Potential for seasonal prediction of Atlantic sea surface

² temperatures using the RAPID array at 26°N

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- 7 Abstract The Atlantic meridional overturning circulation (AMOC) plays a critical role in
- 8 the climate system and is responsible for much of the meridional heat transported by the
- ⁹ ocean. In this paper, the potential of using AMOC observations from the 26°N RAPID array

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to predict North Atlantic sea surface temperatures is investigated for the first time. Using 10 spatial correlations and a composite method, the AMOC anomaly is used as a precursor 11 of North Atlantic sea-surface temperature anomalies (SSTAs). The results show that the 12 AMOC leads a dipolar SSTA with maximum correlations between two and five months. The 13 physical mechanism explaining the link between AMOC and SSTA is described as a seesaw 14 mechanism where a strong AMOC anomaly increases the amount of heat advected north of 15 26°N as well as the SSTA, and decreases the heat content and the SSTA south of this section. 16 In order to further understand the origins of this SSTA dipole, the respective contributions 17 of the heat advected by the AMOC versus the Ekman transport and air-sea fluxes have 18 been assessed. We found that at a 5-month lag, the Ekman component mainly contributes 19 to the southern part of the dipole and cumulative air-sea fluxes only explain a small fraction 20 of the SSTA variability. Given that the southern part of the SSTA dipole encompasses the 21 main development region for Atlantic hurricanes, our results therefore suggest the potential 22 for AMOC observations from 26°N to be used to complement existing seasonal hurricane 23 forecasts in the Atlantic. 24

Keywords Atlantic Meridional Overturning Circulation · RAPID array · Seasonal potential
 predictability · Sea Surface Temperature · Air–sea heat flux

27 **1 Introduction**

The Atlantic Meridional Overturning Circulation (AMOC), consists of a net northward flow 28 of warm water in the upper ocean (typically in the top 1000m), which is compensated at 29 greater depths by a cold southward return flow (e.g. Trenberth and Caron (2001), Ganachaud 30 and Wunsch (2002), Wunsch (2005)). The AMOC has long been used in order to investigate 31 the origin of interannual to decadal variability in the climate system. Indeed, both observa-32 tional and modelling studies support the idea that the decadal climate variability in the North 33 Atlantic has been closely related to the AMOC (e.g. Gordon et al (1992), Winton (2003), 34 Latif et al (2004), Herweijer et al (2005)). Consequently, several climate predictability stud-35 ies focused on, first, trying to predict the AMOC (Matei et al (2012), Pohlmann et al 36 (2013)) and second, assessing its impact on climate (Collins and Sinha (2003), Keenlyside 37 et al (2008), Msadek et al (2010), Robson et al (2012a), Persechino et al (2013), Robson 38 et al (2014)). 39

Interest in the AMOC has been stimulated by the prospect of its gradual weakening 40 during the 21st century as suggested by the climate model scenarios of the 4th and 5th Inter-41 governmental Panel on Climate Change (IPCC) assessment reports (Solomon et al (2007), 42 Stocker et al (2013)). Climate model forecasts suggest a decline of the AMOC by 25% 43 over the next few decades Bindoff et al (2007). Over the past decade, a decrease in the 44 subtropical AMOC has been observed (Smeed et al (2014)) in addition to increased At-45 lantic sea-surface temperatures (SSTs) (Buchan et al (2014)), and an upward trend in 46 Atlantic hurricanes has been observed since 1995 (Goldenberg et al (2001), Emanuel 47 (2005), Sriver and Huber (2007), Klotzbach and Gray (2008), Strazzo et al (2013)). A 48 possible degree of causality exists between these processes and indicates that measuring the 49 large scale ocean circulation could be a useful tool in assessing seasonal hurricane formation 50

probabilities, in addition to other climate indices. As the AMOC transport results in a net 51 northward transport of heat around 1 PW (10¹⁵ Watt), it makes a substantial contribution 52 to the mild maritime climate of Northwest Europe and any slowdown in the AMOC would 53 have profound implications for climate in the North Atlantic region. Investigating the link 54 between the AMOC and the SST on decadal timescales, and using coupled climate models, 55 Stouffer et al (2005) found that a hypothetical 100-year shut down in the AMOC would lead 56 to an increased temperature in the southern hemisphere and a decrease of temperature in the 57 northern hemisphere up to 12°C around Greenland and the Nordic Seas. 58

Since the AMOC transports upper-ocean heat across latitudes, it has been proposed 59 that it may lead to large-scale climate patterns, through the development of SST anoma-60 lies (SSTAs) (Robson et al (2012a), Robson et al (2012b)). Results from numerical models 61 suggest that the intra-annual AMOC variability may be rather local and that there is little 62 correlation between the variability found e.g. at 26°N and locations situated a few degrees 63 further north or south (Hirschi et al (2007), Bingham et al (2010)). The implications of a 64 limited meridional coherence of the AMOC on subannual timescales means that there can 65 be anomalous convergence and divergence of heat in the ocean (Cunningham et al (2013), 66 Sonnewald et al (2013), Bryden et al (2014)). An accumulation of heat into a region can re-67 sult in higher SSTs, and therefore, the AMOC could be an indicator for a developing SSTA. 68 This simple idea is the motivation for us to test whether the available AMOC observations 69 from 26°N can be used to predict the formation of SSTAs. 70

Since April 2004, an observing system for the AMOC has been deployed and maintained at 26°N in the Atlantic in the framework of the UK–US RAPID–MOCHA project (Hirschi et al (2003), Cunningham et al (2007)). It provides continuous measurements of the strength and vertical structure of the AMOC and its associated heat flux. The decade long time series has provided unexpected insights into the behaviour of the AMOC from seasonal to interannual timescales. One important finding of the RAPID–MOCHA campaign has been that
even on intrannual timescales the AMOC exhibits a large temporal variability (Fig. 1). On
these timescales, the AMOC variations are caused by both fluctuations in the density field
and in the wind stress (Hirschi et al (2007), Chidichimo et al (2010), Kanzow et al (2010),
Duchez et al (2014)).

