

# 1 Design considerations and solutions in rapid-prototyping an 2 ultraviolet reactor for ice borehole disinfection

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## 7 8 9 **Abstract**

10 Antarctic subglacial lakes are of great interest to the science community. These  
11 systems are considered to be in pristine condition potentially harbouring an  
12 environment containing undisturbed sedimentary sequences and ecosystems  
13 adapted to cold oligotrophic environments in the absence of sunlight.

14 Gaining access to subglacial lakes presents major technological challenges. To  
15 comply with conventions covering the exploration of pristine Antarctic  
16 environments access should be conducted so the lake is not contaminated in any  
17 way. Consequently, all equipment to enter the lake must be sterile and the  
18 entrance should isolate the lake from the external environment.

19 Currently clean access to these environments is achieved using a hot water  
20 drilling system (HWD). Differences between the hydraulic pressure head of the  
21 lake and the glacial surface results in a section of the borehole being air filled. It  
22 is imperative that this section is disinfected prior to isolating the entrance and  
23 introducing any sampling equipment. This paper describes the design process  
24 involved in rapid prototyping an Ultraviolet disinfection reactor for achieving  
25 this goal. Considerations such as UV output, physical constraints, temperature  
26 management, and deployment procedures are assessed. We present a design  
27 that addresses these considerations.

## 28 29 **Introduction**

30 Antarctic subglacial lakes were discovered rather by accident during a flight to  
31 determine landmarks to aid flight navigation in Antarctica (Robinson 1960).  
32 Several airborne surveys followed and to date 387 subglacial lakes have been  
33 catalogued. These lakes were formed thousands of years ago at the same time as  
34 the Antarctic Ice sheet (Priscu, Achberger et al. 2013). Since their discovery  
35 there has been interest to access these lakes and explore them with two primary  
36 goals: to find and understand life that may inhabit these pristine environments  
37 and to recover stratigraphic records that may be held in the sediments. Lake  
38 Ellsworth is a subglacial lake in West Antarctica located at 78° 58' 34" S, 090° 31'  
39 04" W within the uppermost catchment of the Pine Island Glacier. It is formed at  
40 the bottom of a deep trough approximately 3000-3250 below the ice  
41 surface (Siegert, Hindmarsh et al. 2004, Vaughan, Rivera et al. 2007). The Lake  
42 Ellsworth Project is a consortium of mainly British researchers and is an  
43 initiative undertaken by the United Kingdom's Natural Environment Research

44 Council (NERC) to sample water and sediment in Lake Ellsworth (Siegert, Clarke  
45 et al. 2012).

46 There is still some uncertainty whether subglacial lakes exist in an open or  
47 closed system(Brito, Griffiths et al. 2013). Given the pristine state of these lakes  
48 both the Scientific Committee for Antarctic Research (SCAR) (Alekhina, Doran et  
49 al. 2011) and the US National Research Council (NRC) Committee on Principles of  
50 Environmental Stewardship for the Exploration and Study of Subglacial  
51 Environments (2007) recommend that access to subglacial lake should not  
52 contaminate the existing ecosystem, see also (Siegert, Clarke et al. 2012).

53 At present, clean access to subglacial lakes has been achieved by using a hot  
54 water drilling system (HWD)(Makinson 1994). This drilling technique uses re-  
55 circulated melt water to form a borehole by pumping it through a nozzle as this  
56 is progressively lowered through the ice. The technique involves the formation  
57 of a reservoir chamber close to the glacier surface but below the predicted  
58 hydrostatic pressure head of the lake. Two shafts connect with the chamber  
59 from the surface, one extending to the lake for sampling; the other terminating in  
60 the chamber for drawing up melt-water to supply the drilling nozzle. The  
61 hydrological water level of sub-glacial lakes can vary but it is expected that the  
62 upper section of the lake access shaft will be air-filled and in the case of Lake  
63 Ellsworth this is estimated to be approximately 300m (Vaughan, Rivera et al.  
64 2007, Siegert, Clarke et al. 2012). This shaft is susceptible to contamination from  
65 air-borne bacteria that can drop in from machinery, personnel, and the  
66 environment during the drilling process prior to being sealed off from the  
67 environment with an airlock. Before introducing pre-sterilised equipment  
68 through this portal it is imperative to de-contaminate the air-filled spaces in the  
69 borehole.

70 This paper presents work undertaken to design a UV reactor that can be lowered  
71 down the shaft to decontaminate the air filled section prior to the introduction of  
72 any sampling equipment.

