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#### 1 Principles of Sound Ecotoxicology

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#### 13 Abstract

14 We have become progressively more concerned about the quality of some published ecotoxicology research. Others have also expressed concern. It is not uncommon for basic, but 15 extremely important, factors to apparently be ignored. For example, exposure concentrations in 16 laboratory experiments are sometimes not measured, and hence there is no evidence that the test 17 organisms were actually exposed to the test substance, let alone at the stated concentrations. To 18 try to improve the quality of ecotoxicology research, we suggest twelve basic principles that 19 should be considered, not at the point of publication of the results, but during the experimental 20 design. These principles range from carefully considering essential aspects of experimental 21 design through to accurately defining the exposure, as well as unbiased analysis and reporting of 22 23 the results. Although not all principles will apply to all studies, we offer these principles in the hope that they will improve the quality of the science that is available to regulators. Science is an 24 evidence-based discipline and it is important that we and the regulators can trust the evidence 25 presented to us. Significant resources often have to be devoted to refuting the results of poor 26 research when those resources could be utilised more effectively. 27

#### 29 Introduction

We, and others, have become increasingly concerned that the quality of a significant proportion 30 of ecotoxicological research is not as high as it could, and probably should, be.<sup>1-4</sup> It is very 31 common nowadays for us to read a scientific article published in a reputable journal and end up 32 thinking "this effect of substance X is surprising", or even "I find it very difficult to believe that 33 34 substance X really does cause those effects at those concentrations". Other scientists have also indicated that they have difficulty deciding what ecotoxicological research is sound and what is 35 not.<sup>5,6</sup> Indeed, some have already published papers suggesting improvements that could be made 36 to ecotoxicological research.<sup>7</sup> 37

38 We are not the first people to express concern about the quality of published research, either in our field (ecotoxicology) or any other field. For decades (and possibly hundreds of years), 39 scientists have questioned the merits or demerits of particular pieces of research. Nearly half a 40 41 century ago, an eminent physician, who was interested in possible links between the incidence of various diseases in people and their exposure to industrial chemicals in their working 42 environments, published a set of criteria that he suggested should be used to support, or refute, 43 reported associations between conditions in the workplace (e.g. exposure to industrial chemicals) 44 and particular diseases.<sup>8</sup> In other words, he was interested in assessing the quality of research 45 that purported to link substance X with adverse effect Y. More recently, various toxicologists 46 47 and ecotoxicologists have published updated sets of criteria for quality assessment of published (eco)toxicological studies,<sup>9-12</sup> mainly as a prerequisite to determining what weight can be placed 48 on a study before it can be used for environmental risk assessment.<sup>5</sup> The outcomes of these 49 assessments do not inspire much confidence in the existing literature: many influential studies 50 are rated as 'not reliable' or 'unacceptable';<sup>6</sup> at least one scientist has gone so far as to suggest 51 that 'most published research findings are false'.<sup>13</sup> 52

We have no desire to undermine ecotoxicology; on the contrary, our desire is to improve it. We 53 accept that some ecotoxicology, especially fieldwork, can be extremely difficult, if not 54 55 impossible, to conduct in an ideal way. How, for example, does one obtain a clear-cut answer to a question such as 'are perfluorochemicals adversely affecting albatrosses?' in order to 56 determine whether their documented exposure to these extremely persistent pollutants <sup>14</sup> is, or is 57 not, of concern? Nevertheless we still consider that much ecotoxicology, including laboratory-58 based studies, is not being conducted (or interpreted) as well as it could be. In order to try and 59 improve the situation in the future, we list, then briefly expand upon, the factors we consider 60

61 most important to defining the quality and usefulness of ecotoxicological research studies. We present a set of principles which, if adhered to, would improve considerably the quality of such 62 research (see Table 1). Our approach is based on the very successful establishment of the 63 principles of Green Chemistry.<sup>15,16</sup> However, whereas those principles were intended to 64 accomplish the goals of green design and sustainability, ours are perhaps somewhat less 65 ambitious and more practical, and specifically aimed at improving the quality of 66 ecotoxicological research. Our principles also address the issue of reporting results in a balanced 67 manner that reflects the results obtained. 68

Discussing the principles of sound ecotoxicology has necessitated mentioning some examples of what we consider poor ecotoxicology. We have attempted not to be unfair to any individual, or to any particular issue in ecotoxicology, and have tried to provide balance in this article by also mentioning examples of what we consider are good ecotoxicological studies. Most of our examples are in the field of aquatic ecotoxicology and, in particular, endocrine disruption, because this is our area of expertise, but we believe the principles outlined here are relevant to ecotoxicology as a whole.

## The Principles of Sound Ecotoxicology

## 1. Adequate planning and good design of a study are essential

If the planning stages are not thought through adequately, an entire study could be wasted.

## 2. Define the baseline

When any endpoint is assessed, the 'normal' level of that endpoint in an unexposed organism should be established.

## 3. Include appropriate controls

Solvent controls and positive controls should be used where possible/appropriate. The number of controls should also be considered.

## 4. Use appropriate exposure routes and concentrations

Ensure that the route of exposure is appropriate (e.g. via water or via food) and that the concentrations applied are discussed within the context of concentrations measured in the environment.

## 5. Define the exposure

It is important to measure actual concentrations of the substance/s used, so that the real exposure scenario can be described, rather than a hypothetical one. Further, exposure media should be assessed for common contaminants.

## 6. Understand your tools

Knowledge of the particular test organism and test substance used are vital to generating reproducible results.

## 7. Think about statistical analysis of the results when designing an experiment

The number of exposure concentrations, as well as of target organisms, needs to be carefully considered prior to starting the experiment, in order that the results have sufficient statistical power to provide an answer to the hypothesis being tested.

## 8. Consider the dose-response

Consider the dose-response; any 'unusual' pattern of response needs further analysis and justification.

