# Sex-dependent diel vertical migration in northern krill *Meganyctiphanes norvegica* and its consequences for population dynamics

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ABSTRACT: The diel vertical migration (DVM) and population dynamics of northern krill were investigated in the Clyde Sea over several years using depth-discrete net-sampling and a moored 300 kHz acoustic Doppler current profiler. Krill performed DVM throughout each year of sampling. The respective arrival and departure of the krill scattering layer to and from the upper layers occurred consistently 30 min after sunset and 30 min before sunrise. DVM of males and females was different, with females migrating closer to the surface at night than males of equivalent size. The sex ratio was initially 1:1 when juveniles matured into adults after their first winter, but the ratio became increasingly biased towards males as the season progressed such that, by October, the ratio was close to 3:1. A deterministic individual-based model, in which predation risk was a function of the light available to visual predators, showed that the bias could be accounted for by the difference in risk taken by males and females as a result of their DVMs. The same model also showed that, during summer, the difference in these DVMs resulted in females making a net energy gain that was 40 % higher than that of males. The predicted net energy gain for females met the immediate energetic demand for egg production as well as providing a surplus that could offset any future decline in food availability. The model did not predict the observation that the decline in male numbers was greater than that of females over the winter. This may be a result of females being more able to cope with starvation through reabsorption of their lipid-rich ovaries. The greater demand for energy to fuel reproduction appeared to be driving females to undertake a riskier DVM than males. This drive is likely to be common to most euphausiid species.

KEY WORDS: Euphausiid · Behaviour · Sex ratio · Mortality · Predation · Zooplankton · Acoustic Doppler current profiler · DVM

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## **INTRODUCTION**

In most pelagic environments, both the concentration of food and the risk of predation are highest close to the surface. The attenuation of light with water depth means that phytoplankton can only grow, and visually based predators, such as fish, can only hunt effectively when in the illuminated upper layers. Therefore, a strategy that aims to maximise energy intake will also incur the greatest predation risk, and optimal fitness is achieved only when these costs and benefits are balanced so that total lifetime reproductive success is maximised (Houston et al. 1993). The greater energetic input often required for egg production in many marine invertebrates makes the cost of reproduction markedly different between males and females. As a result, the trade-off between energy acquisition and risk of predation is likely to be different between sexes. For pelagic organisms, this tradeoff will often be reflected in their respective diel vertical migration (DVM) profiles, in particular, the decision of when to migrate and to what depth.

Most species of euphausiid perform DVM, of which the majority exhibit the classic pattern of ascent towards the surface layers at dusk and descent to depth at dawn (Mauchline & Fisher 1969). *Meganyctiphanes norvegica* is one of the most prolific migrators, capable of ascending over 700 m in an hour (Tarling et al. 1999a). Nicol (1984) and Tarling et al. (1999b) showed that the migration behaviour of this species is modified by spawning and moulting activity, but such behaviour accounts for less than 5% of its life-cycle and, in the case of moulting, affects both sexes in similar ways (Tarling et al. 1999b). Sexual differences in DVM outside of the brief periods of spawning and moulting has been little considered, yet the consequences in terms of relative mortality rates is likely to have a large influence on the population dynamics of this species.

Female and male *Meganyctiphanes norvegica* have different energetic requirements as a result of their respective costs of reproduction. During the reproductive season, which can last between 3 and 7 mo, females build up resources in the ovaries over several weeks. By the time the female is ready to spawn, it has amassed over 1000 eggs, with a combined lipid content of more than 3 mg ash-free dry weight (Cuzin-Roudy 2000). In the Clyde Sea, Tarling & Cuzin-Roudy (2003) have shown that a female can go through 7 such cycles in a single year. The energetic cost of male production is less known, but it is undoubtedly much smaller given the diminutive size of the spermatophores and the fact that a female needs to be copulated once every moult cycle, which lasts ca. 2 wk in temperate regions. Females rarely have more than one attached spermatophore and are often less abundant than males in the population (Boysen & Buchholz 1984, Tarling et al. 1999b), so it is unlikely that many spermatophores are released by males every moult cycle. Furthermore, the total lipid content of males can be as low as half that of females (Cuzin-Roudy et al. 1999).

The fact that females need more energy for reproduction than males means that they may need to take more risks. In a classic pelagic situation, increasing food intake would mean migrating closer to the surface and/or remaining there for longer, which increases the risk of being detected by visual predators. As a result, one would expect that the loss of females to predation to be greater than the loss of males, which would produce an increasing bias towards the number of males through the course of the year. Establishing a reliable record of sex ratio over time is often difficult in field populations since many are influenced by immigration and emigration as a result of advection. The population found in the Clyde Sea is relatively free from such influences since a shallow sill (the Grand Plateau) at its entrance isolates it (Fig. 1). The site, nevertheless, retains many oceanic features (Rippeth et al. 1995) and is therefore a good model system for examining phenomena that may be more widespread.



Fig. 1. The Clyde Sea, showing the site of the mooring and net-sampling

In this study, net sampling and acoustics were used to determine, empirically, the behaviour and population dynamics of the population over an annual cycle. The costs and benefits of the DVM trajectories of each sex were assessed through the use of a previously published semi-empirical model (Tarling et al. 2000). The model was redesigned to compare the relative fate of males and females as a result of their observed DVMs. The model predicted the sex ratio over the course of a year, which was compared to observations testing the hypothesis that females trade-off their need for higher energy intake, by enduring a higher risk of mortality, compared with males.