73

#### 74 ***General Concept***

75 The design of the reactor was based around cylindrical UV reactors used to  
76 disinfect wastewater (Qualls and Johnson 1983, Bekdash, Kurth et al. 1996,  
77 Gardner and Shama 1999, Bolton 2000) since the constraining aperture for  
78 introducing equipment into the borehole was a circular hole in the base of a  
79 sterile airlock. This aperture was 20.0cm in diameter. The reactor unit was to be  
80 lowered down the borehole on a conducting tether terminated by a connector  
81 common to all powered equipment deployed down the shaft.

82 The design paradigm was to create a high output UV source using a quartz glass  
83 cylinder that had been reserved for the consortium with an appropriate length  
84 and diameter to comply with the constraints of the airlock (Nicholas Rundle,  
85 comm). The output needed to be high enough to deliver a sufficient UV dose  
86 within the time allocated to it among the total period available to achieving all of  
87 the sampling objectives before the borehole refroze. The apparatus also  
88 required a means of determining when the unit contacted the water surface at  
89 the bottom of the air-fill section of the shaft and needed protection from water  
90 ingress should it be immersed at this point.

91

92 ***Design considerations***

93

94 **Quartz glass supply**

95 The quartz glass cylinder, the sleeve, was an “end of line” off-the-shelf product  
96 95.3cm long and of varying outer diameter (OD) between 16.82 – 16.88cm. The  
97 cylinder had a nominal 5 mm wall thickness along the majority of its length.

98 Because drawing quartz glass of this size is a less than precise art dimensions  
99 can vary considerably with this product therefore fitting tolerances between the  
100 flanges and glass, to accommodate O-ring seals, were kept relaxed so that if at  
101 some point in the future a replacement was required there was a good chance it  
102 would fit.

103 The timeframe set for delivery made this a high-risk to the project since no spare  
104 was available within the deadlines for shipping to the Antarctic consequently the  
105 quartz sleeve was treated with extraordinary care to protect it from physical  
106 damage.

107 Fortunately quartz is, thermally, more robust and has an extremely low thermal  
108 coefficient of expansion  $5.5 \times 10^{-7} / ^\circ\text{C}$  (20–320 °C) resulting in an ability to  
109 undergo large, rapid temperature changes without cracking (De Jong, Beerkens et  
110 al. 2000). This gave us assurance that even though it may be relatively hot,  
111 through absorption of heat produced by the UV lamps, it would not shatter and  
112 should remain intact when it reached the water level, even if entering near  
113 freezing water.

114

**Power**

115 Based on high UV output, price, physical dimensions and immediate availability  
116 12 low pressure mercury tubes and their associated dual electronic ballasts  
117 could be accommodated within the quartz sleeve. This determined the power  
118 requirement for the reactor. Power was supplied to the unit by a Glassman  
119 LP6000 power supply through 4000m of multicore tooling cable (Cortland  
120 Fibron BX) in the form of a DC voltage at a nominal 8.0 amps. Because of the  
121 voltage line loss over that distance, DC power was fed at 240V to achieve a  
122 starting voltage of 180Vdc at the reactor to power the electronic ballasts and the  
123 lamps. The advantage of using electronic ballasts is that they can operate on a DC  
124 voltage obviating the need to convert the supply to AC. This simplified the circuit  
125 design considerably.

126 When a fluorescent lamp ‘strikes’ the ionising mercury vapour forms a low  
127 impedance pathway to the high voltages supplied from the ballasts (Gluskin  
128 1999). This effect momentarily draws high current that settles to a lower usage,  
129 regulated by the ballast, once the lamp has struck. Had all 6 ballasts struck their  
130 lamps simultaneously the current required will exceed what the power supply  
131 was capable of supplying. However the simple power distribution system was,  
132 in a sense, self-regulating because the time constants for components within the  
133 starting circuits of individual ballasts will be slightly different as a result of  
134 resistance and other manufacturing differences. Consequently the ballasts are  
135 not drawing the available current evenly at start up. Those not receiving  
136 sufficient will be unable to strike until other ballasts regulate their lamp current  
137 to a lower demand once struck and free resources. This produces, de facto, a  
138 sequential start up.

139

140 **Temperature management**

141 Ultra-violet output from the lamps is temperature dependent and peak output of  
142 the lamps occurs at a working temperature corresponding to the lamp wall  
143 temperature being around 35°C. Lamp output efficiency drops at temperatures  
144 over 48-50°C, and operating lamps outside the designed temperature range can  
145 reduce their lifespan as well as their output. This drop in efficiency also causes  
146 the ballasts to run hot. (Clancy 1993, Graovac, Dawson et al. 1998).

