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1	Title: High genetic diversity at the extreme range edge: nucleotide variation at
2	nuclear loci in Scots pine (Pinus sylvestris L.) in Scotland
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23	

24 Abstract:

25 Nucleotide polymorphism at twelve nuclear loci was studied in Scots pine populations 26 across an environmental gradient in Scotland, to evaluate the impacts of demographic 27 history and selection on genetic diversity. At eight loci, diversity patterns were compared 28 between Scottish and continental European populations. At these loci, a similar level of 29 diversity ($\theta_{sil} = -0.01$) was found in Scottish vs. mainland European populations contrary 30 to expectations for recent colonisation, however less rapid decay of linkage 31 disequilibrium was observed in the former ($\rho=0.0086\pm0.0009$, $\rho=0.0245\pm0.0022$ 32 respectively). Scottish populations also showed a deficit of rare nucleotide variants 33 (multilocus Tajima's D=0.316 vs. D=-0.379) and differed significantly from mainland 34 populations in allelic frequency and/or haplotype structure at several loci. Within Scotland, western populations showed slightly reduced nucleotide diversity ($\pi_{tot}=0.0068$) 35 36 compared to those from the south and east (0.0079 and 0.0083, respectively) and about 37 three times higher recombination to diversity ratio ($\rho / \theta = 0.71$ versus 0.15 and 0.18, 38 respectively). By comparison with results from coalescent simulations, the observed 39 allelic frequency spectrum in the western populations was compatible with a relatively 40 recent bottleneck (0.00175 x 4Ne generations) that reduced the population to about 2% of 41 the present size. However heterogeneity in the allelic frequency distribution among 42 geographical regions in Scotland suggests that subsequent admixture of populations with 43 different demographic histories may also have played a role.

45 Introduction

46 Nucleotide polymorphism is influenced by several factors including mutation, migration, 47 selection and random genetic drift. In tree species, the current increase in sequence data 48 gathered from nuclear gene loci has been driven mostly by the search for the molecular 49 signature of natural selection (Achaz, 2009; Neale and Ingvarsson, 2008; Savolainen and 50 Pyhäjärvi, 2007). Selection can leave its traces as deviations from neutrality in the level 51 of nucleotide diversity, allele frequency distribution or correlation between polymorphic 52 sites (linkage disequilibrium) (Achaz, 2009). However, the capability to detect selection 53 at individual loci is heavily dependent on the assumptions of the neutral model (e.g. 54 constant long term population size, random mating), the strength of, and time since, 55 selection and the number of loci involved (and their relative effect) in selectively-56 influenced traits (Wright and Gaut, 2005). Therefore, prior to testing for selection, 57 datasets must be evaluated for violations of neutral model assumptions. Such processes, 58 e.g. historical changes in population size and distribution, may drive deviations from 59 neutrality that mimic the effect of selection. However, these effects are expected to be 60 genome-wide and so can be distinguished from selective influences by simultaneous 61 assessment of data from multiple loci. Although the patterns of variation in the majority 62 of nuclear loci studied to date obey neutral expectations and the signature of selection has 63 been elusive (Savolainen and Pyhäjärvi, 2007) polymorphisms at nuclear loci provide 64 highly valuable insights into evolutionary history (Heuertz et al, 2006; Pyhäjärvi et al, 65 2007).

67 All northern European tree populations have experienced substantial historical changes in 68 distribution. For example, palynological and phylogeographic data indicate that during 69 the last glacial maximum (25-18 000 years ago (ya)), most species were confined to the 70 southern peninsulas (Iberia, Italy and the Balkans) and some parts of eastern and central 71 Europe (Cheddadi et al, 2006; Pyhäjärvi et al, 2008; Willis and van Andel, 2004) and 72 only reached their most northerly limits around 9000 ya. The recolonization history of 73 forest trees, accompanied by adaptation to local environments, has potentially influenced 74 the pattern of nucleotide diversity both among locally adapted populations and between 75 range edge populations and putative refugial populations. In theory, population 76 bottlenecks reduce nucleotide diversity in range-edge populations relative to that in 77 source populations, although this is dependent on the timing and severity of the 78 bottleneck. In contrast, admixture of populations due, for example, to recolonization from 79 different refugia, may increase diversity (Petiti et al. 2003). However, recent studies in 80 continental European populations of Scots pine (Pyhäjärvi et al, 2007) and Norway 81 spruce (Heuertz et al, 2006) found little evidence at the nucleotide level for the effects of 82 recent (post-glacial) population size changes during migration and suggested bottlenecks 83 in the mid-to-late Pleistocene. In addition, similar to other predominantly outcrossing tree 84 species with highly efficient long distance gene flow via pollen (Hamrick et al, 1992), 85 neutral genetic differentiation between Scots pine populations is low. For instance, 86 marginal population differentiation was reported for neutral markers between Finnish 87 populations (Karhu et al, 1996), between Scandinavian and eastern parts of the range 88 (Wang et al, 1991) and, at several candidate gene loci for growth phenology and cold 89 tolerance, among populations along a latitudinal cline in continental Europe (Dvornyk et

al, 2002; García-Gil *et al*, 2003). The large population sizes of forest trees and capability for maintenance of high levels of genetic variation within populations seems to further buffer against rapid changes in genetic diversity, but causes difficulties in detection of recent demographic processes. If the migrations following the most recent glaciations are to have left any signature at all in contemporary populations of forest trees, it seems likely to be detectable only where populations have experienced severe bottlenecks or became rapidly isolated.

97

98 In Scotland, Scots pine (*Pinus svlvestris* L.) is at the extreme north-western edge of its 99 vast distribution, which reaches across Europe and Asia and is the largest of any pine 100 species (Critchfield and Little, 1965). Pines first colonized the land that became the 101 British Isles about 10 000 va, at around the time that Ireland became isolated, and reached 102 northern Scotland by about 9000 years ago (Huntley and Birks, 1983; Svendsen et al, 103 1999). According to fossil data in Scotland, pine first appeared in the Wester Ross region 104 in the northwest, and then shortly afterwards in the Cairngorms in the east (Birks, 1989). 105 The subsequent formation of the English Channel (c.6000 ya) and competition from 106 broadleaved species in southern Britain left Scottish pinewoods physically separated by at 107 least 500 km from mainland populations in continental Europe. Nowadays, native 108 pinewoods in Scotland cover about 18 000 hectares, in 84 differently-sized fragments 109 patchily distributed within a $\sim 200 \text{ x} 200 \text{ km}$ area across significant environmental 110 gradients in altitude, soil type, growing season length and annual rainfall mainly in the 111 east-west direction (e.g. annual rainfall varies from 700 to 3000 mm across 160 km) 112 (Mason *et al*, 2004). Small-scale provenance experiments have shown genetic variation 113 between Scottish populations from different locations, e.g. in root frost hardiness and 114 growth in seedlings (Perks and McKay, 1997) and differentiation among populations at 115 several quantitative traits (Perks and Ennos, 1999). There is reasonable evidence from 116 pollen (Birks, 1989), allozymes, monoterpenes and *mt*DNA (Kinloch *et al*, 1986; Sinclair 117 et al, 1998) suggesting a west/east population subdivision within Scotland and that 118 populations from these regions may have different origins (Ballantyne and Harris, 1994; 119 Bennett, 1995). Given the iconic status of Scots pine in Scotland and the severe 120 fragmentation of the population, there is considerable interest in evaluating its population 121 history.

122

123 In this study, we focus on the Scottish Scots pine population as a unique and isolated 124 oceanic fragment at the northwest extreme of the distribution to assess whether recent 125 demographic processes have influenced patterns of nucleotide variation. We analysed 126 patterns of nucleotide diversity, allele frequency and linkage disequilibrium in a 127 multilocus nuclear gene dataset in samples gathered from multiple locations within 128 putatively divergent regions within Scotland and compared our data to those from 129 samples from northern and central Europe, Turkey and Spain. Using this data and 130 coalescent simulation analysis, we aimed to assess whether Scottish populations show the 131 molecular signature of demographic history and the extent to which they are 132 differentiated from those in continental Europe.

133

134 Materials and Methods

135 Sampling and DNA extraction

136 Seed samples from 21 locations in Scotland were included in the study (Figure 1.). The 137 trees were sampled across an environmental gradient related to differences in altitude, 138 length of growing season, annual rainfall and average mean temperature in winter 139 (Supplementary Table S1). Cones were collected from mature trees in recognised old-growth 140 Scots pine forest; at these sites trees are typically over 150 years old and often much older 141 (Steven and Carlisle, 1959). Trees were separated by at least 50 m to minimise sampling of 142 closely related individuals. Sampling included the seven currently adopted seed zones of the 143 species in Scotland, from each of which 3 locations were sampled, 2 individuals per 144 location.

145 For most of the between-population analyses the samples were grouped according 146 to climatic characteristics into three geographical locations - western, southern and 147 eastern, represented by eighteen, twelve and twelve individuals, respectively (Figure 1, 148 Supplementary Table S1). The western group has the lowest mean altitude (~142m), the longest growing season (~ 240 days), highest mean temperature in winter (~ 2^{0} C) and 149 high annual rainfall (~ 2000mm). The eastern group has the highest mean altitude 150 (\sim 372m), the shortest growing season (\sim 175 days), and is the coldest (-0.1^oC) and driest 151 152 (~1050mm) part of the distribution, whilst southern group was intermediate between 153 these extremes except for annual rainfall (~2130mm). Field trials have demonstrated 154 genetic differences in phenology and growth rate among provenances originating within 155 these groups (Perks and Ennos, 1999).

156 Genomic DNA was extracted from haploid megagametophyte, maternal tissue 157 which surrounds the embryo in the seed. As DNA samples were haploid, the haplotypes 158 could be determined by direct sequencing. In total, 42 DNA extracts were prepared, 159 representing two different trees from each location. Seeds were germinated for a few days 160 in moisturized petri dishes and then extracted following a standard CTAB 161 (cetyltrimethylammonium bromide) protocol with addition of PVP to 1% concentration 162 in the lysis buffer.

163

164 Loci studied

165 In total, sixteen nuclear loci were analysed. This included several dehydrin genes that 166 were identified in expression studies in Scots pine (Joosen et al. 2006). Based on the 167 number and position of the conserved segments (Close 1997), we analysed the class SK4 168 of dehydrins (*dhn1*), SK2 (*dhn2*) and a group of K2 genes (*dhn3* and *dhn7*). We analysed 169 also SK type of dehydrin upregulated by water stress in *Pinus taeda* roots (Eveno *et al.*, 170 2007) and a putative dehydrin (*dhy-like*) described for Scots pine (Pyhäjärvi et al, 2007). 171 Other loci described in more detail in original papers include abscissic acid responsive 172 protein (abaR) (Wachowiak et al, 2009); early response to dehydration 3 protein (erd3), 173 abscissic acid, water dehydrative stress and ripening induced gene family members 1 and 174 3 (lp3-1, lp3-3), Caffeoyl CoA O-methyltransferase (ccoaomt), putative arabinogalactan/ 175 proline-rich protein (PR-AGP4-1) and putative arabinogalactan/ glycin-rich protein 176 (grp3) (Eveno et al, 2007); ABI3-interacting protein 2 (a3ip2), alcohol dehydrogenase C 177 (adhC) and chalcone synthase (chcs) (Pyhäjärvi et al, 2007).

In previous work, ten loci (*dhn1,2,3,7*, *dhy-like*, *dhy2PP*, *abaR*, *a3ip2*, *adhC*, *chcs*) were analysed in Scots pine from the continental European range including fifteen
samples from Northern Europe (populations from Northern and Southern Finland and

Sweden), fifteen from Central Europe (Poland, Austria and France), and five from each 181 182 of Turkey and Spain (Pyhäjärvi et al, 2007; Wachowiak et al, 2009). The reference 183 sequences of eight loci in total (excluding *dhv-like* and *adhC*, see below) were compared 184 with those from Scottish populations. The samples from the Iberian Peninsula and Turkey 185 were treated separately in between-region comparisons as they display specific 186 mitochondrial types not observed in mainland European distribution of the species which 187 suggests different histories and no contribution to recolonization after last glaciation 188 (Pyhäjärvi et al, 2008; Soranzo et al, 2000).

189

190 PCR amplification and sequencing

191 PCR-amplification was performed with PTC-200 (MJ Research) and carried out in a total 192 volume of 25µl containing about 10ng of haploid template DNA, 50µM of each of dNTP, 193 0.2µM of each primer and 0.25U Taq DNA polymerase with the respective 1x PCR 194 buffer (NovaZyme, Poland). PCR followed standard amplification procedures with 195 MgCl₂ concentration optimised for each primer pair as described in Supplementary Table S2. PCR fragments were purified using QIAquickTMPCR Purification Kit (Qiagen). 196 197 About 20 ng of PCR product was used as a template in 10 µl sequencing reactions with 198 the Big Dye Terminator DNA Sequencing Kit (Applied Biosystems) performed by the 199 GenePool sequencing service, University of Edinburgh. All samples were sequenced in 200 both directions. CodonCode Aligner software was used for editing and assembling of the 201 sequence chromatograms to produce alignments based on nucleotide sequence from both 202 DNA strands. Haplotype sequences of each locus reported in this paper are deposited in 203 the EMBL sequence database under accession numbers GQ262040 – GQ262490.

204

205 Sequence analysis

206 High quality sequences were obtained for most of the samples at twelve loci (Table 1). 207 PCR amplification or sequencing failed in most of the samples at *dhy-like*, *adhC* and *grp3* 208 and these loci, together with PR-AGP4-1 which was monomorphic across all 42 samples, 209 were excluded from further analysis. Nucleotide sequence alignments were constructed in 210 ClustalX and were further manually adjusted using GenDoc. All sequence 211 polymorphisms were visually rechecked from chromatograms edited with BioEdit. 212 Coding and noncoding regions (introns, UTRs) were annotated based on the NCBI 213 (http://www.ncbi.nlm.nih.gov/) sequence information at each locus and web-based gene 214 identification tool at PlantGDB (http://www.plantgdb.org/cgibin/PlantGDB/GeneSeger/ 215 PlantGDBgs.cgi). The influence of demography on the multilocus pattern of variation and 216 locus specific effects were assessed by looking at the amount of nucleotide diversity, 217 correlation between polymorphic sites and allelic frequency distribution between 218 different geographical locations in Scotland and in comparison to mainland populations 219 of the species and by comparing observed statistics with simulated values under a range 220 of demographic scenarios. Neutrality tests at intraspecific level were applied to search for 221 departures from a neutral model of evolution. Sequences from *Pinus pinaster* were used 222 as an outgroup for intraspecific comparisons to test for a signal of longer term selection.