Large fluctuations in the AMOC have also been found on interannual timescales and McCarthy et al (2012) showed a 30% decline in the AMOC for 14 months during 2009–10, where the AMOC transport was 6 Sv weaker in the mean compared to the previous years.

This weak AMOC transport is attributed to an anomalously high southward thermocline 84 transport (where the typical seasonal cycle has vanished) and extreme southward Ekman 85 transports in the winter period. Roberts et al (2013) found that the amplitude of this ob-86 served slowdown was extraordinary compared to the simulated AMOC variability and such 87 a weakening was not represented in the variability of a set of 10 CMIP5 coupled climate 88 models. This AMOC event led to a reduced northward ocean heat transport across 26°N by 89 0.4 PW resulting in colder waters north of 26°N and warmer waters south of 26°N, a spatial 90 pattern that helped push the wintertime atmospheric circulation during both 2009-10 and 91 2010-11 into record-low negative North Atlantic Oscillation (NAO) conditions associated 92 with severe winter conditions over northwestern Europe (Taws et al (2011), Cunningham 93 et al (2013), Sonnewald et al (2013), Bryden et al (2014), Buchan et al (2014)). In 2010, the 94 warming south of 26°N also coincided with the strongest Atlantic hurricane season since 95 2005 (Bender et al (2010)). 96

The 2009–2010 AMOC event is a good example illustrating the main hypothesis of this paper. While the AMOC and Meridional Heat Transport (MHT) reduced at 26°N during this period of time, the MHT did not reduce as much at 41°N (Johns et al (2011), Hobbs and Willis (2013), Bryden et al (2014)). There was thus more heat moving northward through

41°N than coming in at 26°N resulting in an anomalous divergence of heat between these 101 two latitudes. Bryden et al (2014) showed that the SST patterns in winter 2009-2010 con-102 ditions were not primarily due to air-sea interactions. Consequently, since volume transport 103 governs heat transport, and the heat transport north of $41^{\circ}N$ did not change much, and the 104 surface fluxes did not change enough to explain the cooling, the widespread cooling of the 105 North Atlantic was attributed to the changes in the AMOC at 26°N. The main goal of this 106 paper is to generalise the hypothesis that the AMOC has an influence on the North Atlantic 107 SSTs and assess the link between these two quantities more generally for the 2004-2014 108 period. We use the first decade (2004-2014) of AMOC observations at 26°N as a precursor 109 of the SST over the North Atlantic region, and aim to determine to what extent knowing 110 the AMOC allows us to predict SSTs. We thus investigate the link between the observed 111 AMOC anomalies at 26°N and satellite based SSTA data (Reynolds et al (2007)), with the 112 AMOC leading the SSTA fluctuations. Section 2 describes the datasets and methods used 113 in this paper. In section 3, we assess the correlation pattern between the AMOC and the 114 North Atlantic SSTAs when the AMOC leads the SSTAs. A discussion and summary of the 115 paper are given in sections 4 and 5, where we further discuss the possible physical mecha-116 nisms behind the correlations between AMOC and SSTA when the SSTA leads, alongside 117 hypotheses on the impact of seasonal SST predictions for Atlantic hurricane forecasting and 118 extreme weather in Northwestern Europe. 119

120 2 Data and Method

121 2.1 Data

The data used in this paper cover the period April 2004 – March 2014 and comprise the AMOC observed by the RAPID array at 26°N, satellite based SST data and air-sea fluxes from ERA–Interim (Dee et al (2011)). Monthly data are used throughout and the seasonal cycle is removed from these three datasets.

126 2.1.1 Calculation of the AMOC by the RAPID array

The AMOC as observed by the RAPID array is defined as the sum of the Gulf Stream 127 through the Straits of Florida (the Florida Straits transport, FST), the meridional Ekman 128 transport (EKM), and an interior transbasin transport estimated from the mooring array. 129 The FST has been monitored using a submarine cable between Florida and the Bahamas 130 using the principles of electromagnetic induction (Baringer and Larsen (2001)) with daily 131 estimates, and repeated ship sections since 1982. The Florida Current cable and section data 132 are made freely available on the Atlantic Oceanographic and Meteorological Laboratory 133 web page (www.aoml.noaa.gov/phod/floridacurrent/). 134

The meridional component of wind–driven Ekman transport is calculated from the zonally– integrated meridional ERA–Interim wind stress across 26°N from the shelf off Abaco (Bahamas) to the African Coast. This transport is applied in the top 100 m.

Finally, the transbasin transport includes a directly estimated component, west of 76.75°W, 138 a geostrophic component east of 76.75°W and a uniform compensation transport, chosen to 139 enforce zero net transport across 26°N (including transbasin, Florida Current and Ekman 140 transports) on a 10-day timescale. This compensation term effectively replaces the choice 141 of a level of no-motion as typically used for transports estimated from hydrographic sec-142 tions (Roemmich and Wunsch (1985), Bryden et al (2005)). To estimate the geostrophic 143 component of the transbasin transport, the principle of the array is to estimate the zonally 144 integrated geostrophic profile of northward velocity from measurements of temperature and 145 salinity at the eastern and western boundary of the array using the thermal wind relationship. 146 Overall, the AMOC strength is computed as: 147

$$AMOC(t) = FST(t) + EKM(t) + UMO(t),$$
(1)

where UMO (for Upper Mid-Ocean) is the transbasin transport above the depth of max-148 imum overturning. Data are processed and made available through the RAPID website 149 (http://www.rapid.ac.uk/rapidmoc) with a temporal resolution of 12 hours. In the follow-150 ing work, the data obtained from April 2004 to March 2014 were monthly averaged and 151 deseasoned by removing the 12-month climatology obtained from the monthly data. The 152 12-month climatology is a timeseries defined as the mean of all January data, February 153 data, and so on, up to December. Then, each component (AMOC, FST, EKM and UMO) 154 was de-trended and filtered with a 2-month running mean. 155

From April 2004 to March 2014, the mean AMOC strength was 17.0 ± 3.3 Sv (1 Sv=10⁶ m³s⁻¹), FST was 31.4 ± 2.3 Sv, EKM was 3.6 ± 2.0 Sv, and the UMO transport was -17.9 ± 2.7 Sv¹ Full details of the 26°N AMOC calculation can be found in McCarthy et al (2014).

