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1	Ozone impacts on vegetation in a nitrogen enriched and changing climate
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9	Abstract
10	This paper provides a process-oriented perspective on the combined effects of ozone ( $O_3$ ), climate
11	change and/or nitrogen (N) on vegetation. Whereas increasing $CO_2$ in controlled environments or
12	open-top chambers often ameliorates effects of $O_3$ on leaf physiology, growth and C allocation, this
13	is less likely in the field. Combined responses to elevated temperature and $O_3$ have rarely been
14	studied even though some critical growth stages such as seed initiation are sensitive to both. Under
15	$O_3$ exposure, many species have smaller roots, thereby enhancing drought sensitivity. Of the 68
16	species assessed for stomatal responses to ozone, 22.5% were unaffected, 33.5% had sluggish or
17	increased opening and 44% stomatal closure. The beneficial effect of N on root development was
18	lost at higher $O_3$ treatments whilst the effects of increasing $O_3$ on root biomass became more
19	pronounced as N increased. Both responses to gradual changes in pollutants and climate and those
20	under extreme weather events require further study.
21	Capsule
22	A process-oriented perspective on the combined effects of ozone, climate change and/or nitrogen
23	on vegetation
24	Highlights
25	• CO <sub>2</sub> amelioration of O <sub>3</sub> effects on leaf physiology are less likely in the field

- Both extremes of temperature and  $O_3$  impact on critical growth stages.
- Many species are more sensitive to drought as a result of exposure to  $O_3$  pollution.
- The beneficial effect of N on root development is lost at higher  $O_3$  treatments

- The effects of O<sub>3</sub> on root biomass are higher at high than low N.
- 1 2

### 3 Key words

4 Ozone, nitrogen, climate change, drought, vegetation

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- 6

### 7 Introduction

8 Air quality impacts on vegetation are frequently considered as effects of single stressors working in 9 isolation from other air pollutants, climate and soil modifying factors. In this review, we bring 10 together current knowledge on how these other factors impact on the responses of vegetation to 11 tropospheric ozone ( $O_3$ ), classed by many as the most damaging air pollutant to vegetation 12 (Ashmore, 2005; Karnosky et al., 2007), and predict from a process-perspective what the impacts 13 might be for vegetation growing in a future nitrogen (N) polluted and changing climate. Tropospheric 14 or "ground-level" O<sub>3</sub> is a secondary air pollutant formed and destroyed by a series of complex 15 photochemical reactions involving nitrogen oxides (NOx), methane (CH<sub>4</sub>), carbon monoxide (CO) and non-methane volatile organic carbons (NMVOC) (Avnery et al., 2011; Royal Society, 2008). Ozone 16 17 concentrations are usually highest in rural and upland areas downwind of major conurbations, 18 where many vulnerable ecosystems provide essential services to humankind. Ozone and its 19 precursors are also transported around the world in air masses, adding to background 20 concentrations across the continents (Royal Society, 2008). Uptake of O<sub>3</sub> by sensitive plant species 21 alters leaf physiology (increased respiration, reduced photosynthesis, stomatal functioning, 22 enhanced senescence), reduces growth (both above- and below-ground and altering C allocation), 23 and alters phenology (e.g. timing and number of flowers) (for further details see review by 24 Ainsworth et al., 2012). In isolation, these process-effects may be sufficient to impact on key 25 ecosystem services including crop yield, C sequestration by trees and grasslands, water provision and biodiversity (e.g. Harmens and Mills, 2012; McLaughlin et al., 2007a; Mills et al., 2013; Sun et al., 26 27 2012). As well as responding to  $O_3$ , under open field conditions, vegetation is frequently also 28 exposed to atmospheric N inputs in oxidised (e.g.  $NO_2$ ) or reduced (e.g.  $NH_3$ ) form (see van der Berg 29 et al., this issue), to climate extremes such as drought, heat stress and/or flooding, and to nutrient 30 stress. We consider here whether we can reach a consensus on the direction of change of such 31 impacts and what the implications might be for vegetation.

1 Ozone uptake is via the stomatal pores in the leaf surface and thus any plant, pollutant, climatic or 2 soil factor that influences stomatal functioning will modify the amount of O<sub>3</sub> taken up by the plant 3 and subsequent effects. Models of stomatal uptake or "flux" have been instrumental in improving 4 our understanding of the areas and vegetation types at greatest risk of damage from the pollutant. 5 For example, in the last 15 years, European scientists have developed models of  $O_3$  flux – the so-6 called DO<sub>3</sub>SE model (Emberson et al., 2000; LRTAP, 2014), applied the model to show areas of 7 greatest risk (e.g. Simpson et al., 2007) and shown that field evidence provided greater support for 8 the application of this biologically more meaningful risk assessment method than one based on the 9 atmospheric concentration of  $O_3$  above the canopy (Mills et al., 2011). Effects of climate change on 10 stomatal  $O_3$  flux can be either direct – e.g. temperature,  $CO_2$  and humidity effects on stomatal 11 conductance or indirect via an influence on soil water potential (SWP) and plant development 12 (Harmens et al., 2007; Vandermeiren et al., 2009). In addition, O<sub>3</sub> itself can, for example, modify the 13 responses of plants to naturally occurring environmental stresses such as drought (e.g. Mills et al., 2009; Wilkinson and Davies, 2009, 2010) via effects on stomatal control and plant development 14 15 (canopy and roots), and can feedback to global warming by reducing the C sink strength (e.g. Sitch et 16 al., 2007). We first review current predictions of future O<sub>3</sub>, N deposition and physical climates and 17 then consider how these combined effects might occur in the two-factor combinations in which they are usually studied (e.g. CO<sub>2</sub> and O<sub>3</sub>, drought and O<sub>3</sub>, N and O<sub>3</sub>). We then speculate on how all might 18 19 interact together in a future O<sub>3</sub>, N and CO<sub>2</sub>-enriched climate, focussing on responses to subtle long-20 term changes as well as to the extreme climatic and pollutant events predicted to occur with greater 21 frequency in the coming decades (IPCC, 2013).

### 22 Trends and future projections

23 Over the past 150 years, increasing anthropogenic emissions of O<sub>3</sub> precursors and increased 24 stratospheric mixing of O<sub>3</sub> into the lower layers of the atmosphere have led to an increase in the global average O<sub>3</sub> concentration from 20-30 ppb to 30 – 50 ppb, with significant spatial and temporal 25 26 variability (IPCC, 2013). With the global population estimated to exceed 9 billion by 2050, associated 27 increased demand for resources such as fossil fuels, energy production, transport and agriculture is 28 likely to further increase O<sub>3</sub> precursor emissions and reactive nitrogen (Nr, all N compounds 29 excluding  $N_2$ , and including  $NH_3$ ,  $NO_3$ ,  $NO_x$  and  $N_2O$ ) in the environment (Oltmans et al., 2006; 30 Winiwarter et al., 2011). Future O<sub>3</sub> and Nr trends will not only depend on anthropogenic emission 31 levels of precursors, but also on trends in temperature, humidity and solar radiation. For example, a 32 multi-model study of impacts of climate change alone on O<sub>3</sub> concentrations in Europe predicts 33 increases in the mean O<sub>3</sub> concentration in the range 0.9 to 3.6 ppb for 2040-49 climates compared

1 to 2000-09 climates, if precursor emissions remain constant (Langner et al., 2012). Despite overall 2 rises in pollutant levels globally, there have been some (partial) success stories in reducing emissions 3 in some regions of the world leading to large spatial heterogeneity in predictions of future pollutant 4 concentrations. Here, we consider some of the changes that have occurred in recent decades, and using the latest modelling, speculate on future O<sub>3</sub> and Nr concentrations and the feedbacks to 5 6 climate of these short-lived climate forcers (SLCFs). Further details on ozone and reactive nitrogen 7 chemistry and trends can be found in recent reviews by Monks et al. (2014) and Fowler et al. (2015) 8 respectively.

