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**‘GROWTH RINGS’ IN CRUSTOSE LICHENS: COMPARISON WITH
DIRECTLY MEASURED GROWTH RATES AND IMPLICATIONS FOR
LICHENOMETRY**

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23 **Abstract**

24

25 Some species of crustose lichens, such as *Ochrolechia parella* (L.) Massal., exhibit
26 concentric marginal rings, which may represent an alternative technique of measuring
27 growth rates and potentially, a new lichenometric dating method. To examine this
28 hypothesis, the agreement and correlation between ring widths and directly measured
29 annual radial growth rates (RaGR, mm a⁻¹) were studied in 24 thalli of *O. parella* in
30 north Wales, UK, using digital photography and image analysis. Variation in ring
31 width was observed at different locations around a thallus, between thalli, and from
32 year to year. The best agreement and correlation between ring width and lichen
33 growth rates was between mean width of the outer two rings (measured in 2011) and
34 mean RaGR (in 2009/10). The *O. parella* data suggest that mean width of the
35 youngest two growth rings, averaged over a sample of thalli, is a predictor of recent
36 growth rates and therefore could be used in lichenometry. Potential applications
37 include: as a convenient method of comparing lichen growth rates on surfaces in
38 different environmental settings; and as an alternative method of constructing lichen
39 growth-rate curves, without having to revisit the same lichen thalli over many years.
40 However, care is needed when using growth rings to estimate growth rates as: growth
41 ring widths may not be stable; ring widths exhibit spatial and temporal variation; rings
42 may not represent 1-year's growth in all thalli; and adjacent rings may not always
43 represent successive year's growth.

44

45 Key Words: *Ochrolechia parella* (L.) Massal., Marginal growth ring, Radial growth
46 rate (RaGR), Annual variation, Lichenometry

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51 **1. Introduction**

52

53 Lichenometry has been used as a dating technique by earth scientists for more than 50
54 years. However, its usefulness and validity have been subjected to intense scrutiny.
55 Recent studies have shown both the potential power and questionability of
56 lichenometry as a surface-exposure dating technique. For example, diligent lichen-
57 population studies – involving measurement and statistical analysis of several
58 thousand thalli growing on coeval surfaces – have greatly increased our understanding
59 of crustose lichen growth history, mortality, and longevity (Loso *et al.*, 2014) and
60 expanded the opportunities for surface dating applications. In stark contrast, others
61 have taken a highly critical view of the technique, either by highlighting the apparent
62 inaccuracy, imprecision, and unreliability of the ages derived (e.g. Jomelli *et al.*,
63 2007); or, more recently, by strongly questioning the validity of the technique at a
64 fundamental level (Osborn *et al.*, 2015).

65

66 Nevertheless, there are many good reasons why the size of lichens (and some
67 bryophytes) growing on stone surfaces can shed useful, sometimes unique,
68 information on the exposure age (and history) of a surface. In fact, the very reasons
69 why Knut Faegri, Roland Beschel, James Benedict, and many other early pioneers of
70 the lichenometric technique found it so useful in the 1930s, 1950s and 1960s – and
71 why so many still do today (e.g. McEwen & Matthews, 2013; Bull, 2014; Foulds *et*
72 *al.*, 2014). The fact that the monotonous slow growth of lichens can be measured
73 (directly over time) or inferred (from surfaces of known age), allows the use of certain
74 lichens as a form of biological chronometer – an environmental surrogate for the
75 passage of time. In this article we explore a little studied but potentially valuable
76 branch of lichenometry, viz. the use of marginal growth rings to estimate lichen
77 growth rates and lichen age.

78

79 Some crustose lichens, including species of *Ochrolechia*, *Rhizocarpon*, and *Fuscidea*
80 have concentric ‘rings’ at the margin of the thallus (Fig 1). Within a ring, alternating
81 light and dark bands are often evident. The biological origin of the bands has not been
82 established but the lighter bands appear to represent relatively rapid growth in
83 summer while the narrow darker bands more suppressed or truncated growth in winter

84 (Hale, 1973; Hooker, 1980; Armstrong and Bradwell, 2010). The more growth is
85 suppressed in winter, the more evident the dark band appears to be and the clearer the
86 growth rings. This observation implies that rings may be more prominent in species
87 growing in more seasonal or more stressful climatic conditions. If each complete
88 light/dark couplet or 'ring' represented a single year's growth, growth patterns could
89 be traced back a number of years in some thalli. Lichen growth rings could therefore
90 provide a potentially new *in situ* lichenometric method of determining the growth rate
91 and, hence, approximating the age of lichen thalli (e.g. Armstrong, 1983; 2005a;
92 2005b, 2014; McCarthy, 2003). In short, if intra-thallus growth rings could be used to
93 infer lichen growth rates – akin to tree rings ('dendrochronology') – they may serve as
94 an independent measure of lichen age, provide constraint on site-specific and
95 between-site growth rates, and possibly help to restore trust in the lichenometric
96 technique more generally.