159 2.1.2 SST Data

SST data are collected from the NOAA optimum interpolation dataset (NOAA OI, Reynolds 160 et al (2007), http://www.esrl.noaa.gov/psd/data/gridded/data.noaa.oisst.v2.html). This dataset 161 has a resolution of $1^{\circ} \times 1^{\circ}$, and is based on global satellite observations. SST data were pro-162 cessed the same way as the RAPID data. The data were deseasoned (and subsequently re-163 ferred to as SST anomalies: SSTAs) using the climatology obtained from the monthly SST 164 data from December 1981 to March 2015 (the longest possible period is used to obtain 165 a robust seasonal cycle) before being de-trended and filtered. We then extracted the data 166 from April 2003 to March 2015 to span the RAPID era (April 2004 - March 2014). These 167

¹ Positive and negative numbers indicate northward and southward transports, respectively. (the standard deviations mentioned here are based on monthly data after removal of the mean seasonal cycle and the trend).

data were extracted one year before and after the RAPID era in order to perform
 lagged correlations between the SST data and the AMOC timeseries and components.

170 2.1.3 Air–Sea heat fluxes

Changes in the local air-sea heat fluxes are a likely contribution to observed SSTA pat-171 terns. The heat flux can be divided into four components, the net shortwave and longwave 172 radiation and the sensible and latent heat flux anomalies. Variability in the net shortwave 173 radiation will depend on changes in cloudiness and the sea-ice albedo. Changes in the net 174 longwave radiation are due to changes in the lower atmospheric temperature, cloudiness, 175 or SST. Longwave radiation anomalies tend to damp SSTAs. The sensible and latent heat 176 fluxes depend on gradients between the lower atmosphere and the sea surface in temperature 177 and water vapor pressure respectively. Both latent and sensible heat fluxes depend strongly 178 on the surface wind speed and thus are well correlated. 179

The air-sea flux (ASF) anomalies used in this paper are extracted from the ERA-Interim 180 reanalysis (Dee et al (2011)) and comprise all four components of the net heat flux (sensible, 181 latent, shortwave and longwave radiations). ERA-Interim is a global atmospheric reanalysis 182 from 1979, continuously updated in real time. The spatial resolution of the data set is approx-183 imately 80 km on 60 vertical levels from the surface up to 0.1 hPa. The ERA-Interim data 184 used in this study were downloaded from http://apps.ecmwf.int/datasets/data/interim-full-185 daily/. Analyses using the ERA-Interim ASFs cover the same period April 2004 - March 186 2014, and the ASF anomalies were calculated by removing the seasonal cycle from 1979 to 187 2012. 188

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In section 3.3.1, where the role of ASFs on the development of SSTA patterns is as-
sessed, the ERA–Interim SST dataset is used in order to avoid any unnecessary regridding
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193 2.2 Method

¹⁹⁴ Unlike previous studies which aimed at predicting the AMOC variability (Hawkins and ¹⁹⁵ Sutton (2009), Robson et al (2012a), Robson et al (2014), Sévellec and Fedorov (2014)), we ¹⁹⁶ assume in this paper that we know the AMOC, and want to know what we can predict from ¹⁹⁷ this starting point.

For this purpose, the RAPID data (the AMOC and components) and the SSTAs were correlated for different time lags. Since our main interest in this paper is to use AMOC information to predict SSTAs, we will mainly focus on situations where the AMOC and its components lead the SSTA fields. These results will be shown in Section 3, while the correlations when SSTAs lead are shown in the discussion section of this paper.

The significance of these correlations is evaluated with a method based on composites. This method consists of generating a thousand random discretised (binary) signals (composites) with similar statistical properties as the RAPID data. For the random selection of months to be statistically comparable to the RAPID AMOC anomaly timeseries we ensure that we randomly pick the same number of months with positive and negative anomalies (i.e. 66 and 54). For example, positive and negative SSTA composites are therefore the averages of 66 and 54 selected months during the 2004–2014 period (Eq. 2 and 3):

$$SSTA^{+} = \frac{\sum_{1}^{N^{+}} SSTA_{t^{+}}}{N^{+}} - \frac{\sum_{1}^{N} SSTA}{N},$$
(2)

$$SSTA^{-} = \frac{\sum_{1}^{N^{-}} SSTA_{t^{-}}}{N^{-}} - \frac{\sum_{1}^{N} SSTA}{N},$$
(3)

where t^+ and t^- are the timings from the positive and negative anomalies in the AMOC (or its components) or from the random sampling mentioned above; N^+ and N^- are the total numbers of positive and negative months and N is the total number of months (N = 120). Therefore, by construction we have:

$$\frac{SSTA^+ \times N^+ + SSTA^- \times N^-}{N} = 0.$$
(4)

We ensure that the temporal properties of the random timeseries are comparable to those 214 of the AMOC observations. For this, we compute lagged autocorrelations for discretised 215 transport timeseries (i.e. -1 for AMOC < 0 and 1 for AMOC \geq 0) and for the equivalent 216 discretised timeseries obtained from the randomly selected timings. For each timeseries the 217 lagged autocorrelations are integrated from lag 0 up to the lag where the first zero-crossing 218 occurs. We only keep the randomly generated timeseries for which the value of the integral 219 is between 0.75 to 1.25 times the value obtained for the RAPID data. We have tested a 220 broader envelope of 0.50–1.50 and our results showed a slightly higher significance for the 221 AMOC-SST correlation. In contrast, narrowing the envelope leads to slightly decreased 222 significance. The range of 0.75-1.25 was found to be a good compromise between allowing 223 too many unrealistic random timeseries or being too strict and not allowing enough freedom 224 for the random timeseries to have enough variety in their temporal properties. 225

Figure 2 illustrates on top the AMOC with the positive (blue) and negative (red) anomalies, and at the bottom, the SSTA (at a specific location in the North Atlantic) for which $SSTA^+$ and $SSTA^-$ are calculated.

