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2 **Optimizing nitrogen fertilizer use for more grain and less pollution**

3

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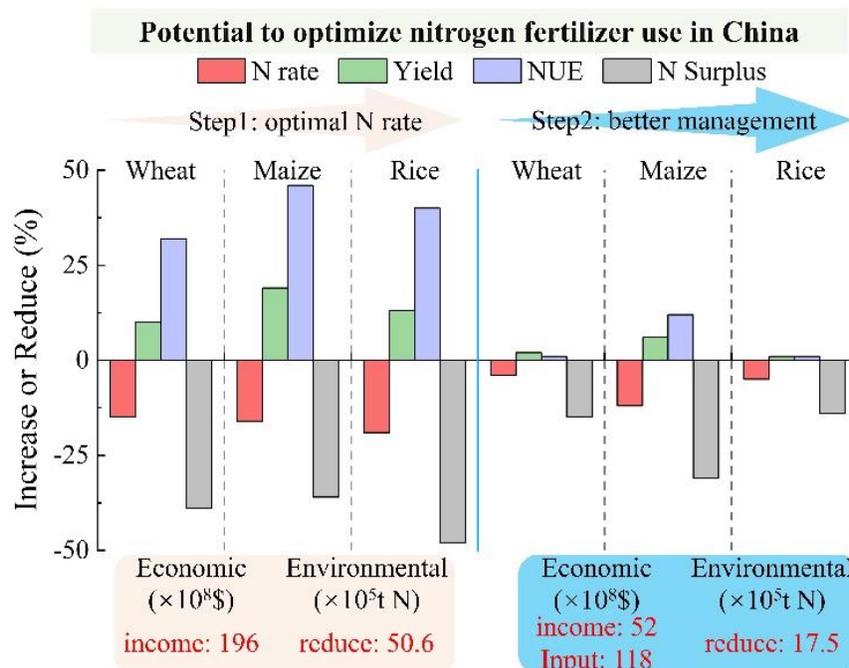
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19 **Abstract:** Optimal nitrogen (N) management is critical for efficient crop production and
 20 agricultural pollution control. Approximate 210–220 kg ha⁻¹ N fertilizer was applied in
 21 millions of small plots through broadcasting way in China, resulting in over and loss of N
 22 fertilizers. However, it is difficult to implement advanced management practices on
 23 smallholder farms due to a lack of knowledge. Here, using 35,502 on-farm fertilization
 24 experiments, we demonstrated that smallholders in China could actually produce more
 25 grain with less N fertilizer use only through optimizing N application rate. The yields of
 26 wheat, maize and rice were shown to increase between 10% and 19% while N application
 27 rates were reduced by 15–19%. These changes resulted in an increase in N use efficiency
 28 (NUE) by 32–46% and a reduction in N surplus by 40% without actually changing farmers’
 29 operational practices. By reducing N application rates in line with official
 30 recommendations would not only save fertilizer cost while increasing crop yield, but also
 31 at the same time reduce environmental N pollution in China. Beyond of optimizing N
 32 application rate, improved management practices were required to produce more grain with
 33 less pollution, which would need about 11.8 billion US dollars for the implementation and
 34 reducing N loss reduction by 1.75 million tons to the environment.

35 **Keywords:** Crop yield, Nitrogen use efficiency, Nitrogen surplus, Environmental
 36 sustainability, Nitrogen management

37 **Graphical abstract:**



38

39 **1. Introduction**

40 Producing more food with less pollution is a grand challenge, which is crucial for
41 global sustainable development goals (Springmann et al., 2018; Gerten et al., 2021; van
42 Dijk et al., 2021). With growth and increasing affluence of the global population, the
43 amount of food needed is continually increasing, and a large share of the global population
44 is still suffering from malnutrition, especially in developing economies (Ren et al., 2019).
45 Smallholder farming is the prevalent form of agricultural production in these developing
46 economies, satisfying about 40% of global food demand (Lesiv et al., 2018; Duan et al.,
47 2021). However, overuse of fertilizers often occurs on smallholder farms, leading to not
48 only a lower crop yield, but also damages to the environment and human health (Zhang et
49 al., 2021). Agricultural non-point-source pollution has become a dominant contributor to
50 local environmental pressures in many regions of the world. To address these challenges,
51 many best management practices and concepts such as soil testing and “4R” stewardship
52 (“right fertilizer type”, “right fertilization amount”, “right time” and “right place”) have
53 been developed (Cui et al., 2013; Liu et al., 2016). However, they are rarely implemented
54 on smallholder farms due to a lack of knowledge and technological facilities (Ju et al.,
55 2016).

56 China is the most populous country in the world. It feeds 18% of global population
57 with only 9% of global cropland area but is using about 30% of the global synthetic
58 fertilizer production (FAOSTAT, 2021; Zhuang et al., 2022). Overuse of fertilizers has led
59 to substantial damages to environmental quality and human health, including
60 eutrophication, air pollution, soil acidification, biodiversity loss and greenhouse gas
61 emission (Yu et al., 2019; Guo et al., 2020; Chang et al., 2021), with very high remedial
62 costs. Chinese governments have, for instance, invested over 45 billion US dollars to
63 control the eutrophication of Lake Tai during the past decade (Ti et al., 2018). Even used
64 a large amount of fertilizer in many areas, yield gaps between farmers’ and attainable yields
65 are still found in China’s smallholder agriculture (Zhang et al., 2016). The yield gap of
66 wheat, maize and rice across China was found 1.2–4.2 t ha⁻¹, 1.4–10.1 t ha⁻¹ and 1.6–3.1 t
67 ha⁻¹, respectively (Li et al., 2020). Soil testing and other advanced agricultural management
68 practices have been proposed to improve agricultural performance, such as integrated soil–
69 crop system management practices (ISSM, which used advanced crop and nutrient

70 management) (Chen et al., 2011, 2014). However, the small farm size (<0.5 hectare per
71 household) and low agricultural income share (<20%) inhibit the implementation of such
72 advanced management practices which normally require changes in agricultural
73 operational practices (Wu et al., 2018). Therefore, it is crucial to meet the dual challenges
74 of food security and environmental protection by optimizing fertilizer application rate
75 without changing farmers' practices.