This may not be necessary where results are striking and statistical power is strong. However, in general, and particularly where results are unexpected and/or borderline, results must be shown to be repeatable.			
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Consider confounding factors			
Factors such as temperature, disease, and exposure to multiple substances should be			
taken into consideration; these may be especially relevant in fieldwork.			
Consider the weight of evidence			
Results should be compared with previous studies, e.g. do fieldwork and laboratory			
studies support each other? Do the effects fit with known mechanism of action of the			
respective substance/s? Consider the plausibility of the results.			
Report findings in an unbiased manner			
Do not over-extrapolate (e.g. from <i>in vitro</i> to <i>in vivo</i> ); be aware of the limitations of the			
study; don't over-hype a result with very low significance; report negative (i.e. no effect)			
as well as positive findings.			
F t F F s r I I S			

78 Table 1. A summary of the Principles of Sound Ecotoxicology

### 80 Principle 1: Adequate planning and good design of a study are essential

It can often be the case that studies are undertaken in a hurry without sufficient forethought of 81 the several critical factors involved. The first stage is to define the aim of the experiment. For 82 example, is the aim to define the Lowest Observable Effect Concentration (LOEC) of a 83 84 particular substance, or is it to establish whether effects might be seen at very low concentrations (equivalent to those seen in the natural environment)? Once the aim is agreed, a great deal of 85 86 effort needs to go into planning the details of the study. Factors to be considered include: how 87 many substances to investigate in any one study; how many exposure concentrations to use (and 88 how far apart these should be spaced); how many replicates (e.g. tanks in the case of fish) of each concentration; how many subjects (e.g. fish per tank); the physico-chemical properties of 89 90 the substance to be tested; whether the use of an organic solvent can be avoided; when to sample for chemical analysis; how many endpoints to assess (and which are the most relevant for the 91 92 substance concerned). In addition, experimental planning needs to incorporate steps that can be 93 taken to avoid operator bias, such as random allocation of animals between treatments and blinded analysis of samples where possible. Trying to achieve too much from a study can be as 94 95 detrimental to the quality of the results as trying to do too little; a balance must be struck. The 96 planning of a good ecotoxicological study can in some circumstances take longer than the 97 exposure study itself.

98 Another factor which should be considered at this point is that adequate recording and documentation, not just of the outcome but of all the procedures undertaken along the way, are 99 100 essential. If any queries arise during or after an experiment, researchers must be able to back up 101 every step of their working, in order to be able to defend and, if necessary, correct what they 102 have done. Furthermore, adequate information should be provided to enable others to repeat the study in full. We would not go so far as to say that all laboratories should follow 'Good 103 104 Laboratory Practice' (GLP) guidelines, although we could learn much from these principles. Instead, we consider it sufficient to work to the spirit of GLP. For example, researchers should 105 106 be prepared to share their raw data, (perhaps through a link to an appropriate database if the files 107 are too large to be included as Supplementary Information), in addition to retaining a full report 108 of how the study was designed, conducted and analysed in order to allow adequate interpretation 109 of the results. Such steps were amongst those described in a recent editorial announcement in Nature,<sup>3</sup> a journal which is also recognising the problems faced by the recent spate of 110 publications of unreliable data, and now, along with many other journals, stipulates that 111

- scientists should deposit large datasets in an approved database prior to publication of themanuscript.
- We cannot stress enough that good planning and management of an ecotoxicological study isvital for a successful outcome.

## 116 **Principle 2: Define the baseline**

117 To discriminate between exposed and unexposed test organisms, toxicological studies usually measure one or more biomarker or sublethal effect that occurs in response to substance 118 119 exposure. Studies may include organisms sampled from wild populations or, in a laboratory context, the use of standard test species. Whatever the origin of the animals, it is important to 120 121 characterise the natural variability in parameters or endpoints that form the basis of the investigation (in order to be able to design experiments that are sufficiently sensitive to 122 discriminate real effects). In mammalian multi-generation studies, inter-laboratory variability in 123 negative control data has been intensively studied to improve the sensitivity of the test 124 methods.<sup>17</sup> Several fish species have also been the subject of detailed study to ensure that the 125 experimental design is matched to the reproductive biology of the species used (e.g. the fathead 126 minnow [*Pimephales promelas*] and zebrafish [*Danio rerio*]).<sup>18,19</sup> Essentially, if one of the 127 endpoints in an exposure study is, for example, a plasma hormone concentration, it is important 128 to know what are the 'normal' changes that occur within and between individuals over time. 129 Plasma concentrations of sex steroid hormones in particular are highly dependent on the state of 130 131 maturity of the gonads and thus show strong seasonal fluctuations. These need to be taken into 132 account when planning and subsequently interpreting studies.

Another problematic area in the study of chemical effects on reproductive biology is sex
differentiation. It is essential that there is a good understanding of the most sensitive period for
this parameter for the species being studied, so that this can be taken account of in the
experimental design, and exposure can be focussed on key windows – although it is
acknowledged that there are in the zebrafish, in particular, contrasting views on the exact timing
of sex differentiation, as discussed by Segner.<sup>20</sup>

139 Studies on the reproduction of molluscs have raised a number of disagreements with, for

- 140 example, respect to whether or not substances such as Bisphenol-A (BPA) at very low
- 141 concentrations increase fecundity in the ramshorn snail (*Marisa cornuarietis*).<sup>21</sup> Benstead *et al*

142 demonstrated the importance of establishing baseline seasonal fecundity patterns before investigating the effects of endocrine disrupting compounds on gastropod molluscs.<sup>22</sup> In that 143 study, a clear correlation between number of eggs laid and photoperiod was established in the 144 reference group, with a subsequent steep decline in egg production following the summer 145 solstice. Although the effect reported in that paper (an extended reproductive season in snails 146 exposed to  $17\beta$ -estradiol [E2]) was observed to be a trend rather than being significantly 147 different from the reference group at any one timepoint, the establishment of the baseline 148 reproductive performance pattern of these snails is clearly important in determining whether or 149 150 not estrogenic substances can impact on snail reproduction, and will provide useful background information for future research in this field. 151

Ultimately, the environmental significance of the results of a study can be better interpretedwhen there is a good understanding of baseline conditions.

### 154 Principle 3: Include appropriate controls

In theory, this is a relatively easy objective to achieve, at least in terms of laboratory exposure
studies (perhaps less so in fieldwork situations). There are four main points that need to be
considered:

**158 a)** Use appropriate 'negative' controls

Negative controls are those where no treatment is administered, and hence no response is 159 expected. A scenario where particular thought should be given to the nature of the negative 160 control is that where solvents are used to dissolve substances that are relatively insoluble in 161 162 water, and thus the concentrated stocks require an organic solvent (such as ethanol, methanol, dimethylformamide, acetone) to deliver the substance to the exposure medium. It has been 163 164 shown that such solvents can affect various endpoints in exposed organisms, even when used at low concentrations.<sup>23</sup> Hence it is imperative to minimise the use of solvents and also to include a 165 166 control in which organisms are exposed to the same concentration of solvent as in the substance treatments. Crucially, these 'solvent controls' (as opposed to the 'dilution water controls') must 167 also be used for comparison with the substance treatments when it comes to the statistical 168 169 analysis of the results.

170 It has to be acknowledged that negative controls can be more difficult to implement properly in171 fieldwork, since there may simply not be any pristine sites available with which to compare

172 organisms from exposed sites. An example of this would be the work undertaken by Jobling *et al* (see also Iwanowicz et al),<sup>24,25</sup> where a small proportion of male roach (*Rutilus rutilus*) at 173 supposedly clean sites (i.e. not exposed to Waste Water Treatment Plant (WWTP) effluents) 174 were found to be intersex (albeit mildly so). The likely reason is that these sites are not as clean 175 176 as we think (or hope) they are, and are likely often subject to diffuse pollution sources. These sites are nonetheless a useful source of reference values and scientists can use a measure of the 177 relative contamination between sites (even if this is as simple as whether the site is upstream or 178 downstream of an effluent outfall) to judge the influence of such contamination on the level of 179 180 intersex in the fish under investigation.

