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**Testing Copper-Speciation Predictions in Freshwaters over a Wide Range of Metal–Organic Matter Ratios**

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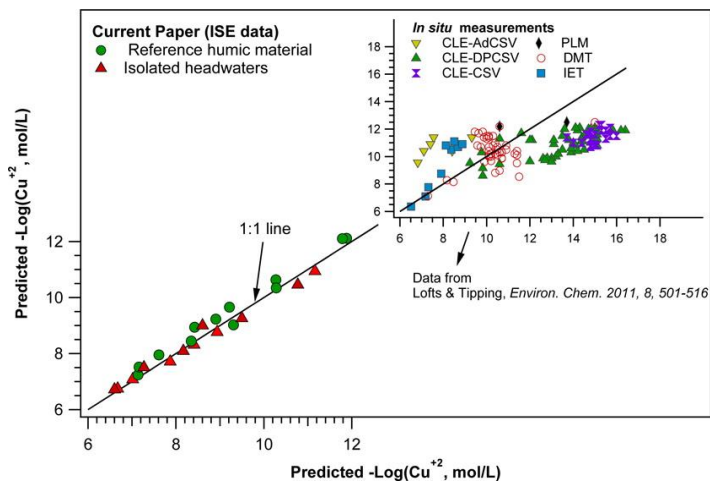
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## 32 Abstract

33 The harsh chemical conditions involved in the isolation of fulvic acids (FA) and humic acids  
34 (HA) have been identified as a possible contributing factor to the significant mismatch between  
35 in situ measurements and model predictions of trace metal speciation in freshwaters, resulting  
36 from the use of isolated FA and HA in model calibration. A set of experimental assays were  
37 developed to enable Cu binding to DOM to be measured over the full range of [Cu]/[DOC]  
38 ratios ( $\sim 1\text{--}460 \mu\text{mol g}^{-1}$ ) observed in surface freshwaters. They were applied to the widely  
39 used and traditionally isolated Suwannee River HA and FA and to DOM isolated from  
40 headwater streams by a mild procedure using minimal chemical treatment. Good agreement  
41 was observed between measured free ion activities and those predicted using both  
42 WHAM/Model VII and NICA–Donnan speciation models for both traditionally and mildly  
43 isolated DOM. Agreement to within a factor of 2 for WHAM/Model VII contrasts with 100-fold  
44 differences previously reported between in situ  $\text{Cu}^{2+}$  measurements and model predictions  
45 for a wide range of conditions. The results demonstrate that (a) existing speciation models are  
46 capable of accurately predicting Cu-humic binding in natural waters at environmentally  
47 realistic [Cu]/[DOC] ratios, under equilibrium conditions, and (b) that the isolation procedures  
48 traditionally used for HA and FA do not appreciably affect their binding characteristics.

## 49 Abstract graphic



## 52 Introduction

53 The chemical speciation of metals is fundamental to understanding their reactivity, mobility,  
54 bioavailability, and toxicity in aquatic, sediment, and soil systems. Model predictions of the  
55 chemical speciation of cationic trace metals are increasingly being used in a wide range of  
56 applications in freshwater systems. Examples include their use in Environmental Risk  
57 Assessments(1, 2) and in studies of ecotoxicity,(3, 4) environmental fate,(5)  
58 bioaccumulation,(6) and metal solubility.(7) One of the greatest challenges of modeling metal  
59 speciation in freshwaters has been reliable prediction of metal binding to natural dissolved  
60 organic matter (DOM). The principal software packages currently in use for this purpose  
61 include vMINTEQ,(8) ECOSAT(9) and ORCHESTRA, which all incorporate the NICA-Donnan  
62 model,(10, 11) and versions of WHAM, incorporating humic substances binding Model VI or  
63 VII.(12-14) Both WHAM and NICA-Donnan are equilibrium-based models, calibrated using  
64 published data on metal and proton binding to fulvic and humic acids, obtained from  
65 freshwaters and soils by various standard isolation schemes. Since they are calibrated more  
66 or less with the same binding data, the two models give broadly similar predictions of metal-  
67 humic binding, although there are differences in detail. Despite many advances being made  
68 in recent years, significant uncertainties remain in our ability to make reliable predictions and  
69 measurements of metal speciation under natural conditions.(15) For example, agreement  
70 between in situ measurements in solution and model (WHAM) predictions of free-ion  
71 concentrations is currently only within an order of magnitude for Al, Co, Zn, and Cd, and 2  
72 orders of magnitude for Cu and Pb.(16) Hamilton–Taylor et al.(15) identified a number of  
73 factors that may contribute to the observed discrepancies. On the modeling side, there is  
74 uncertainty as to whether isolated humic fractions are truly representative of freshwater DOM,  
75 since it has been suggested that the extremes of pH used during the isolation of fulvic and  
76 humic acids may introduce artifacts that could affect their binding properties.(17) Another  
77 factor is that other unspecified ligands (e.g., low molecular weight organic ligands, microbial  
78 exudates,(18, 19) anthropogenic compounds,(20-22) and sulfides(23)) may have a significant  
79 effect on the measured speciation. There is also the question of whether an equilibrium  
80 approach is always appropriate to field conditions. For example, the rates of equilibration with  
81 strong humic binding sites may be sufficiently slow that in natural waters, where there is a  
82 dynamic supply of dissolved metal, the free ion activity may not be controlled by these sites.  
83 There are also uncertainties that relate to the model itself. Single (mean) values of binding  
84 parameters are routinely used so that the effects of natural variability,(24, 25) particularly in  
85 the nature of the humic material, are generally not assessed. Model-input concentrations of  
86 metals and humic substances (HS) are normally based on measurements of total filterable  
87 (e.g., < 0.45  $\mu\text{m}$ ) metal and dissolved organic carbon (DOC), with some assumptions being

88 made about the humic fraction of the measured DOC.(26, 27) The use of filterable  
89 concentrations can lead to errors in predicted free ion concentrations due to the presence of  
90 inorganic colloids (e.g., Fe oxides).(28, 29) While comparisons between in situ measurements  
91 and model predictions are important for validation purposes and for indicating the total scale  
92 of the combined errors associated with measurements and predictions, they are not well-  
93 suited to determining the significance of the individual potential sources of error, described  
94 above.

