

Complexity of diatom response to
Lateglacial and
Holocene climate

X. S. Zhang et al.

Complexity of diatom response to Lateglacial and Holocene climate and environmental change in ancient, deep, and oligotrophic Lake Ohrid (Macedonia/Albania)

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Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Received: 30 July 2015 – Accepted: 8 August 2015 – Published: 1 September 2015

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Published by Copernicus Publications on behalf of the European Geosciences Union.

BGD

12, 14343–14375, 2015

**Complexity of diatom
response to
Lateglacial and
Holocene climate**

X. S. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Abstract

Lake Ohrid (Macedonia/Albania) is a rare example of a deep, ancient Mediterranean lake and is a key site for palaeoclimate research in the northeastern Mediterranean region. This study conducts the first high-resolution diatom analysis during the Lateglacial and Holocene in Lake Ohrid. It demonstrates a complex diatom response to temperature change, with a direct response to temperature-induced productivity and an indirect response to temperature-related stratification/mixing regime and epilimnetic nutrient availability. During the Lateglacial (ca. 12 300–11 800 cal yr BP), the low-diversity dominance of hypolimnetic *Cyclotella fottii* indicates low temperature-dependent lake productivity. During the earliest Holocene (ca. 11 800–10 600 cal yr BP), although the slight increase in small, epilimnetic *C. minuscula* suggests climate warming and enhanced thermal stratification, diatom concentration remains very low as during the Lateglacial, indicating that temperature increase was muted. The early Holocene (ca. 10 600–8200 cal yr BP) marked a sustained increase in epilimnetic taxa, with mesotrophic *C. ocellata* indicating high temperature-induced lake productivity between ca. 10 600–10 200 cal yr BP and between ca. 9500–8200 cal yr BP, and with *C. minuscula* in response to low nutrient availability in the epilimnion between ca. 10 200–9500 cal yr BP. During the mid Holocene (ca. 8200–2600 cal yr BP), when sedimentological and geochemical proxies provide evidence for high temperature, anomalously low *C. ocellata* abundance is probably a response to epilimnetic nutrient limitation, almost mimicking the Lateglacial flora apart from mesotrophic *Stephanodiscus transylvanicus* indicative of high temperature-induced productivity in the hypolimnion. During the late Holocene (ca. 2600–0 cal yr BP), high abundance and fluctuating composition of epilimnetic taxa is largely a response to enhanced anthropogenic nutrient input. In this deep, oligotrophic lake, this study demonstrates the strong influence of lake physical and chemical processes in mediating the complex response of diatoms to climate change with particular respect to temperature.

BGD

12, 14343–14375, 2015

Complexity of diatom response to Lateglacial and Holocene climate

X. S. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



1 Introduction

Deep, ancient lakes are of global importance for palaeoclimate research, and diatom records from these lakes can provide powerful insights into mechanisms of climate and environmental change over long timescales (Mackay et al., 2010). Lake Ohrid (Macedonia/Albania) is a rare example of a deep, ancient Mediterranean lake (Roberts and Reed, 2009). It is thought to be the oldest lake in Europe, and probably the most biodiverse lake in the world (Albrecht and Wilke, 2008; Levkov and Williams, 2012). It is therefore a key site for palaeoclimate research in the northeastern Mediterranean region (Wagner et al., 2014). As most Mediterranean lakes are relatively shallow and demonstrate a strong diatom response to shifts in moisture availability (Zhang et al., 2014), the diatom record in Lake Ohrid may provide an important means by which to disentangle temperature and precipitation effects in Mediterranean climate research.

To date, diatom-based palaeoclimate research in Lake Ohrid has focused on low-resolution analysis of response to the last glacial–interglacial cycle (Wagner et al., 2009; Reed et al., 2010; Cvetkoska et al., 2012). Fluctuations in diatom composition between glacial/stadial and interglacial/interstadial stages have suggested a strong and simple response to temperature-induced changes in lake productivity. Here, we focus on high-resolution analysis of diatom response to Lateglacial and Holocene climate, environmental and limnological change, testing the response of diatoms in greater depth than has been achieved previously. Core Co1262, in the western part of the lake, is chronologically well constrained and is the longest and most continuous Holocene sequence yet retrieved from the lake. Diatom results are compared with sedimentological and geochemical data from the same core (Wagner et al., 2012; Lacey et al., 2014). We also compare with low-resolution diatom data from core Lz1120 (southeastern Lake Ohrid; Wagner et al., 2009), core Co1202 (northeastern Lake Ohrid; Reed et al., 2010; Cvetkoska et al., 2012) and core 9 (north-central Lake Ohrid; Roelofs and Kilham, 1983), and with palynological data from the region (Wagner et al., 2009; Panagiotopoulos et al., 2013).

