Picea</i> spp.	13.24%	7.05%	3.06%
		<i>Pinus</i> sp.	<i>Pinus</i> spp.	38.64%	20.40%	10.38%
		<i>Tsuga</i> sp.	<i>Tsuga</i> spp.	9.89%	9.32%	0.74%
	Sciadopityaceae	<i>Sciadopitys</i> sp.	<i>Sciadopitys verticillata</i>	10.43%	1.01%	0.00%
Angiosperm	Araliaceae	<i>Hedera</i> sp.	<i>Hedera</i> spp.	0.00%	0.00%	0.74%
	Asteraceae	Asteraceae	Asteraceae	0.00%	0.00%	0.37%
	Aquifoliaceae	<i>Ilexpollenites iliacus</i>	<i>Ilex</i> spp.	2.14%	0.50%	0.00%
		<i>Ilexpollenites magaritatus</i>	<i>Ilex</i> spp.	0.00%	0.76%	0.00%
	Betulaceae	<i>Alnus</i> sp.	<i>Alnus</i> spp.	0.27%	0.76%	1.39%
		<i>Carpinus</i> sp.	<i>Carpinus</i> spp.	0.00%	0.00%	2.59%
		<i>Corylus</i> sp.	<i>Corylus</i> spp.	0.00%	1.01%	0.09%
		<i>Trivestibulopollenites betuloides</i>	<i>Betula</i> spp.	0.27%	0.00%	4.26%
	Caryophyllaceae	Caryophyllaceae	Caryophyllaceae	0.00%	0.00%	3.61%
	Ericaceae	Ericaceae	Ericaceae	0.00%	3.27%	7.88%
		<i>Ericipites baculatus</i>	Ericaceae	0.13%	3.02%	0.00%
		<i>Ericipites callidus</i>	<i>Calluna</i> spp., <i>Vaccinium</i> spp.	0.27%	0.00%	0.00%
		<i>Ericipites costatus</i>	<i>Vaccinium vitis-idaea</i> , <i>Andromeda</i> spp., <i>Pieris</i> spp.	0.00%	3.78%	0.00%
		<i>Ericipites ericius</i>	<i>Calluna</i> spp., <i>Daboecia</i> spp., <i>Vaccinium</i> spp.	0.00%	15.11%	0.00%
	Fabaceae?	<i>Tricolpopollenites ipilensis</i>	Fabaceae	0.00%	0.00%	0.09%
		<i>Tricolpopollenites liblarensis</i>	Fabaceae	0.00%	0.00%	1.20%
	Fagaceae?	<i>Tricolpopollenites microhenrici</i>	<i>Quercus</i> spp.	1.07%	0.25%	0.93%

	Hamamelidaceae	<i>Corylopsis</i> sp.	<i>Corylopsis</i> spp.	0.00%	1.26%	0.00%
		<i>Liquidambar</i> sp.	<i>Liquidambar</i> spp.	0.00%	0.50%	1.02%
	Icacinaceae	<i>Compositoipollenites rizophorus</i>	Icacinaceae	0.00%	0.25%	20.11%
	Juglandaceae	<i>Carya</i> sp.	<i>Carya</i> spp.	1.60%	0.00%	0.09%
		<i>Juglans</i> sp.	<i>Juglans</i> spp.	0.00%	0.25%	0.56%
	Myricaceae	<i>Myrica</i> sp.	<i>Myrica</i> spp.	0.00%	0.00%	0.56%
	Plumbaginaceae	<i>Armeria</i> sp.	<i>Armeria</i> spp.	0.00%	0.00%	1.30%
		<i>Limonium</i> sp.	<i>Limonium</i> spp.	0.00%	0.00%	2.87%
	Poaceae	Poaceae	Poaceae	0.40%	2.02%	3.61%
	Polemoniaceae	<i>Polemonium</i> sp.	<i>Polemonium</i> spp.	0.00%	0.00%	0.93%
	Polygonaceae	<i>Polygonum</i> sp.	<i>Polygonum</i> spp.	0.00%	0.00%	1.20%
	Rhamnaceae	cf. <i>Rhamnus</i> sp.	<i>Rhamnus</i> spp.	0.13%	0.00%	0.00%
	Rubiaceae	cf. <i>Asperula</i> sp.	<i>Asperula</i> spp.	0.13%	0.00%	0.00%
	Sapindaceae	<i>Aesculus</i> sp.	<i>Aesculus</i> spp.	0.00%	0.00%	0.09%
	Saxifragaceae	<i>Saxifraga</i> sp.	<i>Saxifraga</i> spp.	0.00%	0.00%	0.09%
	Smilacaceae	<i>Periporopollenites echinatus</i>	<i>Smilax</i> spp.	0.00%	0.00%	1.30%
	Symplocaceae	<i>Symplocoipollenites vestibulum</i>	<i>Symplocos</i> spp.	0.00%	0.25%	0.83%
		<i>Symplocospollenites rotundus</i>	<i>Symplocos</i> spp.	0.00%	0.50%	0.00%
	Ulmaceae	<i>Ulmus</i> sp.	<i>Ulmus</i> spp.	0.13%	0.25%	2.78%
		Indeterminate		6.42%	8.31%	6.12%

