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Contact CEH NORA team at
noraceh@ceh.ac.uk

1 **Distribution, characteristics and condition of Arctic charr (*Salvelinus alpinus*) spawning**
2 **grounds in a differentially eutrophicated twin-basin lake**

3

4 Helen Miller *, Ian J. Winfield **, Janice M. Fletcher **, J. Ben James **, Joey van Rijn
5 ***, Jonathan M. Bull *, Carol J. Cotterill ****

6

7 * School of Ocean and Earth Science, University of Southampton, National Oceanography
8 Centre Southampton, Southampton, SO14 3ZH, U.K.

9

10 ** Lake Ecosystems Group, Centre for Ecology & Hydrology, Lancaster Environment
11 Centre, Library Avenue, Bailrigg, Lancaster, LA1 4AP, U.K.

12

13 *** HAS Den Bosch, University of Applied Sciences, Postbus 90108, 5200 MA, 's-
14 Hertogenbosch, The Netherlands.

15

16 **** British Geological Survey, Murchison House, West Mains Road, Edinburgh, EH9 3LA,
17 U.K.

18

19 Correspondence: H. Miller, School of Ocean and Earth Science, University of Southampton,
20 National Oceanography Centre Southampton, Southampton, SO14 3ZH, U.K. E-mail:

21 Helen.Miller@noc.soton.ac.uk.

22 **Abstract** – Spawning is a key but often fragile event in the life cycles of fish populations.
23 Nevertheless, it has been relatively little studied for lithophilic lacustrine species requiring
24 hard spawning substrates, such as gravels or stones, largely devoid of fine sediments. Twelve
25 demonstrated or putative spawning grounds of Arctic charr (*Salvelinus alpinus*) in shallow
26 and deep areas of the north and south basins of the eutrophicated lake of Windermere, U.K.,
27 were described by hydroacoustic, physical and visual surveys. In addition, their current
28 conditions were compared with their original qualitative descriptions made over 50 years ago.
29 Spawning ground characteristics were found to be more complex than originally described,
30 with considerable overlaps in depth ranges and only limited areas of appropriate hard
31 substrates. Moreover, extensive gill netting surveys in recent years have found spawning
32 Arctic charr at only seven of the original 12 demonstrated or putative spawning grounds,
33 although several new spawning areas have also been found. The distribution of unsuitable
34 fine sediments is widespread in the lake, particularly in the more eutrophicated south basin
35 where suitable spawning habitat within the putative spawning areas is limited. Windermere
36 faces a number of environmental problems including climate change and species
37 introductions. However, the temporal and spatial patterns of the lake’s eutrophication suggest
38 that associated increases in fine sediments have been a major driver of the observed
39 deterioration of Arctic charr spawning grounds and so may have also contributed to a marked
40 decline recently observed in the local abundance of this species.

41

42 **Key words:** Arctic charr, *Salvelinus alpinus*, U.K., Windermere, spawning grounds, littoral,
43 bottom substrate, eutrophication

44

45

46 **Introduction**

47

48 The act of spawning is a key but often fragile event in the life cycles of fish populations. In
49 fresh waters, it has been well studied for lithophilic riverine spawners as reviewed by
50 Klemetsen et al. (2003) for the widespread salmonids Atlantic salmon (*Salmo salar*) and
51 brown trout (*Salmo trutta*). Successful recruitment in such species requires their access to
52 spawning grounds in appropriate condition, for which an absence of excessive fine sediments
53 is a critical requirement. In contrast, the lacustrine spawning grounds of these and other
54 lithophils have received much less attention. Although a few studies have investigated
55 aspects of the lake spawning habitats of the salmonids Arctic charr (*Salvelinus alpinus*)
56 (Jonsson and Hindar 1982), lake trout (*Salvelinus namaycush*) (Gunn and Sein 2000) and brook
57 trout (*Salvelinus fontinalis*) (Blanchfield and Ridgway 2005), such detailed lacustrine
58 investigations remain sparse for salmonids in general (Klemetsen et al. 2003; Winfield 2004).

59

60 Recently, Low et al. (2011) have partially addressed this lack of information for lacustrine
61 lithophilic spawners by describing the littoral spawning habitats of the holarctic Arctic charr
62 in three lakes in Ireland. Using a combination of fyke netting and snorkelling, the physical
63 characteristics of 23 discrete spawning sites were described as narrow strips of hard
64 substrates with clean interstitial spaces running parallel to the shore at a maximum depth of
65 1.2 m. The lacustrine spawning ecology of Arctic charr populations is further complicated by
66 the fact that although many populations spawn in the littoral zone, some spawn at greater
67 depths (Klemetsen et al. 2003). Furthermore, some lakes support both shallow- and deep-
68 spawning populations, making this species of great interest for studies of evolutionary
69 biology (e.g. Adams et al. 2006; Corrigan et al. 2011a). Within-lake diversity in Arctic charr

70 spawning depth has been reported for a number of lakes in Europe and North America,
71 including within the Faxälven water system in Sweden where shallow- (8 to 10 m) and deep-water
72 spawning (up to c. 100 m depth) on a stony bottom was first described by Määr (1949).

73

74 In the twin-basin lake of Windermere, U.K., the first detailed observations of Arctic charr
75 spawning in shallow and deep areas were made by Frost (1965). On the basis of netting,
76 observations by divers and local knowledge, Frost (1965) concluded that Windermere's
77 shallow spawning grounds ranged from 1 to 3 m depth and were used during the autumn
78 (mainly November), while the deeper spawning grounds ranged from 15 to 20 m depth and
79 were used during the spring (mainly February to March). The spawning substrate for shallow
80 water sites was described as always hard with a range of particle sizes from sand through to
81 large stones or small boulders up to 0.25 m in diameter, with some locations also having a
82 little silt or a few macrophytes in the form of *Littorella* sp. Deep-water sites, based only on
83 detailed descriptions from the single spawning ground of Holbeck Point, were characterised
84 by Frost (1965) as having a stony bottom.

85

86 An improved understanding of Arctic charr spawning grounds is critical for the better
87 management of this species, whether it be for fisheries purposes or biodiversity conservation.
88 At a global level, the status and conservation of this widespread species have given concern
89 for some time (Maitland 1995). More specifically within the British Isles, several Arctic charr
90 populations have already been lost and many more are threatened (Igoe et al. 2003; Maitland
91 et al. 2007; Winfield et al. 2010), including that of Windermere (Winfield et al. 2008).
92 Notably, Igoe et al. (2003) emphasised the susceptibility of this species' shallow littoral
93 spawning grounds to exposure due to falling lake levels or siltation by fine sediments. In

94 particular, eutrophication has the potential to produce major impacts on littoral-spawning fish
95 due to associated increases in fine sediments of algal origin deposited on stones, gravels and
96 other substrates (Winfield 2004). Moreover, such sedimentation is likely to be even greater
97 on deeper spawning grounds due to sediment accumulation processes (Mackay et al. 2012).
98 In the face of this lack of fundamental knowledge and widespread conservation concerns,
99 Low et al. (2011) understandably called for long-term monitoring and comparisons of Arctic
100 charr spawning site habitats amongst lakes of differing conditions such as nutrient loading.

