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1 **The European Water Framework Directive at the age of 10: A critical review of the**
2 **achievements with recommendations for the future**

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32 **Abstract**

33 The European Water Framework Directive (WFD), which was adopted in 2000, changed
34 water management in all member states of the European Union fundamentally, putting aquatic
35 ecology at the base of management decisions. Here we review the successes and problems
36 encountered with implementation of the WFD over the past 10 years and provide
37 recommendations to further improve the implementation process. We particularly address
38 three fields: (i) the development of assessment methods (including reference conditions,
39 typologies and intercalibration); (ii) the implementation of assessment systems in monitoring
40 programmes; and (iii) the consequences for river basin management plans (such as the design,
41 monitoring and success of restoration measures).

42 The development of assessment methods has been a transparent process and has resulted in
43 improved and more standardised tools for assessing water bodies across Europe. The process
44 has been more time consuming, and methods are more complex, than originally expected.
45 Future challenges still remain, including the estimation of uncertainty of assessment results
46 and a revision of rules in combining the results obtained with different Biological Quality
47 Elements.

48 A huge amount of monitoring data is now being generated for WFD purposes. Monitoring
49 data are not centrally stored and thus poorly accessible for purposes beyond the WFD. Future
50 challenges include enhanced data accessibility and the establishment of a Europe-wide central
51 monitoring network of reference sites.

52 The WFD River Basin Management Plans base management decisions on the response of
53 aquatic organisms to environmental stress. In contrast to the effects of degradation, the biotic
54 response to restoration is less well known and poorly predictable. The timescale of the WFD
55 (obtaining good ecological status in all surface waters by 2027) is over-ambitious. Future
56 challenges include long-term monitoring of restoration measures to understand the

57 requirements for ecosystems to recover and prioritisation of measures according to re-
58 colonisation potential.

59

60 **Keywords:** assessment, typology, uncertainty, monitoring, Heavily Modified Water Bodies,
61 River Basin Management Plans, restoration, recovery

62

63

64 **Introduction**

65 The 1990s saw an emergence worldwide of holistic environmental management, integrated
66 pollution control and countries embracing the ecosystem approach which combines natural
67 and social sciences in tackling environmental problems (Apitz et al., 2006). This was most
68 embodied in the Earth Summits in 1992 (Rio de Janeiro), 1995 (New York) and 2002
69 (Johannesburg) and the 1992 Convention of Biological Diversity. In these meetings, countries
70 worldwide agreed to achieve environmental sustainability. Within Europe, this led to the
71 proposal for a EU Directive on the Ecological Quality of Surface Waters which followed on
72 from many countries adopting monitoring schemes and environmental quality objectives and
73 standards. Since the 1970s, parts of Europe (e.g. UK and Sweden) had already shown a
74 willingness to harmonise environmental measures to tackle trans-regional water quality issues
75 (McLusky and Elliott, 2004). Following this, the regional seas agreements for the North-East
76 Atlantic (the OSPAR Commission), the Baltic (the HELCOM commission) and the
77 Mediterranean (the Barcelona Convention) were convened to achieve coordinated
78 management of source catchments and receiving marine areas.

79 The European Directive proposal for the Ecological Quality of Surface Waters was never
80 adopted, possibly because of its high ecological bias and inadequate consideration of socio-
81 economic impacts. But this embryo of an idea eventually resulted in the drafting of the
82 European Water Framework Directive which was finally adopted in 2000. The WFD had a
83 precedent in the US Clean Water Act (CWA), published in 1972 and amended in 1977 and
84 during the 1980s. There are clear parallels between the WFD and the CWA, in terms of
85 objectives, implementation and ecological approaches. In both statutes, the status of water is
86 important for a variety of uses and users, including bathing, outdoor recreation, industry and
87 drinking (Hoorbeek, 2004). The policies arose from concerns about water status, where
88 strong economic interests were often set against the diffuse interest of the general public.
89 Policy solutions in this area generally included setting water quality standards, implementing

90 discharge controls and minimizing the impacts of anthropogenic pressures on surface water
91 quality (Hoorbeek, 2004).

92 The implementation of the WFD has been, and still is, a major challenge. Almost all EU
93 Member States have spent considerable time and resources to develop tools, to gain the
94 required data and to prepare River Basin Management Plans. In this context both the EU and
95 its Member States have funded a large number of research projects, particularly in the areas of
96 ecological assessment and catchment modelling.

97 The WFD has impacted various levels of environmental management of aquatic resources and
98 has triggered the re-organization of water management by hydrological catchments, rather
99 than by administrative borders, with the ultimate goal to improve the quality of surface water
100 bodies. It has also been an important incentive towards harmonisation of classification and
101 monitoring methods across Europe. The biotic communities of European surface waters are
102 now the primary focus, used to assess the status of lakes, rivers and marine ecosystems and
103 the success of management. The WFD has precipitated a fundamental change in management
104 objectives from merely pollution control to ensuring ecosystem integrity as a whole.
105 Deterioration and improvement of 'ecological quality' is defined by the response of the biota,
106 rather than by changes in physical or chemical variables.

107 From a scientific perspective, the implementation of the WFD is greatly increasing
108 knowledge on the ecology of European surface waters, particularly in regions which have
109 rarely been investigated: approximately 1,900 papers have resulted from research projects
110 associated with the implementation of the directive (query 'Water Framework Directive' in
111 SCOPUS at 4/12/2009). Many methods to sample and investigate aquatic ecosystems have
112 been developed and large amounts of data are being generated.

113 The underlying concept of the WFD and, in particular, the way it has been implemented in
114 practice has received major criticism, from politicians, water managers and scientists (e.g.
115 Moss, 2007, 2008; Dufour and Piegay, 2009). Here, we review experiences with the WFD

116 implementation from the perspective of natural scientists involved in research projects and
117 intercalibration working groups supporting the implementation process. We aim to provide a
118 balanced review of both the successes and the problems encountered with implementation
119 over the past 10 years and give recommendations on how to further improve the
120 implementation process for the future. We particularly address three fields: (i) the
121 development of assessment methods (including reference conditions, typologies and
122 intercalibration); (ii) the design of monitoring programmes and how they are related to the
123 assessment systems; and (iii) the consequences for river basin management plans (such as the
124 implementation and success of restoration / rehabilitation measures).

125

126 **Assessment of Ecological Status**

127 The WFD was welcomed by many for its innovativeness and radical shift to measure quality
128 of all surface waters using a range of biological communities rather than the more limited
129 aspects of chemical quality (Moss, 2007). This was recognised as being a much more
130 effective integrative way to measure ecological quality. This innovativeness did, however,
131 come with a number of substantial challenges for ecologists in requiring complex and
132 dynamic biological communities to be quantified into a single numeric score, rather than
133 qualitative species lists, for reference conditions to be established from which to measure the
134 degree of change, and for this all to be carried out within a large number of water body types.
135 The uncertainty in the resulting quality classification and reference conditions also had to be
136 quantified in a robust way. One major obstacle was the fact that no consistent biological
137 datasets were generally available for lakes, rivers and coastal waters. A major achievement of
138 the WFD is that many sampling and analysis procedures have been standardised across
139 Europe (e.g. CEN, 2004), there has been investment in taxonomic training, and extensive
140 monitoring programmes including physical, chemical and biological variables have been

141 implemented. An overview of major implementation successes, problems and solutions is
142 given in Table 1, while below we provide details on individual successes and obstacles.

143

144 Assessment systems: are we lost in complexity?

145 The requirements of the WFD concerning ecological assessment of aquatic ecosystems are
146 both specific and general at the same time. Annexes II and V of the Directive contain many
147 details, e.g. criteria for water body typologies and a range of specific components of five
148 Biological Quality Elements (BQEs) and associated hydromorphological and physico-
149 chemical elements to be monitored. While the WFD indicates what characteristics of the
150 BQEs should be assessed (e.g. ‘abundance’, ‘community composition’) it does not specify
151 which indices or metrics of these various elements should be used. The specification of
152 metrics and indices for the different BQEs has been left to scientists in member states to
153 propose, and this in turn has resulted in the age-old problem that those carrying out the
154 monitoring are often unwilling to change from their usual practices. Most assessment systems
155 existing in the year 2000 in the EU Member States were, however, not compliant with the
156 WFD, as they were generally not reference-based (i.e. assessed deviation from an acceptable
157 baseline) or specific to water types.