Complexity of diatom response to Lateglacial and Holocene climate

X. S. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



2 Site description

Lake Ohrid (40°54′–41°10′ N, 20°38′–20°48′ E, 693 m a.s.l.; Fig. 1) is an ancient graben lake with a > 1.2 Ma sedimentary record (Wagner et al., 2014). The lake is about 30 km long, 16 km wide, and has a surface area of 358 km² and a maximum water depth of 293 m (Albrecht and Wilke, 2008; Wagner et al., 2012). The lake basin has a relatively simple tub-shaped morphometry with steep slopes along the western and eastern sides and less inclined shelves in the northern and southern parts. It is surrounded by the Galicica Mountain (2256 m a.s.l.) to the east, the Mali i Thate Mountain (2276 m a.s.l.) to the southeast, the Jablanica Mountain (2225 m a.s.l.) to the northwest, and the Mokra Mountain (1512 m a.s.l.) to the west. Geological formations around the lake comprise Palaeozoic metamorphics to the northeast, karstified Triassic limestones to the east, southeast and northwest, Jurassic ophiolites to the west, Tertiary molasse deposits to the southwest and south, and Quaternary fluvio-lacustrine deposits in the Struga, Ohrid and Starovo plains to the north, northeast and south, respectively (Hoffmann et al., 2010; Reicherter et al., 2011). The local climate belongs to the Mediterranean regime with minimum precipitation occurring in June–August, and it is also influenced by the continental regime as it is surrounded by high mountains (Watzin et al., 2002). The catchment vegetation is distributed mainly in altitudinal belts as, in ascending order, mixed deciduous oak forest, beech forest, coniferous forest, and subalpine and alpine meadows (Lézine et al., 2010; Panagiotopoulos et al., 2013).

Lake Ohrid is fed mainly by karstic springs (53 %, including 27 % surface springs and 26 % sublacustrine springs), with 24 % of water input from river inflow and 23 % from direct precipitation on the lake surface. Direct outflow is via the Crni Drim River (66 %), with 34 % evaporative loss (Matzinger et al., 2006a). The largest surface springs are those of Sveti Naum and Tushemisht at the southeastern edge of the lake, with smaller complexes comprising the Biljana spring in the northeastern part and the Dobra Voda spring in the northwest (Albrecht and Wilke, 2008). Sublacustrine springs are located mainly on the eastern shore of the lake, with one in the northwestern corner (Matter

BGD

12, 14343–14375, 2015

Complexity of diatom response to Lateglacial and Holocene climate

X. S. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Complexity of diatom response to Lateglacial and Holocene climate

X. S. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Lange-Bertalot, 2001; Krammer, 2002; Houk et al., 2010, 2014) and the dedicated Lake Ohrid works which reflect ongoing revision and improvement of diatom taxonomy (Levkov et al., 2007; Levkov and Williams, 2011; Cvetkoska et al., 2012, 2014a), adopting the nomenclature of the Catalogue of Diatom Names (on-line version) (Fourtanier and Kociolek, 2011). The endemics, *Cyclotella fottii* Hustedt and the smaller taxon *Cyclotella hustedtii* Jurilj were previously separated (Hustedt, 1945; Jurilj, 1954). They are now combined as *C. fottii* but we split morphotypes as size classes to investigate additional sub-species response (cf. Reed et al., 2010; Cvetkoska et al., 2012). *Cyclotella minuscula* (Jurilj) Cvetkoska is a new species identification (Cvetkoska et al., 2014a), which was previously identified as *Discostella stelligera* (Cleve & Grunow) Houk & Klee (Roelofs and Kilham, 1983; Wagner et al., 2009) or briefly combined with *Cyclotella ocellata* Pantocsek (Reed et al., 2010; Cvetkoska et al., 2012). *Cyclotella ocellata* morphotypes were split by number of ocelli. *Stephanodiscus transylvanicus* Pantocsek is another improvement of species identification (Cvetkoska et al., 2012), which was previously identified as *Stephanodiscus astraea* (Ehrenberg) Grunow (Roelofs and Kilham, 1983), *Stephanodiscus neoastraea* Håkansson & Hickel (Wagner et al., 2009) or *Stephanodiscus galileensis* Håkansson & Ehrlich (Reed et al., 2010). Diatom results were displayed using Tilia version 1.7.16, and zone boundaries were defined based on relative abundance data according to Constrained Incremental Sum of Squares (CONISS) cluster analysis (Grimm, 2011).

