

1 RUNNING HEAD: DEEP OBSERVATION OF *SPHYRNA LEWINI*

2

3 **Deep water observation of scalloped hammerhead *Sphyrna lewini* in the western Indian**
4 **Ocean off Tanzania**

5

6 ALEC B. M. MOORE¹ and ANDREW R. GATES²

7

8 Addresses:

9 ¹RSK Environment Ltd., Spring Lodge, 172 Chester Road, Helsby, Cheshire WA6 0AR, UK.

10

11 ²National Oceanography Centre, University of Southampton Waterfront Campus, European
12 Way, Southampton, SO14 3ZH, UK.

13

14

15 Corresponding author:

16 Andrew R. Gates

17 Email: arg3@noc.ac.uk

18

19

20 ABSTRACT

21

22 *A scalloped hammerhead Sphyrna lewini was observed opportunistically from a remotely*
23 *operated vehicle 1 m off the seabed at 1042 m depth, during hydrocarbon exploration*
24 *activities in the Ruvuma basin off Tanzania. The observation, which occurred during night*
25 *hours, is the deepest accurately recorded for this species and the first deepwater record for*
26 *the Indian Ocean. The record adds support for occurrence in deep water during night hours*
27 *being a widespread and possibly common behaviour in this species, and further expands a*
28 *small but growing literature that meso- and bathypelagic environments may be of greater*
29 *importance to elasmobranchs previously considered to be primarily epipelagic..*

30

31 KEYWORDS

32 Shark, elasmobranch, bathypelagic, Ruvuma Basin, vertical migration

33

34

35

36 INTRODUCTION

37

38 Knowledge of the spatial distribution of any marine organism is essential to understanding its
39 ecology. While the geographic range of many shark species is often (and increasingly) well
40 documented, their vertical distribution can be much less well understood due to the numerous
41 challenges in collecting accurate data. The geographic distribution of the scalloped
42 hammerhead *Sphyrna lewini* (Griffith & Smith, 1834) (n.b. notwithstanding unresolved
43 taxonomy, e.g. Zemplak *et al.*, 2009) is well known, and encompasses a range of habitats from
44 estuaries to the open ocean in tropical and warm temperate waters worldwide (Ebert *et al.*,
45 2013). However, the vertical distribution of *S. lewini* is not as well understood. Compagno *et*
46 *al.*, (2005) cite “surface to >275 m”, and while studies using tagging technology have
47 reported the species to greater depth, accurate depth recording has often been constrained by
48 the limitations of the tagging technology. Using ultrasonic transmitters on four individuals in
49 the Gulf of California, Klimley (1993) recorded repeated excursions to a maximum depth of
50 approximately 475 m. Also in the Gulf of California, Jorgensen *et al.*, (2009) recorded a
51 single *S. lewini* over 74 days diving to depths of at least 980 m with a pop-up satellite
52 archival tag (PSAT). Bessudo *et al.* (2011) recorded occasional night-time dives to
53 approximately 1000 m by a tagged *S. lewini*, in the tropical eastern Pacific. Most recently, an
54 individual female *S. lewini* fitted with a PSAT was recorded as making repeated night-time
55 dives >700 m (with 16 of these >900 m, reaching a maximum depth of 964 m) over a period
56 of 27 days in the Gulf of Mexico (Hoffmayer *et al.*, 2013).. These authors suggested that such
57 diving may be a common behaviour in *S. lewini*, but noted that more data would be required
58 to verify this. The current paper reports an incidental observation of a *S. lewini* individual
59 made from a remotely operated vehicle (ROV) that extends the accurately recorded depth
60 range of this species.

61

62 METHODS

63

64 Footage was collected opportunistically using Ocean ProHD video camera (1080i) mounted
65 on an Oceaneering International Millennium work class ROV (Mill 113) which was deployed
66 from the *Deepsea Metro I* drill-ship during routine drill-support operations at BG Group's
67 Jordari hydrocarbon exploration site, approximately 40 km off the coast of southern Tanzania
68 in the Ruvuma basin. The video was made available because of BG's involvement in the
69 collaborative SERPENT Project (Jones, 2009) (www.serpentproject.com), in which ROV
70 footage from the oil and gas industry is made available to marine scientists. Water column
71 parameters (temperature, salinity and depth) were collected during the dive with a datalogger
72 on the ROV. In addition temperature, salinity, and dissolved oxygen at a site 30 km distant
73 were recorded from a datalogger (RBR Model XR-420CTDmTi+pH+DO) fitted to the ROV
74 during a SERPENT offshore visit. The shark was identified as scalloped hammerhead shark
75 *Sphyrna lewini* based on a cephalic foil with a median and two smaller lateral indentations
76 and the relative size and shape of fins (Ebert *et al.*, 2013).