158 Efforts to develop new methods fulfilling the complex requirements of the WFD were huge,
159 and as the process was not organised centrally many national and international projects
160 contributed (examples for lakes: Moss et al., 2003; Lyche-Solheim et al., 2008; rivers: Hering
161 et al., 2004; Furse et al. 2006; Schmutz et al. 2007; coastal and transitional waters: Borja,
162 2005; Borja et al., 2004, 2007). No generally applicable European method for water body
163 assessment resulted and methods developed differed between countries, between Biological
164 Quality Elements and between water categories and types. Major differences existed in
165 taxonomic resolution (species vs. higher taxonomic levels), the way of defining reference

166 conditions, type vs. site specific assessment and the number and nature of indices (metrics)
167 used.

168 A recent review of 252 WFD-compliant assessment systems published on
169 www.wiser.eu/results/methods-db revealed that a large proportion (46%) of these systems
170 target various forms of water pollution (acidification, eutrophication, heavy metals, pollution
171 by organic compounds, pollution by organic matter). Other frequently addressed stress types
172 are general degradation (19%), hydromorphological degradation (10%), habitat destruction
173 (8%), riparian habitat alteration (5%), catchment land use (4%), flow modification (4%) and
174 impact of alien species (4%), resulting in a higher diversity of stressors being assessed.
175 Particularly for rivers, assessment metrics have often been selected based on their correlation
176 to hydrological, morphological or land use parameters (e.g. Hering et al. 2004, Schmutz et al.
177 2007). In some cases assessment systems have been developed irrespective of stressors,
178 comparing the present situation to historic data or least disturbed systems (e.g. Blomquist et
179 al. 2007, Perus et al. 2007, Muxika et al. 2007).

180 Effects of different field and lab procedures, in many cases, are relatively minor (Furse et al.,
181 2006, Borja et al., 2007) and in one case a common Europe-wide method has been developed
182 (fish in rivers, Pont et al., 2006, 2007).

183 The unavoidable discrepancies in methodologies had to be managed by additional tools such
184 as the intercalibration process. The developed assessment methods have often been criticised
185 for being too complex, while much more simple parameters (such as water transparency) may
186 give a sufficiently precise idea of the ecological status (Moss et al., 2003; Peeters et al.,
187 2009). Yet this criticism does not offer alternatives that are compliant with the WFD
188 legislation. Peeters et al. (2009) provided convincing arguments that transparency suffices for
189 determining the eutrophication status of lakes, although they only illustrate their case on a
190 restricted set of water-bodies – very shallow, lowland lakes. No evidence is given that the
191 approach is applicable to other lake types or lakes where eutrophication may not be the key

192 pressure. The strength of the WFD approach (monitoring a range of biotic communities) is
193 that it potentially addresses complex mixtures of stressors in very different regions and water-
194 body types.

195 Advocates for simplicity in the assessment systems also argue that the breadth of current
196 approaches developed do not encapsulate the concept of a healthy functioning ecosystem. The
197 requirements of the WFD assessment schemes outlined in Annex II and V predominantly
198 relate to structural elements rather than functional ones. Consequently, many of the new
199 metrics developed focus on taxonomic indices, rather than ecosystem function (e.g. de Jonge
200 et al., 2006). Although it could be argued that taxonomic metrics are fundamentally an
201 expression of function, future research could explore further how structural elements could be
202 used more explicitly to represent system functioning (e.g. macrophyte growing depth as an
203 indicator of benthic vs. planktonic production, ratios of invertebrate functional feeding
204 groups). Moss (2008) argues that key features such as nutrient parsimony, connectivity and
205 resilience to change should be included. There are certainly different ways of assessing
206 ecosystem health but as the annexes of the WFD are explicit concerning biotic data to be
207 included into assessment systems taxonomic indices of adequate confidence and precision can
208 not be avoided, irrespective of the potential worth of alternative approaches.

209 A major achievement of the WFD has been the development process itself. In all Member
210 States experts working on different organism groups and ecosystem types considered ‘the best
211 approach’ for monitoring and developing ecological classifications. The large number and
212 variety of people involved in the development of assessment systems for the WFD can be
213 seen in a recently generated overview of European assessment methodologies on
214 www.wiser.eu/results/methods-db.

215 It is hard to argue against the fact that biomonitoring methods and data quality have improved
216 overall. The fact that different assessment systems evolved across Europe reflects the
217 diversity of water body types and pressures: in some countries and ecosystem types single

218 stressors which are easy to assess predominate (e.g. organic pollution or eutrophication),
219 while in other cases a complex mixture of stressors affect water bodies (e.g. nutrient
220 enrichment, hydromorphological degradation, toxic substances, overfishing). Ecological
221 knowledge of different organism groups varies across Europe. In Northern Europe most
222 aquatic species and their ecological preferences are known, while the aquatic stages of many
223 species occurring in Southern European waters are still not described (Schmidt-Kloiber et al.,
224 2006).

225 In conclusion, technical implementation of the WFD Annexes is a complex process, but the
226 use of several quality elements and establishment of typologies and reference conditions is a
227 major improvement. The resultant schemes are probably more complicated than what the
228 authors of the WFD intended. The effort required for developing assessment methods was,
229 however, grossly underestimated and, therefore, assessment methods were often not available
230 before River Basin Management Plans had to be drafted in 2008-2009. On the other hand, the
231 development process and the resulting methods have led to a new understanding of applied
232 aquatic ecology in Europe; knowledge that is now not restricted to a small group of
233 researchers. Indeed, technicians, water managers and, to some degree, stakeholders and
234 politicians, have contributed to the process and learned to communicate despite educational
235 and cultural differences. So, maybe the greatest value emerged from the process itself.

236

237 Uncertainty in assessment

238 A central element in WFD-compliant assessment systems is the estimation of uncertainty.
239 This builds on the understanding that there is no definitive means in bioassessment and that
240 all results are influenced by several sources of variability and errors, for example variability in
241 sampling and laboratory analysis, seasonal and geographical variability (Clarke and Hering,
242 2006; Carstensen, 2007). For this reason, ecological status classification results should always
243 be given in terms of probabilities. Today only a small proportion of assessment systems have

244 put this into practice. Including uncertainty estimation into assessment schemes is a major
245 challenge of the next phase of WFD implementation. The underlying statistical principles are
246 relatively simple and appropriate tools for uncertainty estimation are available (e.g. Clarke
247 and Hering, 2006; Carstensen, 2007) but data are needed which address the individual sources
248 of error, such as differences between investigators and sampling equipment/analysis, as well
249 as temporal (diurnal, weather event-related, seasonal) and spatial (representative sampling
250 location) variation of sampling, affecting the distribution of the assessment results. These
251 principles apply to all assessment systems, even to methods, which are very simple to apply
252 such as those suggested by Moss (2008). For example, the WFD has been a major driver in
253 improving our understanding of the effect of sampling frequency and location on annual
254 estimates of total phosphorus and phytoplankton chlorophyll a (Carvalho et al., 2006; 2007).
255 Given quantitative information of these sources of uncertainty, the likelihood of different
256 status classifications can be computed. More challenging, however, is to convey the concept
257 and principles of uncertainty to water managers: that it is more appropriate to know the
258 amount of error affecting an assessment method than to give results with an unknown or
259 unrealistic precision. If the major sources of error are known, they can potentially be
260 minimised through the re-design of sampling schemes (additional sampling sites or
261 frequency), through improved training by operating procedures, CEN (European Committee
262 for Standardization) guidance, taxonomic training or through the use of model-based
263 assessment methods (Pont et al., 2009). Though there is no central overview available,
264 taxonomic training has been implemented in several countries in connection with the WFD:
265 In Germany, the German Limnological Association has offered 35 training courses on
266 different organism groups (<http://www.dgl-ev.de/arbeitskreise/ak.taxonomie.html>), additional
267 courses in Germany have been offered by the Senckenberg Institute. In Austria training
268 courses cover all BQEs (<http://wasser.lebensministerium.at/article/archive/5659>). In Finland,
269 training on phytoplankton taxonomy has been carried out by the Finnish Environment

270 Institute in collaboration with the Finnish Phytoplankton Society. Also regular
271 intercalibrations of phytoplankton analysis have been conducted. The Quality Assurance of
272 the phytoplankton counting has been ensured by reference laboratory activities as described
273 by Lepistö et al. (2009). Marine biologists have agreed on common taxonomical standards
274 (<http://www.marbef.org/data/erms.php>) which is now the basis for identification by most labs.
275 Inherent in the discussions of uncertainty is the realisation that scientists will have their
276 methods and approaches subjected to legal and political scrutiny. The determination of
277 ecological status, and thus the need to invest large amounts of money to remediate problems,
278 is influenced by the uncertainty in defining status, especially when metric results are close to
279 the good/moderate class boundary. Thus any Member State that is taken to the European
280 Court through infringement procedures related to doubtful assessment methods would have to
281 demonstrate the robustness of its methods. Furthermore, there is concern about the capacity
282 within monitoring agencies across Europe to design and implement monitoring programmes
283 with sufficient sampling to provide a proper basis for uncertainty estimation. This concern is
284 re-enforced by the change of many national Environmental Protection Agencies over the past
285 decades from executive bodies of aquatic monitoring to merely administrative bodies with
286 quite a remote sense of the need for scientific rigor in the ecological status assessments.

