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6 **Simulation of carbon cycling, including dissolved organic carbon**
7 **transport, in forest soil locally enriched with ¹⁴C**

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32

33 **Abstract**

34 The DyDOC model was used to simulate the soil carbon cycle of a deciduous forest at the
35 Oak Ridge Reservation (Tennessee, USA). The model application relied on extensive data
36 from the Enriched Background Isotope Study (EBIS), which exploited a short-term local
37 atmospheric enrichment of radiocarbon to establish a large-scale manipulation experiment
38 with different inputs of ^{14}C from both above-ground and below-ground litter. The model was
39 first fitted to hydrological data, then observed pools and fluxes of carbon and ^{14}C data were
40 used to fit parameters describing metabolic transformations of soil organic matter (SOM)
41 components and the transport and sorption of dissolved organic matter (DOM). This
42 produced a detailed quantitative description of soil C cycling in the three horizons (O, A, B) of
43 the soil profile. According to the parameterised model, SOM turnover within the thin O-
44 horizon rapidly produces DOM ($46 \text{ gC m}^{-2} \text{ a}^{-1}$), which is predominantly hydrophobic. This
45 DOM is nearly all adsorbed in the A- and B-horizons, and while most is mineralised relatively
46 quickly, $11 \text{ gC m}^{-2} \text{ a}^{-1}$ undergoes a “maturing” reaction, producing mineral-associated stable
47 SOM pools with mean residence times of 100-200 years. Only a small flux ($\sim 1 \text{ gC m}^{-2} \text{ a}^{-1}$) of
48 hydrophilic DOM leaves the B-horizon. The SOM not associated with mineral matter is
49 assumed to be derived from root litter, and turns over quite quickly (mean residence time 20-
50 30 years). Although DyDOC was successfully fitted to C pools, annual fluxes and ^{14}C data, it
51 accounted less well for short-term variations in DOC concentrations.

52

53 **Keywords:** ^{14}C , Carbon, Cycling, Dissolved organic carbon, Dissolved organic matter,
54 DyDOC model, Enriched Background Isotope Study, Litter manipulation, Soil

55

56 Introduction

57 Transformations of soil organic matter (SOM) are important for the global carbon cycle, and
58 affect the functional properties of soil. Understanding and prediction of how they will respond
59 to environmental change, particularly warming, require quantitative knowledge about soil C
60 turnover, and this requires the construction of suitable models. The simplest treatment of
61 SOM as a single pool characterised by a mean residence time may be useful for comparative
62 purposes, but oversimplifies SOM heterogeneity (Trumbore 2000). Amundson (2001)
63 suggested that a minimum of three pools with different turnover rates should be considered,
64 characterised as “fast”, “slow” and “passive”, and the well-known models RothC (Jenkinson
65 1990) and CENTURY (Parton et al. 1987) operate at this level. More elaborate models take
66 different soil horizons into account (Parton et al. 1998; Jenkinson and Coleman 2008), and
67 include dissolved organic matter (DOM) transport and retention within the soil profile (Neff
68 and Asner 2001; Michalzik et al. 2003).

69 Parameterisation of the more complex models requires considerable analytical data. For
70 SOM these include concentrations and stocks, and specific pools derived from physical and
71 chemical fractionation studies (e.g. Rumpel et al. 2004; Buurman and Jongmans 2005;
72 Swanston et al. 2005; Mikutta et al. 2006). With regard to DOM, information on fluxes is
73 needed, and fractionation is also informative (e.g. Qualls and Haines 1991), together with
74 experimental studies in the laboratory (Guggenberger and Zech 1992; Qualls and Haines
75 1992; Kaiser and Zech 1997; Kalbitz et al. 2005) and the field (Fröberg et al. 2003, 2007a,b;
76 Hagedorn et al. 2004; Kalbitz et al. 2007). The determination of the radiocarbon contents of
77 SOM and DOM, combined with information on the changing atmospheric ^{14}C signal,
78 represents a vital non-invasive tool for the characterisation of turnover rates (Trumbore
79 2009).

80 Isotopic tracer applications are usually restricted to timescales of decades (bomb carbon) or
81 centuries-to-millennia (radioactive decay), but application to shorter timescales has recently
82 been made possible in the Enriched Background Isotope Study (EBIS; Trumbore et al. 2002;
83 <http://ebis.ornl.gov>). The EBIS study took advantage of a large pulse of ^{14}C that effectively
84 labelled substantial areas of deciduous forest at the Oak Ridge Reservation (ORR) in east
85 Tennessee (USA), while leaving areas of similar forest nearly unaffected. EBIS quantified
86 ^{14}C -enrichment and involved the translocation of ^{14}C -labelled litter from highly-enriched areas
87 to slightly-enriched ones, and vice versa, enabling the short-term dynamics of litter
88 decomposition, including the production of “new” DOC, to be examined.

89 In the work described here, we used the wealth of field and analytical data produced in EBIS,
90 and drew on the results of extensive studies of solute and DOC transport at ORR (Jardine et

91 al. 2006), to parameterise the moderately-complex mechanistic soil carbon model DyDOC
92 (Michalzik et al 2003) for the deciduous forest soils at ORR. The DyDOC model simulates
93 DOM transport and retention in soils, in relation to the overall soil C cycle, making use of ¹⁴C
94 data for both SOM and DOM. Soil carbon cycling is described using a combination of up to
95 five carbon pools per horizon, undergoing first-order metabolic transformations. DyDOC
96 includes the production of DOC, its sorption to the soil solids, and DOC transport by
97 percolating water through the soil profile. The model has so far been applied to spruce
98 forests (Michalzik et al. 2003; Tipping et al. 2005) and grass moorland (Tipping et al. 2007),
99 but only with fairly limited data.

100 The goal of the present work was to use EBIS data sets to test whether the assemblage of
101 processes that constitute DyDOC can quantitatively explain the available observations of C
102 dynamics for the ORR soils. More specifically, DyDOC was used to simulate and investigate
103 the origins, dynamics and fate of DOM within the soil profile. A major objective was to
104 determine if DyDOC model simulations would produce results consistent with experimental
105 conclusions that DOM leaving the O-horizons of forest soils is predominantly formed from
106 older material (Fröberg et al. 2003, 2007b; Kalbitz et al. 2007), while at the same time
107 explaining the vertical migration of DOM from recent litter to considerable depth (70 cm) at
108 ORR (Fröberg et al. 2007a). With respect to carbon accumulation in the mineral soils, there
109 is growing evidence that DOM can be a significant source of stabilised (adsorbed) mineral
110 soil carbon in forest soils (Baisden and Parfitt 2008; Kalbitz and Kaiser 2008; Sanderman
111 and Amundson 2009); the DYDOC simulations presented here provide further insight into
112 this process for upland soils of a deciduous forest.

113

114

115 **The Enriched Background Isotope Study**

116 Elevated levels of ^{14}C - CO_2 in the air and soil atmosphere at ORR were observed during the
117 summer of 1999. Local analyses determined that the elevated background ^{14}C -signature of
118 soil-derived CO_2 was the result of a local release of ^{14}C in the form of $^{14}\text{CO}_2$ gas from a local
119 industrial incinerator (Trumbore et al., 2002). Hanson et al. (2005) further describe the
120 uniqueness of this pulse and its application to experimental studies of soil carbon cycling.
121 The enrichment of atmospheric CO_2 with locally released ^{14}C led to the labelling of the forest,
122 and the incorporation of additional ^{14}C into plants, litter and soil (Trumbore et al. 2002;
123 Swanston et al. 2005; Hanson et al. 2005). The main enrichment occurred in 1999, but there
124 had been smaller events in preceding years. Enrichment was greater in the western part of
125 the reservation.

126 The EBIS field sites were located on the U.S. Department of Energy's National
127 Environmental Research Park near Oak Ridge, Tennessee, USA (35° 58' N 84° 16' W). The
128 research plots are located on up-slope, ridge-top positions, with slopes in the range 0-15°, in
129 the upland oak forest type (*Quercus* spp.; *Acer* spp.) including scattered pine (*Pinus echinata*
130 Mill. and *P. virginiana* Mill.), mesophytic hardwoods (*Liriodendron tulipifera* L., *Fagus*
131 *grandifolia* J.F. Ehrh.), and some hickory (*Carya* spp.). The ages of the over-storey trees
132 cover a broad range from about 40 to 150 years, and the maximum canopy height is
133 approximately 26 m. Climate characteristics of the central ORR include annual precipitation
134 of 1390 mm, mean annual temperature of 14.5° C, and range 4-25°C (Johnson & Van Hook
135 1989; Hanson and Wullschleger 2003). Annual water loss due to evaporation and
136 transpiration is c. 650 mm (Luxmoore & Huff 1989). The soils (silt loams) are either
137 Inceptisols derived from shale or Ultisols derived from dolomitic parent materials. The
138 surface soils typically comprise a thin (c. 3 cm) O-horizon, 15 cm A-horizon and 45 cm B-
139 horizon. Soil pH is c. 5. Further details of the soils are given by Wilson and Luxmoore
140 (1988) and Jardine et al. (1988, 2006).

