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24	Running head: Hormonal basis of breeding-moult overlap.					

#### 25 Abstract

In avian species that have evolved life-history strategies wherein molt and breeding overlap, there 26 27 are potential conflicts between the regulatory roles of baseline prolactin and corticosterone in 28 parental care (positive) and moult (negative). We describe seasonal patterns of hormonal 29 secretion, moult, and parental behaviour in sibling species of giant petrels (Macronectes spp.) 30 which begin moult during the incubation/early chick-rearing stage of reproduction. With the 31 exception of male Southern giant petrels (M. giganteus), prolactin secretion and moult in 32 Northern (*M. halli*) and female Southern giant petrels conformed to those observed in all other 33 avian species, with the initiation of moult coincident with decreases from peak prolactin levels. 34 However, male Southern giant petrels began moulting early in incubation when prolactin was 35 increasing and had not yet peaked, which suggests a requirement of prolactin for incubation 36 behaviour and a dissociation of prolactin from moult. Corticosterone showed little seasonal 37 variation and no relationship with moult. When comparing prolactin, corticosterone, and moult 38 in failed versus active breeders, we found that failed breeding enabled a more rapid down-39 regulation of prolactin, thus facilitating a more rapid moult. We present specific examples of the 40 behavioural ecology of giant petrels which we conclude help mediate any potential hormonal 41 conflicts between parental care and moult.

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Keywords: Prolactin, corticosterone, physiological conflict, incubation, chick rearing, giant
petrel, *Macronectes spp.*

#### 47 **1. Introduction**

48 It has long been held that the avian annual cycle is structured so that reproduction and moult are 49 temporally segregated, thereby minimizing the potential for energetic or physiological conflict 50 [9,25,33,34,40], and the idea that these two activities are mutually exclusive persists [7]. 51 However, many avian species have evolved moulting strategies which overlap to varying degrees 52 with reproduction, including seabirds [1,4,17,32,38,44], raptors [28,43], passerines [19,20,26,41] 53 and shorebirds [27]. Here we use the extensive moult-breeding overlap that occurs in giant 54 petrels (*Macronectes spp.*; [30]) to further our understanding of the hormonal control of moult, 55 and in particular to determine how birds resolve potential hormonal conflicts generated by 56 'pleiotropic' effects of prolactin and corticosterone in the regulation of parental care (positive 57 regulatory effects) and moult (negative regulatory effects) [23,47].

58 Experimental and descriptive studies with a variety of bird species (e.g. European 59 starlings [*Sturnus vulgaris*], Mute swans [*Cygnus olos*]) have shown that the endocrine control of 60 moult is regulated in part by the hormone prolactin [35], and specifically it is the decrease from 61 seasonal peaks rather than some absolute threshold which signals the initiation of moult [9,15]. 62 Immunization against vasoactive intestinal peptide, the prolactin-releasing hormone in birds, 63 inhibits photoperiodically induced prolactin secretion and moult [12]; thus, moult generally 64 cannot be readily disengaged from prolactin secretion [9]. However, prolactin also affects 65 parental behaviour, particularly incubation behaviour in the form of egg defense and 66 thermoregulation, and elevated plasma prolactin is maintained in many species until relatively 67 late in chick-rearing to facilitate parental care [55]. Therefore, if high plasma prolactin levels 68 actually inhibit the initiation of moult, this could explain why for many (but not all) birds moult 69 tends to begin late in chick-rearing when parental care is waning [2,10,13,15]. In species 70 exhibiting an overlap between parental care and moult, there is an apparent conflict due to the

pleiotropic effects of prolactin. How can some species initiate moult without a decrease in prolactin (as suggested by [15])? Conversely, how can birds provide effective parental care if prolactin decreases early in incubation/chick-rearing in order to initiate moult?

