

1 **TIDE MEDIATED WARMING OF ARCTIC HALOCLINE BY ATLANTIC HEAT FLUXES**
2 **OVER ROUGH TOPOGRAPHY**

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11 **The largest oceanic heat input to the Arctic results from inflowing Atlantic water, which is**
12 **at its warmest for 2,000 years^{1,2}, yet the fate of this heat remains uncertain³. This is partly**
13 **because the water's relatively high salinity, and thus density, lead it to enter the Arctic**
14 **Ocean at intermediate depths. A key pathway linking the Atlantic water heat to overlying,**
15 **colder waters, and ultimately to the sea surface, is vertical cross-gradient mixing. Mixing is**
16 **generally weak within the Arctic Ocean basins, with very modest heat fluxes (0.05 – 0.3**
17 **Wm⁻²) arising largely from double diffusion⁴⁻⁸. However, previous, geographically limited**
18 **observations have indicated substantially enhanced turbulent mixing rates over rough**
19 **topography⁹⁻¹⁴. Here we present new pan-Arctic microstructure measurements of**
20 **turbulent kinetic energy dissipation which further demonstrate that the enhanced**

21 **continental slope dissipation rate, and by implication vertical mixing, varies significantly**
22 **with both topographic steepness and longitude, while appearing insensitive to sea-ice**
23 **conditions. Tides are identified as the main energy source supporting this enhanced**
24 **dissipation, which generates vertical heat fluxes of more than 50 Wm⁻². As sea ice**
25 **declines, the increased transfer of momentum from the atmosphere to the ocean will**
26 **likely expand mixing hotspots in the future Arctic Ocean.**

27 Atlantic water (AW) enters the Arctic Ocean at depths between 40 and 200 m, and its core is
28 ~ 4°C warmer than the overlying cold, fresher halocline and surface mixed layer water, as
29 illustrated by the temperature profiles in figure 1. As the boundary currents of the Arctic
30 Ocean circulate cyclonically around the basin, the interface between the AW and the
31 overlying waters is progressively eroded by mixing^{1,15}. The density difference across this
32 interface, the AW thermocline, is the major barrier to upward heat flux, such that in the
33 absence of vertical turbulent mixing, only a small fraction of the AW heat can reach the sea
34 surface or sea-ice directly¹. This paper will focus on vertical mixing across the AW
35 thermocline. Measurements of profiles of the rate of dissipation of turbulent kinetic energy
36 (ϵ) are presented, from which cross-gradient mixing rates are estimated, for locations
37 covering much of the seasonally ice-free Arctic Ocean: see map in figure 1. The
38 measurements include transects taken across the shelf break north of Svalbard through
39 dense ice cover in autumn 2008, and spring and early summer of 2010 and 2011, and more
40 open water conditions in the summers of 2012 and 2013 (transect 1 in figure 1). Further
41 transects were made across the continental slope north of Severnaya Zemlya (transect 2),
42 the Laptev Sea (transects 3 & 4) and the East Siberian Sea (transect 5) during the ice-free
43 September 2007, and through 100% first year ice cover in October 2008. Cross-slope

44 transects were made in the largely ice-free Canada Basin (transects 6 and 7) in the summer
45 of 2012. The measurements span the upper 500 m of the water column and fully resolve the
46 AW thermocline.

47 The average dissipation rate across the AW thermocline varies significantly with bathymetry
48 (figure 2a). Within the central Arctic Ocean (over bathymetry > 2000m) average values of
49 between $\sim 5 \times 10^{-10}$ and $2 \times 10^{-9} \text{ W kg}^{-1}$ are observed: close to (but above) the instrument
50 noise level, and in agreement with previously published estimates^{4,6,8,9}. It is interesting to
51 note that these very low levels of ϵ appear insensitive to sea ice cover. The observed values
52 are substantially lower than background values reported for intermediate depths in the
53 central Atlantic and Pacific Ocean basins ($\sim 10^{-8} \text{ W kg}^{-1}$)^{16,17}, and are often not sufficiently
54 energetic to drive significant turbulent mixing^{4,6}. A consequence of the very low levels of
55 turbulence is the formation of thermohaline staircases which link the AW to the cold, fresh
56 overlying waters (figure 1 – grey profile). The staircases arise from the competing impacts
57 of the temperature and salinity gradients on density, and the greatly differing molecular
58 diffusion rates for heat and salt. These unique phenomena support vertical exchange of
59 heat through double diffusion but with relatively weak resulting across-gradient heat fluxes
60 ($0.05 - 0.3 \text{ W m}^{-2}$)⁴⁻⁷. The double diffusive fluxes together with weak dissipation associated
61 with the internal wave field⁸ are insufficient to explain the observed cooling of the AW as it
62 passes through the Arctic Ocean^{1, 6, 18}.