76 Previous studies have shown that appropriate reduction of nitrogen (N) fertilizer rate
77 can increase crop yield and reduce greenhouse gas emissions (Wu et al., 2014, 2015; Zhang
78 et al., 2018). However, N quota do not directly reflect the quality of N management in
79 cropland, because higher N inputs may be used effectively by crops in high-yielding areas
80 with low N losses (Li et al., 2020). To improve N management in crop production, the EU
81 Nitrogen Expert Panel (2015) proposed NUE as an easy-to-use indicator based on the N
82 balance approach, but emphasized that NUE need to be interpreted together with the
83 quantity of N removed in harvested product (as a proxy for crop yield) and N surplus
84 (Zhang et al., 2015; Zhang et al., 2019). Nitrogen surplus is an indicator that reflects the N
85 input-output balance of a field, farm or for a specific region, and is an effective indicator
86 to evaluate environmental risk of N losses (McLellan et al., 2018). Therefore, it is of great
87 significance to evaluate the rationality of optimizing N application rate and to improve
88 targeted fertilization practices for the efficient crop production and agricultural pollution
89 control.

90 Optimizing the N application rate does not completely satisfy the optimum nitrogen
91 management ($N \text{ output} \geq 80 \text{ kg ha}^{-1}$, $50\% \leq \text{NUE} \leq 90\%$, $N \text{ surplus} \leq 80 \text{ kg ha}^{-1}$) (EU
92 Nitrogen Expert Panel, 2015) in all regions. Traditional field management practices such
93 as "sparse planting with high fertilizer technology", one-time surface broadcasting and
94 insufficient application of manure are still existing for smallholders in many regions of
95 China. For urea fertilizer, surface broadcasting is prone to runoff and leaching loss in
96 humid regions, and NH_3 volatilization loss in high-temperature and arid regions (Gu et al.,
97 2020). Better management strategies are needed. For example, application of slow-release
98 N fertilizer significantly reduced reactive N losses (Xia et al., 2017a; Li et al., 2018a), and
99 manure application enhanced the storage of fertilizer N in soil for subsequent use (Duan et
100 al., 2021). In addition, compared with the traditional planting system, the yield could be

101 significantly increased by 4.7 – 9.5% with the planting density was increased by 25 – 40%
102 (Fu et al., 2020; Guo et al., 2021; Zheng et al., 2021). Therefore, the optimization of
103 farmers’ practices should be further carried out according to the specific problems in each
104 region (Ding et al., 2020; Zhang et al., 2019).

105 In this paper we quantify the relationship between N application rate and crop yield
106 across China, based on data from 35,502 on-farm fertilization experiments conducted over
107 a period from 2005 to 2015. This assessment has a focus on farmers’ practices at national
108 scale and the following key objectives: 1) to quantify the optimal N application rate and
109 related crop yield, N use efficiency (NUE) and N surplus in different regions of China; 2)
110 to estimate the reduction potential of N fertilizer use and how this contributes to crop yield
111 and N loss reduction, without changing farmers’ practices; 3) to estimate the economic
112 input and benefits of further optimizing farm management practices.

113

114 **2. Materials and Methods**

115 *2.1. Experimental location, soil property, and treatment*

116 A total of 35,502 field trials were conducted over the period 2005 to 2015 for main
117 food crops (n = 10,583 for wheat, 15,042 for maize and 9,877 for rice), with sites covered
118 1,865 counties, 31 provinces in China (Fig. 1). Based on climatic condition and cropping
119 system, study area was subdivided into six principal regions (Zhang et al., 2019), i.e.,
120 Northeast region (NE), North China Plain region (NCP), Middle and lower Yangtze River
121 region (MLYR), Southeast region (SE), Southwest region (SW), Northwest region (NW)
122 of China (Supplementary Fig. S1 shows the detailed subregion and crop distribution). The
123 soil fertility of field trials was similar with local field in each region. The soil properties
124 before experiments were 15–30 g kg⁻¹ for SOM, 0.9–1.9 g kg⁻¹ for TN, 82–167 mg kg⁻¹ for
125 AN, 14–27 mg kg⁻¹ for AP, 75–170 mg kg⁻¹ for AK, 5.4–8.0 for pH value. The detailed
126 data could be found in Supplementary Fig. S3. There was no data available in other region
127 and not included in the present study.

128 This experiment was designed by the Ministry of Agriculture and Rural Affairs of
129 China to determine the optimal rate of fertilization. There were 4 treatments with gradient
130 N fertilizer application rates at each site without replication: (1) no N fertilizer treatment
131 (N₀); (2) low N fertilizer treatment (N_L); (3) Medium N fertilizer treatment (N_M); (4) high

132 N fertilizer treatment (N_H). The rate of N_M treatment was determined according to the target
133 yield (1.1 times the average yield of the past 5 years in the local region) by local agricultural
134 extension employees (Staff of the Local Agriculture Bureau and Agricultural Technology
135 Centre that have been trained). The N fertilizer application rates of N_M treatment were 60–
136 300 kg ha⁻¹ for wheat, 61.5–300 kg ha⁻¹ for maize and 60–300 kg ha⁻¹ for rice, respectively,
137 because of the variation of soil and climate in the countrywide. The application rates of N
138 fertilizer for N_L and N_H treatments were 50% and 150% of that for N_M treatment,
139 respectively. Approximately one-third of granular urea was applied at sowing, while the
140 remainder was applied as a topdressing. The application rates of P and K fertilizer were
141 36–180 kg P₂O₅ ha⁻¹ and 30–180 kg K₂O ha⁻¹, respectively, both averagely 90 kg ha⁻¹ in
142 each treatment, and were applied by broadcasting before sowing. None of these
143 experiments had inputs of animal manure or other organic N sources.

144 The area of each plot in field trials was approximately 40 m². In order to scientifically
145 compare farmers' practice and experimental practice design, the managements of all
146 experiments, including variety, planting, harvesting, weed and pest control, was
147 undertaken by local farmers according to their experience. Upon harvest, a 2.5m × 8m
148 section was harvested from each experimental plot to measure yield. Grain yield of wheat,
149 maize and rice was adjusted to a moisture content of 14%, 15.5% and 14%, respectively,
150 and was displayed for all regions in Supplementary Fig. S2.

151

152 *2.2 Soil and plant sampling and analysis*

153 For each treatment plot, soil properties were examined prior to starting the
154 experiments, and values were determined based on soil samples from a combined sample
155 of 10–20 cores from depths of 0–20 cm. Five stover and grain samples were collected and
156 analyzed separately after harvest. Soil samples were dried and sieved for determining soil
157 organic C content by vitriol acid-potassium dichromate oxidation (Walkley and Black,
158 1934); representative subsamples were taken to determine pH (1:1 w/v soil/water); total N
159 was determined by the method described by Black (1965); available N (alkaline
160 hydrolyzable) was measured following the procedures described by Lu (2000); available P
161 was determined by the Olsen P method described by Olsen et al. (1954) and available K
162 by the method of Shi (1976). To determine the N content, the stover and grain samples

163 were digested with $\text{H}_2\text{SO}_4\text{-H}_2\text{O}_2$ separately, and the concentrations of total N in the
164 digesting solution were measured using the micro-Kjeldahl method (Page, 1982). Three
165 subsamples were analyzed for each sample and average values were reported. The climatic
166 data for each experimental site were derived from local weather stations. The data of soil
167 nutrient and climate for each region are shown in Supplementary Fig. S3 and
168 Supplementary Fig. S4, respectively.

