

1 **Variability and Trends in the Southern Hemisphere High Latitude, Quasi-**  
2 **Stationary Planetary Waves**

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16 **Abstract**

17

18 We investigate variability and trends of the Southern Hemisphere quasi-stationary planetary  
19 waves over 1979 – 2013 using the ECMWF Interim reanalyses. The effects of tropical and  
20 extra-tropical forcing factors on the phase and amplitude of the planetary waves are  
21 identified. The amplitudes of wave numbers 1-3 exhibit an annual cycle with a minimum in  
22 summer and maximum over the extended austral winter period. The phase of wave number 1  
23 has a semi-annual cycle, moving east in austral spring/fall and west in summer/winter as a  
24 result of differences in the phase of the semi-annual oscillation across the Pacific sector of the  
25 Southern Ocean. The phase of wave number 3 has an annual cycle, being more eastward  
26 (westward) in summer (winter). Year-to-year variability of the amplitude of wave number 1  
27 is found to be strongly associated with the Amundsen Sea Low, which in turn is known to be  
28 strongly influenced by ENSO, with the consequence that the amplitude of wave number 1 is  
29 larger during the El Niño phase of the cycle. Regarding trends for the year as a whole, the  
30 amplitude of wave number 1 has decreased since 1979 ( $p < 0.1$ ), while the amplitudes of  
31 wave numbers 2 and 3 have increased. These changes are consistent with the warming trends  
32 in SSTs across much of the tropical oceans. However, the factors associated with longer-  
33 term trends are less clear than for year-to-year variability.

34

35 **1. Introduction**

36

37 In the Southern Hemisphere, the quasi-stationary planetary waves have a significant influence  
38 on a number of aspects of the climate of high southern latitudes. Analyses of the mean  
39 Southern Hemisphere geopotential height fields in the troposphere have shown that planetary  
40 wave number 1 has the largest mean amplitude of any of the quasi-stationary waves (van  
41 Loon and Jenne, 1972; Trenberth, 1980; Hobbs and Raphael, 2007), with the wave having an  
42 amplitude that is comparable to that of wave number 1 in the Northern Hemisphere. Changes  
43 in the phase and amplitude of the wave influence high pressure blocking episodes over the  
44 Southern Ocean (Renwick, 2005), sea ice distribution (Raphael, 2007; Irving and Simmonds,  
45 2015) and regional temperatures (van Loon and Williams, 1976).

46 Wave number 3 has a smaller mean amplitude than wave number 1 and explains less of  
47 the tropospheric atmospheric circulation spatial variability than wave number 1 (8%  
48 compared to 90% at 60° S (Raphael, 2004)). However, the wave has been linked to blocking  
49 over the Southern Ocean (Trenberth and Mo, 1985), sea ice extent (Raphael, 2007) and the  
50 climatological Amundsen Sea Low (ASL) (Baines and Fraedrich, 1989; Raphael *et al.*, 2016),  
51 which plays an important part in controlling climate variability between the Antarctic  
52 Peninsula and the Ross Sea (Hosking *et al.*, 2013) (locations referred to in the text are shown  
53 on Figure 1). Wave number 2 is comparable to wave number 3 in amplitude for much of the  
54 year, but has the largest interannual variability of any of the planetary waves (van Loon,  
55 1979) and is most important over the Antarctic (Trenberth, 1980).

56 Earlier studies have linked variability in wave number 1 to sea surface temperatures  
57 (SSTs) in the tropics and particularly the phase of the El Niño-Southern Oscillation (ENSO)  
58 (Hobbs and Raphael, 2007). A number of investigations have found strong evidence for a

59 Rossby Wave source in the tropical Pacific Ocean and the dispersion of a signal of ENSO to  
60 the high latitude areas of the Southern Hemisphere (Garreaud and Battisti, 1999; Renwick  
61 and Revell, 1999; Harangozo, 2000; Houseago-Stokes and McGregor, 2000; Yuan, 2004; Liu  
62 and Alexander, 2007; Jin and Kirtman, 2009; Jin and Kirtman, 2010). Hoskins and Karoly  
63 (1981) showed that areas of deep convection close to the Equator could generate Rossby  
64 waves through the vorticity created by diabatic heating. The Rossby waves were found to  
65 propagate polewards in both hemispheres and provide a means for the establishment of  
66 teleconnections between ENSO and the climates of the extra-tropical areas. This wave train  
67 was shown to be well established during the Southern Hemisphere winter in the upper air  
68 geopotential height fields during the El Niño phase (Karoly, 1989; Kiladis and Mo, 1998).  
69 The wave train from the central Pacific is known as the Pacific South American 1 (PSA-1)  
70 connection (Mo and Higgins, 1998). This was originally investigated using EOF analysis,  
71 although recently a new method for identifying and quantifying the wave train has been  
72 developed based on Fourier analysis (Irving and Simmonds, 2016)The wave train consists of  
73 an arc of high–low–high geopotential height anomalies extending in a southeastward  
74 direction towards the Antarctic from the area of increased convective activity in the central  
75 Pacific (Kidson, 1999; Yuan, 2004). The wave train affects the synoptic conditions across the  
76 southern part of South America, as well as the Antarctic coastal region (where it also affects  
77 the sea ice distribution) between the Antarctic Peninsula and the Ross Sea, with a reduction in  
78 cyclonic activity/greater blocking to the west of the Peninsula during El Niño events (Turner,  
79 2004; Pezza *et al.*, 2012). The area between the Antarctic Peninsula and the Ross Sea is  
80 where the ASL is located, which is broadly coincident with the climatological ridge of wave  
81 number 1 and one of the wave number 3 troughs. A wave train from the tropical Pacific  
82 Ocean that gives more or less cyclonic activity in this area therefore has the potential to affect

83 the amplitude and phase of these waves.

84         Although ENSO dominates tropical variability and its high-latitude responses on  
85 timescales of a few years, longer time-scale observed multi-decadal trends show strong  
86 warming over the tropical Atlantic in recent decades. The latest research indicates that this  
87 Atlantic warming is large enough to produce a Rossby wave-related deepening of the ASL of  
88 a similar magnitude to that generated by trends in the tropical Pacific (Simpkins et al., 2014;  
89 Li et al., 2015). One common theme across these different linkages is they strongly affect the  
90 ASL region, as is seen in the year-to-year teleconnection patterns to the tropical Pacific.

91         The primary mode of climate variability at high southern latitudes is the Southern  
92 Annular Mode (SAM), which has an impact on the strength of the westerly winds over the  
93 Southern Ocean. Climate variability around the Antarctic is therefore affected by both  
94 tropical variability and factors at high southern latitudes that influence the phase of the SAM.  
95 In the following we will therefore consider how both these factors influence the planetary  
96 waves.

97         In this paper we examine the variability and change in the amplitude and phase of the  
98 quasi-stationary planetary waves in high southern latitudes. We investigate the tropical and  
99 high latitude forcing factors that have influenced the waves over recent decades. We consider  
100 wave numbers 1 to 4 as these have a much larger amplitude than the shorter waves and have  
101 been shown to have a major influence on the climate of the Antarctic (Raphael, 2007).

102         In Section 2 we describe the atmospheric reanalysis fields that were used and the  
103 creation of the climatology of the Southern Hemisphere planetary waves. Section 3 considers  
104 the mean amplitudes and phases of the planetary waves and their variability over the year.  
105 The factors that result in changes in the amplitude and phase of the planetary waves are  
106 examined in Section 4. The trends in the planetary waves since 1979 are discussed in

107 Section 5. Section 6 considers the effects of changes in the planetary waves on the climate of  
108 the Antarctic. We conclude with a discussion in Section 7.

109

## 110 **2. Data and methods**

111

112 The climatology of the quasi-stationary planetary waves was developed from the monthly  
113 mean upper air geopotential height fields from the ECMWF Interim Reanalysis (ERA-  
114 Interim) project (Dee *et al.*, 2011) covering the period 1979 - 2013. The geopotential height  
115 fields have a horizontal resolution of  $0.7^\circ \times 0.7^\circ$ . We have used the ERA-Interim reanalysis  
116 fields rather than other reanalysis data sets since it has been shown that the data are the most  
117 reliable when compared to Antarctic in-situ observations (Bracegirdle and Marshall, 2012).  
118 For the computation of correlations the time series of planetary wave amplitudes and phases,  
119 and the atmospheric and SST fields were all detrended. In the production of the annual mean  
120 SST correlation plots we have taken account of autocorrelation at all lags.

121 The amplitudes and phases of wave numbers 1 to 4 were determined by carrying out a  
122 Fourier analysis of the monthly mean 500 hPa geopotential height fields. The amplitudes of  
123 the planetary waves have a large meridional variability (Figure 2), with the largest amplitude  
124 of wave number 2 (wave numbers 1, 3 and 4) being at high (mid) latitudes. In order to  
125 minimize the noise in the time series of amplitudes and phases we have carried out the  
126 Fourier Analysis on the mean 500 hPa heights across the latitude band  $55^\circ - 65^\circ$  S. This zone  
127 is largely over the Southern Ocean where the longest waves have a large amplitude and  
128 where the variability of synoptic activity has a large impact on the climate of the Antarctic  
129 continent (Turner *et al.*, 1996). The amplitudes and phases of the longest waves were also  
130 computed for latitude bands that extended Equatorwards as far as  $40^\circ$  S in order to see how

131 the results presented below would change. Even over the extended range of  $40^{\circ} - 65^{\circ}$  S,  
132 wave number 1 still had the largest annual mean amplitude. However, when more northerly  
133 latitudes were included the correlations between the amplitudes of the waves and tropical  
134 Pacific SSTs decreased and were less significant. The amplitude (half the difference in  
135 geopotential height (gpm) between the ridge and trough) and phase (zonal location of the first  
136 ridge in degrees east of Greenwich) were obtained for each month allowing the investigation  
137 of changes during the annual cycle. The UK Hadley Centre's HadISST data set  
138 (<http://www.metoffice.gov.uk/hadobs/hadisst/>) was used to examine ocean conditions over  
139 the period. Fields of mean SIC, computed using the Bootstrap version 2 algorithm were  
140 obtained on a 25 km resolution grid from the US National Snow and Ice Data Center  
141 (<http://www.nsidc.org>).