141 A detailed description of the EBIS experimental design is provided in Hanson et al. (2005),
142 the following is a briefened version. To facilitate EBIS, large quantities of leaf litter were
143 collected from the west and east ends of the ORR during fall canopy senescence in 2000
144 when the 'natural' incorporation of the ^{14}C -signature was greatest. The collections included
145 enriched ($\Delta^{14}\text{C}$ of ~1000 ‰) and near-background ($\Delta^{14}\text{C}$ of ~220 ‰) litter. These materials
146 were used for replicated studies of soil C cycling. Prior to leaf senescence in the fall of 2000,
147 plastic tarps were laid out on the forest floor to collect enriched foliage in the vicinity of the
148 1999 ^{14}C release, and foliage representing near-background conditions away from the
149 release at the east end of ORR. Litter was manually collected from the tarps weekly from

150 September through mid-December 2000. The litter was dried and stored for future
151 experimental use.

152 Four research sites were established on the ORR (See Figure 1 of Hanson et al. 2005). Two
153 'enriched' sites at the west end were established on Ultisol and Inceptisol soils of Pine Ridge
154 and on Tennessee Valley Authority land on Chestnut Ridge (TVA), respectively. Two 'near-
155 background' sites were established approximately 10 km further east. The near-background
156 sites included a site with Ultisols within Walker Branch Watershed on Chestnut-Ridge, and a
157 site with Inceptisols on Haw Ridge. At each of the four research sites on the ORR, eight 7×7
158 m plots were established. From late September through early December of 2000, the forest
159 floor within each plot was covered with landscape cloth, and the ambient litterfall was
160 periodically removed. After the landscape cloth was removed in mid-December, ¹⁴C enriched
161 or near-background litter was added back to the respective treatment plots at a rate of 500 g
162 dry mass m⁻². The following combination of replicated research plots was created by the
163 experimental design:

164 BB near-background 2000 litter, background roots and soil C (Walker Branch and Haw
165 Ridge, eastern ORR);

166 BE near-background 2000 litter, ¹⁴C-enriched root litter and soil C (Pine Ridge and TVA,
167 western ORR);

168 EB ¹⁴C-enriched 2000 litter, background roots and soil C (Walker Branch and Haw
169 Ridge, eastern ORR);

170 EE ¹⁴C-enriched leaf litter, ¹⁴C-enriched root litter and soil C (Pine Ridge and TVA
171 western ORR).

172 Samples of enriched and near-background litter and all organic and mineral soil samples
173 were analyzed for ¹⁴C, total C, and total N. Radiocarbon values were measured on the Van
174 de Graaff FN accelerator mass spectrometer (AMS) at the Center for Accelerator Mass
175 Spectrometry, Lawrence Livermore National Laboratory, Livermore California. In preparation
176 for AMS analysis, samples were combusted in evacuated, sealed tubes in the presence of
177 CuO and Ag, then reduced to graphite coating on iron powder in the presence of H₂ (Vogel
178 et al. 1984). Splits of combusted sample were taken for ¹³C analysis from each organic and
179 mineral horizon for correction of mass-dependent fractionation in the reported radiocarbon
180 values. Whereas in other EBIS research reports radiocarbon values are presented as Δ¹⁴C
181 (‰), here we use % modern absolute, in keeping with previous DyDOC work (Michalzik et al.
182 2003; Tipping et al. 2005, 2007); 100% modern absolute is equivalent to Δ¹⁴C = 0 ‰ .

183 To date, the EBIS project has produced information on carbon balance and dynamics in the
184 O-horizon (Hanson et al. 2005) and mineral soil (Swanston et al. 2005), CO₂ release by soil
185 respiration (Cisneros-Dozal et al. 2006), DOM transport (Jardine et al. 2006; Fröberg et al.
186 2007a, 2009), root and bud C turnover (Joslin et al. 2006; Riley et al. 2009; Gaudinski et al.
187 2009), and fungal use of litter carbon (Treseder et al. 2006).

188

189 Model description

190 The model version used here, DyDOC-04, is a site-specific adaptation of earlier versions
191 (Michalzik et al. 2003; Tipping et al. 2005, 2007). It differs in its hydrological sub-model and
192 soil organic pools, the nomenclature for which has been modified. We previously postulated
193 soil C fractions that were in part model constructs, i.e. not directly observable, but the large
194 amount of data available from the EBIS project permitted the model C pools to be aligned
195 more closely with measured values. In particular, we attempted to account explicitly for the
196 low-density and dense fractions of the mineral soil. We changed the nomenclature with
197 regard to DOM. Whereas in previous work, it had been assumed that there were two “humic”
198 fractions HUM1 and HUM 2, we now prefer the nomenclature PDOM1 and PDOM2, by which
199 is meant potential DOM in fractions 1 (more hydrophilic) and 2 (more hydrophobic). The
200 point of the adjective “potential” is to take into account the fact that in some circumstances
201 (notably the mineral soil) much of the hydrophobic fraction (and possibly some of the
202 hydrophilic) will be sorbed to soil solids and therefore will not be dissolved, but it is
203 considered able to participate in solid-solution partitioning and so has the potential to
204 become DOM. Although more general versions of the model would use Hor-1, -2 and -3 for
205 the soil horizons, we consider it clearer to use the terminology O, A and B for ORR since
206 they are clearly defined within the experimental data. The currency of the model is carbon
207 (DOC, particulate organic carbon – POC, etc), but we use SOM, POM (particulate organic
208 matter) etc to refer to the actual entities that contain the carbon.

209 Water entering the soil is rainfall minus interception losses, which are estimated by assuming
210 the fractional loss of water to decrease with rainfall amount, and to be greater during the
211 period of leaf cover. The soil pore space comprises macropores, within which water drains
212 downwards, and micropores, within which it is stationary. Water enters the O-horizon
213 macropores and then may be absorbed into the micropores if they are incompletely filled.
214 Solute exchange between macropore and micropore takes place by pseudo-diffusion,
215 governed by an exchange coefficient. Maximum evaporation loss is calculated from the
216 product of the air temperature and a constant. The actual loss depends upon the available
217 water in the horizon, and a minimum fractional micropore volume (10% of the total) is
218 maintained. If there is space in the A-horizon macropore, some or all of the water in the O-
219 horizon is transferred. Transfer to micropores, solute exchange and evaporation then take
220 place as in the O-horizon. Drainage from the A-horizon depends upon the available
221 macropore space in the B-horizon, and is also governed by a first-order drainage rate
222 constant. The B-horizon operates in the same way as the A, except that drainage depends
223 simply upon the macropore volume and the drainage rate constant, not on the available pore
224 space at greater depth. Other versions of the model have allowed bypass flow from the O-

225 horizon to streamwater, but for the present sites we assumed the water to drain only
226 vertically. This assumption is supported by experimental observations on the ORR that
227 suggest the lateral stormflow zone in these studies occurs at approximately 120 cm which is
228 below the depth of observation in the present study (Wilson et al. 1993).

229 Figure 1 shows the soil organic matter pools assumed in DyDOC-04, and their inputs and
230 outputs. Carbon enters the O-horizon as above- and below-ground litter, which decomposes
231 to CO₂ or is transformed into SOM or PDOM, each process being characterised by a first-
232 order rate constant. The SOM can either decompose to CO₂ or be converted to the two
233 PDOM pools, again by first-order processes. The PDOM is lost from the O-horizon by
234 mineralisation or by leaching. The A- and B-horizons have a common metabolic scheme.
235 Carbon enters as root litter or DOM. The litter is transformed to either CO₂ or SOM1,
236 equated to the observed low-density fraction. The DOM fractions may sorb to the soil solids
237 (see below). The SOM2 pool is in close association with mineral matter, and may be formed
238 from sorbed PDOM or SOM1, as indicated by the dotted lines in Figure 1. Together, sorbed
239 PDOM and SOM2 constitute the observed high-density fraction. All the first-order metabolic
240 transformations have a temperature dependence given by a Q₁₀ relationship, i.e. the factor
241 $Q_{10}^{(T/10)}$ is used to modify the first order rate constant, where T is the temperature in °C.

242 The PDOM fractions sorb to soil solids according to simple partitioning reactions, governed
243 by K_D values. The sorption model does not include a capacity factor since the sorption
244 process is assumed linear. Pool PDOM₁ is hydrophilic, PDOM₂ is hydrophobic, and this
245 implies that K_{D1} is less than K_{D2} since it has been shown that hydrophobic PDOM fraction is
246 preferentially sorbed by soil solid phase material relative to hydrophilic PDOM (e.g. Jardine
247 et al., 1989; 2006).

248

249 **Data sources for modelling**

250 The EBIS study itself, together with much previous work at the site, has provided a wealth of
251 data that we have used in the modelling effort. Much of this information has been reported
252 elsewhere (see references given above), while the rest is available from long-term monitoring
253 at the sites or unpublished information from the EBIS project (contact P.J. Hanson via
254 <http://ebis.ornl.gov> for access).

255 DyDOC is driven by inputs of daily temperature and rainfall, litter, and the ^{14}C content of the
256 litter. Daily meteorological data, including temperature data at several soil depths, were
257 available for the years 1993 – 2005 inclusive. For earlier years we used the same data,
258 repeated over time from the starting year of the model runs (usually 1000 AD). The model
259 thus assumes a steady-state, but with a 13-year period inter-annual variation.

260 For steady-state conditions, we assumed a constant input of above-ground litter of 4.79 gC
261 $\text{m}^{-2} \text{d}^{-1}$ during the period 15 October to 1 December, and constant rates of input of root litter
262 of 0.190, 0.193 and 0.086 gC $\text{m}^{-2} \text{d}^{-1}$ for the O-, A- and B-horizons respectively, during the
263 period April to October inclusive. These correspond to the annual average values (Joslin
264 and Wolfe, 2003). The amounts entering were assumed to be the same in each year, except
265 for the years of the study, for which the experimental above-ground litter inputs were used.
266 Thus in 2000 no above-ground litter was applied, while 230 gC m^{-2} was applied on 15 May
267 2001, 15 January 2002, and 15 January 2003, after which natural inputs resumed.

268 Carbon contents were determined for the O-horizon as described by Hanson et al. (2005),
269 and for the A- and B-horizons according to Swanston et al. (2005). Data for carbon contents
270 of density-fractionated soil at TVA and WB were taken from Swanston et al. (2005) and at
271 WB from Gaudinski and Trumbore (2003). Because these two sets of authors did not use
272 the same fractionation procedure, we combined their findings. The adopted low-density
273 fractions were taken to be the measured low-density fractions of Gaudinski and Trumbore,
274 but the combined measured “free light” and “occluded light” fractions of Swanston et al.,
275 while the adopted high-density fractions were measured high-density fractions of Gaudinski
276 and Trumbore, and the measured “dense” fraction of Swanston et al.

277 Soil water samples were collected with lysimeters, and analysed for DOC as described by
278 Jardine et al. (2006). Note that the deepest field lysimeters were located at 70 cm depth,
279 whereas the assumed B horizon in the present study extends only to 60 cm. We assume the
280 same DOC concentrations for both depths.