77

78 RESULTS

79

80 The video clip (59 s in length) commenced at 0030 hours local time on 27th September 2012,
81 after the ROV had been working in view of the seabed for over 3.5 hours. Figure 1 presents
82 still images extracted from video footage (Supplementary Material 1), and shows an
83 individual *Sphyrna lewini* swimming just above the seabed at 1043 m depth, making three
84 sharp turns at 5, 20 and 30 seconds into the clip. On each of these occasions it turned back
85 and re-entered the area of seabed illuminated by the lights of the ROV. After 43 seconds the
86 individual left the frame, still swimming close to the seabed, and it was not observed after
87 this. Although no claspers were clearly visible sex could not be confidently determined, and

88 from the scale of nearby seabed markers the total length of the shark was estimated at
89 approximately 1.5 m. Water column temperature was 5.9 °C and salinity was 35. Based on
90 similar temperature and salinity profiles at both the observation site and the site 30 km distant
91 it is estimated that dissolved oxygen would also be similar and approximately 1-1.5 ml l⁻¹
92 (Figure 2). Similar video surveys at other sites near this observation recorded the following
93 biota in low abundance: xenophyophores, sponges, molluscs (cirrate octopods and squid),
94 suprabenthic crustaceans, echinoderms and fishes including grenadiers (Macrouridae), cusk
95 eels and relatives (Ophidiiformes) and cutthroat eels (Synphobranchiidae).

96

97

98 DISCUSSION

99

100 Although it cannot be assumed that the single individual we observed at depth originated
101 from surface waters (and therefore represents deep diving behaviour), our report from 1042 m
102 exceeds the previous accurately recorded depth maximum of 964 m for this species
103 (Hoffmayer *et al.*, 2013). It also exceeds the depth of “at least 980 m” (and probably not
104 exceeding 1500 m) recorded by Jorgensen *et al.* (2009), who were not able to report more
105 accurate depths due to limitations of the pressure sensors on the tags used. . The current
106 observation is also the first deepwater record for this species in the Indian Ocean. A further
107 SERPENT observation of *S. lewini* at a near-bottom depth of around 580 m in the Indian
108 Ocean off Western Australia is also of interest (Jones *et al.*, 2009). These records of *S. lewini*,
109 together with those of whale sharks (e.g. Brunnschweiler *et al.*, 2008) and devil rays
110 (Thorrold *et al.*, 2014) add weight to the idea that meso- and bathypelagic environments may
111 be of greater importance than previously thought to taxa traditionally considered as
112 epipelagic.

113

114 The current observation was made during the hours of darkness. Although the significance of
115 our single incident should not be overstated, it may add further evidence to previous studies
116 of *S. lewini* that have recorded deep dives almost exclusively during night-time and/or
117 evening twilight Bessudo *et al.* (2011), Hoffmayer *et al.* (2013), and Hoyos-Pallida *et al.*,
118 (2014).

119

120 Water column profiles show that this *S. lewini* individual was recorded in cold (6 °C) waters
121 consistent with the classification of 'hypoxic' (<5.5 mg l⁻¹, equivalent to approximately 3.85
122 ml l⁻¹; n.b. it should be noted that the entire water column deeper than approximately 75 m
123 would also be hypoxic according to these criteria, a result consistent with other studies
124 reporting low oxygen concentrations in the tropical Indian Ocean e.g. Schlitzer, 2000) in
125 experimental work on three shark species, including *Sphyrna tiburo* (L. 1758), a congener of
126 *S. lewini* (Carlson & Parsons 2001;). Both factors are likely to present *S. lewini* with
127 significant physiological challenges, although experimental work has suggested that *S. tiburo*
128 is physiologically able to tolerate moderate levels of hypoxia (Carlson and Parsons, 2003).
129 While endothermy as an adaptation to cold have been reported in other elasmobranch taxa
130 (notably lamnid sharks and mobulid rays), it has not been for hammerhead sharks (Bernal *et*
131 *al.*, 2012), and therefore time at this depth is likely limited. Nevertheless, tolerance of this
132 environment, even for short times, presumably provides benefits; although the purpose
133 remains unclear. It has been suggested that diving of *S. lewini* into cold and potentially
134 anoxic water could be to exploit deepwater prey less accessible to other pelagic competitors
135 (Jorgensen *et al.*, 2009; Hoffmayer *et al.*, 2013), and video footage from nearby areas to our
136 observation showed the presence of likely *S. lewini* prey items (cephalopods and fishes).
137 Most recently, Hoyos-Pallida *et al.*, (2014) suggested that a single *S. lewini* juvenile female

138 tagged in the Gulf of California visited deeper waters (up to 250 m) to increase foraging
139 success and as part of an ontogenetic migration from coastal to offshore waters.