74 A similar conflict might emerge during a breeding-moult overlap via the pleiotropic 75 effects of the glucocorticoid hormone corticosterone. In many respects, a physiological stress 76 response should be 'adaptive' by increasing the likelihood that an individual will survive and 77 cope with a transient environmental stressor, though over-stimulation due to chronic stress, or 78 severe acute stressors, can lead to long-term negative effects [52]. Many studies of stress 79 physiology have focused on the functional consequences of maximum or stress-induced 80 corticosterone levels in response to acute stressors or unpredictable events [37,49,58,59,60]. The 81 acute stress response mediates fairly rapid, short-term physiological and behavioural changes 82 (e.g. the "emergency life history stage" [59]) to allow individuals to cope with environmental 83 stressors, principally though a positive effect on locomotor and foraging behavior with negative 84 effects on reproduction. More recent attempts to place this work in the context of life-histories 85 has highlighted the small number of studies that have linked variation in glucocorticoids to 86 variation in fitness, fecundity, reproductive success or survival, either for baseline corticosterone 87 [6] or stress-induced corticosterone levels [8]. Variation in corticosterone at any single point in 88 time can be positively, negatively, or non-significantly related to surrogates of fitness [6,8] and 89 the relationships can (not surprisingly) vary within individuals at different times in their life history. 90

Along these lines, recent studies have shown that baseline (i.e. not stress-induced) corticosterone secretion can be adaptively up-regulated during the reproductive season to facilitate high rates of provisioning for growing chicks [2,36]. However, corticosterone is usually down-regulated prior to the onset of the post-breeding moult, presumably to avoid the catabolism

95 of structural proteins needed for feather synthesis, as well as the corticosterone-dependent 96 inhibition of new protein synthesis during feather growth [16]. Indeed, baseline corticosterone 97 tends to be low in migratory passerines undergoing prebasic moult, and the adrenocortical 98 response to stress is also down-regulated [48,51]. How do birds exhibiting a breeding-moult 99 overlap reconcile the need to up-regulate baseline corticosterone during chick-rearing to support 100 foraging against the need to down-regulate it to avoid negative effects on moult (e.g. delayed 101 moult, poor feather quality; [14,51])?

102 To address these questions, we present correlative data on the temporal patterns of 103 prolactin and corticosterone secretion during the breeding-moult overlap in sibling species of 104 giant petrels (*Macronectes spp.*) nesting sympatrically at a sub-Antarctic island. Giant petrels 105 provide a good model for exploring the endocrine control of moult and the potential conflicts 106 therein as both Northern (*M. halli*) and Southern (*M. giganteus*) giant petrels begin moulting their 107 primary flight and body feathers towards the end of the incubation period or early-to-mid chick-108 rearing, though Southern males can begin moult during the initiation of incubation behaviours 109 [30]. Hunter [30] speculated that the high availability of food resources and animal carrion might 110 provide sufficient energy to facilitate both reproduction and moult, and due to sexual dimorphism 111 in foraging behaviour this might favour males as they tend to forage more locally while females 112 rely more on marine foraging [21]. Coupled with the fact that the two species differ both in the 113 timing and rate of moult [30], giant petrels provide a powerful system to look at hormonal 114 correlates of moult. By the time of hatching, giant petrels have begun moult, which continues 115 throughout the rest of the breeding season, and both species fledge their chicks and out-migrate at the same time at the breeding season's end, despite that Southern giant petrels begin 116 117 breeding/moulting two months later than the Northern species [30]. Given the strong 118 associations and empirically tested relationships between moult and both prolactin [9,15] and 119 corticosterone [51], and between incubation behaviour and prolactin [2], we examined seasonal 120 profiles of prolactin and corticosterone secretion and moult scores during the breeding-moult 121 overlap. The widespread (though not universal [18]) role of prolactin in incubation behaviour led 122 us to predicted that increasing prolactin would reveal a dissociation from moult in male Southern 123 giant petrels, which, unlike the other species and sexes, begin moult early during incubation. In 124 contrast, we predicted that female Southern and both sexes of Northern giant petrels, which begin 125 moulting towards the end of incubation, decreasing prolactin would herald the start of moult, as 126 observed in the majority of birds in which prolactin-moult dynamics have been investigated. 127 With respect to corticosterone, we predicted that the relatively high availability of food resources 128 during the breeding season would make an up-regulation of corticosterone to facilitate increased 129 foraging activity unnecessary. Therefore, we did not predict that plasma corticosterone would 130 show seasonal up-regulation. Rather, we predicted that plasma corticosterone would be 131 maintained at a fairly low, baseline, level and without a direct association with moult.