281 For the time period covered by the experiments, above-ground litter ^{14}C was well-known, but
282 this did not apply to the immediately preceding period, nor were direct measurements of root
283 litter ^{14}C available. In previous applications of DyDOC, it was assumed that all litter produced

284 in a given year has the same ^{14}C signature, set at the atmospheric value for between zero
285 (for moorland) and five (spruce forest) years earlier. However, the present study deals with
286 shorter time scales, in particular the large atmospheric ^{14}C pulse during 1999, and so more
287 precise estimation of litter ^{14}C was needed. Furthermore, Joslin et al. (2006) showed that
288 root turnover at ORR is biphasic, occurring timescales of less than one year and of several
289 years. Therefore, to take this into account, and also to estimate the ^{14}C input during the main
290 pulse year of 1999, we constructed a simple steady-state model of carbon uptake by trees,
291 and allocation of C within the plants and subsequent litter. The tree model was
292 parameterised by fitting to available ORR data on root biomass and ^{14}C contents, and is fully
293 described in the Electronic Supplementary Material. The parameterised tree model was
294 used to estimate all annual litter ^{14}C contents for the period 1900 to 2006. The ^{14}C input data
295 used for the different sites and treatments derived in this way are shown in Figure 2.

296

297

298 **Model calibration**

299 The two soil types at ORR (inceptisol and utlisol) differ somewhat in their drainage and DOM
300 sorption properties (Jardine et al., 2006). However, the sizes and vertical distributions of
301 their carbon pools, and the measured DOC fluxes, are sufficiently similar to justify the
302 simplifying assumption that, at the resolution of DyDOC, all four sites operate identically with
303 respect to soil carbon cycling. Moreover the sites are sufficiently close to one another to
304 permit the assumption of identical climatic and weather conditions, and they have closely
305 similar vegetation and litter fall. Thus, the four sites and paired experimental manipulations
306 provided unprecedented replicated measurements of carbon pools, fluxes and isotope
307 behaviour for model calibration. The representative soil profile used for modelling comprised
308 an O-horizon of thickness 3 cm, bulk density 0.1 g cm^{-3} and 46% C by weight, an A-horizon
309 (15 cm, 1.0 g cm^{-3} , 2.3% C) and a B-horizon (45 cm, 1.0 g cm^{-3} , 0.49% C).

310 The DyDOC hydrological sub-model used rainfall and temperature as driving variables to
311 describe interception losses, evaporation and drainage. Its parameters were optimised by
312 comparison with measured soil moisture data and with outputs from more sophisticated
313 physical models. Data from the tracer experiments with bromide were used to optimise the
314 sub-model further. These calibrations are described in the Electronic Supplementary
315 Material.

316 With the hydrological and solute exchange processes parameterised, we fitted DyDOC to the
317 available C data. Fitting was conducted first for the O-horizon and subsequently for the
318 mineral soil. The target data for fitting consisted of measured soil C pools and their ^{14}C
319 contents, as well as annual DOC fluxes and DO^{14}C data. For the A- and B-horizons the
320 fractionation of soil organic C between the low-and high-density fractions was taken into
321 account.

322 Optimisation was performed with combined objective functions, comprised of the sums of the
323 squared deviations between observed and simulated values, normalised by division by the
324 observed values. The O-horizon objective function was constructed from; litter C (2001-3),
325 OeOa C (2001-3), DOC flux (2002), litter ^{14}C (1972, 1998, 2001-3), OeOa ^{14}C (1972, 1998,
326 2001-5), DO^{14}C (2005). The A- and B-horizon objective functions were each constructed
327 from; litter C (2001-3), total soil C (2001), fraction of low-density C (average), DOC flux
328 (2002-3), litter ^{14}C (2001-3), soil ^{14}C (2001-4), low-density fraction ^{14}C (1972, 1998, 2001),
329 high-density fraction ^{14}C (1972, 1998, 2001), DO^{14}C (2002-3).

330 The value of Q_{10} was set to 2.0 for all first-order transformations, and recent dynamic
331 observations derived from soil respiration data provide general support for this assumption
332 Gu et al. (2008).

333 Results

334 Carbon pools, fluxes and ^{14}C

335 We fitted the model for the O-horizon using the two measured carbon pools, litter (Oi) and
336 the combined Oe and Oa material, which correspond to the L and SOM pools in the model
337 (Figure 1). Because the O-horizon at ORR is relatively thin, the Oe and Oa layers are not
338 separated in sampling. Both the Oi and OeOa pools were considered to be potential sources
339 of DOC in water draining from the O-horizon. The thinness of the O-horizon meant that
340 adsorption of PDOM, for typical K_D values (Michalzik et al. 2003; Tipping et al. 2005), was
341 minimal, and so both K_{D1} and K_{D2} were set to zero. Thus, although fractionation of the DOM
342 due to adsorption was considered in the mineral soil (see below), it was not found necessary
343 to do this for the O-horizon.

344 In preliminary fitting it was found that the parameter $k_{\text{PDOM-CO}_2}$ was poorly-defined, i.e. it could
345 take on a wide range of values because compensatory adjustments in the other metabolic
346 parameters could be made. Therefore, the value was fixed at 0.15 a^{-1} , based on the fitting of
347 A-horizon data (see below). Similar fits were obtained whether the PDOM was assumed to
348 come from L or SOM so we forced the rate constant to be the same for each. Table 1 shows
349 the optimised values of the metabolic rate constants. Observed and simulated pools and
350 DOC flux for the O-horizon are shown in Table 2, while Figure 3 shows soil ^{14}C values over
351 time. Four samples of O-horizon DOC were taken for the measurement of DO^{14}C during the
352 study, all in 2005 at the BB and EB plots. The simulated value for BB in 2005 was 115.8%
353 modern, in agreement with the observed values of 116.1 and 118.7% modern. For EB the
354 simulated DO^{14}C of 135.3% modern agreed with one of the observations (140.0% modern),
355 although less well with the other (166.3% modern), consistent with the rapid formation and
356 leaching of DOM in the O-horizon.

357 The model fitting did not make use of short-term variability in DOC concentration or flux, only
358 the annual flux. Although the annual flux was simulated well (Table 2), the model did not
359 reproduce the high O-horizon DOC concentrations at the start of 2002, especially just before
360 the dry period when samples were not retrieved (Figure 4, top panel). The water flow events
361 (Figure S2) occurring between days 190 and 275 are important in the simulation, accounting
362 for 47% of the total flux for 2002, whereas the corresponding measured flux occurs earlier,
363 between days 70 and 140.

364 We parameterised horizons A and B simultaneously, optimising all 15 parameters shown in
365 Table 3. Only at this point is it necessary to consider the fractions of PDOM separately,
366 because they are assumed not to sorb to the O-horizon solids. Therefore f_{PDOM1} was
367 optimised as part of the A-B parameterisation. We also optimised the sorption K_D values for

368 PDOM1 and PDOM2, for simplicity assuming them to be the same for both the A- and B-
369 horizons.

370 *Alternative metabolic schemes in the mineral soil*

371 The DOM entering the mineral soil from the O-horizon may adsorb to the soil solids,
372 becoming part of the high-density fraction, or be lost by mineralisation to CO₂, or leached to
373 lower depths. The only source of the low-density soil carbon fraction was assumed to be the
374 root litter, but this fraction might also convert to the high-density fraction via microbial
375 processing. We considered two metabolic schemes, I and II, as follows.

376 In Scheme I, the high-density fraction is derived only from DOM. For this to provide the
377 correct high-density pool size and ¹⁴C content, it has to be assumed that initially sorbed DOM
378 is transformed to a different material, i.e. SOM2. Sorption alone cannot account for the
379 accumulation of the high-density fraction, because the partition coefficients required to permit
380 DOM to leach from the A- and B-horizons are too low to permit sufficient build-up of the
381 sorbed pools. In Scheme II, SOM2 comes only from the SOM1 fraction. The two
382 possibilities involve the same number of transformations, and therefore of parameters. In
383 either case, the measured high-density fraction comprises sorbed PDOM1 and PDOM2, plus
384 SOM2.

385 We excluded reactions that generate potential DOM in the mineral soil, because it is clearly a
386 net sink for DOM (e.g. Jardine et al., 2006), which makes it impossible to quantify internal
387 PDOC cycling. Similarly, it is not feasible to distinguish the mineralisation rates of sorbed
388 and free DOM, although the sorbed fractions predominate, the main source of CO₂ being
389 sorbed PDOM2.

390 The model was more successful when the Scheme I assumptions were used. First, the sum
391 of squared normalised deviations was smaller, by about a factor of two. Secondly the
392 parameter values were more internally consistent. Table 3 shows that for Scheme I the
393 values for the two horizons are fairly similar, in most cases the A-horizon value slightly
394 exceeding that for the B-horizon. However, for Scheme II k_{L-CO_2} and $k_{SOM1-SOM2}$ differ by more
395 than a factor 10 between the two horizons, and the values of $k_{SOM1-CO_2}$ and $k_{PDOM-CO_2}$ also
396 differ by more than their Scheme I versions.

397 The optimised values of $K_{D,PDOM1}$ and $K_{D,PDOM2}$ are similar for Schemes I and II, and are
398 consistent with experimental values. Thus, if the sorption data of Jardine et al. (2006) at
399 DOC concentrations similar to those in the field are fitted to simple partitioning reactions, the
400 average K_D values for the different sites range between $1.6 \times 10^{-5} \text{ m}^3 \text{ g}^{-1}$ (Haw Ridge soil)
401 and $8.1 \times 10^{-5} \text{ m}^3 \text{ g}^{-1}$ (Pine Ridge). The fitted values cover these ranges (Table 3), which is

402 to be expected given that DyDOC operates with two fractions that would be mixed in the
403 experiments.

404 In view of the superiority of the Scheme I version of the model, i.e. in which SOM2 is derived
405 entirely from PDOM, we show results only for this case. Table 4 compares observed and
406 simulated C pools in A and B, and DOC fluxes. Figure 5 shows the variation of total soil ^{14}C
407 over time, with clear bomb carbon incorporation but little evidence or simulation of
408 enrichment by the recent atmospheric radiocarbon spike. The limited data available for the
409 low- and high density ^{14}C contents (Figure 6) are moderately well simulated, although
410 differences between the sites mean that a faithful reproduction of the observations cannot be
411 expected. Figure 7 shows that DO^{14}C in the mineral soil responded quite strongly to the
412 input of enriched litter, and the model captures this behaviour.