287

288 Typology: is it needed?

289 According to the WFD, ecological assessment has to be ‘type specific’, i.e. water bodies
290 should be grouped according to their physical and morphological attributes, such as salinity,
291 alkalinity, catchment size or altitude/depth. With the experiences gained during the WFD
292 implementation process it is clear that the use of water body types is a simple and appropriate
293 tool for water managers and the general public to better understand the natural differences in
294 aquatic communities and consequently differences in restoration targets. On the other hand,
295 typologies are coarse delimitations of naturally continuous gradients across a wide range of

296 ecosystem characteristics. In reality many environmental parameters influence community
297 composition, even when human-induced stress is not considered (Sandin and Verdonschot,
298 2006; Aroviita et al., 2009). The WFD allows any natural environmental parameter
299 influencing communities to be included in the typology system (System B, Annex II), but
300 there is always a trade-off between having all environmental factors included and having a
301 manageable typology system. There is no compilation of the typologies used by the European
302 member states available but most likely the individual typologies are not comparable at all.

303 One way forward is a relatively simple approach consisting of broadly defined types (e.g.
304 Moss et al., 2003 for lakes), which coarsely discriminate ‘common types’ to be used in the
305 intercalibration process. Such types have been defined for lakes, rivers and coastal waters, but
306 still need to be determined for transitional waters (Borja et al., 2009a). The alternative is a
307 sophisticated typology reflecting relatively minor natural ecological gradients and thus fine-
308 scale differences in community structure as described by Verdonschot (1995) for rivers in the
309 Netherlands, Lorenz et al. (2004) for rivers in Germany and Hull et al. (2004) for coastal and
310 transitional waters in the UK. Site-specific assessment (prediction systems) might be the ideal
311 solution, as this approach incorporates the individual characteristics of a site, rather than
312 adopting a standard set of descriptors partitioning natural variability. Recent studies suggest
313 that site-specific assessments have higher sensitivity, particularly for water bodies close to
314 typology boundaries and in the absence of undisturbed sites for a water body type (Clarke et
315 al., 2003; Pont et al. 2006; Cardoso et al., 2007; Aroviita et al., 2009; Carvalho et al., 2009).

316 In conclusion, it is emphasised that parameters relevant for typology are among the major
317 sources of uncertainty in ecological assessment. The more specific assessment systems are
318 better if they have been corrected for typological differences. While for the coarse evaluation
319 of ecological status, and communication of results to managers and the public, broadly
320 defined types might be sufficient, the logical endpoint for a sophisticated assessment method
321 will be site-specific prediction systems, although not strictly WFD-compliant.

322

323 Intercalibration: Comparing the incomparable?

324 The authors of the WFD had in mind a simple assessment system. Likely they had the vision
325 of just a few assessment metrics to be applied across Europe – this proved not to be realistic
326 nor achievable: stressors affecting aquatic ecosystems differ between regions, and the effects
327 of different stressors (e.g. acidification and eutrophication) could not be assessed with the
328 same metrics. Water body types not only differ in terms of size and catchment geology, but
329 also in their species pools and the bioindicator taxa present. Unavoidably, sampling methods
330 also differ between types, e.g. small and large rivers. Between regions, knowledge on the taxa
331 occurring differs greatly (Schmidt-Kloiber et al., 2006). Therefore, uniform taxonomically-
332 based assessment methods could not account for all these differences to be applicable
333 throughout Europe. Alternatively, ecological assessment could have been based on simple
334 parameters, such as water transparency and catchment land use (Moss et al., 2003; Peeters et
335 al., 2009).

336 One of the most important obstacles for implementing a harmonised assessment is that
337 biomonitoring traditions differ between countries (especially for invertebrates). Countries
338 having well established biomonitoring systems were resistant to change, in particular those
339 countries having long time series. These differences have led to several methods reflecting
340 both a variety of European water bodies and biomonitoring history. The logical consequence
341 was that methods used for the WFD have to be intercalibrated, a comparison process which
342 was already planned for in the WFD (Annex V, section 1.4.1).

343 The first intercalibration was a pilot exercise with an unknown outcome and had to compare
344 many methods, many of which had not been fully developed (Heiskanen et al., 2004),
345 although some experience in comparing a limited number of assessment methods using
346 correlation methods existed (e.g. Ghetti and Bonazzi, 1977; Friedrich et al., 1995; Stubauer
347 and Moog, 2000; Krause-Jensen et al., 2009). The WFD intercalibration approach was

348 originally thought to be based on comparison of member states' assessment methods on a
349 small number of sites; however for statistical reasons this was not useful. Therefore, other
350 options were developed (Common Implementation Strategy, 2005), in which the compilation
351 of a dataset of sites covering the whole pressure gradient was recommended. One of these
352 options ('Option 2') is based on 'common metrics', against which national methods are
353 compared.

354 For some BQEs and water categories, such as benthic invertebrates in coastal waters (Borja et
355 al., 2007, 2009a) and phytoplankton biomass in lakes (as chlorophyll a) (Poikane, 2009), the
356 intercalibration results were surprisingly clear: most of the assessment systems give the same
357 pattern. For other BQEs, such as phytoplankton composition in lakes, the first intercalibration
358 results differed so much for certain regions (Central-Baltic GIG) that the results were rejected
359 by the Commission from the Intercalibration Official Decision. This was largely a result of
360 the diverse array of metrics produced across Member States. For some BQEs, such as fish,
361 and one water category (transitional waters) the assessment systems had not been sufficiently
362 developed to allow any intercalibration results in the first phase (2004-2008).

363 The first phase of the intercalibration exercise has been subject to two separate scientific
364 reviews on coastal / transitional waters and on lakes / rivers, which generally agreed with the
365 finally selected approaches, e.g. the use of common metrics and the use of bands of
366 acceptable boundary values. However, several critical points were raised, in particular it needs
367 to be ensured that reference conditions are set in a harmonized way, intercalibration is done
368 separately for different stressors, and inter-annual variability needs to be taken into account.
369 Due to these shortcomings the EC extended the intercalibration process with a second phase
370 (2009-2012) to allow completion of intercalibration for all BQEs in all water categories. A
371 new intercalibration guidance and new annexes have been drafted, addressing more
372 harmonised procedures to set reference conditions and class boundaries and to compare the
373 outcome of individual intercalibration exercises.

374 For this second phase of the intercalibration exercise three main problems remain: (i) there is
375 still a significant delay in the process, which is due to the slow development of assessment
376 systems in many countries; (ii) the number of individual intercalibration exercises is very high
377 (number of GIGs * number of BQEs * number of water categories leading to > 100
378 exercises); and (iii) dissemination of intercalibration results is difficult. Although the
379 intercalibration methods used are basically simple the process itself has been composed of
380 several steps and is relatively complex. Combined, these problems have often led to the fear
381 among water managers that intercalibration will have significant impact on already finalised
382 steps of WFD implementation used as a basis for the first River Basin Management Plans, e.g.
383 on the identification of which water bodies actually need to be restored and the associated
384 planning and reporting requirements.

385

386 Merging assessment results: The funnel effect

387 Summarizing all sources of variability into an ecological assessment of a water body results in
388 two types of errors: type I errors (detecting a difference when no real difference exists) and
389 type II errors (not detecting a difference which is real). As type I error increases when type II
390 error is reduced and vice versa, provided the number of observations remains unchanged, both
391 of these errors cannot be eliminated unless the entire population is sampled. They are best
392 managed by giving probabilities, i.e. the likelihood of a site to fall into a status class (Clarke
393 et al., 2003).