63 In contrast the new observations in figure 2a show that profile-averaged ϵ within the AW
64 thermocline is enhanced by up to two orders of magnitude over the continental slope
65 regions (i.e. depths between 200m – 2000m), when compared to the values for the central
66 Arctic Ocean. The largest values are found over the continental slope to the north of

67 Svalbard with no apparent dependence on sea ice conditions. Here, the observed
68 dissipation rates of $3 - 20 \times 10^{-8} \text{ W kg}^{-1}$ are sufficiently energetic to drive significant
69 turbulent mixing and thus prevent the formation of thermohaline staircases (figure 1, red
70 and black profiles). Similarly enhanced values have been reported for the Yermak Plateau
71 region further to the west^{9,11}, as well as the region north of Svalbard¹⁰. Figure 2b shows
72 that the enhancement of the AW thermocline averaged ϵ appears to be related to the local
73 topographic slope, with the largest values of ϵ observed above the steepest topography.
74 Enhanced ϵ values ($\sim 10^{-8} \text{ W kg}^{-1}$) are also observed further to the east, over the continental
75 slope poleward of the Severnaya Zemlya islands. Here the slope-enhanced values of AW
76 thermocline ϵ are comparable to those observed at intermediate depths at lower latitudes
77 over rough topography, such as the mid-ocean ridge systems^{16,17} and the continental shelf
78 breaks¹⁹. There is also a modest enhancement over the Canada Basin continental shelf
79 break (average $\epsilon \sim 3 \times 10^{-9} \text{ W kg}^{-1}$ compared to central Canada Basin average values of $< 10^{-9}$
80 W kg^{-1}). Previous observations of water column structure in this region have revealed the
81 absence of thermohaline staircases over this slope, an indicator of significant turbulent
82 mixing at intermediate depths⁵. The new observations reveal that, whilst the AW
83 thermocline averaged ϵ appears insensitive to sea ice cover and bathymetry, there is
84 significant variation with both local topographic slope and location around the Arctic Ocean
85 margins.

86 Two sources of kinetic energy are usually implicated in driving turbulent mixing in the
87 ocean: wind and tide²⁰. Recent studies have suggested increased momentum transfer from
88 the wind to the ocean associated with declining seasonal sea ice cover potentially leading to
89 increased mixing²¹⁻²³. The results presented here provide no evidence that the AW

90 thermocline-averaged ϵ is sensitive to sea ice conditions in locations where observations
91 were made in varying ice conditions, implying the wind is of lesser importance in supplying
92 energy to mixing at intermediate depths. However, the observed longitudinal variation in
93 transect mean dissipation (ϵ_{AW} - obtained by averaging the profile-integrated ϵ , for the AW
94 thermocline, for all profiles taken over the continental slope in each transect) does correlate
95 with the tidal energy dissipation rate, D (figure 3). This is computed as the difference
96 between the rate of work by the tide-generating force and the divergence of the energy
97 flux²⁴ using tidal elevations and velocities from the TPXO8 inverse solution^{25,26}. Based on
98 this correlation, the observed transect mean ϵ accounts for 12% of the total tidal energy
99 dissipation rate ($r = 0.67$). The conclusion is that the energy supporting much of the
100 enhanced dissipation observed along the continental slopes, poleward of the Svalbard and
101 Severnaya Zemlya archipelagos, is of tidal origin. The most significant deviation between ϵ
102 and D is found for transects 6 and 7, in the ice free Canada Basin. These measurements
103 were made in the immediate aftermath of the unprecedented “Great Arctic Cyclone” of
104 2012 where wind forcing may be an additional contributory factor. Previous geographically-
105 limited studies have suggested enhanced mixing near rough topography⁹⁻¹⁴, but these new
106 observations provide the first circumpolar evidence for the control of AW mixing rates by
107 the interaction between the tide and rough topography.

108 At lower latitudes the cascade of energy from tides to turbulence is facilitated by the
109 generation of a freely-propagating linear internal tide which results from stratified tidal flow
110 over rough topography. However, the new measurements were taken poleward of the
111 critical latitude (74.5° N) beyond which the rotation of the Earth prohibits freely propagating
112 waves at the dominant semidiurnal (M_2) tidal frequency. Consequently tidally-generated

113 internal waves at these latitudes are thought to have properties inherent to lee waves²⁷
114 which have short temporal and spatial scales, related to the local topography and
115 stratification, and tend to be dissipated rapidly leading to local turbulent mixing²⁸. It is likely
116 that large variability in ϵ_{AW} north of Svalbard (as indicated by the 95% confidence interval in
117 figure 3) is a consequence of the temporal and spatial variability of the tidal processes
118 generating the turbulence^{19, 29}.