169

170 2.3. Estimation of optimal N application rate

171 In this study, the optimal N application rate was calculated to obtain maximum
172 economic benefits. First, a quadratic regression model was used to assess the grain yield
173 response to N application rate for the 35,502 on-farm N fertilizer experiments, showing
174 that yield significantly responded to the N rate ($P < 0.05$) (Wallach and Loisel, 1994). Total
175 economic income was calculated from yield increase (yield increase times grain price) with
176 every 1 kg N ha^{-1} fertilizer application. Then, another quadratic regression model was
177 established, where Y is the net economic return on N application (gross return minus
178 fertilizer cost); X is the N fertilizer application rate. The N application rate corresponded
179 to the highest net economic return was determined as optimal N application rate in the
180 quadratic regression curve (Stehfest and Bouwman, 2006). The N fertilizer price and
181 market prices of cereal were determined according to the reported by the Ministry of
182 Agriculture and Rural Affairs of the People's Republic of China in 2018–2020: the average
183 price of N fertilizer was $\$0.67 \text{ kg}^{-1} \text{ N}$, and the mean price of wheat, maize and rice grain
184 were $\$0.18$, 0.15 and 0.21 kg^{-1} , respectively (Ministry of Agriculture and Rural Affairs of
185 China, 2020a).

186

187 2.4. Nitrogen use efficiency (NUE) and nitrogen surplus (N_{sur})

188 In this study, the NUE concept focused on the efficiency of all N inputs transferring
189 to harvested crop N. N_{sur} was used to evaluate the balance of N input and output. The main
190 external N input included the following sources: chemical fertilizer, atmospheric
191 deposition, biological N fixation. Minor N inputs (e.g., from irrigation and seed) were not
192 accounted for. The N output includes the N harvested in cereal grain without considering
193 straw, because of the governmental ban on straw burning and economic incentives to return

194 straw since 2000 (Han et al., 2018; Zhao et al.,2018). Nitrogen use efficiency and N_{sur} are
195 calculated as

$$196 \quad \text{NUE} = N_{har} / (N_{fer} + N_{dep} + N_{fix}) \times 100\% \quad (1)$$

$$197 \quad N_{sur} = N_{fer} + N_{dep} + N_{fix} - N_{har} \quad (2)$$

198 where N_{har} is the N output by harvested in cereal grain, N_{fer} , N_{dep} and N_{fix} are the N input
199 by chemical fertilizer, atmospheric deposition, biological N fixation, respectively.

200 The N input from atmospheric deposition is obtained from the seasonal average N
201 deposition summarized by Xu et al. (2015) comprising data from 27 rural sites covered by
202 the National Nitrogen Deposition Monitoring Network (NNDMN). There are 2–8
203 monitoring sites in each region of this study according to the data in NNDMN. Regional N
204 deposition rates were determined as the average of measurements at all sites in each region,
205 and N deposition rates on specific crops per growth season were estimated according to the
206 planting and harvest period of the crops. Nitrogen input from biological N fixation was 25
207 kg N ha⁻¹ for rice, and 5 kg N ha⁻¹ for both wheat and maize (Zhang et al., 2019). The
208 average N input for each region is shown in Supplementary Table S1.

209 We calculated the grain N harvest by grain yield and grain N concentration (if
210 experiments did not determine grain N concentration, the value of N content is derived
211 from Ti et al. (2012), at 2.3%, 1.4% and 1.9% of grain N content for wheat, maize and rice,
212 respectively):

$$213 \quad N_{har} = \text{dry matter grain yield} \times \text{grain N concentration} \quad (3)$$

214

215 *2.5. Evaluation system*

216 The EU Nitrogen Expert Panel (2015) proposed an evaluation system for evaluating
217 farmland N management by comprehensively considering N input and output, the NUE,
218 and an N surplus index in a cropping system. Experts believe that the best N management
219 can be achieved with values of N output ≥ 80 kg ha⁻¹, $50\% \leq \text{NUE} \leq 90\%$, N surplus ≤ 80
220 kg ha⁻¹. When $\text{NUE} > 90\%$, there is a risk of soil N mining, and if $\text{NUE} < 50\%$, there is a
221 risk of substantial N losses to the environment. The minimum N output (80 kg ha⁻¹) is set
222 to meet the minimum production level, the maximum N surplus is limited (80 kg ha⁻¹) to
223 avoid substantial N losses. We referred to this evaluation system to evaluate whether the
224 optimal N application rate can meet the best N management.

225

226 2.6. *Economic and environmental benefits*

227 In this study, the economic benefits of optimizing N application rate include two main
228 elements: (1) benefit of cost saving from reduced N fertilizer application; (2) benefit of
229 increasing yield. The benefits of optimizing N application rate were estimated by
230 comparing with farmers' conventional N application rate in China. Data on farmers'
231 conventional fertilizer application rates/practices were derived from published literature
232 (Wu et al., 2016) (Supplementary Table S2). Economic benefits were derived by
233 multiplying N reduction (yield increase) per unit area by the price of fertilizer N (grain)
234 and by the planting area of the crop, in which the planting area of wheat, maize and rice
235 were 2.4 , 4.1 and $3.0 \times 10^6 \text{ ha}^{-1}$, respectively (see Supplementary Table S3 for more details)
236 (National Bureau of Statistics of the People's Republic of China, 2020). The environmental
237 benefits were expressed by the reduction of N surplus.