142

### 143 **3. The mean amplitudes and phases of the quasi-stationary planetary waves**

144

145 The annual cycles of the amplitudes and phases of wave numbers 1 to 4 over  $55-65^{\circ}$  S in the  
146 500 hPa geopotential height fields for the period 1979-2013 are shown in Figure 3, with  
147 annual and seasonal means being presented in Table 1. Throughout the year wave number 1  
148 has the largest amplitude of any of the planetary waves, with the annual mean amplitudes of  
149 wave numbers 1 to 4 being 83.3, 39.8, 42.1 and 17.6 m respectively. The amplitude of wave  
150 number 1 has an annual cycle and varied from a minimum of 59.7 m in November to a  
151 maximum of 107.2 in September (Figure 3a). Wave number 1 is influenced by SSTs across  
152 the tropical and mid-latitude areas of the Pacific Ocean (Hobbs and Raphael, 2007) and the  
153 form of the annual cycle of the amplitude shown in Figure 3 is inversely related to the  
154 broadscale temperatures across the Southern Hemisphere during the year. The SSTs across

155 the tropical oceans vary little over the year, but the amplitude of wave number 1 is largest in  
156 September when the sea ice is at its greatest extent and surface temperatures are lowest in the  
157 sea ice zone. The amplitude decreases rapidly in the spring when the sea ice retreats and  
158 temperatures increase across the high latitude areas of the Southern Ocean and over the  
159 Antarctic continent. The amplitude of wave number 2 also has a minimum in summer, with  
160 peaks in late fall and winter. The variability in the amplitude of wave number 3 over the year  
161 is similar in magnitude to that of wave number 2, with a minimum in the summer and  
162 maximum in the middle of winter. The amplitude of wave number 4 only has a range of 9.5  
163 m over the year, with an annual cycle that is dominated by a decrease from May to October.

164 The annual mean zonal locations of the wave number 1 trough and ridge are  
165 respectively located at 53° E off Enderby Land and at 127° W at the boundary between the  
166 Amundsen and Ross Seas. The phase of wave 1 (Figure 3b) has a semi-annual cycle, with the  
167 trough/ridge being further east (west) during spring and fall (summer and winter). The wave  
168 shifts as the form of the semi-annual oscillation (SAO) in MSLP and 500 hPa geopotential  
169 height (Van den Broeke, 1998; Simmonds, 2003) varies around the continent. Between the  
170 Antarctic Peninsula and the Ross Sea the 500 hPa geopotential heights across 160 - 140° W  
171 drop more rapidly between February and May compared to those over 90 – 70° W, resulting  
172 in the ridge of wave number 1 in this sector moving towards the east over this period.  
173 Relative differences in the 500 hPa geopotential height variations between these two areas  
174 over the rest of the year account for the semi-annual cycle of the wave number 1 phase seen  
175 in Figure 3b.

176 The mean phase of wave number 2 varies by 29.2° over the year with the annual mean  
177 locations of the ridges being at 105° E and 75° W, and the troughs at 15° E and 165° W. The  
178 phase of wave number 3 exhibits a broadly annual cycle with the wave being more eastward

179 in summer and shifting  $\sim 25^\circ$  towards the west by mid-winter as the amplitude of the wave  
180 increases. Baines and Fraedrich (1989) suggested that the marked wave number 3 pattern in  
181 the Southern Hemisphere high latitude tropospheric flow is present because of the shape of  
182 the Antarctic ice sheet and the strong westerly winds over the Southern Ocean. In addition,  
183 they linked the presence of the ASL, which is coincident with one of the wave number 3  
184 troughs, to the atmospheric flow off Victoria Land in East Antarctica. On the other hand  
185 wave number 4 exhibits no clear pattern of variability over the year.

186

#### 187 **4. Variability of the planetary waves**

188

189 The planetary waves show a large inter-annual variability in both amplitude and phase (Table  
190 1). The variability in amplitude, based on the standard deviations, is greatest in winter for the  
191 three longest waves, but is largest in fall for wave number 4. In contrast, the variability of the  
192 phase is largest in spring for wave numbers 3 and 4, but greatest in fall and summer for wave  
193 numbers 1 and 2 respectively.

194 As wave number 1 is strongly influenced by the surface temperature gradient across the  
195 Southern Hemisphere its amplitude and phase are significantly correlated ( $p < 0.05$ ) with  
196 SSTs across large areas of the oceans, both in the tropics and at higher latitudes. Figure 4a  
197 shows the correlation of the annual mean amplitude of wave number 1 with the annual mean  
198 SSTs. The largest areas of significant correlation are across the tropical Pacific with a couplet  
199 of significant positive (negative) correlation on the eastern (western) side of the Pacific basin.  
200 This pattern of correlation resembles the SST anomalies associated with the El Niño phase of  
201 ENSO, when higher SSTs are found between the western coast of South America and near  
202  $180^\circ$ , with negative anomalies further west. However, the region of significant correlation

203 extends in a northeastward and southeastward fashion from the central Pacific, while the El  
204 Niño positive SST anomalies lie in a more narrow band along the Equator. As shown on  
205 Figure 4a, the correlation between the wave number 1 amplitude and SSTs in the Niño 1+2  
206 area along the coast of South America is only 0.31, which is not significant at  $p < 0.05$ .

207 Figure 4a indicates that the amplitude of wave number 1 is larger during the El Niño  
208 phase of the ENSO cycle compared to La Niña. This is consistent with the establishment of a  
209 Rossby wave train between the central Pacific and the Amundsen-Bellingshausen Sea (ABS)  
210 during the El Niño phase, which was noted by Yuan (2004). Such a wave train has a positive  
211 geopotential height anomaly in the ABS, as illustrated in Figure 5, which shows the  
212 differences in 500 hPa geopotential height between the austral winters when ENSO was in  
213 the El Niño and La Niña phases. We focus on the winter season since this is when the tropical  
214 – high southern latitude teleconnection is most established (Trenberth *et al.*, 2014). Such a  
215 positive geopotential height anomaly will reinforce the ridge of wave number 1, which is  
216 located to the west of the Antarctic Peninsula.

217 The largest positive correlations in Figure 4a are across 140-180° W, which  
218 encompasses part of the Niño 3.4 area. Not surprisingly, the annual mean amplitude of wave  
219 number 1 has a correlation of 0.55 with the Niño 3.4 index. The correlations for the other  
220 three waves are all less than 0.15. A further area of significant ( $p < 0.05$ ) positive correlation  
221 is found in the Antarctic coastal region across 100° W – 160° E, which is the location of the  
222 wave number 1 ridge throughout the course of the year (Fig. 3b). There is also an area of  
223 anticorrelation between wave number 1 amplitude and SSTs in the tropical Atlantic Ocean.  
224 This is consistent with the Rossby Wave train from this area noted by Li et al. (2014), which  
225 propagates towards the ABS, indicating that a positive SST anomaly in the tropical Atlantic  
226 would reduce the amplitude of the wave number 1 ridge located in this area.

227           The seasonal fields of correlation between the wave number 1 amplitude and the SSTs  
228 (not shown) are broadly similar to the annual field in Figure 4a, however, there are some  
229 differences. The winter and spring patterns of correlation are very similar to those in Figure  
230 4a, with the correlation values being higher during the spring. This is consistent with the  
231 strong links between tropical SSTs and the warming of West Antarctica identified by  
232 Schneider et al. (2012). In summer and fall the largest correlations are further to the east, with  
233 the highest values overall being during the summer. However, in both these seasons the  
234 correlations are smaller in the Nino 1+2 area that further west, and are only significant at  $p <$   
235 0.05 during the summer.

236           The pattern of correlation of annual mean SST with the annual mean phase of wave 1  
237 (Figure 4b) has some similarities to that found for the amplitude, with significant ( $p < 0.05$ )  
238 correlations (anti-correlations) over the eastern (western) Pacific Ocean. However, the areas  
239 where the correlations are significant are much smaller and the region of significant positive  
240 correlation is close to the coast of Peru. The correlations with SST are weaker than for the  
241 wave number 1 amplitude, possibly as a result of the wave being tied to the orography of the  
242 Antarctic, although model experiments would need to be carried out to confirm this. As with  
243 the amplitude, the pattern is similar to the SST anomalies associated with ‘classical’ ENSO  
244 events and indicates the impact that ENSO has on the phase of wave 1. However, overall the  
245 SST changes have more of an impact on the amplitude of wave number 1 than the phase. The  
246 wave is more east (west) during the El Niño (La Niña) phase because of the higher (lower)  
247 pressure over the ABS as a result of the Rossby wave train from the tropics.

248           For the year as a whole the amplitude of wave number 2 is not significantly ( $p <$   
249 0.05) correlated with SSTs across the bulk of the Pacific Ocean, with only small areas of the  
250 Maritime Continent having a significant correlation. In addition, there are only small areas of

251 the South Pacific where the annual mean phase of wave number 2 is significantly correlated  
252 with SSTs.

253 The influences on wave number 3 are quite different to those of the longer waves.  
254 There are no significant correlations between either the wave number 3 amplitude or phase  
255 with SSTs in the tropics or extra-tropical latitudes. Rather its variability is correlated  
256 significantly ( $p < 0.05$ ) with the zonal winds over the Southern Ocean. Figure 6a shows the  
257 correlation of the annual mean amplitude of wave number 3 and the annual mean 500 hPa  
258 zonal wind component. Care must be taken when interpreting these figures as the statistics on  
259 amplitude and phase of the planetary waves are computed from the geopotential height fields  
260 over  $55^\circ - 65^\circ$  S, which are related to the winds in these latitudes through geostrophy.  
261 Nevertheless, a larger amplitude is found when the winds are stronger above the circumpolar  
262 trough around the coast of East Antarctica. In addition, the couplets of southward  
263 positive/northward negative correlation close to  $90^\circ$  E and  $130^\circ$  W indicate that a larger  
264 amplitude is found when there is a southward displacement of the mid-tropospheric jet. This  
265 is consistent with the work of Baines and Fraedrich (1989) who suggested that the wave  
266 number 3 pattern was associated with the zonal winds just north of the Antarctic coast. The  
267 seasonal correlation fields for fall, winter and spring are similar to Figure 6a, with a larger  
268 amplitude of wave number 3 associated with stronger winds just north of the Antarctic coast.  
269 However, the correlation between wave number 3 amplitude and the zonal wind is rather  
270 different in summer, with the highest correlations being across  $30-50^\circ$  S. The amplitude of  
271 the wave is at a minimum at this time of year (Fig. 3a), as is the speed of the westerly winds,  
272 and the wave is clearly more influenced by conditions outside of the Antarctic.