223

224 Nucleotide diversity

Two measures of nucleotide diversity were applied: 1) an average number of nucleotide differences per nucleotide site between two sequences π , (Nei, 1987) calculated with 227 DNAsp 4.0) and 2) Watterson's (1975) estimate of the population mutation parameter, 228 theta (θ_W , equal to $4N_e\mu$, where N_e is the effective population size and μ is the mutation 229 rate per nucleotide site per generation), computed based on the number of segregating 230 sites and the length of each locus using MCMC simulation under a Bayesian model as 231 previously described (Pyhäjärvi et al, 2007). The estimates of nucleotide diversity were 232 conducted for all samples combined and separately for south, east and west regional 233 groups of Scottish populations. Scottish and continental European populations were 234 compared at eight loci for which informative data was available (Pyhäjärvi *et al.* 2007; 235 Wachowiak et al, 2009). Exceptionally high nucleotide diversity was found at *lp3-3* locus 236 compared to other loci in our dataset. Due to the size of the conifer genome and the 237 occurrence of multigene families (Ahuja and Neale, 2005), erroneous co-amplification of 238 different loci from the same family is possible and may account for unusual diversity 239 estimates at specific loci. Therefore, locus *lp3-3* was excluded from multilocus or average 240 estimates reported in the study to avoid bias and ensure that estimates were conservative; 241 the locus was included in population structure analysis and coalescent simulations.

242

243 Linkage disequilibrium and haplotype diversity

The level of linkage disequilibrium was measured as the correlation coefficient r^2 (Hill and Robertson, 1968) using informative sites. Indels and sites with three nucleotide variants identified in *dhn1* (3), *dhy2PP* (1) were excluded from the analysis. Under mutation-drift-equilibrium model, the decay of linkage disequilibrium with physical distance was estimated using non-linear regression of r^2 between polymorphic sites and the distance (in base pairs) between sites as detailed in (Wachowiak *et al*, 2009). The non-linear least-squares estimate of ρ ($\rho = 4N_ec$, where N_e is effective population size, c is the recombination rate) between adjacent sites was fitted by the nls-function implemented in the R statistical package (http://www.r-project.org). The overall and group specific least-squares estimates of ρ were computed and compared to other estimates in Scots pine (Pyhäjärvi *et al*, 2007; Wachowiak *et al*, 2009).

The number of haplotypes and haplotype diversity (H_d) were estimated for each gene using DNAsp. Insertions and deletions were included in all estimates. Coalescence simulations with locus specific or average ρ for six loci and without recombination were used to assess whether there are more or fewer haplotypes than expected and whether haplotype diversity is higher or lower than expected given the number of segregating sites. The number of haplotypes and haplotype diversity were calculated for all samples combined and separately for the three regional groups of Scots pine in Scotland.

262

263 Neutrality tests

264 Deviations of particular genes from the frequency distribution spectrum under the 265 standard neutral model of evolution were assessed with Tajima's D test (Tajima 1989) 266 and Fay and Wu's H (Fay and Wu 2000). Negative values of Tajima's D indicate an 267 excess of low frequency polymorphisms consistent with positive directional selection or 268 recent population expansion, whereas positive values indicate an excess of intermediate 269 frequency polymorphism potentially due to balancing selection or population contraction. 270 Fay and Wu's H test measures departures from neutrality based on high-frequency 271 derived alleles. An excess of high frequency derived alleles compared to neutral 272 expectations may result from recent positive selection or strong population structure with

273 uneven sampling from populations. The distribution of test statistics was investigated for 274 each locus for all populations combined and separately for the three regional groups. 275 Multilocus estimates of Tajima's D were assessed with HKA software 276 (http://lifesci.rutgers.edu/~hevlab). The estimates were also calculated along the sequence 277 of each locus by a sliding window of 100 sites with successive displacement of 25 sites. 278 As lack of recombination makes the D test overly conservative (Thornton 2005), the 279 significance of locus specific and multilocus Tajima's D was also evaluated by coalescent 280 simulations dependent on population mutation and recombination rate (MANVa software 281 www.ub.edu/softevol/manya, based on coalescent program *ms*, Hudson, 2002). Different 282 estimates of p including locus specific estimates, lowest and highest value across loci and 283 average value for six loci were used in coalescent simulations. As similar probability 284 values for multilocus D statistics were observed in simulations with different 285 recombination rate estimates, the results based on the average values of p at the analysed 286 loci are reported unless otherwise stated.

287 For tests based on nucleotide variation between species we used reference 288 sequence data from P. pinaster for outgroup comparison. To assess the correlation 289 between the level of nucleotide polymorphism and divergence at each locus we applied 1) 290 the McDonald and Kreitman, (1991) test, based on comparison of the pattern of within-291 and between-species divergence at synonymous species polymorphism and 292 nonsynonymous sites in a gene, and 2) HKA test (Hudson et al, 1987) which allows the 293 detection of loci that demonstrate unusual patterns of polymorphism compared to 294 divergence across genes. Comparison of multilocus polymorphism and divergence at all 295 sites was assessed using HKA software (http://lifesci.rutgers.edu/ ~heylab). The ratio of

296 nonsynonymous (*K*a) and synonymous site (*K*s) nucleotide divergence from the outgroup
297 species (Hughes and Nei, 1988) was calculated using DnaSP.

298

299 **Population structure**

300 To check if there was a geographical difference in allelic frequency spectra, regional 301 groups of Scottish populations were compared to each other and to previously analyzed 302 continental European populations from northern and central Europe. Spain and Turkey 303 (Pyhäjärvi et al. 2007; Wachowiak et al. 2009). Genetic differentiation between the 304 regions was studied locus by locus at both haplotype and SNP/Indel level and also by 305 averaging pairwise F_{ST} over all polymorphic sites across loci. The significance of genetic 306 differentiation was evaluated by 1000 permutations of the samples between groups using 307 Arlequin ver. 3.0 (Excoffier *et al*, 2005). Population structure from the haplotypic data was tested by S_{nn} and K_{ST}^* statistics (Hudson *et al*, 1992; Lynch and Crease, 1990), which 308 309 are more appropriate for sequence-based haplotype data where diversity may be high and 310 sample size low, rendering frequency-based approaches problematic. Their significance 311 was evaluated using 1000 permutations, where samples were randomly assigned into 312 different groups (Hudson, 2000). Genetic clustering of the individuals based on both full 313 sequence data and all segregating sites and indels at 12 loci (for Scottish populations) and 314 at 8 loci (for Scottish and mainland European populations) was conducted using BAPS 315 5.2 (Corander and Tang, 2007). Polymorphic sites from each locus were treated as linked 316 molecular data to account for dependence between segregating sites in the gene. Completely linked sites $(r^2=1)$ were excluded from the analysis. 317

318

319 Coalescent simulations

320 To further infer the demographic history of Scottish Scots pine populations we compared 321 the observed distribution of average Tajima's D and Fay and Wu's H at the candidate loci 322 separately in the western, southern and eastern group and in all geographic regions 323 combined to the simulated values under several demographic scenarios including the 324 standard neutral model (constant population size), growth model and bottleneck model 325 followed by exponential growth (Supplementary Figure S4). Regional groups of 326 populations were analysed separately as detailed aspects of the frequency spectrum may 327 differ between groups that are not differentiated based on genetic clustering methods 328 (Pyhäjärvi et al 2007). Coalescent simulations were run independently for each locus and 329 various demographic scenarios using the program ms (Hudson 2002) and the approach 330 described by Haddrill et al (2005). In each case, 5000 replicates were simulated for each 331 locus. The analyses were performed with recombination using the locus specific (when 332 available) or average value of ρ per site for the analysed loci in each geographic group 333 (Table 2, Supplementary Table S3). We tested various bottleneck scenarios of different 334 age and severity. The time from the end of the bottleneck (measured in units of $4N_0$ 335 generations from the present) ranged from 0.0002 to 0.05 and bottleneck severities 336 (measured in units of the current population size) from 0.001 to 0.5. Assuming for 337 instance, Ne of 200000 and generation time of 25 years, the time range corresponds to 338 between 4 000 and 1 million years and severity from 0.1 to 50% of the current population 339 size. In most bottleneck models tested, the ancestral and current effective population sizes 340 were assumed to be equal, bottleneck duration (f) was fixed to f=0.0015 (units of $4N_0$) 341 generations from the present) and the growth rate of 10 was constant across simulations 342 as in previous studies (Heuertz et al. 2006). A subset of simulations were run also with

343 f=0.006 and corresponding equal or doubled ancestral population size as compared to the 344 current one, and also separately for a set of 11 and 9 loci (excluding lp3-3 and dhn1 and 345 abaR, respectively as the later showed some evidence of selection). A schematic 346 representation of the simulated bottleneck model is shown in Supplementary Figure S4. 347 The simulation results for each demographic scenario were summarized using the 348 program analyser HKA. The perl script multitest pop1.pl was used to perform multilocus 349 tests of ms-generated genealogies (including P-values of the observed mean values of 350 Tajima's D and Fay and Wu's H statistics) summarized using analyser HKA. The 351 programs available from http://genomics.princeton.edu/ are 352 AndolfattoLab/Andolfatto Lab.html.

353

354 **Results**

355 Nucleotide polymorphism and divergence

356 The average total nucleotide diversity (π) in Scottish populations at eleven loci was π_{tot} = 0.0078 and at nonsynonymous sites was $\pi_{ns} = 0.0031$ (Table 2). Slightly lower average 357 nucleotide diversity was found in the west ($\pi_{tot} = 0.0068$) as compared to southern and 358 359 eastern regional groups ($\pi_{tot} = 0.0079$ and 0.0083, respectively) and similar values were 360 found at nonsynonymous sites ($\pi_{ns} = \sim 0.003$) (Supplementary Table S3). Multilocus 361 estimates of silent Watterson theta was $\theta_{sil}=0.0095$ (with 95% credibility intervals of 362 0.0074-0.0122) for all Scottish populations combined, $\theta_{sil}=0.0086$ (0.0063-0.0117) in the 363 west, $\theta_{sil}=0.0111$ (0.0080-0.0152) in the south and $\theta_{sil}=0.0103$ (0.0074-0.0143) in the 364 east. In comparisons between Scottish vs. mainland European populations at eight loci, similar but slightly higher average values of total nucleotide diversity ($\pi_{tot} = 0.0070$ vs 365

366 0.0062) and silent multilocous theta (θ_{sil} =0.0108 vs. 0.0093) were found in Scottish 367 populations (Table 3).

368

369 Linkage disequilibrium and haplotype polymorphisms

370 Rapid decay of linkage disequilibrium between pairs of parsimony informative sites at 371 eleven loci was found in Scottish populations, with $\rho = 0.0085 \pm 0.0009$ (Table 2) and expected r^2 values of 0.2 at a distance of about 400 bp. The decay of linkage 372 373 disequilibrium in the western group ($\rho = 0.0074 \pm 0.0008$) was more rapid as compared to 374 the south (0.0025 ± 0.0004) and east (0.0024 ± 0.0006) (Figure 2) and the pattern was 375 constant at most loci (Supplementary Table S3). Overall, Scottish populations had about 376 three times slower decay of linkage disequilibrium as compared to mainland populations at the same set of eight loci of similar sample size ($\rho = 0.0086 \pm 0.0009$ vs 377 378 0.0245±0.0022, respectively) (Supplementary Figure S1). However, the rate of decay of 379 LD and the relative level of recombination to diversity (ρ / θ ratio) were similar between 380 western Scottish and north and central European regions (Table 3) but these parameters 381 were over three times smaller in southern and eastern groups of Scotland.

The average number of haplotypes per gene was 12 and haplotype diversity was very high (H_d =0.789±0.042). Similar haplotype diversity was found in western (H_d =0.754±0.077), southern (H_d =0.819±0.088) and eastern (H_d =0.800±0.090) groups (Supplementary Table S3). Haplotype diversity was slightly higher than mainland European populations at the same set of eight loci (H_d =0.831±0.038 vs H_d =0.795±0.051) and also compared to previous estimates for Scots pine (H_d =0.683±0.059, Wachowiak *et al.* 2009). Locus *Lp3-3* contained two sets of haplotypes (each of 18 samples equally 389 distributed across three geographical groups) with highly reduced levels of nucleotide 390 polymorphism ($\pi_{tot} = 0.0090$ and 0.0074, respectively) as compared to the whole gene 391 estimate ($\pi_{tot} = 0.0370$) and a ten-fold difference in the level of divergence ($K_{sill}=0.013$ vs 392 K_{sil2} =0.116) (Supplementary Table S4 and Supplementary Figure S2). A neutral 393 coalescence process, compatible with a constant-size neutral model without 394 recombination or erroneous coamplifications of different gene family members could 395 potentially generate such a pattern. However, no reading-frame shifts or premature stop 396 codons, which would suggest the presence of non-functional alleles, were found at the 397 locus.

398

399 Neutrality tests

400 Tendency towards an excess of old over recent mutations across genes was detected by 401 multilocus Tajima's D at eleven loci in the total data set (D=0.118) (Table 2), in the 402 western (D=0.364), southern (D=0.103) and eastern (D=0.260) groups (Supplementary 403 Table S3). Significant excess of intermediate frequency mutations was found at *dhn2* 404 (D=1.968, P<0.05) and lp3-3 (D=2.846, P<0.01). Statistically significant positive values 405 of Tajima's D were identified in sliding window analyses in a few regions within dhn2 (D 406 = 2.36-2.48 at 307–449 bp), a3ip2 (D = 2.22 at 401-501 bp) and lp3-3 (D = 2.13-3.18 at 407 51-454 bp) loci. Overall, an excess of high-frequency derived variants indicated by 408 negative mean values of Fay and Wu's H statistics was found in all Scottish populations 409 (H=-0.494) (Table 2), in the west (H=-0.447) and east (H=-0.145) groups, but slightly 410 positive values were found in the south (H= 0.144) (Supplementary Table S3). The 411 aggregated Scottish populations show a deficit of rare variants (multilocus Tajima's 412 D=0.316) as compared to mainland European populations (D=-0.379). Both geographical 413 regions show negative mean value of Fay and Wu's *H* statistics (*H*=-0.564 and -1.240, 414 respectively) indicating an excess of high-frequency derived SNPs (Table 3).

415 An excess of fixed nonsynonymous over fixed synonymous substitutions and 416 polymorphic sites was found at *dhn1* locus in McDonald-Kreitman test (Fisher's exact 417 test, P = 0.05), as previously found in European mainland populations (Wachowiak *et al.*, 418 2009). An excess of nonsynonymous sites as compared to synonymous sites was found at 419 abaR (Supplementary Table S5). The level of divergence was similar across all sites and 420 at silent sites only (~4%), and was slightly lower than previous estimates for Scots pine 421 (K=~0.05, Wachowiak *et al.* 2009). Overall, positive correlation between polymorphism 422 and divergence (HKA test) was found at eleven loci combined.