To assess the quality of diatom preservation, Ryves' F index of the dominant endemic taxon *C. fottii* was calculated as the ratio of pristine valves to all valves (sum of pristine and dissolved valves), where $F = 1$ indicates perfect preservation while $F = 0$ shows that all valves are visibly dissolved (Ryves et al., 2001). Unconstrained ordination techniques were used to explore the variance in the diatom relative abundance data using Canoco for Windows 4.5 (Ter Braak and Šmilauer, 2002). Detrended correspondence analysis (DCA) gave the largest gradient length of 1.85 SD units, and thus the linear ordination method principal components analysis (PCA) was selected (Ter Braak, 1995; Lepš and Šmilauer, 2003). Diatom influx data, with the influence of

Complexity of diatom response to Lateglacial and Holocene climate

X. S. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

5 eurythermic (Stanković, 1960). It has been described as mesotrophic (Wagner et al., 2009), and is taken as an indicator of nutrient enrichment in this highly oligotrophic lake compared to *C. fottii* (Lorenschat et al., 2014). *Cyclotella minuscula* is very small (3–5 µm diameter), and probably has a similar ecological niche as other small-celled
 10 *Cyclotella sensu lato* species (Saros and Anderson, 2015), which have low nutrient and light requirements, high growth rates and low sinking rates, owing to their high surface area to volume ratio (Winder et al., 2009; Finkel et al., 2009). In contrast to previous palaeolimnological work (Roelofs and Kilham, 1983; Wagner et al., 2009; Reed et al., 2010; Cvetkoska et al., 2012), we adopt here the specific assumption that variations in
 15 the relative abundance of these taxa represent a response to shifts in lake productivity and/or changes in mixing regime, both in relation to temperature change.

From the results of this study, the complacency in *C. fottii* *F* index values and high quality of diatom preservation indicate that major shifts in diatom composition are not related to the taphonomic effects of dissolution, but represent a real ecological shift.
 20 Diatom PCA Axis 1 scores clearly vary according to the relative abundance of the putative epilimnetic taxa, with high positive scores with the dominance of epilimnetic taxa and high negative scores in zones of low-diversity *C. fottii* dominance. To strengthen diatom interpretation, we compare the diatom results of core Co1262 with sedimentological and geochemical data from the same core (Fig. 4; Wagner et al., 2012; Lacey et al., 2014). In this lake, total inorganic carbon (TIC) or calcite (CaCO₃) content in particular has proved to be a strong proxy for temperature-induced lake productivity (Vogel et al., 2010; Wagner et al., 2010). Diatom shifts in core Co1262 are well correlated with those of core Lz1120, southeastern Lake Ohrid (Fig. 5; Wagner et al., 2009), validating diatom interpretation of core Co1262 as representative of basin-wide response. Comparison of diatoms with palynological data for catchment vegetation change from core
 25 Lz1120 is also shown in Fig. 5, to aid interpretation of the possible additional influence of catchment processes on nutrient delivery.

5.1 The Lateglacial (ca. 12 300–11 800 cal yr BP)

During the Lateglacial or Younger Dryas (Subzone D-1a; ca. 12 300–11 800 cal yr BP), the low-diversity dominance of hypolimnetic, oligothermic and oligophotic *C. fottii* indicates low temperature-dependent lake productivity, as during marine isotope stage 2 (MIS 2) in core 9, north-central Lake Ohrid (Roelofs and Kilham, 1983) and core Co1202, northeastern Lake Ohrid (Reed et al., 2010). This corresponds to low calcite content, and is also consistent with low organic matter (i.e. total organic carbon, TOC) content, low hydrogen index (HI) and high oxygen index (OI) which suggest low algal organic matter contribution and/or high organic matter degradation (Lacey et al., 2014). The regularly-distributed (ca. 8 % relative abundance) pioneering, facultative planktonic fragilaroid taxa *S. pinnata* and *P. brevistriata* are probably related to cold water and winter lake ice cover (Mackay et al., 2003; Schmidt et al., 2004), which is consistent with the deposition of ice-rafted debris (Wagner et al., 2012). Low temperature would either have resulted in the high frequency and long duration of complete lake circulation which usually occurs in severe winters in this lake today (Stanković, 1960; Matzinger et al., 2006a) or, if subject to winter lake ice cover, it would have been dimictic or monomictic rather than currently oligomictic. Thus, the capacity for mixing-induced upward nutrient supply would have been high. High potassium (K) concentration suggests high erosion, more clastic delivery, and low calcite and organic matter accumulation (Wagner et al., 2012). This is consistent with a sparsely-vegetated catchment during the Younger Dryas (Panagiotopoulos et al., 2013). Thus, erosion-induced external nutrient input would also have been high. However, Younger Dryas temperature must have been low enough to prevent nutrient-induced productivity increase. Low temperature during the Younger Dryas is consistent with pollen-based temperature reconstruction in Lake Maliq, Albania (Bordon et al., 2009) and SL152, northern Aegean Sea (Kotthoff et al., 2011), and with alkenone- and foram-inferred low sea surface temperature (SST) in MNB3, northern Aegean Sea (Gogou et al., 2007; Geraga et al., 2010).