#### MATERIALS AND METHODS

Overview. Empirical data from the Clyde Sea population of Meganyctiphanes norvegica were obtained from 2 separate campaign series. The first campaign series was carried out in July 1996 and March 1997, on board the oceanographic RV 'Heincke', from which sets of highly resolved net samples were obtained for the analysis of krill DVM. Physiological measurements of krill, and the determination of their trophic environment, were also carried out during this campaign series and published elsewhere (Tarling et al. 2000, Lass et al. 2001, Saborowski et al. 2002). In the second campaign series, continous information on krill migration was obtained with a moored acoustic Doppler current profiler (ADCP), deployed between June 1999 and July 2000. An inshore vessel (RV 'Calanus') visited the mooring site every other month during this period to catch krill for population dynamic analyses, and also to obtain temperature and light attenuation profiles. The surface light-regime was monitored continuously from a nearby land station. The physical, physiological and behavioural data from both campaign series were used in model parameterisation, while the population dynamics data from the second series were used to validate model predictions.

**Field sampling.** All sampling was carried out within a 140 to 160 m-deep trench that runs along the length of the Clyde Sea (55.6° N, 5.1° W, Fig. 1). A 1 m<sup>2</sup> MOCNESS (Wiebe et al. 1985) was deployed from the RV 'Heincke', equipped with 9 nets, a flowmeter, and temperature, salinity and depth sensors. Net 1, with a 330 µm mesh, was open during the descent of the net to within 15 m of the bottom. The other 8 nets, with 2 mm mesh, were opened sequentially while the net was being hauled in, so that the water column was sampled in discrete depth intervals of  $\leq 20$  m. The net was lowered at 0.5 m s<sup>-1</sup> and raised at 0.2 m s<sup>-1</sup>; the towing speed was 2.5 knots. The deployments took an average of 40 min and were carried out every 3 h for 30 h between 4 and 5 July 1996, and 16

and 17 March 1997. Samples were immediately frozen at -30°C for further analysis in the home laboratory. The same net-system was used on board the RV 'Calanus'. although the total number of depth intervals was reduced to 6, (130 to 100 m, 100 to 75 m, 75 to 50 m, 50 to 30 m, 30 to 15 m, and 15 to 0 m). The net was deployed successfully in visits made between June 28 and July 2, 1999, 4 and 8 October 1999, 6 and 8 March 2000, and 8 and 12 May 2000. A failure in the conducting cable in visits made between August 9 and 12, 1999 and November 29 and December 3, 1999 prevented communication with the MOCNESS and disabled any depthdiscrete sampling capability. In these instances, just 1 of the 9 nets was left open for the entire duration of the haul, and 150 m of cable was paid out at  $0.5 \text{ m s}^{-1}$  and hauled in at  $0.2 \text{ m s}^{-1}$ . Subsequent calibration hauls with a VEMCO TDX attached to the MOCNESS frame estimated that the net reached a maximum depth of 60 m. The towing speed was 2.5 knots. Temperature and salinity profiles were taken with a Neil Brown conductivity temperature depth (CTD) recorder in June and August 1999, and with a SeaBird CTD in all other visits. The attenuation coefficient was measured with a diffuselight transmissometer and a Secchi disk.

A 300 kHz Workhorse ADCP was deployed from June 24, 1999 to July 4, 2000 in Inchmarnock Water (Fig. 1). The instrument was deployed on a U-shaped mooring and looked upwards from a depth of 110 m. The instrument operated continuously over this period, with the exception of 5 d interludes for servicing every 2 mo. It was set to collect 15 depth 'bins' of 8 m depth, and to ping 12 times every 2 min. The first 4 m above the ADCP were not resolved because of the ringing of the transducer after the transmit pulse, while the region close to the surface was excluded from the final data set because of surface reflection.

A LI-COR 200SA pyranometer was placed on an unobstructed rooftop in Tarbert, approximately 20 km away from the study site, to measure global solar radiation (sun plus sky;  $W m^{-2}$ ).

Home laboratory analysis. During the 1996 and 1997 campaigns, all morphometric analyses were carried out on thawed specimens. The principal measurement was of the carapace (tip of the rostrum to the postero-lateral border; summer n = 3098; winter n = 2464), which was considered the most reliable estimate of body size given the damage that occurred to other body parts as a result of the freeze-thaw process. A calibration curve, used to derive 'total length' (front of the eye to tip of the telson), was obtained from undamaged specimens (N = 664,  $r^2 = 0.71$ ). In the 1999 to 2000 campaigns, 'total length' was measured on fixed specimens (N = 12056) approximately 6 mo after capture. The sexual development of all animals was staged according to Makarov & Denys (1981).

**Length-frequency analysis.** Overlapping modes were identified within length frequency distributions using component-fitting software (MIX 3.1; MacDonald & Green 1988). The method finds the best fit to the length-frequency distribution through iterating between a series of component types (normal, lognormal, exponential and gamma). The user must identify the expected number of components within the distribution before initialising the fitting routines. A quasi Newton algorithm performed the fitting procedures without any constraints being placed on the proportions, the mean length or the variance expected within each component. I experimented with all types of curves and made the *a priori* assumption that there were either 2 or 3 modes in the population.