423

424 **Population differentiation**

425 Differentiation between Scottish populations

426 Significant differentiation measured as an average over all polymorphic sites was found between southern and eastern groups at *dhn1* (F_{ST} =0.034, P<0.05) and between southern 427 428 and eastern as compared to the western group at *ccoaomt* (F_{ST} =0.149, P<0.05 and F_{ST} 429 =0.102, P<0.01, respectively) and lp3-1 (F_{ST} =0.100, P<0.05 and F_{ST} =0.197, P<0.001, 430 respectively) (Supplementary Table S6). A difference in frequency of indel 431 polymorphisms at *dhn1*, four silent substitutions and indel polymorphisms at *lp3-1* and 432 absence of four silent polymorphisms in the western group as compared to the others at 433 ccoaomt locus contributed the most to the differentiation between groups. Based on 434 haplotype differentiation, the western group differed from the southern group at *a3ip*

435 $(S_{nn}=0.629, P<0.05), lp3-1 (S_{nn}=0.758, P<0.01)$ and at *ccoaomt* and *lp3-1* based on K_{ST} 436 statistics ($K_{ST} = 0.066$ and 0.051, P<0.05, respectively). They also differ from the east group at *lp3-1* locus (K_{ST} =0.075, P<0.05). Significant F_{ST} statistics based on haplotype 437 438 frequency were found for *lp3-1* in the south and east as compared to western group 439 (P<0.05) and nearly significant values for *ccoaomt* between south and west groups 440 (P=0.06) (Supplementary Table S6). No difference between west-south, west-east and 441 south-east groups were found based on average F_{ST} over all polymorphic sites and indels combined across the loci (F_{ST} =-0.013, -0.013, and 0.01, respectively). 442

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444 Differentiation between Scottish vs European continental populations

445 Based on allele frequency and/or haplotype diversity statistics Scottish populations were 446 differentiated from continental European populations at six out of eight loci analysed 447 (Supplementary Table S7). Significant population differentiation (F_{ST}), measured both as 448 an average over polymorphic sites and at the haplotype level, was found at *dhn2*, *dhn7*, 449 *abaR* and *chcs*. Based on the average proportion of nearest-neighbor haplotypes that are 450 present in the same locality (S_{nn}) both groups were differentiated at *dhn2*, *dhn7*, *dhy2PP* 451 and *a3iP* (P<0.001-0.05). Two loci, *dhn2* and *dhn7*, also showed high similarity between 452 pairs of sequences derived from each region ($K_{ST} = 0.098$ and 0.067, respectively, 453 P<0.01).

454 Significant differentiation was found between Scottish populations versus continental 455 European populations measured as an average of F_{ST} values over all polymorphic sites 456 detected (Table 4). The only exceptions include southern Scottish populations as 457 compared to northern and central Europe, eastern Scottish compared to northern458 European and western Scottish compared to Spanish populations.

Analysis of genetic clustering with full sequence data gave the best support for all individuals from European mainland and Scottish populations at eight loci and for individuals from Scottish populations at 12 loci belonging to one genetic cluster. At all polymorphic sites and indels at both eight and twelve loci, the best support was obtained for four clusters, but without clear pattern of geographical distribution (Supplementary Figure S3).

465

466 Coalescent simulations

467 For each geographic group of Scottish Scots pine populations the observed pattern of the 468 frequency distribution spectrum was not compatible with either the standard neutral or 469 growth models. In simulations under the SNM and growth model the mean Tajima's D 470 was significantly lower and Fay and Wu's H significantly higher than the observed values 471 except for the southern group, the only one with positive mean H values (Table 5, 472 Supplementary Table S8). Among the 20 different bottleneck models tested the most 473 compatible for the western group was a relatively recent bottleneck (t=0.00125) that 474 reduced the population to 2% of the present size followed by moderate population growth 475 (Table 5, Supplementary Table 9). This model also held for the eastern group but was 476 always rejected for the southern group, where different bottleneck scenarios never lead to 477 positive values for both Tajima's D and Fay and Wu's H statistics (Supplementary Table 478 9). In general, the simulations indicate heterogeneity in the allelic frequency distribution 479 among geographic regions in Scotland.

480 **Discussion**

481 Multilocus signatures of population history

482 The Scottish populations showed clear molecular signatures of different demographic 483 histories. Across all regions, the allele frequency distribution was skewed towards 484 intermediate frequency polymorphisms, and the rate of decline of linkage disequilibrium 485 was reduced and nucleotide diversity levels were equivalent to or higher than continental 486 European populations of the species. The skew of allelic frequency distribution, apparent 487 as positive values of Tajima's D, was in clear contrast to previous reports for this species 488 in continental Europe (Palmé et al, 2008; Wachowiak et al, 2009) and for published 489 studies of other species (North American Douglas fir, Eckert et al. 2009; P. taeda 490 González-Martínez et al, 2006a; other conifer species Savolainen and Pyhäjärvi, 2007; 491 European *Quercus petraea* Derory *et al*, 2009; *Populus tremula* Ingvarsson, 2005) where 492 negative values of Tajima's D have been found. In these species, the excess of low 493 frequency derived mutations has been ascribed to the influence of postglacial range 494 expansion (Brown et al, 2004; Pyhäjärvi et al, 2007) or potentially the influence of 495 recurrent selective sweeps (e.g. Eckert et al, 2009). In contrast, rather than range 496 expansion, the bias towards intermediate-frequency polymorphisms in Scottish 497 populations suggests the influence of a bottleneck although, as shown in recent 498 simulation studies, a skew of allelic frequency variants may also result from pooling local 499 samples with different demographic histories (Städler et al, 2009). However, the 500 bottleneck hypothesis was also supported by the overall pattern of linkage disequilibrium 501 (LD), which showed a reduced rate of decline relative to continental European 502 populations of the species. In coalescent simulations, the bottleneck scenario fits best for

503 western populations and the data were compatible with a relatively recent, severe 504 bottleneck. Depending on the effective population size and generation time assumed, this 505 bottleneck ended a maximum of a few tens of thousands of years ago (e.g about 25 000 506 va assuming $N_e=200\ 000$). Bottlenecking is expected to increase association (correlation 507 among sites with distance) of alleles and polymorphic sites across loci. In Scottish 508 populations, the decay of LD was almost three times slower than that in mainland 509 populations. Reduced decay of LD has also been observed in populations of American P. 510 taeda that had probably experienced bottlenecks (Brown et al. 2004; González-Martínez 511 et al. 2006a) and contrasting allele frequency distributions were observed between 512 northern populations and recently bottlenecked southern populations of *Ouercus crispula* 513 in Japan (where the latter showed positive Tajima's D, Quang et al, 2008).

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515 Although there are exceptions (Grivet *et al*, 2009), it is expected that bottlenecks should 516 have a stronger impact on the allele frequency distribution spectrum and LD than on the 517 overall level of diversity (Wright et al, 2005). Long-lived, wind-pollinated tree species 518 should be capable of maintaining genetic diversity even during range shifts; i.e. they are 519 buffered against rapid changes in genetic variation due to fluctuations in population size 520 (Austerlitz et al, 2000). Indeed, relative to mainland European populations of Scots pine, 521 Scottish populations did not show a decline in nucleotide diversity, as is expected where 522 colonisation has been relatively recent (Nei et al, 1975; Pannell and Dorken, 2006). In 523 fact, genetic variation in Scottish populations seems to be slightly higher than in 524 mainland populations (θ_{sil} =0.011 vs 0.009, respectively) and relative to previous 525 estimates for the species (θ_{sil} = 0.005 at 16 loci with some related to timing of bud set 526 (Pyhäjärvi et al, 2007) and $\theta_{sil}=0.0089$ at 14 cold tolerance candidate loci (Wachowiak et 527 al, 2009)). Compared to estimates in other forest tree species, overall diversity in Scottish populations (π_{tot} =0.0078) is only lower than that in broadleaved *Populus tremula* (0.0111, 528 529 Ingvarsson, 2005) and is higher than that in Q. crispula, (0.0069, Quang and Harada 530 2008), *Q. petraea* (0.0062, Derory et al. 2009), *P. pinaster* (0.0055, Eveno et al. 2008), 531 P. taeda (0.0040, Brown et al, 2004), Picea abies (0.0039, Heuertz et al, 2007) and other 532 conifers (Savolainen and Pyhäjärvi, 2007). The diversity estimate for Scottish 533 populations is compatible with the patterns of genetic variation observed in previous 534 studies (monoterpenes Forrest, 1980; Forrest, 1982), allozymes Kinloch et al, 1986), 535 chloroplast DNA microsatellite markers Provan et al, 1998).

536

537 Although it seems clear that bottlenecking has been an influence on Scottish populations, 538 estimation of the timing of the event is heavily dependent on various assumptions 539 including the effective population size and generation time estimates. For instance, in 540 continental populations of Norway spruce and Scots pine, simulation studies suggested a 541 rather ancient bottleneck that ended several hundred thousand to more than one million 542 vears ago, respectively (Lascoux et al, 2008). In our data, coalescent simulation of 543 various demographic scenarios supported the conclusion that bottlenecking had occurred, 544 but suggested more recent timing. A similar signal, suggesting bottlenecking on a 545 timescale related to the most recent glaciation, was detected in Italian populations of 546 Aleppo pine (Grivet et al, 2009). Furthermore, the severity of the bottleneck experienced 547 by Scottish populations appears to have been strong enough to account for the observed

548 discrepancy in allelic frequency distributions and decay of LD, in contrast to continental
549 European tree populations (Lascoux *et al*, 2008).

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551 However, as we observed heterogeneity in the pattern of nucleotide diversity among 552 regions within Scotland, it seems likely that different parts of the population have 553 experienced different demographic histories. The ratio of recombination to diversity and 554 the level of linkage disequilibrium in western Scottish populations were similar to those 555 in mainland European populations but about three times higher than those in southern and 556 eastern Scottish groups. Various bottleneck scenarios could be clearly rejected for the 557 southern group in our coalescent simulation analysis. The homogenizing effects of gene 558 flow on genetic diversity are well known for highly outcrossing wind pollinated species, 559 and there is evidence for historically high gene flow among Scottish populations from 560 work using chloroplast markers (Provan et al, 1998). In addition, molecular and isozyme 561 studies provide no suggestion of a difference in outcrossing rates between regions that 562 could account for a difference in spatial distribution of polymorphism (Kinloch et al, 563 1986). As, until recently, Scots pine covered large parts of Scotland, differentiation 564 between regional groups due to genetic drift also seems unlikely, as this should be most 565 significant for small populations (Pannell and Dorken, 2006). Inter-regional differences 566 also seem unlikely to be the result of selection. If this was the case, we would expect 567 differences in the frequency distribution spectrum between groups or at least reduced 568 diversity levels at selected loci. However, the observed dominance of intermediate 569 frequency variants in all groups together with very rapid decay of linkage disequilibrium 570 (within a few hundred base pairs) excludes a selective sweep as an explanation.

Furthermore, nucleotide and haplotype diversity is at least as high in southern and eastern groups as in the western group, whereas directional selection should reduce diversity. Therefore, overall, historical changes in population size and distribution seem a more plausible explanation for the pattern of nucleotide variation in Scottish populations and, as a single migration and bottleneck event cannot account for the observed pattern of diversity, it seems that heterogeneity within the Scottish population is most likely to be the result of admixture of populations from different origins.

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579 Compared to continental Europe, southern and eastern groups of Scottish pines showed 580 no overall difference in allele frequency distribution at polymorphic sites from north or 581 central European populations, but differentiation from Spanish and Turkish populations. 582 On the other hand, the western group was significantly differentiated from all mainland 583 populations except those from Spain. In previous studies, populations from the west of 584 Scotland were more closely related to southern European populations in monoterpene 585 composition and isozyme frequency (Forrest, 1982) or geographically structured *mt*DNA 586 variation (Sinclair et al, 1998) than to populations from north-central Europe, which were 587 more similar to the southern and eastern Scottish pinewoods. Similarities between 588 western Scotland and south European Scots pine could simply be stochastic, due to 589 homogenising selection for similar environments or, alternatively, could reflect common 590 ancestry of the populations. Genetic similarity at *mt*DNA markers (maternally transmitted 591 in pines) suggests the latter. However, as Iberian populations did not contribute to the 592 most recent recolonization of central and northern Europe (Prus-Glowacki and Stephan, 593 1994; Pyhäjärvi et al, 2008; Soranzo et al, 2000; Tobolski and Hanover, 1971), this

594 genetic similarity would reflect a common origin predating the last glacial period. 595 Therefore, contemporary Scottish populations may originate from western populations 596 that survived the last glaciation in southwestern parts of the British Isles, western 597 continental Europe (Ballantyne and Harris, 1994; Bennett, 1995) or now-submerged parts 598 of the continental shelf. Future genetic studies at more loci (including new mtDNA 599 markers) and in more populations would allow more precise assessment of the spatial 600 distribution of haplotypes in Scottish and mainland populations and testing of 601 colonisation hypotheses. This should soon be feasible as new genomic resources for pine, 602 including multiple nuclear and *mt*DNA loci, are currently being developed (e.g. through 603 the EVOLTREE Network of Excellence).

604

605 Effects of selection at individual loci

606 At mutation-drift equilibrium, genetic drift and gene flow influence the level of 607 differentiation between populations for selectively neutral markers (Kawecki and Ebert, 608 2004; Savolainen et al, 2007). Little differentiation between Scottish and mainland 609 European populations of Scots pine at neutral markers (Kinloch et al, 1986; Provan et al, 610 1998; Prus-Glowacki and Stephan, 1994) but divergence at quantitative traits for 611 characters of adaptive importance (e.g. phenology, growth and survival rates, Ennos et al, 612 1998; Worrell, 1992, Hurme et al. 1997) suggests that selection is driving adaptive 613 differentiation in both geographical regions. As they differ significantly in climatic, 614 edaphic and biotic conditions, it is possible that observed nucleotide and/or haplotype 615 differentiation at *dhn2* and *dhn7* and some differences in the allele-frequency spectrum at 616 dhy2PP, abaR, a3iP2 and chcs may be due to selection. Similarly, reduced nucleotide

617 and haplotype diversity and a difference in the frequency and distribution of 618 polymorphism found at *lp3-1* and *ccoaomt* in the western as compared to the southern 619 and eastern groups of Scottish populations could have been affected by diversifying 620 selection at the range edge where populations are under direct oceanic influence. In 621 contrast, the haplotype dimorphism at lp3-3 could potentially result from the long-term 622 action of balancing selection, maintaining variation across geographical regions. 623 However, as admixture at *lp3-3* cannot be ruled out, a study of nucleotide polymorphism 624 in mainland European populations would be necessary to verify whether or not balancing 625 selection has been an influence at this locus.

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627 Some of the loci analysed showed distinct nucleotide diversity patterns relative to genetic 628 background in other species (e.g. lp3-1 and ccoaomt in P. pinaster Eveno et al, 2008, 629 ccoaomt in P. taeda, González-Martínez et al, 2006a). Although there is accumulating 630 evidence on the polygenic character of adaptive traits from QTL studies (Buckler et al, 631 2009; Howe et al, 2003), it remains unclear whether or not there are genes of major effect 632 that contribute to adaptive variation in conifers. In the case of Scottish pinewoods, 633 adaptation was probably driven by postglacial migration from a predominantly 634 continental to an oceanic environment over the past ~7000 yrs. For long-lived conifers, 635 adaptive differentiation would be expected to occur over several dozens of generations 636 after vicariance. However, even though selection can be very effective in species with 637 large population sizes, the time since the last glaciation seems too short for pine species 638 to have accumulated new mutations that could be rapidly fixed by selection. Adaptive 639 divergence is therefore more likely to result from selection acting on standing variation, 640 which may have arisen in endemic populations that survived last glaciations in Western 641 Europe or the British Isles. Moreover, as differentiation at the trait level in forest trees is 642 likely to result from allelic associations among large numbers of loci, rather than changes 643 in allelic frequencies at individual loci, the signature of selection may be more readily 644 detectable as covariance of allele frequencies at multiple loci (Derory et al, 2009; Latta, 645 2004; Le Corre and Kremer, 2003). Therefore many more loci, including regulatory 646 regions (to date, generally omitted from analyses of nucleotide variation in conifers), 647 would need to be studied in parallel before the influence of selection could be verified. 648 Scottish populations, which show considerable ecological, phenotypic and genetic 649 diversity over short geographic distances, represent an excellent study system for 650 multilocus analysis of complex trait variation (González-Martínez et al, 2006b; Neale and 651 Savolainen, 2004). Such studies will, however, have to take into account the potential 652 role of recent population history in shaping patterns of nucleotide diversity, and therefore 653 ensure that sampling is conducted at sufficient density to control for historical influences. 654 Association studies of allelic variants and adaptive variation at quantitative traits between 655 individuals from different, locally-adapted populations could also better validate the signatures of selection and the functional role of the nuclear genes studied. 656

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Conflict of interest statement

- 667 The authors declare that there are no conflicts of interest.