394 One of the challenges of the WFD results from the combination rules stipulated. In general,
395 different organism groups are sampled per water body and assessed independently. The
396 lowest score of all assessment results determines the overall ecological quality class (i.e. the
397 assessment defaults to the lowest category, the 'one-out, all-out' principle; see WFD Annex
398 V, section 1.4.2 (i) and WG ECOSTAT 2003).

399 This procedure is prone to reduce type II errors (i.e. reducing the likelihood that a water body
400 is classified as good status, when in reality it is below good status). The ‘one-out, all-out’
401 principle is thus in line with the precautionary principle, and will provide sufficient protection
402 for the most vulnerable BQE to the most dominant pressures. At the same time this principle
403 will also tend to inflate type I errors (concluding that a water body is below good status, even
404 if the water body in reality has good status), thus posing a risk of implementing measures
405 where they are not strictly needed. For instance, if three BQEs in a good-status water body are
406 sampled and one of these results is affected by a type I error (e.g. wrongly classified as
407 moderate status), the final result (moderate status) will be determined by the error –
408 irrespective of the fact that the two other results are correct (good status). As a result, the
409 ‘one-out, all-out’ principle increases the likelihood of deriving a lower status class by sheer
410 randomness, whereas the risk of misclassifying to a higher status than the actual state
411 becomes less likely (Sandin, 2005). An example from Germany is given in Table 2, showing
412 that a much larger proportion of sites fail the good status objective when the one-out-all-out
413 rule is used compared with when only one BQE is used.

414 The ‘one-out, all-out’ principle has been criticised by several authors (Borja and Heinrich,
415 2005; Sandin, 2005; Sondergaard et al., 2005; Borja et al., 2009c; Tueros et al., 2009) for
416 these statistical reasons. Furthermore, it contrasts with the ecosystem approach the WFD is
417 pursuing, as it is scientifically difficult to justify that a single component determines the
418 quality of an ecosystem. As the legislation is clear in terms of the ‘one-out, all-out’ principle
419 there is no simple way to avoid this problem. Options to reduce type I errors include: (i) the
420 choice of confidence levels for the different BQEs in a way to minimise the risk of type I
421 errors (Carstensen, 2007); (ii) increase of sampling frequency or density to reduce the
422 variation in each BQE; (iii) omitting BQEs with too high variability from the assessment (the
423 latter is also recommended by the WFD). Future amendments of the WFD may consider

424 alternative combination rules (see Borja et al., 2004, 2008a, 2009b) and should require
425 estimates for the degree of type I and type II errors.

426

427 Assessment of heavily modified water bodies (HMWB)

428 The WFD requires Member States to distinguish between ‘natural’ and ‘heavily modified
429 water bodies’ (HMWBs). The latter are designated as having an acceptably lower ecological
430 status as the result of hydromorphological pressures, which cannot be removed because of the
431 high social or economic cost. Because of this, the quality targets for HMWBs are ‘good
432 chemical status’ (compliant to natural water bodies) and ‘good ecological potential’,
433 pragmatically defined as the ecological quality expected under the conditions of the
434 implementation of all possible measures (see Borja and Elliott, 2007). This may result in
435 significantly reduced ecological quality targets. The designation process of HMWBs is
436 composed of several steps and involves a certain level of complexity (Common
437 Implementation Strategy for the Water Framework Directive, 2002). Nevertheless, a
438 significant proportion of European water bodies has been designated as HMWB due to
439 hydromorphological degradation; in four member states (Netherlands, Belgium, Slovak
440 Republic, Czech Republic) more than 50% of the water bodies were designated as HMWB.
441 With the exception of these first four, member states have on average provisionally identified
442 around 16% of their water bodies as heavily modified and artificial (Commission of the
443 European Communities, 2007).

444 Two different approaches towards ecological assessment exist for HMWBs: the Prague
445 approach (Kampa and Kranz, 2005) which is mainly based on measures and the Common
446 Implementation Strategy guidance approach more strongly involving biological assessment
447 (CIS Working Group 2.2 on Heavily Modified Water Bodies, 2003). As HMWBs are not
448 exceptional cases the comparability with assessment results to those obtained for natural
449 water bodies should be guaranteed. From our point of view, the assessment of HMWBs

450 should therefore be based on the same metrics as for natural water bodies. The quality targets
451 should be adapted on a case-by-case basis, in some cases removing those BQEs which are
452 directly affected by hydromorphological pressures (e.g. macroalgae and angiosperms in
453 transitional waters modified as harbours, which lack suitable habitats after massive dredging),
454 while keeping those that are most sensitive to the other pressures acting on the HMWBs.

455

456 **Monitoring systems**

457 The assessment systems discussed above are the principal tools for monitoring ecological
458 status under the WFD, which have now been implemented in all EU member states. The
459 WFD distinguishes among three types of monitoring (see Borja et al., 2008b): (i) surveillance
460 monitoring, to assess long-term changes resulting from widespread anthropogenic activity;
461 (ii) operational monitoring, in order to establish the status of those water bodies identified as
462 being at risk of failing to meet their environmental objectives; and assess any changes in the
463 status of such water bodies resulting from the programmes of measures; and (iii) investigative
464 monitoring, carried out where the reason of any exceedance for ecological and chemical status
465 is unknown; where surveillance monitoring indicates that the objectives for a water body are
466 not likely to be achieved (and determine the causes); or to ascertain the magnitude and
467 impacts of 'accidental' pollution.

468 The implementation of the monitoring programmes is a great achievement, as for the first
469 time comparable pan-European data sets to assess ecological status of surface waters are
470 being obtained as a fundamental basis for restoration of impacted aquatic ecosystems
471 (Ferreira et al., 2007). In addition to the development of assessment systems, the
472 establishment of harmonised monitoring programmes is still a challenge, since the design of
473 monitoring programmes reported to the Commission is highly variable in terms of station
474 density, sampling frequency and choice of BQEs. From our point of view the following issues
475 should be regarded to further strengthen the programmes.

476

477 The data: Big deal or big mess?

478 One of the major consequences of the WFD is the acquisition of large amounts of biological
479 information on the status of European surface waters, information that may improve our
480 knowledge of the structure of the communities inhabiting these ecosystems. Potentially, these
481 data could contribute significantly to other objectives in addition to those of the WFD, e.g. for
482 monitoring the effects of emerging stressors, for improving our knowledge of species
483 distributions and species invasions, for understanding broad scale drivers shaping community
484 assemblages, for Habitats Directive/Natura 2000 species inventories and biodiversity records.
485 However, as with the variability of methods employed for collecting data, the data structure,
486 quality and quantity are quite variable. This applies to the underlying taxonomy and
487 taxonomic identification codes, taxonomic resolution, density of sampling sites, sampling
488 frequency and data storage. As an example, according to Commission of the European
489 Communities (2009) there are 428 river surveillance and operational monitoring sites in
490 Hungary (corresponding to a density of 4.6 sites/1,000 km²), but 2,731 sites in Ireland (38.9
491 sites / 1,000 km²). The density in Poland is 9.0 sites/1,000 km², but 49.0 sites/1,000 km² in the
492 UK. While all these data will be useful to guide regional restoration programmes, Europe-
493 wide comparisons can often be made on the coarsest resolution. There are some exceptions to
494 this, as part of the EC REBECCA Project, chemical and biological data from more than 5000
495 lakes in 20 European countries were compiled into pan-European databases incorporating
496 data from phytoplankton, macrophytes, macroinvertebrates and fish (Moe et al., 2008).

497 At present, Europe-wide comparisons are furthermore limited to data on the overall ecological
498 status and selected metrics, as the original data (e.g. taxa lists) are not being stored centrally,
499 which limits their potential for large-scale analyses and for purposes beyond the WFD. There
500 are, however, promising steps. WISE (Water Information System for Europe;
501 <http://water.europa.eu>) produces Europe-wide maps of water quality, currently only based on

502 environmental variables. The European Environment Agency (EEA) is now also considering
503 producing ecology-based WISE maps, and their test data request in 2009 resulted in more
504 than 34,000 data records on individual BQEs from almost 10,000 sites in 17 countries.
505 Moreover, the European Commission and the EEA have launched the web-based SEIS
506 (Shared Environmental Information System), which will simplify the reporting and accessing
507 of environmental information. A useful future step would be to link data from all member
508 states and from research projects to these systems without transferring data to any central
509 database. This would be a major exercise, however, it would be worthwhile to make
510 maximum use of the huge investment in biological recording.

