

1 Demography of the critically endangered Balearic shearwater: impacts of
2 fisheries and time to extinction

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36 **Summary**

- 37 **1.** The Balearic shearwater *Puffinus mauretanicus* is the most endangered
38 European seabird, and a decade ago the time to extinction was estimated at only
39 ~40 years. As with many marine predators, the species is affected by
40 commercial fishing in opposing ways: as a source of mortality from bycatch, and
41 also by providing discards as a predictable and abundant food resource.

- 42 **2.** Since the previous assessment in 2004, new demographic and population data
43 have become available, more sophisticated demographic modelling has been
44 developed, and new fishing policies from the European Union (CFP) will apply,
45 posing different scenarios for the viability of the Balearic shearwater. So there is
46 an urgent need for a more reliable update of the conservation status of the
47 species.

- 48 **3.** Demographic data were collected between 1985 and 2014 at one of the world's
49 largest colonies. Most demographic parameters were estimated using multi-
50 event capture-recapture modelling. Some parameters, such as bycatch
51 probability, immature survival and recruitment were estimated for the first time.
52 We incorporated estimates into stochastic population models to assess viability
53 and the forecast time to extinction under different management scenarios,
54 accounting for upcoming fishing policies.

- 55 **4.** Adult survival was much lower (0.813, SE: 0.013) than expected, and largely
56 influenced by bycatch, which accounted for a minimum of 0.45 (SE: 0.231) of
57 total mortality. Survival estimate must be taken as conservative, as our study
58 colony was free of invasive predators. Breeding success was positively
59 correlated with discard availability. Recruitment started at low rates in 3-year
60 old birds (0.037, SE: 0.056), increased sharply in following age classes and was
61 almost complete at 6 years of age. Under the present scenario of bycatch
62 mortality and discards availability, we predict a time to extinction of 63 years
63 (95% CI: 61-65).

- 64 **5.** *Synthesis and applications.* Population projections suggest that impact of
65 fisheries is unsustainable. The buffering of higher breeding success and fast
66 recruitment seems limited because of the low influence of these parameters on

67 population growth rate. Nevertheless, imminent discard bans under new CFP
68 may accelerate the declining trend. This study shows that urgent mitigation
69 measures are needed to minimize the impact caused by bycatch mortality in
70 fisheries.

71 **Keywords:** conservation, bycatch, discards, environmental policies, marine predators,
72 multi-event capture-recapture, population models, survival.

73

74 **Introduction**

75 Effective management of species, especially for threatened taxa, should begin by
76 estimating with accuracy their demographic parameters in order to assess population
77 growth rate, elaborate a conservation diagnosis and make predictions about the fate of a
78 population (Caswell 2001; Morris & Doak 2002). More importantly, conservation-
79 oriented science informs managers about what demographic parameters are
80 unsustainable, aiding optimization of priority actions and ensuring efforts are
81 concentrated on those parameters to be remedied (e.g. Norris 2004). This is essential
82 when dealing with endangered species, because time to reverse sharp declining trends is
83 limited and good management should target the most effective actions. However many
84 of those endangered species are neither abundant nor widespread, and surveillance
85 monitoring typically provides weak inferences about population declines.

86 This is the case for the Balearic shearwater *Puffinus mauretanicus*, the most threatened
87 seabird in Europe and listed as critically endangered, the highest IUCN threat category
88 for a taxa in the wild (BirdLife International 2015). Balearic shearwaters breed in
89 mostly inaccessible sites, so it is unfeasible to monitor population trends using counts,
90 abundance indexes or similar techniques. Categorization of the species was made 10
91 years ago using scattered demographic information applied to a population viability
92 analysis (PVA) that predicted a mean extinction time of only ~40 (Oro *et al.* 2004).
93 Since that assessment, new demographic and population data have become available,
94 more sophisticated demographic modelling has been developed, and new fisheries
95 policies from the European Union introduced (CFP); these changes pose a different
96 future scenario for the Balearic shearwater and highlight the urgent need for a more
97 reliable update of its conservation status.