147 Under normal operating conditions fluorescent lamps rely on airflow over the  
148 surface to prevent heat building up. Consequently, because the lamps were in a  
149 sealed enclosure, one factor driving the design was to provide a means of losing  
150 waste heat to the environment. Additionally the ballasts have a thermal cut out  
151 at 70°C and required protection from temperatures in excess of this.

152 A large temperature gradient between the operating environment (circa -18°C)  
153 and the reactor should work in the designs favour.

154 To aid the dissipation of heat, ducts and a set of internal concentric tubes allow  
155 cooling air to flow through the inside of the reactor (see Fig 1). The inlet ducts  
156 on the lower flange were angled so there would be forcing of influent air as the  
157 reactor descended the shaft. The centremost tube conducted cables for power  
158 and signal while the surrounding tube provided the space through which air  
159 could flow. The ballasts were then positioned in the lower, coolest, part of the  
160 assembly. The central (cable) duct was sealed at either end by a gland (IP68) to  
161 prevent water ingress to the electrical spaces should the lamp be immersed in  
162 water at the bottom of the air-filled section of the borehole.

163  
164

165 Fig 1: 3-D CAD cut-away drawing showing major structural and electrical  
166 elements of the reactor assembly as well as indicating the cooling airflow  
167 through the unit during operation.

168  
169  
170

171 **Water contact switch**

172 Since the reactor was required to transit to the air water interface it was  
173 anticipated with would come in contact with water and so there was a  
174 requirement to make some provision for dealing with this eventuality. The  
175 standard method for doing this is to use a conductivity sensor, formed of two  
176 exposed electrodes energised with a voltage between them and connected to a  
177 meter or alarm of some sort in the operator area.

178 It was anticipated that the conductivity of the borehole water would be low.  
179 Previous studies indicate that the glacial melt water is likely to be between the  
180 conductivity of distilled water ( $10 \mu\text{S}/\text{cm}^2$ ) and tap water ( $100\mu\text{S}/\text{cm}^2$ ), or  
181  $0.1\text{M}\Omega$  to  $0.01\text{M}\Omega$  (Gurnell and Fenn 1985, De Mora, Whitehead et al. 1994, S.J.de  
182 Mora 1994, Vandal, Mason et al. 1998, Lyons, Leslie et al. 2012) Combined with a  
183 tether resistance of  $200\Omega$  (Edward Waugh, comm) this would give a total  
184 resistance of  $0.1002\text{M}\Omega$  between two contacts 1cm apart if the lower value is  
185 chosen. A supply voltage of 200Vdc would induce a current of 1.9mA to flow  
186 between the two terminals on meeting the water surface, an easily detectable  
187 current with most modern metering equipment. While these currents are low  
188 compared with shorting in more conductive environments it is the experience of

189 one of the authors on other tethered sampling systems over longer cable lengths  
190 and with similar DC input voltages, that currents in excess of 500mA can be  
191 drawn in the event of a seawater short.  
192 While not used in the final assembly due to a last minute breakage, provision for  
193 a water contact switch was made by installing appropriate electrical connections  
194 and a suitably tapped penetration of the lower flange to mount a 2-pin male  
195 connector (Teledyne Impulse XSG-PBCLM) on the base plate of the lamp unit to  
196 act as a simple water contact switch with the option to interface with more  
197 sophisticated detection systems in less conductive environments. The  
198 penetration was subsequently blanked off and the risk of water contact managed  
199 though other means (see deployment risk assessment section).

200

201

### 202 **Light output calculations**

203 It is established that exposure to Ultra-violet light inactivates bacteria(Andrew  
204 2005, Labas, Brandi et al. 2006). DNA strongly absorbs radiation at wavelengths  
205 in the vicinity of 200 to 250nm, changing the mode of operation of nucleic acids  
206 in the organism and disrupting their ability to propagate. They become  
207 biologically inactive (Andrew 2005, Gayán, Monfort et al. 2011). At shorter  
208 wavelengths secondary effects can also occur when ozone and hydroxyl groups  
209 can form that have an additional germicidal effect (Bekdash, Kurth et al. 1996)