5.2 The earliest Holocene (ca. 11 800–10 600 calyr BP)

During the earliest Holocene (Subzone D-1b; ca. 11 800–10 600 calyr BP), the slight increase in the relative abundance of small, epilimnetic *C. minuscula* probably represents the inherent response of small planktonic diatoms to climate warming and enhanced thermal stratification, and resultant reduced nutrient availability and/or increased sinking velocities in a deep, oligotrophic lake (Winder et al., 2009; Finkel et al., 2009). The rarity (< 5% relative abundance) of facultative planktonic taxa suggests a more prolonged ice-free period, which is consistent with the disappearance of ice-rafted debris deposition after ca. 11 300 calyr BP (Wagner et al., 2012). The increase in the abundance of epilimnetic *Cyclotella* species is also possibly related to a longer ice-free season (Smol et al., 2005; Rühland et al., 2008). There is a gradual rather than abrupt change in increasing organic matter content, increasing HI and decreasing OI which indicate relatively subtle increases in algal organic matter contribution and/or organic matter preservation (Lacey et al., 2014). However, diatom concentration remains very low as during the Lateglacial. In combination with low calcite content, this indicates that temperature is still very low during this period, possibly with only intermittent stratification. Although the diatom signature of the Lateglacial–Holocene transition is more pronounced here than in core Co1202 (Reed et al., 2010), the transition is remarkably muted compared to the marked diatom shifts observed in shallower southern Balkan lakes. The distinct transition in Lake Ioannina, northwestern Greece (Wilson et al., 2008; Jones et al., 2013), Lake Prespa, Macedonia/Albania/Greece (Cvetkoska et al., 2014b) and Lake Dojran, Macedonia/Greece (Zhang et al., 2014), for example, is instead a response driven by a major increase in lake level and moisture availability. The temperature shift was insufficient to cause major productivity increase in this deep lake, in spite of relatively high K concentration indicative of catchment erosion and nutrient input similar to the Lateglacial environment. The results also confirm the potential of Lake Ohrid's contrasting response thresholds to contribute to separation of temperature and precipitation change in regional palaeoclimate reconstruction.

5.3 The early Holocene (ca. 10 600–8200 calyr BP)

The early Holocene (Zones D-2 and D-3; ca. 10 600–8200 calyrBP) marked a sustained increase in the abundance of epilimnetic taxa, with an alternation between *C. ocellata* and *C. minuscula* in Zone D-2 (ca. 10 600–9500 calyrBP) and dominance by *C. ocellata* in Zone D-3 (ca. 9500–8200 calyrBP). Diatom PCA Axis 1 scores are correspondingly high. Diatom concentration could still indicate a real change in lake productivity, since sedimentation rate is unchanged compared to Zone D-1 (Fig. 4). High abundance of eurythermic, mesotrophic *C. ocellata* between ca. 10 600–10 200 calyrBP (Subzone D-2a) and between ca. 9500–8200 calyrBP (Zone D-3) corresponds to high diatom concentration and high organic matter content, supporting an interpretation of *C. ocellata* as indicative of high temperature-induced lake productivity, as in core Co1202 (Reed et al., 2010). This is also consistent with generally high HI and slightly low OI, reflecting high algal organic matter contribution and/or better organic matter preservation (Lacey et al., 2014). High temperature would have reduced the frequency, duration and strength of lake circulation, and thus restrained nutrient availability in the epilimnion. K concentration is generally low in the diatom zones D-2a and D-3, which might be attributed to more non-clastic material accumulation or might represent a decline in catchment erosion and associated external nutrient delivery. This is consistent with a densely-forested catchment (Panagiotopoulos et al., 2013). However, nutrient concentration must have been insufficiently low to prevent temperature-induced productivity increase. High *C. minuscula* abundance between ca. 10 200–9500 calyrBP (Subzone D-2b), at the expense of *C. ocellata*, corresponds to a major peak in calcite content. Given primarily photosynthesis-induced endogenic calcite precipitation and negligible detrital calcite in this lake, the peak calcite content indicates high lake productivity and, by inference, high temperature (Vogel et al., 2010; Wagner et al., 2010). However, a contrasting diatom ecological response is shown in this subzone, with high *C. minuscula* abundance and low diatom concentration. Although, as suggested above, strong thermal stratification would support the bloom of small-sized planktonic diatom