101

102 The objectives of the present study were to assess the current conditions of the demonstrated
103 or putative Arctic charr spawning grounds of Windermere initially described by Frost (1965)
104 but subsequently largely unstudied for approximately 50 years. During this period the lake
105 has undergone significant eutrophication, particularly in its south basin (Jones et al. 2008;
106 Winfield et al. 2008), and its Arctic charr population has declined (Winfield et al. 2010). This
107 assessment was accomplished using multi-beam bathymetry, underwater video observations
108 and the collation of historical and contemporary netting information on spawning Arctic
109 charr.

110

111 **Materials and methods**

112

113 Study site

114

115 Windermere is situated (54° 22' N, 2° 56' W; altitude 39 m) in the English Lake District,
116 U.K. It comprises a mesotrophic north basin (area 8.1 km², maximum depth 64 m) and a
117 eutrophic south basin (area 6.7 km², maximum depth 44 m). The cultural eutrophication of
118 the latter basin accelerated markedly in the mid 1960s due to nutrient enrichment attributed to
119 a combination of growing human population in the catchment, changes in agricultural
120 practice and increased sewage discharge (Parker and Maberly 2000). In recent years, the
121 south basin has shown some response to the introduction in 1992 of tertiary chemical
122 stripping of phosphate at the lake's sewage treatment plants (Winfield et al. 2008). The fish
123 community is relatively simple with Arctic charr, Atlantic salmon, brown trout, European eel
124 (*Anguilla anguilla*), perch (*Perca fluviatilis*), pike (*Esox lucius*) and in recent years roach
125 (*Rutilus rutilus*) dominating it, although a number of minor species are also present (Winfield
126 et al. 2011).

127

128 Hydroacoustic survey of the lake bottom

129

130 A multi-beam bathymetry of the lake bottom was produced in September 2010 using a
131 SIMRAD Kongsberg EM3002D dual head system operating at 300 kHz on the British
132 Geological Survey vessel R/V White Ribbon, providing 100% coverage of both the north and
133 south basins in areas where water depth exceeded 5 m (Miller et al. 2013). Coverage of
134 shallower areas was limited by technical constraints. The resulting hydroacoustic data were
135 gridded at a resolution of 1 m and corrected to Ordnance Datum Newlyn using lake level data
136 from an electronic gauge operated by the Environment Agency. Processed data were exported
137 as xyz coordinates for subsequent analysis in ArcGIS Version 10, where slope, aspect,
138 curvature and hillshade were derived using the Spatial Analyst extension, and subsequently

139 used to calculate the area and depth range of each of the demonstrated or putative spawning
140 grounds described by Frost (1965) by digitising them from the latter's Fig. 1.

141

142 Physical survey of the lake bottom

143

144 Sixty-nine sediment samples from the lake bed (33 in the north basin and 36 in the south
145 basin) were collected in June 2011 using a 2 L Van Veen F42A grab as described in detail in
146 Miller et al. (2013). Visual descriptions and grain size analysis were used to identify a
147 number of distinct lake bed facies, i.e. gyttja (composed of fine to very coarse organic-rich,
148 olive-green silt), finely-laminated mud, fine sand and gravel. Gyttja is the most prevalent lake
149 bed sediment and covers more than 95% of the lake bed (Miller et al., 2013). Outcrops of
150 bedrock were also identified through underwater video observations (see below).

151

152 Visual survey of the lake bottom

153

154 Two remotely-operated vehicles (ROVs) were used on visual survey in June 2011, April
155 2012 and May 2013 to record visual images of the lake bed (Fig. 1). The ROVs were a
156 SeaBotix LBV 150-4MiniROV using a USBL system for precise positioning information,
157 deployed primarily on offshore transects, and a VideoRay Pro 3 XEGTO deployed primarily
158 on inshore transects. The two ROVs surveyed a total of 53 transects (33 in the north basin
159 and 20 in the south basin) typically running from shallower to deeper water (Fig. 1) in order
160 to facilitate the examination of areas of less than 5 m depth which had not been covered by

161 the hydroacoustic survey. Video outputs from both ROVs, including their operating depths,
162 were recorded and subsequently used to produce series of representative still images for each
163 transect.

164

165 FIG 1 HERE (approximately)

166

167 Within the ROV survey, single transects each of approximately 100 m in horizontal extent
168 running out perpendicularly from the shore at the demonstrated or putative spawning grounds
169 of Frost (1965) at Holbeck Point, Low Wray Bay and North Thompson Holme in the north
170 basin and Baswicks, Blake Holme, Bellman Landing, Stewardson Nab, South Rawlinson Nab
171 and Tower Wood in the south basin undertaken in May 2013 were subjected to a further
172 systematic examination. Following the procedure described by Coyle and Adams (2011) for
173 vendace (*Coregonus albula*) spawning habitat requirements, which are similar to those of
174 Arctic charr, depth-stratified still images were produced and classified as optimal, sub-
175 optimal or poor spawning habitat. This assessment procedure is based on the presence of hard
176 substrate types such as gravels, pebbles, cobbles and boulders, but also takes into account the
177 presence of fine sediments which are unsuitable for spawning by lithophilic species. Such
178 assessments of a total of 92 depth-stratified specific sites along the transects were pooled by
179 basin into 0 to 5 m, 6 to 10 m, 11 to 15 m, 16 to 20 m and 21 to 25 m depth bands.

180

181 Collation of information on use of demonstrated or putative spawning grounds and other
182 areas

183

184 Information on the historic and contemporary use by Arctic charr of the demonstrated or
185 putative spawning grounds described by Frost (1965) and other potential spawning grounds
186 was collated from published and unpublished studies, primarily by gill netting, undertaken
187 during the autumn and spring spawning periods. Published sources comprised Frost (1965),
188 Kipling and Le Cren (1975), Kipling (1984), Partington and Mills (1988), Winfield et al.
189 (2008), Corrigan (2009) and Corrigan et al. (2011a), with most of these studies involving
190 sampling in both the north and south basins. These observations were augmented by further
191 and generally more recent information from a total of 60 netting events undertaken by some
192 of the present authors between 1993 and 2012 (IJW, unpublished data).

193

194 Together, the above collated information covered all of the six north basin and six south basin
195 demonstrated or putative spawning grounds described by Frost (1965) together with an
196 additional two north basin and seven south basin locations containing potential spawning
197 grounds, making a total of 21 locations. Given differing gill net designs and sampling effort,
198 these data are interpreted here simply as the local presence or absence of spawning Arctic
199 charr.

200

201 **Results**

202

203 Distribution, characteristics and condition of demonstrated or putative spawning grounds

204

205 Areas of the demonstrated or putative spawning grounds ranged from 28,150 m² (autumn-
206 spawning ground of Blake Holme) to 186,800 m² (autumn-spawning ground of Balla Wray),
207 while depth range varied from the lake margin (autumn-spawning grounds of Low Wray Bay,
208 Red Nab and Stewardson Nab and spring-spawning ground of Holbeck Point) to 57.4 m
209 (autumn-spawning ground of Balla Wray) (Table 1).