413 *Release of $^{14}\text{CO}_2$ from the soil*

414 Cisneros-Dozal et al. (2006) measured the $^{14}\text{CO}_2$ release from soils at two of the four study
415 sites (Tennessee Valley Authority and Walker Branch) for both litter treatments, and
416 apportioned the isotopic signal between plant and soil respiration. Thus, they derived values
417 of $^{14}\text{CO}_2$ from the decomposition reactions that DyDOC seeks to simulate (although these
418 data were not used in the model fitting). Figure 8 compares the observed and simulated
419 $^{14}\text{CO}_2$ values, and shows good agreement between the different treatments, except that the
420 model predicts a somewhat later decline in $^{14}\text{CO}_2$ from the O-horizon as decomposition of the
421 enriched litter is completed. The simulated and observed values for $^{14}\text{CO}_2$ from the mineral
422 soil both show a relatively small increase in the BE and EE cases, attributable to enriched
423 root litter rather than to the above-ground litter additions.

424 Figure S4 shows the calculated daily contributions of the different soil horizons to the net
425 heterotrophic CO_2 release. The majority of soil respiration comes from the O-horizon above-
426 ground litter.

427 *Calculated pools and fluxes of C*

428 The parameterised model was used to calculate the steady-state pools and annual fluxes of
429 carbon in each of the three horizons, including the leaching transfers of DOC. This was done
430 by averaging over the 13 years of available meteorological data. Mean residence times
431 (MRT, yr) were calculated by dividing the quantity of C in each pool (gC m^{-2}) by the steady-
432 state flux ($\text{gC m}^{-2} \text{a}^{-1}$).

433 The modelled steady-state carbon balance (Figure 9) shows that 83% of the carbon entering
434 the O-horizon is lost as CO_2 , while the remaining 17% leaves as DOM. Most of the CO_2
435 output is directly from the litter (L) pool (MRT 1.4 yr), with a much smaller contribution from

436 SOM (MRT 9.7 yr), and minor mineralisation of PDOM. The overall MRT of C in the O-
437 horizon is 3.3 yr.

438 Approximately equal amounts of C enter the A horizon as root litter ($40 \text{ gC m}^{-2} \text{ a}^{-1}$) and DOM
439 ($46 \text{ gC m}^{-2} \text{ a}^{-1}$), and in the preferred Scheme 1 they remain separate. Some root litter is
440 mineralised directly to CO_2 , but most is transformed to SOM1 (the low-density fraction), then
441 returned as CO_2 with a MRT of 27 yr. The DOM is retained first by adsorption then by
442 transformation to SOM2_A (MRT 140 yr). The fate of root litter in the B-horizon is similar to
443 that in the A. The B-horizon receives only $6 \text{ gC m}^{-2} \text{ a}^{-1}$, but this feeds the stable SOM2_B pool
444 (MRT 200 yr).

445 Considering the soil as a single carbon reservoir, the simplest measure of mean turnover
446 time (total soil C / input) is only 13 years, but this conceals considerable heterogeneity, with
447 modelled MRTs ranging from < 1 to 200 yr (see Figure S5). The main source of CO_2 from
448 the soil is the O-horizon litter pool, which contributes 61%, followed by the SOM1_A (11%), the
449 SOM_O (7%) and SOM1_B (5%). Only 0.3 % of the total input C leaves as DOM from the B-
450 horizon, representing about 3% of the DOC generated in the O-horizon. Therefore nearly all
451 the DOM is ultimately lost by mineralisation, although some of its C persists in SOM2 for a
452 century or more. This most stable form of soil C is due to the input of $11 \text{ gC m}^{-2} \text{ a}^{-1}$,
453 equivalent to only about 3% of total litter input, into SOM2_A and SOM2_B.

454 According to the model DOM transported through the soil profile becomes more hydrophilic
455 as downward movement occurs, which is consistent with field observations on the ORR
456 (Jardine et al., 1990; 2006). The DOM leaving the O-horizon is 94% hydrophobic (Table 1),
457 whereas that leaving the B-horizon is 83% hydrophilic. This reflects the weak sorption of
458 PDOM1, which can therefore escape mineralisation, and explains the rapid appearance of
459 the localised ^{14}C label in the A- and B-horizons at the EB and EE sites, i.e. those with
460 enriched added litter (Figure 7); see also Fröberg et al. 2007.

461

462 Discussion

463 The DyDOC model is an assembly of plausible mechanisms of soil carbon dynamics,
464 uniquely including DOM transport and retention in different horizons. Here, we modified
465 previous versions of the model in order to utilise the greater amount and diversity of data
466 available for ORR. In particular the EBIS study at ORR provided a wealth of ^{14}C values, and
467 valuable data on the fractionation of mineral soil OM into low- and high-density fractions.
468 Thus we have been able to address a key issue in soil carbon cycling, namely the heterogeneity of
469 organic matter with respect to turnover rates.

470 The reasonable agreements between the DyDOC-04 simulations and the observations
471 support the chosen mechanisms and their interactions. Furthermore, the quantification of C
472 turnover obtained with DyDOC did not use soil respiration $^{14}\text{CO}_2$ data, and so the fair
473 agreement between predicted and observed values (Figure 8) provides independent support
474 for the model structure and parameterisation. With regard to model parameterisation, the
475 availability of data arising from the short-term ^{14}C input spike proved especially valuable,
476 providing information about short-term processes (O-horizon decomposition, DOM
477 production and transport) while also demonstrating the relatively slow responses of mineral C
478 pools.

479 *O-horizon*

480 Previous applications of DyDOC to coniferous forest and moorland soils (Michalzik et al.
481 2003; Tipping et al. 2005 2007) showed that DOM leaching from O horizons comes from
482 small fast-turnover pools. The residence times of PDOM in the ORR O-horizon need to be
483 particularly short (MRT ~ 0.15 yr) in order to explain the rapid labelling with ^{14}C in the EE
484 case following the local atmospheric spike (see Results). Thus, there can be little sorptive
485 retention of either hydrophilic or hydrophobic PDOM, which is consistent with the finding of
486 Fröberg et al. (2009) that there was no retention in the OeOa horizon of DOM produced in Oi
487 horizon in experimental mesocosm studies with ORR soils. A predominance of hydrophobic
488 DOM in the O-horizon leachate is deduced by considering the A-horizon (see below).

489 The modelling results suggest that litter and SOM provide PDOM, in similar amounts
490 (40:60%). From mesocosm experiments on the ORR system, Fröberg et al. (2009) found a
491 DOC output from the O-horizon equivalent to $43 \text{ g m}^{-2} \text{ a}^{-1}$, very similar to the field value of 46
492 $\text{g m}^{-2} \text{ a}^{-1}$ (Figure 9), with a ratio of 60:40% in favour of litter. The key finding here is that both
493 litter and SOM are substantial sources of DOM leached from the O-horizon at ORR. This
494 contrasts with results for the O-horizons of spruce forest soils in Sweden (Fröberg et al.
495 2003, 2007; Tipping et al. 2005) and beech-oak forest soil in Germany (Kalbitz et al. 2007),
496 for which litter is appreciably less important.

497 The model fitting procedure minimises the difference between observed and simulated
498 annual DOC flux from the O-horizon (the annual predicted flux agrees well with the observed
499 value) but does not take account of short-term variations in [DOC]. The results in the upper
500 panel of Figure 4 show that the combination of PDOM generation and water flow assumed
501 for the O-horizon underpredicts [DOC] during the first 150 days, while the results for the
502 period between 300 and 400 days are in better agreement. The discrepancy arises because
503 the production rate of PDOM is too low, this being compensated for in the overall fitting by a
504 higher rate of production during the warmer subsequent period (days 150-300). This might
505 indicate moisture controls on PDOM formation, a temperature dependence that differs from
506 the assumed Q_{10} of 2.0, or the priming effect of fresh litter (Kalbitz et al. 2007).

507 *Mineral soil*

508 The fitted model reproduces the ^{14}C contents of the A-horizon quite well, but slightly
509 overestimates those of the B horizon (Figure 5). The increased ^{14}C levels seen for the past
510 40 years are due to bomb carbon. Minor incorporation of ^{14}C associated with the recent
511 isotopic enrichment of the ORR forest is predicted for the BE and EE treatments, but this
512 would be too small to be evident in the observations.

513 The DyDOC simulations are better with Scheme I (see Results) in which all the stable OM in
514 the mineral soil, represented by the high-density fraction, is derived from DOM, while the low-
515 density fraction (also referred to as particulate organic matter, POM; Golchin et al., 1994)
516 originates only from root litter. Because the low-density fraction has a faster turnover rate it
517 is calculated to be more enriched in bomb carbon although there is little predicted enrichment
518 with the recent localised ^{14}C pulse (Figure 6). The simulations agree with the observations in
519 that but the model predicts the ^{14}C content of the low-density fraction to be greater than that
520 of the high-density fraction (Figure 6), but the absolute values are not precisely reproduced.
521 This may reflect a more complex set of transformations than postulated in the model, i.e. a
522 less strict division of low- and high-density sources, but it should also be borne in mind that
523 the fractionation process may only provide a partial functional distinction of the soil carbon.

524 The nature of mineral soil organic matter postulated by the model agrees with evidence
525 provided by Buurman and Jongmans (2005) that mineral soil SOM is either polymorphic
526 (derived from root litter via mesofauna) or monomorphic (coatings on mineral matter, derived
527 from DOM). These two types might correspond with the low- and high-density fractions, i.e.
528 SOM1 and SOM2. Certainly adsorbed OM derived from DOM must be in the high-density
529 fraction, and the low-density fraction can only have come from root litter, but it is less sure
530 that root litter and its products should remain entirely in the low-density fraction, and not form
531 any associations with mineral matter. Other recent papers promoting the idea of DOM as a

532 source of stable mineral soil OM include those of Baisden and Parfitt (2007) and
533 Sandermann and Amundson (2009). Rumpel et al. (2004) reported that the most stable
534 (measured by ^{14}C) mineral soil C was in the smallest size fractions, which is also consistent
535 with the stabilisation of dissolved organic matter. Mikutta et al. (2006) used chemical
536 extractions to distinguish stable and not/unstable SOM from mineral soils. The readily-
537 oxidised fraction in most of the soils was young according to ^{14}C (9 of the 13 examples
538 contained bomb carbon). The stable fraction had a ^{14}C age ranging from 75 to 6350 years
539 (average 2095 years) and was mainly (73% on average) associated with minerals. The
540 minor part of the stable fraction was molecularly recalcitrant. Our model pools correspond to
541 the first two of these three classes, the turnover time of stabilised SOM at ORR being at the
542 lower end of the Mikutta et al. values.