132 At the end of the breeding season before giant petrels depart the breeding colony, we also 133 compare hormonal patterns to test predictions regarding the successful maintenance of both 134 breeding and moult, especially as prolactin and corticosterone can both be involved in breeding 135 failure [2,3,6,22]. For example, failed breeders should have lower prolactin and more advanced 136 moult relative to birds still actively engaged in chick rearing. Therefore, we also compared 137 relationships between prolactin, corticosterone, and moult in failed and active breeders to 138 understand how these dynamics change when birds are released from the constraints of 139 parenthood.

140

### 141 **2. Material and Methods**

142 2.1 Field collection

143 Data were collected from Northern and Southern giant petrels breeding on Bird Island, South 144 Georgia (54°00'S, 38°02'W) during the austral summer of 2008-2009. Northern giant petrels lay 145 in mid September-mid October, chicks hatch in mid November-mid December, and fledge in mid 146 March-early April. Southern giant petrels lay on average about 6 weeks later, in November, and 147 chicks hatch in January and fledge in May [see 31], and British Antarctic Survey unpublished 148 data). The duration of the initial guard phase before the chick is first left alone is considerably 149 longer in northern than southern giant petrels (30 days vs. 16 days; see [31]). Sex of adult giant 150 petrels can be determined reliably in the field based on bill dimensions [31], and all individuals in 151 this study were part of the long-term population monitoring programme. A maximum of twenty 152 males and 20 females of each species were sampled at four different times throughout the 153 breeding season: after laying, before hatch, after hatch, and late in chick-rearing. This sequence 154 spanned a 6 month period from October 2008 to April 2009. Only one bird was sampled from 155 each nest, and no birds were subsequently re-sampled (e.g. we did not serially sample birds). 156 Failed and successfully breeding petrels were also sampled during the last sampling period (late 157 chick-rearing), just prior to dates of chick-fledging and adult out-migration. Dates of breeding 158 failure for these late-season petrels were determined from weekly nest visits as part of the routine 159 giant petrel monitoring program at Bird Island. Failure varied from 63-147 days prior to dates of 160 sampling.

At most sampling periods, birds were approached at the nest. The exception was during late-chick rearing when active breeders (identified from the unique alphanumeric code on their plastic leg bands) are more likely found in small groups in open grassy areas in the general vicinity of their nests. Upon capture, blood samples (2.0 ml) were collected from the tarsal vein using a pre-heparinized syringes with 25 gauge needles. Blood was transferred to heparinized 2.5-mL Eppendorf vials, and a small sub-sample was removed with a micro-haematocrit tube and 167 centrifuged for 5 min. at 10,000 g. The remaining blood was then centrifuged for 5 min. at 168 10,000 g and plasma transferred to labeled 0.6-mL vials for storage at -20° C until analysis. In 169 nearly all cases (299 of 302), blood was collected in less than 3 minutes, which is necessary for 170 interpreting baseline corticosterone levels [50]. Bill length and minimum depth, and tarsus 171 length, were measured to the nearest 1.0 mm with calipers. Mass was measured to the nearest 172 10.0 g with Pesola spring scales. Mass-corrected primary feather moult was scored using the 173 method outlined by [11]. To compare the progression of moult to a previous study of giant 174 petrels on Bird Island, moult was also scored using the method outlined in [30]. Birds were then 175 released with a dab of red paint on their breasts to ensure that they were not recaptured or 176 disturbed on subsequent sampling dates. We did not make detailed notes on the effects of 177 handling on subsequent chick egg/survival as the birds that we sampled were outside the 178 designated area for giant petrel monitoring at Bird Island.