140

141 ACKNOWLEDGMENTS

142

143 The authors are grateful to BG Group for funding this research as part of a wider SERPENT
144 Project study in the area, in particular L Werre, J Moirana and S Murray. Thanks to the staff
145 and crew of the *Deepsea Metro I* for assistance at sea especially Oceaneering International
146 ROV supervisors (F Lynch, R Makowichuk, and N Troup) and their crews.

147 REFERENCES

148

149 **Bernal D., Carlson J.K., Goldman K.J. and Lowe C.G.** (2012) Energetics, metabolism,
150 and endothermy in sharks and rays. In Carrier JC, Musick JA and Heithaus MR (eds.)
151 *Biology of sharks and their relatives*. Second edition. Boca Raton: CRC Press.

152

153 **Bessudo S., Soler G.A., Klimley P.A., Ketchum J., Arauz R., Hearn A., Guzmán A. and**
154 **Calmettes B.** (2011) Vertical and Horizontal Movements of the Scalloped Hammerhead
155 Shark (*Sphyrna lewini*) around Malpelo and Cocos Islands (Tropical Eastern Pacific) Using
156 Satellite Telemetry. *Boletín de Investigaciones Marinas Y Costeras-INVEMAR* 40, 91-106.

157

158

159 **Brunnschweiler J.M., Baensch H., Pierce S.J. and Sims D.W.** (2008) Deep-diving
160 behaviour of a whale shark *Rhincodon typus* during long distance movement in the western
161 Indian Ocean. *Journal of Fish Biology* 74, 706-709.

162

163 **Ebert D.A., Fowler S. and Compagno L.** (2013) *Sharks of the world: A fully illustrated*
164 *guide*. Plymouth: Wild Nature Press.

165

166 **Carlson J.K. and Parsons G.R.** (2001) The effects of hypoxia on three sympatric shark
167 species: physiological and behavioural responses. *Environmental Biology of Fishes* 61, 427-
168 433.

169

170 **Carlson J.K. and Parsons G.R.** (2003) Respiratory and hematological responses of the

171 bonnethead shark, *Sphyrna tiburo*, to acute changes in dissolved oxygen. *Journal of*
172 *Experimental Biology and Ecology* 294, 15-26.

173

174 **Compagno L., Dando M. and Fowler S.** (2005) *A field guide to the sharks of the world.*
175 London: Harper Collins.

176

177 **Hoffmayer E.R., Franks J.S., Driggers W.B. and Howey P.** (2013) Diel vertical movement
178 of a scalloped hammerhead, *Sphyrna lewini*, in the northern Gulf of Mexico. *Bulletin of*
179 *Marine Science* 89, 551-557.

180

181 **Hoyos-Pallida, E.M., Ketchum, J.T., Klimley, A.P. and Galvan-Magana, F.** (2014)
182 Ontogenetic migration of a female scalloped hammerhead shark *Sphyrna lewini* in the Gulf of
183 California. *Animal Biotelemetry* 2, 17.

184

185

186 **Jones D.O.B.** (2009) Using existing industrial remotely operated vehicles for deep-sea
187 science. *Zoologica Scripta* 38, 41-47.

188

189 **Jorgensen S.J., Klimley A.P. and Muhlia-Melo A.F.** 2009. Scalloped hammerhead shark
190 *Sphyrna lewini*, utilizes deep-water, hypoxic zone in Gulf of California. *Journal of Fish*
191 *Biology* 74, 1682–1687.

192

193 **Klimley A.P.** (1993) Highly directional swimming by scalloped hammerhead sharks
194 (*Sphyrna lewini*) and subsurface irradiance, temperature, bathymetry, and geomagnetic field.
195 *Marine Biology* 117, 1-22.

196
197
198
199
200
201
202
203
204
205
206
207
208
209
210
211
212
213
214
215
216
217
218
219

Thorrold S.R., Afonso P., Fontes J., Braun C.D., Santos S.R., Skomal G.B. and Berumen M.L. (2014) Extreme diving behaviour in devil rays links surface waters and the deep ocean. *Nature Communications* 5, 4274.

