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Predicting river water quality across North West England using catchment characteristics

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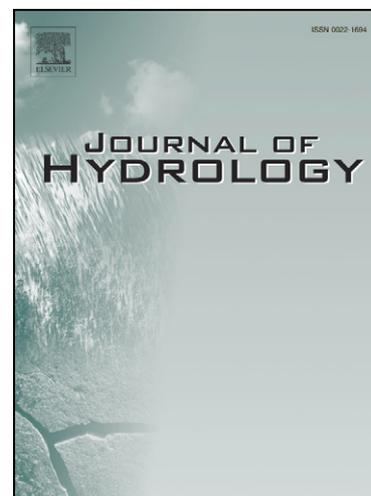
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1 **Predicting river water quality across North West England using catchment**  
2 **characteristics**

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## 1 Abstract

2 Linear relationships between regional water quality and catchment characteristics (terrain,  
3 land cover, geology, base flow index and rainfall) are examined for rivers in North West  
4 England using a GIS-based approach and an extensive Environment Agency water quality  
5 database. The study considers the role of diffuse and distal point sources on river water  
6 quality. The results show that base cation concentrations are strongly linked to catchment  
7 terrain and land cover, while pH is linked to bedrock geology and land cover. Mean nitrate  
8 concentrations are most strongly related to arable cover, although distal point sources in urban  
9 and rural catchments appear to have a significant effect on river nitrate concentrations in the  
10 region. Orthophosphate and suspended sediment concentrations are most closely related to the  
11 percentage urban development. Linear models are tested on a large independent water quality  
12 dataset, resulting in maps showing predicted water quality across the region. The approach  
13 works well for the prediction of nitrate concentrations and other constituents which have  
14 predominantly diffuse sources. In contrast, the linear approach to predicting orthophosphate  
15 concentrations in North West rivers using catchment characteristics is problematic. The major  
16 influence of point sources may mask the effect of wider basin attributes on orthophosphate  
17 concentrations. Within-river processing of phosphorus may also explain why the relationship  
18 breaks down. Further work is needed to explain phosphorus contributions and variability in  
19 North West rivers, especially in the context of effective catchment management.

20  
21 **Key words:** Rivers; Nitrate; Phosphate; Base cations; pH; Sediments; Modelling; GIS

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## 1 **1. Introduction**

2 Excessive inputs of nutrients (nitrogen and phosphorus) to fluvial systems can lead to adverse  
3 biological effects including algal growth and changes in ecosystem function and diversity  
4 (Mason, 1996; Carpenter et al., 1998; Mainstone and Parr, 2002; Withers and Lord, 2002).  
5 High loadings of fine sediment to rivers and the subsequent accumulation on the channel bed  
6 can impact fish and invertebrates which rely on benthic habitats (Heany et al., 2001;  
7 Mainstone et al., 2008). Runoff from agricultural land and effluents from urban and industrial  
8 areas are major sources of nutrients and fine sediment in river systems (Vitousek et al., 1997;  
9 Hart et al., 2004; Jarvie et al., 2006; Ulen et al., 2007; Edwards and Withers, 2008; Neal et al.  
10 2010). The relative contributions from these diverse sources can vary significantly in space  
11 (e.g. Nedwell et al., 2002; Neal et al., 2008; Mainstone et al., 2008; White and Hammond,  
12 2009) and in time (e.g. Stutter et al., 2008; Bowes et al., 2009).

13  
14 The importance of water quality deterioration due to enhanced nutrient and contaminant  
15 inputs, and the need for effective targeting of mitigation approaches and river catchment  
16 management, has led to the development of a variety of water quality models. Several broad  
17 types of models exist depending upon requirements such as the spatial and temporal scale of  
18 application (Johnes and Heathwaite, 1997). Process-based nutrient export models, such as  
19 INCA (Integrated Nitrogen in Catchments; Whitehead et al., 1998), PSYCHIC (Phosphorus  
20 and Sediment Yield Characterisation In Catchments; Davidson et al., 2008; Stromqvist et al.,  
21 2008) and SWAT (Soil and Water Assessment Tool; Arnold et al., 1998) are useful for  
22 retrospective evaluation and scenario analysis, but often need detailed parameterisation and  
23 extensive calibration (Merritt et al., 2003; Cherry et al., 2008). An alternative to these often  
24 complex models are simple 'black box' empirical approaches, such as those based on nutrient  
25 export coefficients (Johnes, 1996; Jordan and Smith, 2005) and PIT (Phosphorus Indicators

1 Tool; Heathwaite et al., 2003). These models can be particularly useful for large-scale  
2 extrapolation, and for exploring how future changes in land use and/or fertiliser application  
3 may impact river water quality (e.g. Johnes et al., 2007). However, since they operate by  
4 applying an empirically-derived export value to each hypothesised source they do not lend  
5 themselves to new inferences about potential processes.

6

7 A hybrid of these two approaches links river water quality to catchment characteristics or  
8 attributes, and employs statistical techniques for up-scaling. These models can shed light on  
9 potentially important drivers via the catchment characteristics incorporated in the model, but  
10 since they do not include complex and heterogeneous processes they can be applied over large  
11 spatial areas (Wade et al., 2004; Cherry et al., 2008). Developing water quality models based  
12 on the percentage surface area of a catchment covered by a particular characteristic was  
13 traditionally undertaken using mapping techniques (e.g. Lynch and Dise, 1985), but with the  
14 advent of GIS, the approach is much faster and has seen considerable development in recent  
15 years. GIS-regression based approaches have been used to explore controls on the  
16 distributions of riverine nutrient and sediment concentrations across varied geographical  
17 regions (e.g. Smith et al., 1997; Clark et al., 2004; Donohue et al. 2005; 2006; van de Perk et  
18 al., 2007; Siakeu et al., 2004; Davies and Neal, 2004; 2007; Helliwell et al., 2007; Alexander  
19 et al., 2008). It has also been used to investigate controls on surface water acidification (e.g.  
20 Hornung et al., 1995; Thornton and Dise, 1998; Vuoeuema and Forsius, 2008) and linkages  
21 between river metal and sediment levels and catchment attributes (e.g. Jarvie et al., 2002;  
22 Saikau et al., 2004). Although the GIS-regression approach has been widely used for  
23 producing statistical models of water quality, fewer studies have used the approach to  
24 generate spatial predictions of water quality at unmonitored points along the river network,  
25 especially in regions with mixed catchment settings. A variety of important legislative drivers

1 have been introduced to help mitigate surface water pollution, primarily the European Water  
2 Framework Directive (WFD) (European Parliament, 2000). Simple GIS-based statistical  
3 models of river water quality and associated spatial predictions are potentially highly useful  
4 for identifying river reaches at risk of failing to meet environmental objectives set by such  
5 legislation. This approach may provide a useful complement or alternative to those process-  
6 based models currently in use for informing decisions regarding the targeting of measures to  
7 reduce river pollution.