210

211 Gravels, stones and/or cobbles were present at all the north basin demonstrated or putative
212 spawning grounds with the exception of the spring-spawning ground of Meregarth, which lies
213 at a depth of 17.4 to 40.8 m and at which only gyttja was observed (Table 2, Fig. 2). Even
214 when present, with one exception, such hard substrates were always restricted to less than 5.0
215 m depth and usually to less than 2.0 m depth. The sole exception was the spring-spawning
216 ground of Holbeck Point, where stones and gravels were also recorded between 20.0 m and
217 27.0 m depth. No observations of substrate characteristics were made at either of the further
218 two locations in the north basin investigated as potential spawning grounds.

219

220 In the south basin, gyttja was predominant at all sites and gravels and cobbles were either
221 absent or restricted to a maximum of 2.4 m depth (Table 3, Fig 3). The exception to this was
222 the autumn-spawning ground of Stewardson Nab, where scattered cobbles and boulders were
223 found up to 15.0 m depth. Observations of substrate characteristics were made only at North
224 Tower Wood amongst the further seven locations in the south basin investigated as potential
225 spawning grounds, where gravels and cobbles were found at up to 1.2 m depth.

226

227 TABLE 1 HERE (approximately)

228 TABLE 2 HERE (approximately)

229 TABLE 3 HERE (approximately)

230 FIG 2 HERE (approximately)

231 FIG 3 HERE (approximately)

232

233 In terms of specific spawning requirements, appropriate contemporary spawning habitat was
234 relatively limited within the demonstrated or putative spawning grounds of both basins but
235 particularly so in the south basin (Fig. 4). In the north basin, optimal and sub-optimal
236 spawning habitats together comprised 42% of observations from the 0 to 5 m depth band and
237 were recorded only at the autumn-spawning grounds of Low Wray Bay and North Thompson
238 Holme. Sub-optimal spawning habitat in this basin also occupied 86% of the 21 to 25 m
239 depth band, although such observations were restricted to the spring-spawning ground of
240 Holbeck Point. In the south basin, optimal and sub-optimal spawning habitats were restricted
241 to the 0 to 5 m depth band where they comprised 12% of observations and were restricted to
242 the autumn- spawning grounds of Baswicks, Blake Holme, Stewardson Nab and Tower
243 Wood. Note that transects in the south basin did not encounter any depths beyond 20 m.

244

245 FIG 4 HERE (approximately)

246

247 Historic and contemporary use of demonstrated or putative spawning grounds and other areas

248

249 In the north basin, of the six demonstrated or putative spawning grounds described by Frost
250 (1965), autumn spawning has only been observed at three sites (Low Wray Bay, North
251 Thompson Holme and Red Nab) since the 1980s, and spring spawning at one site (Holbeck
252 Point) as recently as 2006. In addition, two sites have been newly described (High Wray Bay
253 and South Rough Holme) since 2004.

254

255 In the south basin, of the six demonstrated or putative spawning grounds described by Frost
256 (1965), autumn spawning has only been observed at two sites (Baswicks and Tower Wood)
257 in 2006, and spring spawning at one site (South Rawlinson Nab) in 2006. In addition, seven
258 autumn spawning sites have been newly described (Beech Hill Sheds, East Grass Holme,
259 North Grass Holme, North Tower Wood, North-west Grass Holme, South Grass Holme and
260 West Grass Holme) since 1993, while spring-spawners have been found at one site (East
261 Grass Holme).

262

263 **Discussion**

264

265 The present study assessed the current condition of 12 Arctic charr spawning grounds in
266 Windermere first described by Frost (1965) after a period of approximately 50 years of
267 eutrophication. In particular, the extent of Arctic charr investigations at this lake and the
268 differential impact of eutrophication in its north and south basins together provide a unique
269 opportunity to address the call of Low et al. (2011) for long-term comparisons of this species'
270 spawning habitats under contrasting conditions of nutrient loading. Furthermore, population
271 monitoring has shown that Arctic charr abundance has fallen markedly in the lake's two

272 basins in recent years and particularly so in its more eutrophicated south basin (Winfield et al.
273 2010). In this basin, since the early 2000s the catch-per-unit-effort of recreational anglers has
274 been consistently and considerably below that recorded at the time of Frost (1965) but in the
275 north basin it has remained at a similar level. Previous studies of this decline have considered
276 the potential effects of reduced oxygen availability (Jones et al. 2008), a recent increase in the
277 potential competitor roach (*Rutilus rutilus*) (Winfield et al. 2008, Corrigan et al. 2011b),
278 climate change (Winfield et al. 2010) and changing predation pressure from pike (Winfield et
279 al. 2012). However, the present investigation is the first study to consider changes in the
280 condition of Arctic charr spawning grounds in this lake.

281

282 On the basis of observations up to the mid 1960s, Frost (1965) summarised that Arctic charr
283 in Windermere spawned in the autumn on shallow spawning grounds (1 to 3 m depth) and in
284 the spring on deeper spawning grounds (15 to 20 m depth). In shallow water sites, the
285 spawning substrate was described as hard with a range of particle sizes from sand through to
286 large stones or small boulders up to 0.25 m in diameter. In deep-water sites, spawning areas
287 were described as having a stony bottom. The hydroacoustic survey of the present study has
288 revealed a much more complex picture: areas originally identified as demonstrated or
289 putative autumn-spawning grounds ranged between the lake margin and 57.4 m depth, with
290 all such sites extending to 19.0 m or beyond, while demonstrated or putative spring-spawning
291 grounds extended to depths of 49.7 m. It is possible that the areas identified as demonstrated
292 or putative spawning grounds (or specifically ‘spawning places’) by Frost (1965) are in fact
293 spawning aggregation areas, with the act of spawning being confined to a more specific depth
294 range within them, but it is also possible and perhaps more likely that the depth descriptions
295 presented by Frost (1965) were limited in accuracy and extent by the technology available at
296 that time. In addition, it is also possible that some or even all of the putative sites identified

297 by Frost (1965) were not actually spawning grounds, although this seems unlikely given the
298 degree of her awareness of local knowledge derived from earlier decades of extensive
299 commercial fishing for Arctic charr on Windermere.

300

301 Physical and visual surveys between 2011 and 2013 revealed that hard substrates on the
302 autumn-spawning grounds were largely limited to depths of less than 5 m and in many cases
303 to less than 2 m. This finding is in agreement with the earlier description for Windermere
304 provided by Frost (1965) and with those reported from elsewhere for Arctic charr (Klemetsen
305 et al. 2003). Appropriate hard substrate, although not always in an appropriate condition in
306 terms of the required limited presence of fine sediments, was also found in deeper areas of
307 one spring-spawning ground (Holbeck Point) in the north basin and one autumn-spawning
308 ground (Stewardson Nab) in the south basin.