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954 <u>Titles and legends to figures</u>

Figure 1. Main map: location of 21 Scots pine populations from Scotland (divided for
most between-population analyses into groups: • West, ▲ South and + East). Inset
shows locations of the 8 mainland European populations with which comparisons were
made and location of main map (highlighted). See Material and Methods for details.

961 962 963 964 965 966 967 968	Figure 2. Scatter plot of the s function of distance in base p southern (B) and eastern (C) s disequilibrium is shown by no model (see material and meth (standard error in parenthesis group is $\rho = 0.0025$ (0.0004) a	equared correlation coefficient airs between pairs of polymon groups at all loci combined. D onlinear fitting curve of the m lods section for details). Recor-) for western group is $\rho = 0.00$ and $\rho = 0.0024$ (0.0006) for the	t of allele frequencies (r^2) as a phic sites in western (A), Decline in linkage nutation-recombination-drift mbination rate parameter p 174 (0.0008), for southern e east.
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997 <u>Tables and Figures</u>

Table1. Loci included in nucleotide diversity analyses

			Base pairs	screened	l	
Protein / Function	n	Total	Coding ^a	Intron	UTRs ^b	Indels ^c
dehydrin 1 – dehydrative stress response	40	1265	489	423	353	10 (194)
dehydrin 2 – dehydrative stress response	33	449	235 (2)	119	95	2 (6)
dehydrin 3 – dehydrative stress response	34	428	330(1)	-	98	2 (69)
dehydrin 7 – dehydrative stress response	38	364	264 (1)	-	100	1 (2)
dehydrin – dehydrative stress response	42	485	381 (2)	95	9	1 (8)
abscisic acid responsive protein	40	419	334 (1)	85	-	4 (23)
ABI3-interacting protein 2	39	882	169 (2)	120	593	1 (21)
caffeoyl CoA O-methyltransferase	41	563	316 (3)	247	-	0
chalcone synthase	35	331	85 (1)	-	246	1(1)
early responsive to dehydration 3	38	583	379 (3)	204	-	0
ABA and WDS induced gene-1	35	438	168 (1)	-	270	1 (8)
ABA and WDS induced gene-3	36	463	232 (2)	231	-	6 (154)
	451	6670	3382 (19)	1524	1764	29 (486)
	Protein / Function dehydrin 1 – dehydrative stress response dehydrin 2 – dehydrative stress response dehydrin 3 – dehydrative stress response dehydrin 7 – dehydrative stress response dehydrin – dehydrative stress response abscisic acid responsive protein ABI3-interacting protein 2 caffeoyl CoA <i>O</i> -methyltransferase chalcone synthase early responsive to dehydration 3 ABA and WDS induced gene-1 ABA and WDS induced gene-3	Protein / Functionndehydrin 1 – dehydrative stress response40dehydrin 2 – dehydrative stress response33dehydrin 3 – dehydrative stress response34dehydrin 7 – dehydrative stress response38dehydrin – dehydrative stress response42abscisic acid responsive protein40ABI3-interacting protein 239caffeoyl CoA <i>O</i> -methyltransferase41chalcone synthase35early responsive to dehydration 338ABA and WDS induced gene-135ABA and WDS induced gene-336451	Protein / FunctionnTotaldehydrin 1 – dehydrative stress response401265dehydrin 2 – dehydrative stress response33449dehydrin 3 – dehydrative stress response34428dehydrin 7 – dehydrative stress response38364dehydrin – dehydrative stress response42485abscisic acid responsive protein40419ABI3-interacting protein 239882caffeoyl CoA <i>O</i> -methyltransferase41563chalcone synthase35331early responsive to dehydration 338583ABA and WDS induced gene-135438ABA and WDS induced gene-3364634516670	Protein / FunctionnTotalCodingadehydrin 1 – dehydrative stress response401265489dehydrin 2 – dehydrative stress response33449235 (2)dehydrin 3 – dehydrative stress response34428330 (1)dehydrin 7 – dehydrative stress response38364264 (1)dehydrin – dehydrative stress response42485381 (2)abscisic acid responsive protein40419334 (1)ABI3-interacting protein 239882169 (2)caffeoyl CoA <i>O</i> -methyltransferase41563316 (3)chalcone synthase3533185 (1)early responsive to dehydration 338583379 (3)ABA and WDS induced gene-136463232 (2)45166703382 (19)	Protein / FunctionnTotalCodingaIntrondehydrin 1 - dehydrative stress response401265489423dehydrin 2 - dehydrative stress response33449235 (2)119dehydrin 3 - dehydrative stress response34428330 (1)-dehydrin 7 - dehydrative stress response38364264 (1)-dehydrin - dehydrative stress response42485381 (2)95abscisic acid responsive protein40419334 (1)85ABI3-interacting protein 239882169 (2)120caffeoyl CoA O-methyltransferase41563316 (3)247chalcone synthase3533185 (1)-early responsive to dehydration 338583379 (3)204ABA and WDS induced gene-136463232 (2)231ABA and WDS induced gene-336463232 (2)23145166703382 (19)15241524	Protein / FunctionnTotalCodingaIntronUTRsbdehydrin 1 - dehydrative stress response401265489423353dehydrin 2 - dehydrative stress response33449235 (2)11995dehydrin 3 - dehydrative stress response34428330 (1)-98dehydrin 7 - dehydrative stress response38364264 (1)-100dehydrin - dehydrative stress response42485381 (2)959abscisic acid responsive protein40419334 (1)85-ABI3-interacting protein 239882169 (2)120593caffeoyl CoA <i>O</i> -methyltransferase41563316 (3)247-chalcone synthase3533185 (1)-246early responsive to dehydration 338583379 (3)204-ABA and WDS induced gene-136463232 (2)231-45166703382 (19)15241764

 $\frac{1000}{1001}$ n - haploid sample size, ^a number of exons in parenthesis; ^b untranslated region (5'UTR); ^c number of indels and length range in parenthesis;

1002 Table 2. Summary statistics of nucleotide and haplotype variation and frequency distribution spectrum of polymorphism at 1003 analysed genes in Scottish populations of Scots pine. Silent sites variation reported separately for west, south and east 1004 geographical groups, otherwise average values for all samples combined.

1004 geographical groups, otherwise average values for all samples combined. 1005

Nucleotide diversity														
			Nonsyn	onym.			Silent ^a						Haplo	type diversity
Locus	L	π	SNPs	π	SNPs	π	π_{West}	π_{South}	π_{East}	ρ^{b} (SE)	D^{c}	H^{d}	Ν	$H_{\rm d}({\rm SD})$
dhn1	1071	0.0144	8 (4)	0.0044	54 (12)	0.0203	0.0215	0.0225	0.0158	0.0113 (0.0012)	0.039	-0.285	24	0.964 (0.014)
dhn2	442	0.0074	1	0.0024	7 (0)	0.0112	0.0107	0.0110	0.0113	0.0779 (0.0379)	$1.968^{*1,2}$	0.458	11	0.888 (0.028)
dhn3	359	0.0198	10(2)	0.0137	13 (2)	0.0291	0.0325	0.0226	0.0366	-	0.911	1.733	8	0.829 (0.03)
dhn7	362	0.0042	3 (1)	0.0042	4 (3)	0.0043	0.0033	0.0036	0.0061	-	-0.223	-2.339	6	0.711 (0.039)
dhy2PP	476	0.0101	5 (3)	0.0011	12 (2)	0.0244	0.0240	0.0223	0.0257	0.0680 (0.0228)	0.103	1.738	21	0.954 (0.015)
abaR	396	0.0048	5 (0)	0.0052	3 (2)	0.0043	0.0035	0.0048	0.0046	-	0.052	-2.323	10	0.755 (0.057)
a3iP2	861	0.0043	2 (2)	0.0008	12 (5)	0.0049	0.0051	0.0045	0.0054	0.0022 (0.0016)	0.360	-2.231	11	0.779 (0.052)
ccoaomt	563	0.0018	1(1)	0.0000	5 (0)	0.0032	0.0011	0.0053	0.0038	-	-0.711	-0.746	5	0.348 (0.092)
chcs	330	0.0075	1(1)	0.0008	12 (6)	0.0094	0.0066	0.0137	0.0088	-	-0.682	-1.267	9	0.766 (0.065)
erd3	583	0.0021	3 (3)	0.0006	7 (4)	0.0037	0.0036	0.0029	0.0046	-	-1.464 ^{*2}	-1.351	9	0.73 (0.052)
lp3-1	430	0.0095	1(1)	0.0004	12(1)	0.0137	0.0107	0.0144	0.0135	0.0195 (0.0111)	0.944	1.175	20	0.955 (0.017)
lp3-3	309	0.0370	8 (0)	0.0177	18(1)	0.0660	0.0692	0.0683	0.0676	0.0018 (0.0011)	$2.846^{***1,2}$	1.600	19	0.949 (0.019)
Total	6182		48(18)		159 (38)									
Mean ^e	515	0.0078		0.0031		0.0117	0.0111	0.0116	0.0124	0.0085 (0.0009) ^f	0.118	-0.494	12.18	0.789 (0.042)

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1007 L – length of sequence in base pairs excluding indels; π – nucleotide diversity (Nei 1987); N – number of haplotypes; H_d – haplotype diversity (standard deviation); ^a

1008 synonymous and noncoding positions; ^b least-squares estimate of recombination parameter; ^cD test (Tajima 1989); ^dH test (Fay and Wu 2000); ^e average values at

1009 11 loci excluding *lp3-3*; ^f stimates based on informative sites at all loci excluding *lp3-3*; "-" not estimated due to low number of informative sites; * statistically

1010 significant values based on coalescence simulations (1) without recombination and (2) with average recombination rate at six loci *P<0.05; *** P<0.01

Table 3. Descriptive statistics for nucleotide variation at eight loci in Scottish and continental European populations of Scots pine. Description of regional groups in Scotland as in Figure 1

)16	and Supplementary Table S1.							
	Groups		θ^{a}	C.I. (95%) ^b	ρ (SE) °	ρ/θ	D^{d}	H ^e
	Scottish	West	0.0103	0.0072 - 0.0147	0.0073 (0.0008)	0.71	0.580^{*}	-0.400
		South	0.0130	0.0089 - 0.0188	0.0020 (0.0004)	0.15	0.107	0.066
		East	0.0117	0.0080 - 0.0170	0.0021 (0.0006)	0.18	0.499^{*}	-0.128
		All	0.0108	0.0081 - 0.0145	0.0085 (0.0009)	0.79	0.316	-0.564
	Continental	North ^f	0.0095	0.0065 - 0.0137	0.0062 (0.0010)	0.65	-0.143	-0.750
	European	Central ^g	0.0103	0.0072 - 0.0147	0.0090 (0.0009)	0.87	-0.359	-1.077
		North+Central	0.0096	0.0070 - 0.0131	0.0214 (0.0019)	0.45	-0.316	-1.116
		Spain	0.0098	0.0058 - 0.0167	-	-	-0.539	-0.371
		Turkey	0.0055	0.0030 - 0.0099	-	-	-0.279	-0.792
		All	0.0093	0.0068 - 0.0125	0.0245 (0.0002)	2.69	-0.379	-1.240

1016

^a median for silent sites;

^b 95% credibility intervals for θ ;

^c least-squares estimate of ρ ;

^d Tajima's *D* test based on all sites; ^{*}P<0.05, statistical significance determined by coalescent simulations with and without

recombination (see material and methods);

^e Fay and Wu *H* test;

^f North: Finland North, Finland South, Sweden;

 $\begin{array}{c} 1017\\ 1018\\ 1019\\ 1020\\ 1021\\ 1022\\ 1023\\ 1024\\ 1025 \end{array}$ ^g Central: Poland, France, Austria; "-" not estimated due to low sample size (~5 for each locus) and low number of informative sites from each population.

Table 4. Differentiation between Scottish and continental European populations of Scots pine1052measured as average F_{ST} over all polymorphic sites and indels at 8 loci combined.

		North	Central	Spain	Turkey	North+Central	All ^a
	West	0.032***	0.026**	0.02	0.091***	0.029*	0.022*
	South	0.009	0.011	0.053**	0.112***	0.010	0.011
	East	0.019	0.040***	0.072**	0.145***	0.037*	0.039*
	All Scottish	0.023**	0.035**	0.035*	0.095***	0.028*	0.025*
1053	^a all continental Eu	ropean populations	combined; *P<0.05	5, **P<0.01, ***	P<0.001;		
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Group	Obs	Observed ^a SN ^b		SN ^b	Gr	owth ^c	Bottleneck ^d		
	Mean D	Mean <i>H</i>	Mean D	Mean H	Mean D	Mean <i>H</i>	Mean D	Mean H	
West	0.364	-0.447	-0.057	0.001	-0.059	-0.018	0.371	-0.504	
			(0.578)	(0.406)	(0.585)	(0.411)	(0.116)	(0.630)	
South	0.103	0.144	-0.066	-0.009	-0.056	0.023	0.310	-0.494	
			(0.588)	(0.419)	(0.575)	(0.403)	(0.161)	(0.636)	
East	0.260	-0.145	-0.056	0.003	-0.065	-0.013	0.311	-0.487	
			(0.589)	(0.419)	(0.596)	(0.434)	(0.165)	(0.645)	
All	-0.015	-0.494	-0.072	0.028	-0.072	0.026	0.661	-0.495	
			(0.602)	(0.407)	(0.605)	(0.406)	(0.020)	(0.613)	

Table 5. Alternative demographic models tested against total and regional groups of populations in Scotland

^a observed mean values of Tajima's D and Fay and Wu's H statistics at 11 loci

^b standard neutral model

^c results for exponential growth of rate 10 starting 0.00125 x 4Ne before present

^d results shown are for bottleneck of severity s=0.02 that started 0.00175 x $4N_e$ generations before present. Duration

1092 1093 1094 of bottleneck was set up to 0.0015 and population growth rate to 10. Assuming e.g. N_e =200000 and generation time

of 25 years, the bottleneck ended about 25 thousand years ago. Current and the ancestral population size were

assumed to be qual. In parenthesis are the *P*-values for the observed means of each parameter.