8  
9 This paper explores empirical relationships between river water quality and a suite of  
10 catchment characteristics in North West England. This region is characterised by a wide range  
11 of land uses and catchment settings. It represents a mix from the urban heartlands of the  
12 industrial North West to upland rural areas of outstanding natural beauty, and consequently  
13 there is considerable variability in water quality. Within a GIS framework, linear relationships  
14 are used to predict river water pH and the concentrations of calcium, magnesium, nitrate-N,  
15 orthophosphate-P and suspended solids across the North West. Model outputs are validated  
16 using a large independent dataset. The aims of the study are to (1) identify broad-scale  
17 controls on river water quality, (2) provide insights into the relative importance of diffuse and  
18 distal point sources for chemical constituents of water quality, and (3) investigate the utility of  
19 GIS-regression based approaches for predicting river water quality in the North West.

## 21 **2. Study area**

22 North West England (Figure 1) is typified by the industrial cities of Manchester and Liverpool;  
23 the heartland of the 19<sup>th</sup> century English Industrial Revolution. Although these urban and  
24 highly populated areas dominate large portions of the south of the region, large parts of the  
25 North West are rural. These include The Lake District located in Cumbria (Britain's largest

1 national park), the Forest of Bowland in Lancashire, the Pennine fringe to the east of the  
2 region, and intensive agricultural areas on the North West coast. Much of the bedrock geology  
3 is characterised by a variety of Carboniferous and Permian-Triassic sedimentary rocks. An  
4 exception to this is the Lower Palaeozoic metamorphic and volcanic rocks in the uplands of  
5 The Lake District. Steep slopes are typical of this upland region. Major rivers in the North  
6 West include the Irwell and Mersey in Greater Manchester, the Lune, Ribble and Wyre in  
7 Lancashire and the Eden and Duddon in Cumbria (Figure 1). These rivers provide major  
8 freshwater inputs to the Irish Sea.

### 10 **3. Methods**

#### 11 **3.1. Water quality data**

12 Calcium ( $\text{Ca}^{2+}$ ); magnesium ( $\text{Mg}^{2+}$ ); nitrate ( $\text{NO}_3^-$ -N); orthophosphate ( $\text{PO}_4^{3-}$ -P); and  
13 suspended solid (SS) concentrations, together with pH measurements, were acquired using  
14 databases of the Environment Agency (EA) of England and Wales. The EA sample rivers and  
15 streams at monthly intervals. Further details of the methods used by the EA are described by  
16 Neal et al. (1999). River monitoring data from the EA's routine monitoring programme for  
17 the period 1995 to 2001 were used in the study. This period was selected to ensure  
18 harmonisation with spatial datasets and to ensure that the monitoring period was the same  
19 across the North West region. Monitoring sites were only selected where more than 60  
20 readings were available for each determinand. Point sources (e.g. effluents from waste water  
21 treatment works) can have a major effect on river water chemistry at sites in close proximity  
22 to these inputs (Neal et al., 2008). At these locations there is potential for these inputs to mask  
23 relationships between river water chemistry and basin-wide attributes (Davies and Neal,  
24 2004). Therefore, monitoring stations located immediately downstream (within 500m) of  
25 Environment Agency consent to discharge sites (i.e. known point sources on the river network)

1 were not included in the selection. Catchments with a strong urban-industrial base and a high  
2 number of point sources were still included in the analysis, but these distal point sources are  
3 diffuse in nature ('diffuse-point', c.f. Neal et al., 2004; 2008). From approximately 1500 EA  
4 monitoring sites across the North West region, the number of sites for each determinand that  
5 met the criteria was: 318 ( $\text{Ca}^{2+}$ ), 264 ( $\text{Mg}^{2+}$ ), 530 ( $\text{NO}_3^-$ -N), 566 ( $\text{PO}_4^{3-}$ -P), 562 (SS) and 620  
6 (pH) (Figure 1). The sites used in the analysis cover a wide range of catchment sizes, land  
7 uses, geology, rainfall, and water quality. For each of the determinands the arithmetic mean  
8 was calculated.

## 10 **3.2. Spatial datasets**

11 This study uses five spatial datasets. A description of each is provided below.

### 13 **3.2.1. Centre for Ecology and Hydrology (CEH) Wallingford Digital Terrain Model (DTM).**

14 Available as a 50 m (horizontal) and 0.1 m (vertical) resolution grid (Morris and Flavin,  
15 1990).

### 17 **3.2.2. Centre for Ecology and Hydrology (CEH) Land Cover Map 2000 (LCM2000).**

18 Available as a 25 m UK-wide grid. There are 26 land cover classes available in the LCM2000.  
19 These were aggregated into 12 new classes: Arable, Acid grassland, Bracken, Calcareous  
20 grassland, Exposed rock, Heathland, Improved grassland, Neutral grassland, Urban, Water,  
21 Wetland and Woodland.

### 23 **3.2.3. British Geological Survey (BGS) Bedrock Geology.** Available as 1:625,000 scale data

24 via the British Geological Survey Digital Geological Map of Great Britain (DiGMapGB). The  
25 large number of bedrock classes were aggregated to form 8 new bedrock classes: coal

1 measures, conglomerates, granites and lavas, limestones, shales, siltstones, sandstones and  
2 shales, and sandstones and mudstones.