309 In terms of the requirement of spawning Arctic charr for clean hard substrate largely devoid
310 of fine sediments, the present observations give considerable cause for concern for parts of
311 Windermere. The results suggest suitable spawning habitat within the demonstrated or
312 putative spawning areas is limited. In the north basin, sub-optimal and optimal conditions
313 were together widespread in areas of up to 5 m depth. Sub-optimal conditions were also
314 evident at depths of 21 to 25 m in this basin's deep-water spawning ground of Holbeck Point.
315 However, in the south basin both sub-optimal and optimal conditions comprised only a small
316 proportion of observations and were never recorded at depths beyond 5 m. Certainly, in the
317 early 2010s no demonstrated or putative Arctic charr spawning sites in the south basin could
318 be described as having at most 'a little silt' as was the case 50 years ago (Frost 1965).

319

320 The present observations and local knowledge from over 50 years ago presented by Frost
321 (1965) suggest that siltation by fine sediments has occurred in the south basin. This may
322 potentially have been brought about by four types of human impacts, i.e. changes in water
323 level, mineral extraction, increased fluvial deposition and eutrophication. The level of
324 Windermere is influenced by the operation of a sluice on its outflow for flood alleviation
325 purposes and by the periodic limited abstraction of potable water (Pickering 2001). However,
326 the environmental impact of each operation is strictly controlled and is unlikely to have a
327 major impact on shallow water spawning grounds. Furthermore, it is also highly unlikely to
328 have had a differential impact on the two basins. Substantial sand and gravel deposits were
329 commercially exploited up to the early 1970s (Pickering 2001) and extensive traces of such
330 extraction activities remain clearly visible on the bed of the lake (Miller et al. 2013),
331 including on or near demonstrated or putative spawning grounds. While such past mineral
332 extraction may have had some localised impacts on Arctic charr spawning grounds, they are
333 unlikely to be widespread and are also unlikely to have resulted in the increase in fine
334 sediments observed in the south basin. Increased fluvial deposition, for example arising from
335 riverine erosion or landslides in the catchment, may greatly increase the amount of fine
336 sediments on spawning grounds in lakes. Although a recent extensive fluvial audit of the
337 Windermere catchment found relatively little fine sediment currently originating from such
338 sources (Barlow et al. 2009a), it was concluded that local sediment sourcing, transfer and
339 storage processes have been altered by the historical modification of river reaches in the
340 middle and lower catchment and that future management of rivers and riparian land should
341 aim to allow and embrace natural processes (Barlow et al. 2009b).

342

343 In contrast to the limited anthropogenic impacts arising from the above factors, the effects of
344 eutrophication are more likely to have been responsible for the general deterioration inferred

345 in the condition of Arctic charr spawning grounds in Windermere. As noted by Maitland
346 (1995), Igoe et al. (2003), Maitland et al. (2007), Low et al. (2011) and many others,
347 increased algal production generated by eutrophication frequently manifests itself as
348 increased fine sediments of algal origin deposited on stones, gravels and other substrates. For
349 Windermere, the local pattern of eutrophication matches the observed deterioration in the
350 condition of spawning grounds both temporally and spatially. In terms of timing, the initial
351 description of demonstrated or putative spawning grounds by Frost (1965) was made in the
352 early 1960s before the lake underwent marked eutrophication and while its limited effects
353 were still similar in the two basins. For example, at this time the mean concentrations of
354 soluble reactive phosphorus during the first 4 weeks of the year varied between 2 and 5 mg
355 m⁻³ in both basins (Winfield et al. 2008). In contrast, the present observations were made
356 after a 30 years period of significant eutrophication such that by the early 2000s levels in the
357 north basin had increased to 4 to 10 mg m⁻³ but in the south basin they had reached 10 to 20
358 mg m⁻³ and had been higher (Winfield et al. 2008). In terms of spatial differences between the
359 two basins, we infer that a deterioration in the condition of demonstrated or putative
360 spawning grounds is more marked in the more eutrophicated south basin (Winfield et al.
361 2008), which now exhibits a bottom covering of fine sediments even in large parts of its
362 shallowest areas. Although the problem of eutrophication has been addressed by phosphate
363 stripping of wastewater discharges since 1992, this action has only been partially successful
364 and neither basin of Windermere has yet been returned to the conditions of the 1960s or
365 earlier (Winfield et al. 2008).

366

367 The present study also assembled published and unpublished information from a
368 considerable body of netting activities for spawning Arctic charr in Windermere undertaken
369 since the time of Frost (1965). In recent years spawning Arctic charr have been recorded in

370 the north basin at four of the original six demonstrated or putative spawning grounds
371 described by Frost (1965) plus two newly described areas. In the south basin, where more
372 extensive sampling has been undertaken in attempts to find spawning fish, they have been
373 reported from only three of the original six demonstrated or putative spawning grounds. In
374 addition, spawning fish have never been recorded at three south basin sites, and it is possible
375 that these sites were rarely used as spawning grounds. Spawning fish have also been reported
376 at seven newly described areas in the south basin, although five of these are closely clustered
377 around the island of Grass Holme and this area could arguably be better classified as a single
378 site.

379

380 Although spawning is not direct evidence of successful subsequent egg incubation and
381 Arctic charr are known to move between the north and south basins of Windermere (Elliott et
382 al. 1996), their high fidelity to their natal spawning grounds (Frost 1963) means that
383 spawning is indicative of at least some recent successful hatching at the locations where fish
384 currently return to spawn. Nevertheless, an overall population decline of Arctic charr in
385 Windermere is evident and concerning (Winfield et al. 2010).

386

387 Finally, the production by Miller et al. (2013) of a detailed map of the geomorphological
388 features and sedimentary processes shaping Windermere and its catchment allow the current
389 observations of Arctic charr demonstrated or putative spawning grounds to be considered in
390 the context of the lake's glacial history. The retreat of the British and Irish Ice Sheet
391 approximately 15,000 years ago resulted in nine sub-basins separated by steps, ridges and
392 isolated topographic highs. These features have been interpreted as the surface expression of
393 recessional moraines and ridge complexes formed during ice retreat (Pinson et al. 2013).

394 Gravels and other coarse substrates have been transported to the lake through fluvial action,
395 resulting in their distribution in the lake's shallow inshore waters, and thus only a small
396 fraction of the great physical diversity of the bottom of Windermere meets the spawning
397 requirements of Arctic charr. In their mapping of this species' spawning grounds in three
398 Irish lakes, Low et al. (2011) noted that such habitats comprised only 0.4 to 0.7% of the
399 available littoral habitat. The majority of them were located adjacent to steeply shelving areas
400 that Low et al. (2011) considered might have been selected because of their proximity to
401 deep-water, non-spawning habitat that may minimise migratory energy costs or predation
402 risk. In addition, Low et al. (2011) considered that the long and narrow shapes of these
403 spawning grounds resulted from historical hydraulic sorting by wave action producing a
404 localised hard substrate free of fine particles. Similar processes are likely to have occurred in
405 Windermere where many of the spawning grounds are also adjacent to or even enclose
406 steeply shelving areas (see again Fig. 1), particularly on the western shore which was strongly
407 shaped by the retreat of the British and Irish Ice Sheet (Miller et al. 2013). Further
408 consideration of such issues at Windermere would require a higher resolution mapping of the
409 spawning grounds, for example through surveys for deposited eggs as performed by Low et
410 al. (2011) combined with hydroacoustic bathymetric surveys focussed on inshore areas of
411 less than 5 m depth.