181 b) Use a positive control where appropriate and/or available.

The use of a positive control with known levels of activity may not always be possible, but can 182 183 be incredibly helpful in the interpretation of ecotoxicological data when implemented. One example of this is in endocrine disruption work, where the apparent hormonal activity of a 184 185 substance is being investigated. For example, some synthetic estrogen mimics are very weak in comparison to the natural steroid hormone, E2, or the synthetic steroid, ethinylestradiol (EE2). If 186 187 we compare the estrogenic potency of parabens *in vivo* with a control group, they are certainly estrogenically active. However, in comparison with a positive control, such as E2, these 188 substances have been shown to be only weakly estrogenic both in mammals and in fish.<sup>26,27</sup> Thus 189 a positive control allows us to put the results into perspective, as well as verifying that the 190 bioassay (i.e. test procedure) is actually working properly. 191

192 c) Consider the number of controls.

Part of the reason for including controls in experiments is to establish the degree of variability in 193 194 the responses of the test animals. Hence if an insufficient number of control subjects is used, then an inaccurate assessment of variability may be made and consequently the comparison with 195 196 the treated subjects will be made on false assumptions. One example of a study which has demonstrated the importance of a robust experimental design including sufficient numbers of 197 controls has been reported by Owen at al.<sup>28</sup> The authors initially found an effect of clofibric acid 198 on the growth rate and condition of juvenile rainbow trout, but an expanded version of the study 199 200 (using an increased number of control animals, spread over four tanks) did not repeat their original findings. Specifically, the effect observed in the original study was because the 201 relatively small number of control fish (n=8) were exceptional and outperformed normal 202 expectations, further highlighting the need for appropriate controls. 203

**d**) Use the appropriate type of control.

205 This advice refers to the fact that researchers must be aware that any bias introduced into the

<sup>206</sup> 'selection' or handling of control subjects is unacceptable. That is, the control organisms should

207 be the same sex, age, of a similar size, from the same population as those in the treated groups,

and definitively not pre-selected for desirable features which make them reliable controls.

209 Further, all controls must be handled in the same way as treatment groups with respect to factors

such as disturbance, food, and experimental conditions (such as light and temperature). If one

tank requires cleaning, for example, all tanks should be cleaned.

#### 212 **Principle 4:** Use appropriate exposure routes and concentrations

It is probably true to say that the weakest aspect of many ecotoxicological papers concerns exposure to the test substance(s). Most ecotoxicologists have their main training in biology, not chemistry. Ideally, ecotoxicologists should confer with environmental chemists and modellers before any experiments are designed. Some of the main issues to consider regarding exposure are examined in this and the next principle.

**a**) What is the most environmentally-relevant route of exposure?

Before exposing an organism to a substance in a laboratory experiment, it is wise to consider the 219 most appropriate route of exposure. For aquatic organisms this is likely to be either via the water 220 or the diet, depending in part on the hydrophobicity of the test substance as well as the behaviour 221 and feeding characteristics of the organism concerned. In the wild, exposure to strongly 222 223 hydrophobic chemicals may occur primarily via the diet; in which case, this route of exposure should be used, if at all practical. The toxicity of a substance can vary depending on the route of 224 exposure.<sup>29,30,31</sup> In the terrestrial environment, exposure via diet is very common, and is often the 225 226 main route of exposure to substances: recall the devastating effects that pesticides had on birds of prey in the 1950s and beyond.<sup>32</sup> In contrast, injecting any organism with a test substance (in 227 the context of ecotoxicological studies) is wholly unrealistic and should be avoided, as it cannot 228 shed any light on the real environmental exposure of wild animals. 229

**b)** What is meant by an 'environmentally relevant concentration'?

231 The concept of an 'environmentally relevant concentration' is clearly important in

ecotoxicology, as it allows us to judge whether a substance is not merely a hazard but actually

poses a risk. Since 1991, the phrase 'environmentally relevant concentrations' has appeared in

the title, or abstract, of 1675 papers according to the Web of Science (accessed January 2013).

- 235 Unfortunately, because there is no clear definition of the phrase 'environmentally relevant
- concentration', the whole issue can be dangerously misleading. This problem can be illustrated
- 237 by considering the following issues.

238 What is meant by 'environment': the sewage effluent, a sewage ditch, or a river?

It is not unknown for scientists to use a value reported in sewage effluent when justifying their experimental concentration as being environmentally relevant to aquatic organisms.<sup>33,34,35</sup> Some WWTPs discharge into very small streams which are essentially formed from sewage effluent, but could be classed as a water course. However, the vast majority of freshwater aquatic wildlife live in rivers where considerable dilution of the sewage effluent is the norm.

#### 244 Could the quoted environmental concentration result from an unreliable measurement?

Trying to detect a substance of interest at low and sub ng  $L^{-1}$  concentrations in complex matrices 245 is fraught with difficulties.<sup>36</sup> Hence it is also possible that reported exposure concentrations 246 (particularly in the environment) are in error, and require independent verification before they 247 are accepted. For example, the very high (hundreds of ng  $L^{-1}$ ) concentrations of many sex steroid 248 hormones, particularly EE2, in UK and US streams reported by Aherne and Briggs <sup>37</sup> and Kolpin 249 et al <sup>38</sup>have proved not to be repeatable.<sup>39</sup> Such erroneous reports can have enormous influence 250 on what are, and are not, considered to be 'environmentally relevant' concentrations of 251 252 substances of concern. Hence the need for a broad review of the literature and/or the collaboration with an analytical chemist in studies where necessary. 253

- 254 *Might the quoted environmental concentration be accurate but be entirely unrepresentative of*
- the majority of situations encountered by wildlife in time and space?
- 256 Occasional very high concentrations can occur in the environment but in terms of probability
- they are likely to be rare. A good example is the modelling of 11 large US catchments where the
- 258 50% ile cumulative probability for EE2 was between 0.0008 and 0.01 ng  $L^{-1}$  at mean and low
- flow, respectively, but there remained in the 99% ile probability a potential for 0.3-1.0 ng  $L^{-1}$
- being detected. Thus, the vast majority of American aquatic wildlife would be most likely to be
- exposed to concentrations in the 0.0008-0.01 ng  $L^{-1}$  EE2 range and only a tiny minority to
- 262 concentrations of  $\ge 0.3$  ng L<sup>-1</sup>.<sup>39</sup> So whilst some authors might imply that 5 ng L<sup>-1</sup> EE2 is
- environmentally relevant,  $^{33,35}$  the overwhelming evidence is that it would be atypical.