179

#### 180 2.2 Hormonal assays

181 Prolactin was assayed in duplicate 20 µl plasma samples in a recombinant-derived starling prolactin assay [5]. The sensitivity of the assay was 1.0 ng ml<sup>-1</sup>, and 50% displacement was 182 obtained with 12.1 ng ml<sup>-1</sup>. All samples were measured in a single assay and the intra-assay 183 184 coefficient of variation was 6.5%. For some samples, an extra 10 µl was measured to ensure parallelism (Fig. 1). Corticosterone was determined by double antibody radioimmunoassay (<sup>125</sup>I-185 186 RIA, MP Biomedicals, 07-120103) with modifications validated for several avian species 187 [42,54,57]. The assay detection limit was 3.13 pg corticosterone per tube (i.e. the lowest corticosterone standard, 12.5 ng ml<sup>-1</sup>, using a 50 µl assay volume). The low corticosterone 188 189 control and a consistent native plasma sample were analyzed in each assay to determine an inter-190 assay coefficient of variation (5.10%). Intra-assay coefficient of variation was 8.79% for the 191 Northern giant petrels and 10.71% for the Southern giant petrels. Serially diluted native plasma 192 samples were parallel to the corticosterone standard curve (coefficients of variation for final 193 concentrations were 9.94% for Northern giant petrels (N=3) and 7.48% for Southern giant petrels 194 (N=3) following methods outlined in [45].

195

# 196 2.3 Statistics

197 Analyses were run with either the JMP 8.0 or SAS 9.0 software packages. All variables were 198 tested for normality, as were residuals from plots against predicted values, using Shapiro-Wilk 199 tests. Data transformations were applied when distributions were non-normal. Analysis of 200 variance (ANOVA) tests were used to examine differences among species and breeding stages 201 and between sexes with regard to plasma prolactin, corticosterone, and moult score. We also 202 used regression analysis to compare prolactin, corticosterone, and moult score differences 203 between successful and failed breeders sampled at the end of the breeding season just prior to 204 dates of chick fledging and adult out-migration. Tukey-Kramer post-hoc tests were used to 205 identify significant contrasts in all tests. Linear regression was used to explore relationships 206 between moult and hormonal levels in birds that were captured at the end of the season, and were 207 thus all in moult. Values presented in figures are untransformed, least-squares means  $\pm$  SEM.

208

### 209 **3. Results**

We sampled a total of 302 giant petrels (Northern = 70 females, 70 males; Southern = 81 females, 81 males) throughout the 6-month reproductive season. There was little variation in body mass, and without any consistent seasonal pattern between species or sexes (Table 1). There was significant variation in plasma prolactin levels in relation to breeding stage in each species and sex (ANOVA, all P<0.01; Fig. 2). In each case there was a unimodal pattern with

215 low values around egg-laying, significant increases occurring around hatching, with prolactin 216 then decreasing to lowest levels at the end of the breeding season. Moult generally did not begin 217 until eggs hatched, except for *M. giganteus* males which initiated moult in the days following egg 218 laying when prolactin had not yet peaked (Fig. 2).

219 In contrast to prolactin, there were no significant variations in plasma corticosterone 220 relative to breeding stage in male and female Northern or in female Southern giant petrels 221 (ANOVA, all P>0.55; Fig. 2). In male Southern giant petrels however, plasma corticosterone 222 was significantly lower at the first sampling stage, just after egg-laving (3.42) 0.83 ng ml<sup>-1</sup>:  $F_{3.70}=3.698$ , P=0.024), but at no other stages were differences significant (Fig. 2), and in no 223 species or sex did mean corticosterone levels exceed 10 ng ml<sup>-1</sup>. Among the different sampling 224 stages, mean baseline corticosterone ranged from 6.7-9.1 ng ml<sup>-1</sup> in females and 3.9-8.4 ng ml<sup>-1</sup> 225 in males (Fig. 2). With regard to sampling time effects on corticosterone, after removing the 3 226 227 outlier samples (of 302) which were collected in >3 min, there were no significant relationship 228 between sample collection time and baseline corticosterone concentrations (r=0.128, N=299, 229 P=0.079) or prolactin (r=-0.028, N=299, P=0.633).