637 Table 2: Percentages of pollen and spores from the Kenslow Member of the Brassington Formation  
 638 at Bees Nest and Kenslow Top pits.

639

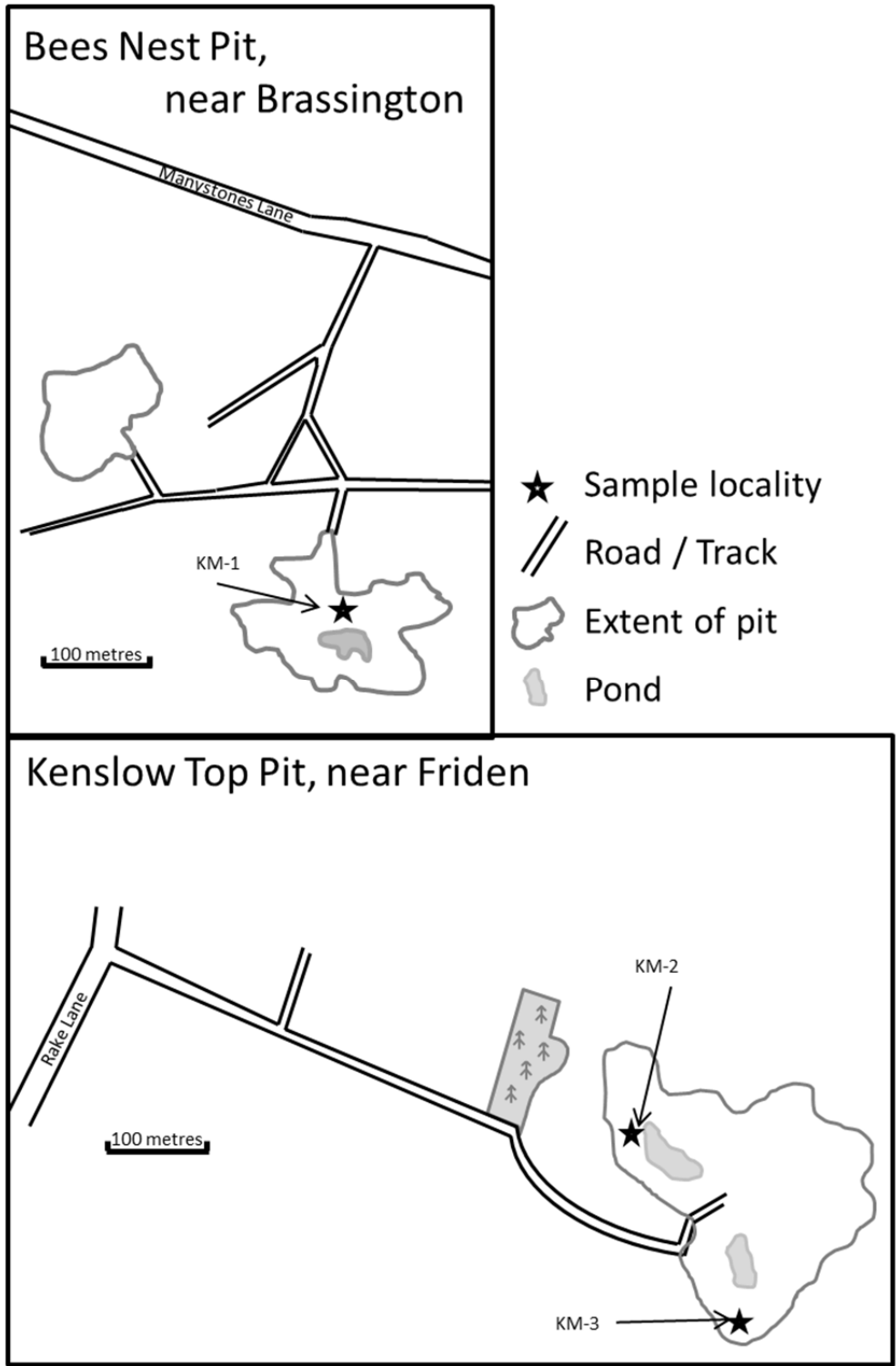




640

641 Figure 1. The location of Bees Nest and Kenslow Top pits on a sketch geological map of parts of  
642 Derbyshire and Staffordshire, UK illustrating the distribution of dolomitised limestone in the  
643 southern Pennines. Adapted from Pound et al. (2012a)

644



645

646 Figure 2. Sketch maps of Bees Nest Pit, near Brassington (SK 24117 54585) and Kenslow Top Pit, near  
647 Friden (SK 18180 61585). Based on the maps of Walsh et al. (1972).