In a last step we use the composite method to determine the statistical significance of the correlations between the RAPID timeseries and SSTA. Absolute composite values (i.e. $abs(SSTA^+)$, $abs(SSTA^-)$) are a measure for the covariance between SST and the AMOC. For each grid cell the 1000 random composites provide a distribution of values which we compare to the composite value we obtain when using the observed AMOC timeseries. A correlation in a given grid cell is deemed significant if less than 5% of the absolute values (i.e. $abs(SSTA^+)$, $abs(SSTA^-)$) found for the randomly generated composites are higher than the values for $abs(SSTA^+)$ and $abs(SSTA^-)$ obtained when using the observed RAPID timeseries.

238 3 Results

The datasets previously described are used in this section in order to test our main hypothesis: the AMOC timeseries can be used to predict the SSTA over the North Atlantic. In this section we therefore concentrate on the case where the AMOC leads SSTAs. The case where SSTAs lead the AMOC is discussed in section 4.

243 3.1 The North Atlantic SST response to the AMOC variability

To assess the link between the AMOC at 26°N and the SSTA over the North Atlantic, lagged spatial correlations were calculated for lags from zero to 12 months, where the AMOC leads the SSTA. These correlations are shown in Fig. 3 with the AMOC leading the SSTA by 0, 2, 5, 7, 9 and 12 months. The 95% level of significance in these correlations is obtained using the composite method described in Sect. 2.2 and the strongest signal is found when the AMOC leads the SSTA by 5 months (Fig. 3c).

For this specific lag (Fig. 3c), the correlation pattern exhibits a distinct dipole structure where positive correlations are found between the AMOC and the SSTA southeast of Newfoundland between 26 and 45°N and negative correlations occur in a zonal band reaching from the Gulf of Mexico to the African coast between 10 and 26°N. This occurrence of

positive/negative can be explained with a simple conceptual model schematised in Fig. 4. 254 As mentioned in the introduction, the meridional coherence of AMOC anomalies on suban-255 nual timescales is likely to be small. Therefore, the correlation/anticorrelation pattern in the 256 North Atlantic could be the consequence of a seesaw-like mechanism. A positive AMOC 257 anomaly at 26°N increases the input of oceanic heat into the region north of the RAPID-258 MOCHA section. At the same time a positive AMOC anomaly extracts more heat from the 259 region south of the RAPID-MOCHA section. An increased input and extraction of heat 260 north and south of the 26°N section is consistent with positive and negative SSTAs north 261 and south of the 26°N section. Conversely, a negative AMOC anomaly is consistent with 262 the development of negative and positive SSTAs north and south of the 26°N section. In 263 order to understand the contribution of each of the AMOC components to the emergence of 264 the SSTA dipole, spatial correlations and composites are also calculated between the SSTA 265 and EKM (Fig. 5b), the FST (Fig. 5c) and the UMO transport (Fig. 5d), the components 266 leading the SSTA. For a lag of 5 months, the EKM component mainly contributes to the 267 development of the tropical part of the dipole while the other components seem to equally 268 contribute to the formation of this SSTA dipole. While a weakening in EKM is associ-269 ated with a warming of the SSTA off the western European coast (anticorrelation pattern in 270 Fig. 5b), a strengthening in the UMO transport also seems to be associated with a warming 271 in this same area (correlation pattern in Fig. 5d). The 95% significance contours indicate 272 that the FST is the component which contributes the least to the development of this SSTA 273 pattern for this specific lag. 274

275 3.2 Spatial and temporal variability of the SSTA over the North Atlantic

276 3.2.1 Spatial pattern of SST variability

To better characterise the variability of the SST over the North Atlantic, we apply an Empiri-277 cal Orthogonal Function (EOF) analysis to the North Atlantic SST field from 5° to 80°N and 278 analyse the spatial structure of the dominant mode of variability of SST during the RAPID 279 era (April 2004-March 2014). Details of the EOF methodology can be found in Preisendor-280 fer (1988). Since we do not want our signal to be contaminated by the seasonal warming and 281 cooling of the SST, the annual cycle (calculated from the full SST timeseries available from 282 December 1981 to March 2015) has been removed from our timeseries and the data are first 283 smoothed with a 2-month low pass filter before calculating the EOFs. 284

The three first EOFs explain almost 40% of the total variance (Fig. 6). The principal 285 component associated with the first EOF shows a large range of variability (up to 2°C) and 286 is characterized by two minima in mid-2005 and mid-2010. The spatial pattern associated 287 with this first mode (Fig. 6b), explains 20.4% of the total variance and is characterized by 288 a distinct tripole structure (also called the North Atlantic SST tripole) that is reminiscent 289 of Atlantic SST patterns discussed in previous studies (e.g. Czaja and Frankignoul (2002), 290 Seager et al (2000), Fan and Schneider (2012)). In this tripole, the tropics (5° to 20°N) 291 and subpolar gyre (50° to 70°N) vary with an opposite sign compared to the subtropical 292 gyre. Buchan et al (2014) and Taws et al (2011) associated this tripole with an exceptionally 293 negative phase of NAO, characterising both cold winters in 2009–2010 and 2010–2011. 294

Earlier work (Seager et al (2000), Fan and Schneider (2012)) based on the net surface heat flux from the NCEP reanalysis, demonstrated that in the latter half of the 20th century this SST tripole pattern was consistent with being forced primarily by the atmospheric heat flux. Schneider and Fan (2012) examined the role of ocean dynamics and concluded that the ²⁹⁹ influence of the simulated AMOC on the SST tripole was minor. The mechanism explained ³⁰⁰ in the previous section of this paper show that **the AMOC may partially explain** the ori-³⁰¹ gin of the subtropical and mid–latitude lobes of the tripole (the 2 patterns at mid and low ³⁰² latitudes) described by this first mode of variability.