3  
4 **3.2.4. Base Flow Index (BFI).** Available as a 1 km UK-wide grid of BFI values. BFI values  
5 range between 0 and 1 and indicate the ratio of base flow to total flow volume (Gustard et al.,  
6 1992).

7  
8 **3.2.5. Met Office Standard Annual Average Rainfall (SAAR).** Available as a 1 km grid  
9 based on the average annual rainfall for the period 1961-1990.

10

### 11 **3.3. Spatial data analyses**

12 Catchments upstream of each of the selected monitoring sites were delineated using the DTM  
13 and the Deterministic Eight-Direction (D8) flow routing algorithm. This was performed in the  
14 Terrain Analysis System (TAS), a software package for performing spatial analysis operations  
15 for environmental applications (Lindsay, 2005). To ensure that catchment outlets were  
16 positioned correctly on the digital stream network, the advanced outlet repositioning approach  
17 (AORA) was used (Lindsay et al., 2008). Using the raster calculator function in TAS, the  
18 mean elevation, slope, aspect, BFI and rainfall were derived for entire extent of each  
19 catchment. The percentage of each of the land cover and bedrock classes were derived in the  
20 same way.

21

### 22 **3.4. Statistical methods**

23 To assess potential controls on mean river water quality, stepwise multiple linear regression  
24 (SMLR) analyses were performed. SMLR analysis identifies a subset of predictors (Table 1)  
25 that statistically contribute to explained variance on the response variable. Monitoring sites

1 were randomly separated into two groups, the first for model derivation, and the second for  
2 model validation. Given the greater uncertainty in model predictions at the catchment scale it  
3 is important to test model outputs on large independent validation datasets (Cherry et al.,  
4 2008). Therefore, model development and validation datasets were split into two equal sized  
5 groups (see section 3.1 for the number of sites for each determinand). In both groups a wide  
6 range of catchment characteristics and sizes were included, as well as a good spatial  
7 distribution of sites (Figure 1). Error was assessed for each set of values of the independent  
8 variables by visual examination of residuals and by diagnostic indices. Plots of the estimates  
9 of the dependent and standardised residuals were also constructed to test for homoscedasticity.  
10 To assess multivariate collinearity, tolerance and variance-inflation factor (VIF) statistics  
11 were also assessed. These build in the regressing of each independent variable on all others.  
12 Regression models for the determinands were tested by comparing observed mean values with  
13 the predicted mean values for the second independent group of sites. All statistical analyses  
14 were performed in JMP v8 (SAS).

### 16 **3.5. Spatial predictions of water quality**

17 There are a variety of GIS-based methods for interpolating and visualising the value of an  
18 attribute at un-sampled locations (Burrough and McDonnel, 1998). Visualisation of model  
19 outputs for large regions requires spatial aggregation. Approaches for aggregation include:  
20 regular grid sizes (e.g. Jordan et al., 1994; Daly et al., 2002; Brazier et al., 2004; Stromqvist et  
21 al., 2008); regular intervals on the stream network (e.g. Davies and Neal, 2004); hydrological  
22 response units (e.g. Cooper et al., 2000; 2004); and catchments/sub-catchments (e.g. Kernan  
23 et al., 2001; Wade et al., 2001; Evans et al., 2006). Visualisation of water quality predictions  
24 at the scale of major catchments in the North West region was initially considered, but  
25 rejected since these large-scale catchments would mask small-scale variations in catchment

1 characteristics. Predicted water quality was calculated and mapped for isobasins (similar sized  
2 sub-catchments; Lindsay et al., 2006) within the North West region. Isobasins were created using  
3 the DTM and the digital stream network. Within a GIS framework, the regression equations and  
4 spatial datasets were used to predict river water quality for each isobasin.

5

## 6 **4. Results**

### 7 **4.1. River water quality and the geography of the North West**

8 There is considerable variability in land cover across the North West region (Table 2). River  
9 basins containing monitoring sites with >40% cover of arable land include the Mersey, Ribble,  
10 Wyre and Kent. The Mersey and Wyre basins also have sites with >60% urban cover. Within  
11 the Ribble and Eden basins there are monitoring sites with >80% improved grassland. There  
12 is a wide range of BFI values across the North West region. Values range from 0.22 (Mersey)  
13 to 0.84 (Eden): low values of BFI represent high “flashiness” of the catchment and low  
14 permeability of the system; higher values of BFI result in greater dampening of the rainfall  
15 signal and greater within-catchment storage.

16

17 River basins with the highest overall mean  $\text{Ca}^{2+}$  and  $\text{Mg}^{2+}$  concentrations (>40  $\text{mg l}^{-1}$   
18 and >7.5  $\text{mg l}^{-1}$  respectively) are the Mersey, Ribble, Wyre and Eden. The Esk and Irt basins  
19 have overall mean  $\text{Ca}^{2+}$  and  $\text{Mg}^{2+}$  concentrations <2.5  $\text{mg l}^{-1}$  and <1.2  $\text{mg l}^{-1}$  respectively. pH  
20 values across the region are generally circumneutral, with the exception of low values (<4.5)  
21 at several monitoring sites within the Mersey basin. Nitrate concentrations at individual  
22 monitoring sites range from 0.19  $\text{mg-N l}^{-1}$  at a site in the Eden basin to 48.9  $\text{mg-N l}^{-1}$  at a site  
23 in the Mersey basin. The river basins with the highest overall mean  $\text{NO}_3^-$  concentrations (>2  
24  $\text{mg-N l}^{-1}$ ) are the Mersey, Ribble, Wyre, Kent, Wampool and Eden. The Leven, Esk and Irt  
25 have overall mean  $\text{NO}_3^-$  concentrations <1  $\text{mg-N l}^{-1}$ . The highest mean  $\text{PO}_4^{3-}$  concentration

1 recorded at an EA monitoring site is  $4.62 \text{ mg-P l}^{-1}$  (within the Mersey basin). The lowest  
2  $\text{PO}_4^{3-}$  concentration ( $0.002 \text{ mg-P l}^{-1}$ ) occurs in the Eden and Leven basins. There is a wide  
3 range of suspended solid concentrations across the North West. Values at individual sites  
4 range from  $2.87 \text{ mg l}^{-1}$  in the Derwent basin to  $110 \text{ mg l}^{-1}$  at a site in the Mersey basin.