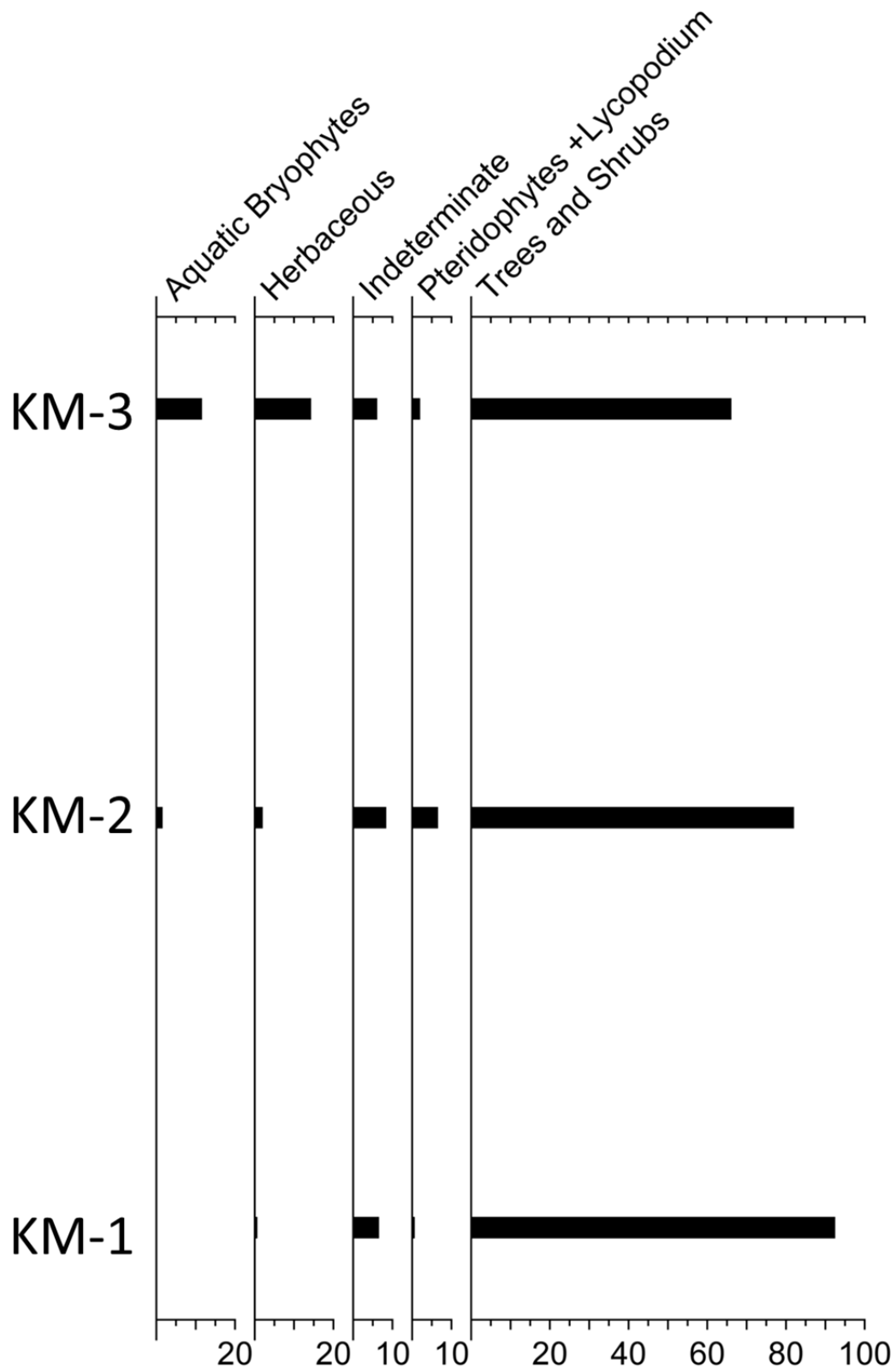
648

649

650 Figure 3. Photograph of the wood fragment (BGS fossil specimen number GSM 76976) from the now  
651 unexposed Kenslow Member of Kenslow Top Pit that sample KM-2 was extracted from (Fig. 2).