238 Different optimization strategies were applied to regions which cannot meet all
239 requirements for best N management practices in the EU Nitrogen Expert Panel under
240 optimization of N application (Supplementary Fig. S5) (Fu et al., 2020; Shen et al., 2018).
241 The management strategies included: (1) combined application of organic fertilizer with
242 chemical fertilizer for regions where $\text{NUE} > 90\%$. The target application rate and price of
243 organic fertilizer were obtained from Li et al. (2020). (2) applying slow-release N fertilizer
244 for regions where $\text{NUE} \geq 50\%$ while $\text{N}_{\text{sur}} > 80 \text{ kg ha}^{-1}$. The target application rate and price
245 of slow-release N fertilizer were obtained from Li et al. (2018a, 2018b). The reduced
246 environmental N from this strategy was the difference in N input for urea application and
247 slow-release N fertilizer application. (3) increasing planting density and applying slow-
248 release N fertilizer for regions where $\text{NUE} < 50\%$. The economic input from seed and
249 income from increased grain yield were calculated according to Ministry of Agriculture
250 and Rural Affairs of China (2020a) and Zhang et al. (2015). The reduced environmental N
251 from this strategy was the difference in N input for urea application and slow-release N
252 fertilizer application. (4) implement high-standard farmland construction for regions where
253 $\text{N}_{\text{output}} < 80 \text{ kg ha}^{-1}$, which were supposed with extremely low fertility. The economic input
254 for high-standard farmland construction was $2.39 \times 10^3 \text{ \$ ha}^{-1}$ (Ministry of Agriculture
255 and Rural Affairs of China, 2020b). The price of wheat, maize and rice grain were $\$0.18$,

256 0.15 and 0.21 kg⁻¹, respectively, in the calculation of economic income by increasing yield
257 (Ministry of Agriculture and Rural Affairs of China, 2020a). The economic input for those
258 management strategies was estimated by multiplying the area by the cost input of per unit
259 area in each region. Supplementary Table S4 showed the detailed economic input of
260 specific management strategies and related cost.

261

262 **3. Results and discussion**

263 *3.1. Optimal N rate*

264 Crop yield typically increases with N fertilizer application until a maximum yield
265 level is reached. Beyond this point, a further increase of N application rate will reduce crop
266 yield (Zhang et al., 2018; Zhang et al., 2019). The economic optimum yields of wheat,
267 maize and rice are estimated at 6.3, 9.0 and 8.1 Mg ha⁻¹ with an optimal N application rate
268 of 178, 184 and 170 kg N ha⁻¹, respectively (Fig. 1). Under an optimal N rate, the NUE can
269 be as high as 72%, 60% and 73%, and the N surplus 67, 96 and 65 kg N ha⁻¹ for wheat,
270 maize and rice, respectively (Fig. 2 and Supplementary Fig. S6). With the exception of
271 maize, the N surplus is much smaller than the threshold of N residual in soil derived from
272 the Nitrates Directive (80 kg ha⁻¹) (van Grinsven et al., 2012). Optimizing N application
273 rate alone can achieve a good performance of crop production using farmers' practices.

274 Substantial variations of the optimal N application rate and crop yield are found across
275 China (Cui et al., 2018; Li et al., 2020). Generally, higher optimal N application rates are
276 found in regions with better natural conditions such as high soil fertility and convenient
277 climate, including the North China Plain (NCP) and the Middle-Lower Yangtze Plain
278 (MLYP). Higher application rates of N fertilizer in such area tend to result in a high crop
279 yield in these regions, as well as high NUE and low N surplus. Relatively lower optimal N
280 application rate and related yields are found in other regions e.g. across Western China,
281 primarily due to low soil organic matter and nutrient contents, and less favorable
282 precipitation and temperature (Chen et al., 2011; Liu et al., 2019).

283 Although a higher yield is found for maize compared to wheat and rice, it has the
284 lowest NUE, and the largest N surplus, with N surplus > 80 kg N ha⁻¹ commonly found for
285 maize production in many regions in China (Fig. 2). The lower N content in grain of maize
286 (1.4%) than in wheat (2.3%) and rice (1.9%) attributed to the lower N output of maize crop.

287 Furthermore, it was reported that higher nitrate leaching and ammonia volatilization was
288 found in maize season (summer) than in wheat and rice seasons, which might lead to a high
289 N surplus (Chen et al., 2014). Some hotspot areas of high N application rates, yield, NUE
290 and N surplus are found scattered across many regions, illustrating the existence of
291 substantial variations of agricultural management at local scale. Meanwhile, NUE above
292 90% and N surplus below 0 kg N ha⁻¹ are also found in some regions, suggesting that soil
293 N mining occurs in these regions, despite a prevalence of excess N fertilizer use (Fig. 3).

294

295 *3.2. Mitigation potential*

296 Compared to farmers' conventional N application rate, strategies aiming to optimize
297 N application can not only lead to a reduction in the application rate of N fertilizers, but
298 also improve crop yield and NUE without changing farmers' practices. The yields of wheat,
299 maize and rice can be increased by 10%, 19% and 13% with an optimal N application rate
300 reduced by 15%, 16% and 19%, respectively. Under such an optimal N rate, NUE can
301 increase by 32%, 46% and 40%, and N surplus decline by 39%, 36% and 48%, respectively
302 (Fig. 4 and Supplementary Table S2).

303 By optimizing the N application rate, fertilizer cost savings for wheat, maize and rice
304 production of 0.5, 1.0 and 0.8 billion US dollars, and increase grain income by 2.4, 9.1 and
305 5.8 billion US dollars, respectively could be achieved. From an environmental protection
306 point of view, the overall N surplus of croplands would be reduced by 5.1 Tg N (Fig. 5 and
307 Supplementary Table S3). Such a reduction in the N application rate based on official
308 recommendations would not only achieve fertilizer cost savings and increase crop yield,
309 but also have substantial environmental benefits in China.

310

311 *3.3. Better management*

312 The relationships between N input and N output (harvested N) of the three main crops
313 of China under the optimal N rate are compared with the minimum productivity level (N
314 output = 80 kg ha⁻¹) and ranges for NUE (50%–90%) that suggested by the EU Nitrogen
315 Expert Panel (2015) (Fig. 3). Nitrogen use efficiency can reach 50%–90% in 67% of wheat
316 producing regions, 54% of maize producing regions and 74% of rice producing regions
317 respectively, of which 40%, 30% and 46% can achieve N surplus less than 80 kg ha⁻¹ (Fig.

318 3). However, 54%–70% of the regions producing these three crops have the risks of soil N
319 mining, N pollution and food insecurity with the reasons of insufficient nutrient supply,
320 ammonia volatilization from urea, and improper management. Therefore, further
321 adjustments to the management are also required to avoid the risks since solely achieving
322 an optimal N application rate is only a partial solution to the overall problem.

323 For regions where a risk of soil N depletion is indicated ($\text{NUE} > 90\%$), maintaining
324 soil fertility through manure application is required (Duan et al., 2021; Xia et al., 2017b)
325 with an associated estimated annual cost of 0.9, 0.7 and 0.9 billion US dollars for wheat,
326 maize and rice, respectively (Fig. 5 and Supplementary Table S4). In contrast, in regions
327 where NUE can reach 50%–90% while N surplus exceeds 80 kg ha^{-1} , this indicates that N
328 input exceeds N demand of crops and hence substantial N loss occurs. Here, N inputs and
329 losses need to be reduced by best N management such as deep placement of N fertilizers
330 and slow-release N fertilizer (Li et al., 2018a; Xia et al., 2017a) which incur additional
331 costs of 0.3, 0.4 and 0.3 billion US dollars for wheat, maize and rice, respectively (Fig. 5
332 and Supplementary Table S5).