Acoustic analysis. Although designed principally to measure water velocity through the water column, the ADCP also collects information on the intensity of the returning echo from the ADCP's transmit pulse, which Flagg & Smith (1989) showed to be correlated with zooplankton biomass in some instances. Tarling et al. (2002) analysed echo intensity (converted to absolute backscattering strength  $[S_v]$  from the 1999-2000 Clyde Sea ADCP deployments and found that, at a threshold of -70 dB, there was a single sound scattering layer (SSL) in the water column, which was dominated by Meganyctiphanes norvegica. The modal length of krill was approximately 35 mm, which gives a target strength (TS) of -69 dB at 300 kHz, following Greene et al. (1991). Assuming the majority of backscatter in the SSL was caused by krill, the layer contains densities in excess of 1 ind.  $m^{-3}$ . In the present study, it was assumed that the diurnal movements of an SSL over the course of the year represented the annual change in DVM pattern of the population of M. norvegica. Data were averaged over 6 d to minimise the effect of spatio/temporal patchiness on results. The respective arrival and departure of an SSL into and out of the surface layers was believed to indicate when vertical migration was occurring. Peaks in the relative change of echo intensity in the surface layers during dusk and dawn were good indicators of when migration occurred each day. The residence depths of krill outside of migration periods were determined through a combination of acoustic and net-catch information.

**Model structure.** *Predation risk: Approach:* Predation and starvation are the main causes of mortality in zooplankton such as krill. The risk of starvation was taken in to account through determining the expected energy intake (see 'Energy balance for a typical day in summer'). Visual fish predators were assumed to be the main cause of predation, but other visual predators, such as diving seabirds, may also have contributed, and are likely to present similar patterns of risk to

vertically migrating krill (i.e. increasing closer to the surface). A generalised mortality risk function was applied (Giske et al. 1994) which took in to account irradiance, the light extinction coefficient and predator visual acuity. Direct estimation of the density of predators was not possible, so an iterative fitting-procedure was employed to derive the most likely value. This was believed not to have a major impact on model results, since only relative levels of mortality between sexes was being considered. Nevertheless, subsequent sensitivity analyses examined the importance of this step on model predictions.

Parameterisation: Irradiance was determined by the year-long record obtained at a nearby land-base, while the attenuation coefficients were estimated for every cruise carried out between 1999 and 2000 (see 'Overview'). Predator visual-acuity was estimated using a function for a general fish predator (Giske et al. 1994). The fitting procedure to derive predator density involved several steps. The first step was to determine the annual mortality rate for adult krill, which was carried out through comparing the relative abundance of the 1 and 2 yr old components in the adult population. Because of the potential confounding influence of 3 yr olds on these components, this analysis was carried out on samples taken in June, when most of the 3 yr olds had probably died out (Mauchline 1960). The second step was to find a predator density that resulted in a matching annual mortality rate. An arbitrary predator density was inserted into the predation risk algorithm, and a matrix of risk, as a function of time and depth, was constructed for a 1 yr period. Model krill were then run through this matrix following an 'average' DVM profile (i.e. one that was representative of the entire population, based on net catch and acoustic information through the year). The process resulted in an estimate of the total risk of predation encountered by an 'average' krill over a year, which was assumed to be equivalent to the annual mortality rate. The predator density was increased or decreased by a small amount (increments of  $5 \times 10^{-5}$  m<sup>-3</sup>), and the process repeated. The predator density that produced an annual mortality rate closer than any other to the estimate made by the component analysis in Step 1 was used in all further runs, with the exception of certain sensitivity analyses.

The 'average' DVM pattern was replaced by sexspecific DVM trajectories, derived from net catches (see 'Results'), to determine how mortality may differ between males and females as a result of their respective vertical migration behaviours. The model was run from 1 March, which was approximately the day of male and female maturation (Tarling & Cuzin-Roudy 2003). Each run estimated the relative proportion of males and females remaining on each day of the year, which, in turn, gave the sex ratio. This approach made the following assumptions, that: (1) the male-to-female ratio was 1:1 at the start of the season, i.e. the point at which juvenile krill matured into adulthood; (2) the concentration of predators did not vary significantly over the course of the year; (3) the predators did not prefer one sex above the other, given that all other factors were equal; (4) the predators followed their prey population perfectly, such that the predator density experienced by the prey was always equal over depth and time. This meant that the main factor altering risk was a change in the visual range of the predator as light varied with depth and time; and (5) that other sources of mortality (e.g. tactile predation) were not significant in terms of the decline of one sex relative to the other in the population;

Each of these assumptions was probably violated to a certain extent within the field situation. Therefore, parameters relating to the timing of DVM, predator density and distribution, sex ratio and seasonal variability were varied, within reasonable bounds, to investigate the effect of these assumptions on predictions. The fit of the original model, as well as the sensitivity runs, to observations of sex ratio over the course of a year was assessed by calculating the sum-of-squares difference (SS):

SS = 
$$\sum_{i=1}^{n} (Y_{\text{pre},i} - Y_{\text{obs},i})^2$$
 (1)

In total, there were 7 observations of sex-ratio ( $Y_{obs}$ ) over the course of 1 yr, which were compared with the predicted value ( $Y_{pre}$ ) for those dates. Observations in August and December were taken from net samples at a maximum depth of 60 m. This did not violate the validity of samples taken in December, since the entire population was above this depth at night, when samples were taken. However, a small fraction of the population was below this depth at night in August, so this time point was excluded from the calculation of SS in both the original and the sensitivity runs, reducing  $Y_{obs}$  to 6. The effect of the sensitivity run on goodness-of-fit was calculated as a % improvement (plus) or worsening (minus) relative to the SS of the original run.

**Energy balance for a typical day in summer:** Approach: Energy balance is a product of food intake and assimilation efficiency, minus the cost of metabolism. The balance gives an indication of whether the krill are creating an energetic surplus, which can be invested in growth and reproduction, or running up a deficit, which will result in weight loss and ultimate starvation. Parameters for food availability, as a function of depth and time of day, and respiration rate, as a function of size and temperature, were only available for a typical summer situation. This is a particularly interesting time, given that it is the middle of the reproductive season (Tarling & Cuzin-Roudy 2003), when differences between females and males should be particularly extreme.