97

98 In a preliminary study, the widths of successive marginal rings in 25 thalli of
99 *Ochrolechia parella* (L.) Massal. (syn. *O. pallescens* auct. brit. p.p.), growing at a
100 maritime site in north Wales, UK, were measured (Armstrong and Bradwell, 2010).
101 Between 3 and 7 rings were frequently present at the margin, with ring width
102 generally varying from 1 – 2 mm, consistent with yearly variation in radial growth
103 rate (RaGR) reported in studies by direct measurement (Phillips, 1969; Armstrong,
104 2005a; 2006). In addition, the same preliminary study explored the potential for using
105 marginal growth rings to estimate the age of a crustose lichen thallus growing on a
106 bedrock surface exposed by glacier recession between AD 1945 and 1955 in SE
107 Iceland (Armstrong and Bradwell, 2010). . A minimum exposure age estimate of AD
108 1959 ±5, consistent with the known surface age, was obtained by measurement of its
109 marginal rings and a simple 'growth rate' extrapolation.

110

111 However, in a study of lichen growth rates on the South Orkney Islands in the
112 maritime Antarctic, Hooker (1980) emphasized caution in the use of lichen rings to
113 estimate growth rates. Hence, in *Buellia russa* (Hue.) Darb., rings were present only
114 in the non-lichenised hypothallus but each concentric ring did represent one year's
115 growth. By contrast, in *Buellia coniops* (Wahlenb.) Th. Fr. and *Caloplaca*
116 *cirrochrooides* (Vainio) Zahbr., 'pseudoannual rings' were present in which each new

117 ring that developed appeared to represent two or more growing seasons. The rings in
118 *Caloplaca* were also not as distinct as those of other species and no new marginal
119 rings appeared to form during two subsequent growing seasons (Hooker, 1980).
120 Hence, further research is clearly needed before marginal zonation or rings can be
121 used as a reliable measure of lichen growth rate and longevity.

122

123 For intra-thallus rings to be of optimal use in lichenometry, they would need to be
124 distinct; stable from year to year; easily measurable; consistently represent one year's
125 growth; and show close agreement and correlation with directly measured RaGR.
126 Hence, to examine the feasibility of using marginal zonation rings as an estimate of
127 lichen growth, the degree of agreement and correlation between growth estimated
128 from rings and by direct measurement of RaGR was studied in a sample of 24 thalli of
129 *O. parella* growing in a maritime environment in north Wales, UK. The other
130 principle objectives of the study were to determine: (1) whether the rings were
131 detectable and easily measurable, (2) whether rings were stable from year to year, (3)
132 whether successive growth rings represented a consecutive series of 1-year growth
133 increments, and (4) the degree of agreement and correlation between rings and
134 directly measured RaGR.

135

136 **2. Materials and Methods**

137

138 *2.1 Site*

139

140 The study site was a series of south-facing maritime rock surfaces located in the Dyfi
141 estuary at 'Picnic island,' Aberdyfi, north Wales, UK (Grid Ref. SN 6196) in an area
142 of Ordovician slate rock described previously (Armstrong, 1974). These surfaces
143 possess a rich lichen flora characteristic of maritime siliceous rock in the west of the
144 UK (James *et al.*, 1977), have a high proportion of crustose species (Armstrong,
145 1974), and include a large population of *O. parella* with marginal rings (Armstrong,
146 1974; Armstrong and Bradwell, 2010). *O. parella* is a relatively common lichen and is
147 member of several different communities in north Wales, including those on north-
148 and south-facing rock surfaces, and rocks with steep or shallow surface slopes. It is a
149 potentially useful species for lichenometric dating studies.

150

151 2.2 Measurement of rings

152

153 The concentric marginal rings of 24 randomly-selected thalli of *O. parella*, with
154 largest diameters 18 – 118 mm were studied. Each thallus was photographed in its
155 entirety using a Canon IXUS 70 digital camera (7.1 Megapixels, Focal length 5.8 –
156 17.4 mm, Closest focusing distance 30 mm), which incorporates a x12 zoom lens,
157 providing a particularly clear image of the rings (Fig 2a). A scale measure marked in
158 mm was placed adjacent to each thallus. Width of each distinct growth ring was
159 measured using 'Image J' software developed by the National Institute of Health,
160 Bethesda, USA (Syed *et al.*, 2000; Girish and Vijayalakshmi, 2004) and available as a
161 free download. The width of each easily identifiable marginal ring was measured at
162 five randomly selected points around each thallus, using the outer edge of each dark
163 band as a baseline, and then averaged. Rings were measured in three successive years,
164 viz. at the beginning of January 2009, 2010, and 2011. Rings were numbered from the
165 edge towards the centre of the thalli and also identified according to year of
166 measurement, i.e., ring 10.3 would identify the third ring from the margin measured in
167 2010.