9 Ozone

10 In assessing all available data from ground-level monitoring stations in the Northern Hemisphere, Cooper et al. (2014) showed that the ozone concentrations have been rising by 1-5 ppbv per 11 12 decade since the start of comparable records in the 1950s to 1970s. Set against these rising 13 background O<sub>3</sub> concentrations, regional controls on precursor emissions have led to reductions in 14 peak O<sub>3</sub> concentrations in North America and Europe over recent decades. For example, in the once 15 highly polluted California South Coast Air Basin (SoCAB), the annual 8h mean O<sub>3</sub> concentration has 16 declined between 1973 to 2010, from over 300 ppb to approx. 100 ppb (Pollack et al., 2013) and 17 declines in peak  $O_3$  concentration of ca. 30 ppb from 1990 – 2006 have been reported in many parts 18 of Europe (Simpson et al., 2014; Tørseth et al., 2012). Even so, potentially plant-damaging  $O_3$ 19 episodes are still occurring in the USA and Europe when climatic conditions conducive to O<sub>3</sub> 20 formation (hot, dry and sunny days) coincide with precursor abundance. For example, in 2006, two 21 significant O<sub>3</sub> episodes occurred in Europe between 17 - 22 July and 25 - 28 July with O<sub>3</sub> 22 concentrations in excess of 90 ppb experienced in many countries (EEA, 2007). Often used as an 23 example of a future climate year for Europe, these high episodes in 2006 suggest that the immediate threat caused by O<sub>3</sub> episodes will remain under climate change. 24

25 In estimating future regionally averaged O<sub>3</sub> concentration from 14 global transport models for a

26 range of Representative Transport Pathways (RCP) emission scenarios without changes in climate,

27 Wild et al. (2012) predicted very small decreases in global surface  $O_3$  in 2050 relative to 2000 of 2  $\pm$ 

28 0.5 ppb for RCP2.6, 0.8  $\pm$  0.54 ppb for RCP4.5 and 0.4  $\pm$  0.2 ppb for RCP6.0 and an increase of 1.5  $\pm$ 

29 0.5 ppb for the most pessimistic scenario, RCP8.5. Predictions to 2050 are similar for North America

30 and Europe with a decrease of 2 - 4 ppb for RCPs 4.5 and 6.0, whilst in Asia, O<sub>3</sub> concentrations for

31 these RCPs range from little net change (RCP6.0) to a 3 ppb increase and 2.5 ppb decrease for

32 RCP4.5 in south and east Asia, respectively (Wild et al., 2012, reproduced in Figure 1). Over 75% of

these predicted changes in O<sub>3</sub> can be attributed to changes in methane abundance – a clear target
 for international pollutant emission control negotiations (HTAP, 2010).

3 Having a dual role as both a pollutant and an SLCF, increasing O<sub>3</sub> concentrations have been, and will 4 in the future continue to be, influential in global warming. As well as directly influencing radiative 5 forcing as a greenhouse gas (Shindell et al., 2013), O₃ also suppresses the global land carbon sink by 6 reducing photosynthesis and carbon sequestration thereby increasing the CO<sub>2</sub> concentration in the 7 atmosphere and indirectly increasing radiative forcing (Collins et al., 2010; Sitch et al., 2007). Global 8 warming alone would lead to increases in  $O_3$  production (Langner et al., 2012; Rasmussen et al., 9 2013), potentially negating beneficial effects of controls of precursor emissions. This so-called 10 "climate penalty" arises from increased BVOC emissions (contributing, for example, to 8% of predicted increases in O<sub>3</sub> in China by 2050 (Wang et al., 2013)), accelerated photochemical reactions 11 12 leading to more O<sub>3</sub> formation and increased stagnation of air masses (for further information, see 13 Rasmussen et al., 2013). The climate effect of ozone is higher in NOx-saturated areas such as the 14 SoCAB than in NO<sub>x</sub>-limited regions of California such as the San Joaquim valley (Rasmussen et al., 15 2013). Similar differences in magnitude and direction of the  $O_3$ -climate penalty are predicted at the 16 regional scale, for example, modelling by Wang et al. (2013), suggested a climate-change benefit 17 under present day emissions for 2050 of a 5 ppb reduction in O<sub>3</sub> in the less populated west and a 18 climate penalty of a 3 ppb increase in the more densely populated eastern provinces of China for the 19 IPCC A1B scenario. Adding domestic and hemispheric emission projections as well as climate change for 2050, Wang et al. (2013) predicted similar increases overall in O<sub>3</sub> concentration of 9 and 10.3 ppb 20 21 for east and west China respectively. Their modelling suggests large differences in attribution, with 22 domestic and global emissions contributing 45 and 47% respectively of increases in Eastern China, 23 but 17 and 87% in Western China.

### 24 *Reactive nitrogen*

25 Over the 100 years since the introduction of the Haber-Bosch process for synthetic fertilizer 26 production and exacerbated by increased industrialisation and population, global anthropogenic 27 sources of Nr species have increased five-fold to 120 Tg N y-1 in 2005 (Erisman et al., 2011). Despite 28 this, in some regions of the world, emission control measures in recent decades have been 29 successful in reducing Nr release into the environment. For example, UK emissions of NO<sub>x</sub> fell by 30 58% between 1970 and 2010 (RoTAP, 2012), with the largest decreases due to reductions in coalbased energy production and the introduction of three-way catalysts into petrol cars in 1992. 31 32 However, over the same time period there has been only a small fall (15%) in emissions of ammonia, 33 with agricultural sources including livestock and fertilizer application responsible for about 90% of

1 emissions. Averaged between 2000 and 2008, the annual total deposition of N in the UK was 363 Gg-2 N y<sup>-1</sup> and was roughly evenly split between oxidised (178) and reduced (175) forms (RoTAP, 2012). 3 In contrast, in China, NO<sub>x</sub> and NH<sub>3</sub> emissions increased by 2 - 3 fold over the period 1980 to 2010, 4 with a decrease in the ratio of  $NH_3$ -N to  $NO_x$ -N in emissions from about 4 to 2.5 (Figure 2, reproduced from Liu et al., 2013). Future projections for Nr in the environment suggest that in 5 6 Europe, NO<sub>x</sub> emissions are likely to continue to fall as legislation for controls continues to be 7 implemented (Engardt and Langner, 2013; Simpson et al., 2014). Controls on ammonia are much 8 harder to achieve, with European emissions predicted to stabilise at current levels in the 2020s and 9 2030s (Winiwarter et al., 2011). Globally, the highest rises in fertilizer use are predicted for Latin 10 America and South Asia between 2006 and 2050 (Sutton and Bleeker, 2013), with large regional 11 increases in Nr in the environment predicted in these regions by 2100 under most RCP scenarios, 12 including more than a two-fold increase in South Asia for RCP2.6 (Lamarque et al., 2013).

### 13 Carbon dioxide

Future increases in CO<sub>2</sub> concentration could potentially significantly modify plant responses to O<sub>3</sub> via
effects on stomatal conductance, photosynthesis and carbon allocation (see later). The rapid
increase in ambient CO<sub>2</sub> concentration from ca. 300 ppm in 1950 to > 390 ppm by 2011 is predicted
to continue for the pessimistic RCP8.5 scenario, reaching 936 ppm by the year 2100 (IPCC, 2013).
Even with the most ambitious RCP2.6 scenario, CO<sub>2</sub> concentrations are predicted to continue to rise
to 421 ppm by the end of the century, with intermediate concentrations of 630 and 800 ppm
predicted for RCP4.5 and RCP6.0 respectively.

### 21 Climate

22 The mean global surface temperature is predicted to increase by 0.3 to 0.7  $^{\circ}$ C by 2016 – 2035 23 relative to 1986 – 2005 (IPCC, 2013). By the end of the century, there is high confidence that the 24 temperature rise is likely to exceed 1.5 °C for all RCPs and 2 °C for RCP6.0 and RCP8.5. Extremes of temperature, including more frequent hot and fewer cold temperatures are "virtually certain" and a 25 higher frequency and longer duration of heat waves is "highly likely". Rainfall is predicted to 26 27 decrease in the drier mid-latitude and sub-tropical regions and increase, especially under the more 28 extreme RCP8.5 scenario, in the wetter mid-latitude regions. As with temperature, the frequency 29 and duration of extreme rainfall events and droughts are very likely to increase. Thus, to understand 30 the potential implications for effects of  $O_3$  in a future climate, we need to consider both effects of 31 gradual underlying trends such as increasing temperature together with effects under the extreme 32 climatic conditions that are likely to occur more frequently in coming decades.

### 1 Implications of climate change for effects of ozone on vegetation

The interactive effects of O<sub>3</sub> with CO<sub>2</sub>, temperature, air and soil moisture and light on vegetation, are
important but not well understood, particularly not under field conditions (Ainsworth et al., 2012).
Although recently discussed in the 5<sup>th</sup> Assessment Report of the IPCC (2014), major current
projections of global food production under atmospheric change scenarios do not always account for
the damaging effect of rising O<sub>3</sub>. Similarly, many coupled climate-carbon models have currently
neglected the impacts of changing ground-level O<sub>3</sub> concentrations on carbon cycling (Sitch et al.,
2007). We consider here, from a process perspective, the potential direction of interactions.