1	Title: High genetic diversity at the extreme range edge: nucleotide variation at
2	nuclear loci in Scots pine (Pinus sylvestris L.) in Scotland
3	
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19	Keywords: adaptation, bottleneck, nucleotide diversity, population differentiation,
20	linkage disequilibrium, recolonisation
21	
22	Running title: Nucleotide diversity in Scots pine
23	

24 Abstract:

25 Nucleotide polymorphism at twelve nuclear loci was studied in Scots pine populations 26 across an environmental gradient in Scotland, to evaluate the impacts of demographic 27 history and selection on genetic diversity. At eight loci, diversity patterns were compared 28 between Scottish and continental European populations. At these loci, a similar level of 29 diversity ($\theta_{sil} = -0.01$) was found in Scottish vs. mainland European populations contrary 30 to expectations for recent colonisation, however less rapid decay of linkage 31 disequilibrium was observed in the former ($\rho=0.0086\pm0.0009$, $\rho=0.0245\pm0.0022$ 32 respectively). Scottish populations also showed a deficit of rare nucleotide variants 33 (multilocus Tajima's D=0.316 vs. D=-0.379) and differed significantly from mainland 34 populations in allelic frequency and/or haplotype structure at several loci. Within Scotland, western populations showed slightly reduced nucleotide diversity ($\pi_{tot}=0.0068$) 35 36 compared to those from the south and east (0.0079 and 0.0083, respectively) and about 37 three times higher recombination to diversity ratio ($\rho / \theta = 0.71$ versus 0.15 and 0.18, 38 respectively). By comparison with results from coalescent simulations, the observed 39 allelic frequency spectrum in the western populations was compatible with a relatively 40 recent bottleneck (0.00175 x 4Ne generations) that reduced the population to about 2% of 41 the present size. However heterogeneity in the allelic frequency distribution among 42 geographical regions in Scotland suggests that subsequent admixture of populations with 43 different demographic histories may also have played a role.

45 Introduction

46 Nucleotide polymorphism is influenced by several factors including mutation, migration, 47 selection and random genetic drift. In tree species, the current increase in sequence data 48 gathered from nuclear gene loci has been driven mostly by the search for the molecular 49 signature of natural selection (Achaz, 2009; Neale and Ingvarsson, 2008; Savolainen and 50 Pyhäjärvi, 2007). Selection can leave its traces as deviations from neutrality in the level 51 of nucleotide diversity, allele frequency distribution or correlation between polymorphic 52 sites (linkage disequilibrium) (Achaz, 2009). However, the capability to detect selection 53 at individual loci is heavily dependent on the assumptions of the neutral model (e.g. 54 constant long term population size, random mating), the strength of, and time since, 55 selection and the number of loci involved (and their relative effect) in selectively-56 influenced traits (Wright and Gaut, 2005). Therefore, prior to testing for selection, 57 datasets must be evaluated for violations of neutral model assumptions. Such processes, 58 e.g. historical changes in population size and distribution, may drive deviations from 59 neutrality that mimic the effect of selection. However, these effects are expected to be 60 genome-wide and so can be distinguished from selective influences by simultaneous 61 assessment of data from multiple loci. Although the patterns of variation in the majority 62 of nuclear loci studied to date obey neutral expectations and the signature of selection has 63 been elusive (Savolainen and Pyhäjärvi, 2007) polymorphisms at nuclear loci provide 64 highly valuable insights into evolutionary history (Heuertz et al, 2006; Pyhäjärvi et al, 65 2007).

67 All northern European tree populations have experienced substantial historical changes in 68 distribution. For example, palynological and phylogeographic data indicate that during 69 the last glacial maximum (25-18 000 years ago (ya)), most species were confined to the 70 southern peninsulas (Iberia, Italy and the Balkans) and some parts of eastern and central 71 Europe (Cheddadi et al, 2006; Pyhäjärvi et al, 2008; Willis and van Andel, 2004) and 72 only reached their most northerly limits around 9000 ya. The recolonization history of 73 forest trees, accompanied by adaptation to local environments, has potentially influenced 74 the pattern of nucleotide diversity both among locally adapted populations and between 75 range edge populations and putative refugial populations. In theory, population 76 bottlenecks reduce nucleotide diversity in range-edge populations relative to that in 77 source populations, although this is dependent on the timing and severity of the 78 bottleneck. In contrast, admixture of populations due, for example, to recolonization from 79 different refugia, may increase diversity (Petiti et al. 2003). However, recent studies in 80 continental European populations of Scots pine (Pyhäjärvi et al, 2007) and Norway 81 spruce (Heuertz et al, 2006) found little evidence at the nucleotide level for the effects of 82 recent (post-glacial) population size changes during migration and suggested bottlenecks 83 in the mid-to-late Pleistocene. In addition, similar to other predominantly outcrossing tree 84 species with highly efficient long distance gene flow via pollen (Hamrick et al, 1992), 85 neutral genetic differentiation between Scots pine populations is low. For instance, 86 marginal population differentiation was reported for neutral markers between Finnish 87 populations (Karhu et al, 1996), between Scandinavian and eastern parts of the range 88 (Wang et al, 1991) and, at several candidate gene loci for growth phenology and cold 89 tolerance, among populations along a latitudinal cline in continental Europe (Dvornyk et

al, 2002; García-Gil *et al*, 2003). The large population sizes of forest trees and capability for maintenance of high levels of genetic variation within populations seems to further buffer against rapid changes in genetic diversity, but causes difficulties in detection of recent demographic processes. If the migrations following the most recent glaciations are to have left any signature at all in contemporary populations of forest trees, it seems likely to be detectable only where populations have experienced severe bottlenecks or became rapidly isolated.

97

98 In Scotland, Scots pine (*Pinus svlvestris* L.) is at the extreme north-western edge of its 99 vast distribution, which reaches across Europe and Asia and is the largest of any pine 100 species (Critchfield and Little, 1965). Pines first colonized the land that became the 101 British Isles about 10 000 va, at around the time that Ireland became isolated, and reached 102 northern Scotland by about 9000 years ago (Huntley and Birks, 1983; Svendsen et al, 103 1999). According to fossil data in Scotland, pine first appeared in the Wester Ross region 104 in the northwest, and then shortly afterwards in the Cairngorms in the east (Birks, 1989). 105 The subsequent formation of the English Channel (c.6000 ya) and competition from 106 broadleaved species in southern Britain left Scottish pinewoods physically separated by at 107 least 500 km from mainland populations in continental Europe. Nowadays, native 108 pinewoods in Scotland cover about 18 000 hectares, in 84 differently-sized fragments 109 patchily distributed within a $\sim 200 \text{ x} 200 \text{ km}$ area across significant environmental 110 gradients in altitude, soil type, growing season length and annual rainfall mainly in the 111 east-west direction (e.g. annual rainfall varies from 700 to 3000 mm across 160 km) 112 (Mason *et al*, 2004). Small-scale provenance experiments have shown genetic variation 113 between Scottish populations from different locations, e.g. in root frost hardiness and 114 growth in seedlings (Perks and McKay, 1997) and differentiation among populations at 115 several quantitative traits (Perks and Ennos, 1999). There is reasonable evidence from 116 pollen (Birks, 1989), allozymes, monoterpenes and *mt*DNA (Kinloch *et al*, 1986; Sinclair 117 et al, 1998) suggesting a west/east population subdivision within Scotland and that 118 populations from these regions may have different origins (Ballantyne and Harris, 1994; 119 Bennett, 1995). Given the iconic status of Scots pine in Scotland and the severe 120 fragmentation of the population, there is considerable interest in evaluating its population 121 history.

122

123 In this study, we focus on the Scottish Scots pine population as a unique and isolated 124 oceanic fragment at the northwest extreme of the distribution to assess whether recent 125 demographic processes have influenced patterns of nucleotide variation. We analysed 126 patterns of nucleotide diversity, allele frequency and linkage disequilibrium in a 127 multilocus nuclear gene dataset in samples gathered from multiple locations within 128 putatively divergent regions within Scotland and compared our data to those from 129 samples from northern and central Europe, Turkey and Spain. Using this data and 130 coalescent simulation analysis, we aimed to assess whether Scottish populations show the molecular signature of demographic history and the extent to which they are 131 132 differentiated from those in continental Europe.

133

134 Materials and Methods

135 Sampling and DNA extraction

136 Seed samples from 21 locations in Scotland were included in the study (Figure 1.). The 137 trees were sampled across an environmental gradient related to differences in altitude, 138 length of growing season, annual rainfall and average mean temperature in winter 139 (Supplementary Table S1). Cones were collected from mature trees in recognised old-growth 140 Scots pine forest; at these sites trees are typically over 150 years old and often much older 141 (Steven and Carlisle, 1959). Trees were separated by at least 50 m to minimise sampling of 142 closely related individuals. Sampling included the seven currently adopted seed zones of the 143 species in Scotland, from each of which 3 locations were sampled, 2 individuals per 144 location.

145 For most of the between-population analyses the samples were grouped according 146 to climatic characteristics into three geographical locations - western, southern and 147 eastern, represented by eighteen, twelve and twelve individuals, respectively (Figure 1, 148 Supplementary Table S1). The western group has the lowest mean altitude (~142m), the longest growing season (~ 240 days), highest mean temperature in winter (~ 2^{0} C) and 149 high annual rainfall (~ 2000mm). The eastern group has the highest mean altitude 150 (\sim 372m), the shortest growing season (\sim 175 days), and is the coldest (-0.1^oC) and driest 151 152 (~1050mm) part of the distribution, whilst southern group was intermediate between 153 these extremes except for annual rainfall (~2130mm). Field trials have demonstrated 154 genetic differences in phenology and growth rate among provenances originating within 155 these groups (Perks and Ennos, 1999).

156 Genomic DNA was extracted from haploid megagametophyte, maternal tissue 157 which surrounds the embryo in the seed. As DNA samples were haploid, the haplotypes 158 could be determined by direct sequencing. In total, 42 DNA extracts were prepared, 159 representing two different trees from each location. Seeds were germinated for a few days 160 in moisturized petri dishes and then extracted following a standard CTAB 161 (cetyltrimethylammonium bromide) protocol with addition of PVP to 1% concentration 162 in the lysis buffer.

163

164 Loci studied

165 In total, sixteen nuclear loci were analysed. This included several dehydrin genes that 166 were identified in expression studies in Scots pine (Joosen et al. 2006). Based on the 167 number and position of the conserved segments (Close 1997), we analysed the class SK4 168 of dehydrins (*dhn1*), SK2 (*dhn2*) and a group of K2 genes (*dhn3* and *dhn7*). We analysed 169 also SK type of dehydrin upregulated by water stress in *Pinus taeda* roots (Eveno *et al.*, 170 2007) and a putative dehydrin (*dhy-like*) described for Scots pine (Pyhäjärvi et al, 2007). 171 Other loci described in more detail in original papers include abscissic acid responsive 172 protein (abaR) (Wachowiak et al, 2009); early response to dehydration 3 protein (erd3), 173 abscissic acid, water dehydrative stress and ripening induced gene family members 1 and 174 3 (lp3-1, lp3-3), Caffeoyl CoA O-methyltransferase (ccoaomt), putative arabinogalactan/ 175 proline-rich protein (PR-AGP4-1) and putative arabinogalactan/ glycin-rich protein 176 (grp3) (Eveno et al, 2007); ABI3-interacting protein 2 (a3ip2), alcohol dehydrogenase C 177 (adhC) and chalcone synthase (chcs) (Pyhäjärvi et al, 2007).

In previous work, ten loci (*dhn1,2,3,7*, *dhy-like*, *dhy2PP*, *abaR*, *a3ip2*, *adhC*, *chcs*) were analysed in Scots pine from the continental European range including fifteen
samples from Northern Europe (populations from Northern and Southern Finland and

Sweden), fifteen from Central Europe (Poland, Austria and France), and five from each 181 182 of Turkey and Spain (Pyhäjärvi et al, 2007; Wachowiak et al, 2009). The reference 183 sequences of eight loci in total (excluding *dhv-like* and *adhC*, see below) were compared 184 with those from Scottish populations. The samples from the Iberian Peninsula and Turkey 185 were treated separately in between-region comparisons as they display specific 186 mitochondrial types not observed in mainland European distribution of the species which 187 suggests different histories and no contribution to recolonization after last glaciation 188 (Pyhäjärvi et al, 2008; Soranzo et al, 2000).

189

190 PCR amplification and sequencing

191 PCR-amplification was performed with PTC-200 (MJ Research) and carried out in a total 192 volume of 25µl containing about 10ng of haploid template DNA, 50µM of each of dNTP, 193 0.2µM of each primer and 0.25U Taq DNA polymerase with the respective 1x PCR 194 buffer (NovaZyme, Poland). PCR followed standard amplification procedures with 195 MgCl₂ concentration optimised for each primer pair as described in Supplementary Table S2. PCR fragments were purified using QIAquickTMPCR Purification Kit (Qiagen). 196 197 About 20 ng of PCR product was used as a template in 10 µl sequencing reactions with 198 the Big Dye Terminator DNA Sequencing Kit (Applied Biosystems) performed by the 199 GenePool sequencing service, University of Edinburgh. All samples were sequenced in 200 both directions. CodonCode Aligner software was used for editing and assembling of the 201 sequence chromatograms to produce alignments based on nucleotide sequence from both 202 DNA strands. Haplotype sequences of each locus reported in this paper are deposited in 203 the EMBL sequence database under accession numbers GQ262040 – GQ262490.

204

205 Sequence analysis

206 High quality sequences were obtained for most of the samples at twelve loci (Table 1). 207 PCR amplification or sequencing failed in most of the samples at *dhy-like*, *adhC* and *grp3* 208 and these loci, together with PR-AGP4-1 which was monomorphic across all 42 samples, 209 were excluded from further analysis. Nucleotide sequence alignments were constructed in 210 ClustalX and were further manually adjusted using GenDoc. All sequence 211 polymorphisms were visually rechecked from chromatograms edited with BioEdit. 212 Coding and noncoding regions (introns, UTRs) were annotated based on the NCBI 213 (http://www.ncbi.nlm.nih.gov/) sequence information at each locus and web-based gene 214 identification tool at PlantGDB (http://www.plantgdb.org/cgibin/PlantGDB/GeneSeger/ 215 PlantGDBgs.cgi). The influence of demography on the multilocus pattern of variation and 216 locus specific effects were assessed by looking at the amount of nucleotide diversity, 217 correlation between polymorphic sites and allelic frequency distribution between 218 different geographical locations in Scotland and in comparison to mainland populations 219 of the species and by comparing observed statistics with simulated values under a range 220 of demographic scenarios. Neutrality tests at intraspecific level were applied to search for 221 departures from a neutral model of evolution. Sequences from *Pinus pinaster* were used 222 as an outgroup for intraspecific comparisons to test for a signal of longer term selection.