264 We should also make it clear that we are not asserting that only environmentally relevant concentrations should be used in ecotoxicological experiments. Indeed, there will be occasions 265 where researchers have to use significantly higher concentrations in order to properly define a 266 LOEC for a substance. The LOEC of a substance is, in fact, far more useful in the regulatory 267 sphere than is a conclusion that no effect occurs at environmentally relevant concentrations, 268 because a LOEC enables the regulators to impose more accurate and meaningful safety limits. 269 270 Our primary message here is that the explanation of the concentrations selected for a particular study should be comprehensive, and the authors should be open and honest about the context of 271 272 their results in relation to those concentrations which have been measured (or predicted) in real environmental samples. Thus the derivation of the measured environmental concentration 273 (MEC) and the predicted environmental concentration (PEC) are also key factors here. 274

#### 275 **Principle 5: Define the exposure**

A useful exercise to undertake when considering this principle is to remind ourselves of why we 276 277 undertake ecotoxicological studies in the first place. The major reason is that we are concerned about the occurrence of certain substances in the environment, and we need to determine 278 279 whether they are present at concentrations which can be harmful to living organisms. Thus, in conducting such studies, we hope to supplement the database which is used to risk assess 280 281 environmental contaminants. Such risk assessments will clearly be inaccurate if the 282 concentrations on which they are based are also inaccurate. The two main points to consider within the scope of this principle are outlined below. 283

284 a) The actual amount of exposure substance in the system must be measured It is paramount that an attempt is made to determine the actual concentrations of substance/s 285 286 present in the test media to which organisms are exposed. This can be done using either analytical chemistry or biological methods of analysis such as immunoassays or receptor binding 287 288 assays. Which of these methods is more suitable is debatable; however, there is no doubt that 289 without any attempt to measure concentrations of the test substance, the results of the study 290 cannot be fully interpreted. We should also add at this juncture that it is important there is good 291 quality control of analytical chemistry procedures employed, as the data obtained using such 292 methods are of little use if it the associated methods have not been properly validated.

293 There are many examples in the literature where no analytical analyses have been performed. In these cases the researchers have no idea whether the concentration to which the organisms are 294 exposed is (for example) 100 ng  $L^{-1}$  or 1 ng  $L^{-1}$ , leaving the results wide open to 295 misinterpretation. Many of these studies also involved the use of a static-renewal system, which 296 297 further increases the risk of unreliable results compared with a flow-through exposure system, hence rendering the measurement of the test substance even more important. Examples of such 298 studies include those undertaken by Oehlmann *et al.*<sup>21</sup> whereby prosobranch snails were exposed 299 to octylphenol (OP) and BPA at nominal concentrations ranging from 1 to 100  $\mu$ g L<sup>-1</sup>. The 300 authors describe effects being observed 'at the lowest concentrations' but it is unclear as to what 301 those concentrations actually were. This is critical information from a risk assessment point of 302 view. Similarly equivocal information has been generated by Lister *et al*,<sup>40</sup> Di Poi *et al*,<sup>41</sup> 303 Franzelletti *et al*<sup>42</sup> and Guler and Ford;<sup>43</sup> these studies tested pharmaceutical products, including 304 fluoxetine, at nominal concentrations as low as  $0.3 \text{ ng L}^{-1}$  in static renewal systems; however no 305 measurements of the actual concentrations of the substances that they were testing were 306 performed. We accept that if a significant biological effect is observed in a dose-related manner 307 it might be difficult to argue that something is not present in the water that is causing that 308 309 response. But this information is of no use to the regulators if it is not known how much of the 310 substance causes that response. In addition, if a response is observed which is unrelated to the concentration of the substance used, or if there is no response at all, it is impossible to provide an 311 312 accurate interpretation of the data when the exposure concentrations are unknown. There may actually be no effect of the substance concerned at the (nominal) concentration, but it may be 313 314 that no effect was observed because the chemical was not present in the tanks at anything like the concentrations that were expected. Finally, although technically more problematic, it is 315 316 particularly important that verification of the actual exposure concentrations is provided when 317 concentrations that are reported to be causing effects are extremely low (i.e. at concentrations similar to those found in the environment). 318

b) Potential contaminants in the system should also be monitored, thus providing an
accurate profile of all major substances in the test media.

It is useful to have some knowledge of potential contaminants in the system. Clearly, not all eventualities can be accounted for, but what is looked for should include the more commonly occurring contaminants, to assess whether they are present at high enough concentrations to be of concern, or whether their presence can be ignored. There may be occasions where contaminants are found in sufficiently high concentrations that they are likely to act as

confounding factors in the toxicological assessment. Such a case was reported by Hala et al,<sup>44</sup> 326 327 who discovered butyltin leaching from airline tubing in a flow-through exposure system at concentrations high enough to confer toxic effects on organisms. Conversely, Aoki *et al* reported 328 329 intermittent detection of diethylhexyl phthalate (DEHP) in a study undertaken to assess the antiandrogenic nature of dibutyl phthalate (DBP) in fish;<sup>45</sup> however, in this case it was concluded 330 that the DEHP originated from contamination during the extraction/analysis procedure (i.e. not 331 from the tank water itself), and in any case it was present at such low levels as to be negligible in 332 terms of its effect on the fish in this study. It is unlikely that any study which monitors 333 334 concentrations of DEHP as a contaminant in water would not contain a trace of this chemical, but it is nonetheless wise to determine the concentrations of DEHP present (particularly in 335 phthalate exposure studies) in order that their significance can be assessed. Another potentially 336 problematic situation is where a test substance might be found to be present in the control tank 337 (for example, via cross-contamination, or even due to inadequate cleaning of equipment between 338 studies). Such information could be critical to understanding the results. 339

#### 340 **Principle 6: Understand your tools**

341 When using live organisms to try to understand what are often dynamic processes, it is important to try to minimise the variability encountered by having a good understanding of the background 342 343 of these organisms. For example, the quality of data obtained can be influenced by the age of 344 animals, as well as by the conditions in which they were reared and/or maintained prior to the study. In addition, some species are very difficult to rear in the laboratory (or it is sometimes 345 inappropriate for the particular assay in use) and if wild-caught organisms are used instead, it is 346 vital that the conditions in the environment in which they have been living are well understood. 347 The presence/absence of parasites should also be established. The presence of parasites can 348 affect physiological parameters in animals,<sup>22,46,47</sup> and if those parameters overlap at all with 349 those being used in a controlled exposure study, the interpretation of data obtained from infected 350 animals can be problematic, to say the least.<sup>48,49</sup> Parasite infections such as microsporidians can 351 cause gonadal disruption, produce intersex and female-biased populations, as well as affecting 352 secondary sexual characteristics.<sup>50</sup> Such combinations of changes can be mistaken for changes 353 354 that result from chemical exposure. Therefore baseline information on the prevalence of parasitism in different species and an awareness of the potential effects ensuing from this are 355 essential considerations in studies undertaken with wild-caught animals. 356