273 The phase of wave number 3 is also correlated significantly with the speed of the  
274 winds over the southern ocean (Figure 6b) and the areas of significant correlation are larger

275 than for the amplitude, indicating that changes in the zonal wind speed have a stronger  
276 relationship with the phase of wave number 3 than the amplitude. The couplet of  
277 negative/positive correlation across the Southern Ocean indicates a strong association  
278 between a southward displacement of the mid-tropospheric jet and a more eastward location  
279 of the wave.

280 Tropical SST variability has little impact on the annual mean amplitude of wave  
281 number 4, with only SSTs across the Maritime Continent having slightly stronger, but not  
282 significant correlations (Figure 7a). Here higher SSTs, such as found during La Niña events,  
283 give a reduction in the amplitude of wave number 4. On the other hand, there is a significant  
284 anti-correlation between the amplitude of wave number 4 and SSTs to the southeast of New  
285 Zealand.

286 The phase of wave number 4 is influenced to a small extent by tropical SSTs with the  
287 correlation field (Figure 7b) showing some significant ( $p < 0.05$ ) anti-correlations across the  
288 eastern Pacific, although the correlations are low. During strong El Niño events, with higher  
289 SSTs off tropical South America there will be greater blocking in the Bellingshausen Sea and  
290 a westward displacement of wave number 4. An assessment of the differences in MSLP  
291 between years of large and small wave number 4 amplitude shows that the largest differences  
292 are over the Amundsen-Bellingshausen Sea, although the differences are not significant at  $p <$   
293 0.05.

294

## 295 **5. Trends in the amplitude and phase of the planetary waves**

296

297 The greatest changes in the planetary waves since 1979 have been with wave number 1,  
298 which has decreased in amplitude throughout the year, with the trend for the year as a whole

299 being significant at  $p < 0.10$  (Table 2a). In contrast, the annual mean amplitudes of waves 2  
300 and 3 have increased, although it should be noted that neither of the trends are significant.  
301 Since 1979 there has been a significant ( $p < 0.05$ ) warming across the Maritime Continent, in  
302 the mid-latitude areas of the North and South Pacific, and in the tropical and northern mid-  
303 latitude areas of the Atlantic (Figure 8). According to recent modelling studies, for the period  
304 1979-2009 positive SST trends across much of the tropical ocean areas induced a deepening  
305 of the ASL in spring, along with pressure increases off East Antarctica that are consistent  
306 with a weakening of wave number 1 (Simpkins *et al.*, 2014). The pattern of SST trends  
307 shown in Figure 8 is found in all four seasons, with the magnitudes of the trends also being  
308 similar throughout the year. The Simpkins *et al.* (2014) findings are likely to vary across the  
309 annual cycle, but the link between tropical Atlantic warming and ASL deepening appears  
310 from modelling studies to also be important in winter (Li *et al.*, 2015). The smallest trend in  
311 the wave number 1 amplitude is in the summer when the tropical – high latitude  
312 teleconnections are weakest. The largest trend is in the winter when the teleconnection is  
313 most established. The enhanced cyclonic circulation in the region of the ASL (Turner *et al.*,  
314 2016) reduces the amplitude of wave number 1 since this is the location of the climatological  
315 wave number 1 ridge.

316         The two largest seasonal trends in wave number 3 amplitude have occurred in  
317 summer and fall, although the signs of the trends are different. In summer the climatological  
318 mid-tropospheric jet is located over the Southern Ocean close to  $45^{\circ}$  S and the amplitude of  
319 wave number 3 is anti-correlated with the wind speed at this latitude and positively correlated  
320 with the wind speed further south. Since the early 1980s the depletion of stratospheric ozone  
321 has had a profound impact on the atmospheric circulation of high southern latitudes  
322 (Thompson *et al.*, 2011). Although the loss of stratospheric ozone has been greatest during

323 the spring, the impact in the troposphere is largest during the summer. One consequence has  
324 been to play a major part in the trend of the SAM moving into its positive phase, although the  
325 phase of the SAM is also affected by SSTs in the tropical Pacific (Ding *et al.*, 2012). The  
326 SAM is the primary mode of atmospheric variability at high southern latitudes and when in  
327 its positive phase the winds over the Southern Ocean are stronger. Since the late 1970s there  
328 has been a strengthening and southward shift in the Southern Hemisphere jet during the  
329 summer giving a couplet of stronger (weaker) winds further south (north). Although the SAM  
330 is essentially an annular mode, the loss of stratospheric ozone has also given a decrease in  
331 MSLP in the ABS (Fogt and Zbacnik, 2014) where one of the wave number 3 troughs is  
332 climatologically located, so the ‘ozone hole’ will have contributed to an increase in the  
333 amplitude of wave number 3 during the summer, although other factors will have played a  
334 part.

335         Around much of the Antarctic in the fall the mid-tropospheric jet is located just close  
336 to 50° S, with the strongest winds north of East Antarctica. However, in the South Pacific  
337 sector there is a well developed split jet (Bals-Elsholz *et al.*, 2001), with the two branches  
338 located near 30° (the sub-tropical jet) and 60° S (the polar front jet) to the north and south of  
339 New Zealand. During the fall the amplitude of wave number 3 is significantly correlated with  
340 the zonal wind above the circumpolar trough around the coast of East Antarctica, north of the  
341 Weddell Sea and across the Antarctic Peninsula into the Bellingshausen sea (Fig. 6a). During  
342 the fall there has been a significant ( $p < 0.05$ ) weakening of the zonal wind above the  
343 circumpolar trough north of East Antarctica and the Bellingshausen Sea, with the amplitude  
344 of wave number 3 having decreased by 2.61 m dec<sup>-1</sup>, although this trend is not significant.

345         The trends in the annual mean phases of wave numbers 1, 3 and 4 are rather small and  
346 none of the trends are significant. However, wave number 2 has experienced a larger

347 eastward annual mean shift of over  $4^{\circ} \text{ dec}^{-1}$  since 1979, although the trend is still not  
348 significant because of the large inter-annual variability and the near-zero trend in winter. The  
349 positive trend of SSTs since 1979 in the tropical oceans (Figure 8) has contributed to lower  
350 MSLP and geopotential heights in the ABS through the establishment of a Rossby Wave train  
351 to this region. Since the climatological location of one of the wave number 2 ridges is at  $76^{\circ}$   
352 W, just to the east of the Antarctic Peninsula, the MSLP/geopotential height anomalies over  
353 the ABS have played a part in the eastward displacement of wave number 2.

354         The trends in the phase of wave number 4 are quite different in the four seasons. The  
355 largest trend, although not significant, has been in spring with an eastward shift of  $2.81^{\circ}$   
356  $\text{dec}^{-1}$ . This is the only season during which the phase of the wave is significantly correlated  
357 with SSTs across the tropical Pacific, with an ENSO like pattern of positive (negative)  
358 correlations over the Maritime Continent (eastern Pacific). With the observed warming across  
359 the Maritime Continent this would be consistent with an eastward shift in wave number 4.  
360 The only significant ( $p < 0.10$ ) trend in the phase is a westward shift of  $2.69^{\circ} \text{ dec}^{-1}$  during  
361 winter. The phase during this season is not correlated significantly with tropical SSTs, but is  
362 significantly correlated with SSTs across parts of the Southern Ocean. In particular, it is  
363 anticorrelated with SSTs to the southeast of Australia in an area where there has been  
364 significant warming since 1979. While change in this area is significantly correlated with the  
365 wave number 4 phase, it is likely that other factors have played a part in the observed trend.

366

## 367 **6. The impact of changes in the planetary waves on the Antarctic climate**

368

369 As noted in Section 1, changes in the phase and amplitude of the planetary waves can affect a  
370 number of aspects of the Antarctic and Southern Ocean climate system, including surface

371 temperature, sea ice extent and climatological low pressure systems, such as the ASL. Of  
372 course variability in these quantities is interrelated since changes in atmospheric circulation  
373 will alter the wind field, which will in turn affect the thermal advection and surface  
374 temperatures, and also result in changes in the sea ice distribution, which is highly correlated  
375 with the near-surface winds (Holland and Kwok, 2012).

376         The sector of the Antarctic between the Antarctic Peninsula and the Ross Sea has the  
377 highest sensitivity to changes in the planetary waves since both wave numbers 1 and 2 have a  
378 climatological ridge located here, and one of the wave number 3 troughs is found close to the  
379 location of the ASL. This is also the region where the strongest teleconnections between the  
380 tropics and Antarctica are found, and as discussed previously, the tropical influences have a  
381 strong impact on the planetary waves.

382         Here we examine how the annual mean amplitude and phase of wave numbers 1 to 4  
383 are related to the annual mean sea ice concentration, surface temperature and precipitation. A  
384 summary of the significant relationships is provided in Table 3.

385         The variability in the wave number 1 amplitude is correlated significantly with a  
386 number of key climatic elements to the west of the Antarctic Peninsula. The amplitude of  
387 wave number 1 has the largest correlation with Antarctic sea ice concentration of any of the  
388 amplitudes or phases of the four longest planetary waves. The amplitude is significantly  
389 correlated (anticorrelated) with the concentration of sea ice over the Bellingshausen-Weddell  
390 Seas (Ross Sea), indicating that a smaller amplitude is linked with less (more) sea ice along  
391 the Antarctic Peninsula (over the Ross Sea). A similar pattern of correlation is found with  
392 near-surface air temperature (Figure 9) and precipitation amount. The areas of significant  
393 positive correlation are mainly over the ocean for near-surface air temperature, but extent  
394 across much of the western part of West Antarctica with precipitation. As shown in Table 1,

395 since 1979 the amplitude of wave number 1 has decreased, which is consistent with the  
396 greater cyclonic activity in the region of the ASL and the dipole of changes between the  
397 Antarctic Peninsula and the Ross Sea. The Antarctic Peninsula has been affected by a marked  
398 decrease in sea ice extent over the Bellingshausen Sea (Turner *et al.*, 2015), a rise in near-  
399 surface temperature (Turner *et al.*, 2005) (which is strongly linked to the loss of sea ice) and  
400 greater precipitation (Turner *et al.*, 1997). In contrast, the Ross Sea has had the largest  
401 increase in sea ice extent of any sector of the Antarctic, with the ERA Interim reanalyses  
402 suggesting a small decrease in precipitation, although there has been no significant change in  
403 temperature. The phase of wave number 1 has less of an impact on the climate of the  
404 Antarctic, but is linked to sea ice and temperature off Wilkes Land and precipitation around  
405 the tip of the Antarctic Peninsula.