333 Even when achieving an optimal N rate, there are still many regions where N surplus
334 $> 80 \text{ kg N ha}^{-1}$ and $\text{NUE} < 50\%$, especially for maize (31%) (Fig. 3b). In this study, the
335 straw was assumed to be all returned to the field in this study (straw N output was offset
336 by straw N input), which might lead to high N surplus and low NUE (especially for maize
337 due to high straw biomass). It is still common to remove straw from the field in large area,
338 although the straw burning was banned and economic incentives for returning straw were
339 introduced by the Chinese government since 2000 (Zhang et al., 2019). In these areas crop
340 yield per unit area can only be increased and the application of N fertilizer reduced by
341 reasonably increasing planting density and at the same time applying slow-release N
342 fertilizers (Li et al., 2018a; Wei et al., 2017), at an additional cost of 0.2, 0.7 and 0.1 billion
343 US dollars for wheat, maize and rice, respectively (Fig. 5 and Supplementary Table S4).
344 Regions with an average N output below 80 kg ha^{-1} food security concerns are most
345 prominent, which mostly occurs in the Western China (Fig. 1). Such areas with the
346 problems of inadequate farmland infrastructure (e.g. barren land, sloping land, saline-alkali
347 land and no irrigation facilities) (Norse and Ju, 2015). Based on the results of high standard
348 farmland construction project in China (Ministry of Agriculture and Rural Affairs of China,

349 2020b), we need to additional cost 7.3 billion US dollars (wheat: 2.3, maize: 4.7, rice: 0.3
350 billion US dollars) to improve the farmland infrastructure in the areas where the N output
351 below 80 kg ha⁻¹ (Fig. 5 and Supplementary Table S4).

352 The optimization of fertilization practices (best N management) can increase the yield
353 of wheat, maize and rice by 2%, 6% and 1% while simultaneously reducing the N
354 application rate by 4%, 12% and 5%, respectively, considering an optimal N application
355 rate with farmers' practices (Fig. 4 and Supplementary Table S2). The optimization of the
356 fertilization practices has a significant effect in maize producing areas, with an increase of
357 NUE by 12%, and a reduction of the N surplus by 31% (Supplementary Fig. S7 illustrates
358 the spatial distribution of N application rates, yield, NUE and N surplus after optimizing
359 fertilization practices). Economic benefits arising from fertilizer cost savings and yield
360 increases can increase revenues by up to 5.2 billion US dollars as a consequence of
361 optimizing fertilization practices. At the same time, these managements reduce the N
362 surplus by 1.7 Tg N (see Fig. 5 and Supplementary Table S3). This means that optimizing
363 N fertilizer use could produce more yield with less pollution.

364

365 *3.4. Future work in the related aspect*

366 Although optimal N application rate and best management practices showed potential
367 for improving the economic and environmental performance of N fertilizer in the present
368 study, there are some inevitable uncertainties resulting from the methodology. For
369 example, the experimental plot (40 m²) is not as big as that in the farmer's field, which
370 might lead to more meticulous management from local farmer in planting, irrigation,
371 harvesting, weed and pest control, although the management requirement of all
372 experiments was consistent with farmers' practices except for the fertilization treatment in
373 this study. Some of the N concentration in grain was referenced from Ti et al. (2012) due
374 to the lacking in some sites, which might attribute to the discrepancy of the N uptake among
375 various varieties and management (Zhang et al., 2021). The exact nutrient (N, P, K) content
376 in crop cannot be missing in future studies, especially in national trials.

377 Though a few other studies (Cui et al., 2018; Khoshnevisan et al., 2020) also proposed
378 the perspective to reduce N fertilizer application rate, Ju et al (2021) raised that excessive
379 and long-term reduction of N fertilizer may aggravate the soil N mining and reduce soil

380 fertility. Hence, it's important to evaluate the effect of long-term N fertilizer reduction on
381 grain production for sustainable agriculture in the future work.

382

383 **4. Conclusion**

384 This study clarified the optimal N application rate and related crop yield, N use
385 efficiency and N surplus in different regions and counties of China. The yields of wheat,
386 maize and rice can increase 10–19% while N application rates were reduced by 15–19%.
387 These changes resulted in an increase in N use efficiency by 32–46% and a reduction in N
388 surplus by 40% without actually changing farmers' operational practices. In other words,
389 the smallholders can produce more grain with less N fertilizer use only through optimizing
390 N application rates, without requiring a wholesale change in farmers' practices. However,
391 while better management is a fundamental requirement to improve agricultural
392 sustainability in many regions, achieving an optimal N application rate can only solve part
393 of the problem, and at a cost of an estimated 11.8 billion US dollars. We demonstrate in
394 our study, that the economic and environmental benefits of optimizing nitrogen fertilizer
395 use will result in a net economic benefit over the implementation cost, hence facilitating
396 sustainable development of agriculture in China, without resulting in economic losses. This
397 study can slow down the food security and nitrogen pollution problems due to global
398 population growth by reducing the nitrogen application rate and improving farmers'
399 practices. And the results could provide a reference for the global rational use of nitrogen
400 fertilizers.

401

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409

410 **CRedit authorship contribution statement**

411 **Keyu Ren:** Formal analysis, Data curation, Writing - original draft, Visualization.
412 **Minggang Xu:** Conceptualization, Supervision. **Rong Li:** Resources. **Lei Zheng:**
413 Resources. **Shaogui Liu:** Investigation. **Stefan Reis:** Writing - Review & Editing. **Huiying**
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415 Review & Editing. **Hui Gao:** Investigation. **Yinghua Duan:** Conceptualization, Writing -
416 Review & Editing, Supervision, Project administration, Funding acquisition. **Baojing Gu:**
417 Conceptualization, Writing - original draft, Funding acquisition.

418

419 **Declaration of Competing Interest**

420 The authors declare that they have no known competing financial interests or personal
421 relationships that could have appeared to influence the work reported in this paper

422

423 **Data and materials availability**

424 All data are available in the main text or the supplementary materials. Additional data
425 related to this paper may be requested from the authors.