Parameterisation: Tarling et al. (2000) determined a depth/time matrix for energy balance in the Clyde Sea during a typical summer situation. Food intake was derived from functional responses to copepod and phytoplankton prey items, whose concentrations were determined empirically from net samples taken during the 1996 campaign (values already published in Lass et al. 2001). Metabolism was derived from in situ measurements of respiration rate (values in Saborowski et al. 2002). The empirically derived DVM of males and females during that period (see 'Results') was run through this matrix to predict the net energy balance that would have resulted. The range of temperatures experienced by krill over the course of DVM was assumed not to affect feeding. The net energy gain of males and females was compared to determine the relative pay-off of adopting their respective DVM trajectories.

#### RESULTS

#### Abiotic environment

The deep trench region of the Clyde Sea Area underwent thermal stratification during the annual cycle (Fig. 2a). The stratification started during mid-April, when the surface waters warmed to ca. 1°C higher than the rest of the water column. A thermocline with a gradient of up to 4°C became apparent at 20 m between mid-June and mid-September. Surface temperatures peaked at 15°C during mid-August, from which point onwards temperatures declined as a result of the breakdown of the thermocline and the mixing of surface waters with the cooler, deeper layers. The entire water column became thermally mixed by November, eventually reaching a minimum temperature of 8°C by the start of March. The Clyde Sea receives freshwater from a large catchment area, which acts to decrease the salinity of the surface layers (Fig. 2b). This effect becomes most pronounced when mixing is restricted during the presence of the thermocline. The salinity of the surface waters reached a minimum of 32 PSU during mid-August, which was 1.5 PSU below levels in the lower depth strata. This gradient reduced to 1 PSU once the thermocline had broken down. Overall, neither temperature nor salinity depth-gradients were particularly strong, so their influence on behaviour, metabolism and growth as a result of variations in DVM trajectories was believed not to play a major role.



Fig. 2. (a) Temperature and (b) salinity in the Clyde Sea deep trench between the summers of 1999 and 2000

## **Acoustic analysis**

Echo intensity was significantly higher within the SSL (Mann-Whitney, p < 0.001), equating to krill densities of between 1 and 8 ind. m<sup>-3</sup> (using a TS of -69 dB). Outside of the SSL, krill densities were less than 1 ind. m<sup>-3</sup>. In Fig. 3a , the vertical location of maximum echo intensity was plotted for every 2 min interval over 24 h, as a simplified illustration of how the SSL migrated vertically over the diel cycle. Maximum echo intensity resided between 60 and 90 m during the daytime, and between the surface and 30 m during the night, with migration between these 2 depth-zones lasting ca. 30 min during both the upward and downward phases. The movement of the SSL into and out of the surface layers resulted in peaks in the rate of change of echo intensity, a positive peak marking its arrival and a negative peak, its departure (Fig. 3b). These points were extracted for each day of the year and plotted against the nautical times of sunrise and sunset for the Clyde Sea (Fig. 4). It can be seen that the times of arrival and departure were generally after sunset and before sunrise, the intervening interval being ca. 30 min in both instances. Fig. 4 also shows that there were some seasonal variations in the extent of these intervals. Most notable was between the start of April and the end of June, where descent was up to 90 min before sunrise (Fig. 4b), a change in behaviour that may reflect the onset of adult reproductive activity. These empirical observations were combined to represent the DVM behaviour of *Meganyctiphanes norvegica* throughout the year.

## Net catch: DVM analysis

Information from net-catches shows that both the female and male northern krill performed DVM in winter as well as in summer (Fig. 5). In the summers of both 1996 and 1999, over 90% of the individuals of both sexes rested between 60 and 100 m during the day. During summer, females were less likely to stay deeper than males (10 vs 33%, respectively), and more likely to be found in the uppermost depth intervals (20 to 40% vs 4 to 15%, respectively). In winter, males and females showed comparatively less spread in their choice of depth, with fewer males showing a tendency to remain in the deep layers during the night. Nevertheless, the percentage of females reaching the uppermost depth interval at night was still double (8%) that of males (4%).



Fig. 3. (a) Vertical distribution of peak echo-intensity over a diel cycle; (b) rate of change in echo intensity between 10 and 18 m for a typical day in summer. Data for both graphs were taken between 23 and 29 August 1999, and averaged to give a single representative day

Females migrated closer to the surface at night than males of equivalent size (Fig. 6). In the smaller size categories (<33 to 35 mm), the females reached a minimum nighttime depth that was ca. 20 m higher than that of males. For individuals between 35 and 37 mm, this difference increased to 30 m, while the minimum depth achieved by individuals between 37 and 39 mm differed by over 40 m, depending on their sex. The difference was not so great for the largest size category (>39 mm). During the daytime, average depth of males and females overlapped to the extent that no differences could be discerned in any size class.

Size played less of a role in determining nighttime depth in females than in males (Fig. 7). The difference between minimum and maximum depth during nighttime was ca. 20 m in females, but ca. 50 m in males. This was mainly because the 37 to 39 mm size class in males showed an average depth that was consistently deep, both night and day. In both sexes, smaller individuals were found closer to the surface at night, but less so during the day when the depth distribution of the population was more compact.