95 The main aims of the present work were to develop and apply a simple protocol to investigate  
96 one of the key identified uncertainties, namely whether model parametrization, using isolated  
97 fulvic and humic acids, provides a true measure of humic binding under natural conditions.  
98 The effects of variable model inputs and inorganic colloids were also investigated. In order to  
99 achieve the aims, a number of key methodological requirements are identified. The analytical  
100 technique must be reliable and well-tested, and should provide a well-defined speciation  
101 measure (preferably the free-ion concentration). While ion-selective electrodes (ISE) meet this  
102 requirement and have been used before for model testing, they have the disadvantage of  
103 limited sensitivity, typically requiring metal addition prior to measurement.(30) However, the  
104 critical issue in model testing is the [metal]/[DOC] concentration ratio rather than the absolute  
105 metal concentration. Because of the heterogeneous nature of humic binding sites,(17) it is  
106 important that the measurements cover a range of [metal]/[DOC] ratios, including those found  
107 in natural waters. Our approach combines ISE measurements with preconcentration of DOM,  
108 to allow metal binding measurements at realistic [metal]/[DOC] ratios. Given the aims of the  
109 work, it is essential that the preconcentration method avoid the harsh chemical conditions  
110 experienced during the isolation of fulvic and humic acids. Reverse osmosis(22) and low-  
111 temperature rotary evaporation(31) have both been used in recent years for isolating and  
112 preconcentrating DOM without affecting its reactivity or integrity (e.g., molecular size  
113 distribution).(32, 33) Finally, the field sites were selected to ensure, as far as possible, that  
114 humic substances were the only significant organic ligands present.

## 115 **Materials and Methods**

### 116 **Sampling and Isolation of DOM**

117 Freshwater samples were collected from Rough Sike (54.688° N 2.380° W) and Brown Gill  
118 (54.776° N 2.389° W), two headwater streams in the North Pennines, England. Rough Sike  
119 (RS) is a remote, high altitude (560 m) stream within the Moor House National Nature Reserve,  
120 whose catchment is dominated by blanket peat of total depth 1–4 m.(34) Vegetation is  
121 dominated by heather (*Calluna vulgaris*), cotton grass (*Eriophorum* spp.), and Sphagnum  
122 moss. The Brown Gill (BG) site is at an altitude of 430 m. The lower part of its catchment

123 comprises mainly uncultivated grasslands on acid loams and clays, and is used for rough  
124 grazing. The upper part is formed of blanket peat. There are also disused lead-mine workings  
125 within the catchment. A total of 100 L of water samples was collected from August to  
126 September 2010 over five visits (20 L each time) from midstream points at both sites. Prior to  
127 sampling, HDPE plastic containers (10 L) were soaked with 4% Decon-90 overnight and 10%  
128 HCl followed by a thorough rinsing with deionized water. Containers were also prerinsed three  
129 times on site with streamwater.

130 The DOM isolation method was based on that of Thacker et al. (31) but was modified so that  
131 the ion-exchange step to remove Ca and Mg was undertaken before evaporative  
132 concentration, in order to preclude CaCO<sub>3</sub> precipitation and hence possible loss of DOM.  
133 Sample processing was begun on the day of sampling and involved sequential filtration  
134 through Whatman GF/C (<1.2 μm) and GF/F (<0.7 μm) glass-fiber filter papers. The filtration  
135 system comprised a polycarbonate in-line filter holder (Geotech Environmental Equipment,  
136 Inc.), connected to a peristaltic pump with Marprene tubing. The system was washed using  
137 0.1 M HCl and flushed (20 L) with deionized water before use. The first 250 mL of the collected  
138 filtrate from each location were discarded and the remaining sample passed through a 250  
139 cm<sup>3</sup> column of Na-saturated Amberlite IR-120 (Na<sup>+</sup>) resin at a flow rate of 50 cm<sup>3</sup> min<sup>-1</sup>. The  
140 column was preconditioned by flushing with 1 L of NaNO<sub>3</sub> (0.1 M) at a flow rate of 30 cm<sup>3</sup> min<sup>-1</sup>  
141 followed by 5 L deionized water. This preconditioning step was repeated three times and  
142 subsamples from column eluates were collected to test the DOC bleed from the resin column.  
143 Repeated tests indicated a DOC bleed below the detection limit of the TOC analyzer (~0.05  
144 mg L<sup>-1</sup>). The column eluate from a prescribed sample was then concentrated in vacuo using  
145 a low-temperature rotary evaporator (Büchi Rotavapor R-220). Samples were added  
146 incrementally to an amber evaporation flask in a 318 K water bath, while maintaining a vacuum  
147 of 10 mbar. The combined concentrate (~3 L) from the five 20 L samples was subjected to a  
148 further concentration step to ~800 mL and then filtered through a 0.22 μm surfactant-free MCE  
149 MF-Millipore membrane. All sample storage during and after processing was undertaken at 4  
150 °C in the dark. The volumes of the final isolates were determined to enable calculation of DOC  
151 recoveries. Detailed chemical analysis was performed on final isolates from the RS and BG  
152 sites. The concentrations of trace metals and major cations were analyzed using ICP-MS  
153 (Thermo Xseries-II) and ICP-OES (Thermo iCAP 6000), respectively. The concentrations of  
154 nitrate, chloride, sulfate, and phosphate were analyzed by ion chromatography (Dionex  
155 DX100), and ammonium analyzed colorimetrically. Alkalinity was determined by Gran titration.  
156 DOC concentration was determined using a Shimadzu TOC-VCPN analyzer. The  
157 concentrations of the chelating agents EDTA (ethylenedinitrilotetraacetate) and NTA

158 (nitrilotriacetate) in isolated DOM were determined by a gas chromatographic method using  
159 the international standard ISO 16588:2002.(35)