210 UV radiation at these wavelengths can be produced by various methods, striking  
211 an arc through mercury vapour at low pressure(Bekdash, Kurth et al. 1996,  
212 Heering 2004), pulsed UV sources (Bohrerova, Shemer et al. 2008), cold plasma  
213 jets (Abramzon, Joaquin et al. 2006), and Deep UV Light Emitting Diodes (Yagi,  
214 Mori et al. 2007, Shur and Gaska 2010, Bowker, Sain et al. 2011)

215 In the present case low-pressure mercury vapour lamps (GE T8 G13 base 55W  
216 Germicidal lamps - Primark G55T8-GE), in association with electronic dual lamp  
217 ballasts (2x55W Tridonic Electronic ballasts - Primark PC2/55TC-LBE), were  
218 chosen for their size, availability and relatively high UV output of 18W at 254nm  
219 in comparison to other available lamps.

220 The reactor was formed from a set of individual lamps arranged in a circle  
221 around a central column. Consequently any point above the reactor surface will  
222 be exposed to the output of 6 to 7 lamps of the full compliment of 12, and will be  
223 shadowed from the full output of all but the adjacent lamp.

224 Radiant energy passing through a three-dimensional point, or volume element,  
225 from all directions is defined as the fluence rate for that point and is expressed in  
226 terms of Watts per square meter ( $Wm^{-2}$ )(Bolton 2000). UV Dose, or Fluence,  
227 through this volume element is the fluence rate multiplied by the irradiation  
228 time and is given in terms of Joules per square meter ( $Jm^{-2}$ )(Bolton 2000).

229 Qualls and Johnson, and Bolton give equations for calculating UV fluence and  
230 dose from cylindrical reactors with linear light sources based on the multiple  
231 point source summation method (MPSS)(Jacobm and Dranoff 1970, Qualls and  
232 Johnson 1983, Bolton 2000). Under MPSS a linear lamp is divided into smaller  
233 sub-units and the radiant power of the source divided evenly between them.  
234 Their individual contribution to the fluence rate through a volume element is  
235 calculated taking account of their distance from, and angle of incidence to, the  
236 volume element. These individual results are then summed to determine the  
237 total fluence rate at the volume element.

238 Both these papers assume a single linear lamp, however in the present case  
 239 multiple linear lamps are contributing variously to the UV fluence at the volume  
 240 element. Accordingly, for the purpose of calculation, it was assumed that the  
 241 lamp closest to the chosen volume element contributed 100% of its output, each  
 242 lamp of the pair bracketing this lamp contributed 75% of their output (so  
 243  $2(0.75)=1.5$  lamps for the pair), and the next pair contribute 45% each (so  
 244  $2(0.45)=0.9$  of a lamp for the pair). The third pair is taken to be completely  
 245 shadowed by intervening lamps from the measurement point and was not  
 246 accounted for in this simplified estimation but will contribute to the reflected  
 247 irradiative background.

248 Under these working assumptions the output from each lamp was calculated  
 249 separately under the MPSS method using 5cm long lamp sub-units, for a volume  
 250 element 9cm from the reactor surface and midway, longitudinally, along the  
 251 lamp. These individual lamp outputs were then combined to estimate the total  
 252 fluence rate through the volume element. This point was chosen because it was  
 253 the distance where the wall of the borehole would be expected to be during  
 254 deployment. The calculation was run twice, once using the Bolton and once  
 255 using the Qualls and Johnson equation as a means of sense-checking the  
 256 magnitude of the result.

257 Equation 1 was used first (simplified after Bolton 2000) to calculate the  
 258 contribution of each subsection of lamp to the fluence at the volume element.  
 259

$$260 \quad E'(x, H) = \frac{\Phi}{4\pi Lx} \left[ \arctan\left(\frac{L/2+H}{x}\right) + \arctan\left(\frac{L/2-H}{x}\right) \right] \quad \text{Equation 1}$$

261  
 262 Where  $E'$  is the fluence rate at a radial distance  $x$  and a longitudinal distance  $H$   
 263 above the centre of the lamp.  $L$  is the length of the lamp (95.3cm) and  $\Phi$  is the  
 264 source's radiant power in watts (18W @ 254nm).