The principal component associated with the second mode of variability (explaining 10.1% of the total variance) does not show any particular extreme SSTA value compared to the first mode. The corresponding spatial pattern (Fig. 6c) is also characterised by a tripole pattern which is shifted southward by about 10–15° compared to the first mode, with stronger intensities toward the Nordic Seas and the Atlantic coast of Western Europe as well as an intensified pattern east of Newfoundland.

Finally the principal component associated with the third mode of variability (explaining 8.1% of the variance) shows three maxima, during late 2009, beginning of 2011 and beginning of 2013. The spatial structure associated with this third mode is characterised by a dipole structure north and south of about 30°N but does not resemble the dipole found by relating the AMOC to SSTAs.

314 3.2.2 Temporal relationship between the AMOC and SSTAs

To further relate the AMOC to the main mode of variability of SSTA over the North At-315 lantic, we perform cross correlations between the AMOC, its components, and the principal 316 component associated with the first mode of variability of SSTA (Fig. 7). We are interested 317 here in negative lags when the AMOC leads the SSTA. Some discussion about possible 318 physical mechanisms consistent with the correlations for positive lags will be provided 319 in the discussion section of this paper (section 4). The strongest correlations between the 320 AMOC and SSTA (the AMOC leading) are reached for lags from 2 to 5 months for which 321 the correlations reach a plateau with values above 0.3, which is in good agreement with the 322

results obtained in Sect. 3.1. For a lag of 3–months for example, the corresponding lagged correlation coefficient is 0.37 (compared to 0.16 without lag) and 0.43 if a 3–month low pass filter is applied to both timeseries. In the following we concentrate on the lag of 5 months as this is the longest lead time that is part of the plateau with increased correlations between AMOC and SSTAs shown in Fig. 7.

Since the observed AMOC is calculated as the sum of EKM, FST and UMO transport, all components contribute to the SSTA anomaly patterns associated with the AMOC (Fig. 5). However, we do not expect the AMOC's components to all contribute at the same time due to the different timescales that govern the physical processes underlying each component (Fig. 7). Between EKM and SSTAs the highest correlation occurs for a lag of 1-2 months. Between FST/UMO and SSTAs the highest correlations are found for lags of 3 and 7 months, respectively.

In summary, during the period 2004–2014, the main mode of SSTA variability is characterised by a tripole pattern over the North Atlantic. Following the ideas behind the suggested physical mechanism (described in Sect. 3.1) associated with the 2 to 5–month lagged SSTA response to AMOC fluctuations, the AMOC's contribution seems to be limited to the two southern lobes of the SSTA tripole.

340 3.3 Is this SSTA dipole a direct response to atmospheric forcing?

Given the small meridional coherence across the 40°N boundary in the AMOC on subannual timescales (Bingham et al (2010), Josey et al (2009)), the main hypothesis in this paper is that the variations in the heat advected by the AMOC at 26°N is not likely to be the same further north resulting in a divergence or convergence of heat between the two latitudes considered and the development of SSTAs. Although the link between the volume transport and ³⁴⁶ heat transport has been established (Sonnewald et al (2013)), as well as the link between the
³⁴⁷ heat transport and heat content in the ocean, a change in the heat content is not necessarily
³⁴⁸ accompanied by a change in the SST. Ocean heat content changes may remain confined to
³⁴⁹ the subsurface and SSTAs can directly result from air-sea fluxes.

Changes in ocean temperatures are partly due to radiative and turbulent heat exchanges at the air–sea interface, and due to advective heat transport divergence resulting from varying ocean currents (Bjerknes (1964)). To make sure that the heat advected by the AMOC is responsible for the SSTA dipole structure previously described, we need to make sure that these SSTA fluctuations are not just the response to atmospheric heat fluxes.

355 3.3.1 Air–Sea fluxes

To determine the areas where the SSTA variance is more likely to be explained by air-sea exchanges, spatial correlations between the cumulated air-sea flux (ASF) anomalies and SSTAs are calculated over the North Atlantic (Fig. 8), where ASFs lead SSTAs.

A positive correlation indicates that both the ASF anomalies and SSTAs vary with the 359 same sign. This can occur if positive ASF anomalies (which imply either that more heat 360 is gained by the ocean or less heat is lost) tend to be co-located with positive SSTAs (or 361 vice versa i.e. negative heat flux anomalies with negative SSTAs). In each case, the SSTA is 362 consistent with an ocean response to atmospheric forcing e.g. more heat gain by the ocean 363 leads to surface warming. Positive correlations thus indicate the areas where the SSTAs can 364 be seen to be a response to the ASF anomalies as opposed to being their source. In the 365 latter case a negative correlation would be expected as for example positive SSTAs are now 366 associated with negative air-sea heat flux anomalies i.e. increased ocean heat loss or less 367 heat gain. 368

369	In order to compute these correlations, the SSTA timeseries has been correlated to the
370	ASF anomaly timeseries cumulated over an increasing number of months from 2 months
371	(Fig. 8b) to 12 months (Fig. 8l). If we focus on the area where the AMOC–SSTA dipole was
372	located (shown in Fig. 5a), positive correlations mainly occur in a band reaching from 12°N
373	to 26°N, the strength of this correlation increasing with increasing accumulation of months
374	in the ASF data. In this band of latitudes, maximum correlations occur around 6-7 months
375	and explain up to 25% of the SSTA variance. This means that for shorter periods of time
376	between 2 and 5 months when we showed highest correlations between the AMOC and the
377	SSTA in the dipole previously described, the SSTA is not mainly responding to a forcing
378	from atmospheric heat fluxes and ASFs contribute to a lesser extent to the development of
379	this SSTA dipole (explaining less than 16% of the variance around the lower lobe of the
380	dipole).