168

169 2.3 Measurement of growth

170

171 To measure RaGR of each thallus, the advance of the thallus margin was measured in
172 relation to fixed points marked on the rock located at 1 mm intervals from the thallus
173 edge (Hale, 1970; Armstrong 1973; 1975; 2013). Between eight and ten randomly
174 chosen locations were measured around each thallus. Growth increments were
175 measured with 'Image J' software using the method described previously (Armstrong,
176 2013, 2014). Hence, each lichen image was magnified to clearly reveal the fixed
177 markers and the scale measure. The image was then calibrated using the scale
178 measure and the distance from the margin to the fixed marker measured. Subsequent
179 measurements of these distances were made from photographs taken on 1, January
180 2009, 2010, and 2011 enabling estimates of RaGR (mm a^{-1}), averaged over all thallus
181 locations, to be made for each thallus in 2009 and 2010.

182

183 2.4 Data analysis

184

185 Comparisons of mean RaGR and ring width were performed using 't' tests.
186 Correlations between the width of concentric rings and directly measured RaGR were
187 studied using Pearson's correlation coefficient ('r') and regression methods
188 (Armstrong and Hilton, 2011). For this analysis, thalli exhibiting either zero growth
189 during the period under study or in which rings were indistinct or lost were excluded.
190 Correlation is not the same as 'agreement', i.e., two quantities may be highly
191 correlated but not agree in the quantity that they estimate. Hence, the extent of the
192 'agreement' between the two measures of growth in individual thalli was assessed
193 using the Bland and Altman graphical method (Bland and Altman, 1986; 1996). This
194 method measures by how much the results obtained using two methods differ and
195 how far apart the two estimates of growth should be before there is significant
196 'disagreement'. The essential feature of a Bland/Altman plot is that the two estimates
197 of growth, from marginal rings and direct measurement, are subtracted for each
198 thallus and these differences are plotted against the mean of the two measurements.
199 The 'mean difference' averaged over thalli, is known as the degree of 'bias' and is the
200 central 'bias line' on a Bland/Altman plot. Either side of the bias line are plotted the
201 95% confidence intervals (CI) in which 95% of the differences in growth as estimated
202 by the two methods for the sample of thalli would be expected to fall.

203

204 3. Results

205

206 Fig 2a shows three concentric rings of *O. parella* measured in 2009 which are
207 relatively distinct over at least part of the thallus. Fig 2b shows rings in more detail
208 revealing the characteristic narrow dark and wider light bands. In addition, further
209 more subtle, banding is evident especially within the first ring. Fig 2c and 2d show the
210 same rings observed in 2009 and 2011, suggesting that the first two rings had largely
211 disappeared over this period as a result of marginal erosion.

212

213 The number and mean widths of the concentric rings in each of the three years in all
214 thalli are summarized in Table 1. Thalli exhibited between one and six rings in 2009

215 (mean = 3.7, SD = 1.37), between one and seven rings in 2010 (mean = 3.7, SD =
216 1.59), and between two and seven rings in 2011 (mean 3.8, SD = 1.21). Comparisons
217 between successive years suggested that some rings clearly visible in 2009 had
218 become indistinct or had disappeared by 2011 in 6/24 and 3/24 thalli respectively. In
219 some thalli, marginal erosion resulted in the loss of rings followed by the
220 development of a new ring at the newly exposed thallus edge. Mean width of all rings
221 was in the range 0.48 – 0.77 mm (Standard deviation = 0.41 – 0.68), mean width in
222 2011 being less than in 2009/2010. The frequency distribution of ring number did not
223 exhibit significant skew or kurtosis in any year but the distribution of ring widths was
224 markedly asymmetric with a significant degree of skew in each of the three years
225 studied. A complete new ring with light and dark bands was observed to develop in
226 one or both years in eight thalli, while in the remainder, a partial ring or a complete
227 ring plus part of a new ring were formed.

228

229 Spatial and temporal variations in marginal ring width around an individual thallus
230 are shown in Fig 3. Several sources of variation in width are evident: (1) within a
231 single ring at different locations around the thallus, as indicated by the large standard
232 deviations, (2) between successive rings within a thallus, e.g., between rings 9.2 and
233 9.3 and (c) between the same ring measured in successive years, e.g., between rings
234 9.2 and 10.3, suggesting rings may continue to increase in width after the year of
235 formation.