265 So for each lamp the output is given as the sum of these point sources thus:  
 266

$$E_{lamp} = \sum_{H_n}^{H_0} E'(x, H)$$

267  
 268 To calculate total reactor output these individual lamp results were combined in  
 269 the following fashion.

$$270 \quad E_{total} = \left( E_{lamp} + 2(0.75 \times E_{lamp}) + 2(0.45 \times E_{lamp}) \right) \times 0.9$$

∴

$$271 \quad E_{total} = (5.18 + 6.17 + 3.35) \times 0.9$$

$$272 \quad E_{total} = 13.23 \text{ mW/cm}^2$$

273  
 274 This model neglects reflection and refraction by the quartz tube, and  
 275 transmission loss through the quartz is accounted for after the fluence rate  
 276 calculation as recommended in Bolton (Bolton 2000) by degrading by 10%.

277 Equation 2 (Qualls and Johnson 1983) was next used.

278

279

$$I_{(Z_L)(R,Z_C)} = \frac{(S)}{4\pi[R^2+Z_{LC}^2]} \exp\left(-a[R - R_1] \frac{P}{R}\right) \quad \text{Equation 2}$$

281

282 Equation 2 gives the intensity ( $I$ ) at a point ( $R, Z_C$ ) near the lamp (the volume  
 283 element) from a point source,  $Z_L$ , from the base of the linear lamp. The term “ $a$ ”  
 284 is the absorbance of the medium between the lamp surface and the volume  
 285 element, which was treated as zero since the transmission loss through dry air  
 286 over the short distances involved is zero. After the calculation, as in Equation 1,  
 287 account was taken of the 10% transmission loss through quartz by multiplying  
 288 the result by 0.9.

289 The total intensity at point  $I_{(R, Z_C)}$  is the sum of the contributions of each point  
 290 source (at each  $Z_L$ ) over the source length.

291

$$I_{(R, Z_C)} = \sum_{Z_{L_n}}^{Z_{L_q}} I_{(Z_L)(R,Z_C)}$$

292

293

294 And the total fluence through a volume element is calculated for the pairs of  
 295 lamps capable of directly radiating the area, so:

296

$$I_{total} = (I_{(R,Z_C)} + 2(0.75 \times I_{(R,Z_C)}) + 2(0.45 \times I_{(R,Z_C)}) \times 0.9$$

∴

$$I_{total} = (5.51 + 6.56 + 3.55) \times 0.9$$

297

$$I_{total} = 14.06 \text{ mW/cm}^2$$

298

299 Both the Bolton and the Qualls & Johnson equations agreed within a small  
 300 margin with each other at  $13.23\text{mWcm}^{-2}$  and  $14.06\text{mWcm}^{-2}$  respectively.

301

### 302 Dose

303 As the lamp is lowered down the shaft the UV dose delivered to the borehole  
 304 walls depends on the rate at which it is lowered. The period of exposure begins  
 305 with the lower part of the lamp passing the measurement point and ends as the  
 306 upper end passes it by. The time allocated to the borehole disinfection stage  
 307 was around 30 minutes and the borehole was calculated to be air filled for the  
 308 first 270-300m (Siegert, Clarke et al. 2012). In order to cover this distance in  
 309 the allocated time the lamp needs to descend 1 metre every 6 seconds,

310

311

$$\text{Dose (mJ/cm}^2) = \text{Fluence (mW/cm}^2) \times \text{Exposure time (s}^{-1}) \quad \text{Equation 3}$$

312

313

314 And Exposure time is given by the length of the incandescent part of the reactor  
 315 multiplied by the descent rate, thus:

316

$$76.44 \text{ mJ/cm}^2 = 13.23 \text{ mW/cm}^2 \times (0.963\text{m} \times 6) \text{ s}^{-1}$$

318

319 Gives the dose for a volume element on the borehole wall during the downward  
320 transit of the reactor through the air space at the target descent rate. This rate  
321 was chosen so an effective dose would be delivered only on the downward  
322 transit to guard against the small risk of the lamp being extinguished on contact  
323 with the water.

324 The dose required for disinfection will vary depending on the organism under  
325 consideration (Qualls and Johnson 1983, Bekdash, Kurth et al. 1996, Andrew  
326 2005, Guivan, Kamikozawa et al. 2010). Furthermore disinfection is not an  
327 absolute term but a statistical concept representing a particular level of  
328 microbial inactivation, most commonly interpreted as a reduction in their ability  
329 to form colonies.

330 "Complete destruction" as defined by the US Environmental Protection Agency  
331 (EPA) is a log 3 reduction (99.9% inactivation) and requires doses of between  
332  $3.4 \text{ mJ cm}^{-2}$  and  $26.4 \text{ mJ cm}^{-2}$  for most common bacteria (*Bacillus subtilis* is  
333 commonly used as the definitive test subject because it is one of the most  
334 persistent types). Mould spores are the hardest to inactivate, some requiring  
335 over  $300 \text{ mJ cm}^{-2}$  to achieve log 3 inactivation (Bekdash, Kurth et al. 1996).