5.5 The late Holocene (ca. 2600–0 cal yr BP)

Between ca. 2600–2000 cal yr BP (Zone D-5), high *C. ocellata* abundance, along with high diatom PCA Axis 1 scores, is consistent with that of core Lz1120 (Fig. 5; Wagner et al., 2009). There is surprisingly little change in other limnological proxies during this phase, but it correlates with palynological evidence for anthropogenic catchment deforestation in core Lz1120 (Fig. 5; Wagner et al., 2009). Along with distinctly increasing sedimentation rate, relatively high diatom concentration probably represents a response to epilimnetic productivity increase, caused at least in part by human activity. At ca. 2000/1900 cal yr BP, the abrupt peak in *C. minuscula* abundance is correlated with peak K concentration, abrupt reductions in calcite, organic matter and HI, and a peak in OI. The peak is consistent with previous interpretations, suggesting that this is related to intensified human activity in the catchment during the Roman Period, and that enhanced erosion causes increased delivery of nutrients, clastic material and organic matter that is extensively oxidised (Wagner et al., 2009; Vogel et al., 2010; Lacey et al., 2014). It is not a predictable diatom response to high nutrient availability, however. While very small *Cyclotella sensu lato* species have low nutrient preferences, they may respond to nitrogen enrichment when N/P supply ratio is low (Saros and Anderson, 2015). There is no abiotic mechanism for the removal of nitrogen from the epilimnion, and phosphorus precipitation linked to the “calcite scavenging” effect is low at this time (Allen and Ocevski, 1976).

After ca. 1900 cal yr BP (Zone D-6), Lake Ohrid essentially reached its modern state with high abundance of epilimnetic taxa, dominated by relatively small valves of *C. ocellata* and *C. minuscula*. As suggested in the previous zones, the autecology of *C. ocellata* and *C. minuscula* is probably divergent in relation to nutrient availability and mixing depth, which is supported by other observational and experimental studies (e.g. Saros et al., 2012); however, *C. ocellata* is relatively small compared to *C. paraocellata* Cvetkoska in neighbouring Lake Prespa, and it may also show synchronous change with very small *Cyclotella sensu lato* species (e.g. Rühland et al., 2008). Thus, it is

BGD

12, 14343–14375, 2015

Complexity of diatom response to Lateglacial and Holocene climate

X. S. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



dicating that temperature increase was muted. The early Holocene (ca. 10 600–8200 calyrBP) marked a sustained increase in epilimnetic taxa, with mesotrophic *C. ocellata* indicating high temperature-induced lake productivity between ca. 10 600–10 200 calyrBP and between ca. 9500–8200 calyrBP, and with *C. minuscula* in response to low nutrient availability in the epilimnion between ca. 10 200–9500 calyrBP. During the mid Holocene (ca. 8200–2600 calyrBP), in spite of high temperature, anomalously low *C. ocellata* abundance is probably a response to epilimnetic nutrient limitation, while relatively high abundance of mesotrophic *S. transylvanicus* indicates high temperature-induced productivity in the hypolimnion. During the late Holocene (ca. 2600–0 calyrBP), high abundance but fluctuating composition of epilimnetic taxa is largely a response to enhanced anthropogenic nutrient input.

Author contributions. B. Wagner, M. J. Leng and J. M. Reed designed this research in the SCOPSCO project. X. S. Zhang performed diatom analysis. X. S. Zhang and J. M. Reed interpreted diatom data by comparison with other proxy data. A. Francke and B. Wagner developed age model. A. Francke and B. Wagner generated and interpreted sedimentological and geochemical data (sedimentation rate, potassium concentration, and calcite content). J. H. Lacey and M. J. Leng generated and interpreted geochemical data (total organic carbon content, hydrogen index, and oxygen index). Z. Levkov provided developments in diatom taxonomy. X. S. Zhang wrote the paper under supervision of J. M. Reed with editorial comments from all co-authors.