412

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414

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424

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555

556 **Tables**

557

558 Table 1. Spatial characteristics of 12 demonstrated or putative Arctic charr spawning grounds
 559 described by Frost (1965) in the north and south basins of Windermere. Area and depth range
 560 (corrected to Ordnance Datum Newlyn) are derived here from multi-beam bathymetry data.
 561 Minimum depths not available due to limitations of the hydroacoustic coverage are indicated
 562 by NA.

563

Basin	Location	Putative spawning time	Area (m ²)	Depth range (m)
North	Balla Wray	Autumn	186,800	6.8 - 57.4
North	Holbeck Point	Spring	40,900	Lake margin – 49.7
North	Low Wray Bay	Autumn	56,360	Lake margin – 32.4
North	Meregarth	Spring	38,700	17.4 – 40.8
North	North Thompson Holme	Autumn	32,300	NA – 19.0
North	Red Nab	Autumn	57,820	Lake margin – 46.0
South	Baswicks	Autumn	88,730	NA – 36.0
South	Bellman Landing	Spring	31,780	NA – 25.2
South	Blake Holme	Autumn	28,150	NA – 33.4
South	South Rawlinson Nab	Spring	30,370	24.0 – 33.5
South	Stewardson Nab	Autumn	34,720	Lake margin – 26.6
South	Tower Wood	Autumn	42,160	NA – 39.0

564

565 Table 2. Substrate characteristics in the north basin of Windermere (from physical and visual
566 surveys) and evidence of historic and contemporary use (from published sources and
567 additional netting information) of six demonstrated or putative Arctic charr spawning
568 grounds described by Frost (1965) and (where available) a further two locations containing
569 potential spawning grounds (in italics).

570

Location	Substrate characteristics	Evidence of use from published sources	Evidence of use from additional netting
Balla Wray	No stones, but cobbles at 1.0 m depth or less to the west.	Described as a probable autumn-spawning ground on basis of local knowledge (Frost 1965); Autumn-spawners caught at 4.0 m depth between 2004 and 2006 at High Wray Bay to the west of this location (Corrigan 2009).	Autumn-spawners caught at 4.0 m depth in 2004 at High Wray Bay to the west of this location.
Low Wray Bay	Gravels and cobbles limited to less than 2.0 m depth, with gravel beach extending offshore at the north. Substrate of gyttja at 8.0 m depth.	Described as an autumn-spawning ground on basis of netting (Frost 1965); Autumn-spawners caught in 1950 (Kipling and Le Cren 1975); Autumn-spawners caught inshore annually from 1939 to 1973 (Winfield et al., 2008); Autumn-spawners caught at 4.0 m depth between 2004 and 2006 (Corrigan et al. 2011a).	No information available.
Holbeck Point	Stones to 5.0 m depth in north, with some gravels and stones also at between 20.0 m and 27.0 m depth.	Described as a spring-spawning ground on basis of netting and diver observations, including of gravel tongue at from 9.8 to 28.0 m depth (Frost 1965); Spring-spawners caught between 1951 and 1957 (Kipling and Le Cren 1975); Spring-spawners caught in 1980s (Partington and Mills 1988); Spring-spawners caught between 2004 and	Spring-spawners caught at up to 10.0 m depth in 1993, 1994, 2004 and 2005.

		2006 (Corrigan 2009); Spring-spawners caught at 10.0 m depth between 2004 and 2006 (Corrigan et al. 2011a).	
Meregarth	No gravels or cobbles, only gyttja.	Described as a probable spring-spawning ground on basis of local knowledge (Frost 1965).	No spring-spawners caught in four netting attempts in 2004.
<i>North Holbeck Point</i>	No information available.	No information available.	No spring-spawners caught in one netting attempt at 40.0 m depth in 2004.
North Thompson Holme	Stones at 1.5 to 2.5 m depth in south-east extending south towards Belle Isle. Gyttja in deeper water in north.	Described as a probable autumn-spawning ground on basis of local knowledge (Frost 1965); Autumn- spawners caught at 2.0 m depth annually from 1975 to 2004 (Winfield et al., 2008); Autumn-spawners caught between 2004 and 2006 (Corrigan 2009); Autumn- spawners caught between 2004 and 2006 (Corrigan et al. 2011a).	Autumn-spawners caught at 2.0 m depth annually from 2005 to 2012.
Red Nab	Gravels at 1.7 m depth in west.	Described as an autumn- spawning ground on basis of netting (Frost 1965); Autumn-spawners caught between 1950 and 1953 (Kipling and Le Cren 1975); Autumn-spawners caught in 1980s (Partington and Mills 1988).	No information available.
<i>South Rough Holme</i>	No information available.	Autumn-spawners and spring-spawners caught between 2004 and 2006 (Corrigan 2009); Autumn- spawners caught at 3.0 m depth and spring-spawners caught at 3.0 m depth between 2004 and 2006 (Corrigan et al. 2011a).	Autumn-spawners and spring-spawners caught at 4.0 m depth in 2004 and 2005 (autumn- spawners) and 2006 (spring-spawners).

571

572

573 Table 3. Substrate characteristics in the south basin of Windermere (from physical and visual
574 surveys) and evidence of historic and contemporary use (from published sources and
575 additional netting information) of six demonstrated or putative Arctic charr spawning
576 grounds described by Frost (1965) and (where available) a further seven locations containing
577 potential spawning grounds (in italics).

578

Location	Substrate characteristics	Evidence of use from published sources	Evidence of use from additional netting
Baswicks	Predominantly gyttja, with some cobbles in centre. Exclusively gyttja at and beyond 18.0 m depth.	Described as a probable autumn-spawning ground on basis of local knowledge (Frost 1965); Autumn-spawners caught at 4.0 m depth between 2004 and 2006 (Corrigan 2009).	No information available.
<i>Beech Hill Sheds</i>	No information available.	No information available.	Autumn-spawners caught in 1993.
Bellman Landing	No gravels or cobbles, only gyttja with some leaf litter.	Described as a probable spring-spawning ground on basis of local knowledge (Frost 1965).	No spring-spawners caught in four netting attempts in 2004.
Blake Holme	Very limited gravels and cobbles, predominantly gyttja.	Described as a probable autumn-spawning ground on basis of local knowledge (Frost 1965).	No information available.
<i>East Grass Holme</i>	No information available.	Autumn-spawners caught in unspecified area of Grass Holme at 4.0 m depth between 2004 and 2006 (Corrigan 2009).	Spring-spawners caught at 30.0 m depth in 1999.
<i>North Grass Holme</i>	No information available.	Autumn-spawners caught in unspecified area of Grass Holme at 4.0 m depth between 2004 and 2006 (Corrigan 2009).	No autumn-spawners caught in one netting attempt in 2004.
<i>North Tower Wood</i>	Gravels and cobbles at up to 1.2 m depth.	Autumn-spawners caught in 2005 (Corrigan et al. 2011a).	Autumn-spawners caught in 2005.
<i>North-west Grass Holme</i>	No information available.	Autumn-spawners caught in unspecified area of Grass Holme at 4.0 m depth	Autumn-spawners caught in 1993.