336 A number of studies have sought to characterise the microbial communities in  
337 different environments on the Antarctic continent, and glacial ice in general  
338 (Karl, Bird et al. 1999, Priscu, Adams et al. 1999, Christner, Mosley-Thompson et  
339 al. 2000, Christner, Mosley-Thompson et al. 2001, Jungblut, Hawes et al. 2005,  
340 Yergeau, Newsham et al. 2007, Lanoil, Skidmore et al. 2009).

341 One wide survey of microbial communities across a range of habitat in Antarctica  
342 has shown the Ellsworth mountain area to be a separate biogeographic region  
343 characterised by extremely low nutrient input and exposure to high UV levels  
344 during the summer (Yergeau, Newsham et al. 2007). Bacterial counts and  
345 biomass were low and the community structure is narrow, skewed toward  
346 dominance by *Bacteroidetes* of the Order *Sphingobacteriales*, the vast majority of  
347 these being related to the genus *Chitinophaga* (Yergeau, Newsham et al. 2007).  
348 The order *Sphingobacteriales* are the dominant bacterial group in Antarctic  
349 microbial soil communities and are common globally (Roesch, Fulthorpe et al.  
350 2012)

351 This study (Yergeau, Newsham et al. 2007) surmised that these species may  
352 dominate because they possess a combination of environmental hardiness and a  
353 specialised metabolism more adapted to the low nutrient availability. Potentially  
354 these resistant characteristics include a higher than normal resistance to UV  
355 radiation given the high UV regime in this environment, a factor that has a  
356 tendency to skew community structure to resistant strains (Manrique, Calvo et  
357 al. 2012).

358 From these preliminary calculations the design would appear to exceed the  
359 criteria of log 3 reductions for most common bacteria types when coupled with a  
360 descent rate of 10m/min.

361

### 362 **Deployment risk assessments**

363 The lamp is the first component of the scientific payload to enter the borehole  
364 after it has been formed. Consequently it is a test case for the subsequent  
365 deployment of other equipment. It is uncertain where the actual level of the  
366 water will be in the shaft since that will depend on a number of difficult to  
367 calculate factors that will determine the actual head of the lake once the ice



368 barrier had been breached. The head pressure will be a combination of the  
369 density of overlying ice, influent/supply water pressure and pressure dissipating  
370 mechanisms within the hydraulic system. Working calculations by team  
371 engineers estimate the water level will stabilise between 270-300m below the  
372 surface of the ice(Siegert, Clarke et al. 2012).

373 The UV lamp assembly is assigned a nominal IP68 rating based on the  
374 specification of components used at its most vulnerable points. These are the  
375 gland seals around the central cooling shaft and it's concentric cable duct. Under  
376 normal circumstances these should be able to withstand a brief immersion to  
377 1(one) metre but they are not rated for greater depths, nor can they be relied on  
378 for any length of time. No seal is entirely reliable and they become less so as  
379 temperatures drop, O-ring seals harden and metals contract. Consequently it  
380 was considered prudent to avoid immersion of the lamp at the bottom of the  
381 shaft if at all possible.

382 As reported earlier in this paper the original design allowed for a water contact  
383 switch to alert personnel when the base of the lamp made contact with water. In  
384 the event of this becoming inoperable, reserve measures and contingencies  
385 needed to be available to avoid more than partial immersion of the unit.

386 The first feature in favour of mitigating the risk is that the lamp assembly  
387 displaces more than its own weight in water and would float provided the seals  
388 don't leak. However the unit is attached to heavy tooling cable, which will force  
389 the unit further down if it continues to be paid out resulting in an unplanned  
390 immersion.

391 Another available safeguard is the presence of a load cell on the out board sheave  
392 of the deployment winch which has sufficient sensitivity to detect the load  
393 coming off the cable as the UV lamp assembly became buoyant. The use of the  
394 load cell however relies on the winch operator being aware of the change in the  
395 numerical readout on the display at the time the unit enters the water and  
396 becomes buoyant. This is aided by a line out measurement taken from a counter  
397 that records the number of rotations of the outboard sheave allowing the winch  
398 operator to know exactly how much cable has been paid out so they can be extra  
399 vigilant when approaching the depth of the calculated pressure head.