426

427 **Supplementary Materials**

428 Supplementary Materials are available for this paper.

429

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660

661 **Figure legend**

662

663 **Fig. 1. Distribution of fertilization experiments and yield response curves.** (a)–(c)
664 regional experimental site distribution of wheat, maize, and rice, respectively; n indicates
665 experimental sites number; NO: nitrogen (N) output of optimal N rates (unit: kg ha⁻¹),
666 indicates the N harvested in cereal grain under optimal N rates; NUE: nitrogen use
667 efficiency of optimal N rates (unit: %). (d)–(f) yield response to N application rate for
668 wheat, maize, and rice, respectively. N₀: no N fertilizer treatment; N_L: low N fertilizer
669 treatment; N_M: medium N fertilizer treatment; N_H: high N fertilizer treatment; Red star in
670 (d)–(f) represent optimal N rates (RN); Blue triangles in (d)–(f) represent farmers'
671 conventional fertilizer application rates (FN).

672

673 **Fig. 2. Optimal N application rate (N rate) and corresponding yield, nitrogen use**
674 **efficiency (NUE) and nitrogen surplus (N_{sur}) of three grain crops for wheat (a)–(d),**
675 **maize (e)–(h), and rice (i)–(l).**

676

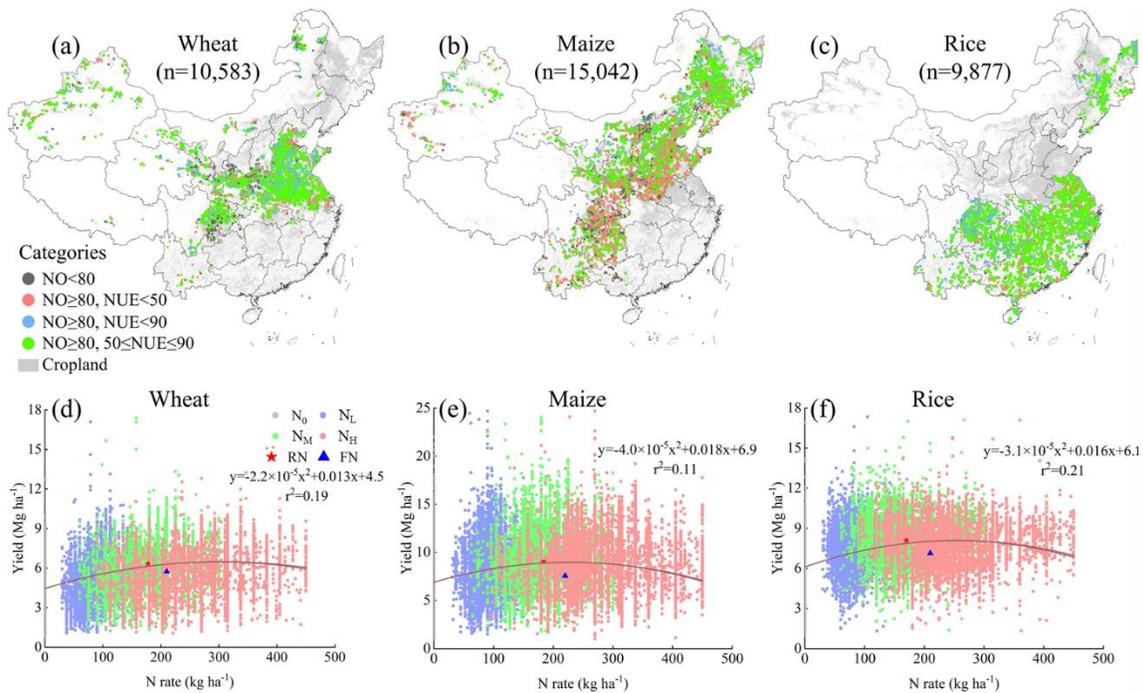
677 **Fig. 3. Response of N output to N input under optimal N application rate.** The model
678 of N input and output from EU Nitrogen Expert Panel (2015). NO: nitrogen output (unit:
679 kg ha⁻¹), indicates the N harvested in cereal grain without considering straw; NUE: nitrogen
680 use efficiency (unit: %); N_{sur}: nitrogen surplus (unit: kg ha⁻¹). Color of the dots represent
681 the density of dots. Numbers on the top of the bars (b) refer to the percentage of sites in
682 each quadrant (a) to all sites in each crop (wheat: 10,583, maize: 15,042, rice: 9,877).

683

684 **Fig. 4. Potential for reduction of nitrogen (N) fertilizer and improvement of nitrogen**
685 **use efficiency (NUE) under optimal N rate (RN) and best N management (BN),**
686 **compared with that under farmers' conventional N application rate (FN).** (a) Fertilizer
687 N application rate of three crops under different N management. (b) Yield of three crops
688 under different N management. (c) NUE of three crops under different N management. (d)
689 N surplus of three crops under different N management.