In summary, the strongest correlations between the cumulative ASFs and SSTAs are found at lags from 6 to 7 months and over most of the North Atlantic, these correlations are lower than 0.3 (e.g. the region coinciding with the northern lobe of the SSTA dipole of Fig. 5). For lags between 2 and 5 months when the AMOC/SSTA correlations are the strongest, the ASF/SSTA correlations are even lower.

386 3.3.2 Ekman transport

Second to the surface heat flux, the most effective driver of SST variations is the windinduced Ekman heat transport, especially along oceanic thermal fronts, such as the Gulf Stream (Frankignoul (1985)). Lagged correlations and composites between EKM and the SSTA are shown in Fig. 9 at zero lag (Fig. 9a), for a lag of 2 months (Fig. 9b), 5 months (Fig. 9c), 7 months (Fig. 9d), 9 months (Fig. 9e) and 12 months (Fig. 9f), EKM leading the SSTA. The strong correlations found south of about 40°N for lags of up to 2 months indicate that EKM plays a significant role in setting the SSTA response pattern up to this latitude, but can only partly explain the dipole structure shown in Fig. 5a. At a lag of 5 months, EKM explains the tropical lobe of the dipole but for the northern lobe, significant correlations are only found in the eastern part of the basin. Generally, the correlation between EKM and the SSTA decreases as the lag increases beyond lags of two months.

To further assess the contribution of EKM to the link previously made between the 398 AMOC and the SSTA, the EKM component has been subtracted from the AMOC (called 399 "AMOC-EKM", Fig. 10b and d, Mielke et al (2013)) before calculating the correlations 400 between the AMOC and the SSTA. At zero lag (Fig. 10a and b), the correlations between the 401 AMOC and SSTA and AMOC-EKM and SSTA show different spatial patterns, highlighting 402 the role previously demonstrated of EKM in the characterisation of this pattern. For a lag of 403 5 months (Fig. 10c and d), these spatial correlations show a very similar spatial structure; the 404 main difference between these figures being the intensity of the negative correlation between 405 0 and 20° N. This indicates that for these longer periods of time, EKM is predominantly 406 contributing to the development of the southern part of the SSTA tripole. 407

408 4 Discussion

That the Atlantic has a large impact on the climate of northwestern Europe is an old concept (e.g. Maury (1855)). The prominent mode of Atlantic variability, the Atlantic Multidecadal Oscillation (AMO: the averaged SST over the whole North Atlantic) has been linked with rainfall in the Sahel, India and northwest Brazil, hurricane formation in the Atlantic and northern hemisphere mean temperature fluctuations (Knight et al (2006), Zhang and Delworth (2006)). In terms of the impact on northwestern Europe, positive AMO leads to warmer temperatures and wetter summers (e.g. Sutton and Dong (2012)). Several modelling studies have shown a relationship between the AMOC and the AMO at decadal and longer timescales (Griffies and Bryan (1992), Latif et al (2004), Knight et al (2006)). Still at decadal timescales, the AMO has recently been shown to be preceded by changes in the North Atlantic ocean circulation (McCarthy et al (2015)). In this study, we show for the first time the potential of the AMOC timeseries at 26°N to be used to predict the Atlantic SST at seasonal timescales.

We show in this paper that the SSTA response to the AMOC variability at a maxi-422 mum lag of 5 months is characterised by a dipole with a tropical and a subtropical lobe 423 (Fig. 3). The tropical pattern covers the latitudes from 5 to 26°N and thus includes the Main 424 Development Region (MDR) for hurricane formation: 10-20°N, 30-60°W. The benefit of 425 having estimates of Atlantic SST patterns half a year in advance is that SSTAs could then 426 be linked to an increased or decreased probability of storm formation. Due to its potential 427 for widespread destruction, hurricane activity is a noteworthy feature of interannual climate 428 variability, deserving of further investigation into the contributing large-scale processes and 429 associated predictability. Statistical analyses have shown that Atlantic basin hurricane counts 430 depend on Atlantic SST on interannual and longer timescales and that tropical Atlantic SST 431 accounts for a third of interannual hurricane count variability (Elsner et al (2008), Saunders 432 and Lea (2008)). It is also not understood exactly how warm SSTs influence tropical cy-433 clone formation, though it is likely through sustained vertical motion, convective processes 434 and cloudiness. 435

The MDR for hurricanes, 10–20°N, 30–60°W, has been anomalously warm since 1995 and tropical cyclone activity has also been above average since then. 2005 and 2010 had record high SSTs in the MDR (which is well illustrated in the principal component of the first mode of SSTA over the North Atlantic: Fig. 6a), and correspondingly significant devastating major hurricane landfall activity (Trenberth and Shea (2006)).

The link established in this paper between the AMOC and the SSTA over the North 441 Atlantic region suggests that estimating the AMOC transport could provide some additional 442 information for statistical and dynamical tropical cyclone forecast models by improving SST 443 forecasts for the following season (e.g., LaRow et al (2010), Vecchi et al (2011), Davis et al 444 (2015), Camp et al (2015)). Indeed, conditions may be more conducive than usual to tropical 445 cyclone development when subtropical AMOC transport is anomalously low and heat builds 446 up south of 26°N. The lead time of 5 months between the AMOC and the SSTA would be 447 important for forecasting climate conditions in advance in order to make preparations. 448

449

In addition to the relationship demonstrated in this paper, showing that the AMOC (and 450 components) leads an SSTA dipole by up to 5 months, Fig. 7 also suggests an interesting 451 link between SSTAs and the AMOC and components when the SSTA leads. Focusing on 452 lags when the SSTA leads, a correlation of -0.32 is found between the AMOC and SSTA 453 when a lag of 7 months is applied to the SSTA (the SSTA leading), this correlation in-454 creasing to -0.43 when a 3-month low-pass filter is applied to the data (Fig. 7). The lagged 455 correlations between the first mode of SSTA variability and the AMOC components (Fig. 7) 456 show that UMO is the main contributor to the correlation pattern between the AMOC and 457 SSTAs. EKM and FST only provide a minor contribution. The spatial correlation patterns 458 between SSTAs and the AMOC (Fig. 11) confirm that the maximum correlation is reached 459 for a lag around 7 months, characterised by a tripole SSTA pattern with significant positive 460 correlations between 0 and about 25°N and 45 to 60°N and a band of significant negative 461 correlations in between. This correlation pattern gradually increases up to 7 months and de-462 creases afterwards. Fig. 12 confirms the weak link found between the SSTA and EKM when 463 the SSTA leads the correlation. Maximum correlations are also found for a lag of 7 months 464

with significant correlation patterns constrained to the central part of the basin between 25
and 45°N.