406         The phase of wave number 2 is also important in modulating the regional climate of  
407 West Antarctica. A more eastward location of the wave is associated with less sea ice over  
408 the Bellingshausen Sea, since this is the location of one of the wave number 2 ridges, and an  
409 eastward shift would introduce more cyclonic conditions and a greater northerly flow. Such  
410 an eastward shift of the wave is also associated with higher near-surface temperatures and  
411 greater precipitation. However, the amplitude of wave number 2 has no significant impact on  
412 sea ice distribution or temperature, and only influences precipitation across small parts of  
413 West Antarctica and the Weddell Sea.

414         Since one of the troughs of wave number 3 is located off West Antarctica it is not  
415 surprising that changes in this planetary wave have an impact on the climate of the region.  
416 Changes in the amplitude of the wave have relatively little impact on the climate of West  
417 Antarctica, with a greater amplitude just slightly increasing the concentration of sea ice near  
418 the coast of West Antarctica and giving higher air temperatures near 180° as there is more

419 northerly flow in this area. The greatest impact of wave number 3 variability is felt with  
420 changes in the phase. The eastward location of the wave is significantly correlated  
421 (anticorrelated) with the sea ice concentration over the Amundsen Sea (Ross Sea) as the  
422 meridional winds are altered in these areas. These sea ice anomalies correspond with near-  
423 surface air temperatures with more (less) sea ice being associated with colder (warmer) air  
424 temperatures.

425         The amplitude of wave number 4 is anticorrelated with sea ice extent between the  
426 Antarctic Peninsula and the Ross Sea, and correspondingly correlated with surface  
427 temperature in this region. The greatest impact of changes in phase of this wave area are felt  
428 around the Antarctic Peninsula (see Table 3).

429         Although the greatest effects of planetary wave variability are felt between the  
430 Antarctic Peninsula and the Ross Sea there are some statistically significant links in other  
431 parts of the Antarctic. In particular, an eastward shift in the phase of wave numbers 2 and 3 is  
432 associated with more sea ice off East Antarctica between 20° E and 50° E.

433

## 434 **7. Discussion and conclusions**

435

436 This study has documented the climatology of the amplitude and phase of the quasi-  
437 stationary planetary waves in high southern latitudes. The results indicate that tropical ocean  
438 conditions, especially across the Pacific, have a major influence on the amplitude and phase  
439 of the planetary waves at high southern latitudes, consistent with known mechanisms for  
440 tropical-Antarctic teleconnections. The greatest influence is in the sector between the  
441 Antarctic Peninsula and the Ross Sea, where wave numbers 1 and 2 both have a  
442 climatological ridge. The year-to-year variability of the waves is strongly correlated with the

443 phase of ENSO, which has its largest influence on the three longest waves. During the El  
444 Niño phase the increased convection near 180° E generates a Rossby Wave train that extends  
445 into both hemispheres, with the impact in the Antarctic coastal zone being greatest in the  
446 ABS where the wave number 1 and 2 ridges are located. For the year as a whole, variability  
447 in the wave number 1 amplitude and wave number 2 phase has a significant impact on MSLP  
448 over the ABS, which in turn influences the sea ice extent, temperature and precipitation.

449 Over the reanalysis period since 1979 the SSTs across parts of the tropical oceans  
450 have increased, tending to decrease MSLP in the ASL region. This has led to a statistically  
451 significant ( $p < 0.1$ ) decrease in the amplitude of wave number 1.

452 Other modes of climate variability are also related to the state of the planetary waves.  
453 As discussed earlier, changes in the SAM have varied around the continent and its zonal  
454 variability can be related the waves. The SAM is the primary mode of atmospheric variability  
455 at high southern latitudes and changes in the SAM can affect many aspects of the climate  
456 system (Thompson *et al.*, 2011). As shown earlier, wave number 3 is affected much less by  
457 tropical SSTs than the other planetary waves and its variability is more associated with the  
458 strength of the westerly winds over the Southern Ocean. These in turn are strongly influenced  
459 by the phase of the SAM so that changes in the SAM are related to the state of wave  
460 number 3.

461 Over the next century it is expected that the depletion of stratospheric ozone during the  
462 austral spring (the ozone hole) will recover, but it seems likely that greenhouse gas  
463 concentrations will increase. This will give a warming at the Earth's surface of several  
464 degrees, with the largest increases occurring over the land areas. A number of factors will  
465 influence how the Southern Hemisphere planetary waves will change over the coming  
466 decades (Freitas and Rao, 2014). However, a factor of particular importance to the Southern

467 Hemisphere planetary waves is how the ENSO cycle will change. Unfortunately, the current  
468 generation of coupled climate models give no clear indication as to how the ENSO cycle will  
469 change and whether there will be a greater frequency of El Niño or La Niña events (Vecchi  
470 and Wittenberg, 2010). Since the amplitudes and phases of the Southern Hemisphere  
471 planetary waves are strongly dependent on the phase of ENSO it is not possible at present to  
472 predict how these will change over the coming decades.

473

#### 474 Acknowledgements

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478

479

480 **Figure captions.**

481

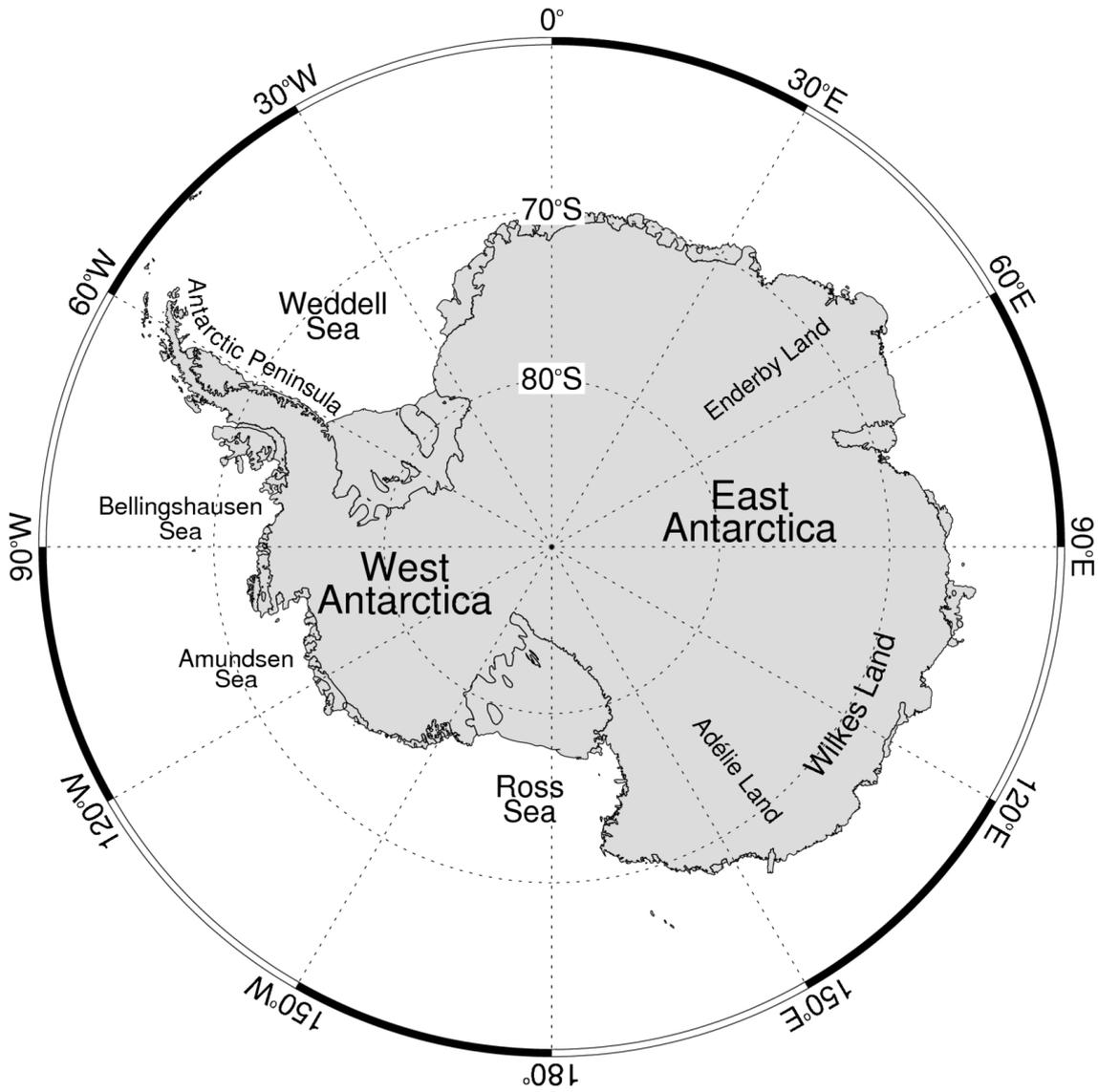


Figure 1. Map showing the locations referred to in the text.