## 160 **Metal-Binding Assays and Cu Free-Ion Measurement**

161 We used a laboratory-assay approach, in which free-metal ion (FMI) activities were measured  
162 in standardized solutions, at a wide range of [metal]/[DOC] ratios, pH values and competing  
163 metal concentrations. This approach enabled simultaneous execution of multiple experiments  
164 with long equilibration times, facilitating the efficient collection of binding data over a diverse  
165 range of conditions. Copper was used as the target metal because of the known reliability of  
166 its FMI measurement by ion-selective electrode (30, 36) and because the humic-binding  
167 models are better calibrated with copper than with any other metal.(10, 13). Six laboratory  
168 assays were run in triplicate with the target conditions shown in Table 1. In addition to the two  
169 natural DOM isolates, Suwannee River fulvic acid (SRFA 2S101F) and humic acid (SRHA  
170 2S101H), obtained from the International Humic Substances Society (IHSS), were used to  
171 make up two parallel series of reference assays. The background ionic strength was  $100 \pm$   
172  $0.7$  mM in all cases, to ensure optimum electrode performance and for consistency with the  
173 concentrated assay solutions. The measured chemical compositions of the DOM isolates and  
174 concentrated stock solutions of SRFA and SRHA were input into WHAM VII (see Speciation  
175 Modeling) to calculate the volume of  $\text{KNO}_3$  or/and  $\text{Ca}(\text{NO}_3)_2$  solutions required to produce  
176 the required ionic strength, taking account of the various dilutions required for the assays.  
177 Calcium, as  $\text{Ca}(\text{NO}_3)_2$ , was added only to assays #5 and #6 at a  $[\text{K}]/[\text{Ca}]$  molar ratio of 1:1 in  
178 SRFA and SRHA assays and at a ratio of 3:1 in isolated DOM assays. All reagents were of  
179 analytical grade or higher and were used without further purification. Fisher Scientific analytical  
180 standards ( $1000 \text{ mg L}^{-1}$ ) of Cu, Ni and Zn were used for assay spikes and ISE calibration. All  
181 plastic-ware were soaked in 10%  $\text{HNO}_3$  (v/v) for at least 48 h and rinsed thoroughly in  
182 deionized water before use. Deionized ultrapure water with a resistivity of  $18.2 \text{ M}\Omega\cdot\text{cm}$  (Milli-  
183 Q, Millipore) was used throughout the study. Assays 1–5 were maintained at  $\text{pH } 6.0 \pm 0.1$  by  
184 the additions of 1 mM 2-(N-morpholino)ethanesulphonic acid buffer (MES). Binding of Cu by  
185 MES was examined and found to be negligible under the experimental conditions of the  
186 assays. Small additions of 0.1 M  $\text{HNO}_3$  or 0.1 M KOH (Fixanal, Fulka) were required to bring  
187 the pH of assay #6 to  $4.0 \pm 0.05$ . The samples were kept on an orbital shaker and left to  
188 equilibrate for 2 weeks in the dark before undertaking Cu-ISE measurements. The final trace-  
189 metal compositions of each of the triplicated assay solutions were measured by ICP–MS and  
190 their pH determined using an Orion Ross Sure-Flow combination pH electrode, calibrated  
191 following procedures recommended by Davison.(37).

192 Free Cu<sup>2+</sup> activities were measured with an Orion Ionplus Cupric solid-state combination ISE  
193 (model 9629BNWP, Thermo Fisher Scientific) with a built-in Sure-Flow reference junction.  
194 After each measurement, the sensing element was rinsed with deionized water and then  
195 immersed sequentially in 0.025 M H<sub>2</sub>SO<sub>4</sub> for 5 min and a 10% Chelex-100 suspension (pH  
196 9) for another 5 min, before a final thorough water rinse. This procedure consistently produced  
197 initial electrode potentials below -390 mV. Electrode drift was minimized by gentle polishing  
198 (30 s) of the shiny surface with a 0.3 μm wet alumina polishing strip (Orion 948201) before  
199 each calibration. The effect of photoinduced reaction at the ISE probe surface and in DOM-  
200 containing samples was minimized by placing the titration cell or sample container in an  
201 opaque Perspex box. The electrode response and the calibration curve were determined using  
202 100 mL Cu(NO<sub>3</sub>)<sub>2</sub> solution volumes over a concentration range of 1.0–1000 μM at an ionic  
203 strength of 100 M (KNO<sub>3</sub>). For low [Cu<sub>Tot</sub>], better results and faster stabilization of the Cu-  
204 ISE were obtained in ≥100 mL solution volumes (see Figure S1 of the Supporting Information)  
205 and hence all assays were carried out using 100 mL solutions. To avoid the precipitation of  
206 Cu(OH)<sub>2</sub>(s), all of the calibration standards were maintained at pH ≈ 4.5 using small additions  
207 of 0.1 M HNO<sub>3</sub> (Suprapure, Merck). To minimize losses on container walls, calibration  
208 solutions were prepared in acid-cleaned containers and left overnight. On the following day,  
209 the solutions were decanted and containers rinsed briefly in Milli-Q water then new calibration  
210 solutions were prepared in the same containers. The total Cu concentration in each calibration  
211 solution was determined by ICP-MS. Normally, the Cu-ISE electrode is capable of detecting  
212 Cu<sup>2+</sup> activity to pCu (-log<sub>10</sub> Cu, mol L<sup>-1</sup>) ~7.4 (~40 nM), but Cu detection can be extended  
213 well beyond this limit by buffering Cu concentration using 1,2-diaminoethane (EN).(38)  
214 Extended calibration of Cu-ISE was carried out by pH-titration of solutions (100 mL) containing  
215 0.1 mM Cu(NO<sub>3</sub>)<sub>2</sub> and 2.5 mM 1,2-diaminoethane monohydrate (Merck) at an ionic strength  
216 of 0.1 M adjusted using KNO<sub>3</sub>. To avoid problems caused by memory effects of the Cu-ISE  
217 electrode, acidimetric titration (from pH ~ 9.8 to 6.0) was undertaken using an automatic piston  
218 buret (Schott, Titronic Universal 20 mL). Changes in the ionic strength during the titration were  
219 explicitly taken into account for each experimental data point. The ISE potential and pH  
220 readings were taken on an Orion 720Aplus meter with an input impedance of >10<sup>12</sup> Ω.  
221 Calibration solutions were flushed with N<sub>2</sub>(g) for 5 min, then pre-moistened N<sub>2</sub>(g) was slowly  
222 passed through the headspace over the solutions in order to exclude O<sub>2</sub>(g) and CO<sub>2</sub>(g) during  
223 calibration. The pH was recorded using a code written in Visual Basic every 10 s until its drift  
224 was <0.005 min<sup>-1</sup>. After pH stabilization, the Cu-ISE cell potential was measured at 30 s  
225 intervals until its drift was <0.2 mV min<sup>-1</sup>. The maximum stabilization time was ~45 min at low  
226 Cu<sup>2+</sup> activity (pCu > 8) and <30 min at higher Cu<sup>2+</sup> activities. The minimum time for a single  
227 Cu-ISE measurement was set at 15 min. All ISE measurements were carried out in a constant  
228 temperature (290 ± 3 K) class 100 clean room. To further control solution temperature,



229 samples were placed in a small water bath on a digital controlled precision hot plate. This  
230 approach minimized variations in temperature between calibrations and in samples to  $\leq 0.5$  K.  
231 Solutions were stirred at a constant speed (500 rpm). The same measurement protocol was  
232 used for assay samples following an equilibration period between DOM and the added metal  
233 of at least 2 weeks. The Visual Basic code produced a real-time response time curve of the  
234 Cu-ISE, facilitating a visual decision on whether a particular potential reading represented a  
235 true equilibrium state (see Figure S2 of the Supporting Information). Stable readings were  
236 obtained within 45–60 min in all assay samples, except those of assay 4 where a stable  
237 reading was established after  $\sim 4$  h (see Figure S2 of the Supporting Information) due to the  
238 low [Cu]/[DOC] ratio.