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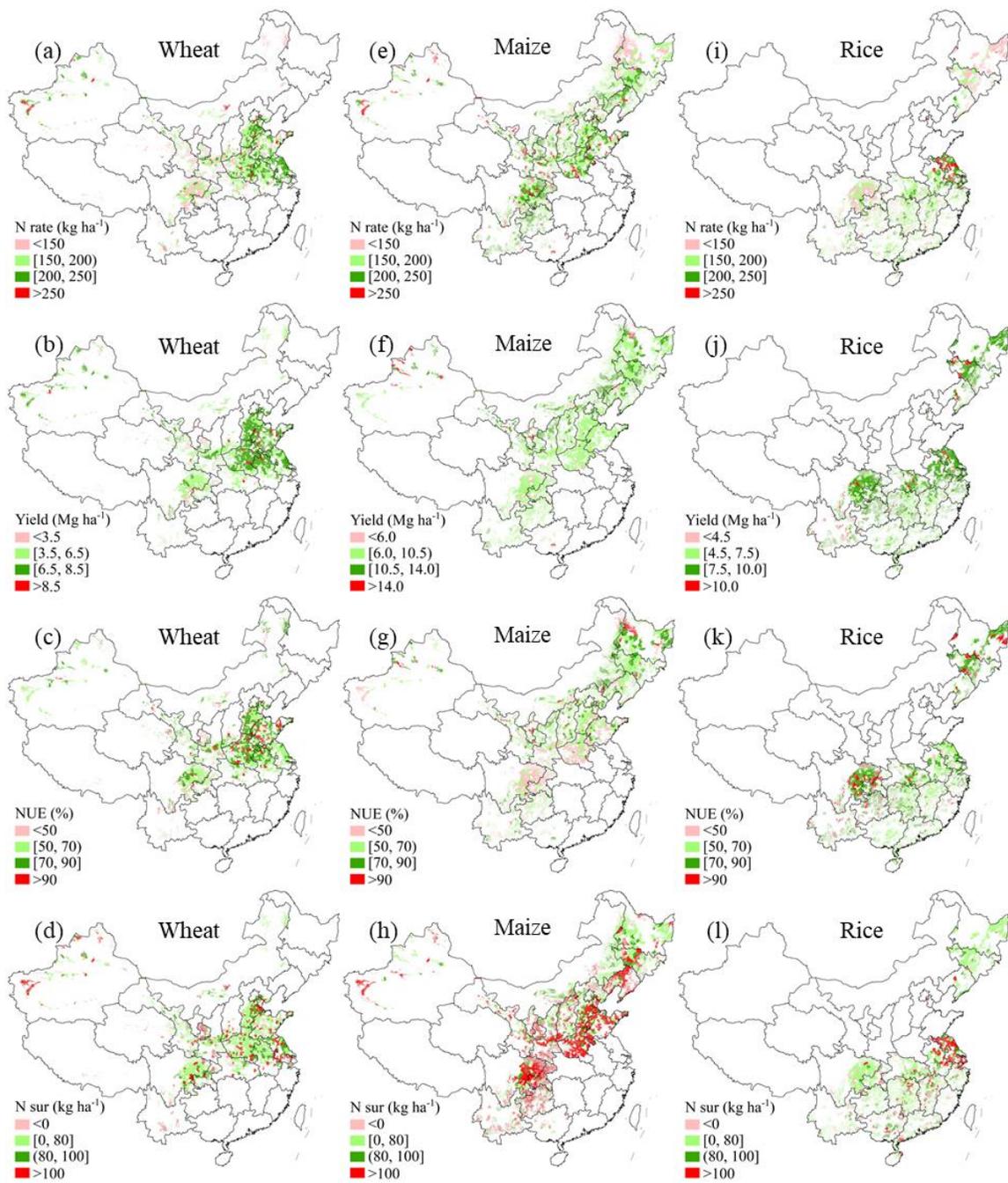
691 **Fig. 5. Economic and environmental benefits under optimal N rate and best**
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693 without considering straw; NUE: nitrogen use efficiency (unit: %); N_{sur}: nitrogen surplus
694 (unit: kg ha⁻¹).
695



696

697 **Fig. 1. Distribution of fertilization experiments and yield response curves.** (a)–(c)
 698 regional experimental site distribution of wheat, maize, and rice, respectively; n indicates
 699 experimental sites number; NO: nitrogen (N) output of optimal N rates (unit: kg ha⁻¹),
 700 indicates the N harvested in cereal grain under optimal N rates; NUE: nitrogen use
 701 efficiency of optimal N rates (unit: %). (d)–(f) yield response to N application rate for
 702 wheat, maize, and rice, respectively. N₀: no N fertilizer treatment; N_L: low N fertilizer
 703 treatment; N_M: medium N fertilizer treatment; N_H: high N fertilizer treatment; Red star in
 704 (d)–(f) represent optimal N rates (RN); Blue triangles in (d)–(f) represent farmers'
 705 conventional fertilizer application rates (FN).

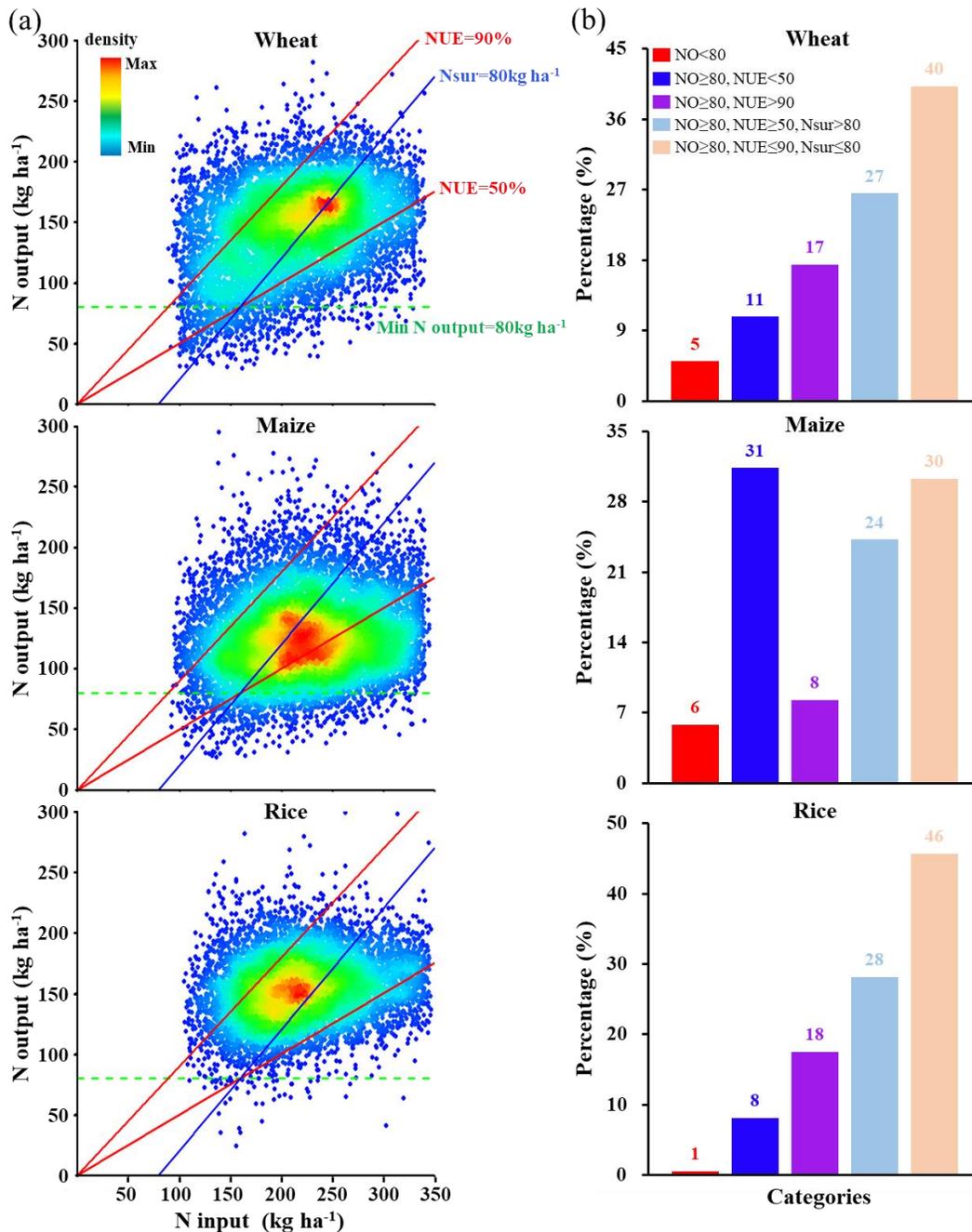
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708 **Fig. 2. Optimal N application rate (N rate) and corresponding yield, nitrogen use**
 709 **efficiency (NUE) and nitrogen surplus (N_{sur}) of three grain crops for wheat (a)–(d),**
 710 **maize (e)–(h), and rice (i)–(l).**