223

224 Nucleotide diversity

Two measures of nucleotide diversity were applied: 1) an average number of nucleotide differences per nucleotide site between two sequences π , (Nei, 1987) calculated with 227 DNAsp 4.0) and 2) Watterson's (1975) estimate of the population mutation parameter, 228 theta (θ_W , equal to $4N_e\mu$, where N_e is the effective population size and μ is the mutation 229 rate per nucleotide site per generation), computed based on the number of segregating 230 sites and the length of each locus using MCMC simulation under a Bayesian model as 231 previously described (Pyhäjärvi et al, 2007). The estimates of nucleotide diversity were 232 conducted for all samples combined and separately for south, east and west regional 233 groups of Scottish populations. Scottish and continental European populations were 234 compared at eight loci for which informative data was available (Pyhäjärvi *et al.* 2007; 235 Wachowiak et al, 2009). Exceptionally high nucleotide diversity was found at *lp3-3* locus 236 compared to other loci in our dataset. Due to the size of the conifer genome and the 237 occurrence of multigene families (Ahuja and Neale, 2005), erroneous co-amplification of 238 different loci from the same family is possible and may account for unusual diversity 239 estimates at specific loci. Therefore, locus *lp3-3* was excluded from multilocus or average 240 estimates reported in the study to avoid bias and ensure that estimates were conservative; 241 the locus was included in population structure analysis and coalescent simulations.

242

243 Linkage disequilibrium and haplotype diversity

The level of linkage disequilibrium was measured as the correlation coefficient r^2 (Hill and Robertson, 1968) using informative sites. Indels and sites with three nucleotide variants identified in *dhn1* (3), *dhy2PP* (1) were excluded from the analysis. Under mutation-drift-equilibrium model, the decay of linkage disequilibrium with physical distance was estimated using non-linear regression of r^2 between polymorphic sites and the distance (in base pairs) between sites as detailed in (Wachowiak *et al*, 2009). The non-linear least-squares estimate of ρ ($\rho = 4N_ec$, where N_e is effective population size, c is the recombination rate) between adjacent sites was fitted by the nls-function implemented in the R statistical package (http://www.r-project.org). The overall and group specific least-squares estimates of ρ were computed and compared to other estimates in Scots pine (Pyhäjärvi *et al*, 2007; Wachowiak *et al*, 2009).

The number of haplotypes and haplotype diversity (H_d) were estimated for each gene using DNAsp. Insertions and deletions were included in all estimates. Coalescence simulations with locus specific or average ρ for six loci and without recombination were used to assess whether there are more or fewer haplotypes than expected and whether haplotype diversity is higher or lower than expected given the number of segregating sites. The number of haplotypes and haplotype diversity were calculated for all samples combined and separately for the three regional groups of Scots pine in Scotland.

262

263 Neutrality tests

264 Deviations of particular genes from the frequency distribution spectrum under the 265 standard neutral model of evolution were assessed with Tajima's D test (Tajima 1989) 266 and Fay and Wu's H (Fay and Wu 2000). Negative values of Tajima's D indicate an 267 excess of low frequency polymorphisms consistent with positive directional selection or 268 recent population expansion, whereas positive values indicate an excess of intermediate 269 frequency polymorphism potentially due to balancing selection or population contraction. 270 Fay and Wu's H test measures departures from neutrality based on high-frequency 271 derived alleles. An excess of high frequency derived alleles compared to neutral 272 expectations may result from recent positive selection or strong population structure with

273 uneven sampling from populations. The distribution of test statistics was investigated for 274 each locus for all populations combined and separately for the three regional groups. 275 Multilocus estimates of Tajima's D were assessed with HKA software 276 (http://lifesci.rutgers.edu/~hevlab). The estimates were also calculated along the sequence 277 of each locus by a sliding window of 100 sites with successive displacement of 25 sites. 278 As lack of recombination makes the D test overly conservative (Thornton 2005), the 279 significance of locus specific and multilocus Tajima's D was also evaluated by coalescent 280 simulations dependent on population mutation and recombination rate (MANVa software 281 www.ub.edu/softevol/manya, based on coalescent program *ms*, Hudson, 2002). Different 282 estimates of p including locus specific estimates, lowest and highest value across loci and 283 average value for six loci were used in coalescent simulations. As similar probability 284 values for multilocus D statistics were observed in simulations with different 285 recombination rate estimates, the results based on the average values of p at the analysed 286 loci are reported unless otherwise stated.

287 For tests based on nucleotide variation between species we used reference 288 sequence data from P. pinaster for outgroup comparison. To assess the correlation 289 between the level of nucleotide polymorphism and divergence at each locus we applied 1) 290 the McDonald and Kreitman, (1991) test, based on comparison of the pattern of within-291 and between-species divergence at synonymous species polymorphism and 292 nonsynonymous sites in a gene, and 2) HKA test (Hudson et al, 1987) which allows the 293 detection of loci that demonstrate unusual patterns of polymorphism compared to 294 divergence across genes. Comparison of multilocus polymorphism and divergence at all 295 sites was assessed using HKA software (http://lifesci.rutgers.edu/ ~heylab). The ratio of

296 nonsynonymous (*K*a) and synonymous site (*K*s) nucleotide divergence from the outgroup
297 species (Hughes and Nei, 1988) was calculated using DnaSP.

298

299 **Population structure**

300 To check if there was a geographical difference in allelic frequency spectra, regional 301 groups of Scottish populations were compared to each other and to previously analyzed 302 continental European populations from northern and central Europe. Spain and Turkey 303 (Pyhäjärvi et al. 2007; Wachowiak et al. 2009). Genetic differentiation between the 304 regions was studied locus by locus at both haplotype and SNP/Indel level and also by 305 averaging pairwise F_{ST} over all polymorphic sites across loci. The significance of genetic 306 differentiation was evaluated by 1000 permutations of the samples between groups using 307 Arlequin ver. 3.0 (Excoffier *et al*, 2005). Population structure from the haplotypic data was tested by S_{nn} and K_{ST}^* statistics (Hudson *et al*, 1992; Lynch and Crease, 1990), which 308 309 are more appropriate for sequence-based haplotype data where diversity may be high and 310 sample size low, rendering frequency-based approaches problematic. Their significance 311 was evaluated using 1000 permutations, where samples were randomly assigned into 312 different groups (Hudson, 2000). Genetic clustering of the individuals based on both full 313 sequence data and all segregating sites and indels at 12 loci (for Scottish populations) and 314 at 8 loci (for Scottish and mainland European populations) was conducted using BAPS 315 5.2 (Corander and Tang, 2007). Polymorphic sites from each locus were treated as linked 316 molecular data to account for dependence between segregating sites in the gene. Completely linked sites $(r^2=1)$ were excluded from the analysis. 317

318

319 Coalescent simulations

320 To further infer the demographic history of Scottish Scots pine populations we compared 321 the observed distribution of average Tajima's D and Fay and Wu's H at the candidate loci 322 separately in the western, southern and eastern group and in all geographic regions 323 combined to the simulated values under several demographic scenarios including the 324 standard neutral model (constant population size), growth model and bottleneck model 325 followed by exponential growth (Supplementary Figure S4). Regional groups of 326 populations were analysed separately as detailed aspects of the frequency spectrum may 327 differ between groups that are not differentiated based on genetic clustering methods 328 (Pyhäjärvi et al 2007). Coalescent simulations were run independently for each locus and 329 various demographic scenarios using the program ms (Hudson 2002) and the approach 330 described by Haddrill et al (2005). In each case, 5000 replicates were simulated for each 331 locus. The analyses were performed with recombination using the locus specific (when 332 available) or average value of ρ per site for the analysed loci in each geographic group 333 (Table 2, Supplementary Table S3). We tested various bottleneck scenarios of different 334 age and severity. The time from the end of the bottleneck (measured in units of $4N_0$ 335 generations from the present) ranged from 0.0002 to 0.05 and bottleneck severities 336 (measured in units of the current population size) from 0.001 to 0.5. Assuming for 337 instance, Ne of 200000 and generation time of 25 years, the time range corresponds to 338 between 4 000 and 1 million years and severity from 0.1 to 50% of the current population 339 size. In most bottleneck models tested, the ancestral and current effective population sizes 340 were assumed to be equal, bottleneck duration (f) was fixed to f=0.0015 (units of $4N_0$ 341 generations from the present) and the growth rate of 10 was constant across simulations 342 as in previous studies (Heuertz et al. 2006). A subset of simulations were run also with

343 f=0.006 and corresponding equal or doubled ancestral population size as compared to the 344 current one, and also separately for a set of 11 and 9 loci (excluding lp3-3 and dhn1 and 345 abaR, respectively as the later showed some evidence of selection). A schematic 346 representation of the simulated bottleneck model is shown in Supplementary Figure S4. 347 The simulation results for each demographic scenario were summarized using the 348 program analyser HKA. The perl script multitest pop1.pl was used to perform multilocus 349 tests of ms-generated genealogies (including P-values of the observed mean values of 350 Tajima's D and Fay and Wu's H statistics) summarized using analyser HKA. The 351 programs available from http://genomics.princeton.edu/ are 352 AndolfattoLab/Andolfatto Lab.html.

353

354 **Results**

355 Nucleotide polymorphism and divergence

356 The average total nucleotide diversity (π) in Scottish populations at eleven loci was π_{tot} = 0.0078 and at nonsynonymous sites was $\pi_{ns} = 0.0031$ (Table 2). Slightly lower average 357 nucleotide diversity was found in the west ($\pi_{tot} = 0.0068$) as compared to southern and 358 359 eastern regional groups ($\pi_{tot} = 0.0079$ and 0.0083, respectively) and similar values were 360 found at nonsynonymous sites ($\pi_{ns} = \sim 0.003$) (Supplementary Table S3). Multilocus 361 estimates of silent Watterson theta was $\theta_{sil}=0.0095$ (with 95% credibility intervals of 362 0.0074-0.0122) for all Scottish populations combined, $\theta_{sil}=0.0086$ (0.0063-0.0117) in the 363 west, $\theta_{sil}=0.0111$ (0.0080-0.0152) in the south and $\theta_{sil}=0.0103$ (0.0074-0.0143) in the 364 east. In comparisons between Scottish vs. mainland European populations at eight loci, similar but slightly higher average values of total nucleotide diversity ($\pi_{tot} = 0.0070$ vs 365

366 0.0062) and silent multilocous theta (θ_{sil} =0.0108 vs. 0.0093) were found in Scottish 367 populations (Table 3).

368

369 Linkage disequilibrium and haplotype polymorphisms

370 Rapid decay of linkage disequilibrium between pairs of parsimony informative sites at 371 eleven loci was found in Scottish populations, with $\rho = 0.0085 \pm 0.0009$ (Table 2) and expected r^2 values of 0.2 at a distance of about 400 bp. The decay of linkage 372 373 disequilibrium in the western group ($\rho = 0.0074 \pm 0.0008$) was more rapid as compared to 374 the south (0.0025 ± 0.0004) and east (0.0024 ± 0.0006) (Figure 2) and the pattern was 375 constant at most loci (Supplementary Table S3). Overall, Scottish populations had about 376 three times slower decay of linkage disequilibrium as compared to mainland populations at the same set of eight loci of similar sample size ($\rho = 0.0086 \pm 0.0009$ vs 377 378 0.0245±0.0022, respectively) (Supplementary Figure S1). However, the rate of decay of 379 LD and the relative level of recombination to diversity (ρ / θ ratio) were similar between 380 western Scottish and north and central European regions (Table 3) but these parameters 381 were over three times smaller in southern and eastern groups of Scotland.

The average number of haplotypes per gene was 12 and haplotype diversity was very high (H_d =0.789±0.042). Similar haplotype diversity was found in western (H_d =0.754±0.077), southern (H_d =0.819±0.088) and eastern (H_d =0.800±0.090) groups (Supplementary Table S3). Haplotype diversity was slightly higher than mainland European populations at the same set of eight loci (H_d =0.831±0.038 vs H_d =0.795±0.051) and also compared to previous estimates for Scots pine (H_d =0.683±0.059, Wachowiak *et al.* 2009). Locus *Lp3-3* contained two sets of haplotypes (each of 18 samples equally 389 distributed across three geographical groups) with highly reduced levels of nucleotide 390 polymorphism ($\pi_{tot} = 0.0090$ and 0.0074, respectively) as compared to the whole gene 391 estimate ($\pi_{tot} = 0.0370$) and a ten-fold difference in the level of divergence ($K_{sill}=0.013$ vs 392 K_{sil2} =0.116) (Supplementary Table S4 and Supplementary Figure S2). A neutral 393 coalescence process, compatible with a constant-size neutral model without 394 recombination or erroneous coamplifications of different gene family members could 395 potentially generate such a pattern. However, no reading-frame shifts or premature stop 396 codons, which would suggest the presence of non-functional alleles, were found at the 397 locus.

398

399 Neutrality tests

400 Tendency towards an excess of old over recent mutations across genes was detected by 401 multilocus Tajima's D at eleven loci in the total data set (D=0.118) (Table 2), in the 402 western (D=0.364), southern (D=0.103) and eastern (D=0.260) groups (Supplementary 403 Table S3). Significant excess of intermediate frequency mutations was found at *dhn2* 404 (D=1.968, P<0.05) and lp3-3 (D=2.846, P<0.01). Statistically significant positive values 405 of Tajima's D were identified in sliding window analyses in a few regions within dhn2 (D 406 = 2.36-2.48 at 307–449 bp), a3ip2 (D = 2.22 at 401-501 bp) and lp3-3 (D = 2.13-3.18 at 407 51-454 bp) loci. Overall, an excess of high-frequency derived variants indicated by 408 negative mean values of Fay and Wu's H statistics was found in all Scottish populations 409 (H=-0.494) (Table 2), in the west (H=-0.447) and east (H=-0.145) groups, but slightly 410 positive values were found in the south (H= 0.144) (Supplementary Table S3). The 411 aggregated Scottish populations show a deficit of rare variants (multilocus Tajima's 412 D=0.316) as compared to mainland European populations (D=-0.379). Both geographical 413 regions show negative mean value of Fay and Wu's *H* statistics (*H*=-0.564 and -1.240, 414 respectively) indicating an excess of high-frequency derived SNPs (Table 3).

415 An excess of fixed nonsynonymous over fixed synonymous substitutions and 416 polymorphic sites was found at *dhn1* locus in McDonald-Kreitman test (Fisher's exact 417 test, P = 0.05), as previously found in European mainland populations (Wachowiak *et al.*, 418 2009). An excess of nonsynonymous sites as compared to synonymous sites was found at 419 abaR (Supplementary Table S5). The level of divergence was similar across all sites and 420 at silent sites only (~4%), and was slightly lower than previous estimates for Scots pine 421 (K=~0.05, Wachowiak *et al.* 2009). Overall, positive correlation between polymorphism 422 and divergence (HKA test) was found at eleven loci combined.