Acknowledgements. The study was funded by a University of Hull–China Scholarship Council (CSC) PhD Scholarship to X. S. Zhang. It is part of the project Scientific Collaboration on Past Speciation Conditions in Lake Ohrid (SCOPSCO), supported by the International Continental Scientific Drilling Program (ICDP), the German Federal Ministry of Education and Research (BMBF), the German Research Foundation (DFG), the University of Cologne, the British Geological Survey (BGS), the Italian National Institute of Geophysics and Volcanology (INGV), the Italian National Research Council (CNR), and the Governments of the Republics of Macedonia (FYROM) and Albania. Many thanks are due to Jens Holtvoeth, Klaus Reicherter and Daniel Ariztegui for discussions of human impacts and adaptation in the 4th SCOPSCO workshop in Hull, UK. Thanks are also due to Aleksandra Cvetkoska, Elena Jovanovska and Aleksandar Pavlov for discussions of diatom taxonomy.

Complexity of diatom response to Lateglacial and Holocene climate

X. S. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



References

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Complexity of diatom response to Lateglacial and Holocene climate

X. S. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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BGD

12, 14343–14375, 2015

Complexity of diatom response to Lateglacial and Holocene climate

X. S. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Complexity of diatom response to Lateglacial and Holocene climate

X. S. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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Complexity of diatom response to Lateglacial and Holocene climate

X. S. Zhang et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

[⏪](#)

[⏩](#)

[◀](#)

[▶](#)

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



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Complexity of diatom response to Lateglacial and Holocene climate

X. S. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

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Complexity of diatom response to Lateglacial and Holocene climate

X. S. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

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Complexity of diatom response to Lateglacial and Holocene climate

X. S. Zhang et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

[⏪](#)

[⏩](#)

[◀](#)

[▶](#)

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



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Complexity of diatom response to Lateglacial and Holocene climate

X. S. Zhang et al.

Table 1. Age estimates for core Co1262. The calibration of radiocarbon ages into calendar ages is based on Calib 7.0.2 (Stuiver and Reimer, 1993) and IntCal13 (Reimer et al., 2013) and on a 2σ uncertainty.

Core depth (cm)	Lab code	Material	Radiocarbon age ($^{14}\text{CyrBP}$)	Calendar age (calyrBP)
17	COL 1251.1.1	terrestrial plant remains	164 ± 20	140 ± 145
122		the AD 472/512 tephra		1478/1438
240	COL 1735.1.1	terrestrial plant remains	2176 ± 46	2190 ± 140
315		the FL tephra		3370 ± 70
318	COL 1736.1.1	terrestrial plant remains	3280 ± 45	3510 ± 110
335	COL 1737.1.1	terrestrial plant remains	3581 ± 40	3850 ± 130
368	COL 1738.1.1	terrestrial plant remains	4370 ± 44	5030 ± 190
503		the Mercato tephra		8890 ± 90
548	COL 1243.1.1	fish remains	$10\,492 \pm 37$	$12\,400 \pm 190$ (rejected)

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



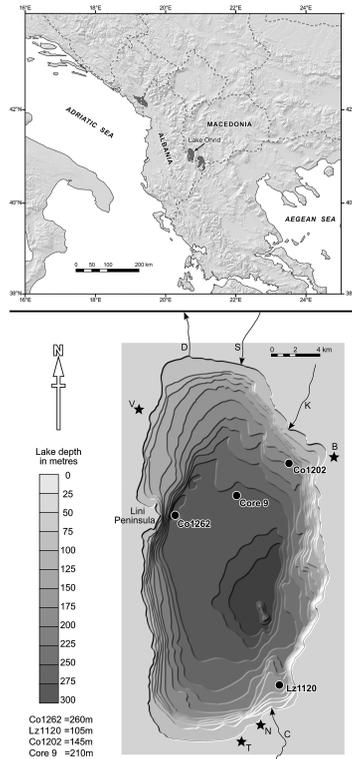


Figure 1. Map showing the location of Lake Ohrid (Macedonia/Albania) and the coring sites Co1262 (this study; Wagner et al., 2012; Lacey et al., 2014), Lz1120 (Wagner et al., 2009), Co1202 (Vogel et al., 2010; Reed et al., 2010; Cvetkoska et al., 2012), and Core 9 (Roelofs and Kilham, 1983). *Arrows* indicate main river flows (C = Cerava River, K = Koselska River, S = Sateska River, D = Crni Drim River) and *asterisks* indicate major springs (N = Sveti Naum, T = Tushemisht, B = Biljana, V = Dobra Voda). Modified from Reed et al. (2010).