#### 6 **4.2. Linkages between water quality and catchment characteristics**

7 Stepwise multiple linear regression (SMLR) analyses show that mean  $\text{Ca}^{2+}$  concentrations are  
8 negatively related to mean catchment slope and positively related to the percentage of  
9 improved grassland cover in the catchment, percentage urban cover, and percentage limestone  
10 bedrock (Table 3). Slope explains  $\sim 55\%$  of the variance in mean  $\text{Ca}^{2+}$  concentrations, with an  
11 additional  $\sim 20\%$  from improved grassland and limestone bedrock. Mean  $\text{Mg}^{2+}$  concentrations  
12 are also related to land cover and catchment geology. Magnesium concentrations are  
13 positively related to arable and urban cover, and negatively related to siltstone bedrock and  
14 rainfall. River water pH is related to catchment geology (hard weathering lithologies and  
15 limestone) and land cover (wetland and heathland). These geological and land cover variables  
16 explain  $60\%$  of the variance in mean pH values. Mean  $\text{NO}_3^-$  concentrations are positively  
17 related to the cover of arable land, improved grassland and urban. Arable land explains  $\sim 40\%$   
18 of the variability in mean  $\text{NO}_3^-$  concentrations across the North West. Orthophosphate  
19 concentrations are positively related to urban cover, arable cover, and catchment area. Only  
20  $23\%$  of the variance in  $\text{PO}_4^{3-}$  concentrations can be explained by these characteristics, with  
21 urban cover contributing to  $\sim 15\%$  of the variance. Suspended solid concentrations are related  
22 to urban cover, catchment terrain (slope and altitude) and bedrock geology (shales). Urban  
23 cover explains  $\sim 40\%$  of the variance in mean suspended solid concentrations.

24

25

### 1 4.3. Model testing

2 Overall, there is good agreement between observed and predicted  $\text{Mg}^{2+}$  and pH values ( $R^2 =$   
3 0.65 and 0.60 respectively; Figure 2). Predicted  $\text{Ca}^{2+}$  concentrations are generally in good  
4 agreement with observed concentrations, but the model under-predicts  $\text{Ca}^{2+}$  concentrations for  
5 a limited number of sites with observed concentrations between 60 and 90  $\text{mg l}^{-1}$  (Figure 2a).  
6 The results reveal that the model for  $\text{NO}_3^-$ -N is reasonable, but there is under-prediction of  
7 mean  $\text{NO}_3^-$ -N for those sites with observed values  $>5$   $\text{mg-N l}^{-1}$  (Figure 2d). The results show  
8 that  $\text{PO}_4^{3-}$  concentrations are significantly under-predicted for many sites (Figure 2e). For  
9 some sites there is an order-of-magnitude difference between observed and predicted  $\text{PO}_4^{3-}$   
10 concentrations. There is also some over-prediction for some low P sites. Comparison of  
11 observed and predicted SS concentrations also shows under- and over- prediction (Figure 2f).

### 13 4.4. Spatial predictions

14 The regression equations (Table 3) were used to predict the river water quality of the isobasins  
15 created for the North West. Mapping the results (Figure 3) shows that the upland areas of  
16 Cumbria, the Forest of Bowland, and the Pennine fringe to the north and east of Manchester,  
17 and the east of the Eden, have low predicted mean concentrations of  $\text{Ca}^{2+}$  ( $<10$   $\text{mg l}^{-1}$ ),  $\text{Mg}^{2+}$   
18 ( $<5$   $\text{mg l}^{-1}$ ),  $\text{NO}_3^-$  ( $<0.5$   $\text{mg-N l}^{-1}$ ),  $\text{PO}_4^{3-}$  ( $<0.05$   $\text{mg-P l}^{-1}$ ), SS ( $<0.05$   $\text{mg l}^{-1}$ ), and pH ( $<5.5$ ).  
19 The predictions show that the lowlands of the North West have varied water quality. Elevated  
20 mean  $\text{Ca}^{2+}$ ,  $\text{PO}_4^{3-}$  and SS concentrations ( $>80$   $\text{mg l}^{-1}$ ,  $>0.8$   $\text{mg-P l}^{-1}$  and  $>50$   $\text{mg l}^{-1}$ ,  
21 respectively) are predicted for some coastal areas of the Mersey, Ribble and Wyre basins,  
22 especially Liverpool and Preston (Figure 3). High mean  $\text{Ca}^{2+}$ ,  $\text{PO}_4^{3-}$  and SS concentrations are  
23 also predicted for the Greater Manchester conurbation. Low  $\text{Ca}^{2+}$  and SS concentrations are  
24 predicted for parts of the Lancashire Plain, which is located in the lower reaches of the Ribble  
25 and Wyre. Predicted mean  $\text{PO}_4^{3-}$  concentrations for this region range between 0.5 and 0.9  $\text{mg-}$

1 P I<sup>-1</sup>. The Lancashire Plain has predicted high concentrations of Mg<sup>2+</sup> and NO<sub>3</sub><sup>-</sup> (>50 mg I<sup>-1</sup>  
2 and >7 mg-N I<sup>-1</sup> respectively; Figure 3). Much of the lower reaches of the Mersey and Eden  
3 basins have predicted mean NO<sub>3</sub><sup>-</sup> concentrations >3 mg-N I<sup>-1</sup>.