423

424 **Population differentiation**

425 Differentiation between Scottish populations

426 Significant differentiation measured as an average over all polymorphic sites was found between southern and eastern groups at *dhn1* (F_{ST} =0.034, P<0.05) and between southern 427 428 and eastern as compared to the western group at *ccoaomt* (F_{ST} =0.149, P<0.05 and F_{ST} 429 =0.102, P<0.01, respectively) and lp3-1 (F_{ST} =0.100, P<0.05 and F_{ST} =0.197, P<0.001, 430 respectively) (Supplementary Table S6). A difference in frequency of indel 431 polymorphisms at *dhn1*, four silent substitutions and indel polymorphisms at *lp3-1* and 432 absence of four silent polymorphisms in the western group as compared to the others at 433 ccoaomt locus contributed the most to the differentiation between groups. Based on 434 haplotype differentiation, the western group differed from the southern group at *a3ip*

435 $(S_{nn}=0.629, P<0.05), lp3-1 (S_{nn}=0.758, P<0.01)$ and at *ccoaomt* and *lp3-1* based on K_{ST} 436 statistics ($K_{ST} = 0.066$ and 0.051, P<0.05, respectively). They also differ from the east group at *lp3-1* locus (K_{ST} =0.075, P<0.05). Significant F_{ST} statistics based on haplotype 437 438 frequency were found for *lp3-1* in the south and east as compared to western group 439 (P<0.05) and nearly significant values for *ccoaomt* between south and west groups 440 (P=0.06) (Supplementary Table S6). No difference between west-south, west-east and 441 south-east groups were found based on average F_{ST} over all polymorphic sites and indels combined across the loci (F_{ST} =-0.013, -0.013, and 0.01, respectively). 442

443

444 Differentiation between Scottish vs European continental populations

445 Based on allele frequency and/or haplotype diversity statistics Scottish populations were 446 differentiated from continental European populations at six out of eight loci analysed 447 (Supplementary Table S7). Significant population differentiation (F_{ST}), measured both as 448 an average over polymorphic sites and at the haplotype level, was found at *dhn2*, *dhn7*, 449 *abaR* and *chcs*. Based on the average proportion of nearest-neighbor haplotypes that are 450 present in the same locality (S_{nn}) both groups were differentiated at *dhn2*, *dhn7*, *dhy2PP* 451 and *a3iP* (P<0.001-0.05). Two loci, *dhn2* and *dhn7*, also showed high similarity between 452 pairs of sequences derived from each region ($K_{ST} = 0.098$ and 0.067, respectively, 453 P<0.01).

454 Significant differentiation was found between Scottish populations versus continental 455 European populations measured as an average of F_{ST} values over all polymorphic sites 456 detected (Table 4). The only exceptions include southern Scottish populations as 457 compared to northern and central Europe, eastern Scottish compared to northern458 European and western Scottish compared to Spanish populations.

Analysis of genetic clustering with full sequence data gave the best support for all individuals from European mainland and Scottish populations at eight loci and for individuals from Scottish populations at 12 loci belonging to one genetic cluster. At all polymorphic sites and indels at both eight and twelve loci, the best support was obtained for four clusters, but without clear pattern of geographical distribution (Supplementary Figure S3).

465

466 Coalescent simulations

467 For each geographic group of Scottish Scots pine populations the observed pattern of the 468 frequency distribution spectrum was not compatible with either the standard neutral or 469 growth models. In simulations under the SNM and growth model the mean Tajima's D 470 was significantly lower and Fay and Wu's H significantly higher than the observed values 471 except for the southern group, the only one with positive mean H values (Table 5, 472 Supplementary Table S8). Among the 20 different bottleneck models tested the most 473 compatible for the western group was a relatively recent bottleneck (t=0.00125) that 474 reduced the population to 2% of the present size followed by moderate population growth 475 (Table 5, Supplementary Table 9). This model also held for the eastern group but was 476 always rejected for the southern group, where different bottleneck scenarios never lead to 477 positive values for both Tajima's D and Fay and Wu's H statistics (Supplementary Table 478 9). In general, the simulations indicate heterogeneity in the allelic frequency distribution 479 among geographic regions in Scotland.

480 **Discussion**

481 Multilocus signatures of population history

482 The Scottish populations showed clear molecular signatures of different demographic 483 histories. Across all regions, the allele frequency distribution was skewed towards 484 intermediate frequency polymorphisms, and the rate of decline of linkage disequilibrium 485 was reduced and nucleotide diversity levels were equivalent to or higher than continental 486 European populations of the species. The skew of allelic frequency distribution, apparent 487 as positive values of Tajima's D, was in clear contrast to previous reports for this species 488 in continental Europe (Palmé et al, 2008; Wachowiak et al, 2009) and for published 489 studies of other species (North American Douglas fir, Eckert et al. 2009; P. taeda 490 González-Martínez et al, 2006a; other conifer species Savolainen and Pyhäjärvi, 2007; 491 European *Quercus petraea* Derory *et al*, 2009; *Populus tremula* Ingvarsson, 2005) where 492 negative values of Tajima's D have been found. In these species, the excess of low 493 frequency derived mutations has been ascribed to the influence of postglacial range 494 expansion (Brown et al, 2004; Pyhäjärvi et al, 2007) or potentially the influence of 495 recurrent selective sweeps (e.g. Eckert et al, 2009). In contrast, rather than range 496 expansion, the bias towards intermediate-frequency polymorphisms in Scottish 497 populations suggests the influence of a bottleneck although, as shown in recent 498 simulation studies, a skew of allelic frequency variants may also result from pooling local 499 samples with different demographic histories (Städler et al, 2009). However, the 500 bottleneck hypothesis was also supported by the overall pattern of linkage disequilibrium 501 (LD), which showed a reduced rate of decline relative to continental European 502 populations of the species. In coalescent simulations, the bottleneck scenario fits best for

503 western populations and the data were compatible with a relatively recent, severe 504 bottleneck. Depending on the effective population size and generation time assumed, this 505 bottleneck ended a maximum of a few tens of thousands of years ago (e.g about 25 000 506 va assuming $N_e=200\ 000$). Bottlenecking is expected to increase association (correlation 507 among sites with distance) of alleles and polymorphic sites across loci. In Scottish 508 populations, the decay of LD was almost three times slower than that in mainland 509 populations. Reduced decay of LD has also been observed in populations of American P. 510 taeda that had probably experienced bottlenecks (Brown et al. 2004; González-Martínez 511 et al. 2006a) and contrasting allele frequency distributions were observed between 512 northern populations and recently bottlenecked southern populations of *Ouercus crispula* 513 in Japan (where the latter showed positive Tajima's D, Quang et al, 2008).

514

515 Although there are exceptions (Grivet *et al*, 2009), it is expected that bottlenecks should 516 have a stronger impact on the allele frequency distribution spectrum and LD than on the 517 overall level of diversity (Wright et al, 2005). Long-lived, wind-pollinated tree species 518 should be capable of maintaining genetic diversity even during range shifts; i.e. they are 519 buffered against rapid changes in genetic variation due to fluctuations in population size 520 (Austerlitz et al, 2000). Indeed, relative to mainland European populations of Scots pine, 521 Scottish populations did not show a decline in nucleotide diversity, as is expected where 522 colonisation has been relatively recent (Nei et al, 1975; Pannell and Dorken, 2006). In 523 fact, genetic variation in Scottish populations seems to be slightly higher than in 524 mainland populations (θ_{sil} =0.011 vs 0.009, respectively) and relative to previous 525 estimates for the species (θ_{sil} = 0.005 at 16 loci with some related to timing of bud set 526 (Pyhäjärvi et al, 2007) and $\theta_{sil}=0.0089$ at 14 cold tolerance candidate loci (Wachowiak et 527 al, 2009)). Compared to estimates in other forest tree species, overall diversity in Scottish populations (π_{tot} =0.0078) is only lower than that in broadleaved *Populus tremula* (0.0111, 528 529 Ingvarsson, 2005) and is higher than that in Q. crispula, (0.0069, Quang and Harada 530 2008), *Q. petraea* (0.0062, Derory et al. 2009), *P. pinaster* (0.0055, Eveno et al. 2008), 531 P. taeda (0.0040, Brown et al, 2004), Picea abies (0.0039, Heuertz et al, 2007) and other 532 conifers (Savolainen and Pyhäjärvi, 2007). The diversity estimate for Scottish 533 populations is compatible with the patterns of genetic variation observed in previous 534 studies (monoterpenes Forrest, 1980; Forrest, 1982), allozymes Kinloch et al, 1986), 535 chloroplast DNA microsatellite markers Provan et al, 1998).

536

537 Although it seems clear that bottlenecking has been an influence on Scottish populations, 538 estimation of the timing of the event is heavily dependent on various assumptions 539 including the effective population size and generation time estimates. For instance, in 540 continental populations of Norway spruce and Scots pine, simulation studies suggested a 541 rather ancient bottleneck that ended several hundred thousand to more than one million 542 vears ago, respectively (Lascoux et al, 2008). In our data, coalescent simulation of 543 various demographic scenarios supported the conclusion that bottlenecking had occurred, 544 but suggested more recent timing. A similar signal, suggesting bottlenecking on a 545 timescale related to the most recent glaciation, was detected in Italian populations of 546 Aleppo pine (Grivet et al, 2009). Furthermore, the severity of the bottleneck experienced 547 by Scottish populations appears to have been strong enough to account for the observed

548 discrepancy in allelic frequency distributions and decay of LD, in contrast to continental
549 European tree populations (Lascoux *et al*, 2008).

550

551 However, as we observed heterogeneity in the pattern of nucleotide diversity among 552 regions within Scotland, it seems likely that different parts of the population have 553 experienced different demographic histories. The ratio of recombination to diversity and 554 the level of linkage disequilibrium in western Scottish populations were similar to those 555 in mainland European populations but about three times higher than those in southern and 556 eastern Scottish groups. Various bottleneck scenarios could be clearly rejected for the 557 southern group in our coalescent simulation analysis. The homogenizing effects of gene 558 flow on genetic diversity are well known for highly outcrossing wind pollinated species, 559 and there is evidence for historically high gene flow among Scottish populations from 560 work using chloroplast markers (Provan et al, 1998). In addition, molecular and isozyme 561 studies provide no suggestion of a difference in outcrossing rates between regions that 562 could account for a difference in spatial distribution of polymorphism (Kinloch et al, 563 1986). As, until recently, Scots pine covered large parts of Scotland, differentiation 564 between regional groups due to genetic drift also seems unlikely, as this should be most 565 significant for small populations (Pannell and Dorken, 2006). Inter-regional differences 566 also seem unlikely to be the result of selection. If this was the case, we would expect 567 differences in the frequency distribution spectrum between groups or at least reduced 568 diversity levels at selected loci. However, the observed dominance of intermediate 569 frequency variants in all groups together with very rapid decay of linkage disequilibrium 570 (within a few hundred base pairs) excludes a selective sweep as an explanation.

Furthermore, nucleotide and haplotype diversity is at least as high in southern and eastern groups as in the western group, whereas directional selection should reduce diversity. Therefore, overall, historical changes in population size and distribution seem a more plausible explanation for the pattern of nucleotide variation in Scottish populations and, as a single migration and bottleneck event cannot account for the observed pattern of diversity, it seems that heterogeneity within the Scottish population is most likely to be the result of admixture of populations from different origins.

578

579 Compared to continental Europe, southern and eastern groups of Scottish pines showed 580 no overall difference in allele frequency distribution at polymorphic sites from north or 581 central European populations, but differentiation from Spanish and Turkish populations. 582 On the other hand, the western group was significantly differentiated from all mainland 583 populations except those from Spain. In previous studies, populations from the west of 584 Scotland were more closely related to southern European populations in monoterpene 585 composition and isozyme frequency (Forrest, 1982) or geographically structured *mt*DNA 586 variation (Sinclair et al, 1998) than to populations from north-central Europe, which were 587 more similar to the southern and eastern Scottish pinewoods. Similarities between 588 western Scotland and south European Scots pine could simply be stochastic, due to 589 homogenising selection for similar environments or, alternatively, could reflect common 590 ancestry of the populations. Genetic similarity at *mt*DNA markers (maternally transmitted 591 in pines) suggests the latter. However, as Iberian populations did not contribute to the 592 most recent recolonization of central and northern Europe (Prus-Glowacki and Stephan, 593 1994; Pyhäjärvi et al, 2008; Soranzo et al, 2000; Tobolski and Hanover, 1971), this

594 genetic similarity would reflect a common origin predating the last glacial period. 595 Therefore, contemporary Scottish populations may originate from western populations 596 that survived the last glaciation in southwestern parts of the British Isles, western 597 continental Europe (Ballantyne and Harris, 1994; Bennett, 1995) or now-submerged parts 598 of the continental shelf. Future genetic studies at more loci (including new mtDNA 599 markers) and in more populations would allow more precise assessment of the spatial 600 distribution of haplotypes in Scottish and mainland populations and testing of 601 colonisation hypotheses. This should soon be feasible as new genomic resources for pine, 602 including multiple nuclear and *mt*DNA loci, are currently being developed (e.g. through 603 the EVOLTREE Network of Excellence).

604

605 Effects of selection at individual loci

606 At mutation-drift equilibrium, genetic drift and gene flow influence the level of 607 differentiation between populations for selectively neutral markers (Kawecki and Ebert, 608 2004; Savolainen et al, 2007). Little differentiation between Scottish and mainland 609 European populations of Scots pine at neutral markers (Kinloch et al, 1986; Provan et al, 610 1998; Prus-Glowacki and Stephan, 1994) but divergence at quantitative traits for 611 characters of adaptive importance (e.g. phenology, growth and survival rates, Ennos et al, 612 1998; Worrell, 1992, Hurme et al. 1997) suggests that selection is driving adaptive 613 differentiation in both geographical regions. As they differ significantly in climatic, 614 edaphic and biotic conditions, it is possible that observed nucleotide and/or haplotype 615 differentiation at *dhn2* and *dhn7* and some differences in the allele-frequency spectrum at 616 dhy2PP, abaR, a3iP2 and chcs may be due to selection. Similarly, reduced nucleotide

617 and haplotype diversity and a difference in the frequency and distribution of 618 polymorphism found at *lp3-1* and *ccoaomt* in the western as compared to the southern 619 and eastern groups of Scottish populations could have been affected by diversifying 620 selection at the range edge where populations are under direct oceanic influence. In 621 contrast, the haplotype dimorphism at lp3-3 could potentially result from the long-term 622 action of balancing selection, maintaining variation across geographical regions. 623 However, as admixture at *lp3-3* cannot be ruled out, a study of nucleotide polymorphism 624 in mainland European populations would be necessary to verify whether or not balancing 625 selection has been an influence at this locus.

626

627 Some of the loci analysed showed distinct nucleotide diversity patterns relative to genetic 628 background in other species (e.g. *lp3-1* and *ccoaomt* in *P. pinaster* Eveno *et al*, 2008, 629 ccoaomt in P. taeda, González-Martínez et al, 2006a). Although there is accumulating 630 evidence on the polygenic character of adaptive traits from QTL studies (Buckler et al, 631 2009; Howe et al, 2003), it remains unclear whether or not there are genes of major effect 632 that contribute to adaptive variation in conifers. In the case of Scottish pinewoods, 633 adaptation was probably driven by postglacial migration from a predominantly 634 continental to an oceanic environment over the past ~7000 yrs. For long-lived conifers, 635 adaptive differentiation would be expected to occur over several dozens of generations 636 after vicariance. However, even though selection can be very effective in species with 637 large population sizes, the time since the last glaciation seems too short for pine species 638 to have accumulated new mutations that could be rapidly fixed by selection. Adaptive 639 divergence is therefore more likely to result from selection acting on standing variation,
640 which may have arisen in endemic populations that survived last glaciations in Western 641 Europe or the British Isles. Moreover, as differentiation at the trait level in forest trees is 642 likely to result from allelic associations among large numbers of loci, rather than changes 643 in allelic frequencies at individual loci, the signature of selection may be more readily 644 detectable as covariance of allele frequencies at multiple loci (Derory et al, 2009; Latta, 645 2004; Le Corre and Kremer, 2003). Therefore many more loci, including regulatory 646 regions (to date, generally omitted from analyses of nucleotide variation in conifers), 647 would need to be studied in parallel before the influence of selection could be verified. 648 Scottish populations, which show considerable ecological, phenotypic and genetic 649 diversity over short geographic distances, represent an excellent study system for 650 multilocus analysis of complex trait variation (González-Martínez et al, 2006b; Neale and 651 Savolainen, 2004). Such studies will, however, have to take into account the potential 652 role of recent population history in shaping patterns of nucleotide diversity, and therefore 653 ensure that sampling is conducted at sufficient density to control for historical influences. 654 Association studies of allelic variants and adaptive variation at quantitative traits between 655 individuals from different, locally-adapted populations could also better validate the signatures of selection and the functional role of the nuclear genes studied. 656

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Conflict of interest statement

- 667 The authors declare that there are no conflicts of interest.