236

237 A summary of thalli RaGR measurements is shown in Table 2. Mean RaGR was 0.44
238 mm a⁻¹ (range 0 -1.08, Standard deviation = 0.32) in 2009 and 0.34 mm a⁻¹ (range 0 –
239 1.16, SD = 0.31) in 2010. There was no significant differences in RaGR measured in
240 the two years (Paired 't' = 0.81, P > 0.05).

241

242 The correlation between the number and width of rings and thallus size is shown in
243 Table 3. There were no significant correlations between the number of rings present
244 and thallus diameter in any of the three years studied (r = 0.15 – 0.34, P > 0.05).
245 However, there was a significant positive correlation between ring width and thallus
246 diameter for the average of all rings (r = 0.50, P < 0.01) and for the average of the first
247 two rings (r = 0.57, P < 0.01) suggesting increasing growth rates with size. Directly

248 measured RaGR also increased with thallus diameter but exhibited a weaker
249 relationship with size than the growth rings ($r = 0.43$, $P < 0.05$).

250

251 Comparison and correlation between growth means derived from marginal rings and
252 direct measurement are shown in Table 4. There was no significant difference in
253 RaGR and ring widths when comparing RaGR in 2009 and width of the first ring in
254 2010 (10.1) ($t = -0.01$, $P > 0.05$), RaGR in 2010 and width of ring 11.1 ($t = 0.24$, $P >$
255 0.05), between RaGR in 2009 and mean width of rings 11.1 and 11.2 ($t = 0.15$, $P >$
256 0.05), and between mean RaGR in 2009/10 and mean width of rings 11.1 and 11.2 (t
257 $= -0.53$, $P > 0.05$) suggesting good agreement between these estimates. However,
258 there was a significant difference between mean RaGR in 2009/10 and mean width of
259 all rings present ($t = 3.01$, $P < 0.01$). There was no significant correlation between
260 mean RaGR in 2009/10, and the average width of all rings present ($r = 0.39$, $P > 0.05$)
261 and there were no significant correlations between RaGR in either 2009 ($r = 0.39$, $P >$
262 0.05) and 2010 ($r = 0.24$, $P > 0.05$) and width of the most recent ring. However, the
263 best combination of agreement and correlation was between mean RaGR in 2009/10
264 and the mean width of rings 11.1 and 11.2 ($r = 0.60$, $P < 0.05$). Fig 4 shows the linear
265 correlation between mean RaGR in 2009/10 and the mean width of rings 11.1 and
266 11.2 revealing, despite the significant correlation, a considerable degree of scatter
267 about the line.

268

269 A Bland and Altman plot of the same data shown in Fig 5 indicates the degree of
270 agreement/disagreement between the measures of ring width and growth. The bias
271 line is located at 0.02 indicating that averaged over all 15 thalli included in this
272 analysis, width of the most recent rings and actual growth measurements are
273 estimating essentially the same quantity. However, the degree of error for individual
274 thalli is large, the 95% confidence intervals being ± 0.48 mm. Eight out of 15 thalli
275 were located fairly close to the bias line (within 0.2 mm) suggesting good agreement
276 between the two methods. In addition, there were a further seven thalli in which
277 agreement was weaker; in three of these thalli ring widths overestimated growth
278 compared with RaGR and in four thalli ring widths underestimated growth. Hence,
279 averaged over thalli, the two methods show close agreement, but agreement is poor
280 for an individual thallus.

281

282 **4. Discussion**

283

284 Lichens are a potentially valuable dating tool for geoscientists and archaeologists.
285 However, the validity of lichenometry as a geochronological technique has been the
286 subject of intense criticism on a number of grounds (e.g. Jochimsen, 1973; McCarthy,
287 1999; Osborn *et al.*, 2015). Although the most recent critical review focuses on
288 existing techniques (use of the largest, or several largest, lichens; calibration curves;
289 and non-reproducibility of lichenometric ages) the arguments presented by Osborn *et*
290 *al.* (2015) may serve to undermine trust in lichenometric dating more generally. The
291 following discussion explores a potential new branch of the lichenometric technique
292 using marginal growth rings as an independent measure of lichen growth rate and
293 potentially lichen age; and also explores the possible usefulness of lichen growth rings
294 in lichenometric dating.

295

296 Marginal zonation or concentric ‘growth’ rings have been reported in many crustose
297 lichen species from several genera (e.g. Hale, 1973; Hooker, 1980; Benedict, 1990)
298 but as yet have not been used in lichenometry to estimate the age of lichen thalli or
299 derive the exposure age of rock surfaces. If marginal ‘growth’ rings were found to be
300 demonstrably annual – and therefore be deemed a reliable measure of radial growth
301 from year to year – certain lichen species could provide a potentially valuable,
302 previously unexploited, lichenometric dating tool. We have explored the fundamental
303 premise of this potentially new lichenometric method by examining the relationship
304 between marginal ‘growth’ ring widths and directly measured growth rates over a
305 period of years.