511

512 Monitoring: What is required by the WFD and what is useful?

513 Most countries focussed on operational monitoring: according to the Commission of the
514 European Communities (2009) the number of operational monitoring sites is higher than the
515 number of surveillance monitoring sites in 17 out of 25 reported EU member states.
516 Therefore, the WFD approach is clearly orientated towards restoration: the monitoring results
517 should reveal if and what type of restoration is needed and, in the future, if restoration was
518 successful. The shortcoming of the operational monitoring is that it does not reveal long-term
519 trends, which are independent of the local situation. Over-arching trends, such as the impact
520 of emerging stressors (climate change, land use change, new pollutants), changes in species
521 distributions and ecological processes would be better revealed by a network of reference
522 sites.

523 There are, however, exceptions to this at the national level. In France, the total number of
524 river monitoring sites in 2000 was 1,560 and has been relatively constant since 1987. Most
525 sites were located in the downstream part of rivers and water agencies focused mainly on
526 chemical status. In 2007, the total number of monitored sites was 2,860: 1,276 for
527 surveillance monitoring, 790 for operational monitoring and 794 for both monitoring

528 programmes (OIWater 2009). This total number reached 4,337 in 2008, mainly in relation to
529 an increase in operational monitoring effort. Within the surveillance monitoring network, the
530 site density per kilometre of river is now comparable between downstream and upstream
531 reaches, and the ecological status is assessed using 895 variables: water chemistry, biological
532 elements and hydro-morphological characteristics. To assess any long-term changes in
533 reference conditions in relation to large scale environmental change (e.g. global warming),
534 about 400 sites characterized by a low level of human pressure and good biological quality
535 have been selected to create a permanent reference condition monitoring network.

536 The EEA EIONET or WISE stations may provide such a network Europe-wide, since these
537 are now being based on the WFD surveillance monitoring stations of the Member States. This
538 ‘central monitoring network’ should address both high status sites to analyse long-term
539 trends, irrespective of regional peculiarities, and a well-designed subset of degraded and
540 restored sites to monitor the effects of both degradation and restoration over time. Ideally it
541 should also be linked to the network of Long-Term Ecosystem Research sites (LTER;
542 <http://www.lter-europe.net>).

543

544 The WFD and other European legislation

545 The WFD aims to link with some pre-existing EU directives and replace others. There are
546 several other directives which also aim to determine whether or not an area is affected by
547 human activities. For example the Marine Strategy Framework Directive (MSFD), the Urban
548 Wastewater Treatment Directive (UWWTD), the Nitrates Directive (NiD) and the Habitats
549 and Species Directives (HSD) all require member states to check if an area is adversely
550 affected by pressures, with the ultimate goals to remedy any problems. The objectives of these
551 directives are not consistent in terms of terminology – for example, the WFD, the HSD and
552 the MSFD expect areas to fulfil ‘good ecological status’, ‘favourable conservation status’ and
553 ‘good environmental status’, respectively (Mee et al., 2008). For the directives to be

554 harmonised, there is a presumption that these status classes are equivalent, especially as the
555 designated areas can overlap, including also the sensitive areas and the vulnerable zones of
556 the UWWTD and NiD (see Common Implementation Strategy for the Water Framework
557 Directive, 2009). However, some areas are now being designated as being HMWB and yet
558 being in favourable conservation status (e.g. the upper part of the Humber Estuary, eastern
559 England). Accompanying this is a debate regarding the geographical limits of the directives,
560 in particular where the WFD stops at sea and where the MSFD starts. As yet, these anomalies
561 need guidance before scientists are asked to determine whether ‘good environmental status’
562 and ‘good ecological status’ (and favourable conservation status) are synonymous.

563 Table 3 shows how different directives, conventions and thematic strategies are related.
564 Hence, the new MSFD (Commission of the European Communities, 2008; Mee et al., 2008),
565 as well as the WFD, constitutes an umbrella over the remainder of actions and directives, at
566 the European and eco-regions level. Most of the existing directives are related to the lowest
567 level of the ecological organisation (species, habitats). However, WFD and MSFD are more
568 complete in terms of ecological structure, environmental quality and more integrative in terms
569 of ecological assessment (Borja et al., 2008a).

570 Both directives integrate biological factors with physiographic, geographic and climatic
571 factors and physico-chemical conditions resulting from human activities. While the WFD
572 focuses on ecological status, measured by the structure of each of the BQEs and supporting
573 elements, the MSFD takes into account structure, function and processes in marine
574 ecosystems. Hence, the MSFD is potentially a more integrated approach to the management
575 of European seas, resources and ecosystems, promoting conservation and sustainable use of
576 marine systems (Borja et al., 2008a).

577

578 **River Basin Management Plans**

579 Despite the potential value of the WFD monitoring data for many other purposes ranging
580 from biodiversity analyses in support of the Habitats Directive to basic ecological research,
581 the principal aims are to identify restoration needs and to guide restoration measures. The
582 instruments to implement these measures are River Basin Management Plans (RBMPs). In the
583 framework of River Basin Management Plans the costs for monitoring will be negligible
584 relative to the costs of restoration measures. Operational monitoring should, therefore, be
585 regarded as an integral part of a RBMP. The linkage between monitoring data and the
586 designation of measures has not yet been fully explored but initial studies allow us to outline
587 the following recommendations.

588

589 Ecological assessment and River Basin Management Plans: The challenge of bridging
590 ecology and management

591 One of the most innovative aspects of the WFD is to base management decisions on the
592 ecological effects of pollution (or other stressors) rather than the pollution itself,
593 acknowledging that sensitivity and resilience to pollution varies substantially across
594 ecosystems. The associated challenge is to translate data on biotic communities into
595 information for restoration measures. This has now, in principle, already been done for the
596 first RBMPs. In reality, however, the links between ecological status and restoration measures
597 are obscure in many plans, due to the delayed development of assessment systems and
598 initiation of monitoring programmes. Moreover, there has been no central guidance available
599 on how to transfer ecological assessment results into management decisions.

600 In many countries there was an intense consultation process in the drafting phase of the River
601 Basin Management Plans. Positive examples of a transparent consultation process are
602 Northrhine-Westphalia (a federal state in Germany, see
603 <http://www.flussgebiete.nrw.de/Mitwirkung/index.jsp>) where round-table discussions in the
604 individual river basin districts were organised involving a wide variety of stakeholders and

605 the Basque country in Spain were similar exercises have been performed over a three-year
606 period ([http://www.uragentzia.euskadi.net/u81-
607 0003/es/contenidos/informe_estudio/planificacion_dma/es_doc/indice.html](http://www.uragentzia.euskadi.net/u81-0003/es/contenidos/informe_estudio/planificacion_dma/es_doc/indice.html)). In Finland the
608 stakeholder's involvement has been organised by regional environmental centres that have
609 established cooperation councils. A critical study of the participatory process was made by
610 NGOs (Laurinolli 2007). In general they found that stakeholders were well represented in the
611 process. However, during the first consultations the NGOs, the general public as well as the
612 media had not properly engaged in the process, possibly because they had not properly
613 understood the importance of the planning process for water management in the future. The
614 Swedish RBMPs demonstrate extensive and transparent involvement of local, regional,
615 national and international stakeholders, including NGOs. Here, universities have been
616 involved in the training of local and regional water managers, the meetings held and the
617 comments given are publicly available and summarised, accounts are given on how the
618 comments have been taken into account when revising the RBMPs and conclusions on the
619 lessons learnt are presented. Most river basin districts have established permanent
620 organisational structures called water councils for the large majority of separate river basins
621 within the RBDs. These water councils are comprised of representatives of a series of
622 organisations (environmental NGOs, local farmers, local enterprises, citizens) and have given
623 comments on the various parts of the local RBMPs.

624 Linking ecological data and restoration measures is rather straightforward when dose-
625 response relationships are simple and well-known, e.g. for organic pollution of rivers. It is
626 difficult, however, in case of stressors, whose effects are less well known, and especially in
627 the case of complex multiple stressor situations.