Zemlak, TS, Ward RD, Connell AD, Holmes BH and Hebert PDN (2009) DNA Barcoding reveals overlooked marine fishes. *Molecular Ecology Resources* 9 (Suppl 1), 237-242.

Electronic references

Jones, D.O.B., Gates, A.R., Curry, R.A., Thomson, M., Pile, A. and Benfield, M. (Eds) (2009). SERPENT project. Media database archive. Available online at <http://archive.serpentproject.com/674/> accessed on Fri Jun 20 2014 13:58:57 GMT+0100 (GMT Standard Time)

Schlitzer, R. (2000). Electronic atlas of WOCE hydrographic and tracer data. *EOS Transactions of the American Geophysical Union*, 81 (5). (eWOCE—Electronic Atlas of WOCE data). Available online at <http://www.ewoce.org> accessed on Wed Apr 29 15:30:00 GMT+0100 (GMT Standard Time)

Correspondence should be addressed to: A.R. Gates, National Oceanography Centre, University of Southampton Waterfront Campus, European Way, Southampton, SO14 3ZH, UK. Email: arg3@noc.ac.uk

220 Figure Legends

221

222 **Fig. 1.** Stills from the video of *Sphyrna lewini*: A) Cropped image of the shark as it passed
223 close to the ROV, B) full screen view as the shark swims out of shot close to one of the
224 marker buoys at the seabed.

225

226 **Fig. 2.** Water column temperature and salinity at the observation site (red) and temperature,
227 salinity and oxygen profiles at a nearby site.

228

229

230

231 Figures:

232

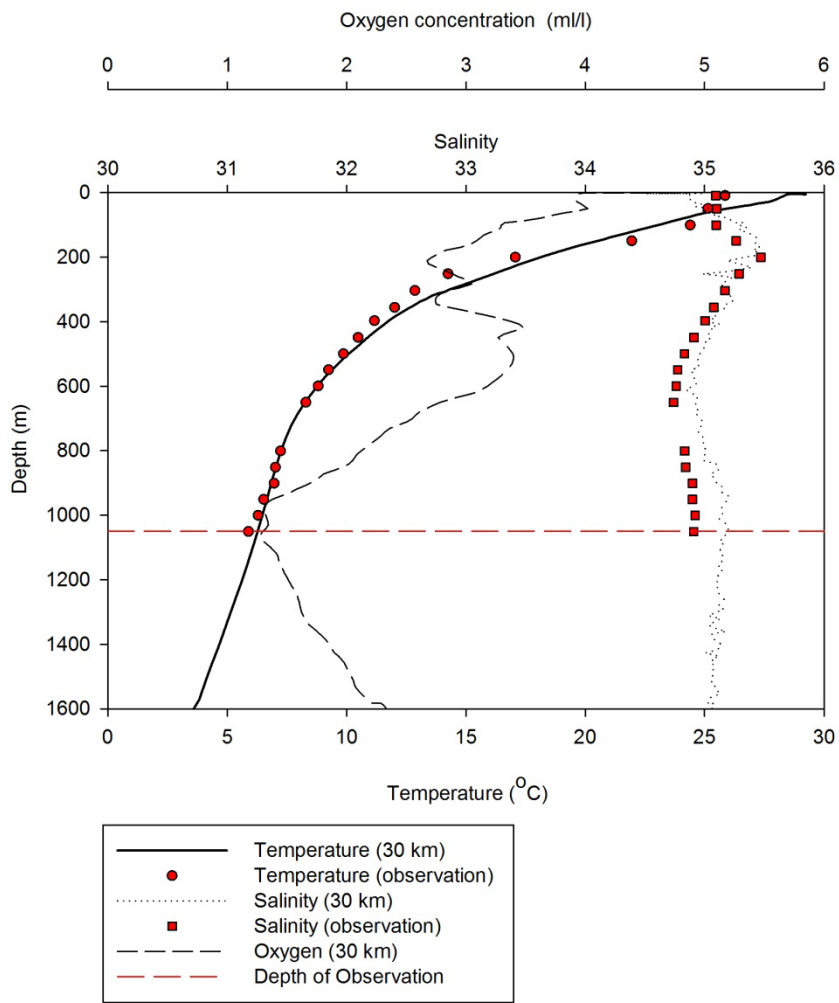
233 Figure 1:



234

235

236 Figure 2:



237

238

239

240 Appendix:

241

242 Video is available for download at: <http://www.serpentproject.com/pubsuppmat1.php>

243