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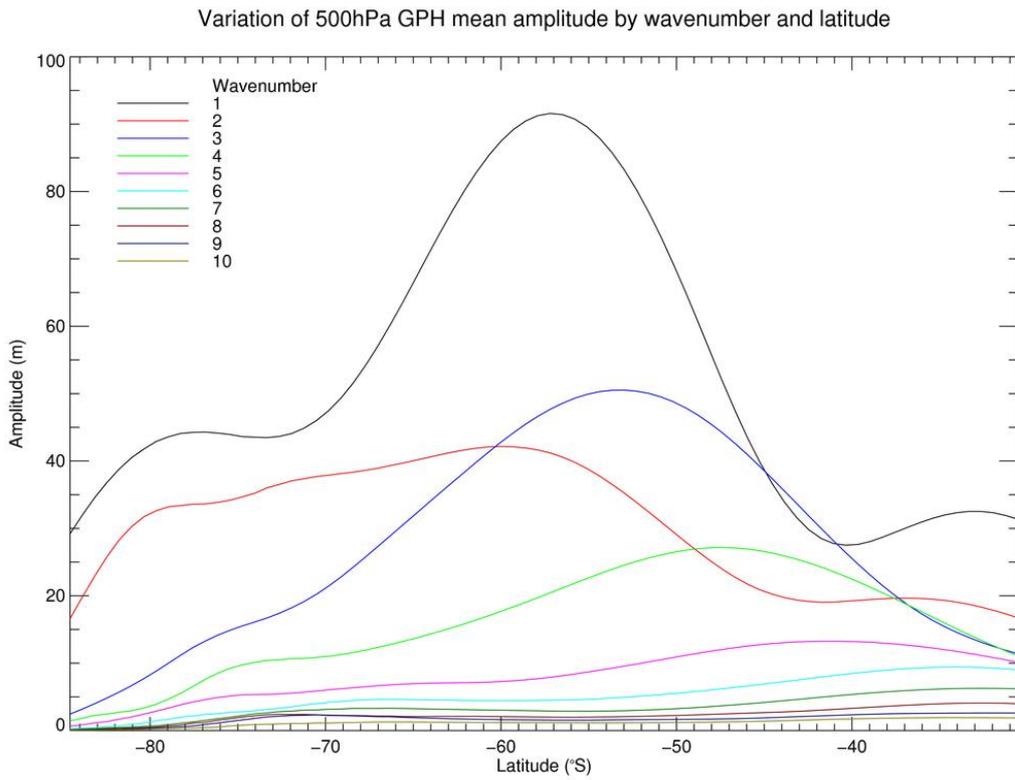


Figure 2. The zonal mean amplitudes (m) of wave numbers 1 to 10 across 30 - 90° S for 1979 - 2013.

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486

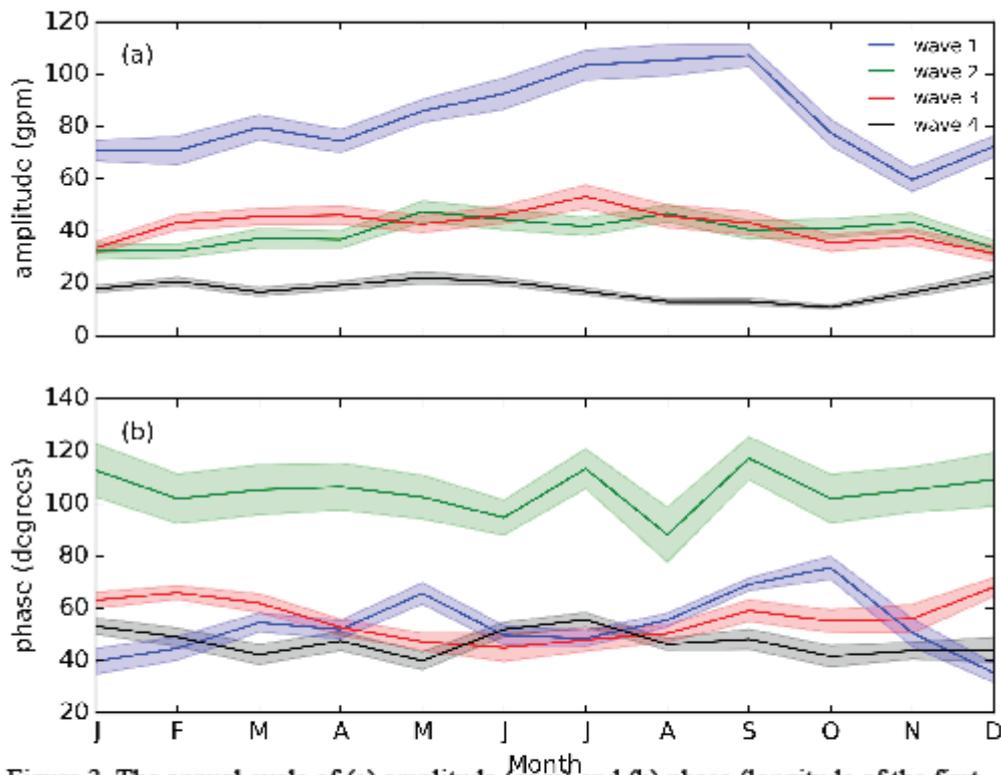


Figure 3. The annual cycle of (a) amplitude (gpm) and (b) phase (longitude of the first ridge east of Greenwich) of planetary waves 1-4 for all months over 1979-2013. Note, to aid presentation the longitude of the trough of wave number 1 is shown. The standard deviation

487

488 Figure 3. The annual cycle of (a) amplitude (gpm) and (b) phase (longitude of the first  
 489 east of Greenwich) of planetary waves 1-4 for all months over 1979-2013. Note, to aid  
 490 presentation the longitude of the trough of wave number 1 is shown. The standard deviation  
 491 of the mean is indicated by the shading.

492

493

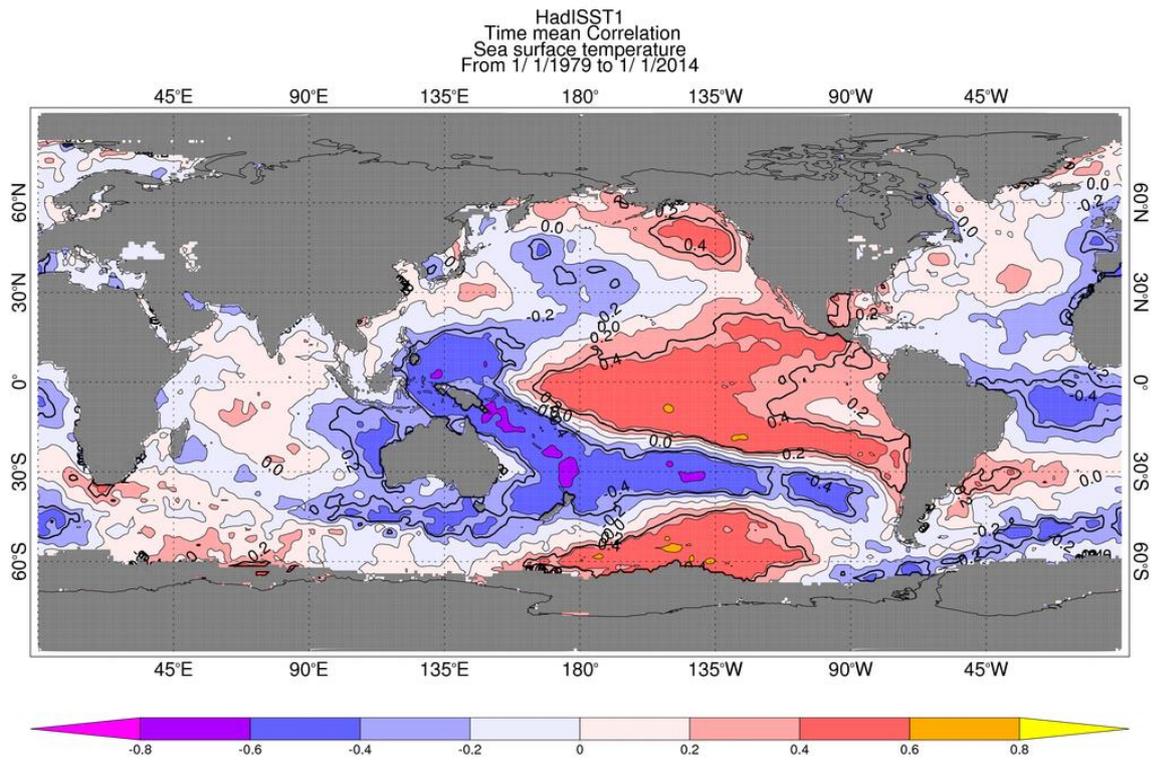


Figure 4a. The correlations of annual mean SSTs with (a) wave number 1 annual mean amplitude, (b) wave number 1 annual mean phase, (c) wave number 2 annual mean amplitude and (d) wave number 2 annual mean phase. Areas where the correlations are significant at  $p < 0.05$  are enclosed by a bold line.