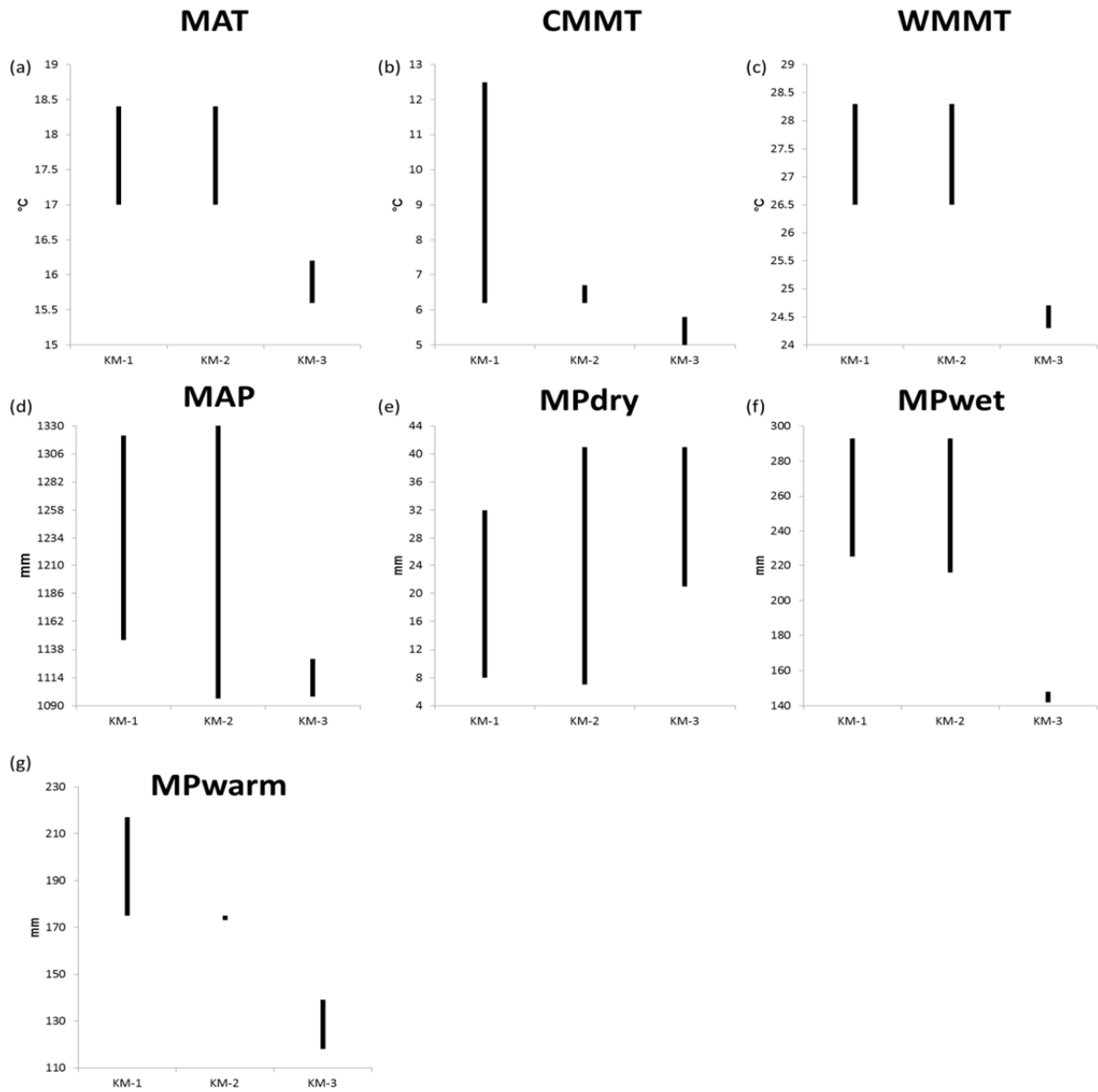
652





657

658 Figure 5. Changes in the pollen/spore assemblages presented as percentages in five ecological  
659 groups. The pollen/spore assemblage from the wood fragment from the west end of Kenslow Top Pit  
660 is asterisked.