The lagged correlations between SSTAs and the UMO transport (Fig. 13) show the tripole pattern described for the SSTA/AMOC correlations with significant correlations from lag 1 up to lag 7 when it reaches its maximum. A positive UMO (AMOC) anomaly is then preceded by positive SSTAs at low latitudes (with a 7–month lag). The high correlations originate 7 months in advance in the lower lobe of the tripole south of about 30°N when the correlation is maximal (Fig. 13d).

Focusing on the eastern part of the basin (African coast) the area of positive correlations then propagates northward along the coast up to the Spanish coast at a lag of 1 month. For lags from 3 to 1 month (Fig. 13a–b)) a narrow area of significant correlations extends northwards past the Canaries and covers the latitudes around the 26°N section where the RAPID moorings used to compute the UMO transport are located. This band of positive correlation could possibly be associated with Kelvin (or more generally boundary trapped) waves.

In order to better understand the physical mechanisms explaining the link between the SSTA and UMO transport when the SSTA leads, a closer look to the thermal wind relationship is needed (Eq. 5):

$$v_{geo}(z) = -\frac{g}{\rho f L} \int_{bottom}^{z} (\rho_e - \rho_w) \, dz.$$
⁽⁵⁾

This equation computes the mid-ocean geostrophic velocities used to estimate the UMO transport, and *L* is the basin width, *f* is the Coriolis parameter, *g* is the acceleration of gravity, ρ is the density of sea water and ρ_w and ρ_e are the densities at the western and eastern boundary of the 26°N section respectively. From Eq. 5, we can see that if the eastern bound-

ary of 26°N is warmer than usual (around 26°N: Fig. 13a-b), assuming a constant salinity, 487 we expect a smaller density at the eastern boundary and a smaller difference between the 488 density at the eastern and western boundary of the array, which would lead to a weaker 489 (southward) UMO transport (i.e. v_{geo} becomes less negative). For example, a SSTA of $+1^{\circ}$ C 490 (warmer at the eastern boundary, and if we assume a vertical extent of this anomaly of 491 200m) would correspond to a density anomaly of approximately 0.25 kg/m^3 , leading to an 492 anomaly in the UMO transport of 1.5 Sv, which is of similar magnitude compared to the 493 standard deviation of 2.7 Sv previously mentioned. 494

Consequently, the propagating correlation pattern seen in Fig. 13a–b around 26°N suggests the development of a positive temperature anomaly that leads to a decrease of the UMO transport and to an increase of the AMOC. This is consistent with a positive correlation between SSTAs and the UMO transport (Fig. 13) and SSTAs and the AMOC (Fig. 11), in the lower lobe of the tripole.

Of course SSTA patterns can be deceptive and we would need to know the vertical 500 density structure to be sure that the SSTAs are indeed consistent with a strengthening of 501 the geostrophic transport. The analyses presented in this paper are based on a joint use of 502 observation-based products, which allowed us to test our hypotheses on 10 years of data. 503 Using a 1/4° NEMO simulation, Grist et al (2010) partitioned annual-timescale ocean heat 504 content anomalies between surface fluxes and ocean heat transport, finding that ocean heat 505 transport (divergence) dominates interannual variability of ocean heat content (and probably 506 SST) in extratropics, while both contribute in similar measure in the tropics/sub-tropics. 507 Future work will consist in reproducing the analyses performed in this paper using high-508 resolution coupled climate model output (not yet available) in order to check the validity of 509 our results using longer timeseries. Using high-resolution coupled models will be crucial in 510

order to test the impact of the coupling (and hence the representation of air-sea interactions)
 on our results.

513 5 Summary and Conclusions

- We have tested the potential of the AMOC observations from 26°N between April 2004 and March 2014 to be used to predict SSTs. Our results suggest that:
- There is a significant link between AMOC anomalies and SSTAs where the AMOC leads
 SSTAs by lags between 2 and 5 months. For positive (negative) AMOC anomalies the
 SSTA pattern consists of a dipole with negative (positive) SSTAs in the tropical Atlantic
 and positive (negative) SSTAs to the southeast of Newfoundland.
- All AMOC components contribute to the SSTA pattern found at a 5-month lag. The southern part of the dipole can mainly be linked to the Ekman component, whereas UMO, Ekman and to a lesser extent FST contribute to the northern part of the dipole.
- The SSTA dipole found at a lag of 5 months cannot be attributed to the action of instan taneous air-sea fluxes. Cumulative air-sea fluxes mainly explain the SSTA fluctuations
 for lags longer than 6–7 months and only explain a small fraction of the SSTA variability
 for lags from 2 to 5 months when the AMOC/SSTA correlations are the strongest.
- The southern part of the SSTA dipole found at a lag of 5 months encompasses the MDR for Atlantic hurricanes. Our results therefore suggest a potential use of AMOC observations from 26°N to be used to complement existing seasonal hurricane forecasts in the Atlantic.
- Investigating the link between the SSTA and AMOC and its components when the SSTA leads the transport anomalies, a significant relationship was found between the SSTA and the AMOC for a lag of 7 months. This correlation is mainly attributed to the UMO

- transport where anomalously high temperatures at the eastern boundary of 26° N for lags
- between 0 and 3 months are consistent with a reduced southward UMO transport and an
- ⁵³⁶ increased AMOC.