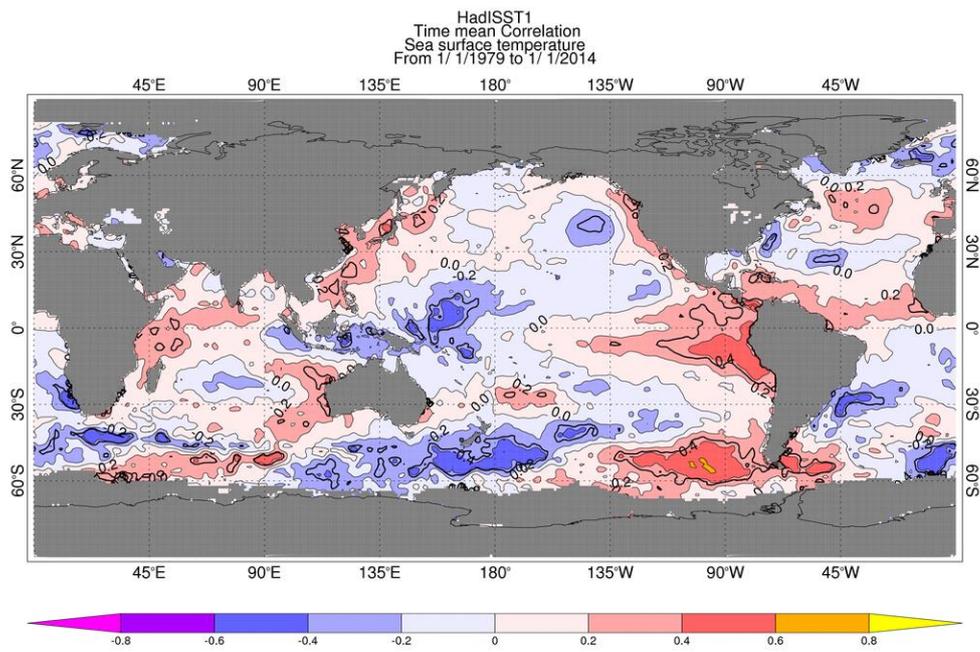


Figure 4b

495

496

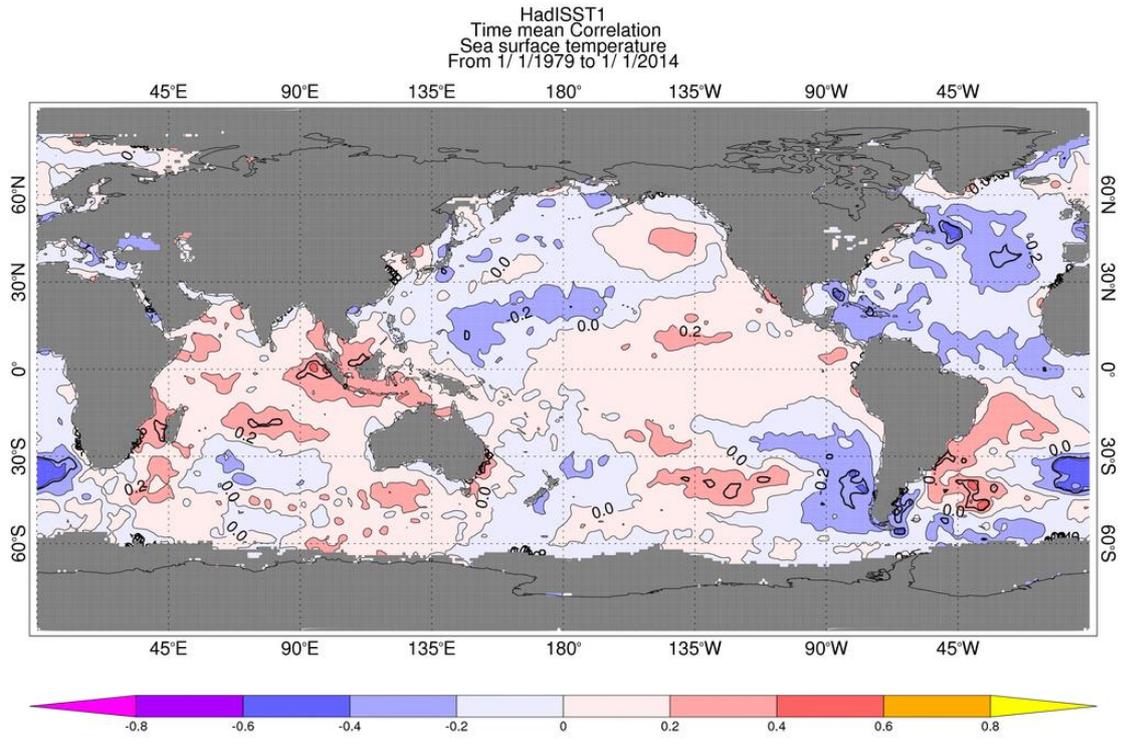


Figure 4c

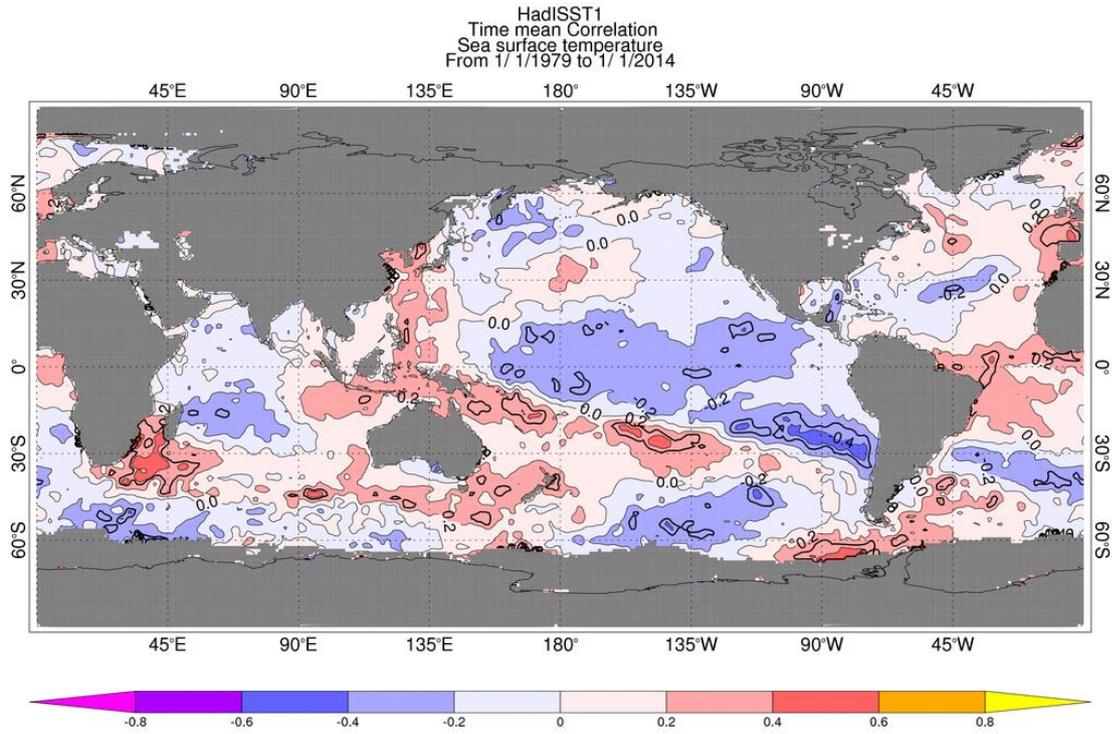


Figure 4d

498

499 Figure 4. The correlations of annual mean SSTs with (a) wave number 1 annual mean  
 500 amplitude, (b) wave number 1 annual mean phase Areas where the correlations are  
 501 significant at  $p < 0.05$  are enclosed by a bold line.

502

503

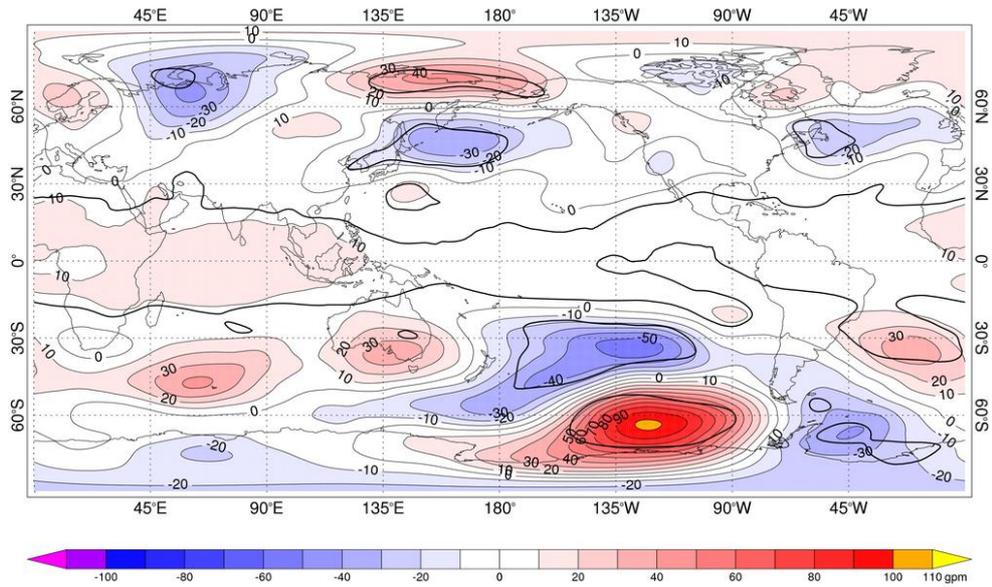


Figure 5. The differences in 500 hPa geopotential height (gpm) between the austral winters when the tropical Pacific was in the El Niño (1982, 1987, 1991, 1997, 2002) and La Niña (1981, 1984, 1985, 1988, 1989, 1999, 2000) phases of the ENSO cycle. Areas where the differences are significant at  $p < 0.05$  are enclosed by a bold line.

504

505

ERA-Interim  
Time mean Correlation  
U on P at 500.0 mbar  
From 1/ 1/1979 to 1/ 1/2014

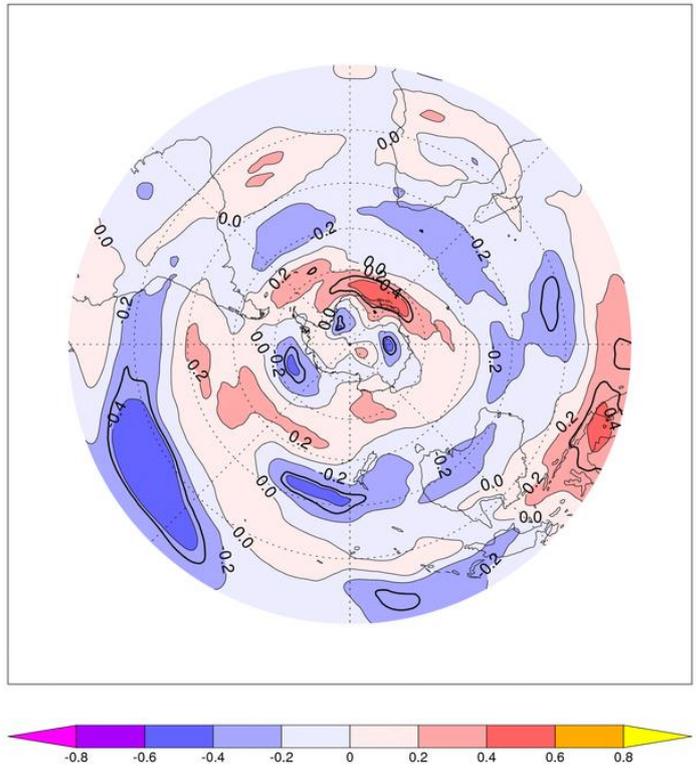


Figure 6a. The correlation of the annual mean (a) amplitude and (b) phase of wave number 3 with the annual mean zonal wind component at 500 hPa for 1979-2013. Areas where the correlations are significant at  $p < 0.05$  are enclosed by a bold line.