9 Interactions between elevated CO<sub>2</sub> and O<sub>3</sub>

10 Recent field studies using Free Air CO<sub>2</sub> Enrichment (FACE) systems indicate that the positive effect of 11 elevated CO<sub>2</sub> on plant growth and C sequestration might have been overestimated in previous 12 studies using more controlled environments, smaller scale and/or shorter time periods (Ainsworth, 13 2008; Bernacchi et al., 2006; Long et al., 2005). The actual increase in plant biomass, and its capacity 14 to act as a C sink under elevated  $CO_2$  were smaller than predicted, partly due to accompanying  $O_3$ 15 pollution, and partly due to accompanying increases in global temperature and drought frequency. 16 Given that elevated  $O_3$  and  $CO_2$  often affect plant physiology, community responses and soil 17 processes in opposite directions (Ainsworth et al., 2012; Fuhrer, 2009), the direction of combined 18 responses to both gases is thus finely balanced dependent on their relative concentrations and limiting effects of other stressors such as elevated temperature. 19

20 Ozone generally reduces photosynthesis per unit leaf area (Ainsworth, 2008; Ainsworth et al., 2012; 21 Wittig et al., 2007), either directly via effects on the photosynthetic machinery such as a reduction in 22 Rubisco and chlorophyll content (Dizengremel, 2001; Fiscus et al., 2005; Kobayakawa and Imai, 2011; 23 Wittig et al., 2009), and/or indirectly by reducing the aperture of the stomatal leaf pores (Evans et 24 al., 2005; Overmyer et al., 2008; Wittig et al., 2009), resulting in a reduction in whole plant 25 photosynthesis. Despite the often reported decline in stomatal conductance at elevated O<sub>3</sub>, 26 stimulation of stomatal opening (Mills et al., 2009) and the induction of stomatal sluggishness 27 (Paoletti and Grulke, 2010) have also been observed (discussed later). On the other hand, elevated 28 CO<sub>2</sub> reduces stomatal conductance (Curtis and Wang, 1998; Drake et al., 1997; Kim et al., 2010; 29 Morgan, 2003) and therefore the uptake of O<sub>3</sub> and its damaging impacts on plants (Fiscus et al., 30 1997; Harmens et al., 2007; McKee et al., 1997). Indeed, meta-analysis provided supportive evidence 31 that elevated CO<sub>2</sub> counteracts the impacts of O<sub>3</sub> on stomatal conductance and light-saturated 32 photosynthesis in boreal and temperate forests (Wittig et al., 2007). Taking this effect into account,

Klingberg et al. (2011) concluded that despite substantially increased future O<sub>3</sub> concentrations in
central and southern Europe, the flux-based risk of O<sub>3</sub> damage to vegetation was unchanged or
decreased at sites across Europe under rising CO<sub>2</sub> concentrations. However, more complex
interactions have been reported between elevated CO<sub>2</sub> and O<sub>3</sub> in field-exposed northern hardwood
forests: both gases stimulated leaf-level stomatal conductance whereas the combined gases did not
affect leaf-level stomatal conductance differently to the control (Uddling et al., 2010).

7 In addition to stomatal responses, compensatory interactions between O<sub>3</sub> and CO<sub>2</sub> have been 8 demonstrated directly at the level of the photosynthetic machinery (e.g. Kobayakawa and Imai, 9 2011), such that  $O_3$  and  $CO_2$  effectively compensate for one another's effects on C fixation at the 10 level of leaf physiology (e.g. Gray et al., 2010; Wittig et al., 2007, 2009). Once inside the leaf, O<sub>3</sub> 11 induces oxidative stress through the production of reactive oxygen species (ROS) such as hydrogen 12 peroxide, superoxide, and hydroxyl radicals (reviewed in Fiscus et al., 2005). Plants have a limited ability to detoxify ROS by "mopping up" or scavenging them via antioxidants such as ascorbic acid, 13 14 flavonoids and phenolics or enzymes such as superoxide dismutase, catalase or peroxidases 15 (Blokhina et al., 2003). Unscavenged ROS induce cell death, accelerated senescence and abscission, 16 and evidence is growing that these processes are in part mediated by the plant hormones ethylene, 17 jasmonic acid and salicylic acid (see Fiscus et al., 2005; Kangasjarvi et al., 2005 for reviews). 18 Accelerated senescence will lead to a reduction in stomatal conductance (Emberson et al., 2000) and 19 a down-regulation of photosynthetic genes and an up-regulation of genes involved in programmed 20 cell death. As a consequence, whole plant photosynthesis will be reduced due to leaf damage, early 21 senescence and abscission, and total green leaf area and leaf area index are reduced by ozone 22 (Ainsworth, 2008; Morgan et al., 2003; Wittig et al., 2009). Elevated atmospheric CO<sub>2</sub> and increased 23 C supply per se can either accelerate leaf development and senescence (Ludewig and Sonnewald, 24 2000; Pourtau et al., 2004) or delay senescence induced by O<sub>3</sub> by acting directly at the level of gene 25 expression associated with senescence (e.g. Gray et al. (2010) and Kontunen-Soppela et al.

26 (2010a,b)).

As more C is required for secondary plant metabolism to detoxify O<sub>3</sub> and/or repair O<sub>3</sub>-induced cell
damage in leaves (Betzelberger et al., 2010), less C will be available for plant growth (Dizengremel,
2001), and allocation to roots and soil. Indeed, a significant decline in the root to shoot ratio has
been observed at elevated compared to either current ambient or pre-industrial O<sub>3</sub> levels (Wittig et
al., 2009). Hence, root biomass appears to be more sensitive to adverse effects of O<sub>3</sub> than shoot
biomass. In addition, increased ethylene formation, a common response to O<sub>3</sub> pollution, tends to
reduce root growth directly (Wilkinson and Davies, 2010). As a consequence, total C allocation to the

1 soil is likely to be reduced. Contrasting responses have been reported for the impact of elevated CO<sub>2</sub> 2 on C allocation to roots, as increases, decreases and no changes in root-shoot ratio have been found 3 (e.g. Kimball et al., 2007; Maroco et al., 2002). Competition between species is likely to affect the 4 response of individual species (Kozovits et al., 2005). Although high  $CO_2$  alleviates the effect of  $O_3$  in reducing below ground root biomass in woody species, this seems not to be the case in herbaceous 5 6 species (Wang and Taub, 2010). The latter authors concluded that elevated CO<sub>2</sub> has less pronounced effects on the root mass fraction than other environmental factors such as soil nutrient and water 7 8 status. Dieleman et al. (2010) showed that elevated CO<sub>2</sub> induces a C allocation shift towards below-9 ground biomass compartments. However, the increased soil C inputs were offset by increased 10 heterotrophic respiration, such that soil C content was not affected by elevated  $CO_2$ . Indeed, 11 elevated CO<sub>2</sub>-induced increases in the amount of soil organic matter in less stable pools such as 12 microbial biomass, seem to reduce the capacity of the soil as a sink for C by making less C available 13 to the more stable pools (Carney et al., 2007; Cheng et al., 2011; Hofmockel et al., 2011). Ozone 14 increased the amount of C entering more stable pools in the study by Hofmockel et al. (2011) in a 15 northern European hardwood forest, but not in other studies with a crop ecosystem (Cheng et al., 2011) or beech (Esperschutz et al., 2009). Nikolova et al. (2010) showed that the impact of O<sub>3</sub> on soil 16 17 respiration might be dependent on soil water availability. In addition, Dieleman et al. (2010) showed that the effect of elevated CO<sub>2</sub> on fine root biomass and -production and on microbial activity 18 19 increased with increasing soil nitrogen concentration, while the effect on soil C content decreased 20 with increasing soil nitrogen concentration.

In summary, whereas increasing O<sub>3</sub> and CO<sub>2</sub> are frequently reported from controlled environment
 experiments as having opposite effects on leaf physiology, growth and C allocation, the evidence
 from field-based experiments does not fully support that they have compensatory effects when co occurring.