543 A second assumption about PDOM in the mineral soil is that the adsorbed material somehow
544 “matures” over time, rendering it permanently immobilised, but able to undergo mineralisation
545 to CO_2 . This process, which might be considered humification, is necessary because if only
546 simple adsorption is permitted, coupled with mineralisation of the adsorbed PDOM, the
547 model cannot build up much stabilised carbon in the mineral soil. With no mineralisation, an
548 adsorption steady-state is reached with equal input and output [DOC], and the mineral
549 horizon is not a sink for incoming DOM. But if the mineralisation rate is increased to make
550 the horizon a sink, then only a small adsorbed pool can exist at steady-state, corresponding
551 to the prevailing soil solution [DOC]. The putative maturing reaction, characterised by $k_{\text{PDOM-SOM2}}$,
552 permits build up of stabilised OM to occur, while allowing a relatively small sorbed pool
553 and maintaining the mineralisation rate, hence making the A-horizon both a net sink and a
554 repository for stabilised C. As noted in Results, transformation to SOM2 is a small flux that
555 maintains a relatively large, pool, turning over slowly. Direct evidence for the formation of
556 SOM2 in field soil is lacking in the literature, although in experimental studies (abiotic)
557 polymerisation reactions have been shown to occur at mineral surfaces (Shindo and Huang
558 1982). Other maturing processes could include dehydration, and the slow transfer of sorbed
559 molecules to rare high-energy sites on the mineral sorbents.

560 *Summary and conclusions*

561 The derived steady-state C pools and fluxes summarised in Figure 9 provide a detailed
562 quantitative description of soil C cycling in the ORR deciduous forest soil profile. They
563 demonstrate the role of DOM in the turnover of carbon in the O-horizon, and the formation
564 and turnover of SOM in the mineral soil. We find that the pool of potential DOM in the O-
565 horizon turns over rapidly, so that leached DOM is fresher than that leaving the O-horizons of
566 coniferous forests. Of the mainly hydrophobic DOC entering the mineral soil each year, a
567 small amount of predominantly hydrophilic material escapes in solution from the B horizon.

568 The bulk of the percolating DOM is initially removed by adsorption, then most is removed by
569 conversion to CO₂, but a small amount is transformed into a more stable form of SOM,
570 thereby feeding the most stable SOM pools. These conclusions represent the most internally
571 consistent interpretation of the data provided by the model. The parameterised model can
572 be regarded as a formal hypothesis about soil carbon dynamics in ecosystems like that at
573 ORR, and is open to testing by further experiments and field monitoring. In particular there is
574 a need to investigate the maturing reaction postulated to occur following DOM sorption.

575

576

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588

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720 Table 1 First order metabolic rate constants (a^{-1}) for the O-horizon.

721

Process	Constant	Value
loss of CO ₂ from L	k_{L-CO_2}	0.20
transformation of L to SOM	k_{L-SOM}	0.054
transformation of L to PDOM1 & PDOM2	k_{L-PDOM}	0.020 ^a
loss of CO ₂ from SOM	k_{SOM-CO_2}	0.016
transformation of SOM to PDOM1 & PDOM2	$k_{SOM-PDOM}$	0.020 ^a
loss of CO ₂ from PDOM	$k_{PDOM-CO_2}$	0.15 ^b

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^a constrained to be equal ^b fixed

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728 Table 2 Observed and simulated carbon pools (gC m^{-2}) and fluxes ($\text{gC m}^{-2} \text{a}^{-1}$) in the
 729 O-horizon. The observed pools are means over all eight experimental plots with
 730 standard deviations in brackets, and refer to sampling performed in January for Oi
 731 and in October for OeOa. The DOC flux is the average of data from lysimeters at all
 732 four sites (HR, PR, TVA, WB).

733

	Year	Observed	Simulated
Oi C pool	2001	202 (49)	230
	2002	245 (31)	264
	2003	366 (46)	244
OeOa C pool	2001	521 (105)	518
	2002	614 (122)	517
	2003	465 (112)	519
DOC flux	2002	46	46

734

735 Table 3 Parameters for the A- and B-horizons. The first order rate constants (k) have units of a^{-1} , the partition coefficients (K_D) are in $\text{m}^3 \text{g}^{-1}$.
 736 See the text for explanation of Schemes I and II.

737

Process	Constant	Values for Scheme I		Values for Scheme II	
		A-horizon	B-horizon	A-horizon	B-horizon
fractionation of PDOM	f_{PDOM1}	0.064		0.095	
sorption of PDOM	$K_{\text{D,PDOM1}}$	5.0×10^{-6}		5.8×10^{-6}	
	$K_{\text{D,PDOM2}}$	1.4×10^{-4}		1.6×10^{-4}	
loss of CO_2 from (root) litter	$k_{\text{L-CO}_2}$	0.016	0.0087	0.022	0.00062
transformation of L to SOM1	$k_{\text{L-SOM1}}$	0.14	0.086	0.12	0.093
loss of CO_2 from SOM1	$k_{\text{SOM1-CO}_2}$	0.013	0.013	0.010	0.030
loss of CO_2 from PDOM	$k_{\text{PDOM-CO}_2}$	0.15	0.023	0.14	0.015
transformation of PDOM to SOM2	$k_{\text{PDOM-SOM2}}$	0.034	0.057	n/a	n/a
transformation of SOM1 to SOM2	$k_{\text{SOM1-SOM2}}$	n/a	n/a	0.0021	0.029
loss of CO_2 from SOM2	$k_{\text{SOM2-CO}_2}$	0.0024	0.0013	0.0020	0.0027

738

739 Table 4 Observed and simulated (Scheme I) carbon pools (gC m⁻²) and fluxes (gC
 740 m⁻² a⁻¹) in the A- and B-horizons. The observed values are means with standard
 741 deviation in brackets over all eight experimental plots (except for the low-density
 742 fraction). The DOC flux is an aggregation of data from lysimeters at all four sites
 743 (HR, PR, TVA, WB).

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745

		A-horizon		B-horizon	
		observed	simulated	observed	simulated
litter C	2001	97 (2)	88	59 (5)	60
	2002	76 (2)	89	55 (8)	60
	2003	103 (9)	88	76 (4)	59
SOC	2001	2120 (230)	2070	1300 (130)	1240
Low-density fraction		~ 0.5	0.47	0.36	0.33
DOC flux	2002	7.6	7.1	1.9	1.4
	2003	8.3	6.9	2.3	1.8

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748 **Figure captions**

749 Figure 1 Soil pools and transformations postulated in DyDOC-04. The solid arrows
750 indicate metabolic transformation of organic matter or transport of DOM, the open
751 arrows indicate release of CO₂. The dotted lines labelled I and II indicate alternative
752 modes of formation of the high-density fraction of SOM. Key: L litter, SOM soil
753 organic matter, PDOM potential dissolved organic matter; see the text for further
754 details.

755 Figure 2 Radiocarbon inputs to the ORR soil inferred from field observations and
756 model fitting approximations. Upper panel 1900-1990, lower panels 1991-2005. Solid
757 lines represent above-ground litter, short dashes O-horizon root litter, long dashes A-
758 horizon root litter. The values for B-horizon roots, omitted for clarity, are similar to
759 those for the A-horizon.

760 Figure 3 Observed and simulated ¹⁴C in the Oi (upper graphs) and OeOa horizon
761 (lower graphs) for each of the four treatment manipulations.

762 Figure 4 Observed and simulated DOC concentrations in water draining from the O,
763 A and B horizons. In the top panel, standard errors are shown, and the different
764 symbols indicate different collectors depths within the O horizon. The different
765 symbols in the lower two panels refer to HR (○), PR (●), TVA (□), WB (■). Standard
766 errors on these points were omitted for clarity, but ranged from 1 to 2 mg l⁻¹.

767 Figure 5 Observed and simulated total ¹⁴C contents of the A and B horizons. Each
768 point represents the mean of 2-4 replicates, with a typical standard deviation of 3%.

769 Figure 6 Observed and simulated ¹⁴C contents of the low- and high-density fractions
770 of the A and B horizons. Each point represents the mean of 2-4 replicates, with a
771 typical standard deviation of 3%.

772 Figure 7 Annual average observed and simulated DO¹⁴C in drainage from the A and
773 B horizons. Each point represents the mean of between 2 and 24 replicates, with an
774 average standard deviation of 12%.

775 Figure 8 Observed (points) and simulated (lines) ¹⁴CO₂ released from the soil. Open
776 circles and thicker lines represent the O-horizon, closed circles and thinner lines the
777 mineral soil.