506

ERA-Interim  
Time mean Correlation  
U on P at 500.0 mbar  
From 1/ 1/1979 to 1/ 1/2014

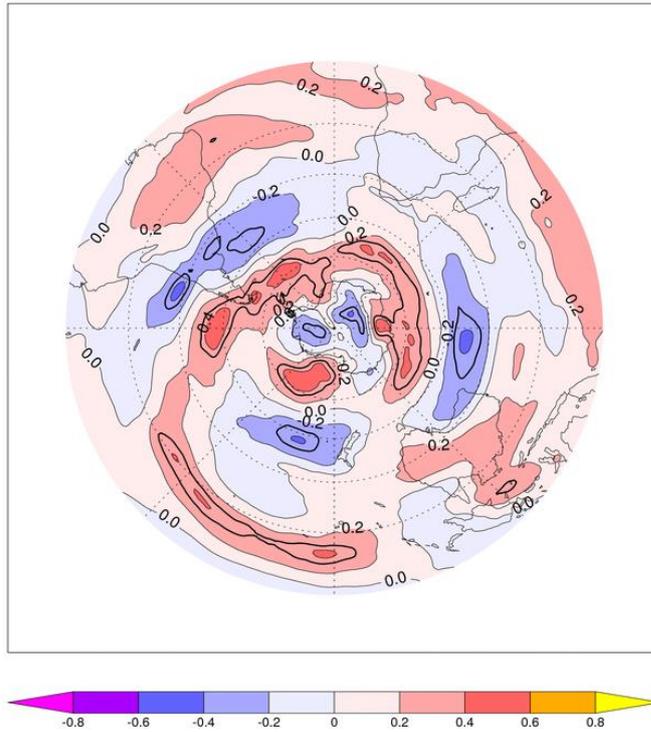


Figure 6b

507

508

509 Figure 6. The correlation of the annual mean (a) amplitude and (b) phase of wave number 3  
510 with the annual mean zonal wind component at 500 hPa for 1979-2013. Areas where the  
511 correlations are significant at  $p < 0.05$  are enclosed by a bold line.

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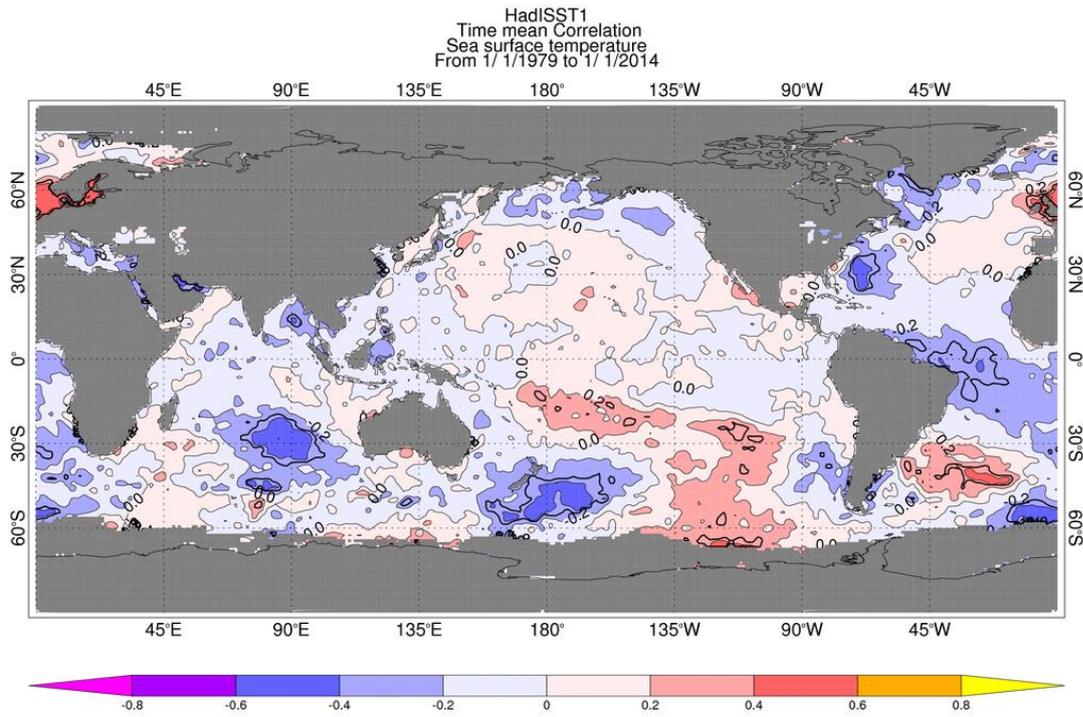


Figure 7a. Correlations of the annual mean (a) amplitude and (b) phase of wave number 4 with the annual mean SST for 1979 - 2013. Areas where the correlations are significant at  $p < 0.05$  are enclosed by a bold line.

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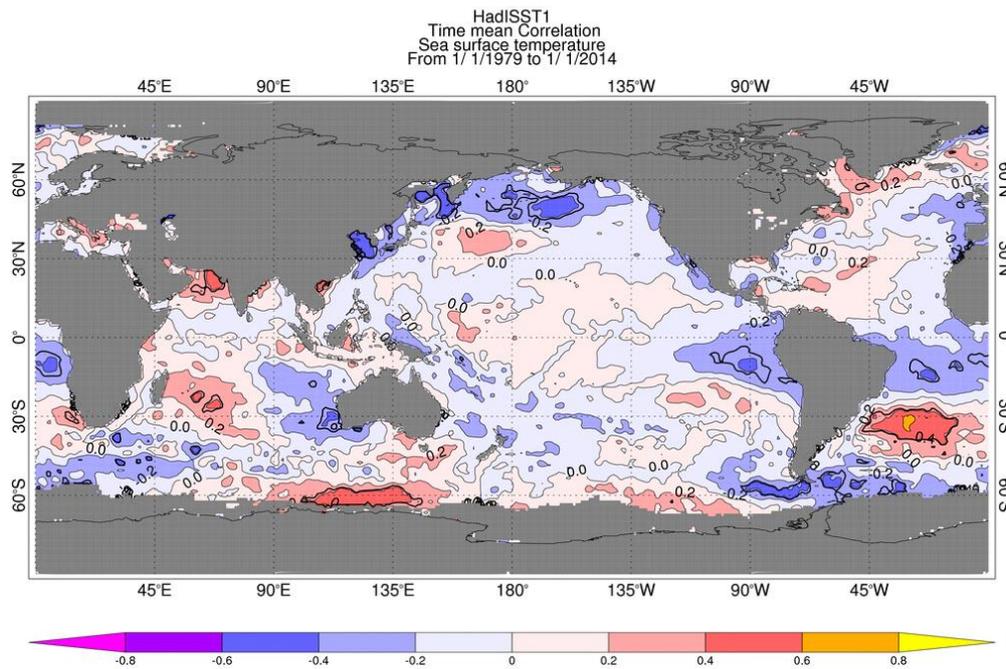


Figure 7b

516

517 Figure 7. Correlations of the annual mean (a) amplitude and (b) phase of wave number 4 with  
 518 the annual mean SST for 1979 - 2013. Areas where the correlations are significant at  $p < 0.05$   
 519 are enclosed by a bold line.

520

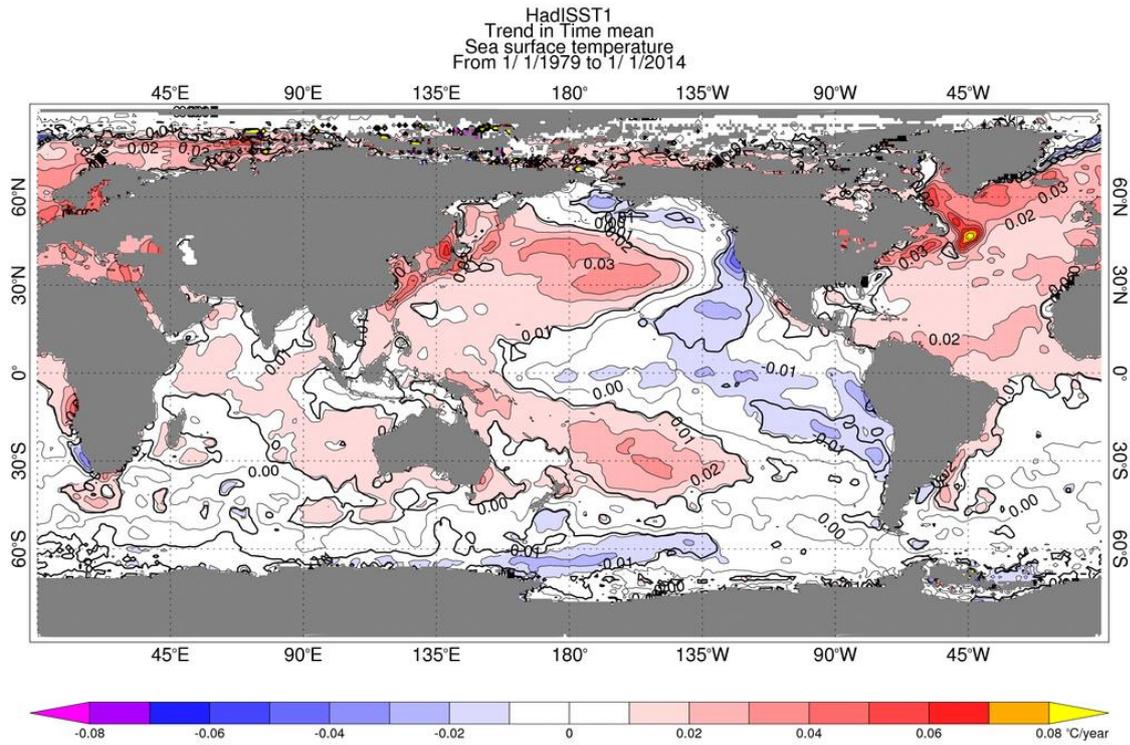


Figure 8. The trend in annual mean SST for 1979 – 2013. Areas where the trends are significant at  $p < 0.05$  are enclosed by a bold line.