<i>South Grass Holme</i>	No information available.	between 2004 and 2006 (Corrigan 2009). Autumn-spawners caught in unspecified area of Grass Holme at 4.0 m depth between 2004 and 2006 (Corrigan 2009).	Autumn-spawners caught at 4.0 m depth in 2004 and 2005.
South Rawlinson Nab	Some gravels and cobbles at up to 2.4 m depth to north-west, but predominantly gyttja with occasional cobbles in deeper areas.	Described as a probable spring-spawning ground on basis of local knowledge (Frost 1965); Autumn-spawners caught in shallow water and spring-spawners caught in deep-water in 1980s (Partington and Mills 1988); Spring-spawners caught to north of area at Rawlinson Nab between 2004 and 2006 (Corrigan 2009); Spring-spawners caught at 20.0 m depth between 2004 and 2006 (Corrigan et al. 2011a).	Spring-spawners caught in 1993, 1994, 1999 and 2004.
Stewardson Nab	Gyttja with scattered cobbles and boulders to 15.0 m depth, beyond which exclusively gyttja.	Described as a probable autumn-spawning ground on basis of local knowledge (Frost 1965).	No autumn-spawners caught in one netting attempt in 2004.
Tower Wood	Very limited gravels and cobbles, predominantly gyttja.	Described as a probable autumn-spawning ground on basis of local knowledge (Frost 1965); Autumn-spawners caught at 6.0 m depth between 2004 and 2006 (Corrigan 2009).	No information available.
<i>West Grass Holme</i>	No information available.	Autumn-spawners caught in unspecified area of Grass Holme at 4.0 m depth between 2004 and 2006 (Corrigan 2009).	No spring-spawners caught in one netting attempt in 1999.

579

580

581

582 **Figure legends**

583

584 Fig. 1. Location of 12 named demonstrated or putative Arctic charr spawning grounds (cross-
585 shading, eight autumn sites; single-shading, four spring sites) described by Frost (1965) in the
586 north (left) and south (right) basins of Windermere, U.K. A further nine named locations
587 containing potential spawning grounds assessed here are also indicated by closed circles, but
588 note that five locations closely clustered around and named by their bearing relative to Grass
589 Holme are represented by only one closed circle. The locations (solid lines) of visual
590 transects of the lake bottom and 10 m depth contours are also shown.

591

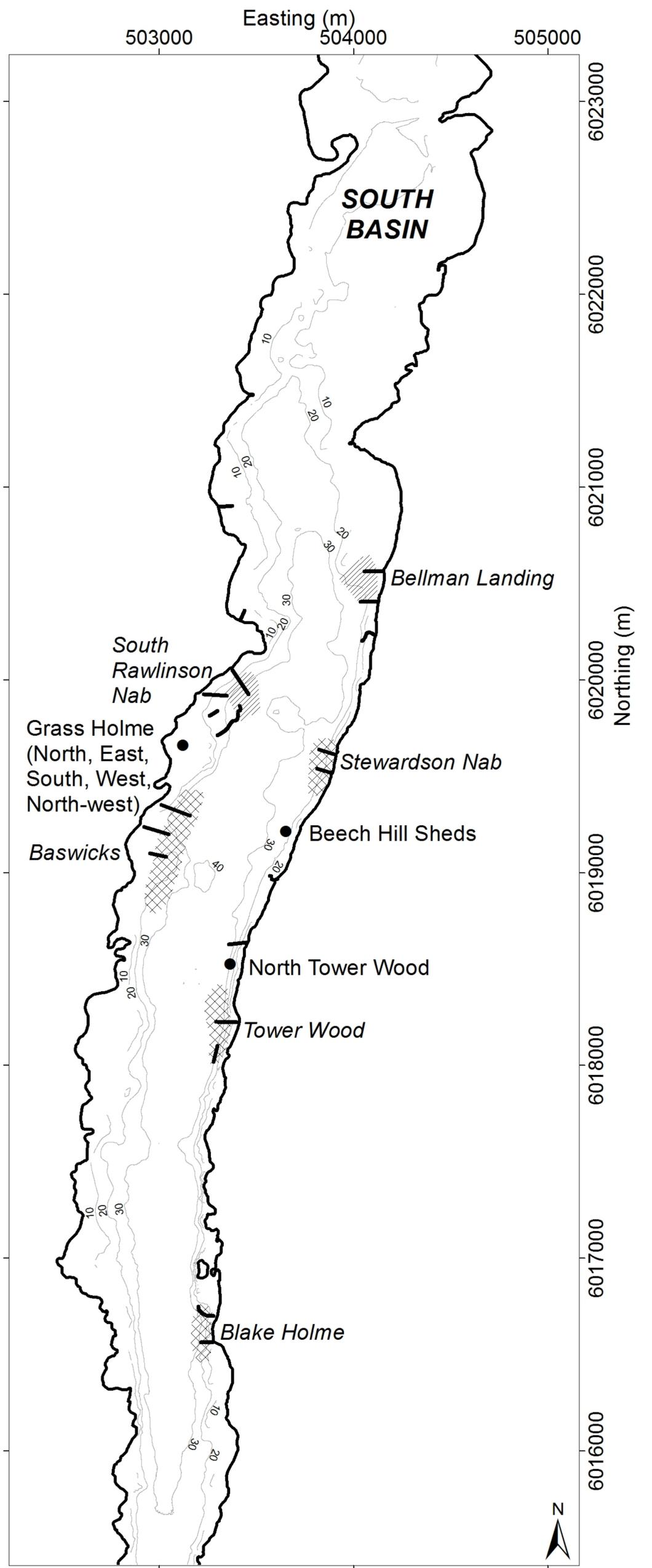
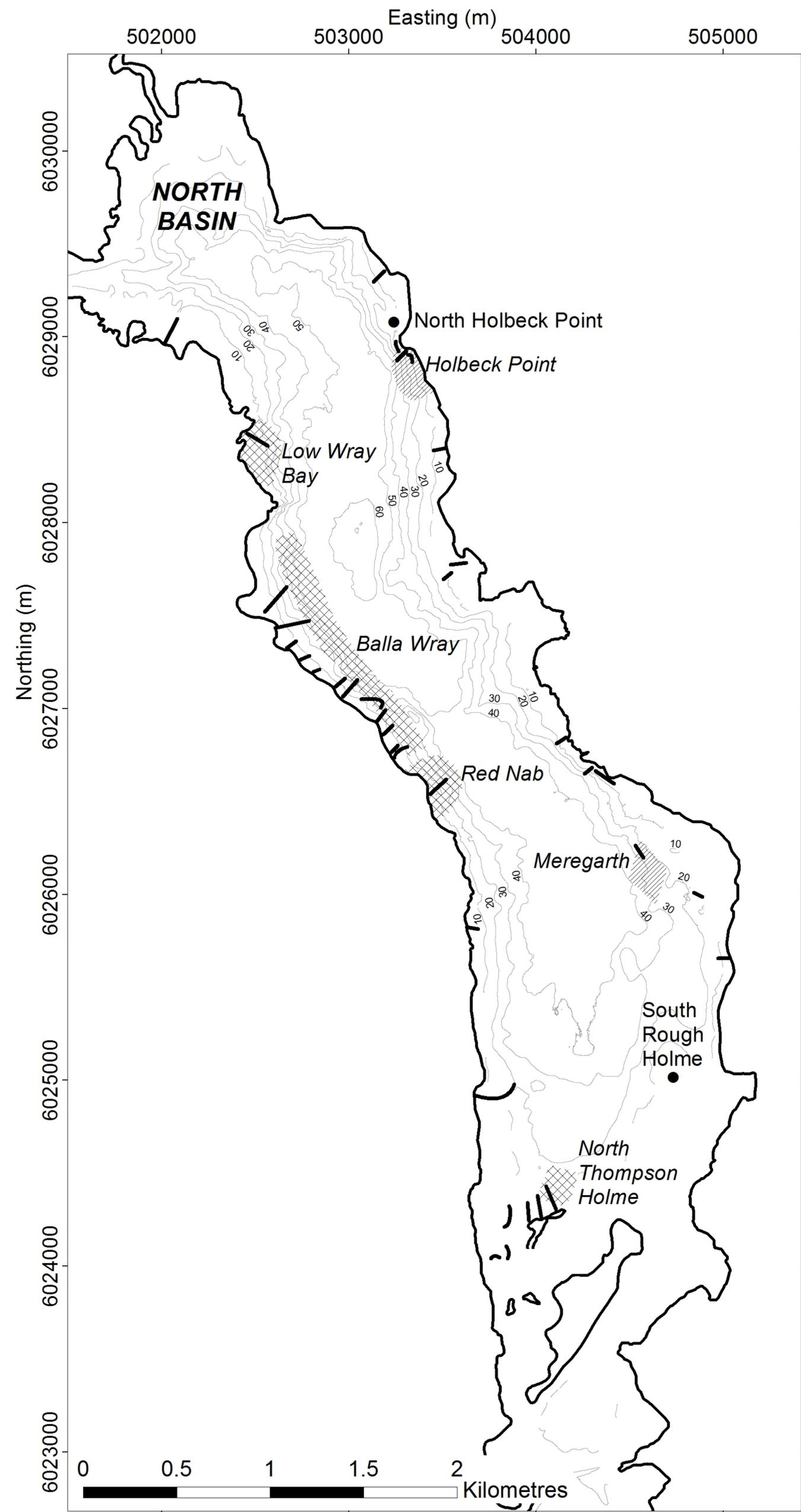
592 Fig. 2. Multi-beam bathymetry in the north basin of Windermere with inserts, each centred on
593 one of six demonstrated or putative Arctic charr autumn (cross-shading) and spring (single-
594 shading) spawning grounds described by Frost (1965), of bathymetry details and
595 representative still images of the lake bottom. Within the inserts, the precise locations of the
596 still images are indicated by an open circle. Adapted and developed by the addition of inserts
597 from Miller et al. (2013).