119 The dissipation rates are combined with the observed water column stratification in the
120 calculation of a diffusion coefficient which is then used to estimate the magnitude of the
121 heat fluxes across the AW thermocline resulting from turbulent mixing. Using information
122 from 84 profiles, collected during 5 observational campaigns in the region between 2008
123 and 2013, an average heat flux across the AW thermocline of $22 \pm 2 \text{ W m}^{-2}$ is estimated for
124 the continental slope poleward of the Svalbard and Severnaya Zemlya archipelagos. Heat
125 fluxes of more than 50 W m^{-2} are calculated from individual profiles. These heat fluxes are
126 over 2 orders of magnitude greater those reported for the central Arctic Ocean, although
127 they operate over a more limited area. The localised nature of the enhanced AW heat fluxes
128 will therefore lead ultimately to spatial inhomogeneity in the Arctic Ocean – sea ice system
129 response to climate change with impacts on sea ice cover and heat transfer with the
130 atmosphere enhanced in the vicinity of rough topography.

131 The new observations confirm the paradigm of a predominately double-diffusive central
132 Arctic with weak mixing, whilst contrasting it to the more turbulent continental slope
133 regions where the tides interacting with steep topography act to control the rate of
134 turbulent mixing. We note that the observed turbulent mixing is a result of relatively
135 modest tidal currents. Model studies suggest that retreating seasonal sea ice coverage will

136 result in an increased transfer of momentum from the atmosphere to the ocean^{22, 23} whilst
137 observations show the spin-up of the wind-driven Canada Basin gyre circulation²¹ and
138 enhanced currents due to near-inertial waves³⁰ in response to declining sea ice cover. The
139 acceleration of the currents resulting from these processes have the potential to grow the
140 geographic extent of turbulent mixing to other regions of rough topography where at
141 present flows are too weak to induce lee wave formation. Hence, the coupling of these large
142 and small-scale processes will likely drive the expansion of mixing hotspots, and so increase
143 local AW heat fluxes, which will in turn feedback on the already declining Arctic sea ice and
144 increase momentum transfer between the atmosphere and the ocean.

145 **Methods:**

146 **i) Turbulent dissipation rate measurements:**

147 The profiles of the rate of dissipation of turbulent kinetic energy (ϵ) are made using a
148 loosely-tethered free-fall velocity microstructure profiler (Rockland VMP500 model)
149 deployed by the Bangor University team and a loosely-tethered ISW MSS 90L microstructure
150 profiler by the Norwegian Polar Institute (Tromsø) team. The instrument is deployed from a
151 ship and takes profiles of velocity microstructure together with temperature and salinity
152 down to near the sea bed, or up to 500 m depth in deeper water. The rate of dissipation of
153 turbulent kinetic energy is then calculated for depth bins (Δz) of approximately 1 m size
154 using assumptions of stationarity and homogeneity²⁹. At each station reported, 1 or 2
155 profiles were made over a period of approximately 1 hour with longer time series (up to 6
156 hours) collected by the NPI in the vicinity of the shelf break at 30°E.

157 The profile-averaged ϵ values shown are the station averages for the AW thermocline. The
158 vertical depth limits for the AW thermocline are defined, for each cast, as the region from
159 the temperature minimum in the cold overlying water down to the AW temperature
160 maximum (for example see bold section of the temperature profile on figure 1). The
161 number of profiles used ranged from 1 to 12. Full details of the number of profiles collected
162 for each location, during each visit, are given in table 1 in supplementary information.

163 The transect-mean AW thermocline dissipation, ϵ_{AW} , shown in figure 3 is obtained by
164 averaging the profile integrated dissipation, for the AW thermocline, for all profiles (n)
165 taken over a continental slope transect. ie.

166
$$\epsilon_{AW} = \frac{1}{n} \sum_{1}^n \sum_{AW_t} \epsilon \Delta z$$

167 The number of profiles (n) used for each point ranges from 2 to 23, all averages represent
168 temporal means over several days, for each transect. A measure of variability in both
169 station-average ϵ (fig 2) and transect-mean ϵ_{AW} (fig 3) is obtained by bootstrapping the
170 individual 1 m depth bin values of ϵ across the Atlantic water thermocline, for appropriate
171 stations. The variability is shown on figures 2 and 3 as the 95% confidence interval.