400 Based on all sources of information procedures were derived and formal risk  
401 assessment carried out. Probability values were then assigned to the relative  
402 likelihood of certain scenarios playing out. These are represented in the fault  
403 tree presented in Fig 2.

404 A fault tree is a well known method for modelling and estimating the reliability  
405 of a system where the likelihood of system failure depends on the likelihood of  
406 several potential modes of failure(O'Connor 2005). In addition to providing a  
407 visual, easy to understand, interpretation of how a failure mode may propagate  
408 in the system, a fault tree also uses sound probability theory to compute the  
409 likelihood of the top failure event ever taking place.

410 In such diagrams, the logic probability functions are captured in 'and' or 'or'  
411 gates. An 'and' gate represents the scenario where all base failure modes need to  
412 occur in order for the top failure event to realise; an 'or' gate represents the  
413 scenario where only one failure event needs to occur in order for the top failure  
414 event to realize. Fig. 2 shows that the top-level failure event, failure to maintain  
415 the pressure head over the lowest seal at less than 1m below water level, can be  
416 caused by instrument failure or human error. Based on the assessments

417 provided for each failure mode we were able to estimate the likelihood of failure  
418 to keep the pressure head 1m below water level as 0.029. This equates to a  
419 chance of approximately 1 in every 34 deployments. Human error is the most  
420 likely failure mode at level 1. The most likely causes for human error are: 1.  
421 Operator forgets to zero the wire counter and 2. Operator fails to notice the drop  
422 on the load cell by being distracted, or because there is too much noise in the  
423 load cell output to distinguish a signal originating from the change in load from  
424 the background fluctuation in the display.  
425 The fault tree contains only 'or' gates. Failure to keep the pressure head less  
426 than 1m below water level can be caused by any of the eight base failure modes.  
427 As a result the reactor deployment sequence was defined by taking into account  
428 mitigation actions for these base failure modes at different phases of the  
429 deployment (Kevin Saw, comm).

430

431 Fig. 2: UV Lamp fault tree and final relative probabilities

### 432 ***Detailed description of the design***

433 The lamp's design can be summarise as being a single quartz glass tubing section  
434 with a chamber either end and two, internal, concentric aluminium tubes  
435 providing a water resistant air duct up the middle of the structure and ducting  
436 for electrical cables down the centre of the assembly (refer Fig. 3). Arranged  
437 radially around the air duct and within the wall of the quartz tube are 12 T8  
438 fluorescent tubes emitting 18 watts of Ultra-Violet radiation (254nm) each. The  
439 top flange accommodates a multi-way bulkhead connector supplying power and  
440 signal connections from the surface. These are internally routed via a four-  
441 conductor cable to the bottom chamber that houses six dual-lamp electronic  
442 ballasts. These are supplied with a DC voltage of at least 180Vdc. Incoming  
443 power is connected via multi-pole bulkhead connectors in the lower section of  
444 the bottom chamber to distribute power between the six ballasts that control  
445 two low-pressure mercury lamps each. Multiple solid core switchgear wires  
446 (conductor cross-sectional area 1mm<sup>2</sup>) returned power up the central conduit to  
447 complete the lamp circuit. Each conductor in this loom has a cross-sectional  
448 area of 1mm<sup>2</sup> and is capable of conducting 15 amps at 600V.

449 Electrical connections are isolated from chassis components by 30% glass filled  
450 PEEK insulating inserts to prevent any bare wires from contacting the metal  
451 casing of the assembly. The entire circuit was protected at the power supply by  
452 residual current detection devices and connection to a common ground.

453

454

455

456 Fig. 3: Final UV Lamp assembly

457

458 The wiring was modularised to allow disassembly of separate ends should any  
459 maintenance be required with in-line and bulkhead connectors at strategic  
460 points.

### 461 ***Discussion***

462 This UV reactor was designed and built so that the Lake Ellsworth Consortium  
463 had a means of borehole disinfection to meet the aims, and the spirit, of the SCAR