#### Net catch: population analysis

Through a large part of the year, the adult population was comprised of 2 age classes, 1 yr olds (Group I) and 2 yr olds (Group II; Fig. 8). If 3 yr olds were present in the population, they were not distinguishable in terms of length from 2 yr olds. Most newly spawned individuals spent their first winter as juveniles but, by March (Fig. 8e), the majority of these had matured into adults (Group I). As the productive season progressed, Group I grew and constituted an increasing proportion of the adult population as the numbers of Group II declined. In June, for instance (Fig. 8a), Group II made up less than 1/4 of the numbers of Group I, while in August (Fig. 8b), Group II was not present at all. Between August and December, Group I was the principal adult age class, although some young adults (Group 0) had started to emerge from the earliest spawning episodes of that year. The Group II identified in the March 2000 samples (Fig. 8e) was the remnant of Group I identified the previous December (Fig. 8d).

Annual mortality rate was estimated through determining the relative abundance of the components of



Fig. 4. (a) Correspondence between time of sunset and time of arrival of the *Meganyctiphanes norvegica*-dominated sound scattering layer (SSL) in upper layers; (b) correspondence between time of sunrise and time of departure of SSL from upper layers

Groups I and II in June 1999. This month was chosen to minimise the confounding influence of 3 yr olds on the calculation. The abundance of Group II was 36% the abundance of Group I during this period, with the decrease being more apparent in females (Group II = 23% of Group I's abundance) than in males (Group II = 46% of Group I's abundance). I assumed that the population decreased as a negative exponential over the course of the year:

$$N_{t+365} = N_t^{-365\beta}$$
(2)

where *N* is the number of individuals, *t* is time in days and  $\beta$  is the mortality coefficient per day. Accordingly, the daily mortality rate ( $\beta$ ) of the entire adult population would have been 0.003 d<sup>-1</sup>, of which the rate for females would have been 0.004 d<sup>-1</sup> and that for males 0.002 d<sup>-1</sup>

Very little somatic growth, in terms of total length, was apparent in either males or females in the summer and autumn (Table 1). The modal size class of Group I, the principal adult component during this time, remained at ca. 34 to 35 mm in both sexes over this entire period. By the end of the winter period, the principal modal class of this cohort was 2 mm smaller in both males and females, suggesting that either sizedependent mortality or shrinkage had taken place during the winter. Rapid growth ensued in the spring, with the modal size class of newly matured adults (Group I) increasing from 22 mm to 31 mm between early March and early May. Group II grew at a similar rate over this same period, with males increasing by 4 mm and females by 5 mm. The males still remaining in Group II by June had a slightly greater mass, but were the same length, as the remaining females. Generally, both sexes grew at similar rates over the life cycle.

The lower mortality rate of males resulted in them becoming increasingly dominant, in terms of relative abundance, through time (Table 2). At the point of maturity from juvenile to adulthood, the sex ratio of the cohort was slightly in favour of females (0.8:1), although it is to be noted that a small fraction of the cohort had yet to mature. Within 2 mo, the sex ratio



Fig. 5. *Meganyctiphanes norvegica*. Vertical distribution of females (black) and males (grey) during the day and night in the summers of (a) 1996 and (b) 1999 and subsequent winters (c) 1997 and (d) 2000

had swung in favour of males, and by August, males made up 55% of the cohort. By December, there were 2.6 males for every female, but after winter the male fraction of this same cohort had decreased by 11% relative to the number of females, suggesting that females were more successful at over-wintering. Nevertheless, males were more able than females to survive the following spring and summer, since, close to the end of their lifecycle, they outnumbered females by around 3 to 1.

#### Sex-dependent DVM model

Empirical results showed that: (1) DVM was performed through the

Table 1. *Meganyctiphanes norvegica*. Total length (mm) and dry weight (mg, in parentheses) of the modal size class of cohorts present during each campaign from 1999 to 2000. Total length (TL) was converted to dry weight (DW) using empirically derived relationships (female:  $DW = 0.0013 \times TL^{3.01}$ ,  $R^2 = 0.84$ ; male:  $DW = 0.0023 \times TL^{2.90}$ ,  $r^2 = 0.71$ ); na: not applicable because the age group was not present at that time

Date	Sex	Group 0	Group I	Group II
28 Jun to 2 Jul 1999	Female	na	34 (52.7)	38 (73.8)
	Male	na	34 (63.5)	38 (87.7)
9–16 Aug 1999	Female	na	34 (52.7)	na
	Male	na	35 (69.1)	na
4–8 Oct 1999	Female	28 (29.3)	34 (52.7)	na
	Male	28 (36.2)	35 (69.1)	na
29 Nov to 3 Dec 1999	Female	No modal peak	34 (52.7)	na
	Male	No modal peak	35 (69.1)	na
6-8 Mar 2000	Female	na	22 (14.2)	32 (43.9)
	Male	na	22 (18.0)	33 (58.3)
8-12 May 2000	Female	na	31 (39.9)	36 (62.7)
	Male	na	31 (48.6)	38 (87.7)



Fig. 6. Meganyctiphanes norvegica. Comparison of the average depth of males and females of the same size class over a diel cycle during summer 1996. Error bars denote ±1 SD

entire year; (2) the main part of the population arrived at the surface ca. 30 min after sunset and departed 30 min before sunrise; (3) females and males remained at approximately the same depth during the day; and (4) females were more likely to go above 15 m depth during the night, while males mostly remained below this depth.

Model DVM trajectories were designed so that these 4 main features were incorporated. The water column was divided into the same 6 depth intervals sampled by net deployments in the 1999-2000 campaign series, to allow direct correspondence between predictions and observations (see 'Materials and methods'). Both males and females remained in the 75 to 100 m depth interval during the daytime. The model krill moved to the 50 to 75 m interval 90 min before sunset, arriving at the 30 to 50 m interval 1 h later. Both males and females arrived in the 30 to 15 m interval 30 min after sunset, where the males remained for the rest of the night. Females continued to ascend until reaching the 0 to 15 m interval, 90 min after sunset. The females remained there for the rest of the night and rejoined the male population in the 30 to 15 m interval 90 min before sunrise. Both males and females descended from this interval 30 min before sunrise, moving through the depth intervals at the same rate as they ascended. They reached the 75 to 100 m depth interval 90 min after sunrise. The exact timings of these migrations altered through the year as a result of the seasonal shift in the solar cycle, such that, in midsummer, females spent just 4 h in the 0 to 15 m depth interval, while in mid-winter, they spent 13 h there.