306

307 Data are presented on the widths of marginal rings within a sample of the common
308 crustose lichen thalli (*O. parella*) growing at a maritime site in north Wales which are
309 then compared with directly measured growth rates. In this population, a high
310 proportion of thalli exhibited at least two distinct growth rings while a smaller
311 number of thalli exhibited four or more rings. These data agree with those of
312 Armstrong and Bradwell (2010) suggesting that averaging marginal rings is a possible
313 alternative method of studying the growth of crustose lichens.

314

315 The number of rings present in thalli appears to be independent of thallus size
316 probably because rings are only clearly evident at the margin of the thalli. However,
317 there was a (statistically?) significant increase in ring width with thallus size which
318 suggests increased growth rates in larger thalli. Various growth models have been
319 proposed for the shape of the growth curve of crustose lichens. Hence, Proctor (1977)
320 studied the growth curve of the placodioid species *Buellia (Diploicia) canescens*
321 (Dicks.) DNot. It was assumed that RaGR was proportional to an area of thallus in an
322 annulus of constant width within the growing margin and that the shape of the growth
323 curve was essentially asymptotic. By contrast, a number of studies (Armstrong, 1983;
324 Haworth, et al., 1986; Bradwell and Armstrong, 2007) have suggested that in
325 *Rhizocarpon geographicum* (L.) DC., the growth curve is not asymptotic, but
326 approximates to a second-order (parabolic) curve: RaGR increasing in smaller thalli
327 to a maximum and then declining in larger thalli. However, Trenbith and Matthews
328 (2010) have proposed several models for the growth curve of *R. geographicum*
329 including models in which growth increases with size, as in *O. parella*, remains
330 relatively constant or is parabolic with a declining phase. The present preliminary data
331 provide no evidence for a declining phase of growth in *O. parella*, instead growth
332 seems to be slow in individuals 20-40 mm in diameter and then increases rapidly in
333 individuals greater than about 40 mm in diameter. The relationship between ring
334 widths and thallus diameter suggests that rings measured over a sample of thalli of
335 different size could be used to rapidly construct an age-size curve for certain crustose
336 lichens and therefore constitute an alternative method of direct lichenometry –
337 without the need to re-measure thalli over periods of many years (Trenbith and
338 Matthews, 2010, Armstrong 2014)

339

340 Directly measured annual radial growth of lichen thalli over 2 years at the study site
341 was found, in most cases, to equate to marginal ring widths over the same time period
342 – showing that marginal rings in *Ochrolechia parella* are generally a good proxy for
343 growth rate. In this crustose species, the best combination of agreement and
344 correlation was achieved between the widths of the outer two rings measured in 2011
345 and mean RaGR over the previous two years. Agreement and correlation was poor,
346 however, when all visible rings were included probably because either growth in

347 earlier years was distinctly different from that measured in 2009 and 2010 or possibly
348 because of subsequent changes in width of older rings. Poor agreement and
349 correlation at the level of an individual thallus, could be attributable to errors in
350 identifying and measuring rings, changes in ring morphology after they were formed,
351 uncertainties in the measurement of RaGR, or intrinsic variation among thalli
352 regarding the extent to which a single ring actually represents a single year's growth
353 (Hooker, 1980). This problem, together with the observation that some thalli of *O.*
354 *parella* exhibited zero growth or even marginal erosion over the period of the study,
355 suggests a relatively large number of lichens, probably at least 20-30 thalli, should be
356 used in studies using growth rings to estimate growth rates.

357

358 In addition to these findings, this study has highlighted several problems that should
359 be taken into account when attempting to use marginal rings to estimate growth rates
360 in any lichen population. First, there can be problems in identifying and measuring the
361 rings. For instance, rings were clearly evident and measurable at the thallus margin
362 but were increasingly obscured and difficult to measure behind the margin as a result
363 of variable amounts of growth in thickness causing thalli to 'wrinkle', and then
364 become further obscured by the formation of reproductive structures (apothecia) in the
365 centre (Fig 2a). In addition, the dark band, which may represent winter growth and
366 which was used as a baseline to measure each ring, was not always distinct enough to
367 be clearly identified in all thalli. Additional sub-mm banding was often evident within
368 a ring, which may represent intra-annual (seasonal) variations in growth (Rydzak,
369 1961; Hale, 1970; Armstrong, 1993; Lawrey and Hale, 1971; Fisher and Proctor,
370 1975; Moxham, 1981; Benedict, 1990), making 'annual' ring identification difficult
371 in some thalli. It is also easier to identify and measure rings of larger than smaller
372 thalli, the rings being narrower and more crowded together in smaller thalli.
373 Identification of tree rings can also be complex in dendrochronology (Fritts, 1976)
374 with optical magnification often used in such studies (Jomelli *et al.*, 2012). In the
375 present study, the lichen rings were easy to identify on digital photographic images,
376 and can be magnified on screen to the required extent, making them easy to measure
377 using computer software (Armstrong and Bradwell, 2010; Armstrong, 2013; 2014).