98 The census of the breeding population was recently updated to ~3,200 pairs, a much
99 larger figure than the previous estimate of 2,000 pairs. Recent at-sea and coastal-based
100 surveys suggest a global population in the range of 20,000-30,000 individuals (Arcos
101 2011; Arcos *et al.* 2012; Arroyo *et al.* 2014). These new figures make necessary a
102 reassessment of the conservation status of the species, but to do it properly also survival
103 estimates should be updated, and bycatch mortality quantified (Oro *et al.* 2004; ICES
104 2013). Incidental capture or bycatch represents ~8% of global fisheries production
105 (Kelleher 2005) and is a major threat for seabirds, particularly for the Procellariiforms
106 (Anderson *et al.* 2011, Croxall *et al.* 2012), and the Balearic shearwater is no exception
107 (Cooper *et al.* 2003; Laneri *et al.* 2010; ICES 2013). Conversely, there is also evidence
108 that Balearic shearwaters also reap some benefit from fisheries through discard
109 scavenging, e.g. (Arcos & Oro 2002) found that >40% of the energy requirements of
110 Balearic shearwaters during the breeding season came from trawler discards. The
111 increase in food availability provided by discards (and their high predictability in space
112 and time) seems to be responsible for the growth of many seabird populations, mediated
113 by a positive effect on reproductive success and probably also survival (Oro *et al.*
114 2013). However, forthcoming CFP regulations, aimed at banning discard practices,
115 could negatively influence the breeding performance of the species, at least in the short
116 term (Bicknell *et al.* 2013). More positively, bycatch mortality is expected to decrease in
117 the future, as increasing awareness leads to mitigation action through a specific Plan of
118 Action (see Table S3).

119 We used historical ringing and recovery data collected between 1985 and 2014, and
120 new at-sea estimates of population size to (i) update adult survival probability and
121 estimate for the first time: immature survival, recruitment probability by age, and the
122 probability of dying in fishing gears, by using multi-event capture-recapture modelling;
123 (ii) estimate breeding success and assess the influence of fishing discards on this
124 parameter; and (iii) construct population models for assessing the probability of
125 extinction under several scenarios considering the contrasting effects of fisheries. While
126 Oro *et al.* (2004) used a limited number of years to estimate demographic parameters,
127 the present study uses recently developed capture-recapture models that allowed us to
128 exploit a much larger data set covering 30 years of monitoring.

129 **Methods**

130 STUDY AREA AND FIELD METHODS

131 Data were collected at Sa Cella cave (Mallorca), one of the largest colonies of the
132 species (~170-200 breeding pairs), between 1985 and 2014, except for the period 2005-
133 2009, when the colony was not monitored. The colony is free of carnivores and rats, and
134 legally protected.

135 Adults and chicks were trapped by hand, marked with stainless-steel rings with a
136 unique code (see details in Oro *et al.* 2004) and their breeding status assigned (either
137 breeder or unknown). Recoveries were obtained from the Spanish ringing office
138 (SEO/BirdLife) and provided by fishermen, researchers and wildlife recovery centres.
139 Each recovery was assigned as caused by fishing longlines (carrying a hook) or
140 unknown.

141 ANALYSIS OF DEMOGRAPHIC PARAMETERS

142 To estimate survival, recruitment and probability of dying in fishing gears, we used
143 multi-event capture-recapture modelling (Pradel 2005). These models hold two levels in
144 capture-recapture data: the field observations, called “events”, which are encoded in the
145 capture histories, and the “states”, another level of data that can only be inferred. The
146 “states” would be defined depending on the biological question to answer. The states
147 changed over time according to a Markov process and the events were generated from
148 the states on each occasion (Genovart, Pradel & Oro 2012). Models were fitted in
149 program E-SURGE (Choquet, Rouan & Pradel 2009), which distinguishes three basic
150 types of parameters: the initial state probabilities, the transition probabilities between
151 states, and the event probabilities. Model selection relied on QAICc, i.e. the Akaike
152 Information Criterion corrected for overdispersion and for small sample sizes (Burnham
153 & Anderson 2002).

154 Owing that there is no goodness-of-fit test available for multi-event models, we
155 assessed the fit of a model that only retains whether an individual is encountered or not
156 (Cormack-Jolly-Seber type models) using U-care (Choquet *et al.* 2009).

157 Given the capture-recapture effort was no uniform during all study years, we initially
158 performed an analysis with a reduced dataset containing no data collection gaps, to
159 extract reliable estimates of age at recruitment (*Recruitment analysis*). We subsequently
160 carried out a second analysis on the complete dataset, and fixed age of recruitment to

161 estimate both immature and adult survival, and the probability of fisheries-related
162 mortality (*Global analysis*). We distinguished between breeder and non-breeder
163 survival, because those not observed as breeders may be transients, and therefore
164 artificially reduce estimated local survival rates. Our model incorporated an error
165 probability of ascertaining an individual's breeding status, i.e. the probability that a
166 breeder was not observed to be breeding.