778 Figure 9 Calculated steady state carbon pools (gC m⁻²) and fluxes (gC m⁻² a⁻¹).

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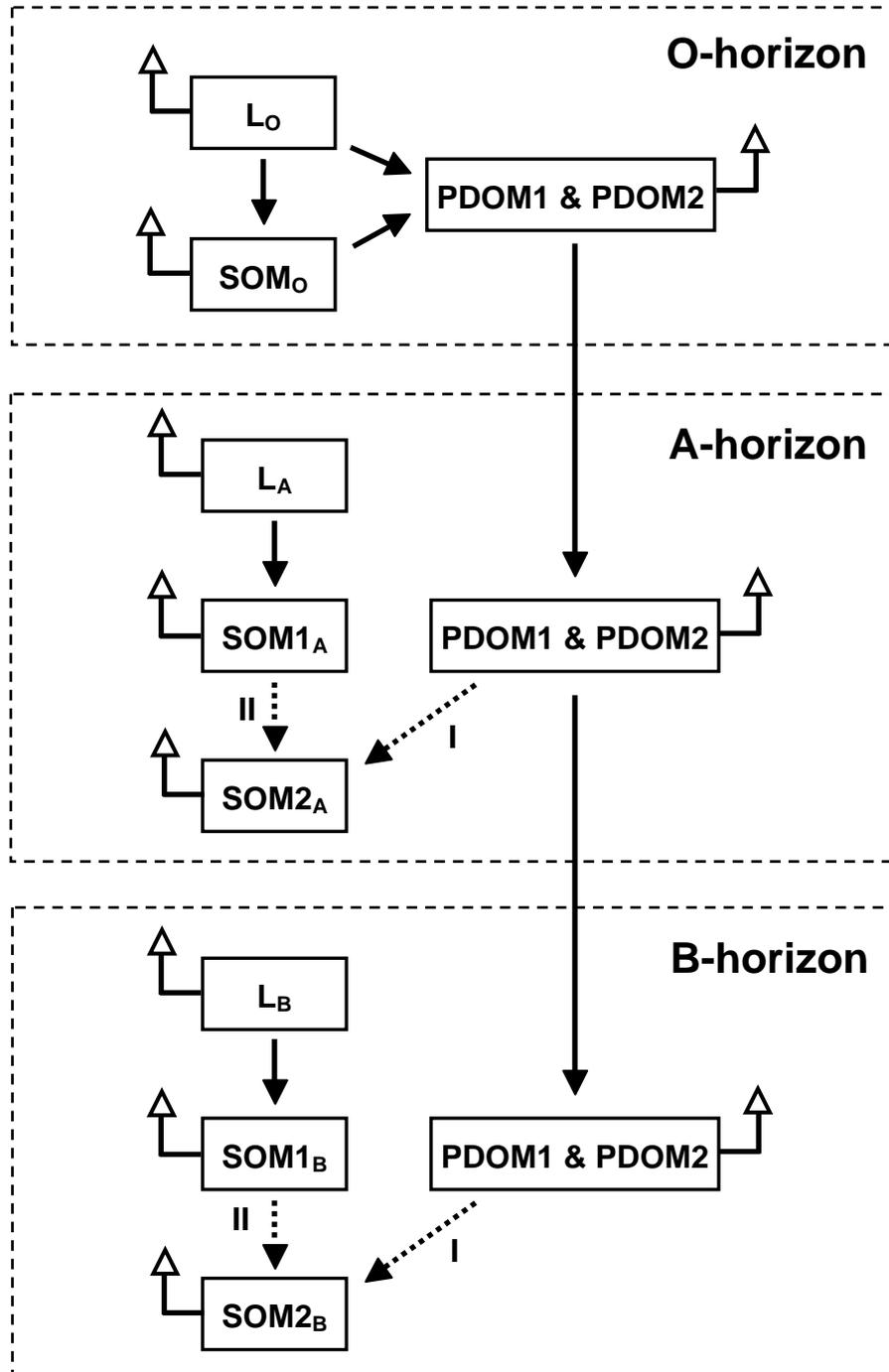
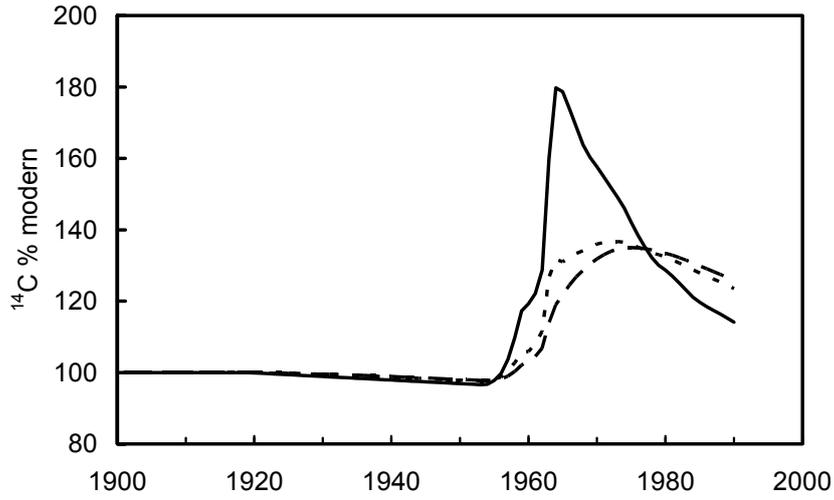
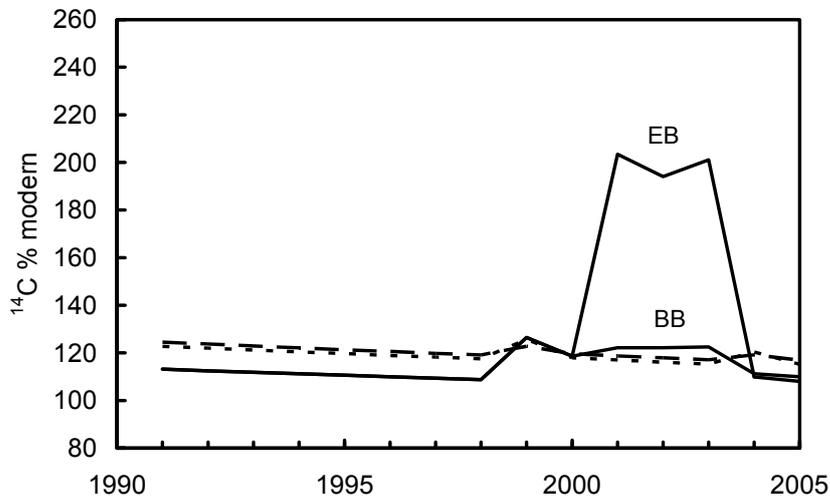


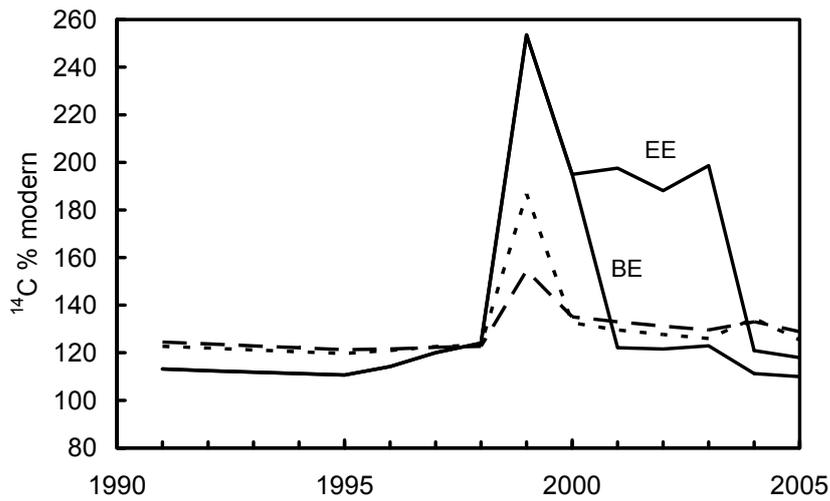
Figure 1



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816 Figure 2

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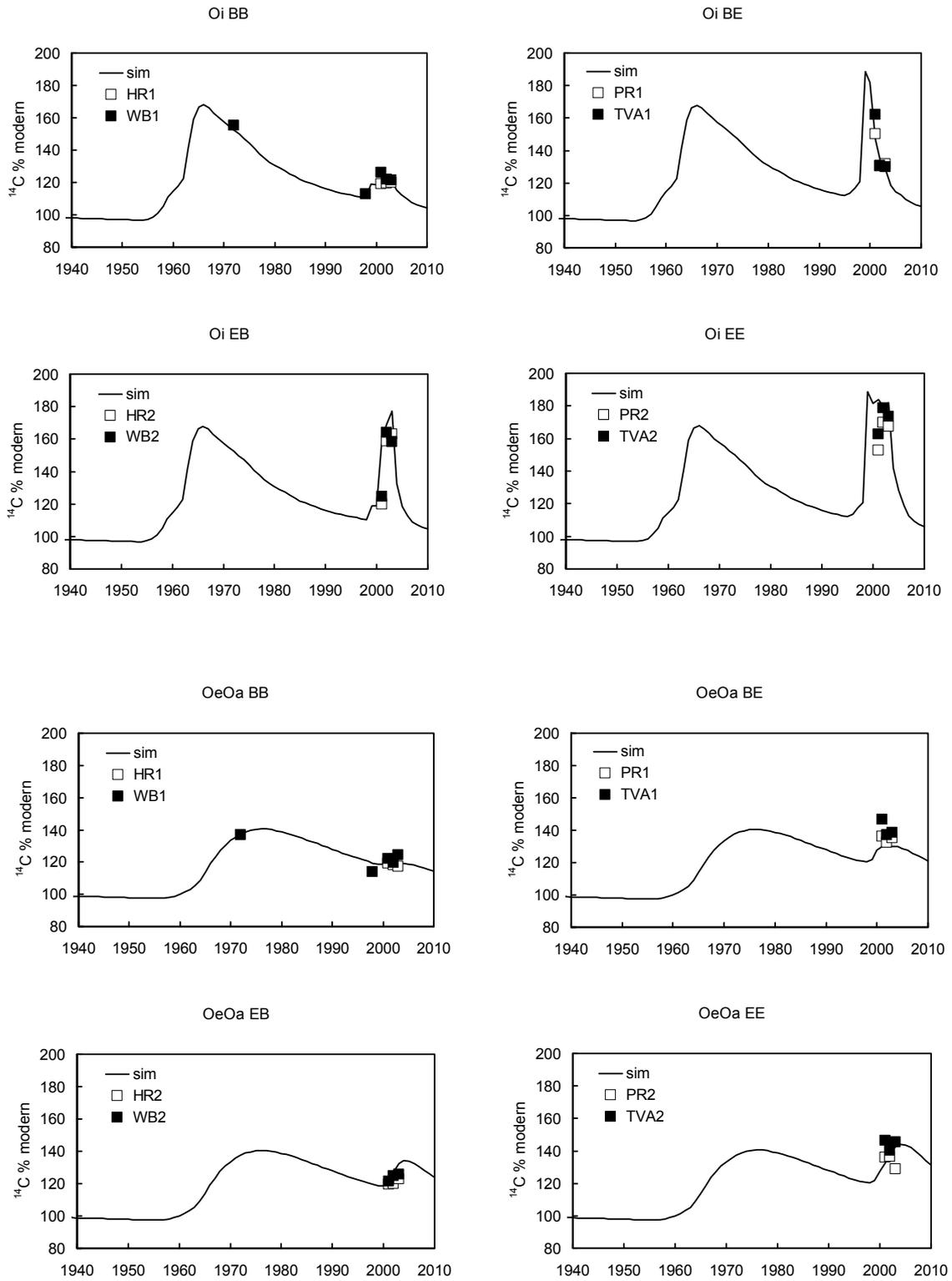