239 The total Cu concentration in each calibration solution, determined by ICP–MS, was generally  
240 within  $\pm 2\%$  (spikes plus carry-over in the DOM isolates) of the concentrations calculated from  
241 the added standards. The free  $\text{Cu}^{2+}$  ion activities in the calibration solutions were obtained  
242 from the measured total concentrations, using WHAM VII, and then used to create the  
243 extended calibration graph shown in Figure S3 of the Supporting Information. Critical stability  
244 constants of EN complexes were taken from Smith and Martell (39, 40) and added to the  
245 WHAM VII database. The free-ion estimates were checked against predictions obtained with  
246 PHREEQC,(41) MINEQL+,(42) and ORCHESTRA (43) and in all cases were found to be  
247 within  $\pm 1\%$ , owing to slight differences in stability constants between different thermodynamic  
248 databases. The Cu-ISE calibration data show excellent linearity ( $R^2 = 0.999$ ,  $n_{\text{sample}} = 26$ )  
249 and reproducibility in the range  $\text{pCu}$  3–18 (Figure S3 of the Supporting Information), together  
250 with a slope ( $28.7 \pm 0.08$  mV/log M) close to the theoretical Nernstian response (29.1 mV/log  
251 M) at 293 K. The main interference in the assays is most likely to arise from the chloride ion.  
252 Bryan (44) reported interference at 100 mM chloride, but none at 10 mM. The chloride  
253 concentrations in the assay solutions (Tables S1–S4 of the Supporting Information) were  $< 10$   
254 mM, except in assays 4–6 for Brown Gill when slightly higher concentrations were present (13  
255 mM Cl).

## 256 **Speciation Modeling**

257 Chemical speciation was calculated primarily using the equilibrium models: WHAM/Model  
258 VII(16) and NICA–Donnan.(45) WHAM VII is a recent development in the WHAM series of  
259 models that includes the same inorganic speciation code as previous versions but a simplified  
260 parameter set for metal-HS binding. Compared with Model VI, it provides improved  
261 descriptions of metal binding at high pH and an expanded database for cation binding to HS.  
262 Model VII also includes, for the first time, a Monte Carlo routine for estimating the effects of  
263 uncertainty in metal binding parameters.(24) In this analysis, the principal metal-binding  
264 constant (log  $K_{\text{MA}}$ ) of each metal is varied in a series of model runs by random sampling from

265 a normal distribution around the mean default value. The normal distributions are based on  
266 the different values of  $\log K_{MA}$ , obtained from model calibration with independent published  
267 binding data sets. Log  $K_{MA}$  uncertainty calculations for all dissolved metal ions were  
268 undertaken for each assay, using a sample size of 1999 parameter sets, while the HA and FA  
269 proton parameters remained unchanged. The ORCHESTRA (43) equilibrium framework was  
270 used for NICA–Donnan speciation calculations. Metal-inorganic complexes were calculated  
271 using MINTEQ database version 4.0.(46) The generic NICA-Donnan model parameters for  
272 humic acid and fulvic acid were used as provided by Milne et al.(47) Activity coefficients were  
273 calculated using the Davies equation with both models.

274 The compositions of the assay solutions shown in Tables S1–S4 of the Supporting Information  
275 were used to define the model inputs. In the case of pH and the trace metal concentrations,  
276 including Al and Fe, the measured values in each of the triplicated assay solutions were used  
277 in separate model runs. All solutions were assumed to be fully oxidized and in equilibrium with  
278 atmospheric CO<sub>2</sub>, at a partial pressure of  $3.5 \times 10^{-4}$  atm. The predicted Cu<sup>2+</sup> activity was found  
279 to be insensitive to alternative assumptions about CO<sub>2</sub>. The fraction of chemically active DOM  
280 in natural waters generally requires adjustment to predict metal binding accurately. A range of  
281 assumptions about the chemically active DOM fraction have been used, e.g., 65% FA and  
282 35% inert,(30, 48) 69% FA and 31% inert,(49) 41% FA and 59% inert (25), and 40% FA, 40%  
283 HA, and 20% inert.(50) We tested three assumptions of active DOM (total DOM assumed to  
284 be 2-times DOC by weight) fractions: 65% FA and 35% inert; 55% FA, 10% HA, and 35%  
285 inert; and 100% FA. The saturation status of the assay solutions was examined with respect  
286 to Al(OH)<sub>3</sub> and Fe(OH)<sub>3</sub> as part of the model runs. In WHAM Model VI, the Fe(OH)<sub>3</sub> solubility  
287 expression as a function of pH is based on calculated activities of FeIII in natural waters as  
288 described in detail by Lofts et al.(7) On the basis of the same work of Lofts et al.,(7) the  
289 activities and solubility expression of FeIII were recalculated using Model VII.(24)

## 290 **Results and Discussion**

### 291 **DOM Isolate and Assay-Solution Compositions**

292 Comparisons between the major-ion compositions of the DOM isolates and the mean filtered  
293 streamwater compositions (Table S5 of the Supporting Information) show that more than 96%  
294 of the Ca was removed from the source waters by the ion-exchange step. The measured  
295 recovery (97–98%) of DOM for the two natural waters compares favorably with recoveries  
296 (70–107%)(31, 51) obtained using the same technique, with the order of the ion-exchange  
297 and evaporation steps reversed, and are similar to that (~95%) obtained with reverse  
298 osmosis.(22) The assay-solution compositions (Tables S1–S4 of the Supporting Information),  
299 including the ionic strengths calculated by WHAM VII from the input compositions, were

300 generally close to the target values (Table 1). In the case of BG and SRHA, lower  
301 concentrations of DOC were used in assays 2–6 because of limited availability of DOM. The  
302 measured pH values and total Cu concentrations were invariably close to the target values,  
303 and showed little variation between the triplicated assay solutions. The standard deviations of  
304 these two variables are shown for each assay in Tables S1–S4 of the Supporting Information.  
305 Table 2 compares the range of the concentration ratios of total dissolved Cu to DOC in  
306 unpolluted and polluted streams with those in the assay solutions. It is evident that the assays  
307 encompass the typical environmental range, thus fulfilling one of the key requirements of the  
308 experimental study. The concentrations of NTA and EDTA in the isolated DOM were below  
309 detection (<20 nM), hence excluding the possibility of significant Cu-binding by these  
310 anthropogenic ligands.