167 *Recruitment analysis*

168 Using data from 1994-2004, we classified individuals into two groups based on the age
169 at first capture (chicks and adults). Models included three biological states: alive
170 breeder (B), alive non-breeder (NB) and dead (D). The last state was not observable and
171 the initial state in our models was always NB in animals marked as chicks. Transitions
172 between states were modelled in a two-step approach: survival and recapture
173 probability. In each capture-recapture occasion (t') we considered 3 possible events:
174 individual not seen (noted 0), individual seen alive but with unknown breeding status
175 (noted 1) and individual seen breeding (noted 2). Recruitment was defined as the
176 probability r_i of breeding for the first time at each age i and equalled the transition
177 between state non-breeder to breeder. As birds do not visit the colony before being 3
178 years old (Oro *et al.* 2004, own data), survival was modelled separately for both
179 immature (1 and 2 year old) and adults (for breeders and non-breeders), and was kept
180 constant in all models. We also undertook a model run to test whether survival of
181 immature was equivalent to non-breeding adults. Then, we tested several models
182 considering different age curves at recruitment, starting at 3 years old and with full
183 recruitment from 3 to ≥ 7 years old. We had no power to test for longer age curves of
184 recruitment, although results suggested that recruitment at older ages was likely rare.

185 *Global analysis*

186 We analysed all data available from 1985 to 2014 and, as previously, individuals were
187 classified in two groups based on their age at first capture. Models included five
188 biological states: alive breeder (B); alive non-breeder (NB); individual recently dead by
189 longline bycatch (RF); individual recently dead by unknown causes (RD); and dead (D),
190 this last state being non-observable. The initial state in our models was always NB for
191 chicks, and individuals younger than 3 years old were never observed. Transitions
192 between states were modelled in a three-step approach: survival, recruitment probability

193 and probability of death in bycatch events (conditional on survival). In each capture-
194 recapture occasion (t') we considered five possible events: Individual not seen (noted
195 0); individual seen not breeding (noted 1); individual seen breeding (noted 2);
196 individual found recently dead by bycatch (noted 3); individual found recently dead by
197 unknown causes (noted 4).

198 As in the Recruitment analysis, we assumed two different survivals: immature and
199 adult, the latter considering different survival for breeders and non-breeders. Given that
200 only ringing (but not recapture) was carried out from 1985 to 1996, we estimated
201 survival separately for the two periods (1985-1996 and 1997-2014), and assumed only
202 estimates from the second period were reliable. We additionally undertook one model
203 run assuming the same survival for the whole study period, to be more confident in our
204 assumptions. We also tested for a time variant survival for immature and adults. We
205 estimated the probability of dying in fishing gears and we additionally tested an age
206 effect on this probability, i.e. separately for immature and adults. After modeling first
207 recapture probabilities and keeping the remaining parameters time varying (models not
208 shown), we selected a model in which recapture probabilities were kept time-variant
209 except for the last five years of the study, when fieldwork effort was rather constant. As
210 we had no enough data to check if recovery probability varied over time, we kept it
211 constant.

212 Once the probability of bycatch was estimated, we then estimated hypothetical survival
213 without incidental capture, both for immature and adults. We did so by adding the
214 estimated probability of dying in fishing gears to the survival probability.

215 *Breeding success and fishing discards*

216 Sant Carles de la Rapita harbour holds the bulk of the important trawling fleet operating
217 off the Ebro Delta, where Balearic shearwaters often forage (Louzao *et al.* 2006). The
218 amount of trawling discards and trawling landings are correlated (Oro & Ruiz 1997),
219 thus we used the statistics of trawling landings at this harbour between March and June
220 (i.e. encompassing most of the breeding cycle) as a proxy of inter-annual variability in
221 food availability. Breeding success of monitored study nests was calculated between
222 1997-2004 and 2010-2013, as the percentage of fledglings by eggs laid each season. We
223 then used generalized linear models (GLM), with a logit link function and binomial
224 error, to test for the potential association between our proxy of food availability and the

225 breeding success for the 12 year period. The intercept of this logistic regression function
226 corresponded to the estimated breeding success in the absence of discards, and this
227 value was used as the breeding success in the scenarios with discard banning.

228 POPULATION MODELLING

229 We formulated a seven stage-class matrix population model (Table S1 in Supporting
230 Information, Fig. 1) to assess the population growth rate of Balearic shearwaters under
231 current and possible future environmental conditions. The model followed a pre-
232 breeding census format, and was based only on females; assuming equal survival
233 between sexes and monogamy (Oro *et al.* 2004). All projection models were developed
234 and executed in program R (<http://cran.r-project.org>).

235 *Deterministic analysis*

236 We first carried out a projection for the next 100 years on a deterministic model that
237 included mean values of the estimated vital rates and yielded the deterministic
238 population growth rate or λ (largest eigenvalue of the population matrix, Caswell
239 (2001)). All the vital rates used in the model were derived from this study, except the
240 probability of skipping breeding, which we obtained from Oro *et al.* (2004). To
241 initialize the models we used the highest available estimate of current population size,
242 obtained from at-sea censuses (Arcos *et al.* 2012; Arroyo *et al.* 2014). In addition to
243 population growth rates, the deterministic model was used to estimate other important
244 information, such as the stable age distribution, generation time, reproductive value and
245 the sensitivities and elasticities.