230 By examining moult scores and hormone concentrations in failed and successful breeders 231 at the end of the season when all birds were in moult, a significant negative relationship was 232 observed between plasma prolactin and moult in male and female Southern as well as male Northern giant petrels (Southern males,  $R^2=0.34$ , N=49, P<0.001; Southern females,  $R^2=0.32$ , 233 N=19, P=0.026; Northern males,  $R^2$ =0.26, N=19, P=0.030) (Fig. 3), but for female Northern 234 giant petrels, the relationship was only marginally non-significant ( $R^2=0.25$ , N=10, P=0.058). 235 236 However, the slopes of the relationships are similar among all species and sexes, so we attribute 237 the non-significance in Northern females to the small sample size relative to the others. In

neither species nor sex was corticosterone significantly correlated with moult score (all P>0.440)
(Fig. 3). There were no significant correlations among prolactin, corticosterone, and body mass
in any species, sex or reproductive stage (Pearson's correlations, all P>0.298).

241 When comparing active (Northern females N=9, males N=18; Southern females N=14, 242 males N=15) and failed breeders (Northern females N=8, males N=5; Southern females N=7, 243 males N=4) at the late chick-rearing stage, significant differences in moult score were observed, 244 with moult at a more advanced stage in failed breeders in both species and sexes (ANOVA, all 245 P<0.001; Fig. 4). Prolactin showed an inverse pattern, with low levels when moult scores were 246 high, but only in Southern giant petrels (ANOVA, both P<0.001; Fig. 4); there was no difference 247 in prolactin between Northern giant petrels that had failed and those still raising chicks (both 248 sexes, P>0.187). We ran an ANOVA model to compare prolactin in successful birds sampled 249 next to the nest vs. successful birds sampled further way to explore the effect of sampling 250 distance on concentrations. The models were not significant (sex-by-species; all P>0.233), 251 meaning that we did not detect a difference based on sampling distance from the nest. Corticosterone levels did not differ between failed and active breeders in either species or sex (all 252 253 P>0.37; Fig. 4).

254

# 255 **4. Discussion**

Our primary aim was to determine how giant petrels mediate the potential conflict between the roles of prolactin and corticosterone in the regulation of parental care (positive effects) and moult (negative effects) when reproduction and moult operate simultaneously (i.e. the breeding-moult overlap). All the giant petrels in this study showed a clear overlap between moult and reproduction, and in female Southern giant petrels and both sexes of Northern giant petrels, patterns of prolactin and corticosterone secretion were as predicted for the facilitation of moult

262 [16], i.e. both hormones were decreasing or at low levels throughout moult, even though these 263 birds maintained parental care. These giant petrels therefore appear to have evolved behavioural 264 or ecological means for avoiding any deleterious effects that low prolactin levels might exact on 265 parental care, which we will discuss in greater detail below. (However, we do not know the 266 levels at which prolactin were maintained in the non-breeding birds at this stage of the season; it 267 is possible that the breeding birds still had relatively higher levels than non-breeding birds, which 268 would indicate a continued role of prolactin in parental care. In contrast, male Southern giant 269 petrels initiated moult at a time when prolactin and corticosterone were both increasing, which 270 stands as a rare exception among the majority of birds for which a decrease from seasonal 271 prolactin peaks seems required for the initiation of moult [9]. This suggests that in male 272 Southern giant petrels moult must be regulated by some alternate physiological pathway (e.g. the 273 thyroid hormones [46], but see [9]) so as to avoid the negative effects of low prolactin on 274 incubation behaviour when moult starts very early in reproduction. Indeed, the pattern of 275 increasing prolactin in male Southern giant petrels conforms to many descriptive and 276 experimental studies which show a positive link between prolactin and incubation behaviour [2].