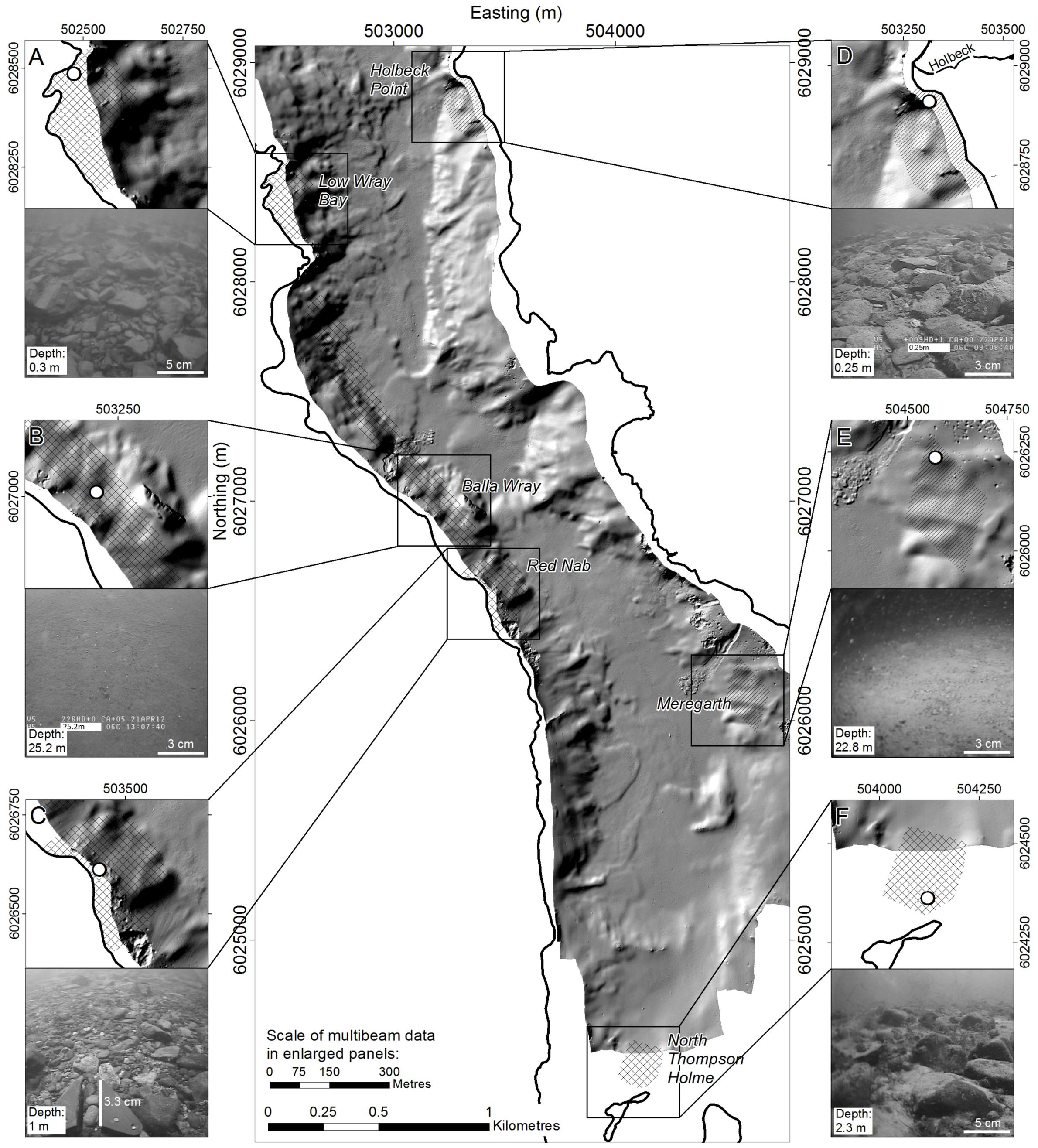
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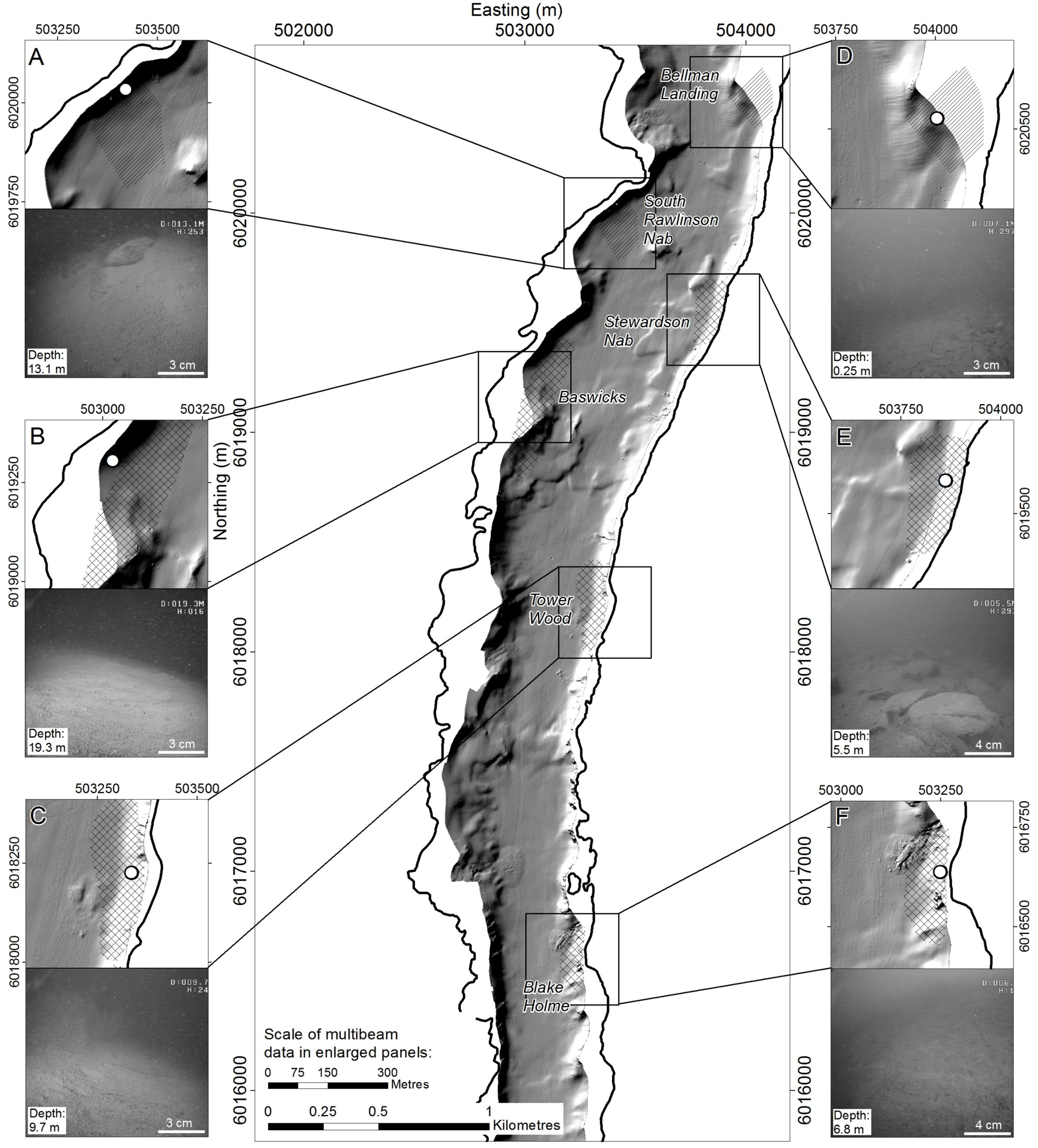
599 Fig. 3. Multi-beam bathymetry in the south basin of Windermere with inserts, each centred
600 on one of six demonstrated or putative Arctic charr autumn (cross-shading) and spring
601 (single-shading) spawning grounds described by Frost (1965), of bathymetry details and
602 representative still images of the lake bottom. Within the inserts, the precise locations of the
603 still images are indicated by an open circle. Adapted and developed by the addition of inserts
604 from Miller et al. (2013).