378

379 Second, the width of a ring varied at different locations around thalli, which was also
380 observed in the study by Armstrong and Bradwell (2010), and is consistent with
381 peripheral growth variations observed in many studies (Armstrong and Smith, 1992;
382 Armstrong and Bradwell, 2001; 2011). Consequently, in measuring ring width, a
383 mean of several measurements, between 5 and 10, should be taken at random
384 locations around each thallus.

385

386 Third, rings varied in measured width in successive years, some rings expanding
387 while others appearing to slightly contract. These variations could result from further
388 growth or contraction behind the margin attributable to wrinkling (Hale, 1970).
389 Hence, growth does not appear to cease at the end of a growth year which therefore
390 contrasts with dendrochronology in which tree rings exhibit ‘annual termination’
391 (Fritts, 1976). This could be one explanation for the relatively poor correlation
392 between RaGR and ring width in lichens.

393

394 Fourth, there is considerable variation in width of ‘analogous’ rings in different thalli.
395 These results also suggest that local differences in microclimate over the rock surface,
396 e.g., associated with aspect (Armstrong, 1975; 2002; 2005a), slope, or
397 microtopographical variations, could influence ring width and should be investigated
398 further (Armstrong, 2014).

399

400 Fifth, the margins of some thalli became eroded over the study period resulting in loss
401 of rings. Marginal erosion has been observed in many crustose lichens including
402 *Rhizocarpon geographicum* (L.) DC, in north Wales (Armstrong and Smith, 1987)
403 and in the north Cascades, Washington state (Armstrong, 2005a) and may be caused
404 by environmental stress and/or competition. Marginal erosion in lichens is usually
405 followed by regrowth (Armstrong and Smith, 1987). Hence, in some thalli of *O.*
406 *parella*, the margin was eroded back to an earlier ring and then a new ring was formed
407 as growth resumed at the new location. These observations suggest that a series of
408 successive rings may not always necessarily represent consecutive growth increments
409 and caution is therefore required in identifying ‘analogous’ rings in different thalli.

410

411 A further problem in using marginal rings as a measure of growth is determining what
412 each marginal ring actually represents. Early studies suggested that the lighter bands
413 represented rapid summer growth and the narrow dark bands growth in winter (Hale,
414 1973) and therefore, that each 'ring' represented a single year's growth. In eight thalli,
415 one complete growth ring did appear to be formed in a single year. In the remaining
416 (16) thalli, however, either an incomplete ring or a complete ring and part of a second
417 ring were formed in a single year. The factors responsible for these variations are
418 currently unknown and require investigation. Hence, these data agree with the study
419 by Hooker (1980), who identified a more complex relationship between marginal
420 rings and growth. Hence, we would recommend that in any proposed lichenometric
421 study of lichen growth or growth rings, each new species will need to be calibrated
422 against *in situ* directly measured growth rates using a sample of at least 20 – 30 thalli.

423

424 **Implications for lichenometry**

425

426 Not all crustose lichens have growth rings but marginal rings have now been recorded
427 in sufficient species from several genera to make them potentially useful in
428 lichenometry (e.g. including *Rhizocarpon*, *Ochrolechia*, *Pertusaria*, *Fuscidea*,
429 *Buellia*, and *Caloplaca* (Hale, 1973; Hooker, 1980; Armstrong and Bradwell, 2010))
430 Where lichen growth rings are present and suitably calibrated, they may offer a
431 number of potential applications for lichenometric dating work. Primarily, growth
432 rings provide an alternative *in situ* method of estimating lichen growth rates and
433 hence lichen age, which could prove particularly valuable in regions where it is not
434 possible or not practical to calibrate lichen age-size curves or generate lichen
435 demographic growth-rate data.

436

437 Where present, growth rings could be used to supplement indirect lichenometric
438 dating studies by providing a measure of radial growth rate on independently dated
439 surfaces and surfaces of unknown age. Crucially this opens the possibility of
440 examining growth rate variation between sites and quantifying this variability (or
441 uncertainty) when deriving lichenometric ages. Much debate still surrounds the micro-
442 and macro-environmental effect of climate on lichen growth rates and the
443 implications for lichenometric dating studies (e.g. Beschel, 1961; Jochimsen, 1973;