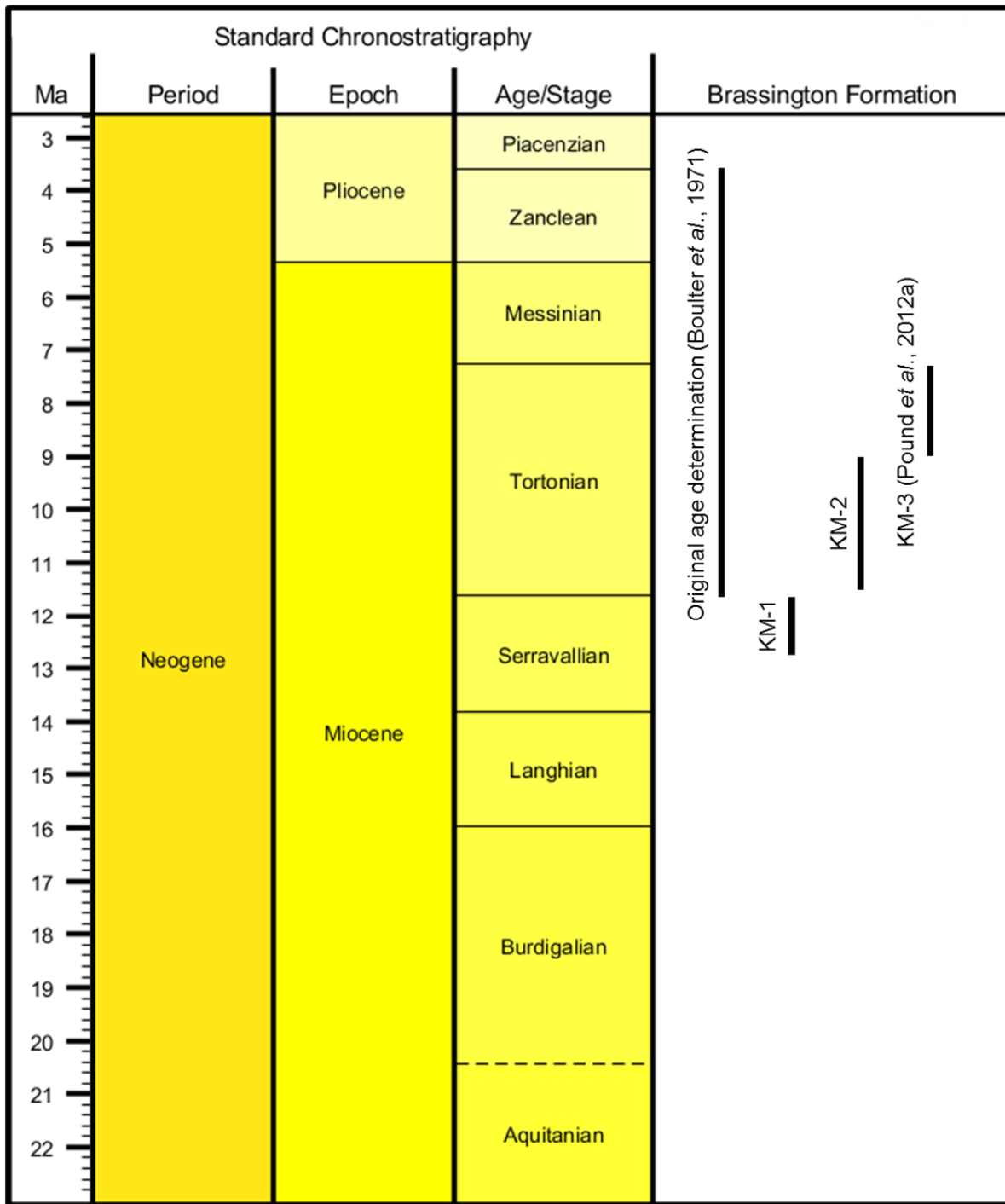


661

662 Figure 6. The palaeoclimatology of the three pollen/spore assemblages from the Kenslow Member

663 of the Brassington Formation reconstructed using the Co-existence Approach (CA).