246 *Stochastic analysis*

247 While the deterministic growth rate describes the population trend for constant,
248 invariant vital rates, we also constructed a stochastic model to account for variability in
249 those rates and hence the risk of population decline or extinction. To do so, we picked
250 random values for survival and fertility rates from beta distributions in each year of
251 simulations, using the mean and variance values from our field data and capture-
252 recapture analysis. We did not consider density-dependence in our model because
253 population growth rate was negative in all cases. Models were run using Montecarlo
254 simulations for '100 years' and '1000 population' trajectories. We ran models under
255 different scenarios considering the current fisheries impact, and hypothetical scenarios

256 with different combinations of bycatch intensity and discard availability according to
 257 EU fishing policies (Table 1). We also set some scenarios using the lowest survival
 258 estimates from a range of published values for similar Procellariiforms of the *Puffinus*
 259 genus, which are less affected by bycatch and other anthropogenic mortalities (Table
 260 S2). In all scenarios, survival of non-breeders was considered to be equal to the survival
 261 of breeders, because we assumed that environmental stochasticity equally affected the
 262 two groups (Table 1). Under all scenarios we estimated the mean stochastic population
 263 growth rate (λ_s) over a short and relevant time horizon of 100 years from 1000
 264 projections, together with 95% confidence intervals:

$$265 \quad \lambda_s = \frac{1}{1000} \sum_{i=1}^{1000} \exp \left[\frac{\ln(N_i(T=100)) - \ln(N_i(T=0))}{100} \right]$$

266

267 *Detecting overharvesting*

268 We further evaluated the impact of longline bycatch as an additional source of
 269 mortality, using the “potential biological removal” PBR (Dillingham & Fletcher 2008).
 270 We first calculated the maximum potential annual growth rate (λ_{\max}) by means of the
 271 “demographic invariant method” DIM (Niel & Lebreton 2005):

$$272 \quad \lambda_{\max} \approx \frac{(s\alpha - s + \alpha + 1) + \sqrt{(s - s\alpha - \alpha - 1)^2 - 4s\alpha^2}}{2\alpha},$$

273 which assumes constant adult survival probability s and the average age at first
 274 reproduction α . Since s of Balearic shearwaters was affected by longline bycatch (see
 275 Results), we took the average minimum survival estimates from studies on closely
 276 related Procellariiforms not affected by additive mortality (0.917, see Table S2). To
 277 obtain α we first calculate α_i (the probability of a bird of age i to be a first-time breeder)
 278 from our recruitment probability r_i through the equation:

$$279 \quad \alpha_i = r_i \prod_{y \leq j < i} (1 - r_j), i \leq f,$$

280 where y was the youngest age at breeding and f was the full age at recruitment. From α_i
 281 we obtained α as:

282
$$\alpha = \sum_i \alpha_i,$$

283 which equalled 4.83 for Balearic shearwaters in our study.

284 Then we calculated PBR as:

285
$$PBR = \frac{1}{2} R_{\max} N_{\min} f$$

286 where R_{\max} is the maximum annual recruitment rate, equalling $(\lambda_{\max} - 1)$, N_{\min} is a
287 conservative estimate of population size and f is a recovery factor with values ranging
288 from 0.1 to 1 depending on population conservation status and the best adaptive
289 management action to be taken.

290 To calculate N_{\min} , we took the 20th percentile of the distribution of population size
291 following the equation (Dillingham & Fletcher 2008):

292
$$N_{\min} = \hat{N} \exp\left(-0.84\sqrt{\ln(1 + CV_N^2)}\right),$$

293 where \hat{N} equals 23,780 individuals, and CV_N equals 0.03, using mean and its 95% CI of
294 that estimate provided by Arroyo *et al.* (2014). We set f at a conservative value of 0.1,
295 typical for endangered species.

296

297 **Results**

298 During March-June of 1985-2014 a total of 1,344 individuals were captured and ringed
299 at the study colony, corresponding to 761 chicks (57%) and 583 adults (43%). A total of
300 394 marked individuals were recaptured at least once, of which 179 were marked as
301 chicks and recruited as breeders at the study colony (24% of all ringed chicks). More
302 than half of the marked adults (54%) were never recaptured. We obtained 11 recoveries,
303 five dead from bycatch and six from unknown causes.