444 Innes, 1985; Bradwell & Armstrong, 2007; Osborn et al., 2015). For instance, glacial
445 moraines situated in a precipitation-dominated environment cannot be accurately
446 dated using a lichenometric curve calibrated in a precipitation-starved setting. Careful
447 work by Matthews (2005) showed a growth rate differential of ~20% existed in lichen
448 growth rates along a west-east gradient in southern Norway. Matthews (2005)
449 recommends the use of regionally controlled dating curves when conducting
450 lichenometric assessments across areas with differing climates or high levels of
451 environmental heterogeneity. This recommendation is backed up by direct
452 measurements of lichen growth rates, spanning more than a decade, along an extreme
453 climatic gradient in Antarctica (Sancho et al., 2007). Unfortunately, calibrating
454 regional dating (age-size) curves is often impractical due to the absence or scarcity of
455 control surfaces at high-latitude high-altitude sites. This can lead to adaptation or
456 adjustment of existing lichenometric dating curves (e.g. Erikstad & Sollid, 1986;
457 Winkler, 2004; Principato, 2008), sometimes without justification. We suggest that
458 annual growth rings could allow lichen growth to be assessed quantifiably and
459 conveniently across climatic provinces and between study sites without the need to set
460 up time-consuming lichen growth station experiments.

461

462 Owing to the slow growth of crustose lichens, directly measured growth rate data take
463 several years or even decades to collect depending on the climatic setting (e.g.
464 Benedict, 1990; McCarthy, 2002; Trenbirth & Matthews, 2010; Armstrong, 2014)
465 Growth rings, where present, may offer a relatively rapid, cost effective and non-
466 destructive, way to estimates lichen growth rates across a wide range of thallus sizes
467 and across a wide range of environmental settings. With more research into their
468 formation and evolution, growth rings may offer an alternative method of constructing
469 lichen growth-rate curves and assembling demographic growth rate data, especially in
470 remote or extreme environments, and thereby add to the growing literature on this
471 topic. In due course, it is hoped the multi-faceted approaches to the study of lichen
472 growth may help to deepen our understanding and reduce the uncertainties currently
473 surrounding the biological and ecological basis of lichenometry.

474

475

476 **5. Conclusions**

477

478 Marginal, concentric, growth rings occur in numerous crustose lichen species. Our
479 data show that the average widths of the outer two rings obtained from a reasonable
480 sample of thalli provide a good estimate of the recent radial growth rate (in *O.*
481 *parella*). However, our data also indicate that caution must be exercised; for example
482 marginal rings cannot always simply be assumed to accurately represent the annual
483 growth rate of any individual thallus. Neither can successive rings always be assumed
484 to necessarily reflect consecutive yearly growth increments. In addition, the
485 assumption that one complete ring is formed each year may not be true for all thalli.
486 These caveats aside, comparisons of directly measured lichen growth from year to
487 year with marginal ring widths over the same period, do suggest that most marginal
488 growth rings, in *O. parella* at least, form annually and are a good proxy for radial
489 growth rate at the time of ring formation. This relationship is encouraging for those
490 wishing to ascertain the age of surfaces using crustose lichens, as marginal zonation is
491 present in many different genera and could potentially provide a previously
492 unexploited dating tool. We suggest that marginal growth rings could be of use in
493 lichenometry as an alternative *in situ* method of estimating the recent growth rate, and
494 potentially the age, of thalli growing on surfaces, and also as a rapid means of
495 comparing lichen growth rate variations between sites.

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500 **6. References**

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693 **Table 1.** The number (N), mean width (mm), range (mm), standard deviation (SD),
 694 and degree of skew and kurtosis of marginal growth rings in a sample of thalli of the
 695 crustose lichen *Ochrolechia parella* (L.) Massal. in three successive years (** P <
 696 0.01).

697	698	699	700	701	702	703	704	705	706	707	708	709	710	711	712
	<u>Year</u>	<u>Variable</u>	<u>N</u>	<u>Mean</u>	<u>Range</u>	<u>SD</u>	<u>Skew</u>	<u>Kurtosis</u>							
700	2009	Number	24	3.7	1 - 6	1.37	0.11	-0.55							
701							(0.47)	(0.92)							
702		Width	232	0.77	0.08 - 2.93	0.68	1.03**	0.03							
703							(0.16)	(0.32)							
704	2010	Number	20	3.7	1 - 7	1.59	0.03	-0.28							
705							(0.51)	(0.99)							
706		Width	187	0.80	0.11 – 3.39	0.72	1.25**	0.58							
707							(0.18)	(0.35)							
708	2011	Number	13	3.8	2 - 7	1.21	0.01	-0.65							
709							(0.62)	(1.19)							
710		Width	128	0.48	0.08 – 2.06	0.41	1.86**	3.16							
711							(0.21)	(0.42)							

713

714 **Table 2.** Direct measurement of radial growth rate (RaGR, mm a⁻¹) of the crustose
 715 lichen *Ochrolechia parella* (L.) Massal. in two successive years (2009 and 2010) at a
 716 maritime site in north Wales, UK (N = Number of thalli measured, SD = Standard
 717 deviation).