172 **(ii) Topography:**

173 Topography used in the comparisons presented in figure 2 was extracted from the GEBCO
174 (General Bathymetric Chart of the Oceans) data base <http://www.gebco.net/>.

175 The GEBCO database incorporates IBCAO (International Bathymetric Chart of the Ocean)
176 data for the Arctic region.

177 **(iii) Heat Flux calculation method:**

178 Eddy diffusivity, K_z , and heat flux estimates were calculated for the AW thermocline using a
179 layer averaged method. For each profile an average ϵ is calculated for the layer together
180 with the buoyancy frequency N , where $N^2 = -(g/\rho_0) \partial\rho/\partial z$. The layer mean values are then
181 combined to form a turbulent diffusivity, $K_z = 0.2\epsilon/N^2$. Heat fluxes (F_h) are then estimated
182 using K_z and the layer mean temperature gradient, $\Delta T/\Delta Z$, where $F_h = -\rho_0 c_p K_z \Delta T/\Delta Z$, ρ_0 a
183 reference density, c_p is specific heat capacity and ΔZ layer thickness.

184 The AW thermocline heat flux for the slope region north of Svalbard and Severnaya Zemlya
185 (longitudinal range 16-31E) is an average value made from estimates for 84 profiles,
186 collected during 5 observational campaigns in the region between 2008 and 2013. The
187 largest values for individual profiles exceed 50 Wm^{-2} .

188

189 iv) **Tidal Dissipation Calculation:**

190 The tidal energy dissipation rate, D , can be expressed as a local balance between the work
191 rate by the tide generating forces (W) and the tidal energy flux (P)²⁴:

$$192 \qquad D = W - \nabla \cdot P$$

193 where W and P are defined as

$$194 \qquad W = g\rho \langle U \cdot \nabla (\eta_{eq} + \eta_{SAL}) \rangle$$

$$195 \qquad P = -g\rho \langle U\eta \rangle$$

196 Here, $\langle \quad \rangle$ denote time-averages, U is the tidal transport vector, η is the tidal elevation, η_{eq} is
197 the equilibrium tidal elevation, η_{SAL} is the self-attraction and loading elevation, g is gravity
198 and ρ is a reference density. The tidal amplitudes and currents from the TPX08 database are
199 combined with the astronomical forcing to calculate the tidal energy flux and work rate
200 from which the tidal energy dissipation rate for the principle tidal constituents in the region,
201 the semi-diurnal M2 and S2 and the diurnal K1 and O1 constituents, is calculated.

202 **Microstructure data:** Access to the microstructure data used in the paper may be
203 requested. The Bangor VMP data presented in this paper may be requested from the British
204 Oceanographic Data Centre, National Oceanography Centre, Liverpool, UK
205 (<http://www.bodc.ac.uk/>). Request "ASBO and TEA-COSI microstructure data". The MSS
206 data used may be requested from the Norwegian Polar Institute (e-mail:
207 arild.sundfiord@npolar.no).

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224 first draft of the paper with all the authors contributing to its revision.

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226 **Figure Legends:**

227 **Figure 1:** Map of the Arctic Ocean showing the bathymetry and the location of the
228 microstructure profiler measurements. Marker shape indicates the sea ice conditions during
229 those measurements (O – open water/ low ice cover, Δ - significant (> 70%) ice cover). The
230 colours refer to the geographical location of the measurements (consistent with figures 2
231 and 3). The numbers refer to transects shown in figure 3.

232 Typical temperature profiles are shown for the continental slope region (black in open water
233 and red under sea ice) and for the central Arctic Ocean (grey) to the north of Svalbard.

234 **Figure 2:** Variation of profile average dissipation with bathymetry and topographic slope.

235 (a) Profile-average dissipation rate, ϵ , in the AW thermocline, plotted against bathymetry.

236 Variability is indicated by the 95% confidence interval. For clarity the confidence interval is
237 not shown when it is smaller than the plotted symbol used. Following figure 1 the colour
238 indicates the geographical location of the measurements whilst the shape shows the sea ice
239 conditions.

240 (b) Profile-averaged dissipation in the AW thermocline plotted against the slope of the
241 topography below.

242 **Figure 3:** Transect-mean integrated AW dissipation, ϵ , against longitude. The colours and
243 the transect numbers refer back to figure 1, whilst the marker shape indicates the sea ice
244 conditions (as per figure 1). The variability is indicated by the 95% confidence interval.

245 The blue line is the rate of tidal energy dissipation, D , computed as the difference between
246 the work done by the tide generating force and the divergence of the tidal energy flux.

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