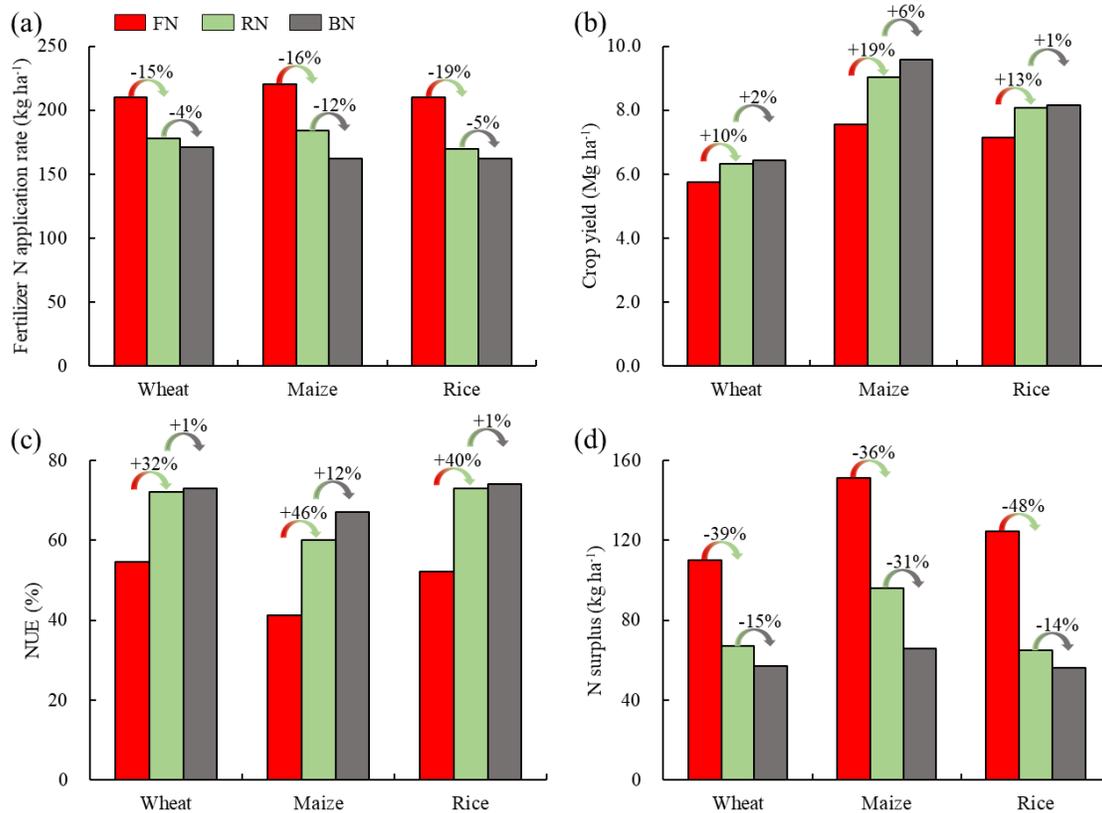
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712

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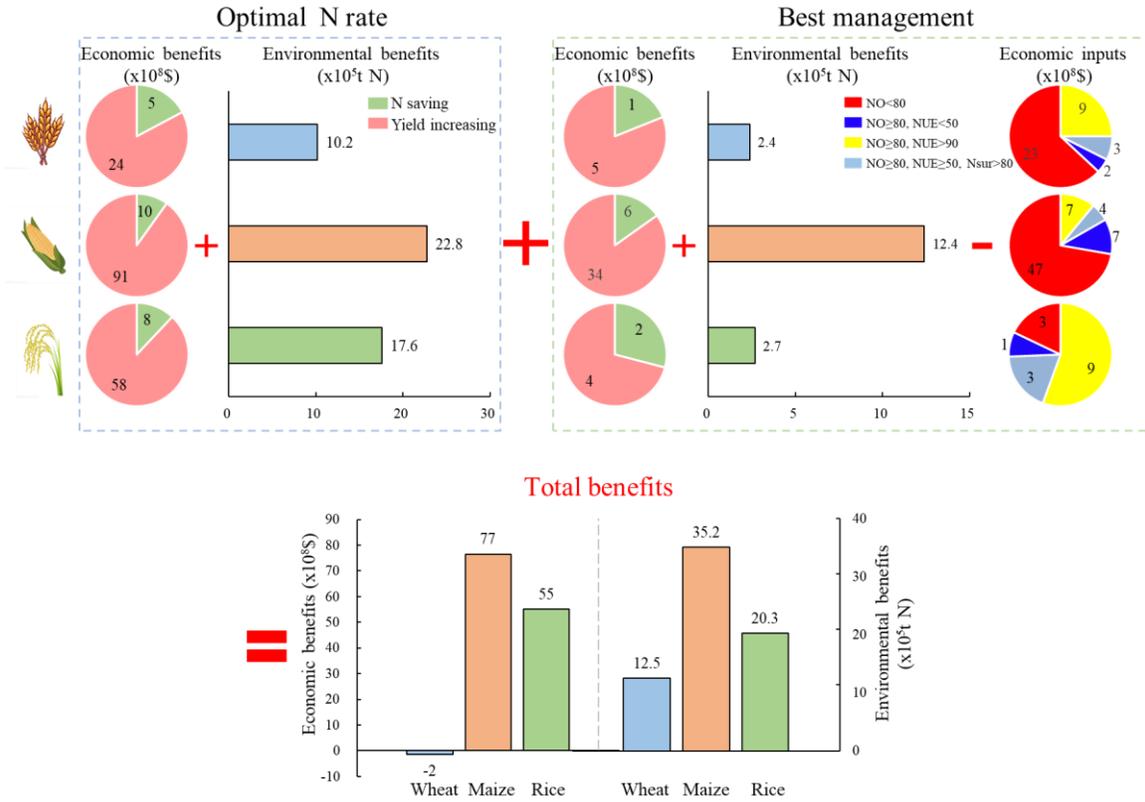
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720

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 724 **N application rate of three crops under different N management. (b) Yield of three crops**
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 726 **N surplus of three crops under different N management.**

727



728

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