719 <u>Year</u>	<u>N</u>	<u>Mean</u>	<u>Range</u>	<u>SD</u>
721 2009	24	0.44	0 – 1.08	0.32
723 2010	22	0.34	0 – 1.16	0.31

725 Comparisons between RaGR in 2009 and 2010: Paired 't' = 0.81 (P > 0.05)

726

727 **Table 3.** Correlations (Pearson's 'r') between number and width of growth rings,
728 directly measured RaGR and thallus size.

729

730

731	<u>Correlation</u>	<u>'r'</u>	<u>'P'</u>
732			
733	Number of rings/Thallus diameter 2009	0.15	P > 0.05
734	Number of rings/Thallus diameter 2010	-0.04	P > 0.05
735	Number of rings/Thallus diameter 2011	0.34	P > 0.05
736			
737	Mean width of all rings/Thallus diameter	0.50	P < 0.01
738	Mean of first two rings/Thallus diameter	0.57	P < 0.001
739			
740	Mean RaGR in 2009 and 2010/Thallus diameter	0.43	P < 0.05

741

742

743 **Table 4.** Comparison between means ('t' tests) and correlation (Pearson's 'r')
 744 between directly measured annual radial growth rates (RaGR, mm a⁻¹) of *Ochrolechia*
 745 *parella* and peripheral growth rings (* P < 0.05, ** P < 0.01, ns = not significant)

747	<u>Comparison/Correlation</u>	<u>'t'</u>	<u>'r'</u>
749	RaGR in 2009 with width of ring 10.1	-0.01 ns	0.39 ns
750			
751	RaGR in 2010 with width of ring 11.1	0.24 ns	0.24 ns
752			
753	Mean RaGR in 2009 and 2010	3.01**	0.39 ns
754	with mean of all visible growth		
755	rings		
756			
757	RaGR in 2009 and mean of rings	0.15 ns	0.33 ns
758	11.1 and 11.2		
759			
760	Mean RaGR in 2009 and 2010 with	-0.53 ns	*0.60
761	mean of rings 11.1 and 11.2		
762			

763

764 **Fig 1.** Examples of clear marginal zonation or ‘growth rings’ in crustose lichens. (A)
765 a large *Ochrolechia parella* (L.) Massal. thallus on a gravestone, Inchnadamph. NW
766 Scotland showing several rings, (B) a thallus of *Fuscidea cyathoides* (Ach.) V.Wirth,
767 Vęzda, growing on a quartzite boulder, shore of Loch Eriboll, NW Scotland with
768 young apothecia, (C) a thallus, possibly a species of *Rhizocarpon* or *Fuscidea*
769 growing near shore of Breidalon, SE Iceland, (D) a thallus of *Fuscidea cyathoides*
770 (Ach.) V.Wirth, Vęzda, with pycnidia growing on Basalt boulder, near
771 Svinafellsjökull, SE Iceland.
772

773 **Fig 2.** Marginal rings in thalli of the crustose lichen *Ochrolechia parella* (L.) Massal.
774 growing at a maritime site in north Wales: (A) overall view of a thallus showing three
775 distinct rings over a part of the thallus; apothecia are also visible towards the centre of
776 the thallus, (B) the rings in more detail revealing the characteristic dark and wider
777 light bands with some additional banding evident within the first ring, (C) rings of a
778 thallus in 2009, and (D) rings of the same thallus in 2010 after marginal erosion.
779
780

781 **Fig. 3.** Variation in ring width of a single thallus of *Ochrolechia parella* (L.) Massal.
782 Rings were numbered from the edge towards the centre of the thalli and also
783 identified according to year of measurement, e.g., ring 10.3 indicates the third ring
784 from the margin measured in 2010. Bars indicate standard deviation (SD).
785

786 **Fig 4.** The relationship between mean width of the rings 11.1 and 11.2 and mean
787 radial growth rate (RaGR) (mm a^{-1}) in 2009/10 in the crustose lichen *Ochrolechia*
788 *parella* (L.) Massal. growing at a maritime site in north Wales (Pearson's 'r' = 0.56, P
789 < 0.05, Linear regression: $Y = 0.165 + 0.6103X$ with 95% confidence intervals).
790
791

792 **Fig 5.** A Bland and Altman plot showing the degree of agreement/disagreement
793 between mean radial growth rate (RaGR) (mm a^{-1}) in the crustose lichen *Ochrolechia*
794 *parella* (L.) Massal. measured over two years (2009/10) measured directly and the
795 width of marginal rings 11.1 and 11.2 (Bias line (BL) = 0.02; SD = 0.25; CI = 95%
796 confidence intervals
797