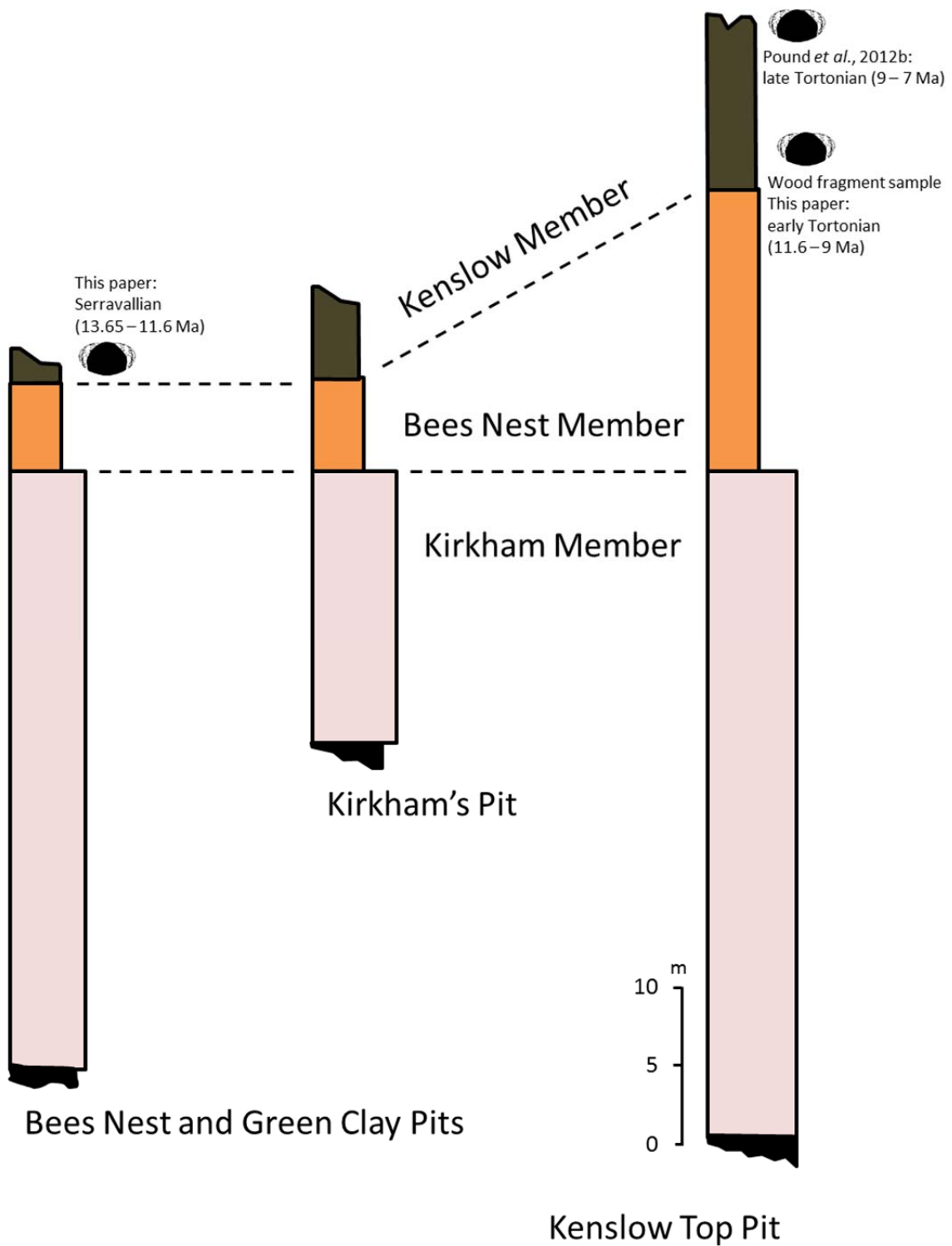
664



665

666 Figure 7. Standard chronostratigraphical position of the three KM samples from the Brassington  
 667 Formation compared to the original age determination of Boulter et al. (1971). Global  
 668 chronostratigraphy follows Gradstein et al. (2012).

669



670

671 Figure 8. Sketch lithostratigraphical logs of the Brassington Formation at the three main exposures  
672 showing the approximate stratigraphical locations of the three pollen/spore assemblages, and their  
673 inferred ages. The simplified logs are based on more detailed ones in Walsh *et al.* (1980). Green Clay  
674 Pit is immediately north of Bees Nest Pit (Walsh *et al.* 1972, fig. 1).

675



676 Appendix 1

677 This appendix lists, in alphabetical order, all valid formally defined palynomorph taxa below the  
678 generic level which are mentioned in this contribution with full author citations. Taxonomic names  
679 and citations are based upon Stuchlik et al. (2001; 2002; 2009; 2014).

680

681 *Compositoipollenites rizophorous* (Potonié 1934) Potonié 1960

682 *Ericipites baculatus* Nagy 1969

683 *E. callidus* (Potonié 1931) Krutzsch 1970

684 *E. costatus* Grabowska 2014

685 *E. ericius* (Potonié 1931) Potonié 1960

686 *Ilexpollenites iliacus* (Potonié 1931) Thiergart 1938 ex. Potonié 1960

687 *I. margaritatus* (Potonié 1931) Thiergart 1938

688 *Leiotriletes wolffii* Krutzsch 1962

689 *Quercoidites microhenrici* (Potonié 1931) Potonié et al. 1950 ex. Potonié 1960

690 *Symplocoipollenites vestibulum* (Potonié 1931) Potonié 1960

691 *Symplocospollenites rotundus* (Potonié 1931) Potonié et al. 1950 ex Potonié 1960

692 *Triplanosporites sinuous* Pflug 1952 ex Thomson & Pflug 1953