521

522 Figure 8. The trend in annual mean SST for 1979 – 2013. Areas where the trends are  
523 significant at  $p < 0.05$  are enclosed by a bold line.

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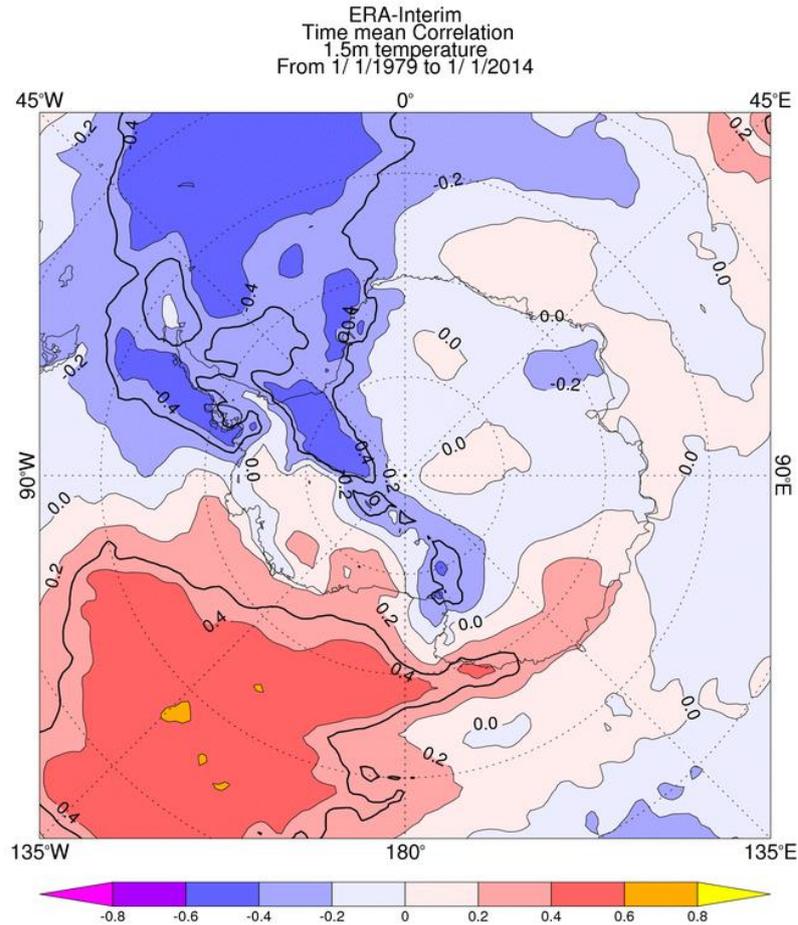


Figure 9. The correlation of annual mean 1.5 m air temperature with the annual mean amplitude of wave number 1 for 1979-2013. Areas where the correlations are significant at  $p < 0.05$  are enclosed by a bold line.

525  
526 Figure 9. The correlation of annual mean 1.5 m air temperature with the annual mean  
527 amplitude of wave number 1 for 1979-2013. Areas where the correlations are significant at  $p$   
528  $< 0.05$  are enclosed by a bold line.

529

530 **Table captions**

531

Wave number	Summer (DJF)	Fall (MAM)	Winter (JJA)	Spring (SON)	Year
1	71.4 (18.4)	80.0 (19.1)	100.5 (22.8)	81.5 (17.3)	83.3 (13.1)

2	32.9 (10.9)	40.5 (12.1)	44.4 (12.9)	41.7 (11.2)	39.8 (6.2)
3	36.3 (8.1)	44.8 (11.1)	48.4 (12.6)	38.9 (12.3)	42.1 (4.4)
4	20.6 (6.4)	19.4 (7.4)	17.0 (4.6)	13.6 (4.3)	17.6 (2.9)

532

533 Table 1a.

534

Wave number	Summer (DJF)	Fall (MAM)	Winter (JJA)	Spring (SON)	Year
1	140.6 W (14.9)	122.7 W (15.2)	128.8 W (10.4)	114.9 W (13.8)	126.6 W (7.3)
2	108.2 E (36.0)	104.7 E (33.6)	98.7 E (30.8)	108.1 E (32.7)	104.8 E (17.1)
3	65.6 E (11.8)	53.9 E (12.1)	47.7 E (15.6)	56.7 E (19.5)	56.0 E (7.6)
4	48.1 E (13.2)	43.2 E (13.2)	51.2 E (9.2)	44.5 E (13.4)	46.9 E (6.4)

535

536

537 Table 1. The zonal mean annual and seasonal (a) amplitudes (m) and (b) phases (degrees east  
538 of Greenwich of the first ridge) of wave numbers 1 to 4 over 55° – 65° S for 1979-2013. The  
539 standard deviations are given in parentheses.

540

Wave	Summer	Fall	Winter	Spring	Year
------	--------	------	--------	--------	------

number					
1	-0.82 ± 6.50	-4.77 ± 6.14	-5.81 ± 7.33	-4.47 ± 5.56	-3.64* ± 4.20
2	-0.46 ± 3.83	0.75 ± 4.02	-0.35 ± 4.29	1.83 ± 3.68	0.58 ± 2.07
3	2.29 ± 2.76	-2.61 ± 3.58	-0.50 ± 4.19	1.36 ± 4.05	0.11 ± 1.48
4	-0.28 ± 2.22	-1.64 ± 2.41	-0.47 ± 1.53	1.12 ± 1.39	-0.15 ± -.96

541

542 Table 2a.

543

Wave number	Summer	Fall	Winter	Spring	Year
1	1.17 ± 5.16	0.62 ± 5.04	1.39 ± 3.44	2.57 ± 4.50	1.08 ± 2.39
2	4.38 ± 12.63	9.15 ± 10.76	0.82 ± 10.27	4.63 ± 10.78	4.24 ± 5.55
3	0.40 ± 4.16	0.32 ± 4.02	1.46 ± 5.17	2.81 ± 6.42	1.06 ± 2.50
4	-0.91 ± 4.39	-1.58 ± 4.35	-2.69* ± 2.92	2.81 ± 4.37	-0.31 ± 2.14

544

545 Table 2b

546

547 Table 2. The zonal mean annual and seasonal trends in (a) the amplitudes ( $\text{m dec}^{-1}$ ) and (b)  
548 the phases ( $\text{degrees dec}^{-1}$ ) of wave numbers 1 to 4 over  $55^\circ - 65^\circ \text{ S}$  for 1979-2013.  
549 Significance is indicated as  $p < 0.1$  (\*).

550

Wave number	Amplitude	Phase
1	<b>Sea ice.</b> Correlated (anticorrelated) over the Bellingshausen-Weddell Seas (Ross Sea).	<b>Sea ice.</b> Correlated (anticorrelated) off Wilkes Land (West Antarctica).

	<p><b>Temperature.</b> Correlated (anticorrelated) over the Ross Sea (Bellingshausen-Weddell Seas).</p> <p><b>Precipitation.</b> Correlated over western West Antarctica and the Ross Sea.</p>	<p><b>Temperature.</b> Anticorrelated (correlated) off Wilkes Land (West Antarctica).</p> <p><b>Precipitation.</b> Correlated (anticorrelated) in the coastal areas of West Antarctica to Victoria Land (around the tip of the AP and over Wilkes Land).</p>
2	<p><b>Sea ice.</b> No links.</p> <p><b>Temperature.</b> No links.</p> <p><b>Precipitation.</b> Anticorrelated across small areas of West Antarctica and the Weddell Sea.</p>	<p><b>Sea ice.</b> Anticorrelated (correlated) over the Bellingshausen Sea (north of Dronning Maud land).</p> <p><b>Temperature.</b> Correlated (anticorrelated) from the Bellingshausen Sea to the Weddell Sea (over East Antarctica and off Dronning Maud land).</p> <p><b>Precipitation.</b> Correlated (anticorrelated) over the AP and eastern West Antarctic (western West Antarctica).</p>
3	<p><b>Sea ice.</b> Correlated (anticorrelated) along the coast of West Antarctica (in the Weddell Sea).</p> <p><b>Temperature.</b> There is a small area of correlation over western West Antarctica.</p> <p><b>Precipitation.</b> Anticorrelated (correlated) over the Amundsen Sea (small parts of East Antarctica).</p>	<p><b>Sea ice.</b> Correlated (anticorrelated) over the Amundsen Sea, Weddell Sea and north of Dronning Maud land (Ross Sea).</p> <p><b>Temperature.</b> Anticorrelated with temperature across West Antarctica and Dronning Maud land.</p> <p><b>Precipitation.</b> Correlated (anticorrelated) across the AP (West Antarctica and Dronning Maud land).</p>
4	<p><b>Sea ice.</b> Anticorrelated between the AP and Ross Sea.</p> <p><b>Temperature.</b> Correlated over the</p>	<p><b>Sea ice.</b> Correlated around the tip of the AP/northern Weddell Sea.</p>

	Ross Sea and the western part of West Antarctica.	<b>Temperature.</b> Anticorrelated over the AP and northern Weddell Sea.
	<b>Precipitation.</b> Correlated over western West Antarctic and Wilkes Land (the Weddell Sea).	<b>Precipitation.</b> Anticorrelated over the AP.

551

552 Table 3. The locations of significant ( $p < 0.05$ ) links between the annual mean amplitude and

553 phase of wave numbers 1 to 4 and sea ice concentration, surface temperature and

554 precipitation. AP = Antarctic Peninsula.

555

556

557 Table 3. The locations of significant ( $p < 0.05$ ) links between the annual mean amplitude and

558 phase of wave numbers 1 to 4 and sea ice concentration, surface temperature and

559 precipitation. AP = Antarctic Peninsula.

560

561

## 562 **Acknowledgement**

563

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567 programme of the British Antarctic Survey.

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