464 and the NRC principles of environmental stewardship for the exploration and  
465 study of subglacial environments. These principles call for contamination  
466 reduction technology at every step of the lake access process(Anonymous 1991,  
467 Siegert, Clarke et al. 2012). Contamination, whether chemical or biological, has  
468 the potential to alter these environments, confound interpretation of samples  
469 and limit the degree to which we might understand the nature and functioning of  
470 these environments (Siegert, Clarke et al. 2012, Anonymous 2013, Priscu,  
471 Achberger et al. 2013, Wadham 2013). The implementation of the consortium's  
472 decision to provide this technology was undertaken late in the preparation  
473 stages of a 2012 attempt to access and sample the lake. As a result this reactor is  
474 a form of rapid prototyping and no time was available following assembly to  
475 comprehensively test the apparatus other than to confirm it operated. The  
476 reactor was run for a short period (60min) in a refrigerated container (-20°C)  
477 after equilibrating to this temperature overnight. This test was conducted using  
478 the same power supply and a similar test cable proving that the unit would start  
479 up and run in temperatures equivalent to Antarctic ambient temperatures  
480 though no attempt to measure the fluence rate could be made due to a lack of  
481 calibrated test apparatus or time to exhaustively conduct a series of  
482 measurements.

483 While lake access was not successful on this occasion the scientific objectives  
484 remain relevant and it is expected that a subsequent attempt will be made in the  
485 future so there may be the opportunity to conduct more comprehensive tests at  
486 some later date under simulated environmental conditions.

487 Refreezing of an ice hole can take just a few tens of hours depending on the  
488 diameter and depth of the hole (Makinson 1994). This leaves limited time to  
489 prepare for clean access to the borehole once drilling has been completed; UV  
490 disinfection of the air filled headspace is a component of that process. Therefore  
491 it was an important feature of any disinfection system that an effective dose is  
492 delivered in the shortest amount of time possible. The calculations conducted in  
493 this paper indicate a transit time of 30 minutes, in addition to a recovery period,  
494 would be sufficient to deliver a dose far in excess of that required to achieve the  
495 requisite log 3 disinfection for most common bacteria. This was achieved at  
496 relatively low cost with commercially available UV sources. This availability  
497 fitted well with the short production timeframe.

498 Research conducted in preparation for this project into other technologies  
499 indicate pulsed UV sources to be extremely effective (Bohrerova, Shemer et al.  
500 2008) and medium pressure xenon and iodine vapour lamps, which concentrate  
501 output at around 253.7nm (the most potent wavelength for bacterial  
502 inactivation), are also proving more effective than LPM lamps without the  
503 hazards inherent with using mercury (Guivan, Kamikozawa et al. 2010). The  
504 Pulse UV methods are of particular interest. The dose from a Pulsed UV source is  
505 of high intensity on a very short duty cycle that can be repeated until the  
506 required dose is achieved. As a result these types of lamp are not prone to the  
507 same accumulation of heat as are the LPM tubes and through control of the pulse  
508 sequence there is the potential to excite vulnerable molecules and proteins at  
509 frequencies, and in sequences, that maximise the potential for disruption.  
510 However both these systems had much greater lead times, and would have  
511 required significant re-engineering of the light source and power supply to fit  
512 within the geometric constraint of the sterile air-lock delivery system. On

513 balance then, not only was the system presented in this paper far less expensive  
514 that other options, it required less re-engineering of primary components and  
515 could be delivered in a much shorter timeframe.

516 There are several unknowns currently on the performance of the lamp and a  
517 comprehensive test programme would be a logical next step in proving of the  
518 efficacy of this technology in this deployment scenario. As mentioned previously  
519 UV output is dependent in part on temperature and we did not have the  
520 opportunity to characterise the output under a representative range of  
521 temperatures. The balance between the endogenous heat output of the lamp and  
522 the heat dissipation measures would determine the effect this has on the UV dose  
523 capable of being delivered. Consequently we must, for the time being, rely on the  
524 generosity of safety factors built into our assumptions and calculations for surety  
525 that we can achieve effective disinfection doses.

526 It would also be useful to confirm the accuracy of our calculations in the context  
527 of work done recently by Li, Qiang and Bolton (Li, Qiang et al. 2012, Li, Qiang et  
528 al. 2013), that support the assumption made in this paper that adjacent  
529 fluorescent tubes in a circular reactor shadow volume elements from the full  
530 output of all lamps. Li et al modelled and experimented with a three-lamp  
531 scenario and it would be interesting to extend and compare their findings to the  
532 lamp described in this paper through a series of measurements under controlled  
533 conditions.

534 We believe there is the potential to repurpose this low cost technology to be a  
535 component of systems for clean access to other pristine environments,  
536 particularly though not exclusively to scenarios involving a frozen fluid. When  
537 an internal volume requires disinfection, and access to the volume is confined,  
538 this apparatus could easily be applied especially when an annular design  
539 provides the most effective way of accessing a space and delivering a UV dose.

540

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547

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