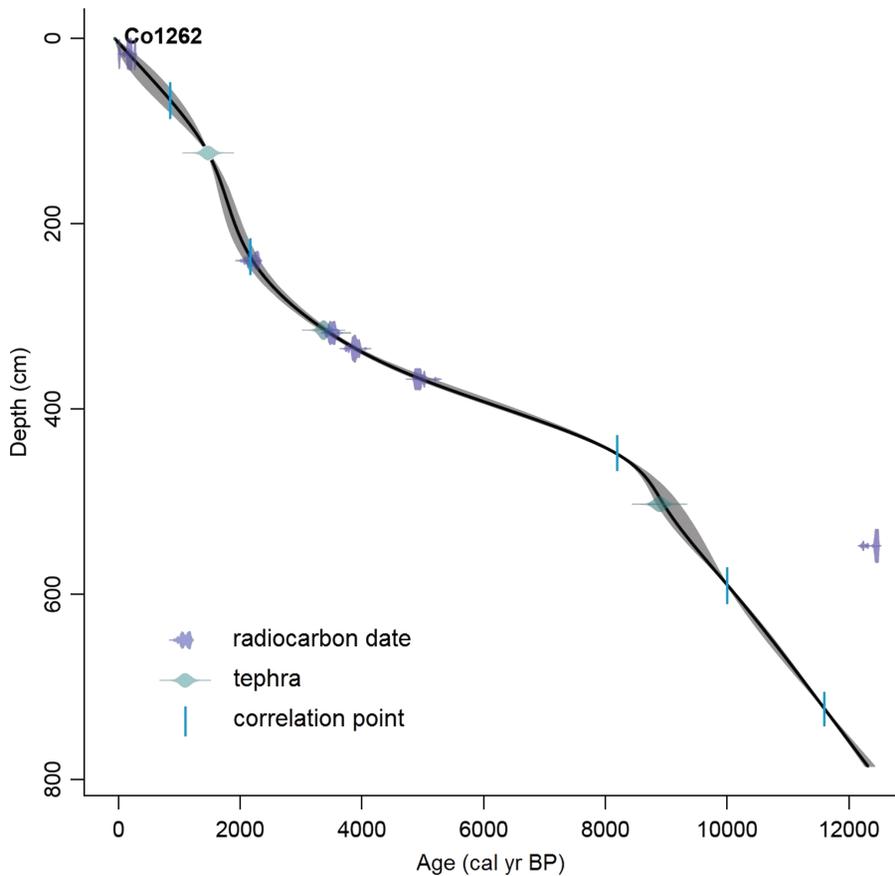


Figure 2. Age-depth model of core Co1262 (modified from Lacey et al., 2014).

BGD

12, 14343–14375, 2015

Complexity of diatom response to Lateglacial and Holocene climate

X. S. Zhang et al.

[Title Page](#)

[Abstract](#) | [Introduction](#)

[Conclusions](#) | [References](#)

[Tables](#) | [Figures](#)

[◀](#) | [▶](#)

[◀](#) | [▶](#)

[Back](#) | [Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



Complexity of diatom response to Lateglacial and Holocene climate

X. S. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

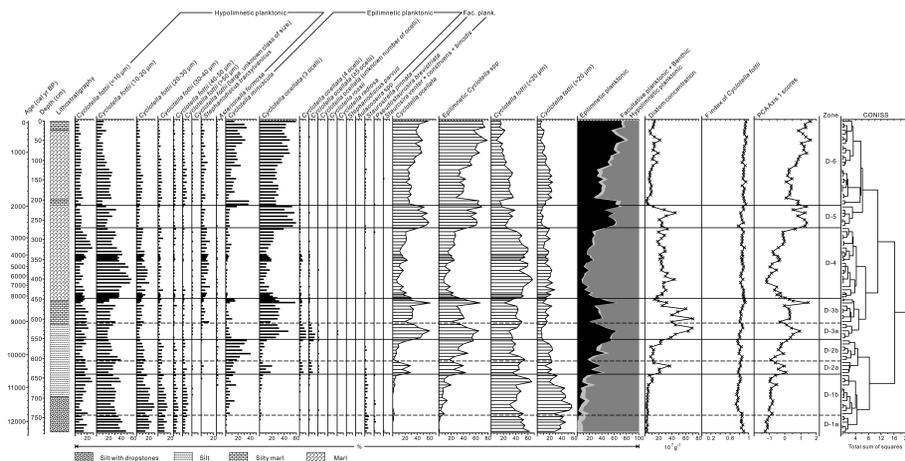


Figure 3. Summary diatom diagram of relative abundance of planktonic and facultative planktonic species from core Co1262, showing lithostratigraphy (modified from Wagner et al., 2012), diatom concentration, *C. fottii* F index values, and principal components analysis (PCA) Axis 1 scores.