628 As water quality has been improved in many parts of Europe (Lyche-Solheim et al., 2010),
629 river rehabilitation nowadays focuses more on restoring habitats, and it is widely expected
630 that benthic invertebrates, macrophytes and fish will respond positively. However, most

631 restoration measures have targeted relatively short river stretches and consequently biological
632 recovery has not been achieved. This lack of restoration success is probably due to the need
633 for more widespread improvement of habitat quality on the catchment scale and also on
634 recolonization potential (Jähnig et al., 2009, Palmer et al., in press). In the case of transitional
635 and coastal waters, the ecological assessment exemplifies the problem of transboundary
636 pollution pressures and the wider effect of stressors. Thus, transitional waters receive
637 pollution from the whole catchment and may thus act as both a source to the sea and a sink
638 from the catchment, especially as they may be low energy, depositing areas and therefore
639 effects are exacerbated. In contrast, the quality of coastal waters is not only affected by river
640 catchments but also by stressors in other marine areas. Hence, in order to design an
641 appropriate programme of measures, water managers are charged with untangling these
642 various pressures on a given area, and, therefore, will need significant scientific support.

643 For the first cycle of River Basin Management Plans, biological assessment results were often
644 not available prior to the planning process. Therefore, ecological assessment and planning
645 were partly disentangled. An overview of all River Basin Management Plans can be found on
646 <http://cdr.eionet.europa.eu/> and on
647 http://ec.europa.eu/environment/water/participation/map_mc/map.htm, covering the entire
648 range from very general formulations of environmental targets to precise planning of
649 restoration measures based on the results of the monitoring programmes. Positive examples
650 where management decisions have been based on large-scale considerations of the ecological
651 status and the requirements of the Biological Quality Elements are the German federal states
652 Schleswig Holstein (Brunke and Lietz in press) and Thuringia (Arle and Wagner, in press)
653 and the Dutch method to derive the Good Ecological Potential in Heavily Modified Water
654 Bodies (e.g. Lammens et al. 2008). General suggestions which measures affect which
655 organism group are amongst others found in Kail and Wolters (in press). A promising

656 example from marine ecosystems can be found on http://www.uragentzia.euskadi.net/u81-0003/es/contenidos/informe_estudio/diagnostico_agua/es_doc/indice.html.

658 To make the maximum use of the biological data presently being recorded it is essential to
659 make dose-response relationships between stressors and the biotic response available to all
660 river basin managers well before the design of the second cycle of RBMPs and provide
661 scientific guidance on the most simple and effective restoration measures appropriate to
662 enhance ecological quality.

663 There is a danger that some of the measures listed in the RBMPs cannot be implemented in
664 practice due to a lack of political instruments to enforce their implementation, e.g. to seriously
665 reduce diffuse pollution sources. Only the coming years will show which measures are
666 actually implemented, and which political instruments need to be developed that will
667 guarantee their enforcement.

668

669 Is good status enough?

670 The aim of the WFD is to reach good status for all water bodies which are not designated as
671 'heavily modified'. Good status is defined as a 'slight deviation from reference conditions'
672 and moderate status is 'moderate deviation from reference conditions'. Hence scientists are
673 charged with determining reference conditions in quantitative terms, as well as the meaning of
674 'slight' and 'moderate'. The first intercalibration revealed that for some BQEs and water
675 categories there is a common understanding amongst scientific experts of the meaning of
676 'good status' – despite large differences in assessment systems.

677 The question arises what will be gained if 'good status' of the majority of European water
678 bodies will be achieved? Water bodies in good status will have an acceptable water quality
679 and will be characterised by the absence of other severe stresses. But, are they sufficient to
680 maintain European aquatic biodiversity and associated functions and services?

681 In terms of protecting aquatic biodiversity high status sites may play a key role: Species
682 richness and the number of sensitive species differ greatly between ‘good’ and ‘high’ status
683 sites. For example, Aroviita et al. (2009) noted clear differences between high and good
684 quality classes, with fewer occurrences and lower abundances of threatened species at sites
685 classified as good compared to high ecological quality. Individual high status sites are not
686 necessarily characterised by a high alpha-diversity (e.g. in case of ultra-oligotrophic lakes and
687 marine water bodies), but there are several species and possibly genotypes restricted to sites
688 of high ecological quality. High status sites, therefore, are required to maintain a high level of
689 beta- and gamma diversity. The resulting need for the protection of high status sites is
690 somewhat implied by the WFD which prohibits the deterioration of ecological status.

691 A possible solution would be a network of ‘high status sites’ as key areas for protecting
692 aquatic biodiversity. These could also serve to underpin how natural (climate) variability
693 affects the uncertainty in our assessment of type I and II errors of putative perturbed sites.

694

695 How does ecological status respond to restoration?

696 WFD monitoring for the first River Basin Management Plan was focussed on assessing the
697 present status of a water body. The ultimate aim of monitoring, however, is to detect change,
698 i.e. the deterioration of ecological status or the improvement following restoration /
699 rehabilitation. Assessment systems should therefore give general guidance on the measures
700 required.

701 The challenge is to predict how the biota will respond to restoration and what management
702 actions are best suited. These questions are easier to answer for lakes and marine ecosystems,
703 which are predominantly affected by eutrophication and where the main restoration measure
704 is the reduction of nutrient load. It is more difficult for rivers, which are also affected by
705 hydromorphological degradation on different spatial scales and transitional waters where
706 increased turbidity and a naturally poor light regime complicates the response. The concepts

707 of how organism groups respond to restoration measures are clear (rivers: Hering et al. 2006;
708 lakes: Jeppesen et al. 2005; estuaries and marine areas: Elliott et al. 2007). However, there is a
709 lack of empirical data on relevant geographical and long-term scales required for assessing
710 restoration / rehabilitation success. It is unlikely that operational monitoring can be used to
711 obtain this type of knowledge as sampling frequency and locations are often too coarse;
712 usually there is a single sampling site per water body, which may cover several kilometres of
713 river length.

714 One possible solution would be dedicated monitoring of a subset of water bodies subject to
715 restoration measures with more sampling sites and higher sampling frequency both before and
716 after restoration. Ideally, restoration studies, and indeed all studies of disturbance and
717 recovery, should be based on deviation from an undisturbed condition. A robust statistical
718 design would include three types of sites: (i) restored sites, (ii) target or control (reference)
719 sites, and (iii) sites similarly impaired as those restored but not restored (e.g. Downes et al.,
720 2002). Experiences with the effects of restoration should be collected centrally (ideally
721 Europe wide) and be made available for all users.

722

723 Ecological and political timescales

724 The aims of the WFD are ambitious and clearly defined: By 2015, all water bodies (with the
725 exception of heavily modified water bodies) need to reach good status, with a possible
726 extension for another 12 years. There is, however, overwhelming evidence that across much
727 of Europe even this extended time frame may not be sufficient to reach ‘good ecological
728 status’. Recovery of biotic communities requires the implementation of measures and the
729 response of the ecosystem – both steps need many years, sometimes decades. Jones and
730 Schmitz (2009) give a broad overview of time scales required for recovery. The authors
731 reviewed 240 recovery studies across terrestrial and aquatic ecosystems and found mean
732 recovery times of 10 to 20 years for freshwater, brackish and marine systems. In all systems,

733 macrophyte recovery was slowest, except for rivers where functional recovery required most
734 time. But the authors also stressed that pre-perturbation data were available for only 20% of
735 the reviewed studies, a factor that rendered the assessment of recovery in 80% of the studies
736 rather subjective.

737 Restoration measures in rivers mainly depend on the availability of floodplain area. It is a
738 long process to acquire space for the river floodplain. State-of-the-art 'passive' restoration
739 requires the development of near-natural vegetation in the floodplain, which may take several
740 decades (Kail and Hering, 2005). Reducing eutrophication in all water categories may require
741 changes in land use on large scales. As a consequence, water and habitat quality required for
742 good status can not be achieved everywhere within one or two decades.

743 According to Jeppesen et al. (2005) reduced external phosphorus loading in lakes resulted in a
744 new equilibrium for total phosphorus within 10 to 15 years, restoration of many biological
745 variables generally took much longer. For four well-studied coastal ecosystems, Duarte et al.
746 (2009) did not observe a return of simple biological variables (such as chlorophyll
747 concentration) following the reduction of nutrient loads over a time span of two decades. In
748 some marine ecosystems nutrient residence times are on the order of decades, like in the
749 Baltic Sea and, therefore, significant effects are unlikely to be achieved for the whole marine
750 area by 2015. However coastal bays, lagoons and archipelago areas that have lower residence
751 times and are generally impacted by land-based nutrient inputs; here, effects of River Basin
752 Management Plans are potentially visible within the WFD implementation time scale of 5 to
753 15 years (Kauppila et al., 2005). There are several examples, both in coastal and transitional
754 waters, in which recovery can take between 2 and 15 years after a pressure is removed (Borja
755 et al. 2006, 2009b, 2009d; Uriarte and Borja, 2009). Perhaps the best example of restoration
756 in transitional waters is the recovery of the fish community in the Thames estuary passing
757 through London. It took several decades to acquire a full species complement after starting
758 from a state without any fish in the 1960s (McLusky and Elliott, 2004).