304 *Recruitment analysis*

305 The GOF for the Cormack-Jolly-Seber model was poor ($\hat{c} = 3.993$) mainly due to a
306 transient effect from individuals ringed as chicks. Thus, we included age in our models
307 and then corrected for the remaining overdispersion with a $\hat{c} = 2.270$.

308 Two models were best ranked in model selection: while one model suggested that
309 there are four ages of recruitment (from 3 to 6), the other suggested that recruitment was
310 completed at age 5 (Models 1 and 2 respectively, see Table 2). Estimates from the two
311 models were very close, and showed that almost all individuals recruited at 6 years old,
312 with low recruitment at 3 years of age. We took recruitment estimates from Model 2
313 because it had fewer parameters and was more conservative for assessing population
314 viability. Probabilities of recruitment at age i (r_i ; mean and 95% CI) from Model 2
315 were: $r_3 = 0.037$ (0.002-0.450), $r_4 = 0.295$ (0.100-0.611), $r_5 = 0.726$ (0.409-0.910), and
316 $r_6 = 1$ (for 6 years old and older individuals). The model assuming equal survival for
317 immature and non-breeding adults was not well supported (Model 5, Table 2).

318

319 *Global analysis*

320 When analysing the complete data set, the GOF for the Cormack-Jolly-Seber model was
321 poor ($\hat{c} = 3.264$) due to the presence of transients among individuals ringed as chicks.
322 We included age in our models and corrected for remaining overdispersion with a $\hat{c} =$
323 2.350.

324 The model with the lowest QAICc value (Model 1, Table 3) differentiated the two
325 periods with and without recaptures: this model indicated that survival did not vary
326 significantly over the years and it was much lower for 1 and 2 year old (immature) than
327 for older birds: 0.436 (95% CI: 0.353-0.522) and 0.813 (95% CI: 0.787-0.837)
328 respectively. Survival of non-breeders was not estimable given our data. The model
329 considering the whole study period (1985-2014) had a higher QAICc value (Model 3,
330 Table 3) and confirmed that the first period without recaptures was only valuable for
331 using birds marked during this period. Given the limited data on bycatch events, we
332 could not disentangle if there was a different bycatch probability for immature and
333 adults, because both models had similar QAICc values (Models 1 and 2, Table 3).
334 Incidental capture in longlines was estimated at 0.45 (95% CI: 0.124-0.841), which
335 meant that approximately half of mortality was attributable to bycatch, with a
336 probability of mortality from longlines of 0.084 and from other causes of 0.102. Local
337 survival without incidental capture was thus estimated at 0.520 (SE: 0.044) and 0.898
338 (SE: 0.013) for immatures and adults, respectively.

339

340 *Breeding success and fishing discards*

341 Mean breeding success at the study colony was estimated at 0.665 (SE: 0.038), ranging
342 from 0.400 to 0.920 fledglings per breeding pair. Breeding success was positively
343 associated with trawling landings ($z = 3.170$, d.f. = 11, $P = 0.001$, Fig. S1). The
344 intercept of the logistic regression function corresponding to the estimated breeding
345 success in the absence of discards was 0.433 fledglings per pair (SE = 0.137).

346

347 POPULATION MODELLING

348 *Deterministic analysis*

349 The estimated deterministic λ was 0.863, reflecting an annual decline of about 14% in
350 population size, and a generation time of 12.5 years. The stable stage distribution for the
351 species showed that 60.8% of females are breeders (Table S3). Hence, taking into
352 account the recent global population estimate, the number of breeding pairs would be
353 ca. 7,600. We estimated a time to extinction of 63 years (95% CI: 61-65). Sensitivity
354 and elasticity analysis showed that changes in survival of breeding adults, and to a
355 smaller extent the probability of a skipping breeder to reproduce again, had the largest
356 effect on the population growth rate (Table S3).

357 *Stochastic analysis*

358 When adding environmental stochasticity under current conditions, the mean growth
359 rate for the population λ_s was 0.864 (95% CI: 0.824-0.894) (Table 1). The only
360 scenarios with stable or increasing trends were those in which survival reached values
361 comparable to those described for closely related Procellariiforms (scenarios 5 and 6,
362 Table 1, Fig.2). With these higher survival probabilities, the population should avoid
363 extinction even with a ban on discards reducing fertility (Scenario 5, Table 1).

364 *Detecting overharvesting*

365 Using the DIM approach, λ_{\max} was 1.101 (range 1.087-1.112), i.e. that under ideal
366 demographic conditions, the population cannot grow at a rate higher than 11.2% per
367 year. A conservative estimate of population size N_{\min} was calculated at 19,965

368 shearwaters, from which we estimated a PBR of 100 shearwaters dead at fishing gears
369 each year (range 87-112).