### 25 Ozone impacts in a warmer climate

26 The combined effects of ozone and increases in temperature have attracted little study. In one of 27 the very few field experiments investigating combined effects of ozone and global warming, 28 Kasurinen et al., (2012) used infrared heaters to raise the temperature by 0.6 to 1 °C for three 29 growing seasons and raised the seasonal mean ozone concentration by 5 ppb over young silver birch 30 trees. Elevated temperature increased above- and below-ground growth and soil respiration rates in silver birch. These effects were modified by atmospheric  $O_3$  concentration, with variation in 31 32 response amongst genotypes. For example, soil respiration was increased in elevated temperature 33 (T), elevated  $O_3$  and combined  $O_3$  and T in the gt14 genotype, but  $O_3$  either partly (gt12) or totally

1 (gt25) alleviated temperature effects on soil respiration, or had a synergistic interaction with

2 temperature (gt15).

3

4 The complexity of the potential interactions between global warming and ozone impacts on 5 vegetation is illustrated by effects on the canopy uptake of  $O_3$ . When considered as a single factor, 6 increased temperature in temperate climates is likely to increase stomatal uptake of O<sub>3</sub> providing the 7 optimum temperature for stomatal conductance has not been reached (Figure 3, reproduced from 8 Pleijel et al., 2007). However, the response to warming will also be affected by the following indirect 9 effects of increased warming: greater tropospheric O<sub>3</sub> formation increasing the atmospheric 10 concentration, an increase in vapour pressure deficit, a decrease in soil water potential (soils will dry 11 out faster due to enhanced soil evaporation and enhanced canopy transpiration), changes in 12 seasonal patterns in the occurrence of peak episodes of O<sub>3</sub> and earlier and enhanced plant 13 development, resulting in a forward shift of the period within the year when plants are absorbing  $O_3$ . 14 In addition, a reduction in stomatal conductance due to O<sub>3</sub> will lead to an increase in leaf temperature, therefore exaggerating the impact of global warming on leaf processes (e.g. Bernacchi 15 et al., 2011). Global warming will also affect photosynthesis and plant and soil respiration. 16 17 It is also surprising that few studies have explicitly studied combined effects of ozone and extreme 18 heat events, even though these frequently coincide and may do so even more often in a future more

variable climate. Their combined effects potentially could be particularly detrimental for many crops
if they coincide with critical developmental stages of vegetation, e.g. spikelet development and grain

filling stages in cereal crops which are both heat- (Asseng et al., 2015) and ozone- (e.g. Soja et al.,

22 2000) sensitive.

23

## 24 Interactions between ozone and drought

25 Since O<sub>3</sub> episodes frequently co-occur with climatic conditions associated with drought and an 26 increased frequency of drought is predicted for the future particularly in drier areas of the world 27 (IPCC, 2013), it is important to understand how vegetation will respond to their combined effects. It 28 has been widely reported that drought-induced stomatal closure will limit  $O_3$  uptake and damage 29 (e.g. Fagnano et al., 2009; Fuhrer, 2009). However, several studies have shown that drought does 30 not always reduce  $O_3$ -induced damage to plants in sensitive species (e.g. Mills et al., 2009; 31 McLaughlin et al., 2007a; Robinson et al., 1998; Wagg et al., 2012; Wilkinson and Davies, 2009, 32 2010), and that the genetic variability in  $O_3$  sensitivity may be related to the extent to which  $O_3$ 

1 reduces the sensitivity of stomatal closure to soil drying. Such effects have been attributed to 2 reduced response to drought signals such as abscisic acid in the presence of ozone-induced stress 3 ethylene emission (Mills et al., 2009; Wilkinson and Davies 2009, 2010). This reduced stomatal 4 closing response to O<sub>3</sub> will directly increase plant water loss, and therefore increase vulnerability to the drought episode, particularly when combined with a reduced root biomass, often seen as a 5 6 response to O<sub>3</sub> (e.g. Grantz et al., 2006; Hayes et al., 2012; Wyness et al., 2011). This might 7 eventually cause secondary reductions in C sequestration (Wilkinson and Davies, 2009, 2010), 8 particularly if the vulnerable plants begin to experience additional/subsequent stresses such as wind, 9 biotic attack, high light/VPD or flood/storm conditions (Wilkinson and Davies, 2010). As indicated 10 below, a growing number of species exhibit  $O_3$ -induced stomatal opening either in the presence or 11 absence of soil drying, that is genotype-dependent (Wagg et al., 2013; Wilkinson and Davies, 2010). 12 Such effects, leading initially to enhanced nutrient flow and increases in leaf surface area are not 13 sustainable as leaf tissue eventually becomes water-stressed, resulting in a reduction in

14 photosynthesis and premature senescence (Wilkinson and Davies, 2010).

15 Mills et al. (2013) conducted a comprehensive review of the published literature on O<sub>3</sub> effects on 16 stomatal functioning to determine if generalisations can be made based on species, genotype, 17 duration and intensity of  $O_3$  exposure, climatic conditions and soil water availability. The results are 18 summarised in Table 1 and reproduced in full in the Supplementary Information. Of the 68 species 19 examined (including trees, crops and (semi-)natural grassland species), 22% showed no change in 20 stomatal conductance, 10% showed a slowed (sluggish) stomatal response to elevated O<sub>3</sub>, 23.5% 21 showed an increased stomatal opening under elevated O₃ and 44% displayed stomatal closure in response to O<sub>3</sub>. Tree species were the most adversely affected with 73% of species showing an 22 23 altered stomatal response, with 13 species showing stomatal opening and 15 showing stomatal 24 closure in response to  $O_3$ . Crops tended to respond to  $O_3$  stress with stomatal closure (occurring in 25 75% of the species), whilst increased, or "sluggish" stomatal response was only reported in 19% of 26 the species. For the 8 grassland species included, responses were more or less evenly spread across 27 the four categories of stomatal response. However, the proportions of species showing different 28 responses should be interpreted with some caution as there were large variations in exposure 29 methods, the magnitude and duration of  $O_3$  exposure, climatic conditions (i.e. differences in light, 30 temperature, soil moisture etc.), as well as inherent differences in response of species/genotypes to 31 elevated O<sub>3</sub>. No clear patterns emerged for the O<sub>3</sub> concentration range for the different responses, 32 except perhaps the tendency for stomatal opening to occur at lower concentrations. Further 33 analysis is needed to understand the complexities of  $O_3$  exposure duration, concentration and 34 climate effects in the stomatal responses to O<sub>3</sub>.

1 Extensive measurements of a Southern Appalachian forest in the USA by McLaughlin et al. (2007a, b) 2 provide field evidence to support the concept of O<sub>3</sub>-induced increases in transpiration. These 3 authors document an almost linear increase in average daily sap flows and enhancement of the 4 amplitude of daily water–loss from native trees with increasing  $O_3$  exposure, suggesting an  $O_3$ -5 induced disruption to the whole-tree water balance, not only as a result of increased day-time 6 transpiration but also due to increased night-time stomatal conductance. In a more recent study of 7 the same Appalachian region, Sun et al. (2012) report reduced late season stream-flow from six 8 forested watersheds in the South Eastern United States by as much as 23% based on analysis of 18-9 26 year data records. These effects were attributed to increased transpiration inferred by an O<sub>3</sub>-10 induced loss of stomatal control and increased sap flow (Figure 4) alongside O<sub>3</sub>-induced secondary 11 changes in root biomass and associated altered moisture holding capacity of soils. Sun et al. (2012) 12 suggest that loss of stomatal sensitivity will not only increase drought severity in the region, thus affecting ecosystem hydrology and productivity, but it will also have negative implications for flow-13 14 dependent aquatic biota.

### 15 Modifying effect of reactive nitrogen (Nr) on the responses of vegetation to ozone

16 Wet and dry deposition of Nr bring added nutrients to plants and soils which can be growth 17 promoting or inhibiting depending on current soil nutrient and pH status, climatic conditions, 18 species-sensitivity, form, extent and duration of Nr (Dise et al., 2011). Deposition rates to forests are 19 significantly higher than to shorter vegetation such as shrubs and grassland, with forest canopies 20 being efficient at capturing gaseous and particulate input whilst wet deposition dominates for 21 shorter canopies. In Europe, deposition to forests and shorter vegetation can be as high as 60 kg N 22 and 30 kg N ha<sup>-1</sup> y<sup>-1</sup> respectively in central and western regions, but is much lower at 5 and 3 kg N ha<sup>-1</sup> 23 <sup>1</sup> y<sup>-1</sup> respectively in more remote northern areas (Butterbach Bahl et al., 2011). A recent meta-24 analysis of effects of N addition experiments provided some insight into the implications for roots 25 for vegetation growing in a range of ecosystems: for the 74 available datasets, a mean of 110 kg N 26  $ha^{-1}N$  (range 10 to 250) resulted in a 20% increase in root biomass. For application rates of < 100 kg 27 N ha<sup>-1</sup> y<sup>-1</sup> there was ca. an 18% decrease in the C: N ratio and a 10% increase in the respiration of fine 28 roots, with fungal colonization effects only becoming significant at higher deposition rates (Li et al., 29 2015). If at high enough concentrations, effects of short-term gaseous exposures can be directly 30 toxic to plant foliage, with lichens and bryophytes being particularly sensitive to dry-deposited N in 31 the form of NH<sub>3</sub> (Dise et al., 2011). More usually, effects of Nr are considered as a long-term threat 32 to vegetation. Over the first few years, enhanced N deposition increases availability of inorganic N in 33 the upper layers of the soil leading to increased plant growth in N limited soils and invasion of more

productive species (Dise et al., 2011). Over the longer term, litter production increases and N cycling
 in the ecosystem is accelerated, leading to a decline in species diversity as N-exploiters dominate
 (e.g. Henrys et al., 2011; Phoenix et al., 2012).