In some mammalian studies, an understanding of the particular strain used in toxicological studies is necessary, as it is well known that some strains are more sensitive than others.<sup>51</sup> Likewise, with commonly used fish species, differences in sensitivities occur in the responses to stressors observed between different strains of the same species;<sup>52,53,54</sup> and Brown et al reported differences in growth and sexual development between inbred and outbred zebrafish,<sup>55</sup> which can impact on interpretation of data obtained from substance-exposure trials. It is also important to consider the relevance of the species selected in relation to the overall aim of the study.<sup>7</sup>

*In vitro* studies may appear to be more reproducible, but they are certainly not immune from variability. For example, the response of different cell lines to the same genotoxic agent can vary widely within and between laboratories. Therefore, the selection of the cell line to be used needs careful consideration;<sup>56</sup> also, even within the scope of analysing a single protein, different antibody preparations can elicit very different responses.<sup>57</sup> It is important that researchers are aware of these factors and are able to adequately define the reagents used.

Knowledge of the test substance is equally important. A confirmation of this knowledge should 370 be communicated to the reader by simple means such as stating its purity and CAS number. A 371 discussion of the impact of impurities on the interpretation of data obtained in an in vitro 372 estrogen assay was presented by Beresford *et al*,<sup>58</sup> and has also been recognised by Harris *et al*,<sup>59</sup> 373 who found that two different preparations of a phthalate presented very different estrogenic 374 375 profiles as a result of one of these preparations having been supplemented with BPA. Some substances consist of different isomers which can have very different biological activities. For 376 377 example, branched chain isomers of alkylphenolic compounds (such as 4-NP and 4-OP) induce estrogenic effects in fish, mammals and *in vitro* assays, in contrast to the straight chain isomer of 378 the corresponding compound (4-n-NP and 4-n-OP) which are not estrogenic.<sup>60,61</sup> Hence the 379 inadvertent use of the linear isomer of this substance in an ecotoxicology study could lead to 380 erroneous conclusions of inactivity (as was the case, for example in Moore *et al*).<sup>62</sup> 381

# Principle 7: Think about statistical analysis of the results when designing an experiment

The importance of appropriate statistical analysis cannot be overemphasised.<sup>4</sup> It is crucial that we are able to draw robust conclusions, and that we are able to justify them. In the case of an inappropriate statistical approach being used, an entire study can be undermined and, at worst, 387 misleading conclusions can be drawn. It may be necessary, particularly in some of the more complex analyses required, to enlist the help of professional statisticians. Different statistical 388 approaches exist, the use of which are dependent on the aims of the study in question. These 389 approaches range from testing methods to identify significant effect responses (e.g. to establish a 390 391 no observable effect concentration [NOEC]); through empirical regression modelling (e.g. to estimate effect or benchmark concentrations); to complex biological modelling (e.g. DEBTOX). 392 Although criticised by many statisticians,<sup>63</sup> the NOEC (i.e. the tested concentration just below 393 the LOEC (lowest concentration that produced a significant response)) is still the most 394 395 commonly used toxicity descriptor. This is derived by statistical testing approaches which assume 'no effect' (null hypothesis) and estimate the likelihood that an observed effect happened 396 by chance alone (i.e. not statistically significant) or that it was unlikely to be due to chance alone 397 (statistically significant). 398

Power analysis can be conducted to determine the size of a sample needed to reject a null 399 400 hypothesis at given error rates, or it can be used to estimate, at given data variation and sample size, the minimal effect size that can be detected as statistically significant. This effect size 401 402 defines the statistical detection limit which is always present in the data (also called 'minimal 403 detectable significant difference'). Thus, an *a priori* power analysis can enable the scientist to 404 design a study such that the sample size is high enough to provide reliable answers to the 405 question posed, whilst not being so high that valuable resources are wasted. Nowadays, software packages exist which allow power and sample size calculation without the need to contact a 406 professional statistician, at least for simple study designs. Recommended maximal error rates are 407 usually  $\alpha = 5\%$  and  $\beta = 20\%$ ,<sup>64</sup> meaning that the minimal power is 80%, i.e. we would identify an 408 409 effect above the detection limit in 4 out of 5 studies. Another parameter needed for the power 410 calculation is an estimate about the most likely data variation, which can be derived either from previous studies or other historical data sources that are considered comparable to the 411 researchers' own testing environment. So called 'range-finding' studies are often key to 412 providing initial basic information. 413

An example of power analysis is given in table 2. This illustrates the issues involved with
assessing the number of individuals required to produce an experiment which will offer a
reasonable degree of power in the analysis. Two types of data have been assessed (the data used
here are not real, but are derived from real exposure scenarios). The first is where the endpoint
assessed is plasma E2 concentration in fish. The response of this parameter can be extremely low

(the maximum difference in mean plasma E2 concentration shown here was 2.2 ng ml<sup>-1</sup>). The 419 second scenario is where the response can be in several orders of magnitude (e.g. plasma 420 vitellogenin concentration). In both cases a high and an intermediate effect detection limit are 421 shown; in the case of plasma vitellogenin a 'low' response is also shown. The standard deviation 422 423 (relative to the mean) is usually lower across individuals exposed to a high level of treatment than it is in the intermediate treatment group. What the information provided in Table 2 424 425 illustrates is that where the effect size is (or is expected to be) lower, more individuals are required to detect this size as significant at given error rates. Consequently, if the degree of 426 427 change in a given endpoint is very small, providing robust evidence of any change can be challenging; where the degree of change is far greater, detecting a change in response to a 428 stressor is much easier. In addition, the higher the variability observed within any treatment 429 group, the more individuals are required. 430

Where good baseline (control) data are available, scientists will be able to determine the 431 432 variability within control groups and use this to aid the experimental design. For example, extensive data sets have been published on the variability of a variety of reproductive and 433 endocrinological parameters in fathead minnows.<sup>18,65</sup> which are extremely useful to researchers 434 designing experiments using reproductive endpoints in these fish. Furthermore, Paull and 435 436 colleagues considered that the level of inconsistency in reproductive success between breeding 437 colonies of zebrafish maintained in the laboratory was so high that a minimum of six replicates per chemical treatment is necessary to discriminate a 40% change in egg output of females and 438 sperm quality (in terms of motility) in male zebrafish (at  $\alpha = 5\%$ ).<sup>19</sup> 439

To conclude, it is important to remember that (i) error rates (and therefore a (controlled)
uncertainty) are always present in our conclusions; (ii) statistical significance should not be
confused with biological significance; (iii) "no effects" cannot be identified by statistics; and (iv)
if one reaches the conclusion to accept a hypothesis, it does not mean that it is proven, it means
that the hypothesis is supported given current data.