### 311 **Cu-Binding Assays**

312 The experiments resulted in similar patterns of Cu speciation across the six assays for all the  
313 IHSS standards (Figure 1) and DOM isolates (Figure 2). Both figures show that the measured  
314  $\text{Cu}^{2+}$  activities decreased with decreasing  $[\text{Cu}]/[\text{DOC}]$  ratios (assays 1 to 4), in the absence of  
315 competing metals (assay #3 cf. assay #5), and with increasing pH (assay #5 cf. assay #6).  
316 The associated error bars are based on the triplicated experiments and represent the  
317 combined effects of experimental and analytical variability (small differences in experimental  
318 conditions and errors). The figures also show a series of predictions of  $\text{Cu}^{2+}$  activities, based  
319 on the WHAM/Model VII and NICA–Donnan models and using various assumptions. In the  
320 case of the DOM isolates (Figure 2), the columns correspond to the activities obtained using  
321 the most common assumption employed in applications of WHAM to natural waters,  
322 concerning the nature of DOM (65% FA, 35% inactive). In all cases, the predictions shown as  
323 columns, used the measured concentrations of Fe and Al as model inputs of Fe(III) and Al.  
324 The associated error bars represent the variability ( $\pm 1\text{SD}$ ) obtained from the uncertainty  
325 analysis in the WHAM predictions. The  $\text{Cu}^{2+}$  activity predicted by both models generally  
326 agreed well with the experimental measurements. Uncertainty in the WHAM VII predictions  
327 was always greater than the variability associated with the assay experiments, and the  
328 difference between predictions and measurements was almost invariably within the  
329 uncertainty of the predictions. Because WHAM VII has only recently been published, the  
330 resulting predictions were compared with those of its widely used predecessor, WHAM VI.  
331 The two versions of the model generally gave very similar results in the case of fulvic acid,  
332 with a maximum difference in pCu of  $\sim 0.4$  (see Figure S4 of the Supporting Information).  
333 Differences were more evident in the case of humic acid, with the largest ( $\sim 0.8$  pCu) occurring  
334 with assay #5, involving competition at pH 6. When sufficient fractions of the discrete sites of  
335 the WHAM VII model take part in the adsorption process, the differences between NICA-

336 Donnan and WHAM VII predictions are likely to be small. However, at low  $\text{Cu}^{2+}$  activities, the  
337 number of discrete sites that takes part in the adsorption becomes small which explain the  
338 observed differences between NICA-Donnan and WHAM VII predictions in assay #4 (Figures  
339 1 and 2). Results clearly demonstrate that model predictions do not deviate from experimental  
340 observations at high DOM concentrations. While some degree of aggregation cannot be  
341 discounted, this suggests that any resulting effects on Cu-DOM binding are insignificant.

342 Predictions are also shown in Figures 1 and 2 with the DOC and Fe(III) concentrations  
343 separately set to zero. The former case emphasizes the scale of organic complexation and  
344 indicates that the prediction of inorganic speciation is very similar with the two models. The  
345 predictions at zero Fe(III) highlight a significant difference between the models. With WHAM  
346 VII, the absence of Fe(III) invariably resulted in a significantly lower  $\text{Cu}^{2+}$  activity (by 0.2–1.0  
347 pCu), consistent with the competition between  $\text{Cu}^{2+}$  and  $\text{Fe}^{3+}$  reported by Tipping et al.(52)  
348 Although constants for Fe binding to HS are uncertain,(53) without the inclusion of Fe(III),  
349 agreement between experimental measurements and WHAM VII predictions would be  
350 considerably poorer. NICA-Donnan predicted a similar Fe–Cu competition effect for SRHA  
351 (i.e., humic acid), but little or no competition when the humic material was fulvic acid (SRFA,  
352 RS and BG). The models were also run with the competing metal concentrations variously set  
353 to zero. These simulations indicated that the competition effect seen in assay #5 (cf. assay  
354 #3) was due mainly to Ca. The competition effects of Zn and Ni were both relatively small,  
355 even though they were each present at ~5-times the Cu total concentration. A WHAM  
356 simulation considering a range of  $[\text{K}]/[\text{Ca}]$  ratios showed no apparent effect of Ca competition  
357 on Cu-DOM binding (see Figure S5 of the Supporting Information).

358 Both the WHAM VII and ORCHESTRA models predicted that Fe(III) and Al hydroxides were  
359 under-saturated in all assay solutions, despite the fact that equilibrium dialysis measurements  
360 on the two DOM isolates indicated the presence of submicrometer colloidal particles of Fe(III)  
361 “oxides”. Under-saturation can be explained by the fact that the isolates were significantly  
362 diluted in the assay solutions and the hydrogen-ion concentration was invariably increased by  
363 over an order of magnitude in the assays relative to the isolates. Thus, the extent of  
364 solubilization was increased compared to its original state in the isolates. The long (2 weeks)  
365 incubation time used in the assays would have increased the likelihood of complete  
366 dissolution. A “worst-case” speciation calculation with both models, assuming no dissolution,  
367 indicated that the extent of Cu adsorption by Fe oxide would be negligible, compared with that  
368 complexed by DOM and so adsorption to any remaining solid phase would not affect the  
369 comparisons between measured and modeled concentrations.

370 Figure 3 shows that predicted and measured  $\text{Cu}^{2+}$  activities correlate well for all the assay  
371 data. The WHAM VII predictions lie very close to the 1:1 line throughout the range, whereas  
372 with NICA-Donnan predicted activities are slightly and systematically low at high pCu.  
373 Because of this trend, root-mean-square errors (RMSE) based on the differences between the  
374 measured and predicted activities were higher for NICA-Donnan (Table 3). For both models,  
375 RMSE values were similar for IHSS standards and natural DOM isolates. The RMSE (0.21  
376 pCu) obtained for the isolates with WHAM VII is equivalent to a prediction accuracy of a factor  
377 of  $\sim 2$ . It is unlikely that any greater accuracy can be expected, given the scale of the model  
378 uncertainty due to the natural variability in binding strengths of aquatic humic substances (see  
379 Figure 2). This finding suggests that errors associated with the isolation of humic substances  
380 for model calibration are small compared with the 2 orders of magnitude differences for  $\text{Cu}^{2+}$ ,  
381 observed between in situ measurements and WHAM VII across a much wider range of water  
382 types.(16) Recent studies have systematically examined some of the other uncertainties in  
383 making speciation predictions, such as the significance of other ligands (22) and the active  
384 DOM fraction.(55)

385 Mueller et al.(55) found that two measures of the spectroscopic quality of DOM correlated with  
386 individually optimized values of the active DOM fraction (assumed to be entirely FA) across  
387 eight Canadian Shield lakes, suggesting the possibility of a simple method for predetermining  
388 the active fraction for use as a model input. An indication of the sensitivity of the models to  
389 different assumptions about the active fractions is given in Figure S6 of the Supporting  
390 Information and Table 3, based on the alternative model inputs used in the present study. The  
391 effect of the various assumptions on the RMSE values (Table 3) indicates that small but  
392 significant improvements to model predictions could be made, especially if a reliable method  
393 existed for predetermining the active DOM fraction of individual waters.