Fig. 1 Timeseries of the AMOC anomaly and the anomaly of its components (the seasonal cycle is removed in coloured plots) measured by the RAPID array at 26°N from April 2004 to March 2014 (monthly mean data). The Florida Straits transport (FST) is derived from electromagnetic cable measurements in the Florida Straits and is represented in blue. The Ekman transport (EKM) is derived from ERA–Interim wind estimates and is represented in green. The Upper Mid–Ocean (UMO) transport is derived from geostrophic velocity profiles from moored instruments across the Atlantic Ocean and is represented in pink. The AMOC transport is the sum of the FST, EKM and UMO transports and is shown in red. Grey curves show the same timeseries with the monthly seasonal cycle included.



Fig. 2 Bar plot of the AMOC anomaly timeseries with 66 positive values in blue and 54 negative ones in red (top panel). The bottom figure shows the SST anomaly (SSTA) at a specific location $(9.5^{\circ}N, 80.5^{\circ}W)$ where the SSTAs in red and blue correspond to the AMOC negative and positive values, respectively.



AMOC-SST lagged correlations (AMOC leads)

Fig. 3 Lagged correlations between the SSTA over the North Atlantic and the AMOC at 26° N. In these correlations, the AMOC leads the SSTA. Panel (a) shows zero lag, panel (b) shows a lag of 2 months, panel (c) 5 months, panel (d) 7 months, panel (e) 9 months and panel (f) 12 months. Black contours indicate 95% significance levels and were obtained using the composite method.



Fig. 4 Schematics representing a seesaw mechanism relating the AMOC fluctuations (upper red and lower blue arrows) to the SSTA pattern (red and blue patches at the surface) in the North Atlantic. The $26^{\circ}N$ section is represented by a yellow wall on this figure. A stronger AMOC advects more heat north of $26^{\circ}N$ and leads to warmer subtropics and colder tropics as more heat is extracted from this region.



Fig. 5 Correlation between the SSTA over the North Atlantic and the AMOC (panel a, same as Fig. 3c), the Ekman transport (panel b), the Florida Straits transport (panel c) and the Upper Mid–Ocean transport (panel d) at 26° N. Black contours indicate 95% significance levels and were obtained using the composite method. For these figures, the AMOC and components lead the SSTA.



Fig. 6 Conventional Empirical Orthogonal Function (EOF) analysis of SSTA over the North Atlantic. Panel (a) shows the principal components associated with the 3 first EOFs, panel (b) shows the spatial pattern associated with the first mode of variability, panel (c) with the second mode and panel (d) with the third mode.



Fig. 7 Cross correlations between the principal component associated with the first mode of variability of SSTA over the North Atlantic and the AMOC (red), the Ekman transport (black), the Upper Mid–Ocean transport (pink) and the Florida Straits transport (blue). Negative lags show correlations when the AMOC and components lead the SSTA. When the AMOC and components lead, the maximum correlations are obtained for a lag between 2 and 5 months for the AMOC, 1 month for the Ekman transport, 7 months for the Upper Mid–Ocean transport and 3 months for the Florida Straits transport. When the SSTA leads, the maximum correlation between the AMOC and SSTA is reached for a lag of 7 months, similar to the UMO transport.



Fig. 8 Correlations between the cumulative air–sea flux anomalies and the SSTAs. For each panel of this figure, we test the time-related impact of the air–sea fluxes on the SSTA. For panel a, instantaneous air–sea fluxes are correlated to the SSTA. For panel b, 2–month accumulated air–sea fluxes are correlated to the SSTA and so on for an accumulation between 2 months (panel) and 12 months (panel l). Thick black lines show the 95% significance level.



EKM-SST lagged correlations (EKM leads)

Fig. 9 Lagged correlations between the SSTA over the North Atlantic and the Ekman transport at 26° N. In these correlations, the Ekman transport leads the SSTA. Panel (a) shows zero lag, panel (b) shows a lag of 2 months, panel (c) 5 months (same as Fig. 5b), panel (d) 7 months, panel (e) 9 months and panel (f) 12 months. Black contours indicate 95% significance levels and were obtained using the composite method.



Fig. 10 Spatial correlation between the AMOC at 26° N and the SSTA over the RAPID period (April 2004 – March 2014) at zero lag (panels a and b) and 5–month lag (panels c and d). Panels (a) and (c) show the AMOC while the Ekman component has been subtracted to the AMOC in panels (b) and (d). Note that panels (a) and (c) are similar to panels (a) and (c) in Figure 3.



AMOC-SST lagged correlations (SST leads)

Fig. 11 Lagged correlations between the SSTA over the North Atlantic and the AMOC at 26° N. In these correlations, the SSTA leads the AMOC. Panel (a) shows zero lag, panel (b) shows a lag of 2 months, panel (c) 5 months, panel (d) 7 months, panel (e) 9 months and panel (f) 12 months. Black contours indicate 95% significance levels and were obtained using the composite method.



EKM–SST lagged correlations (SST leads)

Fig. 12 Lagged correlations between the SSTA over the North Atlantic and the Ekman transport at 26°N. In these correlations, the SSTA transport leads the Ekman transport. Panel (a) shows zero lag, panel (b) shows a lag of 2 months, panel (c) 5 months (same as Fig. 5b), panel (d) 7 months, panel (e) 9 months and panel (f) 12 months. Black contours indicate 95% significance levels and were obtained using the composite method.



UMO-SST lagged correlations (SST leads)

Fig. 13 Lagged correlations between the SSTA over the North Atlantic and the UMO transport at 26° N. In these correlations, the SSTA leads the UMO transport. Panel (a) shows zero lag, panel (b) shows a lag of 2 months, panel (c) 5 months (same as Fig. 5b), panel (d) 7 months, panel (e) 9 months and panel (f) 12 months. Black contours indicate 95% significance levels and were obtained using the composite method.

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