277 As noted, with the exception of male Southern giant petrels, patterns of prolactin 278 secretion and moult conformed to those observed in other species [9]: moult was initiated only 279 once prolactin began decreasing from seasonal peaks, and for the Northern giant petrels and 280 female Southern giant petrels, this decrease occurred when their eggs began hatching. What is 281 interesting is that this decrease preceded a major shift in parental behaviour, after which young 282 chicks are left unguarded by both parents (see black arrows in Fig. 2; [30]), thus heralding the 283 functional endpoint of prolactin-mediated parental care (e.g. physical protection and 284 thermoregulation of chicks) and conforming to a general decreasing pattern observed in other 285 Procellariiforme species [9,15,24]. It is probable that this aspect of their behavioural ecology is 286 what allows giant petrels to avoid any negative pleiotropic effects of decreasing prolactin on 287 parental behaviour, and may provide a means through which to mediate a potential hormonal 288 conflict between parental care and the initiation of moult. Parent birds still provide care in the 289 form of frequent food deliveries to growing chicks, but the brooding behaviours most often 290 associated with prolactin secretion, including the defence and thermo-protection of chicks, are 291 not exhibited by parents at this stage of chick development. Parents are thus free, in theory, to 292 down-regulate prolactin and initiate moult without any apparent cost to the chick, which is the 293 case with many bird species [2]. However most birds do not moult during the breeding season, 294 so studies examining endocrinological differences between breeding-moult overlap species and 295 non-overlap species are still needed. But, as noted, the Southern males do not conform to this 296 model, and moult is initiated early in the incubation stage when prolactin is *increasing* (see Fig. 297 2). Unlike their Northern relatives and Southern female conspecifics, prolactin peaks in Southern 298 males near the time of egg hatching and decreases only when chicks are left unguarded. This 299 suggests a requirement for high prolactin in Southern male petrels in order to foster the 300 behaviours that are vitally necessary for egg survival (e.g. defence and thermoregulation), and 301 further suggests a disconnect between prolactin and moult. Southern Giant petrels begin 302 breeding and moulting two months later than the Northern species, but both fledge their chicks 303 and out-migrate at the same time before winter [30]. Rates of chick development are therefore 304 faster in the Southern species, but rate of moult is slower, especially in males. This may present 305 a requirement for male Southern giant petrels to begin moult so early during incubation, and 306 selection for a de-coupling of prolactin from the dynamics of moult. This is supported by the fact 307 that moult takes longer in the Southern than in the Northern species, and longer in males than 308 females [30]. However, whether this disconnect comes without any real cost to the dynamics of 309 moult, or whether direct, negative pleiotropic effects are indeed manifest in the quality or composition of newly grown feathers [14] is not presently known. Comparative analysis of feather structure and physiology between *Macronectes* species and sexes would be needed to discern this, though presumably selection pressure would be high. Furthermore, the location and density of prolactin receptors may vary among species, sexes and stages. Examination of receptor expression might lend insights to the mechanics of parental care, even when prolactin levels are comparatively low, and to how male Southern giant petrels are able to initiate moult so early during incubation.

317 The male Southern giant petrels also showed a significant increase in corticosterone at the 318 onset of moult, unlike the female Southern and both Northern giant petrels, but this increase was 319 small in scale. Shultz and Kitaysky [53] have interpreted baseline corticosterone as an indicator 320 of nutritional stress, which shows negative correlations with food availability in kittiwakes (Rissa 321 This idea is consistent with our study; we have observed very low levels of tridactyla). 322 corticosterone throughout the breeding season in a system with high forage availability. 323 However, other studies have also suggested that up-regulation of baseline (not stress-induced) 324 corticosterone during reproduction can be a tactic in some species to facilitate high rates of chick 325 provisioning [2,36], but one that presents a potential conflict with regard to moult as up-326 regulation can diminish the structural and thermoregulatory properties of newly grown feathers 327 [51]. Despite the initial increase in the male Southern petrels, there was little overall variation in 328 plasma corticosterone throughout the breeding season for both sexes and species, and corticosterone levels were similar in all the petrels (on average, all below 10 ng ml<sup>-1</sup>). 329 330 Furthermore, corticosterone concentrations did not correlate with either prolactin or the rate of 331 moult. This raises the possibility that a relative up-regulation of corticosterone to support 332 foraging may not be necessary for giant petrels given their unique behavioural ecology. High 333 abundances of seal (Acrtocephalus gazella) and penguin (gentoo Pygoscelis papua, macaroni