## 394 **Environmental Context**

395 The assay results of this work demonstrate that existing speciation models can successfully  
396 predict copper binding by naturally occurring organic matter, measured over an  
397 environmentally relevant range of  $[\text{Cu}]/[\text{DOC}]$  ratios (1–460  $\mu\text{mol g}^{-1}$ ), using a well-proven  
398 analytical technique under carefully controlled conditions. A similar conclusion was reached  
399 in an earlier study where measurements were made in natural waters with high Cu contents  
400 (30) but importantly our measurements, using isolates, have extended the range of  $[\text{Cu}]/[\text{DOC}]$   
401 to lower values, typical of unpolluted waters. It follows that the methodology routinely used to  
402 isolate fulvic and humic acids, on which the models rely for calibration purposes, does not  
403 significantly alter the binding characteristics of the materials, at least for Cu, and most probably  
404 for other metals binding strongly to carboxylic and phenolic groups. However, as this work has  
405 focused on only two waters, both dominated by humic substances, similar studies of a wider

406 range of waters including those with anthropogenic sources of DOC, are warranted. Given the  
407 previously reported discrepancies between in situ measurements and modeling, there is a  
408 need for further studies focused on specific uncertainties in speciation modeling, so that the  
409 significance of each can be determined and, where possible, the modeling strategies refined.  
410 The ionic strength of freshwaters is substantially less than that of the concentrated isolates  
411 measured here. This mismatch in ionic strength is a potential source of errors, but it is  
412 accounted for by the speciation models. Thus, ionic strength is unlikely to be a major factor  
413 explaining differences between in situ measurements and model predictions.

414 The two systematic differences between WHAM VII and NICA-Donnan identified in this study,  
415 involving Cu–Fe(III) competition for fulvic acid and  $\text{Cu}^{2+}$  predictions at low activities ( $<10^{-9}$  M),  
416 also warrant a further study. In this context, it is important to remember that at low metal  
417 activities both the NICA-Donnan and WHAM VII would be outside their calibration range  
418 meaning that different prediction results are likely. The chosen pH range (4–6) in this study is  
419 opportune as it provides reliable results due to the negligible Cu–carbonate complexation.  
420 However, this pH range limits our conclusions in respect of how well the models predict  
421 speciation in natural waters. Nevertheless, this work presents an experimental system suitable  
422 for extending the analysis to waters at higher pH.

423 Discrepancies between free ion measurements and predictions may be related to  
424 measurement inaccuracies, as well as to modeling errors and uncertainties. In their  
425 assessment of the published data, Lofts and Tipping (24) noted that competitive ligand  
426 exchange (CLE) voltammetry generally measured Cu free-ion concentrations far below (down  
427 to  $10^{-16}$  M) the associated WHAM VII predictions (generally  $>10^{-12}$  M), and that the differences  
428 were consistent with previous criticisms of the CLE methodology, when applied to strong  
429 binding metals. Concentrations of Cu and DOC for the various river and lake waters measured  
430 in the original CLE studies (56-60) were used to calculate the  $[\text{Cu}]/[\text{DOC}]$  ratios, which were  
431 in the range 2–30  $\mu\text{mol g}^{-1}$ . As the assay ratios used in this work embrace this range, it is  
432 significant that the free  $\text{Cu}^{2+}$  concentrations measured in the assays were consistent with the  
433 Model VII predictions over the range  $10^{-6}$ – $10^{-12}$  M, in contrast to the situation with the CLE  
434 studies.

### 435 **Supporting Information**

436 Detailed compositions of assay solutions containing IHSS fulvic and humic acids, DOM  
437 isolated from Rough Sike and Brown Gill sites are provided. Additionally, figures on the  
438 response time curves and the potentiometric response curve of Cu–ISE, comparison of model  
439 predictions of Cu–DOM binding between WHAM VII, WHAM VI, and NICA–Donnan model,  
440 and the sensitivity of the WHAM VII and NICA–Donnan models to alternative assumptions

441 about the active DOM fraction are also provided. This material is available free of charge via  
442 the Internet at <http://pubs.acs.org>.

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449 improve the manuscript.

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614 **List of tables**615 **Table 1.** Target Conditions of the Laboratory Binding Assays

assay no.	ionic strength/medium	pH	DOC mg dm <sup>-3</sup>	added metals (μM)	[Cu]/[DOC] μmol g <sup>-1</sup>
1	100 mM KNO <sub>3</sub>	6.0	5	Cu(2.5)	500
2	100 mM KNO <sub>3</sub>	6.0	40	Cu(2.5)	62.5
3	100 mM KNO <sub>3</sub>	6.0	100	Cu(1)	10
4	100 mM KNO <sub>3</sub>	6.0	100	Cu(0.1)	1
5	100 mM (K/Ca <sup>a</sup> )NO <sub>3</sub>	6.0	100	Cu(1), Zn(5), Ni(5)	10
6	100 mM (K/Ca <sup>a</sup> )NO <sub>3</sub>	4.0	100	Cu(1), Zn(5), Ni(5)	10

616

617 <sup>a</sup>Ca added as Ca(NO<sub>3</sub>)<sub>2</sub> with a molar ratio of [K]/[Ca] of 1:1 in IHSS SRFA and SRHA assays (see Tables S1 and S2 of the Supporting Information)

618 and a ratio of 3:1 in the natural water assays (see Tables S3 and S4 of the Supporting Information.)

619

620 **Table 2.** Total Dissolved Cu (filtered <0.45 or <0.2  $\mu\text{m}$ ) and DOC Concentrations, And Their Ratios, In Polluted and Unpolluted Streams, And in  
 621 the Assay Solutions

stream characteristics	Cu <sub>Total</sub> (nM)	DOC (mg L <sup>-1</sup> )	[Cu]/[DOC] ( $\mu\text{mol g}^{-1}$ )	references
polluted	30	1.9	16	54
unpolluted, draining peat moorland	22	15	1.5	54
34 streams, polluted/unpolluted mix	2.4–217	0.6–13.2	1.0–310 <sup>a</sup>	27
Brown Gill assays	55–2428	5 –50	1– 460	this study
Rough Sike assays	103–2219	5 –100	1 – 430	this study

622

623 <sup>a</sup>Based on measured ratios for individual streams.