The predicted sex ratio increased in favour of males over the course of the year (Fig. 9). From a 1:1 ratio at the start of March, the model predicted that there would be 1.6 males for every female after 4 mo, and twice as many males as females after 6 mo. By the end of the year, the predicted sex ratio was above 4:1 (male:female). The predictions fitted observations particularly well during the first 6 mo, with almost all the observed sex ratios being placed close to the predicted trajectory. However, predicted male-to-female ratios were higher than observed during winter (Day 240 onwards), indicating that either male mortality had been underestimated or female mortality overestimated during that period. Overall, the model predicted that 17% of females and 70%

of males would remain after 1 yr (Table 3), making the mortality coefficients ( $\beta$ ) 0.005 and 0.001 d<sup>-1</sup> respectively, when averaged over the whole year. With respect to component-analysis estimates, made on empirical data (see above), predicted female mortality rate was overestimated by 0.001 d<sup>-1</sup>, while predicted male mortality was underestimated by the same amount.



Fig. 7. Meganyctiphanes norvegica. Average depths of different size classes of (a) females and (b) males over a diel cycle during summer 1996. Standard deviation (SD) is not displayed, to aid clarity, but can be gauged through reference to Fig. 6, which is a rearrangement of the same data

Run A for females and Run B for males) had a devastating effect on the mortality of both females and males, making them extinct within the course of a year. By comparison, reducing the time in the upper layers by ascending an hour later and descending an hour earlier (Run C for females and Run D for males) made less of a difference, especially with respect to females, in which the goodness-of-fit altered by less than 5% compared with the original model run. Altering just the ascent or descent time (Run E and F, respectively, for females; Run G and H, respectively, for males) showed that it was the time of ascent that made the greatest difference to mortality levels. Empirical observations of descent times showed that there was an increasing lead between time of descent and sunrise between the start of April and end of June (Fig. 4). Applying this variability to the model made very little difference to predicted mortality (Run I).

The model assumed that northern krill spawn eggs with a genotypic sex ratio of 1:1. There is no evidence yet available to contradict this assumption, but in March, when most (but not all) of the juvenile population had matured into adulthood, the female:male sex ratio was 0.8:1. The most likely explanation is that females mature later than males, and the sex-ratio would be restored to 1:1 once all the juveniles had matured. However, the effect of a genotypic bias in the population was tested by initialising the model with a sex ratio of 0.8:1 (Run J). The females remaining after 1 yr was 12% (relative to the initial size of the male population), 5%below the original model prediction and 11% below the component-analysis estimate. Therefore, the assumption of a biased genotypic sexratio did not improve goodness-of-fit to observations.

Table 2. *Meganyctiphanes norvegica*. Male-to-female sex ratio of different age classes (Groups I and II), and the fraction of the population entering the upper water column; na: not applicable because the age group was not present at that time

Date	Group I	Group II	Upper water column (Groups I and II)		
28 Jun to 2 Jul 1999	1.6	2.8	0.6		
9–16 Aug 1999	2.1	na	na		
4-8 Oct 1999	2.3	na	0.9		
29 Nov to 3 Dec 1999	2.6	na	0.8		
6-8 Mar 2000	0.8	1.6	0.8		
8-12 May 2000	1.3	3.6	0.9		

## Influence of model parameterisation on predicted sex-ratios

Table 3 illustrates some of the major sensitivities of model predictions to parameterisation. Goodnessof-fit was particularly sensitive to the time of arrival and departure from the surface layers. Increasing the amount of time spent in the upper layers by ascending an hour earlier and descending an hour later (Sensitivity



Fig. 8. *Meganyctiphanes norvegica*. Size frequency of adults through 1999 to 2000 campaigns. August and December samples contain exclusively those samples taken at night, to minimise any bias caused by maximum net depth being only 60 m

Predator density was fitted in the original parameterisation because there were no appropriate empirical estimates available. Increasing predator density by an order of magnitude (Run K) made both the male and female populations extinct within a year. Decreasing the density by the same order (Run L) made the percentage of animals remaining after 1 yr unfeasibly large. Altering the distribution of predators, so that they were twice as abundant in the upper layers and only half as abundant at depth (Run M), had almost no effect on goodness-of-fit.

Overall, model predictions were robust, so long as both males and females did not migrate up much earlier and migrate down much later than parameterised, and predator density did not differ persistently by an order of magnitude.

#### **Consequences of sex-dependent DVM**

The DVM trajectory of females achieved a greater net-energy gain than males (Table 3, last column). Respiration rate, which altered with temperature, differed very little between sexes, given the limited gradient within the thermocline. By contrast, daily energy intake differed greatly, with males assimilating 25 J d<sup>-1</sup>, while females assimilated 35 J d<sup>-1</sup>. Sensitivity analyses showed that females always gained more energy than males, even when the length of the night was shortened, so reducing the time available to feed at a high rate. The potential rewards for ascending closer to the surface therefore appeared to be relatively high.