4

## 5 **5. Discussion**

### 6 **5.1. Catchment drivers and pressures on water quality**

7 This analysis provides an assessment of the linkages between river water quality and  
8 catchment characteristics across North West England. For the major cations (Ca<sup>2+</sup> and Mg<sup>2+</sup>)  
9 and river water pH there is considerable variability across the North West (Table 2). Previous  
10 studies have found the distribution of Ca<sup>2+</sup> and Mg<sup>2+</sup> concentrations in rivers and streams to  
11 depend strongly upon weathering of soil and bedrock geology (e.g. Robson and Neal, 1997;  
12 Thornton and Dise, 1998; Smart et al., 1998; Oguchi et al. 2000; Jarvie et al., 2002). In our  
13 study mean Ca<sup>2+</sup> concentrations are mainly linked to slope (Table 2). Slope probably  
14 represents an aggregation of rainfall, soils, geology, vegetation and temperature (weathering).  
15 The lowest Ca<sup>2+</sup> concentrations occur at sites with steeper slopes. Higher precipitation, thinner  
16 soils and predominantly base-poor geologies at these locations explain the low mean Ca<sup>2+</sup>  
17 concentrations. The SMLR identified a link between mean Ca<sup>2+</sup> concentrations and the cover  
18 of improved grassland. This may be due to leaching of Ca<sup>2+</sup> from fertilised pastures where  
19 liming has been used to offset soil acidification (Cuttle and James 1995; Price, 2003). Sites  
20 where mean Ca<sup>2+</sup> concentrations are under-predicted (Figure 2) generally occur in river basins  
21 containing intensive agriculture (Figure 4, Table 1). High fertiliser application and leaching of  
22 Ca<sup>2+</sup> from these soils (Goulding and Blake, 1998) are a possible explanation for the elevated  
23 Ca<sup>2+</sup> concentrations at these sites. Mean Mg<sup>2+</sup> concentrations are linked to the percentage of  
24 arable and urban land cover (Table 3), both of which occur in the lowlands. Permian-Triassic  
25 sedimentary rocks dominate much of the North West lowlands, especially in the southern part

1 of the region. It is likely that the elevated  $Mg^{2+}$  concentrations in the lowlands are due to  
2 underlying geology, rather than urban or arable land cover (Table 3). The model for pH  
3 performs well and the findings are consistent with similar studies (e.g. Smart et al., 1998;  
4 Thornton and Dise, 1998). The spatial prediction of pH (Figure 3) highlights low pH levels in  
5 the high altitude areas of the Lake District and the Pennines, and high pH in the lowland areas  
6 and the river valleys in the north of the region.

7  
8 The results of the analysis reveal that  $NO_3^-$ -N is related to arable cover and, to a lesser extent,  
9 the cover of improved grassland and urban (Table 3). This is in agreement with other studies  
10 (e.g. Thornton and Dise, 1998; Ferrier et al., 2001; Jarvie et al., 2002; Davies and Neal, 2007;  
11 Neal et al., 2008). Although the analysis reveals that mean  $NO_3^-$  concentrations are mainly  
12 related to the extent of arable land, the highest observed  $NO_3^-$  concentrations in the North  
13 West region occur in the urban and highly industrialised Mersey basin (Table 1). This area is  
14 characterised by a large number of waste water treatment works and other effluent discharges  
15 (Rothwell et al., 2010). Point sources have been shown to be major contributors to river  $NO_3^-$ -  
16 N in urban environments (e.g. Davies and Neal, 2004; Meynendonckx et al., 2006). Sites  
17 where there is significant under-prediction of mean  $NO_3^-$  concentrations (Figure 2) tend to  
18 occur in the low lying urbanised southern part of the region (Figure 4, Table 1). Point source  
19 inputs of sewage effluent may be significant and explain the elevated concentrations in these  
20 urban catchments. Interestingly, under-prediction of mean  $NO_3^-$  concentrations also occurs in  
21 rural areas (Figure 4, Table 1). Point sources associated with rural settlement may explain the  
22 elevated observed  $NO_3^-$  concentrations at these sites (Neal et al. 2006).

23  
24 The results indicate that mean SS concentrations are most strongly linked to urban cover,  
25 suggesting that anthropogenic sources are the major contributor to fine sediment delivery in

1 the North West. Ferrier et al. (2001) also found a strong link between urban catchments and  
2 suspended solids in Scottish rivers. Collins et al. (2008) show that sediment loss from point  
3 and diffuse urban sources can be significant, especially in heavily urbanised regions. Urban  
4 suspended sediment within the North West is likely to represent a mix of point (sewage or  
5 industrial effluent) and diffuse (runoff from roads, industrial areas or residential housing)  
6 sources. The challenge therefore is to evaluate the relative contributions from these diverse  
7 sources in the urban sector. Although the SMLR did not identify agriculture as a predictor of  
8 SS concentrations, it did identify slope and altitude as predictors (Table 3). In low-relief  
9 coastal locations in the North West, particularly the Ribble and Wyre (Figure 1), intensive  
10 agriculture is common. The spatial prediction identifies this zone as having elevated SS  
11 concentrations (Figure 3).

12  
13 Observed  $\text{PO}_4^{3-}$  concentrations are highly variable across the North West (Table 1), but  
14 comparison of observed and predicted  $\text{PO}_4^{3-}$  values reveals that the model fails to predict the  
15 very high  $\text{PO}_4^{3-}$  concentrations (Figure 2). In a similar study, Davies and Neal (2007) also  
16 reported under-prediction of  $\text{PO}_4^{3-}$  concentrations for locations immediately downstream of  
17 waste water treatment works. In our study, data from EA monitoring stations immediately  
18 downstream of known point source inputs were not included in the analysis in an attempt to  
19 avoid the potential for sewage effluent inputs to mask relationships between water quality and  
20 the catchment attributes. Sites where there is significant under-prediction of mean  $\text{PO}_4^{3-}$   
21 concentrations (Figure 2) occur in urban and rural areas of the region (Figure 4, Table 1). The  
22 results of this study suggest that ‘diffuse-point’ source inputs (c.f. Neal et al., 2004; 2008), i.e.  
23 point sources at distal locations in a catchment, can still have a significant influence on river  
24  $\text{PO}_4^{3-}$  concentrations further downstream. Point sources in the North West appear to be of  
25 major importance with respect to  $\text{PO}_4^{3-}$ -P enrichment in rivers and streams. This is consistent

1 with previous studies in other regions around the UK (e.g. Neal and Jarvie, 2005; Neal et al.,  
2 2005; Jarvie et al., 2006; Neal et al. 2008; Jarvie et al., 2008). Despite the continued control  
3 of point source discharges associated with sewage effluent and improvements in treatment  
4 processes, this study clearly highlights that point sources still have a major effect of river  
5 nutrient levels in urban and rural catchments in the North West. The linear regression model  
6 also over-predicts  $\text{PO}_4^{3-}$  concentrations at a large number of sites in the North West (Figure 2),  
7 especially in the southern part of the region (Figure 4). Variation in within-river processing of  
8  $\text{PO}_4^{3-}$ -N (House, 2003; Withers and Jarvie, 2008), may also determine  $\text{PO}_4^{3-}$  concentrations  
9 in North West rivers. Linkages between  $\text{PO}_4^{3-}$ -N and catchment characteristics start to break  
10 down when there are within-river losses.