Figure 3

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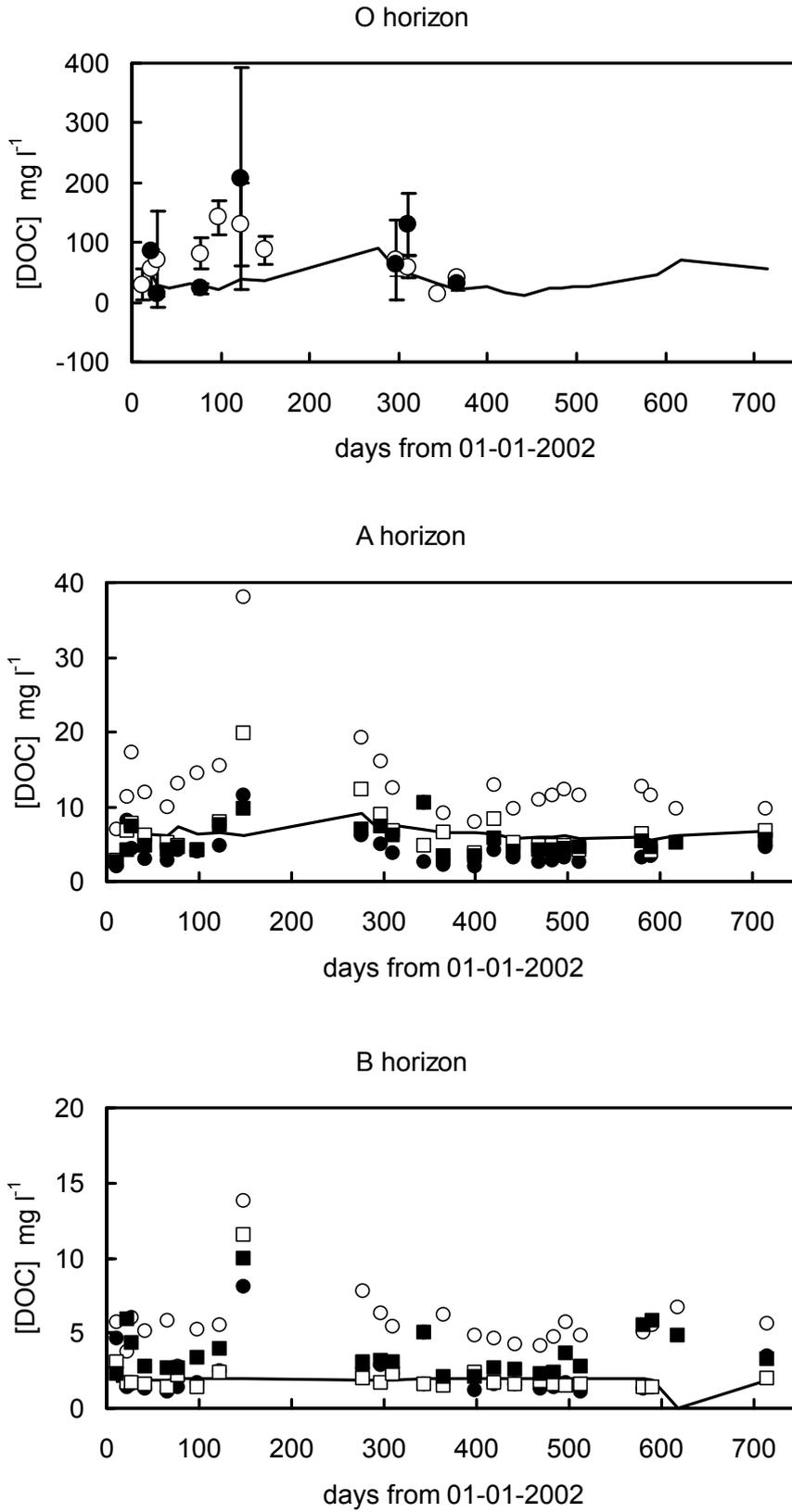


Figure 4

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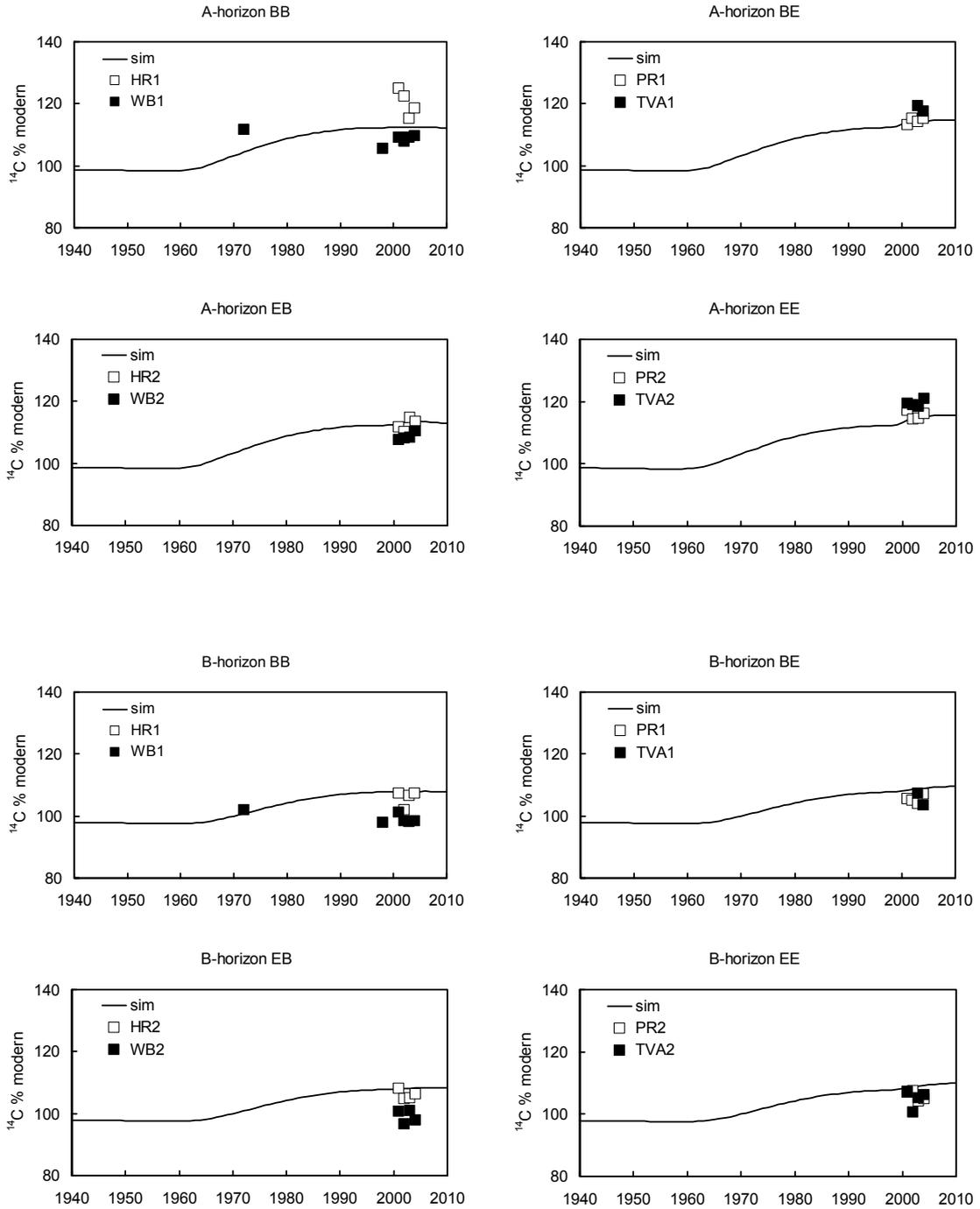
919 Figure 5

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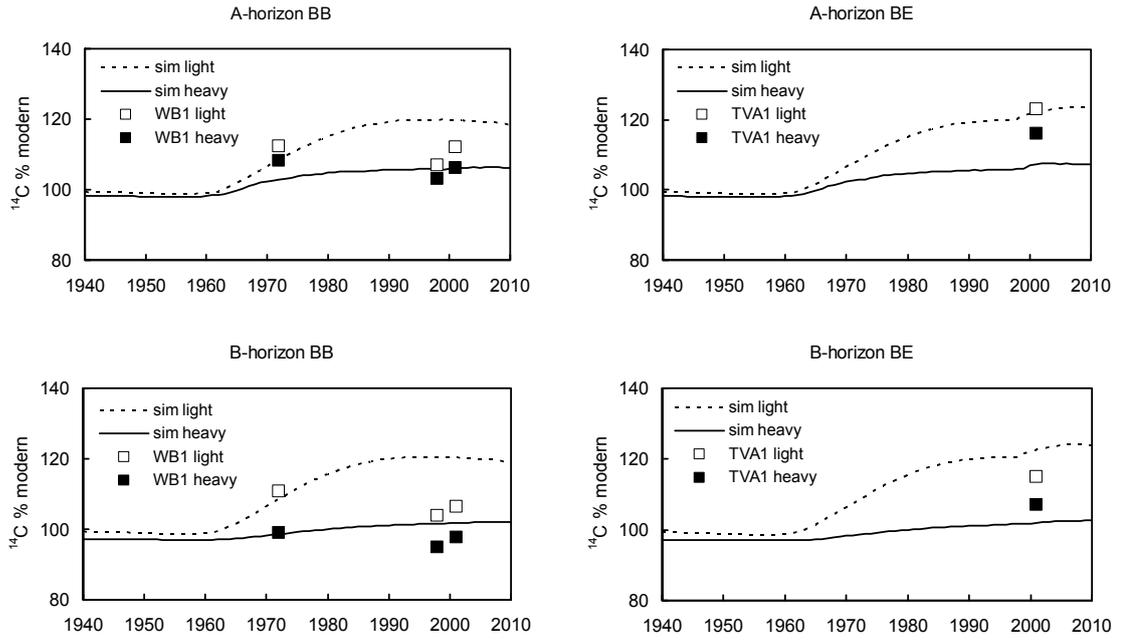