## DISCUSSION

Empirical observations showed that females typically ascended closer to the surface than males, and that over the course of the year, their numbers declined with respect to the male population. A model estimating the relative risk to visual predation, as a result of performing DVM, predicted a relative rate of decline of the male and female populations that matched well with observations during the spring, summer and autumn. The riskier DVM of females had a significant potential reward in terms of energy intake, supplementing that achieved by males by an extra 40%, or 10 J d<sup>-1</sup>. Overall, this appears to be a good example of where an implied difference in the trade-off between reward and risk is observable in a field population.

The increasing dominance of males in the Clyde Sea population is not a universally observed phenomenon in *Meganyctiphanes norvegica* populations. In the Ligurian Sea, for instance, males and



Fig. 9. Meganyctiphanes norvegica. Predicted (O) versus observed ( $\bullet$ ) sex ratios over an annual cycle. Model assumes that both sexes mature on 1 March and the genotypic sex ratio was 1:1

females were present in equal proportion throughout their year-long life-cycle (Labat & Cuzin-Roudy 1999), whereas in the Kattegat, sex ratios reached up to 4:1 in favour of males (Boysen & Buchholz 1984). Such variations highlight the importance of environmental conditions and predation to population dynamics. In the Ligurian Sea, krill migrate up from daytime depths, in excess of 500 m, to settle eventually within the chl *a* maximum layer, around 30 m, at night (Anderson & Nival 1991). The oligotrophic conditions in this environment (Boucher et al. 1987) allow light to penetrate relatively deep (Riley 1956), making the relative risk to

Table 3. Meganyctiphanes norvegica. Results of sensitivity analyses showing the goodness-of-fit, in terms of sums of square difference (SS) as a percentage relative to the SS of the original model (+ denoting an improved fit, – a worsened fit); % of females and males remaining after 1 yr; daily mortality coefficient ( $\beta$  d<sup>-1</sup>), assuming that, on average, population decay over the course of the year followed a negative exponential function; and the difference between net energy gain of females and males in a typical summer situation (+ denoting female gain is greater than male); na – not applicable; DVM: diel vertical migration

Sensi- tivity run	- Alteration to parameterisation	SS relative to original model run (%)	Females remaining after 1 yr (%)	Males remaining after 1 yr (%)	$\beta \ d^{-1}$ female	$\beta \ d^{-1}$ male	∆ female and male net energy gain (J d <sup>-1</sup> )
	Observations, June 1999	_	23	46	0.004	0.002	na
	Original model run	_	17	70	0.005	0.001	+9.9
А	Females stay in upper layers 2 h longer		Female population became extinct				+17.2
В	Males stay in upper layers 2 h longer		Male population became extinct				+5.0
С	Females stay in upper layers 2 h less	-4.3	49	70	0.002	0.001	+0.9
D	Males stay in upper layers 2 h less	-169.8	17	99	0.005	0.0001	+15.2
Е	Females leave upper layers 1 h earlier	+35.6	20	70	0.004	0.001	+4.6
F	Females arrive in upper layers 1 h later	+16.8	42	70	0.002	0.001	+6.1
G	Males leave upper layers 1 h earlier	-2.8	17	71	0.005	0.001	+13.0
Н	Males arrive in upper layers 1 h later	-163.0	17	99	0.005	0.0003	+12.1
Ι	Increased variability in DVM in spring	+22.5	19	70	0.005	0.001	na
J	Initial female:male sex ratio 0.8:1	-228.6	12	70	0.006	0.001	na
К	Predator density 10-times higher		na				
L	Predator density 10-times lower	-40.3	84	97	0.0005	0.0001	na
М	Predator density doubled above 30 m, halved below 30 m	0	12	62	0.006	0.001	na

visual predation similar throughout the depths occupied whilst feeding. As a consequence, even if males and females chose slightly different feeding depths, the relative difference in risk would be negligible, so a sex-ratio bias would not be expected. In the Kattegat, environmental conditions are very similar to the Clyde Sea (Matthews et al. 1999), with food concentrated at the surface and light attenuated quickly with depth. A migration regime with greater energetic return will probably result in a greater risk of predation, assuming that there are sufficient visual predators present. If females must achieve a greater energetic profit than males, the observed dominance of males with age in this population is to be expected.

#### **Net-catch observations**

Sex was as important as size in determining the nighttime depth of an individual. The average depth of males and females between 37 and 39 mm differed by more than 40 m while, in other size categories, the average depth difference was between 20 and 35 m. These differences were equivalent to size-related depth differences within each sex.

Mauchline (1960) observed that Meganyctiphanes norvegica shows size-dependence in the timing of its migration and its ultimate resident depth in the upper layers. De Robertis (2002) showed that the same pattern in Euphausia pacifica can be explained through assuming that larger individuals are more easily detected by visual predators. The influence of sex on the vertical distribution and DVM of euphausiids is not commonly reported, possibly because most studies deal with vertical migration of the whole population. Watkins & Murray (1998) provide one of the only examples of depth-related sorting according to sex, in that they observed a 2-layered superswarm in which females dominated the upper layer. They did not investigate the significance of this pattern in relation to energy intake or predation risk.