4 This longer-term effect of Nr deposition leads to practical difficulties when investigating 5 experimentally how a future N enriched climate might modify O<sub>3</sub> effects. Most research funding 6 cycles are relatively short, often over a maximum of three years, and thus combined  $O_3$  and N 7 experiments are usually short-term and frequently involve relatively high N deposition rates in order 8 to induce an effect over a time period that is often only one growing season or less. A recent meta-9 analysis by Yendrek et al. (2013) comparing responses to O<sub>3</sub> under limiting N with those under 10 sufficient N, indicated that negative effects of O<sub>3</sub> on leaf area, above ground and root biomass were 11 partially mitigated by the presence of sufficient N, although many of these effects were not 12 significant. We attempted to conduct a different analysis for this review, looking specifically at effects of enhanced N deposition consistent with current and projected future deposition rates, on 13 14 the growth responses to  $O_3$ . Unfortunately we were hampered by many factors: a lack of 15 consistency of methodology; inconsistency in reporting of key information on treatment conditions; 16 use of very short experimental periods (frequently < 9 weeks); use of treatments outside the projected ambient range (O<sub>3</sub> 24h mean > 100 ppb, > 80 kg N ha<sup>-1</sup> y<sup>-1</sup>); use of controlled environments 17 18 that are inconsistent with the field conditions we were most interested in; and use of N treatments 19 that were negatively influenced by shortages of other nutrients. After an extensive search for 20 papers, of the 93 selected as potentially useful from a Web of Science search conducted in August 21 2014, only four contained sufficiently comparable data with roughly similar in-study variances for analysis of combined data. These are outlined in Table 2 and provided a total of 51 data points for 22 23 analysis, including data for three tree and two grassland species. Details on data preparation and 24 statistical analysis using general linear mixed effect models within R are provided in the 25 Supplementary Information. Ozone treatments were split to represent implementation of precursor 26 emissions controls (24h mean <35 ppb, "low"); business as usual legislation (24h mean of 40-55ppb, 27 "medium") and worse-case scenario without further controls (24h mean of 60 – 90 ppb, "high").

Statistical analysis of the combined data from the four papers revealed that  $O_3$  concentration did not modify the root biomass response to N for the "low" and "medium" treatment ranges (i.e. treatment effects were additive, Figure 5); these datasets were combined for subsequent analysis. Under the "high"  $O_3$  treatment range, the root response to added N evident under low/medium  $O_3$  was lost resulting in a significant  $O_3 \times N$  interaction for the combined dataset (p= 0.025, Figure 5(a)). It was also evident that proportionately, the negative effect of  $O_3$  on root biomass was more pronounced at

1 higher added N than low added N. For example, there was a greater difference in relative biomass 2 between the 60 – 90 ppb and < 30 ppb ozone categories of 0.46 for an N treatment rate currently 3 experienced in central European forests of 60 kg N ha<sup>-1</sup> y<sup>-1</sup> than that an N application rate 4 representative of pristine environments (0.29 for 10 kg N ha<sup>-1</sup> y<sup>-1</sup>). Effects on above ground biomass 5 were dominated by the N treatment rate, with no significant  $O_3$  effect or  $O_3 \times N$  interaction (Figure 6 5(b)). Four important messages emerged from this analysis: (i) the beneficial effect of N fertilisation 7 on root development expected for this realistic N addition range is lost at higher  $O_3$  treatments; (ii) 8 the proportionate effects of increasing  $O_3$  on root biomass become more pronounced at higher N 9 treatments; (iii) interactions are apparent in roots rather than shoots and (iv) generalisations on 10 responses need to take into account the relative concentrations/deposition rates and deposition 11 history of both pollutants.

12 Measurements conducted by Yamaguchi et al., (2007), one of the contributory studies to the above 13 analysis, provided some insight into the dynamics of the relative contributions of each pollutant over 14 the growing season. In May and July of the second exposure season, increasing N treatment (0, 20 and 50 kg of added N ha<sup>-1</sup> y<sup>-1</sup>) increased photosynthesis (A) in *Faqus crenata*, with increasing activity 15 16 and concentration of RuBisCo measured in July, thereby increasing N content per unit leaf area (Narea). In late season (September), Narea continued to increase with increasing N, but with no effects 17 18 on Pn, the photosynthetic N use efficiency (PNUE) declined. In contrast, increasing  $O_3(1.5 \text{ x and } 2 \text{ x})$ 19 ambient  $O_3$ ) had no significant effects on all five parameters early in the season but decreased A and 20 PNUE in July and September. Interactions between O<sub>3</sub> and N were dynamic – changing throughout 21 the growing season. Initially in May, the only interaction present was a loss of the beneficial effect of 22 increasing N on Narea with increasing O<sub>3</sub>. By July, this interaction was evident in the photosynthetic 23 rate and activity and content of RuBisCo, with negative effects of increasing O<sub>3</sub> being more 24 pronounced at the highest N treatment resulting in a decreased PNUE. By September, the negative effects of O<sub>3</sub> on A and PNUE, and the positive effects on N<sub>area</sub> and stomatal conductance (g) were 25 26 evident in all three N treatments, indicating that the O<sub>3</sub> effect was over-riding the N effect by the 27 end of the growing season. These results illustrate a potential shift occurring during the season, 28 possibly due to the cumulative effects of both pollutants.

Many studies have shown that increasing N fertilization increases stomatal conductance as
 photosynthesis and leaf growth are enhanced (e.g. Azuchi et al., 2014; Yamaguchi et al., 2007). The
 net effects of such an enhancement could be to steadily increase O<sub>3</sub> uptake until a tipping point is
 reached whereby O<sub>3</sub> detoxification and respiration repair processes are no longer sufficient to

provide protection against this powerful oxidant, leading to a shift in C allocation in favour of shoots
 and away from roots as illustrated in Figure 5(a).

3 Only one experiment has studied the long-term effects of combinations of  $O_3$  and Nr on biodiversity 4 and plant processes under field conditions. The Alp Flix experiment (Volk et al., 2003) in Switzerland 5 exposed Geo-Montani-Nardetum pasture monoliths for seven years to three O3 levels and five N 6 deposition rates at an alpine site 2000m a.s.l. with high annual precipitation of 1200mm, low mean 7 temperature of +2.8 °C, low background N deposition of ca. 4 kg N ha<sup>-1</sup> y<sup>-1</sup> and growing season mean 8  $O_3$  concentration of 45 – 47 ppb (Bassin et al., 2013). Under these high  $O_3$ /low N and climatically 9 challenging conditions, added N caused large changes in the community composition, with sedges 10 becoming particularly dominant, whilst added O<sub>3</sub> at 1.2 and 1.6 x ambient had no effect on functional group composition and few effects on productivity; there were no significant  $O_3 \times N$ 11 12 interactions (Bassin et al., 2013 and references therein). The lack of sensitivity to O<sub>3</sub> was attributed 13 to enhanced levels of anti-oxidants for tolerance of UV radiation at high altitude, continual exposure 14 to high background rather than peak O<sub>3</sub> and enhanced natural resilience in this long-lived, slow-15 growing community. In contrast, addition of Nr to this nutrient-limited vegetation had a much larger 16 effect than  $O_3$ , with Nr being the dominant pollutant in this instance, thereby emphasising the importance of previous pollution history in interpretation of interactions. 17

18 Analysis of national species distribution data in relation to drivers of change provide field evidence 19 of long-term effects of pollutants. For example, species shifts in favour of those with high Ellenberg 20 N together with reductions in low N species have been correlated with increasing N deposition 21 (Henrys et al., 2011) and changes in species richness and habitat species composition have been 22 found along N gradients in the UK (Field et al., 2014, Southon et al., 2014). In one such study,  $O_3$  was 23 included for the first time as a modifying factor influencing plant communities in UK acid grasslands 24 (Payne et al., 2011). Redundancy analysis identified current total inorganic N deposition, mean 25 annual potential evapotranspiration and O<sub>3</sub> exposure as the top three most important 26 environmental variables explaining species cover. Further analysis revealed that whereas N is a key 27 driver of species richness, O<sub>3</sub> was a key driver of species compositional change but not species 28 richness. In a biodiversity context, the two pollutants appear to be working in distinct but different 29 ways.