759 Sensitive species, which are required for a ‘good ecological status’, have been brought to
760 extinction in entire catchments, particularly in densely populated areas throughout Europe.
761 Restoring water quality and habitats does not automatically mean that sensitive species will
762 reappear. It depends on source populations, colonization paths – and sufficient time
763 acknowledging that we have been degrading aquatic systems in Europe since the start of the
764 industrial revolution in the early 1800s.

765 In conclusion, we cannot expect European aquatic ecosystems to fully recover within 15 or
766 even 30 years from over a century of degradation. Where restoration measures and land use
767 changes can be implemented rapidly there will in many cases be improvements of ecological
768 status within this time span, although not necessarily all the way to good status. The overall
769 aim to reach good status for most European water bodies is ambitious but not realistic in the
770 given timeframe.

771

772 How do we deal with emerging stressors?

773 The WFD and corresponding assessment schemes mainly focus (and were designed to focus)
774 on ‘traditional stressors’, such as eutrophication, organic pollution, acidification, toxic
775 stressors and to a lesser degree hydromorphological pressure. Other stressors have more
776 recently come into focus, such as such as climate change, siltation, new toxic substances and
777 alien species. Diagnostic metrics are currently only available for common types of
778 degradation. Therefore, there is a need to focus on whole ecosystem and community structure
779 and functioning. Pollution response science assumes that changes to individual organisms due
780 to pollution will be transmitted through the ecosystem and manifested at the community level.
781 However, we know that systems have an inherent ability to absorb stress (Elliott and
782 Quintino, 2007) and so effects of stressors on individuals may not necessarily be reflected in
783 the metrics currently being used for the WFD. The science now needs to be developed to look
784 at response trajectories and the resilience of ecosystems (Elliott et al., 2007).

785 One possible solution to include climate change effects is to assess the impact of climate
786 change on existing WFD metrics and then adjust the existing assessment systems accordingly.
787 Another way is to add ‘climate specific components’ to assessment systems, e.g. metrics
788 particularly reflecting the temperature sensitivity of species. More generally, assessment
789 schemes should allow for a certain degree of flexibility, to address changes which will be
790 relevant in the future. The overall design of WFD compliant assessment is well suited to
791 detect the effects of emerging stressors, as changes in biotic communities irrespective of their
792 causes are monitored.

793

794 **Conclusions**

795 The EU Water Framework Directive is a very ambitious piece of environmental legislation
796 which places aquatic ecology in the centre of water management. The performance of
797 ecological assessment under the WFD varies between regional, national and European scales,
798 across seasons and ecosystems types (lakes, rivers and coastal/transitional waters).

799 The monitoring data can directly support RBMPs on a regional scale. These data will provide
800 guidance for restoration measures and evaluate their success. At the national scale monitoring
801 data already provide an overview of the ecological status of aquatic ecosystems, at least in
802 some countries, while at the European level the options provided by the data still need to be
803 fully exploited.

804 The value of monitoring *per se* is in analysing trends over time. Presently, the spatial
805 resolution of WFD monitoring data is high, though somewhat different between European
806 countries. As the first phase of monitoring has just ended, there is yet no assessment of trends;
807 the monitoring data will be important both for judging short-term effects of individual
808 restoration measures and for analysing long term trends. The particular value of the WFD
809 monitoring data lies in the combination of a high spatial and a moderate temporal resolution.

810 Many European countries had a long tradition in biological monitoring of rivers;
811 consequently, river assessment methods are now relatively well developed and intercalibrated
812 However, rivers are very diverse and complex systems and assessment systems are often less
813 predictable compared to those developed for lakes and coastal/transitional waters. At the same
814 time rivers may provide deeper insight into causes of degradation, which are more complex
815 due to the greater role of hydromorphological stress.

816 Much has been achieved with the implementation of the WFD, but many challenges remain to
817 make optimal use of the unique monitoring data being acquired in order to achieve a
818 maximum improvement in the ecological quality of European surface waters.

819

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826

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Table 1: Overview of successes and problems encountered in the implementation process of the Water Framework Directive related to ecological assessment of water bodies, of causes, consequences, already applied solutions and recommendations. Abbreviations: HMWB: Heavily Modified Water Bodies; BQE: Biological Quality Elements; WFD: Water Framework Directive; RBMP: River Basin Management Plans; EEA: European Environment Agency; CIS: Common Implementation Strategy; WISE: Water Information System for Europe; SEIS: Shared Environmental Information System; MSFD: Marine Strategy Framework Directive.

Issue	Successes	Problems encountered	Already applied or initiated solutions	Future recommendations
Assessment of ecological status				
National assessment systems	<ul style="list-style-type: none"> - Assessment systems reflecting different stressors for most BQEs and water types now available, adapted to the needs of member states - Transparent development process involving scientists, water managers and stakeholders 	<ul style="list-style-type: none"> - Effort and long time period required for development - Degree of complexity of some assessment systems - Different and partly incomparable systems by member states - Lack of data for developing indicators of some widespread pressures (e.g. hydromorphology) - Lack of reference sites in Central and Mediterranean Europe 	<ul style="list-style-type: none"> - Intercalibration of national assessment systems 	<ul style="list-style-type: none"> - Further improvement and harmonisation of assessment systems based on experiences of first cycle of intercalibration and monitoring
Uncertainty in assessment	<ul style="list-style-type: none"> - Principle of giving status classifications as probabilities best developed to reflect sources of sampling and analysis variability - Simple underlying statistical principles developed - Stimulated pan-European training in identification 	<ul style="list-style-type: none"> - Only few assessment systems have included uncertainty estimation - Communication of the concept of uncertainty to water managers - Due to data constraints, less developed for assessing uncertainty due to temporal variability 	<ul style="list-style-type: none"> - For selected assessment systems: quantification of sources of variability, e.g. sampling and identification error 	<ul style="list-style-type: none"> - Standardised approach for uncertainty estimation for all assessment systems - Improved training in sampling and identification and further standardisation of biological recording to minimise sources of error - Restrict sampling to one season if possible, to reduce

Issue	Successes	Problems encountered	Already applied or initiated solutions	Future recommendations
				natural variability
Typology	<ul style="list-style-type: none"> - Typologies or prediction systems have been developed by all member states - Developed typologies enable higher precision of ecological assessment 	<ul style="list-style-type: none"> - Need to find the balance between being too specific (too many types) and being too general (types do not sufficiently reflect natural variability) 	<ul style="list-style-type: none"> - Broadly defined types for rough ecological assessment (e.g. 'common types' used for intercalibration) - Improved typology for some of the 'Geographical Intercalibration Groups' - Improved prediction models to overcome general problems of typologies 	<ul style="list-style-type: none"> - Improve site-specific assessment models (prediction systems), once sufficient data are available, esp. for sites close to type boundaries -
Intercalibration	<ul style="list-style-type: none"> - Methods for intercalibration were developed - Intercalibration was successfully completed for several BQEs and water types - Many assessment schemes now intercalibrated have comparable class boundaries 	<ul style="list-style-type: none"> - Differences in national assessment systems, due to biomonitoring traditions - Original WFD approach for intercalibration (small number of sites representing class boundaries) was not feasible - Effort and time required for intercalibration has been more than expected - Dissemination of intercalibration approaches and results 	<ul style="list-style-type: none"> - Intercalibration methods based on 'common metrics' - New intercalibration guidance to ensure more consistent ways to compare, evaluate and adjust the assessment systems (intercalibration approaches) 	<ul style="list-style-type: none"> - Increased effort to disseminate the need for intercalibration - Clearer guidelines on robustness/uncertainty of metrics to be included in intercalibration
Combination of assessment results ('one-out all-out principle')	<ul style="list-style-type: none"> - Reduced type II errors (water body is falsely classified as good or high), in line with the precautionary principle - Sufficient protection of most sensitive BQE for different pressures 	<ul style="list-style-type: none"> - Increased type I error (water body is falsely classified as moderate or worse), risk of applying measures where they are not really needed 		<ul style="list-style-type: none"> - Estimate the degree of type I and type II errors for each assessment system - Improve metrics and monitoring programmes to minimise variability. - Skip metrics and BQEs with too high variability - Consider other combination rules in future amendments of the WFD
Assessment of Heavily Modified Water Bodies (HMWB)	<ul style="list-style-type: none"> - Application of appropriate quality targets which can be 	<ul style="list-style-type: none"> - HMWBs have not been regarded in many assessment 		<ul style="list-style-type: none"> - Assessment of HMWB should be based on the same