Figure 6

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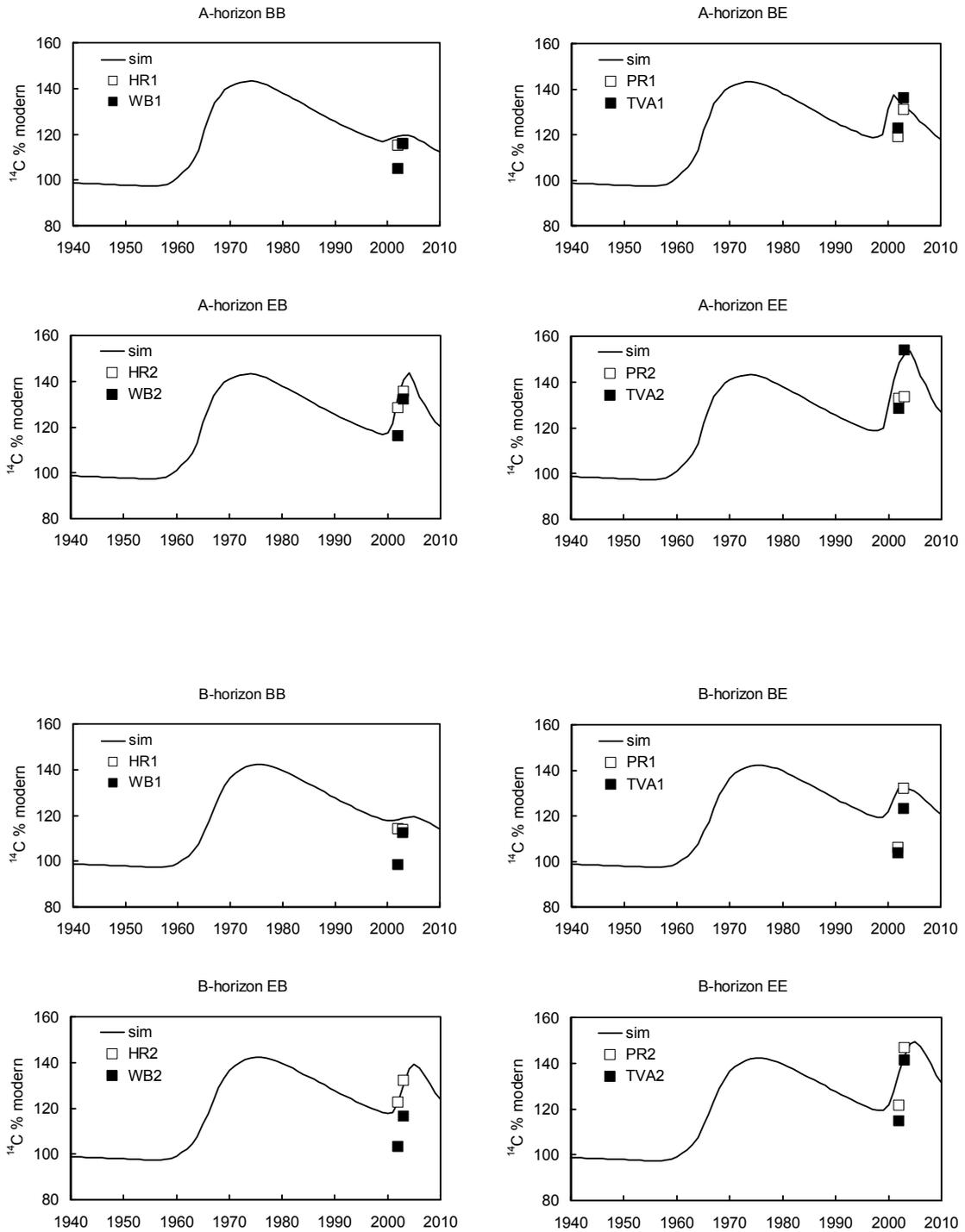
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973 Figure 7

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993 Figure 8

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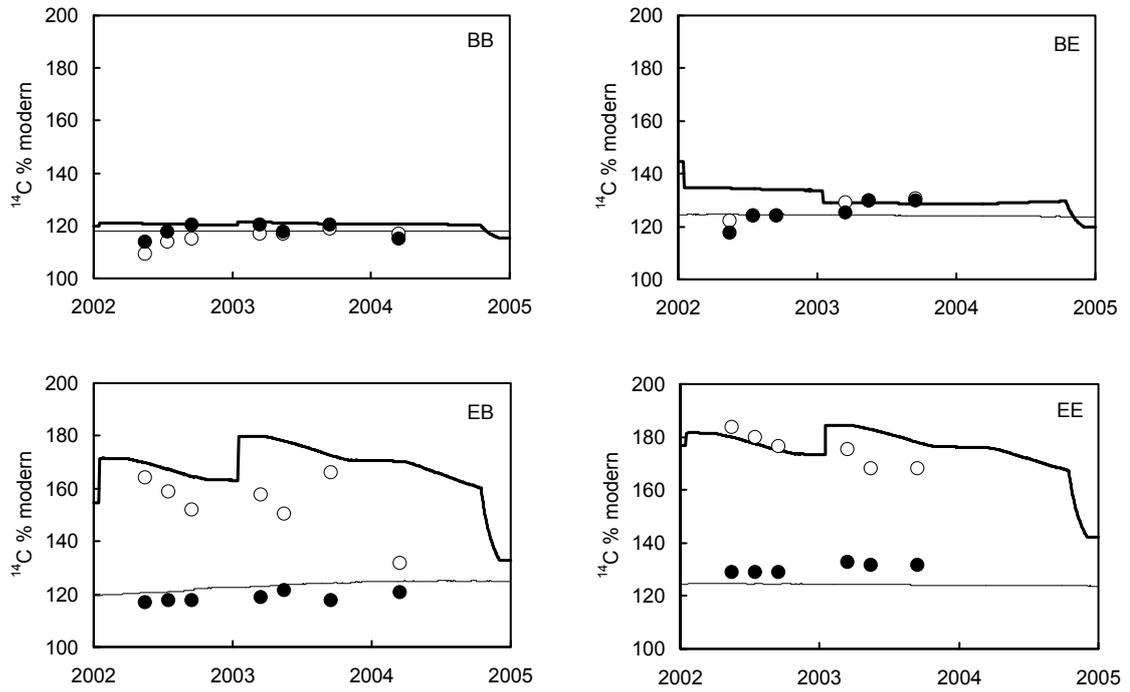
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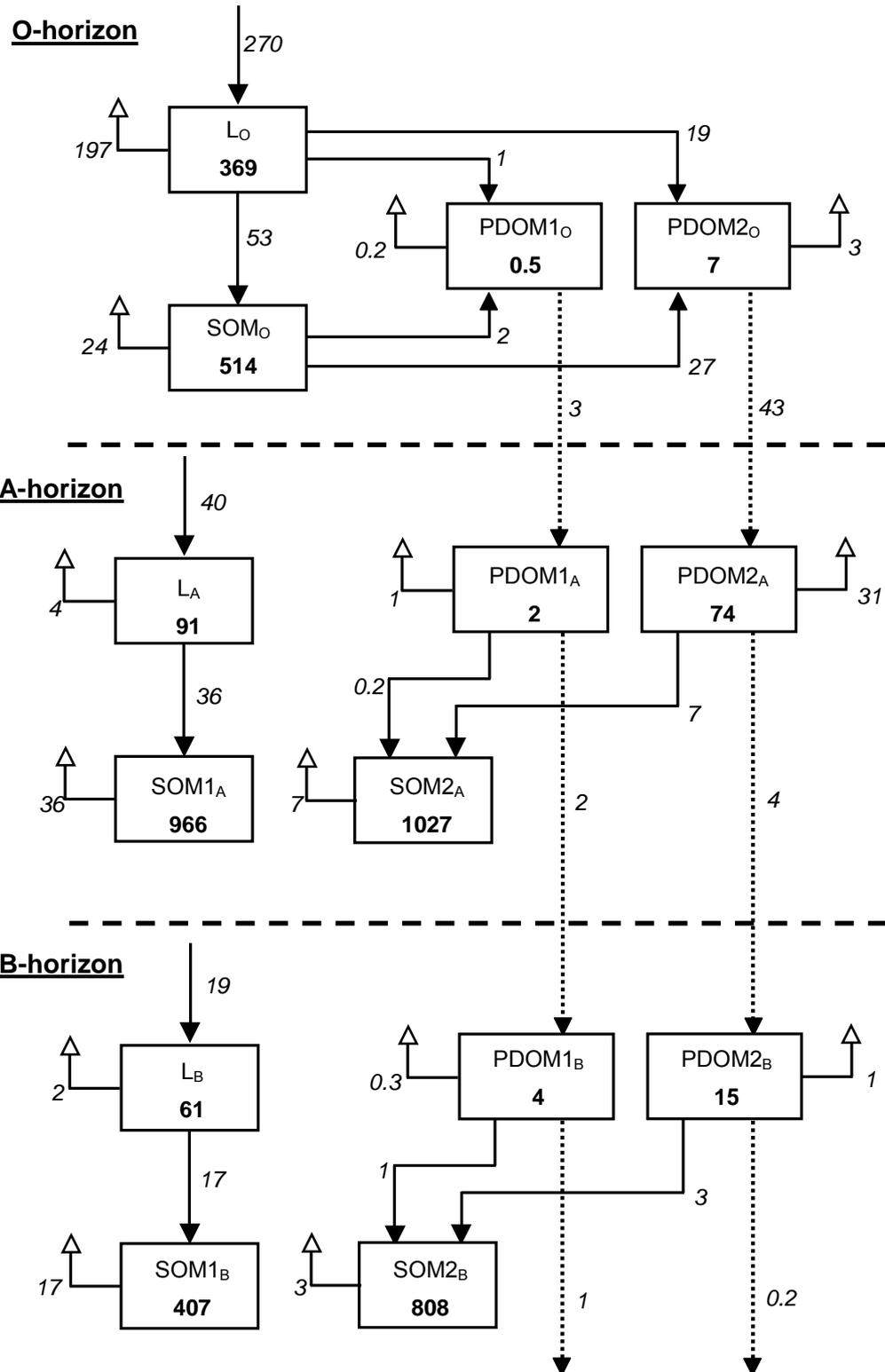


Figure 9