More detailed guidance on statistical approaches used in standard ecotoxicology studies can be
 found in the OECD Testing and Assessment guidelines.<sup>63,64</sup>

447

Endpoint	Treatment	Mean	Average or worst-case scenario standard deviation	Number of individuals required to give 80% power
Plasma E2 concentration (ng ml <sup>-1</sup> )	Control	3.84		
	Low	w 2.7	average	17
	LOW		worst-case	53
	High	1.62	average	4
			worst-case	7
Plasma vitellogenin concentration (ng ml <sup>-1</sup> )	Control	54		
	Law	Low 85	average	16
	LOW		worst-case	30
	Medium	40000	average	3
			worst-case	5
	High	350000	average	2
			worst-case	2

448

449 Table 2. The number of individuals required to provide data with a power of 0.8 and an  $\alpha$ 

450 (probability of error) value of 0.05 in particular exposure scenarios. These a priori analyses,

451 using  $log_{10}$  values of hypothetical data, were conducted using the statistical package 'G\*Power'.

452 *A* 'low' response example is not given for the endpoint of plasma E2 because the overall range

453 *of response is far smaller here than it is for the vitellogenin response.* 

## 454 *Principle 8: Consider the dose-response*

In order to be able to deduce the dose-response of a substance (and hence put the results into any kind of environmental context), at least three concentrations need to be tested. A recent example of a study which does not report a full dose-response was published in Science,<sup>66</sup> where only two concentrations of the drug (oxazepam) were tested. Data from just one or two concentrations alone will be of little use in the regulatory field. 460 Secondly, we think that, in almost all cases, the relationship between dose and response should be regularly incremental (or decremental) -i.e. for each increase in dose, there should be a 461 graded increase (or decrease) in response. This produces a 'monotonic' dose-response curve. 462 Good examples of monotonic curves are those involving estrogen stimulation of vitellogenin 463 production in fish and androgen stimulation of spiggin production in the stickleback 464 (Gasterosteus aculeatus).<sup>67,68</sup> A key outcome of bioassays with monotonic curves (providing 465 they can be consistently repeated) is that it is possible to accurately calculate the LOEC and the 466 NOEC (or NOAEL) of compounds. These are very important for accurate ecological risk 467 468 assessments.

469 There are numerous examples (many hundreds) of published dose-response curves in the field of ecotoxicology that are 'non-monotonic'.<sup>69</sup> These cover a whole range of shapes such as flat, U-470 shaped, J-shaped and inverted U, as well as many that are irregular (or 'multinodal'). When it 471 comes to the interpretation of non-monotonic dose-response curves, a rift has developed between 472 473 ecotoxicologists. In the view of Vandenberg and co-workers, non-monotonic curves form compelling evidence that low doses of compounds (in many cases well below the current 474 NOAEL) are able to trigger effects that regulators do not currently take into account.<sup>69</sup> However. 475 there are others who, while conceding that non-monotonic (especially inverted-U-shaped) curves 476 477 are not unlikely to occur in some circumstances, are of the opinion that many of the non-478 monotonic relationships that have been reported can equally be ascribed to either poor experimental design and/or technique, or to the action of confounding factors. The gold test of 479 whether a non-monotonic dose-relationship is a real phenomenon (as with other scientific 480 endeavours) should be whether it can be reproduced consistently. Vandenberg et al appear, 481 surprisingly, to argue that this is an unfair requirement in the field of low-dose effects, due to 482 such effects tending to be more dependent on factors such as place, time, operators, strain of 483 animal etc. than high dose effects. This view is obviously one that is open to debate. 484

We do accept that a dose-response relationship may, after further research, turn out to be genuinely non-sigmoidal (especially one that has a regular U or inverted-U shape). In such cases the burden of proof is on the researchers who report such data to, firstly, show that the phenomenon is repeatable and secondly, at some stage in the research process, to explain and, if possible, prove the underlying mechanism that causes the effect. Even if these two objectives can be achieved, there is still a major problem with using results from bioassays that have generated non-sigmoidal dose-response curves to guide environmental safety thresholds.

#### 492 **Principle 9: Repeat the experiment**

**a**) Repeat the experiment in own laboratory in the first instance

494 With budgets tight and with scientists who undertake in vivo studies always looking to reduce the numbers of animals used, it is understandable that on many occasions a single experiment is 495 496 cited as producing a particular and significant response pattern. This is especially true the closer the research is to fieldwork (for example, full life-cycle studies and/or mesocosm studies are, for 497 some researchers, too expensive to undertake once, let alone twice). It is also a result of 498 necessary legislation that exists to protect vertebrates used in experimental procedures, which 499 means that researchers have to keep the number of animals used to a minimum. Hence a priori 500 power analysis (see Principle 7) is an important tool to inform researchers of the minimum 501 502 number of animals required to give a sound result in a given study. Furthermore, repeat studies 503 must be justifiable to legislative bodies, and in some cases should include refinements (which 504 aim to improve the robustness of the results obtained). However, all researchers must be aware 505 that it is imperative that where the results are surprising, or especially hard-hitting (for example, a significant response to a very low dose of substance, or a response which contradicts previous 506 507 studies), the onus is on the researchers concerned to repeat the experiment, in order to verify their conclusions. As is often quoted in the literature, 'extraordinary claims require extraordinary 508 509 evidence'.

#### 510 b) The importance of independent validation

511 Politicians or risk assessors must take great care when making decisions on the basis of observations that have not been independently confirmed. Unfortunately science funding is 512 usually limited and, also, most scientists and funding bodies prefer to do 'original research' 513 514 rather than confirm someone else's findings. Because of the consequent lack of independently validated studies, people who seek to make decisions on the basis of the scientific literature 515 516 (such as risk assessors) instead rely heavily on the 'weight of evidence' (WoE) approach (i.e. where plausible evidence is built up from fragmented observations from a diverse range of 517 518 species and approaches); see Principle 11. For example, the majority of us are agreed that in an 519 ideal world we would like to be able to use invertebrates instead of vertebrate organisms in 520 ecotoxicology. In the field of endocrine disruption, for example, molluscs might appear to be the ideal solution. There are at least 200 papers that suggest that the reproductive hormones of 521 molluscs are the same as those of humans. However, as pointed out by Scott,<sup>70</sup> very few of these 522

studies have ever been properly independently validated (i.e. they have been on different species,with different endpoints, and different experimental designs).