Issue	Successes	Problems encountered	Already applied or initiated solutions	Future recommendations
	<p>achieved following restoration</p> <ul style="list-style-type: none"> - Two well-suited approaches for assessing HMWB available (CIS approach and Prague approach) 	<p>systems</p> <ul style="list-style-type: none"> - No agreement yet on which approach should be primarily used 		<p>metrics as for natural water bodies</p>
Monitoring systems				
Monitoring data	<ul style="list-style-type: none"> - Huge amounts of data on aquatic communities is being collected (useful for many purposes) - Sampling and assessment systems are standardised within countries and sometimes between countries - Following intercalibration ecological status classes are comparable between member states 	<ul style="list-style-type: none"> - Comparability of original data between countries is limited due to different sampling methods, taxonomic resolution and density of sampling sites - Original data are not centrally stored - Monitoring focused on biological structure, not on function or ecosystem services 	<ul style="list-style-type: none"> - Establishment of a Europe-wide central monitoring network composed of selected surveillance monitoring sites (e.g. linked to EEA EIONET or WISE) 	<ul style="list-style-type: none"> - Links of national databases to central systems such as WISE to increase accessibility of data
Surveillance monitoring and operational monitoring	<ul style="list-style-type: none"> - Surveillance monitoring and operational monitoring are being used effectively to fulfil WFD purposes - Programmes for long-term monitoring (surveillance monitoring) and for planning restoration (operational monitoring) are available in most countries 	<ul style="list-style-type: none"> - Very few surveillance monitoring sites in many member states, which limits European State-of-Environment overviews, as well as the detection of emerging stressors and long-term trends - No Europe-wide data base on surveillance monitoring 		<ul style="list-style-type: none"> - Establishment of a Europe-wide central monitoring network composed of selected surveillance monitoring sites (e.g. linked to EEA EIONET or WISE)
Monitoring requirements of WFD and other European legislation	<ul style="list-style-type: none"> - WFD filled important gaps in surface water monitoring and management 	<ul style="list-style-type: none"> - Definitions of objectives and requirements of WFD and other directives are not always consistent - Potential synergies of monitoring systems resulting from different directives not fully exploited 	<ul style="list-style-type: none"> - Guidance on Eutrophication (2009) recommending how to read across different directives and conventions recently published presenting a harmonisation of the different objectives 	<ul style="list-style-type: none"> - Clear geographical definition where the WFD ends and where the MSFD starts - Exploring and using synergies of monitoring for different directives for other pressures than eutrophication
River Basin Management Plans (RBMPs)				

Issue	Successes	Problems encountered	Already applied or initiated solutions	Future recommendations
Bridging ecology and management in RBMPs	<ul style="list-style-type: none"> - Management decisions are based on ecological effects of stressors on structure rather than on the stressor itself - Plans are drafted for entire catchments, irrespective of administrative borders 	<ul style="list-style-type: none"> - Deriving management decisions from ecological data are difficult in case of complex multi-stressor situations - Results of ecological assessment were often not available in time for the first version of RMPBs - How stressors and biological structure affect ecosystem services is not well understood - Some metrics are not related to specific pressures (general degradation metrics) and are difficult to apply to plan restoration measures 		<ul style="list-style-type: none"> - Make dose-response relationships between stressors and the biotic response available well before the design of the second cycle of River Basin Management Plans (concerning the effects of degradation and of restoration) - Consider further development of functional indicators that reflect ecosystem services - Develop political instruments that will guarantee enforcement of RBMPs
'Good status' as general quality target	<ul style="list-style-type: none"> - Generally applicable target for all 'natural water bodies' in all member states 	<ul style="list-style-type: none"> - High status sites may play a key role for maintaining aquatic biodiversity 	<ul style="list-style-type: none"> - WFD prohibits the deterioration of ecological status, including the degradation of high status sites to good status sites 	<ul style="list-style-type: none"> - Establishing a network of 'high status sites' as key areas for protecting aquatic biodiversity, and to ensure ecosystem services for all types of water bodies
Ecological status response to restoration	<ul style="list-style-type: none"> - Stimulated synthesis of experiences on biotic responses to traditional restoration measures (oligotrophication, pollution control) 	<ul style="list-style-type: none"> - Response of biota to restoration measures in complex multi-stressor situations poorly predictable - Lack of data and experience on spatial and temporal scales required for restoration 	<ul style="list-style-type: none"> - Judging restoration success through operational monitoring 	<ul style="list-style-type: none"> - Dedicated monitoring of a subset of restoration sites with a higher spatial and temporal resolution both before and after restoration measures are implemented - Long-term monitoring of restoration measures to analyse spatial and temporal requirements of ecosystems to recover
Ecological and political timescales	<ul style="list-style-type: none"> - Clear goal to reach good ecological status for all water 	<ul style="list-style-type: none"> - Implementation and success of restoration measures 	<ul style="list-style-type: none"> - Consider direction towards goals when assessing 	<ul style="list-style-type: none"> - Disseminate results and expectations concerning the

Issue	Successes	Problems encountered	Already applied or initiated solutions	Future recommendations
	<p>bodies by 2015 (extension to 2027 possible)</p> <ul style="list-style-type: none"> - RBMPs are developed accordingly 	<p>requires long time periods</p> <ul style="list-style-type: none"> - Insufficient knowledge on how fast biota will respond to restoration - Long time needed to implement measures that require land use change - Time lags due to internal nutrient loading and low recolonisation potential expected 	<p>restoration success, not simply whether target is attained or not</p>	<p>time spans required for recovery to avoid frustration of water managers</p> <ul style="list-style-type: none"> - Prioritisation of measures concerning the recolonisation potential
Emerging stressors	<ul style="list-style-type: none"> - WFD principle of bioassessment (comparing observed and expected community) reflects potentially the impact of all stressors 	<ul style="list-style-type: none"> - Assessment metrics often focussed on 'traditional stressors' (organic pollution, eutrophication) - No metrics for the effects of emerging stressors (climate change, siltation, alien species) included 	<ul style="list-style-type: none"> - Research examining impacts of climate change on reference conditions - WFD-CIS Guidance on how to handle climate change and alien species are drafted and will soon become available 	<ul style="list-style-type: none"> - Exploring response trajectories and resilience of metrics - Keeping assessment systems flexible and adding metrics specific for emerging stressors (such as temperature preferences for climate change effects)

Table 2: Rivers in mountainous regions and lowlands of Germany: Percentage of sites classified as moderate, poor or bad by single organism groups and by combinations of organism groups.

	Mountains	Lowlands
Diatoms (n = 865)	64%	68%
Invertebrates (n = 1,552)	66%	80%
Fish (n = 187)	63%	78%
Invertebrates and fish (n = 178)	86%	92%
Diatoms and invertebrates (n = 765)	80%	91%

Table 3. Relationships among the different European environmental directives, conventions and legislation addressing surface water bodies, regarding their application level and objectives, from the lowest (bottom) to the highest spatial and complexity level (up) (modified from Borja, in press).

Application level	Objectives/ecological basis	Legislation
Global	The Ecosystem Approach, sustainability	UNCED, UNCLOS, IMO, CBD
Europe/ ecoregions	Ecosystem-based management, ecological integrity	Water Framework Directive, Marine Strategy Framework Directive
Uses/Sectoral policy	Thematic strategies	Urban wastewater treatment directive, Nitrates Directive, Common Agricultural Policy, Renewable Energy Directive, Drinking Water Directive, Bathing Water Directive, Fisheries Common Policy, Maritime Policy
Regional seas	Quality and uses, from sectoral (pollution) to ecosystem-based approach	International Conventions (MARPOL, HELCOM, OSPAR, Barcelona)
River basins	Chemical and ecological quality status	Water Framework Directive
Ecosystems	Ecological processes, ecological status	Water Framework Directive, Marine Strategy Framework Directive, Recommendation on Integrated Coastal Zone Management
Habitats	Habitat networks, connectivity, habitat protection	Habitats Directive, Water Framework Directive, Recommendation on Integrated Coastal Zone Management

Species	Habitat quality, biodiversity protection	Habitats Directive, Birds Directive
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