30

As with  $CO_2$ , one would expect that increasing Nr would at least in part ameliorate the effects of  $O_3$ as the two pollutants affect plants in opposing ways. This may well be the case under nutrient-poor conditions where N-induced additional growth offers some protection. Where high Nr inputs

- combine with high O<sub>3</sub>, the O<sub>3</sub> effect is more likely to dominate, with effects being especially
   pronounced in the roots. Such an interaction is particularly relevant close to point sources for Nr.
- 3
- 4

### 5 Conclusions

6 From the review and analyses presented here it is apparent that it is not always straight forward to 7 predict the direction of O<sub>3</sub> effect once one or more interacting factors are included. There is 8 evidence of tipping points occurring where there is a shift from one factor being dominant to 9 another. This shift can be dynamic, changing during the growing season, for example, for the tree 10 species Fagus crenata where initially the N effect was dominant and then the O<sub>3</sub> effect became 11 dominant later in the season (Yamaguchi et al., 2007). It is also dependant on the relative 12 concentrations/values and exposure duration of the combining stresses as well as the relative 13 sensitivity of the species studied. For example, the ameliorating effects of elevated CO<sub>2</sub> on the 14 negative impacts of ozone are less pronounced for rice under field conditions than expected from 15 shorter-term controlled environment studies (Ainsworth, 2008).

16 This study has also shown that there are two types of interactions that need to be considered 17 differently for assessing current and future effects of combined stressors: (i) Responses to gradual long-term changes in climate, background O<sub>3</sub> and Nr typically included in regional or global 18 19 modelling. Here, the evidence base is growing for inclusion of multiple factors but more 20 experimental work is needed to fully understand the dynamics of interactions. (ii) Responses to 21 extreme climatic and pollutant conditions, likely to become more frequent in the coming decades. 22 Here, effects on vegetation appear to depend on previous exposure history, with, for example 23 chronic exposure to O<sub>3</sub> prior to drought likely to reduce the ability of plants to control water loss 24 thereby exacerbating the effects of drought, whilst exposure to a relative high O<sub>3</sub> episode with no prior exposure might induce stomatal closure offering some protection against drought. Although 25 heat, drought and O<sub>3</sub> stress frequently occur together, there has been surprisingly little study so far 26 27 of their combined effects, nor has the added effect of Nr been studied under these conditions.

This partially quantitative study has been hampered by a lack of consistency in reporting of
experimental conditions and results across studies. There is merit in establishing a quality assurance
code for data from air quality exposure experiments, with a minimum set and form of parameters
for reporting. It is clear from this study that new sources of effects data are needed for the

- development, parameterisation and testing of multi-factor models capable of predicting future
- multi-stress impacts at a range of geographical- and time-scales.

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- Table 1 Summary of effects of O<sub>3</sub> on stomatal conductance in trees, crops and grassland
   species (data obtained from 49 peer reviewed publications, for details, see
   Supplementary Information). Reproduced from (Mills et al., 2013).

	Total	No effect	Increase	Sluggish	Stomatal
	number		a opening	control	Closing
			-1 0		
Crops (no. of species)	16	1	1	2	12
Crops (no. of experiments)	22	2	1	2	17
Trees (no. of species)	44	12	13	4	15
Trees (no. of experiments)	60	12	17	10	21
Grasslands (no. of species)	8	2	2	1	3
Grasslands (no. of expts.)	11	2	5	1	3
Total (no. of species)	68	15	16	7	30
Total (no. of experiments)	93	16	23	13	41
Ozone range (25 <sup>th</sup> to 75 <sup>th</sup>		35 – 80	50 – 90	70 – 120	59 – 100
percentile), ppb					
Mean ozone concentration, ppb		59	67	91	89

- **Table 2**: Brief description of data sources for ozone and nitrogen interaction studies included in
- 2 Figure 5.

Publication	Species	Brief description
Watanabe et al., 2008	Evergreen broadleaf	Two year old seedlings monitored over two
	(Castanopsis siebaldii)	growing seasons (2004 and 2005) in open
		top chambers; 3 x $O_3$ (max double
		ambient), 3 x N (max 50 kg N ha <sup>-1</sup> y <sup>-1</sup> ).
Yamaguchi et al., 2007	Decidous broadleaf	Two year old seedlings monitored over two
	(Fagus crenata)	growing seasons (2004 and 2005) in open
		top chambers; 3 x $O_3$ (max double
		ambient), 3 x N (max 50 kg N ha <sup>-1</sup> y <sup>-1</sup> ).
Wyness et al., 2011	Forb (Ranunculus acris) and	8 x O₃ treatments (max ambient +72 ppb),
	grass (Dactylis glomerata)	2 x N (max 75 kg N ha <sup>-1</sup> y <sup>-1</sup> ), solardomes
Thomas et al., 2005	Evergreen needle (Picea	two-year old seedlings, 2 x O₃ (max ca 40
	abies)	ppb), 4 x N (max 80 kg N ha <sup>-1</sup> y <sup>-1</sup> ); outdoor
		exposure

4

- 1 Figure legends
- 2

Figure 1: Model ensemble annual regional mean surface O<sub>3</sub> changes over the four HTAP regions
from the parameterization following (a) the different RCP precursor emission pathways and (b) the
SRES scenarios. The y-axis spans an O<sub>3</sub> change of 14 ppb in each case to allow direct comparison of
the magnitude of O<sub>3</sub> changes. Reproduced from Wild et al., 2012.

- Figure 2: Trends in (a), NH<sub>3</sub> and NO<sub>x</sub> emissions and ratios of NH<sub>3</sub>-N to NO<sub>x</sub>-N emission in China; (b)
  number of domestic animals (expressed as livestock units) and N fertilizer consumption; (c) number
  of motor vehicles and coal consumption. Reproduced from Liu et al., 2013.
- 11

Figure 3: Parameterisation for the effects of temperature on stomatal conductance (g) of wheat and potato for the stomatal flux algorithm used by the LRTAP Convention (2014). Data represent the short-term response of stomatal conductance to temperature and are expressed relative to a maximum stomatal conductance of 450 mmol O<sub>3</sub> m<sup>-2</sup> PLA s<sup>-1</sup> for wheat and 750 mmol O<sub>3</sub> m<sup>-2</sup> PLA s<sup>-1</sup> for potato. Reproduced from Pleijel et al., 2007.

Figure 4 Empirical models of annual variations in later season streamflow were significantly
improved for the 94ha Walker Branch catchment, Tennessee USA when climate and ozone were
included (R<sup>2</sup> = 0.78) compared to a climate only model (R<sup>2</sup> = 0.51). Re-drawn from Sun et al. (2012).

Figure 5: The modifying effects of N addition on the response of (a) shoot and (b) root biomass to
ozone, categorised by 24h mean as: -●- < 35 ppb, - ○ - 40 - 55 ppb, and ··◇·· 60 - 90 ppb. The</li>
combined datasets are from the papers listed in Table 2, and further details on statistical analysis
can be found in the Supplementary Information. Relative effect was calculated as the treatment
biomass/control biomass.

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3

**Figure 2:** Trends in (a), NH<sub>3</sub> and NO<sub>x</sub> emissions and ratios of NH<sub>3</sub>-N to NO<sub>x</sub>-N emission; (b),

4 number of domestic animals (expressed as livestock units) and N fertilizer consumption; (c),

5 number of motor vehicles and coal consumption. Reproduced from Liu et al.(2013).





2 Figure 3: Parameterisation for effects of temperature on stomatal conductance of wheat and potato

- 3 for the stomatal flux algorithm used by the LRTAP Convention (2014). Data represent the short-term
- 4 response of stomatal conductance to temperature and are expressed relative to a maximum
- 5 stomatal conductance of 450 mmol  $O_3 m^{-2} PLA s^{-1}$  for wheat and 750 mmol  $O_3 m^{-2} PLA s^{-1}$  for potato.
- 6 Reproduced from Pleijel et al., 2007.