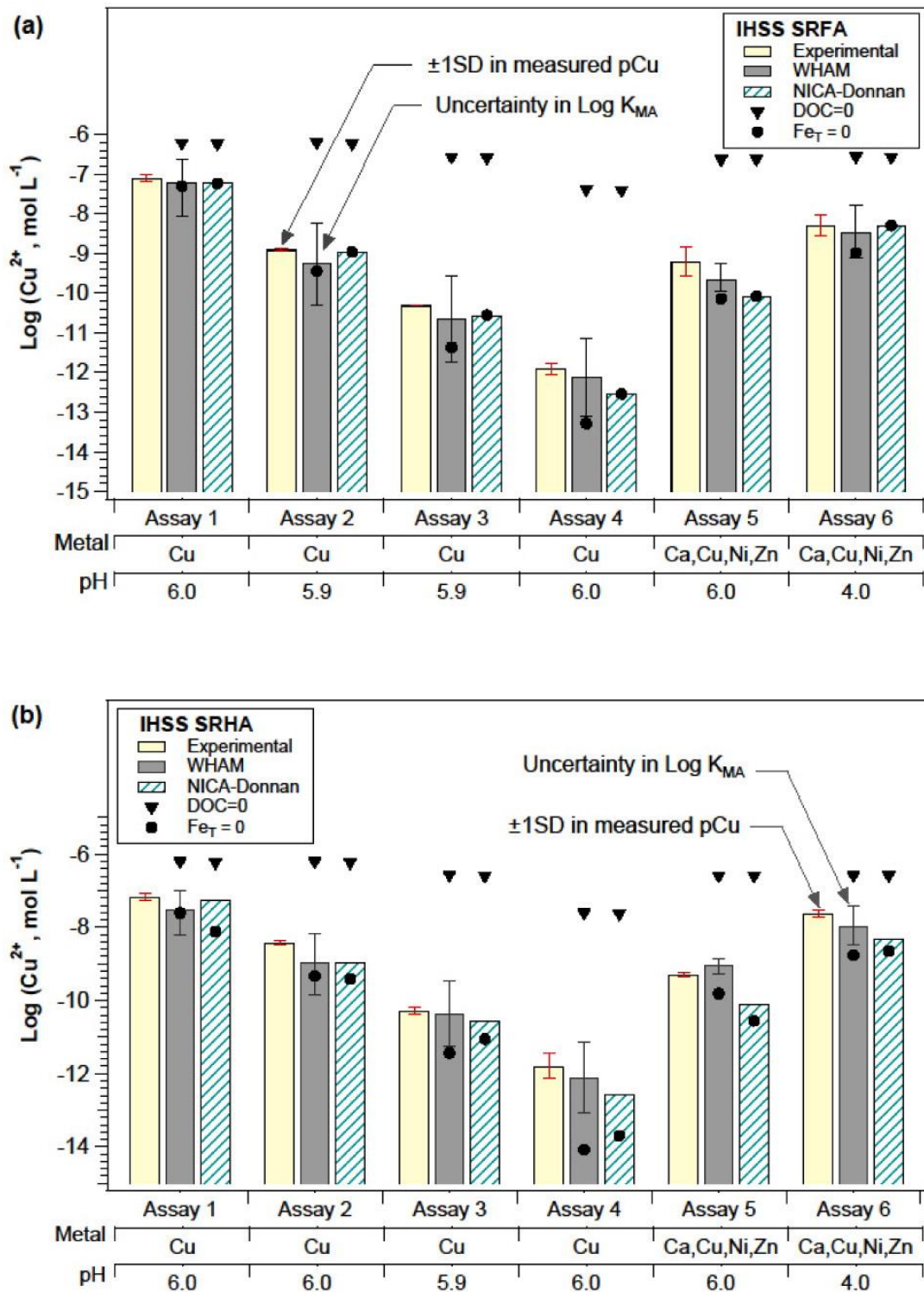
624

625 **Table 3.** Root Mean Square Error (RMSE) Values Obtained from the Differences between Measured and Predicted Values for Various Model  
 626 Inputs of Fulvic Acid (FA) and Humic Acid (HA) Fractions

model	SRFA	SRHA	Rough Sike	Brown Gill
WHAM (FA = 0.65)			0.273	0.159
WHAM (FA = 0.55, HA = 0.10)			0.261	0.139
WHAM (FA = 1.0)	0.318	0.373 <sup>a</sup>	0.498	0.312
NICA-Donnan (FA = 0.65)			0.629	0.399
NICA-Donnan (FA = 0.55, HA = 0.10)			0.789	0.509
NICA-Donnan (FA = 1.0)	0.475	0.594 <sup>a</sup>	1.03	0.206