Another important reason why one should wait for findings to be independently validated is that 525 'to err is human'. It should be safe to assume that any trained scientist (especially one with a 526 527 good track record in research) should not make mistakes when, for example, working out dilutions and concentrations, making up solutions with defined molarities, or analysing data. 528 However, it is not safe to assume this at all. In fact, the propensity of scientists to make errors 529 530 appears to be rather high. It was the recognition that mistakes are easily made that was behind the issuance in 2003 of the Joint Code of Practice for Research by the main UK biological 531 research funding bodies.<sup>71</sup> Its major requirement is that scientists should keep accurate and 532 detailed records of all their actions in order that any such errors, if they occur, can be traced and 533 534 corrected (even post-publication). It is also good practice to have other colleagues crosschecking calculations and/or data analysis, as a form of quality control. 535

The importance of reproducibility was discussed in a recent Nature World View article, in which
the author asserts that "reproducibility separates science from mere anecdote".<sup>72</sup>

## 538 Principle 10: Consider confounding factors

Confounding factors are those 'conditions' present in the test environment which may influence 539 540 the experimental result in addition to the specific parameter that is being assessed. These may include factors such as variations in temperature, disease and the presence of unexpected 541 substances, amongst others. Although it is not always straightforward, or even possible, to 542 543 actually quantify the confounding factors present, we must always be aware of their potential influence and be cautious in our interpretation of the results, especially when such factors are 544 545 known to be present. Fieldwork scenarios, in particular, present a challenging and complex array of confounding factors which may enhance or mask the adverse effects of a chemical or mixture 546 547 of chemicals. At the very least these must be acknowledged by the authors, and when known, accounted for in the analysis and interpretation of data arising from such studies. 548

As an example of good practice in relation to interpretation of field trials, we point to a study by

550 Burkhardt-Holm et al that dealt with the issue of why fish catches (mainly of trout) have

declined very significantly in Switzerland in the last few decades.<sup>73</sup> Instead of automatically

552 linking the decline to the existence of estrogens in the aquatic environment (the fashionable

explanation at the time), the authors offered eight potential causes, ranging from poor water
quality, increased predation (by birds), insufficient food, as well as changes in fisheries
management. Each potential cause was discussed, in a very balanced manner, in order to rule
them in or out. In the end, the researchers concluded that it is unlikely that the decline in fish
stocks has a single cause; instead it is most likely due to a combination of factors (stressors).

As an example of bad practice in relation to interpretation of field trial data, we point to a study 558 by Ginebrada et al that implies, in both its title "Environmental risk assessment of 559 pharmaceuticals in rivers: relationships between hazard indexes and aquatic macroinvertebrate 560 diversity indexes in the Llobregat River (NE Spain)" and abstract, that the reason for reduced 561 562 macroinvertebrate diversity in the studied locations (namely, rivers receiving effluent inputs), is the presence of pharmaceuticals in the effluent discharge.<sup>74</sup> However, although the 563 concentrations of the selected drugs were found to be correlated to both the density and biomass 564 of macroinvertebrates, it seems inevitable that other properties of the effluents (such as other 565 566 chemicals that are present in effluents, or the physico-chemical characteristics of the effluents concerned) would also have contributed to this reduction in diversity, and would probably also 567 568 have shown a correlation. The authors did actually raise this point in the discussion section of the paper, but it should not (in our opinion) have been omitted from the title and the abstract. 569

570 One final example of a significant confounding factor is parasitic infection (see Principle 6 for 571 further discussion on the impact of parasites on endpoints associated with endocrine disruption). 572 As mentioned above, it is important to acknowledge the potential impact of such phenomena on 573 the outcome of a study, even if the precise relationships are not clear-cut.

## 574 Principle 11: Consider the weight of evidence

575 The general principle behind assessing the weight of evidence (WoE) concerning the

576 environmental risk posed by a particular substance involves taking all the available information,

577 from whatever source (e.g. field and laboratory; *in vitro* and *in vivo*; ecological and

578 physiological), and judging how well it does, or does not, tell a consistent story.

579 Many papers, especially reviews, refer to the 'WoE' for a particular theory, and this is what is

used by regulators to determine the risk posed by a particular substance. However, according to

581 Weed,<sup>75</sup> this term has not been scientifically defined and has been used in the majority of cases

in a metaphorical sense (e.g. 'nine out of ten papers report a positive effect of compound X,

- therefore surely, reader, you have to accept that compound X is an endocrine disruptor').
- However, realising that this usage takes no account of the quality of the papers and is, in all
- probability, just a reflection of the prevailing bias in that particular field,<sup>76</sup> several people in
- recent years have attempted to develop more focussed methods for quantifying WoE.<sup>9,77</sup>
- 587 However, whether this entails 'weighting' the studies on the basis of dataset size, or even simply
- tabulating all the data points (where known) in the literature in an unbiased manner and allowing
- the reader to make his/her own judgement,<sup>39,78</sup> all approaches suffer from the same inherent
- 590 weakness namely that studies where no effects were observed are very often not published (see
- 591 Principle 12) and such studies cannot therefore be taken into account.

592 With regards to whether or not the research fits with existing literature, pharmaceuticals provide 593 an excellent example. They have an extremely well defined mechanism of action (at least as far 594 as their activity in humans is concerned). This information can be of immense value both in the

595 design of studies to assess ecotoxicity of pharmaceutical substances, and also in the

- interpretation of results obtained from such studies, and should be taken into account when
- assessing the weight of evidence for pharmaceutical substances for which the MOA (and also, inmany cases, their potential side effects) is well defined.

599 Despite some potentially difficult areas to negotiate, the WoE approach remains the only way 600 that scientists and policy makers can move forward in the uncertain world of science. A major 601 argument in the philosophy of science is that we can never prove a hypothesis, no matter how 602 many examples are provided, but only falsify it.<sup>79</sup> At first sight this would appear to keep science 603 in a prison of uncertainty, with nothing able to be proved. However, both Popper<sup>80</sup> and Hill<sup>8</sup> 604 allowed that where sufficient independently validated supporting evidence existed, the 605 hypothesis could be considered a working hypothesis and a basis for action.