## Predicted consequences of DVM

The potential energetic profit resulting from the DVM of females during a summer situation was around  $10 \text{ J d}^{-1}$  more than that resulting from the DVM of males. Given that females and males are similar in size and have similar rates of somatic growth, such an energy supplement for females is presumably channelled in to reproduction. Maximising reproductive output is a sufficient reason to increase acceptable levels of risk, according to evolutionary theory (Mangel & Clark 1988). However, the degree to which

this energetic supplement can meet reproductive output needs to be established. Euphausiid eggs are lipid rich (Cuzin-Roudy et al. 1999). Pure lipid has an energetic content of 40 J mg<sup>-1</sup> ash-free dry weight (Cummins & Wuycheck 1971) while lipid-rich zooplankton contain around 30 J mg<sup>-1</sup> ash-free dry weight (Prus 1975). The energetic content of euphausiid eggs lies somewhere between these values and can be assumed to be around 35 J mg<sup>-1</sup> ash-free dry weight. Cuzin-Roudy (2000) estimated that, on average, the ovary of Meganyctiphanes norvegica contains 1147 eggs with a combined wet weight of 18 mg, or 0.016 mg wet weight per egg. Assuming that 10% of the egg is made up of ash and that the dry weight of the egg is 20% of the wet weight (Postel et al. 2000), the total energetic content of one egg is 0.1 J, and that of the ovary is 115 J. Mature oocytes are spawned in discrete events every other moult cycle (Cuzin-Roudy & Buchholz 1999). The moult cycle was estimated to last 11 d at this time of year in the Clyde Sea (Tarling & Cuzin-Roudy 2003), which means that an individual spawns after every 22 d. Assuming that all the mature oocytes were spawned during this event, the daily energetic requirement to build up this amount of eggs would be 115 J divided by 22 d, which equals  $5.2 \text{ J d}^{-1}$ . The model predicted that females potentially obtain 10 J d<sup>-1</sup> more than males as a result of their DVM, which more than meets the extra demand of egg production. This means that, under these conditions, females can reproduce actively without sacrificing somatic growth, which explains why there is little difference between the sizes of males and females throughout their life histories. The summer 1996 studyperiod coincided with a phytoplankton bloom (Lass et al. 2001), and so represents maximal energetic intake. Any surplus would presumably be stored to maintain reproductive output during periods when food is less abundant (Cuzin-Roudy et al. 1999). Such a strategy may also be common to Euphausia superba, where female energetic intake exceeds that of males during the summer (Clark & Morris 1983).

## Influence of life-cycle strategies

The model was not successful at predicting the sex ratio of the population through the winter period. Whereas the ratio of males-to-females was predicted to increase, reaching an ultimate level of 4:1, observations showed that the ratio actually decreased because the number of males in the population had declined relative to the number of females. This indicates that modelling mortality purely as a function of predation rate was not applicable during the winter period, and that other factors must have had an influence. Resources are short during the winter, and many plankton endure the period either as a resting egg or in a state of diapause. Krill remain active and must rely on lipid reserves to supplement the decreased levels of energy intake (Falk-Peterson et al. 2000). Mortality from starvation results when these reserves are not sufficient. Mature female northern krill can have a total lipid content twice as high as males, mostly due to lipid accumulation in the ovary, the fat body and the haemolymph (Cuzin-Roudy et al. 1999). The majority of lipids used in reproduction are energy-rich tryglycerides, dominated by polyunsaturated fatty acid (PUFA) chains. The PUFAs were not abundant in males or females in the non-reproductive season (Cuzin-Roudy et al. 1999). At the end of the reproductive season, lipids that have been allocated to developing eggs can be reabsorbed and used as an energy supplement (Cuzin-Roudy & Amsler 1991). It seems likely, therefore, that females have greater energy reserves than males, and are less likely to starve during the winter. This effect was observed in Euphausia superba, where a swarm caught towards the end of the productive season showed a higher mortality rate in males than in females when incubated (Virtue et al. 1996). Tracking the decline of lipids in the male and female fractions over winter will be a good test of the hypothesis.

I found that smaller individuals went closer to the surface at night than larger individuals. However, the vertical migration of the very largest size class was an exception to this rule. Both the largest males and females, which were 2 (and possibly 3) yr olds, performed one of the riskiest DVMs by venturing as close to the surface at night as the smallest individuals. The fact that this phenomenon occurred in both sexes indicates that it might be age rather than sex that influences the level of risk undertaken at this stage of the life cycle. The occurrence of surface swarms in this (Nicol 1984) and other euphausiid species (Euphausia pacifica, Nakamura 1992; E. superba, Marr 1962; Thysanoessa inermis, Hanamura et al. 1989; T. raschii, Timofeyev 1994) is another example of where older individuals spend increasing amounts of time in the upper layers. The behaviour becomes explicable when considered in terms of life-time reproductive success (Mangel & Clark 1988). During the first year of adulthood, the level of acceptable predation risk is determined by both present and future reproductive output. Greater risks in the near future may have immediate rewards with respect to the energy that can be invested in the present spawn or copulation, but also jeopardizes the chance of surviving to reproduce in the future. Towards the end of the life cycle, the number of expected future reproductions is low, and there is higher fitness in making the immediate spawn or copulation successful through taking greater risks. Going to the surface allows maximum intake of food and, even though the risk is high, animals towards the end of their life cycle have less to lose.

I have shown that the different costs of reproduction could be influential in determining the respective DVM profiles of male and female krill. During a typical summer situation, the food intake resulting from the DVM profile of females more than met the demands for maximal reproductive output. The storage of surplus energy in the form of lipids is likely to occur during such times, to maintain reproductive output when resources decline. Alonzo & Mangel (2001) suggested that krill could adopt shrinkage as a strategy to minimise predation risk, but this does not appear to be the case in Clyde Sea krill, given that females did not differ greatly in size to males, despite the respective differences in energetic demand. The increasing male-to-female ratio through the season that was identified in Clyde Sea krill is not a universal phenomenon in euphausiid populations, but this does not mean that females in other populations are not satisfying the same constraint of achieving a greater energetic intake than males. Depending on the environment, larger rates of ingestion can be obtained through subtle changes in DVM that incur little increased risk in the way of predation. Nevertheless, if and when the need arises, female Meganyctiphanes norvegica seem prepared to undertake greater risks to improve reproductive output.

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