370 **Discussion**

371 Fossil records of Balearic shearwaters suggest they had a very large population until the
372 arrival of human colonizers to the Balearic archipelago $\sim 4,2 \cdot 10^3$ years ago, which
373 brought alien carnivores and rodents that have decimated most of the breeding sites
374 (Alcover, Seguí & Bover 1999). Harvesting was also a major pressure in historical
375 times, though it is residual nowadays. New anthropogenic impacts appeared in recent
376 decades, notably habitat loss by urbanization and bycatch in fisheries (Table
377 S3)(Lewison *et al.* 2012). Oro *et al.* (2004) performed a PVA using demographic data
378 from two predator-free sites and concluded that the population would reach extinction in
379 a few decades. Ten years later, our results confirm this prediction, despite considering a
380 larger base population. Our latest results should be considered as more robust, as they
381 are based on a larger (and updated) dataset, and use improved, up-to-date capture-
382 recapture modelling procedures. Moreover, they show that fisheries are a crucial factor
383 for the viability of the species.

384 Under the present scenario we predicted a time to extinction of 63 years, which
385 confirms that the Balearic shearwater is one of the most endangered bird species in the
386 western Palaearctic (BirdLife International 2015). Two opposite biases may have
387 occurred in our study. Firstly, survival and fecundity were probably overestimated,
388 because these parameters are impacted in most colonies by alien predators (Arcos
389 2011), but were not present in the study colony. Secondly, our survival estimates were
390 local, i.e. did not distinguish mortality from permanent dispersal. While this last bias
391 was likely very small for adult survival (breeding dispersal in Procellariiforms is very
392 low, e.g. Sanz-Aguilar *et al.* (2011)), it might be important for immature survival, since
393 natal dispersal may not be negligible (Genovart *et al.* 2007). Overall, our prediction for
394 the current scenario was rather conservative, indicating that urgent conservation action
395 is necessary to halt the extinction of the Balearic shearwater.

396 Perturbation analysis confirmed that changes in fecundity and adult survival have the
397 smallest and the greatest effect on population growth rate, respectively, as previously
398 recorded for shearwaters (Yearsley, Fletcher & Hunter 2003). These analyses also
399 showed that together recruitment and skipping breeding, have a high total elasticity

400 (~25% in total), indicating that the two are probably buffering mechanisms when
401 environmental conditions are poor (increasing sabbatical rates) or when additive
402 mortality occurs (increased recruitment of young birds)(Yearsley, Fletcher & Hunter
403 2003; Jenouvrier *et al.* 2005).

404 THE IMPACT OF FISHERIES

405 Incidental capture in fishing gears represents a major cause of additive mortality for
406 many seabirds worldwide, and it has been the focus of conservation concern and
407 research in the last three decades (Lewison *et al.* 2012). Observer on-board programmes
408 for longline vessels in the Mediterranean have reported low rates of bycatch for Balearic
409 shearwaters (Belda & Sanchez 2001; Laneri *et al.* 2010), although there is increasing
410 evidence of regular mortality, particularly by demersal longlines (ICES 2013).
411 Moreover, events of “mass” mortality, with over 100 birds per event, appear to occur
412 with relative frequency, though they are difficult to detect through observer programmes
413 with limited coverage (Besson 1973; Arcos, Louzao & Oro 2008; ICES 2008; Louzao *et al.*
414 *al.* 2011). Bycatch impacts from other gears, such as trawlers and purse-seine vessels,
415 have also been reported recently (Oliveira *et al.* 2015). Despite there is not a reliable
416 estimate of the number of birds caught per year, there is no doubt that this figure is well
417 over our estimate PBR value, and the estimated bycatch as a minimum of half of the
418 mortality detected in Balearic shearwaters confirm that current fishery impact is
419 unsustainable. Our bycatch estimate should be considered as conservative because some
420 recovered marked birds on beaches were assigned as unknown cause of death, but were
421 probably drowned after release from fishing gear entanglement (Generalitat Valenciana
422 2012). The only scenarios yielding positive population growth rates were those
423 assuming survival rates of other *Puffinus* species with little or no anthropogenic
424 mortality.

425 The imminent scenario arising from EU fishing policies poses both threats and
426 opportunities for the Balearic shearwater, and careful management is recommended to
427 minimise fisheries impacts on this endangered seabird. Seabird bycatch has been
428 incorporated into the EU agenda, and efforts to reduce this source of mortality are
429 expected (Table S3), although so far progress has been very slow (ICES 2013).
430 Conversely, the so-called “discard ban” (Table S3), if ultimately beneficial for the
431 marine ecosystem, could bring negative effects for the Balearic shearwater and other

432 seabirds in the short term (Bicknell *et al.* 2013). First, it could accelerate the decline of
433 the species by reducing breeding success. Second, attendance and bycatch risk of
434 shearwaters at longliners and other fleets may increase when trawlers do not operate
435 (Garcia-Barcelona *et al.* 2010; Laneri *et al.* 2010), so a discard ban might increase
436 bycatch and accelerate extinction probabilities. On the long term, however, if the
437 discard reduction is actually accompanied by efforts to increase selectivity and reduce
438 fishing pressure, this should be regarded as a beneficial measure for the seabirds, as fish
439 stocks (i.e. natural prey) are expected to recover.