2		
3	Figure 4	Empirical models of annual variations in later season streamflow were significantly
4		improved for the 94ha Walker Branch catchment, Tennessee USA when climate and
5		ozone were included ( $R^2 = 0.78$ ) compared to a climate only model ( $R^2 = 0.51$ ). Re-
6		drawn from Sun et al. (2012).
7		







Figure 5: The modifying effects of N addition on the response of (a) shoot and (b) root biomass to
ozone, categorised by 24h mean as: -●- < 35 ppb, - ○ - 40 - 55 ppb, and ··◇·· 60 - 90 ppb. The</li>
combined datasets are from the papers listed in Table 2, and further details on statistical analysis
can be found in the Supplementary Information. Relative effect was calculated as the treatment
biomass/control biomass.

# Ozone impacts on vegetation in a nitrogen enriched and changing climate

Mills et al.

## **Supplementary Information**

## (1) Data sources on ozone effects on stomatal conductance provided in Table 1

### Trees and woody species

Author	Species	Length of exposure	Ozone concentration	Environment	Stomatal response	Additional
						treatment
Freer-Smith & Dobson 1989	Sikka spruce	2 days	80 ppb	chambers	increased gs	N/A
Gregg et al. 2006	Populus deltoides	11 weeks	98 ppb	open top chamber	increased gs	N/A
Mclaughlin et al. 2007	forest trees	23 yrs	natural flunctuating	natural	increased gs	N/A
Onandia et al. 2011	Betula papyrifera	10 years	1.5 x ambient	Aspen FACE	increased gs	CO2
Paakkonen et al. 1998	Betula pendula	11 weeks	1.5 x ambient	open field	increased gs	well-watered
Pearson & Mansfield 1993	Fagus sylvaticum L	18.2 weeks	60 - 120 ppb	Solardomes	increased gs	redwatered
Pearson & Mansfield 1993	Fagus sylvaticum L	18.2 weeks	60 - 120 ppb	Solardomes	increased gs	well-watered
Roberts et al. 1990	Liriodendron tulipifers	14 weeks	50 ppb	chambers	increased gs	N/A
Sun et al. 2012	Betula papyrifera March.	12.8 weeks	80 ppb	Aspen FACE	increased gs	N/A
Sun et al. 2012	forest trees	18-24yr	natural flunctuating	natural	increased gs	N/A
Sun et al. 2012	Populus tremuloides Michx.	12.8 weeks	80 ppb	Aspen FACE	increased gs	N/A
Uddling et al. 2009	Betula papyrifers	2 growing seasons	ambient + 35%	Aspen FACE	increased gs	N/A
Uddling et al. 2009	Populus tremuloides	2 growing seasons	ambient + 35%	Aspen FACE	increased gs	N/A
Zhang et al. 2013	Flaeocarpus balansae	3 weeks	ambient	open-air	increased gs	N/A
Zhang et al. 2013	Ficus microcarpa	3 weeks	ambient	open-air	increased gs	N/A
Zhang et al. 2013	Manalietia alauca	3 weeks	ambient	open-air	increased gs	N/A
Tioelker et al. 1995	Acer saccharum Marsh	9 7 weeks	ambient x2 (approx 95 ppb)	free air exposure system	initial increase	N/A
Hoshika et al. 2012a	Betula ermanii	12 weeks	60 ppb	open ton chamber	no change	N/A
Hoshika et al. 2012a	Betula maximowicziana	12 weeks	60 ppb	open top chamber	no change	N/A
Hoshika et al. 2012a	Betula nlatvnhvlla var janonica	12 weeks	60 ppb	open top chamber	no change	N/A
Samuelson 1994	Acer rubrum	20 weeks	$\frac{100}{2}$ ppb $\frac{100}{2}$ ambient x2 (60 - 120 ppb)	open-top chamber	no change	N/A
camuelson 1994	Brunus soroting	20 weeks	$ambient x^2 (60 - 120 ppb)$	open top chambers	no change	N/A
Zhang of al. 2012	Aporusa dioisa	20 weeks	ambient x2 (00 - 120 ppb)	open air	no change	N/A
Zhang et al. 2013	Apolusu uloicu	3 weeks	ambient	open air	no change	N/A
Zhang et al. 2013		5 weeks	ampient	open-an	no change	N/A
Zhang et al. 2013	Litsea giutinosa	3 weeks	ampient	open-air	no change	N/A
Zhang et al. 2013	Sapium aiscolor	3 weeks	ampient	open-air	no change	N/A
Zhang et al. 2013	Schmima superba	3 weeks	ampient	open-air	no change	N/A
Zhang et al. 2013	l oxicodenaron succedaneum	3 weeks	ampient	open-air	no change	N/A
Hanson et al. 1994	Quercus rubra L	2 growing seasons	2x ambient	large open-top chambers	reduced gs	N/A
Hartikainen et al. 2012	Betula pendula Roth	2 growing seasons	ambient x 1.4	openfield	reduced gs	incr. temp.
Hoshika et al. 2012b	Fagus crenata	12 weeks	56.7 ±10.5 ppb	free air exposure system	reduced gs	N/A
Lombardozzi et al. 2012	Liriodendron tulipifers	12 weeks	ambient + 70 ppb (100ppb appro	open-top chambers	reduced gs	N/A
Matyssek et al. 1991	Betula pendula	5 weeks	100 ppb	field chambers	reduced gs	N/A
Minnocci et al. 1999	Olea europaea L. cv Frantoio	17.1 weeks	100 ppb	chambers	reduced gs	N/A
Minnocci et al. 1999	Olea europaea L. cv Moraiolo	17.1 weeks	100 ppb	chambers	reduced gs	N/A
Novak et al. 2005	Fraxinus excelsior	20 weeks	40.6 ppb	chambers	reduced gs	N/A
Novak et al. 2005	Populus nigra L	20 weeks	40.6 ppb	chambers	reduced gs	N/A
Novak et al. 2005	Viburnum lantana	20 weeks	40.6 ppb	chambers	reduced gs	N/A
Paakkonen et al. 1998	Betula pendula	11 weeks	1.5 x ambient	open field	reduced gs	redwatered
Pollastrini et al. 2010	Fagus sylvatiucm L.	24 weeks	150 ppb	open-top chambers	reduced gs	redwatered
Pollastrini et al. 2010	Populus maximowiczii	24 weeks	150 ppb	open-top chambers	reduced gs	redwatered
Pollastrini et al. 2010	Quercus robur L	24 weeks	150 ppb	open-top chambers	reduced gs	redwatered
Roberts. 1990	Liriodendron tulipifers	14 weeks	200 ppb	chambers	reduced gs	N/A
Shan et al. 1996	Pinus armandi Franch	14 weeks	300 ppb	field chamber	reduced gs	N/A
Tjoelker et al. 1991	Liriodendron tulipifers L.	18 weeks	ambient + 60 ppb (approx 108 p	o open-top field chambers	reduced gs	N/A
Tjoelker et al. 1991	Pinus taeda L.	18 weeks	ambient + 60 ppb (approx 108 p	open-top field chambers	reduced gs	N/A
Vandermeiren et al. 2002	Solanum tuberosun cv. Bintje	I growing season	ambient x 2	open-top chambers	reduced gs	N/A
Wullschleger et al. 1996	Quercus rubra L	I growing season	ambient x2	single tree chamber	reduced gs	nitrogen
Zhang et al. 2013	Schefflera octophylla	3 weeks	ambient	open-air	reduced gs	N/A
Dumont et al. 2013	Populus deltoides x Populus nigra	3 weeks	120 ppb	growth chambers	slowed response	blue light
Dumont et al. 2013	Populus deltoides x Populus nigra	3 weeks	120 ppb	growth chambers	slowed response	red light
Dumont et al. 2013	Populus deltoides x Populus niara	3 weeks	120 ppb	growth chambers	slowed response	CO2
Dumont et al. 2013	Populus deltoides x Populus niara	3 weeks	120 ppb	- growth chambers	slowed response	VPD
Grulke et al. 2007b	Quercus douglasii	4 weeks	70 ppb	open top chamber	slowed response	light
Grulke et al. 2007b	Quercus kelloaaii	4 weeks	70 ppb	open top chamber	slowed response	light
Paoletti & Grulke 2010	Quercus doualasii	8 weeks	70 ppb	open top chamber	slowed response	light
Paoletti & Grulke 2010	Quercus kellogaii	8 weeks	70 ppb	open top chamber	slowed response	light
Paoletti 2005	Arbutus unedo	13 weeks	110 nnh	greenhouse	slowed response	red -watered
Paoletti 2005	Arbutus unedo	13 weeks	110 ppb	greenhouse	slowed response	reduced light
1 GOIELLI 2003	n butus uneuo	TO WEEKS	710 hhn	Breetmouse	siowed response	i cuuceu ligit