11

## 12 **5.2. The utility of the catchment characteristic approach**

13 Water quality models using the catchment characteristic approach have been developed for  
14 many different environments and have proved to be highly successful in predicting variation  
15 in catchment water quality (e.g. Donohue et al. 2005; 2006; van de Perk et al., 2007; Davies  
16 and Neal, 2004; 2007; Helliwell et al., 2007). In this study it is clear that the approach works  
17 well for those water constituents derived from diffuse sources. Predicted  $\text{NO}_3^-$  concentrations  
18 across the North West region highlight agricultural diffuse sources in coastal areas and  
19 nutrient poor locations in the uplands (Figure 3). Although a reasonable estimate of  $\text{NO}_3^-$ -N is  
20 obtained, contributions from urban and rural point sources are likely to be under-represented  
21 in the spatial prediction. The overwhelming influence of point sources on river  $\text{PO}_4^{3-}$   
22 concentrations makes it difficult to identify strong relationships with the catchment  
23 characteristics investigated. The empirical approach could be refined by combining the  
24 physical catchment characteristics with information on discharge consents and population  
25 density, and by combining  $\text{PO}_4^{3-}$  concentration data with river discharge. Non-linear

1 approaches could also be used to identify sites with different controls on  $\text{PO}_4^{3-}$  concentrations.  
2 Albeit, the spatial prediction of mean  $\text{PO}_4^{3-}$  concentrations in North West rivers (Figure 3)  
3 serves as a broad indication of areas at risk of elevated  $\text{PO}_4^{3-}$  concentrations. A combination  
4 of the catchment characteristic approach together with models such as PSYCHIC (Davidson  
5 et al., 2008; Stromqvist et al., 2008) or the Load Apportionment Model (Bowes et al., 2008;  
6 2009) may provide a better understanding of phosphorus variability in North West rivers.

7

## 8 **6. Conclusion**

9 This study indicates that models based on catchment characteristics can be very useful for  
10 regional prediction of pH and the concentrations of  $\text{Ca}^{2+}$  and  $\text{Mg}^{2+}$  in rivers, and appear a  
11 reasonable first approach for predicting mean  $\text{NO}_3^-$ -N and suspended solids concentrations.  
12 The results show that mean  $\text{NO}_3^-$  concentrations in North West river basins are mainly linked  
13 to diffuse agricultural inputs, but that point source inputs are also significant in urban and  
14 rural catchments. The results show that distal point sources within a catchment can play a  
15 major role in influencing river  $\text{PO}_4^{3-}$  concentrations further down the river network. High  
16 concentrations of  $\text{PO}_4^{3-}$  at some sites associated with point sources may disproportionately  
17 influence underlying relationships with catchment characteristics. More work is needed to  
18 fully evaluate nutrient sources and dynamics in North West rivers, especially in the context of  
19 catchment management and the growing pressure for increased housing and associated  
20 requirements for sewage treatment.

21

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ACCEPTED MANUSCRIPT

1 **Figure captions**

2

3 **Figure 1.** Environment Agency river water sampling sites across North West England used in  
4 the study. Inset indicates the location of the North West region in Great Britain.

5

6 **Figure 2.** Comparisons between observed and predicted river water quality for the  
7 independent validation dataset. (a)  $\text{Ca}^{2+}$  ( $\text{mg l}^{-1}$ ); (b)  $\text{Mg}^{2+}$  ( $\text{mg l}^{-1}$ ); (c) pH; (d)  $\text{NO}_3^-$  ( $\text{mg-N l}^{-1}$ );  
8  $\text{PO}_4^{3-}$  ( $\text{mg-P l}^{-1}$ ); (f) suspended solids ( $\text{mg l}^{-1}$ ). The plot shows the regression line (solid  
9 red), 95% confidence limits (dashed red) and the 1:1 line (solid black).

10

11 **Figure 3.** Maps of predicted river water quality for sub-catchments across North West  
12 England. Predictions are based on the regression models in Table 3. (a)  $\text{Ca}^{2+}$  ( $\text{mg l}^{-1}$ ); (b)  
13  $\text{Mg}^{2+}$  ( $\text{mg l}^{-1}$ ); (c) pH; (d)  $\text{NO}_3^-$  ( $\text{mg-N l}^{-1}$ ); (e)  $\text{PO}_4^{3-}$  ( $\text{mg-P l}^{-1}$ ); (f) suspended solids ( $\text{mg l}^{-1}$ ).

14

15 **Figure 4.** Maps showing the location of monitoring sites where linear models (Table 3) over-  
16 and under- predict mean chemical concentration. Values are the difference between observed  
17 and predicted concentrations. (a)  $\text{Ca}^{2+}$  ( $\text{mg l}^{-1}$ ); (b)  $\text{NO}_3^-$  ( $\text{mg-N l}^{-1}$ ); (C)  $\text{PO}_4^{3-}$  ( $\text{mg-P l}^{-1}$ ).