440 CONCLUSIONS AND RECOMMENDATIONS

442 Survival estimates, as well as bycatch mortality estimated by capture-recapture
443 modelling, suggest that the global population of Balearic shearwaters is not viable in the
444 long term. While the impact of alien predators can, and should be, urgently addressed
445 (Nogales *et al.* 2004), actions to stop or reduce bycatch are fraught with challenges
446 because of the large spatial scales to be covered (Guilford *et al.* 2012; Louzao *et al.*
447 2012), the range of multi-national fishing fleets involved and socio-economic
448 considerations. But reducing bycatch rates in the short term is an urgent conservation
449 priority. More data are required to determine which factors increase bycatch rates and
450 which are the critical areas with highest impact, and it is crucial to then apply measures
451 such as time restrictions on fishing activity, bycatch mitigation technology and
452 practices, as well as the education of stakeholders and consumers. Finally, it is essential
453 to set up demographic long-term studies, to allow researchers to diagnose with
454 reliability the effectiveness of all those actions and to apply an adaptive management
455 process (Lahoz-Monfort, Guillera-Arroita & Hauser 2014). Although this would require
456 long-term financial investment, these studies would also be relevant to a wide range of
457 seabirds and marine predators, as well as the whole marine ecosystem.

458

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469 **Data accessibility**

470 Balearic shearwater data it is available at <http://cedai.imedea.uib->
471 [csic.es/geonetwork/srv/es/main.home](http://cedai.imedea.uib-csic.es/geonetwork/srv/es/main.home).

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Table 1. Estimates of demographic parameters used in population models (standard errors in brackets) for each scenario considered, together with its mean stochastic population growth rate λ_s and 95% confidence intervals. Scenario 1: current situation. Scenario 2: reduced breeding success under future ban of discards. Scenario 3: conditions under future ban of discards but bycatch reduced. Scenario 4: current situation and bycatch reduced. Scenario 5: hypothetical conditions with minimum survival probabilities described for closely related Procellariiforms in optimal environments, and with ban of discards. Scenario 6: Same demographic parameters than scenario 5 but no ban of discards. Sex ratio was set to 0.5 in all models. Recruitment and sabbatical estimates were common for all scenarios; recruitment was 1 for individuals >6 years old.

Scenario	1	2	3	4	5	6
Survival affected by bycatch	yes	yes	no	no	no	no
Discard banning	no	yes	yes	no	yes	no
<hr/>						
Demographic parameter						
Adult survival	0.813 (0.013) *	0.813 (0.013) *	0.900 (0.013) *	0.900 (0.013) *	0.917 (0.014)	0.917 (0.014)
Immature survival (1-2 y)	0.436 (0.044) *	0.436 (0.044) *	0.520 (0.044) *	0.520 (0.044) *	0.853 (0.043)	0.853 (0.043)
Breeding success	0.665 (0.134)	0.433 (0.137)	0.433 (0.137)	0.665 (0.134)	0.433 (0.137)	0.665 (0.134)
Sabbatical probability			0.261 (0.063)			
Recruitment probability						

λ_s	0.861	0.845	0.939	0.959	1.003	1.042
λ_s lower 95% CI	0.829	0.818	0.904	0.923	0.950	0.987
λ_s upper 95% CI	0.892	0.873	0.970	0.993	1.058	1.082

Table 2. Model selection for *recruitment analysis* (see Methods). Notation for recruitment indicated the different age groups considered: for instance, “3, 4, ≥ 5 ” showed different recruitment probabilities for 3, 4 years old and older birds. Np = number of identifiable parameters. w_i = Akaike weight, which represent the relative likelihood of model i .