Complexity of diatom response to Lateglacial and Holocene climate

X. S. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

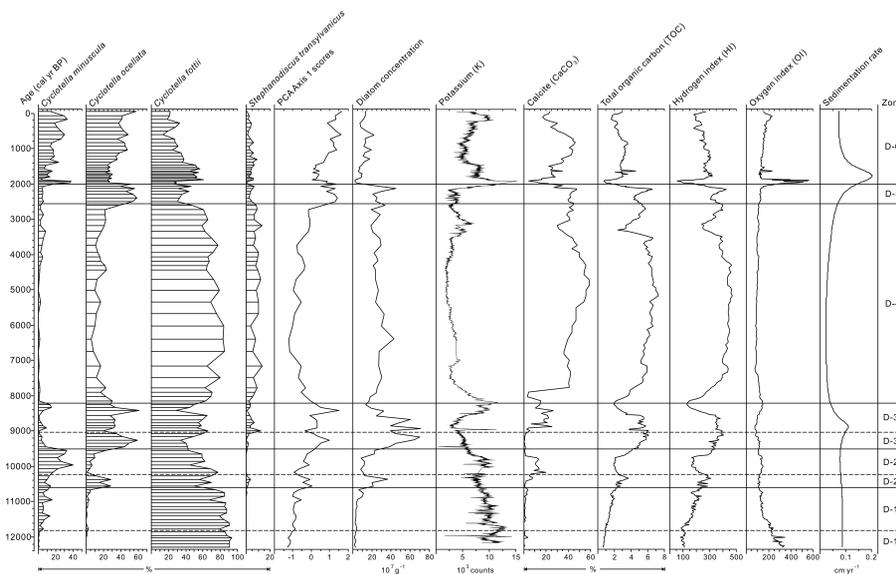


Figure 4. Comparison of diatoms in core Co1262 with sedimentological and geochemical data from the same core. Calcite (CaCO_3) content and potassium (K) concentration are from Wagner et al. (2012), and total organic carbon (TOC) content, hydrogen index (HI) and oxygen index (OI) are from Lacey et al. (2014).

Complexity of diatom response to Lateglacial and Holocene climate

X. S. Zhang et al.

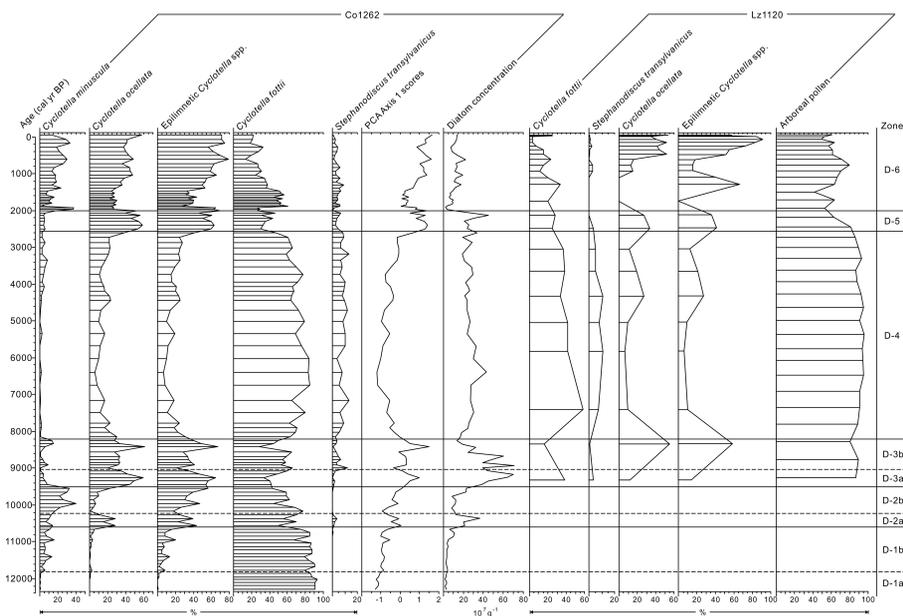


Figure 5. Comparison of diatoms in core Co1262 with diatom and palynological data from core Lz1120, southeastern Lake Ohrid (Wagner et al., 2009).

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