*Eudyptes chrysolophus*) carrier from November to the end of January [see 29], as well as high 334 335 levels of local marine production [39], may reduce foraging demands and offset any requirement 336 for up-regulation of baseline corticosterone, or low baseline levels may reflect less nutritional 337 stress [53]. Coupled with their tendency to leave their young chicks unattended, both parents are 338 free to forage [29]. Either way, low corticosterone levels during moult, and the lack of any 339 discernable pattern of increasing corticosterone, may reflect a reduced urgency to forage relative 340 to terrestrial bird species in which one parent often remains on or in the vicinity of the nest to 341 defend chicks. These low and generally constant baseline levels might then be related to the high 342 seasonal food abundance, and probably facilitates a breeding-moult overlap by minimizing any 343 potentially deleterious effects of protein catabolism in newly forming feathers. This provides a 344 rare example of a potential hormonal conflict being resolved, in part, by aspects of behavioural 345 ecology. Annual difference in environmental conditions and food availability may influence 346 corticosterone secretions, and this could then have affects of the quality of newly moulted 347 feathers. Experimental manipulations reflecting inter-annual variation of corticosterone levels 348 and subsequent analysis of feather quality would lend support to this idea.

349 Our second aim was to investigate the inter-relationships between prolactin, 350 corticosterone, and moult when breeding failure released birds from hormonal conflict between 351 moult and parental care. In long-lived species, such as Procellariiform seabirds, life-history 352 theory predicts that individuals should partition resources towards self-maintenance, survival and 353 future fecundity, rather than for current breeding attempts when ecological conditions are poor 354 [56], and studies have linked corticosterone in mediating this trade-off via suppression of 355 prolactin [3]. Our data clearly show that that the loss of chicks allowed parent birds to shift from 356 investment in parental care towards self-maintenance by directing time and resources to moult. 357 In both male and female Southern giant petrels, a rapid down-regulation of prolactin was 358 correlated with an accelerated rate of moult, and this is evident in Figs. 3 and 4 which show a 359 significant inverse relationship between prolactin and moult among failed and successful 360 individuals in both sexes. However, that there was no discernable difference in mean prolactin 361 between failed and successful Northern giant petrels (Fig. 4) is probably due to reproduction 362 beginning six weeks earlier in Northern than in Southern giant petrels, which may have provided 363 sufficiently more time for both failed and successful breeders to down-regulate prolactin relative 364 to the Southern species. Ultimately, there were no differences in body mass or corticosterone 365 levels in failed and successful breeders, but these variables were measured weeks to months after 366 the loss of eggs and chicks, and were presumably no longer representative of physiological state 367 at the time of failure.