#### 606 Principle 12: Report findings in an unbiased manner

Researchers these days are under a great deal of pressure to attract research funding, to deliver a positive outcome to their paymasters and to publish as many papers as possible in high impact journals. We believe that these pressures are behind the increase in papers in which the title and abstract tell one story (often with dramatic claims), while the methods and results tell another (often containing weaknesses in design and/or mundane findings). Aside from the fact that the publication of such papers is an indictment of the peer-review process, we believe that such use 613 of 'spin' is confusing for policy makers, a bad example for young researchers and ultimately gives the profession a bad name. The problems occur when the researchers fail to acknowledge 614 or discuss the weaknesses and/or when they employ hyperbole ('hype') to exaggerate the 615 significance of their findings. A recent example of hype is the paper entitled 'Antidepressants 616 make amphipods see the light' in which the data purporting to show that the organisms 617 concerned move towards the light in response to exposure to fluoxetine is, in our opinion, 618 619 inconclusive (because although the data from one study show a significant effect, data from the other study reported in the same paper show no such effect).<sup>43</sup> 620

One of the many reasons why such controversies arise, as proposed by Goldacre,<sup>81</sup> is the 621 'suppression of negative results', a topic also addressed by Knight.<sup>82</sup> This is the (mostly passive) 622 tendency of researchers to publish only positive results (as negative results do not, except in a 623 624 few cases, attract research funding or ensure career progression). Goldacre argues, however, that many scientists do not just tend to shy away from negative results, but actually have a bias 625 626 towards positive evidence, and points to a study that examined the outcome of FDA (Federal Drug Administration) registered clinical trials on a class of antidepressant drugs.<sup>83</sup> Thirty seven 627 628 studies showed a positive effect, of which thirty six were published in peer-reviewed literature. 629 However, there were a nearly equal number of studies (thirty three) that gave negative results; of 630 these, twenty two were not published at all and another eleven were written up and published in 631 a way that implied they had a positive outcome. In the context of endocrine disruption, this tendency for bias towards positive evidence probably explains why scientists, when including 632 negative (i.e. no effect) as well as positive data in their papers, tend to assume that the 633 experiments with the positive results are the 'correct' result, and any negative outcomes are due 634 to unforeseen circumstances -e.g. the experiments with negative outcomes have been variously 635 explained away on the basis that: 'the experiment was not carried out at the right time of year'; 636 'the animals were not at the right stage of maturation'; 'the experiment was done at the wrong 637 temperature'; or 'the animals were not of the correct origin'. Although it cannot be denied that 638 there may be a valid explanation for a negative result, we suggest that, without actual hard 639 640 evidence, there is no a priori reason, in any study, to reject the experiments that give negative 641 results and only accept the ones that give positive results. Another reason that controversies often arise in the reporting of ecotoxicological data is that there is no clear definition of what 642 643 constitutes an 'adverse' effect. Although it is not within the scope of this manuscript to address 644 this issue fully here, the authors recognise that this lack of definition can lead to subjective 645 presentation of data, depending on the personal opinion of the scientist concerned. For example,

some think that any alteration in the physiology of organisms, which has been induced by a substance to which that organism would not naturally be exposed, could be considered an adverse effect. On the other hand, others consider that it only becomes an adverse effect once there is an effect on population- or health-related endpoints. Still more may even believe that a reduction in the numbers of an over-crowded population would not necessarily be considered 'adverse'. This is perhaps an ethical issue that would be best discussed in another forum.

#### 652 *Causes and consequences of poor ecotoxicological research*

Undoubtedly the most compelling reason for the rush to publish (and never mind the quality), is 653 the fact that scientific research has become increasingly competitive over recent years. This has 654 655 led to the need for scientists to publish prolifically in order to be able to secure both jobs and 656 further funding. In many cases, quantity appears to rule over quality. The issue of the tendency 657 not to publish 'negative' (no-effect) results may also be a factor here (scientists think that 658 funders and future employers will be less interested in their work if they have not shown a newly 659 discovered sensational effect of substance x on species y); although journal editors also have a duty to encourage the publication of no-effect data arising from well designed and executed 660 661 studies. There is also evidence that there has been a proliferation of journal output over recent decades,<sup>84</sup> which may well have led to a dilution of good science with poor (although there are 662 663 no studies that we know of that have investigated the change in number of ecotoxicological 664 journals in particular over this time). We do agree with the sentiments expressed in a recent 'Nature' editorial that the frequently irreproducible data that are published these days are not 665 usually a result of fraud, but of insufficient thoroughness in the analysis and presentation of 666 data.<sup>2</sup> 667

668 The potential consequences of unsound ecotoxicology research can be profound.

Ecotoxicologists presumably conduct their research because they want to protect wildlife from 669 670 adverse effects of chemicals that already are, or could in the future be, present in the environment. In other words, they want to improve the environment (or prevent it deteriorating), 671 by researching potentially hazardous chemicals and subsequently reducing chemical pollution in 672 673 the environment. However, many ecotoxicologists have little or no contact with the people 674 (regulators) who have to act on the results that they publish. Regulators have to assess the degree of risk posed by a substance (based primarily on the published research of ecotoxicologists) and, 675 676 if necessary, take steps to reduce that risk to an acceptable level. The process of assessing the

677 degree of risk and taking any necessary risk reduction steps (such as setting environmental quality standards, or restricting or even banning the use of a chemical) can often be a very 678 detailed and lengthy one. It often takes a decade or more and, these days, usually occurs at both 679 national and international levels. Hence it costs a great deal of money! Moreover, the funding 680 available for fundamental science to support the data produced by (eco)toxicologists is limited, 681 682 further hindering progress made by the regulators. In cases where data are published indicating that a particular substance is likely to cause adverse effects to wildlife, it is naturally difficult to 683 684 change the negative public opinion towards this substance, even when the data concerned 685 emanated from just a single study. The cost of confirming or refuting the results of a poorly designed study can be extremely high; and for fish chronic studies could amount to several 686 hundred thousand US dollars. The cause of protecting the environment itself may suffer as funds 687 are drawn away from studying other more harmful chemicals. In addition, the calculation of 688 Environmental Quality Standards (EQS) involves the evaluation of all studies published on the 689 particular chemical concerned. However, the existence of even one study that shows, for 690 691 example, that a 100-fold lower EQS should be applied, must be acknowledged by regulators 692 even if the vast majority of studies suggest otherwise. Any inadequacies in study design or 693 inaccuracies in the measurements made could have profound implications for regulators, for the 694 water industry, and ultimately for us as taxpayers, if they lead to a significantly lower acceptable environmental concentration. Furthermore, there are undoubtedly environmental contaminants 695 696 upon which the regulator should be focussing their attention, and inaccurate data on other (less 697 harmful) substances may mean that their attention is not focussed on the chemicals that really 698 are of environmental concern.

699 In conclusion, ecotoxicologists need to think about the consequences of their research before 700 they publish it, and they need to take responsibility for it. This does not mean that results 701 suggesting a substance is of concern should be suppressed, or their publication significantly 702 delayed. Indeed, we embrace the process of publication as a major part of scientific discourse, 703 and its role in facilitating discussion around the subject in hand. But it does mean that scientists have a duty to ensure that their research is sound, and therefore likely to be repeatable, before 704 705 publishing it. Likewise, readers should be aware that they should always critically appraise the work contained therein, and not take it simply on trust. Scientists also need to give serious 706 707 consideration to making their raw data publically available, the benefits of which cannot be 708 overstated. Many high quality journals require that such data are deposited in a database prior to 709 publication; those that do not specifically require this do at least encourage authors to share their

- 710 data on request. Adhering to these guidelines will greatly enhance the trust afforded to individual
- scientists, and between scientists and policy makers. Transparency and robustness are key
- elements to a successful scientific outcome.

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