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954 <u>Titles and legends to figures</u>

Figure 1. Main map: location of 21 Scots pine populations from Scotland (divided for
most between-population analyses into groups: • West, ▲ South and + East). Inset
shows locations of the 8 mainland European populations with which comparisons were
made and location of main map (highlighted). See Material and Methods for details.

961 962 963 964 965 966 967 968	Figure 2. Scatter plot of the s function of distance in base p southern (B) and eastern (C) s disequilibrium is shown by no model (see material and meth (standard error in parenthesis group is $\rho = 0.0025$ (0.0004) a	equared correlation coefficient airs between pairs of polymon groups at all loci combined. D onlinear fitting curve of the m lods section for details). Recor-) for western group is $\rho = 0.00$ and $\rho = 0.0024$ (0.0006) for the	t of allele frequencies (r^2) as a phic sites in western (A), Decline in linkage nutation-recombination-drift mbination rate parameter p 174 (0.0008), for southern e east.
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997 <u>Tables and Figures</u>

Table1. Loci included in nucleotide diversity analyses

		Base pairs screened				
Protein / Function	n	Total	Coding ^a	Intron	UTRs ^b	Indels ^c
dehydrin 1 – dehydrative stress response	40	1265	489	423	353	10 (194)
dehydrin 2 – dehydrative stress response	33	449	235 (2)	119	95	2 (6)
dehydrin 3 – dehydrative stress response	34	428	330(1)	-	98	2 (69)
dehydrin 7 – dehydrative stress response	38	364	264 (1)	-	100	1 (2)
dehydrin – dehydrative stress response	42	485	381 (2)	95	9	1 (8)
abscisic acid responsive protein	40	419	334 (1)	85	-	4 (23)
ABI3-interacting protein 2	39	882	169 (2)	120	593	1 (21)
caffeoyl CoA O-methyltransferase	41	563	316 (3)	247	-	0
chalcone synthase	35	331	85 (1)	-	246	1(1)
early responsive to dehydration 3	38	583	379 (3)	204	-	0
ABA and WDS induced gene-1	35	438	168 (1)	-	270	1 (8)
ABA and WDS induced gene-3	36	463	232 (2)	231	-	6 (154)
	451	6670	3382 (19)	1524	1764	29 (486)
	Protein / Function dehydrin 1 – dehydrative stress response dehydrin 2 – dehydrative stress response dehydrin 3 – dehydrative stress response dehydrin 7 – dehydrative stress response dehydrin – dehydrative stress response abscisic acid responsive protein ABI3-interacting protein 2 caffeoyl CoA <i>O</i> -methyltransferase chalcone synthase early responsive to dehydration 3 ABA and WDS induced gene-1 ABA and WDS induced gene-3	Protein / Functionndehydrin 1 – dehydrative stress response40dehydrin 2 – dehydrative stress response33dehydrin 3 – dehydrative stress response34dehydrin 7 – dehydrative stress response38dehydrin – dehydrative stress response42abscisic acid responsive protein40ABI3-interacting protein 239caffeoyl CoA <i>O</i> -methyltransferase41chalcone synthase35early responsive to dehydration 338ABA and WDS induced gene-135ABA and WDS induced gene-336451	Protein / FunctionnTotaldehydrin 1 – dehydrative stress response401265dehydrin 2 – dehydrative stress response33449dehydrin 3 – dehydrative stress response34428dehydrin 7 – dehydrative stress response38364dehydrin – dehydrative stress response42485abscisic acid responsive protein40419ABI3-interacting protein 239882caffeoyl CoA <i>O</i> -methyltransferase41563chalcone synthase35331early responsive to dehydration 338583ABA and WDS induced gene-135438ABA and WDS induced gene-3364634516670	Protein / FunctionnTotalCodingadehydrin 1 – dehydrative stress response401265489dehydrin 2 – dehydrative stress response33449235 (2)dehydrin 3 – dehydrative stress response34428330 (1)dehydrin 7 – dehydrative stress response38364264 (1)dehydrin – dehydrative stress response42485381 (2)abscisic acid responsive protein40419334 (1)ABI3-interacting protein 239882169 (2)caffeoyl CoA <i>O</i> -methyltransferase41563316 (3)chalcone synthase3533185 (1)early responsive to dehydration 338583379 (3)ABA and WDS induced gene-136463232 (2)45166703382 (19)	Protein / FunctionnTotalCodingaIntrondehydrin 1 - dehydrative stress response401265489423dehydrin 2 - dehydrative stress response33449235 (2)119dehydrin 3 - dehydrative stress response34428330 (1)-dehydrin 7 - dehydrative stress response38364264 (1)-dehydrin - dehydrative stress response42485381 (2)95abscisic acid responsive protein40419334 (1)85ABI3-interacting protein 239882169 (2)120caffeoyl CoA <i>O</i> -methyltransferase41563316 (3)247chalcone synthase3533185 (1)-early responsive to dehydration 338583379 (3)204ABA and WDS induced gene-136463232 (2)231ABA and WDS induced gene-336463232 (2)23145166703382 (19)1524	Protein / FunctionnTotalCodingaIntronUTRsbdehydrin 1 - dehydrative stress response401265489423353dehydrin 2 - dehydrative stress response33449235 (2)11995dehydrin 3 - dehydrative stress response34428330 (1)-98dehydrin 7 - dehydrative stress response38364264 (1)-100dehydrin - dehydrative stress response42485381 (2)959abscisic acid responsive protein40419334 (1)85-ABI3-interacting protein 239882169 (2)120593caffeoyl CoA <i>O</i> -methyltransferase41563316 (3)247-chalcone synthase3533185 (1)-246early responsive to dehydration 338583379 (3)204-ABA and WDS induced gene-136463232 (2)231-45166703382 (19)15241764

 $\frac{1000}{1001}$ n - haploid sample size, ^a number of exons in parenthesis; ^b untranslated region (5'UTR); ^c number of indels and length range in parenthesis;

1002 Table 2. Summary statistics of nucleotide and haplotype variation and frequency distribution spectrum of polymorphism at 1003 analysed genes in Scottish populations of Scots pine. Silent sites variation reported separately for west, south and east 1004 geographical groups, otherwise average values for all samples combined.

1004 geographical groups, otherwise average values for all samples combined. 1005

Nucleotide diversity														
			Nonsyn	onym.			Silent ^a						Haplo	type diversity
Locus	L	π	SNPs	π	SNPs	π	π_{West}	π_{South}	π_{East}	ρ^{b} (SE)	D^{c}	H^{d}	Ν	$H_{\rm d}({\rm SD})$
dhn1	1071	0.0144	8 (4)	0.0044	54 (12)	0.0203	0.0215	0.0225	0.0158	0.0113 (0.0012)	0.039	-0.285	24	0.964 (0.014)
dhn2	442	0.0074	1	0.0024	7 (0)	0.0112	0.0107	0.0110	0.0113	0.0779 (0.0379)	$1.968^{*1,2}$	0.458	11	0.888 (0.028)
dhn3	359	0.0198	10(2)	0.0137	13 (2)	0.0291	0.0325	0.0226	0.0366	-	0.911	1.733	8	0.829 (0.03)
dhn7	362	0.0042	3 (1)	0.0042	4 (3)	0.0043	0.0033	0.0036	0.0061	-	-0.223	-2.339	6	0.711 (0.039)
dhy2PP	476	0.0101	5 (3)	0.0011	12 (2)	0.0244	0.0240	0.0223	0.0257	0.0680 (0.0228)	0.103	1.738	21	0.954 (0.015)
abaR	396	0.0048	5 (0)	0.0052	3 (2)	0.0043	0.0035	0.0048	0.0046	-	0.052	-2.323	10	0.755 (0.057)
a3iP2	861	0.0043	2 (2)	0.0008	12 (5)	0.0049	0.0051	0.0045	0.0054	0.0022 (0.0016)	0.360	-2.231	11	0.779 (0.052)
ccoaomt	563	0.0018	1(1)	0.0000	5 (0)	0.0032	0.0011	0.0053	0.0038	-	-0.711	-0.746	5	0.348 (0.092)
chcs	330	0.0075	1(1)	0.0008	12 (6)	0.0094	0.0066	0.0137	0.0088	-	-0.682	-1.267	9	0.766 (0.065)
erd3	583	0.0021	3 (3)	0.0006	7 (4)	0.0037	0.0036	0.0029	0.0046	-	-1.464 ^{*2}	-1.351	9	0.73 (0.052)
lp3-1	430	0.0095	1(1)	0.0004	12(1)	0.0137	0.0107	0.0144	0.0135	0.0195 (0.0111)	0.944	1.175	20	0.955 (0.017)
lp3-3	309	0.0370	8 (0)	0.0177	18(1)	0.0660	0.0692	0.0683	0.0676	0.0018 (0.0011)	$2.846^{***1,2}$	1.600	19	0.949 (0.019)
Total	6182		48(18)		159 (38)									
Mean ^e	515	0.0078		0.0031		0.0117	0.0111	0.0116	0.0124	0.0085 (0.0009) ^f	0.118	-0.494	12.18	0.789 (0.042)

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1007 L – length of sequence in base pairs excluding indels; π – nucleotide diversity (Nei 1987); N – number of haplotypes; H_d – haplotype diversity (standard deviation); ^a

1008 synonymous and noncoding positions; ^b least-squares estimate of recombination parameter; ^cD test (Tajima 1989); ^dH test (Fay and Wu 2000); ^e average values at

1009 11 loci excluding *lp3-3*; ^f stimates based on informative sites at all loci excluding *lp3-3*; "-" not estimated due to low number of informative sites; * statistically

1010 significant values based on coalescence simulations (1) without recombination and (2) with average recombination rate at six loci *P<0.05; *** P<0.01

Table 3. Descriptive statistics for nucleotide variation at eight loci in Scottish and continental European populations of Scots pine. Description of regional groups in Scotland as in Figure 1

)16	and Supplementary Table S1.									
	Groups		θ^{a}	C.I. (95%) ^b	ρ (SE) °	ρ/θ	D^{d}	H ^e		
	Scottish	West	0.0103	0.0072 - 0.0147	0.0073 (0.0008)	0.71	0.580^{*}	-0.400		
		South	0.0130	0.0089 - 0.0188	0.0020 (0.0004)	0.15	0.107	0.066		
		East	0.0117	0.0080 - 0.0170	0.0021 (0.0006)	0.18	0.499^{*}	-0.128		
		All	0.0108	0.0081 - 0.0145	0.0085 (0.0009)	0.79	0.316	-0.564		
	Continental	North ^f	0.0095	0.0065 - 0.0137	0.0062 (0.0010)	0.65	-0.143	-0.750		
	European	Central ^g	0.0103	0.0072 - 0.0147	0.0090 (0.0009)	0.87	-0.359	-1.077		
		North+Central	0.0096	0.0070 - 0.0131	0.0214 (0.0019)	0.45	-0.316	-1.116		
		Spain	0.0098	0.0058 - 0.0167	-	-	-0.539	-0.371		
		Turkey	0.0055	0.0030 - 0.0099	-	-	-0.279	-0.792		
		All	0.0093	0.0068 - 0.0125	0.0245 (0.0002)	2.69	-0.379	-1.240		

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^a median for silent sites;

^b 95% credibility intervals for θ ;

^c least-squares estimate of ρ ;

^d Tajima's *D* test based on all sites; ^{*}P<0.05, statistical significance determined by coalescent simulations with and without

recombination (see material and methods);

^e Fay and Wu *H* test;

^f North: Finland North, Finland South, Sweden;

 $\begin{array}{c} 1017\\ 1018\\ 1019\\ 1020\\ 1021\\ 1022\\ 1023\\ 1024\\ 1025 \end{array}$ ^g Central: Poland, France, Austria; "-" not estimated due to low sample size (~5 for each locus) and low number of informative sites from each population.

Table 4. Differentiation between Scottish and continental European populations of Scots pine1052measured as average F_{ST} over all polymorphic sites and indels at 8 loci combined.

		North	Central	Spain	Turkey	North+Central	All ^a
	West	0.032***	0.026**	0.02	0.091***	0.029*	0.022*
	South	0.009	0.011	0.053**	0.112***	0.010	0.011
	East	0.019	0.040***	0.072**	0.145***	0.037*	0.039*
	All Scottish	0.023**	0.035**	0.035*	0.095***	0.028*	0.025*
1053	^a all continental Eu	ropean populations	combined; *P<0.05	5, **P<0.01, ***	P<0.001;		
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Group	Obs	erved ^a		SN ^b	Growth ^c		Bottleneck ^d		
	Mean D	Mean <i>H</i>	Mean D	Mean H	Mean D	Mean <i>H</i>	Mean D	Mean H	
West	0.364	-0.447	-0.057	0.001	-0.059	-0.018	0.371	-0.504	
			(0.578)	(0.406)	(0.585)	(0.411)	(0.116)	(0.630)	
South	0.103	0.144	-0.066	-0.009	-0.056	0.023	0.310	-0.494	
			(0.588)	(0.419)	(0.575)	(0.403)	(0.161)	(0.636)	
East	0.260	-0.145	-0.056	0.003	-0.065	-0.013	0.311	-0.487	
			(0.589)	(0.419)	(0.596)	(0.434)	(0.165)	(0.645)	
All	-0.015	-0.494	-0.072	0.028	-0.072	0.026	0.661	-0.495	
			(0.602)	(0.407)	(0.605)	(0.406)	(0.020)	(0.613)	

Table 5. Alternative demographic models tested against total and regional groups of populations in Scotland

^a observed mean values of Tajima's D and Fay and Wu's H statistics at 11 loci

^b standard neutral model

^c results for exponential growth of rate 10 starting 0.00125 x 4Ne before present

^d results shown are for bottleneck of severity s=0.02 that started 0.00175 x $4N_e$ generations before present. Duration

1092 1093 1094 of bottleneck was set up to 0.0015 and population growth rate to 10. Assuming e.g. N_e =200000 and generation time

of 25 years, the bottleneck ended about 25 thousand years ago. Current and the ancestral population size were

assumed to be qual. In parenthesis are the *P*-values for the observed means of each parameter.



Dist



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