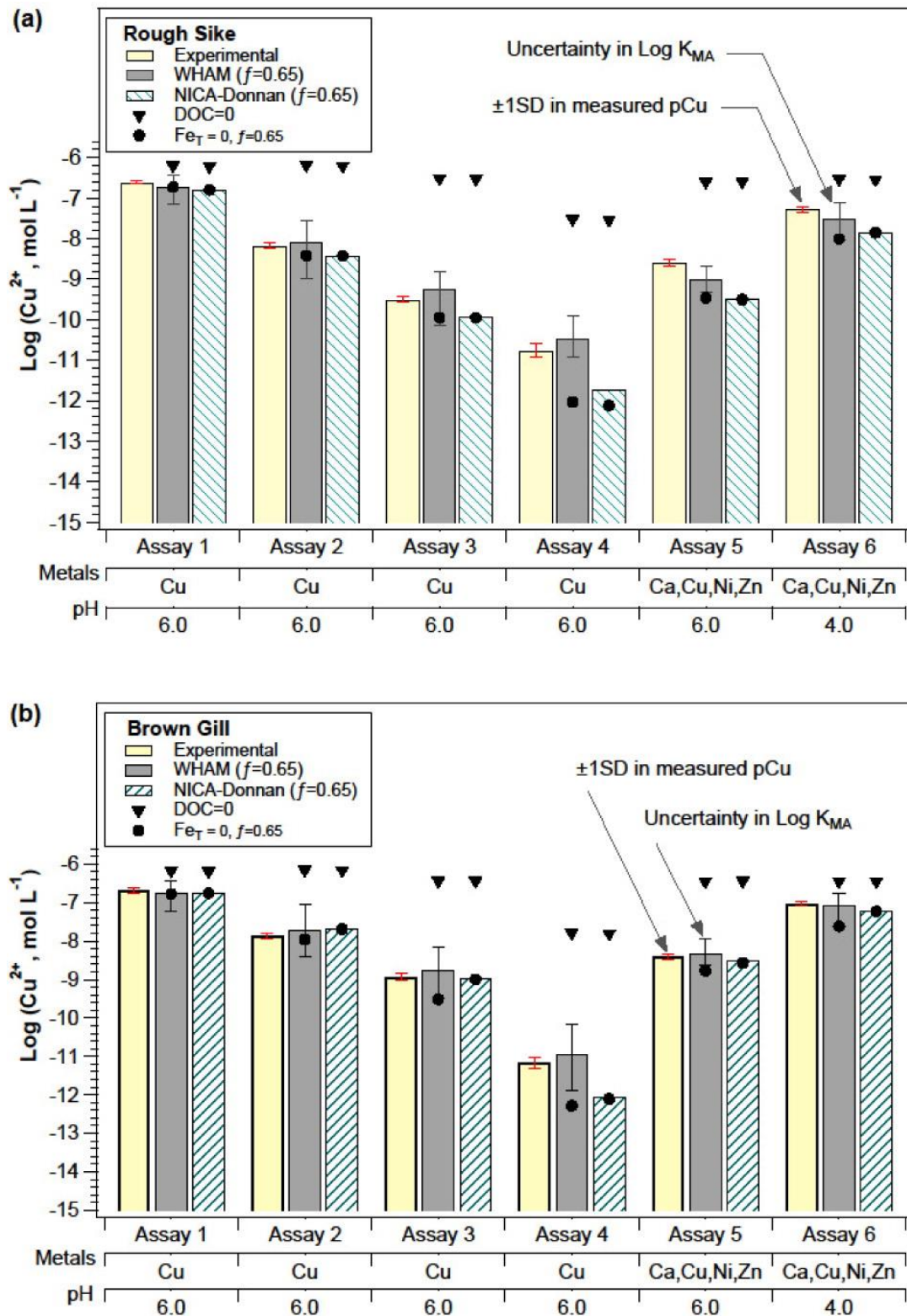
627

628 <sup>a</sup>HA = 1.0 used as the input.  
 629



630

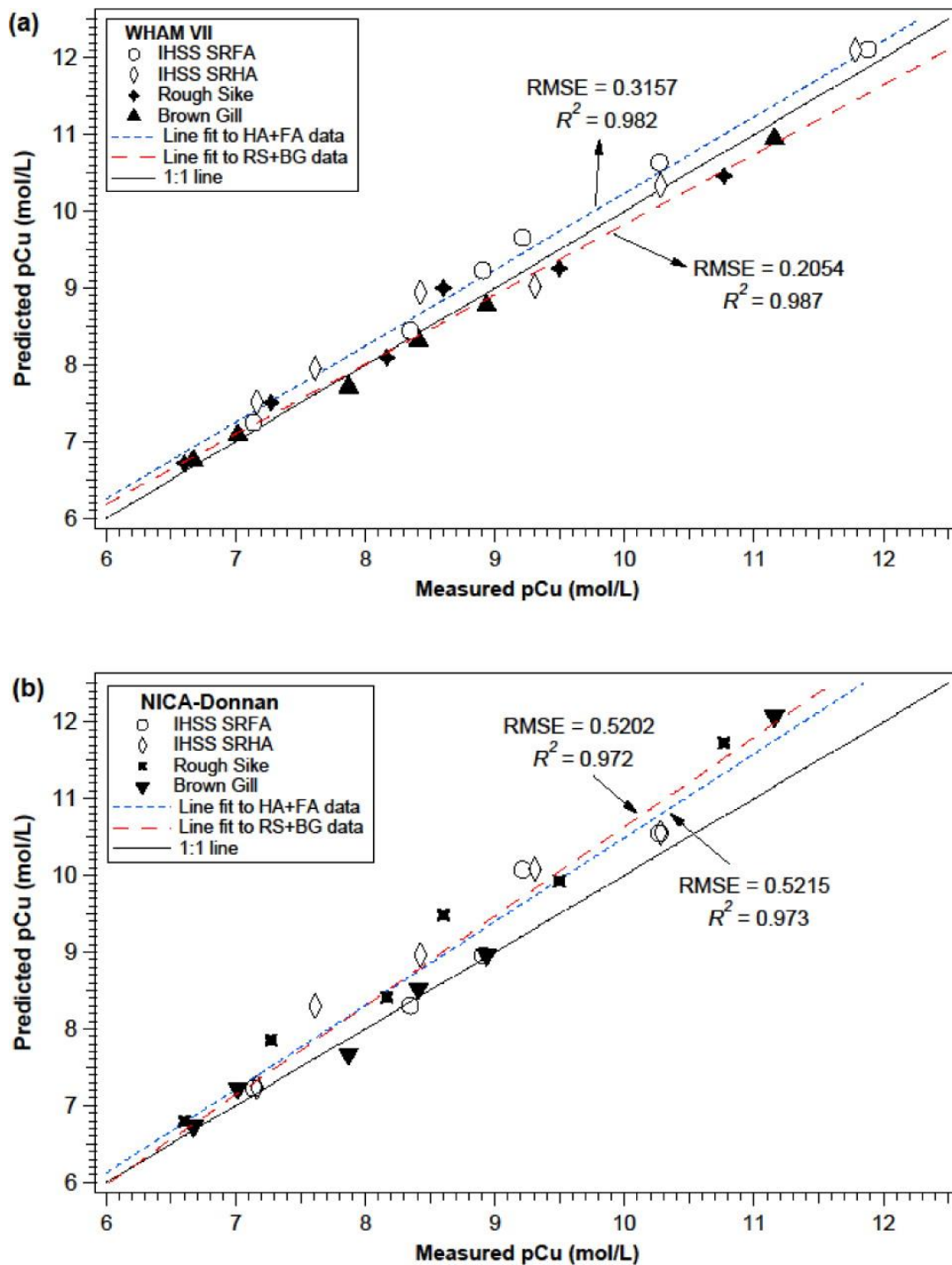
631 Figure 1. Comparison of ISE-measured pCu with WHAM VII and NICA-Donnan model  
 632 predictions in samples containing IHSS Suwannee river (a) fulvic acid and (b) humic acid.  
 633 Additional model predictions were carried out assuming a zero concentration of DOM or total  
 634 Fe in sample. The error bars represent  $\pm 1$  standard deviation (SD) in measured pCu and  $\pm 1$   
 635 SD in pCu predicted by WHAM when considering the uncertainty in  $\log K_{MA}$ . All speciation  
 636 predictions assumed an active DOC fraction (f) of fulvic or humic acid of 100%.



637

638 Figure 2. Comparison of ISE-measured pCu with WHAM VII and NICA–Donnan model  
 639 predictions in samples containing DOM isolated from (a) Rough Sike and (b) Brown Gill.  
 640 Additional model predictions were carried out assuming a zero concentration of DOC or  
 641 Fe in sample. All speciation predictions assumed an active DOC fraction ( $f$ ) of fulvic acid of  
 642 65%. Error bars have the same meaning as in Figure 1.





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644 Figure 3. (a) WHAM VII predicted versus measured pCu and (b) NICA-Donnan predicted  
 645 versus measured pCu. The solid line is the ideal 1:1 fit. The dotted and dashed lines represent  
 646 the best-fit linear regressions to data for IHSS reference materials (i.e., SFRA and SRHA) and  
 647 isolated DOM (i.e., Brown Gill and Rough Sike).