Model	Survival	Recruitment	Np	Deviance	QAICc	Δ QAICc	w_i
1	Two age classes	3,4,5, ≥ 6	27	2704.913	1247.222	0	0.50
2	Two age classes	3,4, ≥ 5	26	2712.815	1248.633	1.41	0.25
3	Two age classes	3,4,5,6, ≥ 7	28	2704.834	1249.263	2.04	0.18
4	Two age classes	3, ≥ 4	25	2723.411	1251.234	4.01	0.07
5	Breeders/Non Breeders	3,4,5, ≥ 6	26	2728.911	1255.728	8.506	0.01
6	Two age classes	constant	24	2748.448	1260.203	12.98	0.00

Table 3. Model selection from the *global analysis* (see Methods) for estimating survival and the probability of dying in fishing gears, by age (immature and adults). Recruitment probability was fixed at values previously estimated. Given that no resights were carried out from 1985 to 1997, some models considered two separate periods: 1985-1996 and 1997-2014. Recapture probability was fixed to zero in years with no resights. We kept this probability variable in time except for the last five years of the study (2010-2014), when the recapture effort was highly constant among years.

Model	Survival	Bycatch	Recapture	Deviance	QAICc	Δ QAICc	w_i
1	Constant by age, two periods	Constant	1985-2009*t,2010-2014	4681.646	2055.837	0	0.63
2	Constant by age, two periods	By age	1985-2009*t,2010-2014	4679.362	2056.930	1.09	0.37
3	Constant by age, one period	Constant	1985-2009*t,2010-2014	4737.408	2073.347	17.51	0.00
4	Time varying, two periods	Constant	1985-2009*t,2010-2014	4608.289	2102.293	46.46	0.00

Fig. 1. Life cycle diagram used to project the Balearic shearwater population (pre-breeding census). Birds indicated age-stage classes: N1: individuals 1 year old, N2: 2 years old, N3_{NB}: 3 years old not recruited, N4_{NB}: 4 years old not recruited, N5_{NB}: 5 years old not recruited, N_B: breeders, N_{NNB}: animals in sabbatical that had bred at least once. γ : sabbatical probability, $r_{3,4,5}$: recruitment probability (probability of breeding for the first time) at 3, 4 and 5 years old respectively; p : hatching sex ratio, S1: immature survival (first and second year of life); S2: adult survival for a non-breeder; S3: adult survival for a breeder; f : fertility (fledging/female*year).

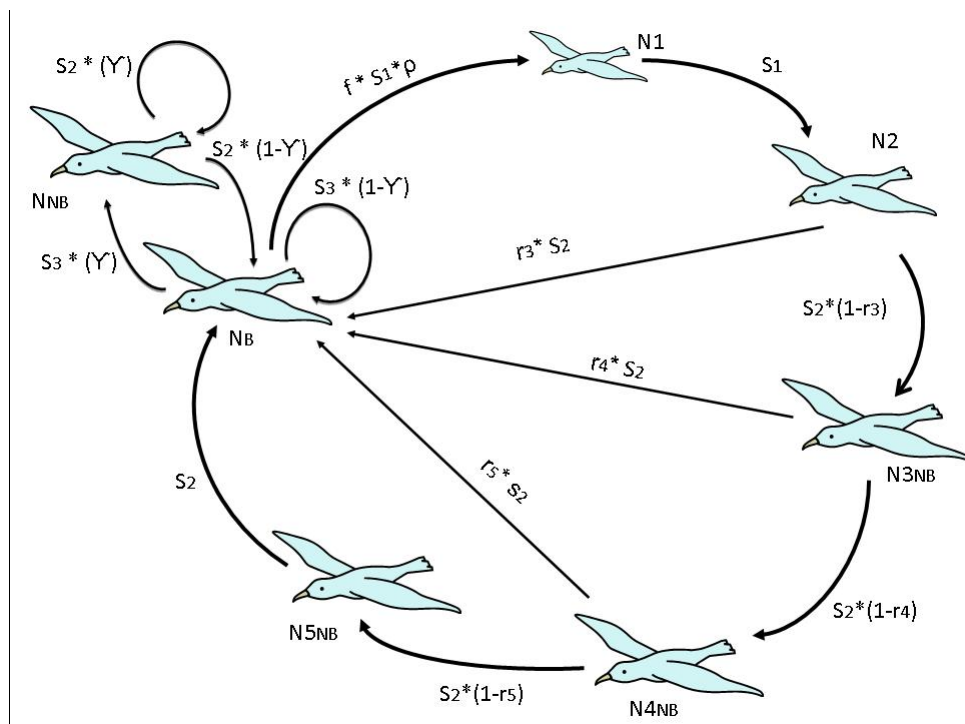


Fig. 2. Stochastic projections of Balearic shearwater population over 100 years under different scenarios proposed 1) current situation, 2) reduced breeding success under future ban of discards, 3) conditions under future ban of discards but bycatch reduced, 4) current situation and bycatch reduced, 5) hypothetical conditions with optimal survival probabilities and discard banning, 6) current conditions but with optimal survival probabilities. Each graph shows 20 randomly chosen trajectories from the 1000 population trajectories run in our Monte Carlo simulations.