18

	<b>Predictor variable</b>
Terrain	Altitude, Aspect, Slope,
Land cover	Arable, Acid grassland, Bracken, Calcareous grassland, Exposed rock, Heathland, Improved grassland, Neutral grassland, Urban, Water, Wetland and Woodland
Bedrock geology	Coal measures, Conglomerates, Granites and lavas, Limestone, Shales, Siltstones, Sandstones and shales, Sandstones and mudstones
Ground water recharge	Base Flow Index
Meteorology	Rainfall

**Table 1.** Predictor variables used in the stepwise multiple linear regression.

Basin	Arable	Urban	Improved grassland	BFI	Ca <sup>2+</sup>	Mg <sup>2+</sup>	pH	NO <sub>3</sub> <sup>-</sup>	PO <sub>4</sub> <sup>3-</sup>	SS
	%	%	%		mg l <sup>-1</sup>	mg l <sup>-1</sup>		mg-N l <sup>-1</sup>	mg-P l <sup>-1</sup>	mg l <sup>-1</sup>
Mersey	10.2 (0 - 56.9)	16.8 (0 - 67.5)	18.7 (0 - 65.0)	0.45 (0.22 - 0.81)	50.1 (4.18 - 199)	12.7 (2.49 - 46.3)	7.61 (4.36 - 8.43)	3.19 (0.47 - 48.9)	0.30 (0.03 - 4.62)	17.3 (5.06 - 110)
Ribble	4.56 (0 - 36.2)	7.76 (0 - 37.1)	31.9 (0 - 81.5)	0.37 (0.27 - 0.61)	44.2 (15.3 - 84.2)	8.15 (1.90 - 33.3)	7.86 (6.54 - 8.26)	2.41 (0.39 - 14.5)	0.34 (0.03 - 3.79)	15.5 (3.60 - 82.7)
Wyre	6.94 (0 - 42.3)	15.2 (0 - 74.5)	29.9 (5.06 - 64.7)	0.36 (0.30 - 0.53)	41.8 (5.54 - 122)	11.7 (1.55 - 53.9)	7.49 (6.67 - 7.98)	2.21 (0.58 - 6.72)	0.22 (0.03 - 0.63)	26.6 (8.55 - 86.6)
Lune	1.03 (0.03 - 3.92)	1.07 (0 - 3.85)	32.1 (4.69 - 68.5)	0.39 (0.26 - 0.72)	34.3 (8.91 - 80.3)	3.22 (1.95 - 7.02)	7.85 (7.31 - 8.25)	1.25 (0.44 - 4.17)	0.05 (0.02 - 0.24)	5.74 (3.46 - 12.6)
Kent	9.14 (1.38 - 51.2)	2.61 (0.07 - 8.74)	48.3 (18.1 - 73.1)	0.53 (0.34 - 0.74)	28.8 (9.55 - 59.7)	7.10 (1.76 - 48.1)	7.69 (7.40 - 8.21)	2.89 (0.86 - 5.05)	0.07 (0.02 - 0.28)	7.05 (3.56 - 18.35)
Leven	3.50 (0.35 - 17.3)	2.00 (0.11 - 10.1)	14.8 (2.85 - 51.1)	0.41 (0.25 - 0.56)	8.41 (4.31 - 18.3)	1.23 (0.71 - 2.28)	7.23 (6.89 - 7.83)	0.99 (0.24 - 2.72)	0.07 (0.002 - 0.24)	3.96 (2.98 - 6.13)
Esk	1.01 (0.42 - 1.52)	0.62 (0.02 - 1.32)	2.70 (1.35 - 4.82)	0.36 (0.30 - 0.40)	2.21 (1.58 - 2.77)	0.83 (0.59 - 0.99)	6.51 (6.15 - 6.76)	0.43 (0.38 - 0.50)	0.02 (0.015 - 0.016)	3.15 (3.01 - 3.39)
Irt	0.53 (0.11 - 4.48)	0.80 (0 - 1.12)	7.78 (1.95 - 20.0)	0.34 (0.33 - 0.49)	2.23 (2.04 - 6.24)	1.16 (0.73 - 1.61)	6.83 (6.64 - 7.23)	0.61 (0.49 - 0.79)	0.03 (0.018 - 0.023)	7.68 (3.17 - 18.3)
Ehen	8.40 (0.03 - 16.8)	1.19 (0.26 - 2.48)	29.5 (1.11 - 56.9)	0.46 (0.34 - 0.69)	21.4 (2.36 - 44.6)	2.78 (0.84 - 5.16)	7.44 (6.55 - 7.75)	1.43 (0.42 - 3.05)	0.05 (0.02 - 0.1)	15.07 (3.55 - 31.8)
Derwent	3.91 (0 - 14.9)	0.74 (0 - 3.16)	24.1 (0.52 - 72.8)	0.44 (0.28 - 0.61)	12.9 (1.49 - 93.2)	1.47 (0.55 - 4.05)	7.08 (6.36 - 8.08)	1.18 (0.33 - 3.59)	0.06 (0.004 - 1.4)	8.02 (2.87 - 39.8)
Wampool	13.1 (5.54 - 17.7)	1.19 (0.56 - 2.14)	68.8 (64.5 - 78.5)	0.41 (0.34 - 0.48)	n.a	n.a	7.94 (7.53 - 8.19)	4.16 (2.50 - 6.30)	0.16 (0.1 - 0.29)	13.0 (11.59 - 15.0)
Eden	7.57 (0 - 25.4)	1.41 (0 - 7.10)	46.7 (2.17 - 84.6)	0.47 (0.23 - 0.84)	43.6 (1.67 - 171)	7.53 (1.04 - 17.0)	7.89 (6.92 - 8.25)	3.04 (0.19 - 9.74)	0.11 (0.002 - 0.39)	8.12 (3.12 - 17.6)

**Table 2.** Mean values for selected catchment characteristics and water quality for Environment Agency monitoring sites in North West river basins. Values in parenthesis are the range of catchment characteristics and water quality for individual sites within each basin. The river basins are shown in Figure 1.