368

#### **5.** Conclusion

370 In summary, we suggest that giant petrels avoid any potential conflicts between the regulatory 371 roles of prolactin and corticosterone in parental care (positive) and moult (negative) during their 372 extensive moult-breeding overlap due to unique aspects of their behavior and foraging ecology. 373 Despite moult being initiated early in parental care, moult was nevertheless associated with a 374 decrease from seasonal prolactin peaks, supporting the hypothesis for a role in the regulation of 375 moult [10,15]. The exceptions were male Southern giant petrels, which began moulting early 376 during incubation and appear to have dissociated prolactin from moult in order to maintain high 377 prolactin levels and preserve incubation behaviour. By early chick-rearing however, prolactin 378 levels in all giant petrels were decreasing, but any potential 'costs' to parental care were 379 presumably negligible as nest defence and thermo-protection of chicks are not typical parental 380 behaviours in giant petrels at this stage of the reproductive season. Although Dawson [9] and 381 Dawson and Sharp [13] highlight a concordance between *decreasing* prolactin and initiation of 382 moult, our results nevertheless revealed significant negative relationships between moult score 383 and prolactin levels, which suggests that absolute plasma prolactin levels might play a role in 384 regulating the progression of feather replacement once moult begins. With respect to plasma 385 corticosterone, levels were low throughout the period of parental care, which was consistent with 386 the hypothesis that low levels are required to avoid the negative effects on new feather quality 387 [16]. This may be especially true for male Southern giant petrels, which had significantly low 388 corticosterone levels at the onset of incubation, which is when they begin moulting. There was 389 however no evidence for an up-regulation of corticosterone to support increased foraging 390 demands of chick-rearing, perhaps because of the high abundance carrion and/or high levels of 391 local marine production may reduce foraging demands. Our study thus highlights the need to 392 consider various behavioural, ecological, and evolutionary contexts when attempting to explain 393 the putative hormonal mechanisms controlling the physiology of life-histories.

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395

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Table 1: Body mass of giant petrels (*Macronectes spp.*) measured throughout a breeding season
at Bird Island, South Georgia. Values are least square means ± SEM. Raised letters indicate

		Females		Males
Species &		Body mass		Body mass
reproductive stage	Ν	(kg)	Ν	(kg)
M. halli				
Early egg incubation	20	$3.38\pm0.07$	20	$4.31 \pm 0.10^{a}$
Late egg incubation	10	$3.79\pm0.10$	10	$4.73\pm0.14~^{b}$
Early chick rearing	20	$3.49\pm0.08$	20	$4.91\pm0.10^{\ b}$
Late chick rearing	20	$3.59\pm0.11$	20	$4.67\pm0.15~^{b}$
M. giganteus				
Early egg incubation	20	$3.84 \pm 0.09^{\ a,b}$	20	$4.70\pm0.11$
Late egg incubation	20	$3.89 \pm 0.09^{\ a}$	20	$4.92\pm0.11$
Early chick rearing	20	$3.59 \pm 0.09 \ ^{b}$	20	$4.80\pm0.11$
Late chick rearing	21	$3.56 \pm 0.10^{\ b}$	21	$4.59\pm0.14$

553 Figure Captions

Fig. 1: Six plasma samples from Northern giant petrels were assayed for prolactin concentration at 10 L and 20 L volumes to examine assay parallelism. The lines connect points which show counts per minute for the pairs of samples plotted against the standard curve (bold dashed line).

558

559 Fig. 2: Plasma prolactin (closed circles), corticosterone (open circles), and moult scores (closed 560 triangles) in breeding giant petrels (Macronectes spp.) at Bird Island, South Georgia. The 561 progression of points follows seasonal stages of incubation and chick-rearing: first points 562 represent early incubation, then late incubation, then early rearing, ending at late rearing. 563 Points are least square means  $\pm$  SEM. Solid arrows indicate approximate dates when chicks are 564 first left unguarded by both parents. Open triangles in the *M. halli* panels are moult scores 565 collected from separate groups of breeding birds to complement moult scores collected in M. 566 giganteus on the same day.

567

Fig. 3: Relationships of moult with prolactin and corticosterone in giant petrels (*Macronectes spp.*) measured at the end of the breeding season when all birds were moulting. Closed circles
signify *M. halli* Open and circles *M. giganteus*. Lines are best linear fits. Dashed line
represents a non-significant relationship.

572

Fig. 4: Comparison of moult scores and plasma hormones in failed and successfully breeding giant petrels (*Macronectes spp.*) sampled at the end of the breeding season just prior to outmigration. Bars indicate least square means  $\pm$  SEM.





**!** "#\$%&**'** 



Fig. 3



Fig. 4