### Grasslands

Hayes et al. 2012	Dactylis glomerata	20 weeks	70 -90 ppb	Solardomes	increased gs	redwatered
Mills et al. 2009	Dactylis glomerata	20 weeks	101.3 ppb	Solardomes	increased gs	N/A
Mills et al. 2009	Leontodon huspidus	20 weeks	101.3 ppb	Solardomes	increased gs	N/A
Wagg et al. 2012	Dactylis glomerata	20 weeks	70-90 ppb	Solardomes	increased gs	redwatered
Wilkinson & Davies 2009	Leontodon huspidus	5 weeks	70 ppb	growth cabinets	increased gs	redwatered
Jaggi et al. 2005	Trifolium prantense	2 growing seasons	ambient x 1.5 (2002) ambient x1	. free- air fumigation system	No change	redwatered
Wagg et al. 2012	Ranulculus acris	20 weeks	70-90 ppb	Solardomes	No change	redwatered
Zhang et al. 2012	Chionanthus retusus Lindl. & Paxt.	9.3 weeks	70 ppb	open top chambers	No change	N/A
Jaggi et al. 2005	Holcus lanatus L.	2 growing seasons	ambient x 1.5 (2002) ambient x1	. free- air fumigation system	reduced gs	redwatered
Jaggi et al. 2005	Plantago lanceolata L.	2 growing seasons	ambient x 1.5 (2002) ambient x1	. free- air fumigation system	reduced gs	redwatered
Neufeld et al. 1012	Rudbeckia laciniata L	16 weeks	natural fluctating	open field	reduced gs	N/A
Reiling and Davison 1995	Plantago major L. (28 populations)	3 weeks	70 ppb	small chambers	reduced gs	N/A
Zhang et al. 2012	Cornus alba L	9.3 weeks	70 ppb	open top chambers	reduced gs	N/A
Zhang et al. 2012	Euonymus bungeanus Maxim	9.3 weeks	70 ppb	open top chambers	reduced gs	N/A
Zhang et al. 2012	Photinia x fraseri	9.3 weeks	70 ppb	open top chambers	reduced gs	N/A
Zouzoulas et al. 2009	Gossypium allegria	23 weeks	100 ppb	closed chambers	reduced gs	N/A
Zouzoulas et al. 2009	Gossypium romanos	23 weeks	100 ppb	closed chambers	reduced gs	N/A
Grulke et al. 2007a	Rudbeckia laciniata var. digitata	7 days	fluctuating ambient (25-80 ppb)	open air	slowed respsone	dynamic light

### Crops

Author	Species	Length of exposure	Ozone concentration	Environment	Stomatal response	Additional treatment
Hassan et al. 1994	Raphanus sativus L. cv Baladey	8 days	80 ppb	chambers	increased gs	N/A
Bernacchi et al. 2006	Glycine max L	3 years	1.23x ambient	SoyFACE	no change	N/A
Betzelberger et al. 2012	Glycine max	2 growing seasons	38-120 ppb	open- field	no change	N/A
Biswas et al. 2011	Triticum aestivum L cv. Xiaoyan 22	3 weeks	83 ppb	open top chambers	no change	redwatered
Flowers et al. 2007	Phaseolus vulgaris L	9 weeks	60 ppb	field chambers	no change	N/A
Biswas et al. 2011	Triticum aestivum L cv. Xiaoyan 22	3 weeks	83 ppb	open top chambers	reduced gs	well-watered
Biswas et al. 2011	Triticum turgidum ssp. durum	3 weeks	83 ppb	open top chambers	reduced gs	well-watered
Fernandez-bayon et al. 1993	Citrullus lanatus	3 weeks	70 ppb	chambers	reduced gs	N/A
Fernandez-bayon et al. 1993	Cucumis melo	3 weeks	70 ppb	chambers	reduced gs	N/A
Grantz et al. 2003	Cucumis melo cv. Ambrosia Hybrid	5 weeks	90ppb	open top chambers	reduced gs	N/A
Grantz et al. 2003	Cucumis melo cv. Ambrosia Hybrid	5 weeks	143 ppb	open top chambers	reduced gs	N/A
Grantz et al. 2003	Gossypium barbadense L	6 weeks	90ppb	open top chambers	reduced gs	N/A
Grantz et al. 2003	Gossypium barbadense L	6 weeks	143 ppb	open top chambers	reduced gs	N/A
Grulke et al. 2007	Phaseolus vulgaris L	l hr	120-250 ppb	curvette	reduced gs	N/A
Hassan et al. 1994	Brassica rapa L. cv. Sultani	8 days	80 ppb	chambers	reduced gs	N/A
Tiwari & Agrawal. 2011	Raphanus sativus L.	12 weeks	40.8 ppb	open- top chmabers	reduced gs	N/A
Tiwari & Agrawal. 2011	Solanum melongena	12 weeks	40.8 ppb	open- top chmabers	reduced gs	N/A
VanLoocke et al. 2012	Glycine max L	1 season	70.8 ppb	SoyFACE	reduced gs	N/A
Biswas et al. 2011	Triticum turgidum ssp. durum	3 weeks	83 ppb	open top chambers	slowed response	redwatered
Paoletti & Grulke 2010	Phaseolus vulgaris L	4 weeks	70 ppb	open top chambers	slowed response	light

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## (2) Analysis of data on O3 \* N interactions (Figure 6 and Table 2)

## **Data collection**

Data on the combined effects of ozone and nitrogen on tree biomass (roots, shoots and total) were gathered by searching the peer-reviewed literature using the Web of Science (Thomson-ISI, Philadelphia, PA, USA). From a total of 904 articles containing the chosen keywords, 93 were potentially relevant. Journal articles were excluded if: (1) Nitrogen treatments were applied only once; (2) Nitrogen treatments exceeded 80 kg ha<sup>-1</sup> yr<sup>-1</sup>; (3) Mean 24 hour ozone exposure was >100ppb; (4) Ozone exposure period was <9 weeks. After applying these exclusion criteria, a total of four peer-reviewed articles published between 2005 and 2011 were included in the analysis (Table 2, main paper). Experiments were carried out in either Open Top Chambers (OTCs) or free air systems. A database was created, including the mean 24 hour ozone concentration (ppb) for each study, nitrogen treatment, species, experimental parameter (root biomass, shoot biomass, total biomass) and mean values per treatment. If the 24 hour mean ozone concentration was not reported, this was calculated from the 7 hour daylight mean ozone, using an equation derived from the ICP Vegetation bio-monitoring dataset, which took into account the daily profile of the hourly ozone concentrations in Europe.

Data was extracted from graphs using the GetData Graph Digitiser, version 2.26 (http://getdatagraph-digitizer.com). For each study, the relative treatment effect was calculated (treatment biomass/control biomass). Across all studies, control treatments had a mean 24 hour mean ozone concentration of 19.4 ppb and a mean nitrogen addition of 2.75 kg ha<sup>-1</sup> yr<sup>-1</sup>. Ozone treatments were split into three categories for the 24h mean : low (<35ppb), medium (40-55ppb) and high (60-95ppb).

## Statistical methodology

A series of general linear mixed effect models were run using the package 'Ime4' in the statistical program R (R Core Team, 2014), containing relative effect as the response variable and an interaction between the nitrogen (continuous) and ozone (factor) predictor variables. Response variables were transformed (square root) where necessary to ensure normality of residuals. A random effect of species was included to account for any variation in the data due to species specific responses to the treatments. A fifth data set from Jones et al. (2010) was removed prior to analysis as the variance in biomass was much smaller than for all other included species. A set of models was run for each parameter of interest (above ground biomass; below ground biomass; total biomass). Model selection was carried out by examining the change in Akaike's Information Criterion (AIC) on

removal of terms from the global model, following Burnham and Anderson (2002). For each biomass parameter, p-values were then provided for the variables in the top model using the R package 'ImerTest' (Table 2). As model results indicated there was no difference in the slope for the interaction between nitrogen and ozone at <35ppb and 40-55ppb for relative root biomass, these ozone categories were combined in the final model set.

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