605

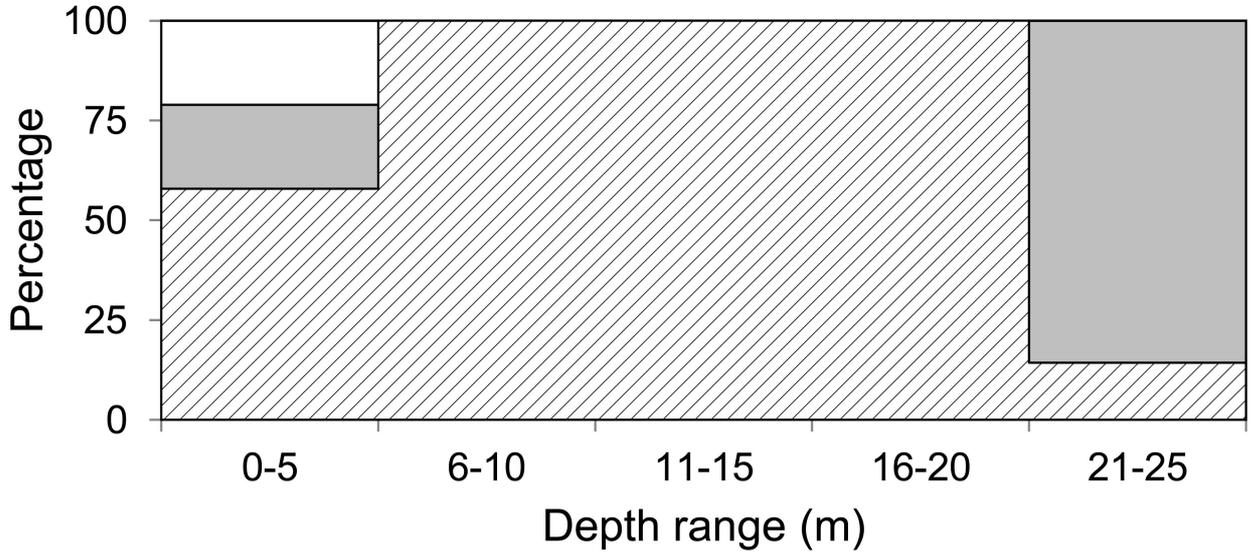
606 Fig. 4. The relative occurrence (expressed as percentage of all still image observations
607 assessed within a depth zone) of optimal, sub-optimal and poor spawning habitat by 5 m
608 depth zones in the north and south basins of Windermere. Demonstrated or putative spawning
609 grounds examined in the north basin comprised Holbeck Point, Low Wray Bay and North
610 Thompson Holme assessed by a total of 36 still images. Demonstrated or putative spawning
611 grounds examined in the south basin comprised Baswicks, Blake Holme, Bellman Landing,
612 Stewardson Nab, South Rawlinson Nab and Tower Wood assessed by a total of 56 still
613 images. Note that transects in the south basin did not encounter any depths beyond 20 m.







North Basin



South Basin