Response variable	Regression equation
Ca <sup>2+</sup>	14.5 – 0.73*Slope + 0.51*Improved Grassland + 0.92*Urban + 0.16*Limestone; $p < 0.001$ , SEE = 10.2, n = 159; $R^2 = 0.77$ (where $S = 0.56$ ; $S, IG = 0.65$ ; $S, IG, U = 0.74$ ; $S, IG, U, L = 0.77$ )
Mg <sup>2+</sup>	5.18 + 0.48*Arable + 0.31*Urban – 0.57*Siltstone – 0.002*Rainfall; $p < 0.001$ , SEE = 4.45, n = 132; $R^2 = 0.55$ (where $A = 0.34$ ; $A, U = 0.49$ ; $A, U, Si = 0.53$ ; $A, U, Si, R = 0.55$ )
pH	7.71 – 0.01*Granites and lavas – 0.02*Wetland + 0.004*Limestone – 0.009*Heathland $p < 0.001$ , SEE = 0.34, n = 310; $R^2 = 0.60$ (where $G = 0.37$ ; $G, W = 0.53$ ; $G, W, L = 0.58$ ; $G, W, L, H = 0.60$ )
NO <sub>3</sub> <sup>-</sup> -N	0.24 + 0.11*Arable + 0.04*Improved Grassland + 0.02*Urban $p < 0.001$ , SEE = 1.45, n = 265; $R^2 = 0.52$ (where $A = 0.39$ ; $A, IG = 0.50$ ; $A, IG, U = 0.52$ )
PO <sub>4</sub> <sup>3-</sup> -P	0.02 + 0.01*Urban + 0.01*Arable + 0.0003*Area; $p = 0.001$ , SEE = 0.3, n = 283; $R^2 = 0.23$ (where $U = 0.17$ ; $U, A = 0.21$ ; $U, A, Ar = 0.23$ )
SS	15.5 + 0.38*Urban – 0.17*Slope – 0.06*Shales – 0.02*Altitude; $p < 0.001$ , SEE = 8.25, n = 281; $R^2 = 0.50$ (where $U = 0.43$ ; $U, S = 0.47$ ; $U, A, Sh = 0.48$ ; $U, A, Sh, Al = 0.50$ )

**Table 3.** Regression equations describing relations between river water quality and catchment characteristics across North West England. The  $R^2$  value for each model is shown together with the variance explained by each predictor variable. *S* Slope; *IG* Improved Grassland; *U* Urban; *L* Limestone; *A* Arable; *Si* Siltstone; *R* Rainfall; *G* Granites and lavas; *W* Wetland; *H* Heathland; *Ar* Area; *Sh* Shales; *Al* Altitude.

Figure 1

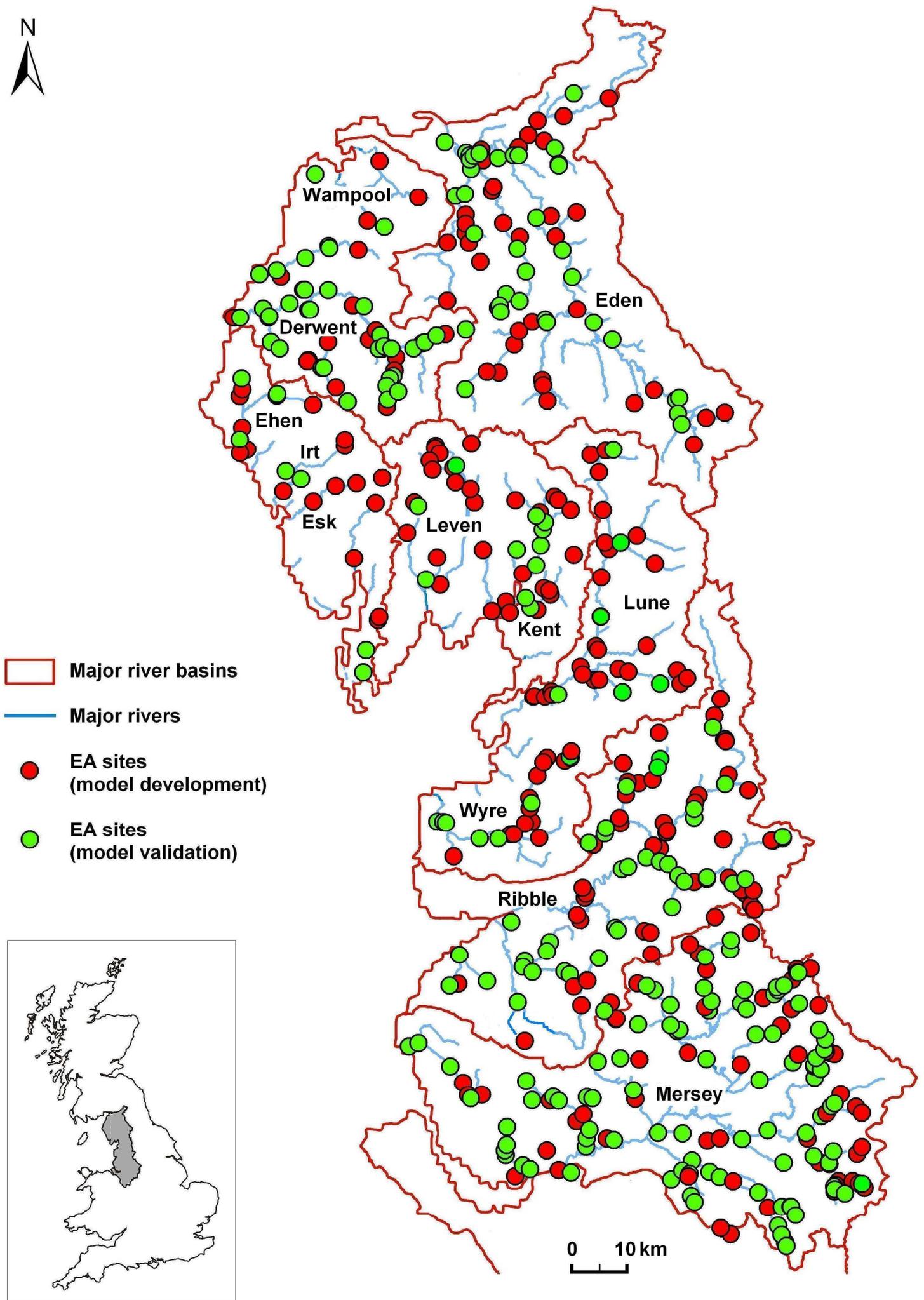


Figure 2

ACCEPTED MANUSCRIPT

