1 Understanding groundwater, surface water and hyporheic zone biogeochemical

processes in a Chalk catchment using fluorescence properties of dissolved and
 colloidal organic matter

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12 Abstract

13 Understanding groundwater-surface water (GW-SW) interaction in Chalk catchments is 14 complicated by the degree of geological heterogeneity. At this study site, in southern 15 England (United Kingdom), alluvial deposits in the riparian zone can be considered as a 16 patchwork of varying grades and types with an equally varied lateral connectivity. Some 17 display good connection with the river system and others good connection with the 18 groundwater system and by definition poorer connectivity with the surface water. By 19 coupling tangential flow fractionation (TFF) with fluorescence analysis we were able to 20 characterise the organic matter in the river and hyporheic zone. There is a significant 21 proportion of particulate and colloidal fluorescent organic matter (FOM) within the river 22 system, and at depth within the gravels beneath the river channel. At depth in the 23 hyporheic zone the surface water inputs are dampened by mixing with deeper groundwater 24 FOM. The shallow (0-0.5 m below river bed) hyporheic zone is highly dynamic as a result 25 of changing surface water inputs from upstream processes. Labile C in the form of protein-26 like FOM appears to be attenuated preferentially compared to fulvic-like fluorescence in 27 the hyporheic zone compared to the adjacent gravel and sand deposits. These preliminary 28 findings have important implications for understanding nutrient and trace element mobility 29 and attenuation within the groundwater, surface water and hyporheic zone of permeable

- 30 Chalk catchments. Fluorescence analysis of dissolved organic matter has been shown to be
- 31 a useful environmental tracer that can be used in conjunction with other methods to

32 understand GW-SW processes within a permeable Chalk catchment.

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34 Keywords

- 35 Dissolved organic matter (DOM); Colloids; Fluorescence; Hyporheic Zone; Groundwater-
- 36 surface water processes; Tangential Flow Fractionation (TFF); Chalk

38 **1. Introduction**

39 The importance of groundwater (GW), surface water (SW) and hyporheic zone processes 40 has been appreciated for some time [Winter et al., 1998]. These processes may vary 41 depending on the hydrology, landuse, ecological sensitivity and management of a 42 particular system. Understanding these processes is therefore important: to determine the 43 sustainable limits of abstraction in a water sensitive system [Cook et al., 2003]; to 44 understand the hydrological function of the riparian zone [Fernald., 2001; Krause and 45 Bronstert, 2007; Krause et al., 2007]; and/or to assess the function of the riparian zone in 46 regulating biogeochemical processes [Findlay et al., 1993; Hill, 1996; Jones et al., 1995; 47 Jarvie et al., 2006; Rassam et al., 2006]. The hyporheic zone is a hydrobiologically 48 defined region below the river bed where surface and subsurface water mix. In the 49 hyporheic zone nutrients (e.g. C, N and P) and weathering products may be exchanged due 50 to upwelling or downwelling. Dynamic gradients exist at different scales in this zone, e.g. 51 chemical and microbiologically mediated nutrient and weathering transformations on 52 particle surfaces [Boulton et al., 1998; Gooseff et al., 2002; McKnight et al., 2002; 53 Greenwald et al., 2008].

54 Dissolved organic matter (DOM) includes compounds such as carbohydrates and proteins, 55 which are structurally well-defined, and less well chemically defined compounds with 56 higher molecular weight such as humic substances which include fulvic and humic acids 57 [Thurman, 1985]. Recent studies suggest that humic substances are a complex mixture of 58 both microbial and plant biopolymers, with their various breakdown products, and as such 59 are highly heterogeneous in nature [Kelleher and Simpson, 2006]. In the natural 60 environment DOM is a complex mixture of many compounds and as such is difficult to 61 characterise [Leenheer and Croue, 2003]. DOM has a range of important functions in the 62 aquatic environment as both a source of energy for micro-organisms and in its role in the transport of metals and organic contaminants [*McKnight et al.*, 1992; *Benedetti et al.*,
1996]. The structure, molecular weight, composition and abundance of DOM in the
environment controls many processes including mobility and chemical reactivity as well as
water treatment [Her et al., 2003].

67 Fluorescence spectroscopy can be used to quantify and understand the composition and temporal-spatial variability in DOM [Jaffe et al., 2008]. It has been used in many different 68 69 ecosystems to understand the source and composition of DOM including marine, 70 wastewater, surface water, groundwater and terrestrial studies [Coble, 1996; Reynolds and 71 Ahmad, 1997; Baker, 2001; McKnight et al., 2001]. Organic matter (OM) fluorescence has 72 been used to trace flow in groundwater systems including recharge processes in karstic 73 aquifers [Baker and Genty, 1999; Baker and Lamont-Black, 2001; Eniko et al., 2004]. It 74 has been used to determine the source of stormflow in granitic systems [Katsuyama and 75 Ohte, 2002], and to investigate flow processes and sources of dissolved organic matter in 76 sandstone systems [Lapworth et al., 2008a]. The rapid collection of high-resolution 77 fluorescence data at multiple excitation-emission wavelengths has led to the development 78 of excitation-emission matrices (EEMs) to represent the fluorescence intensity of a sample 79 in optical space. This method is rapid, requires small sample volumes (<5 mL) and is non-80 destructive. Together these factors make it an appropriate method for characterisation of 81 fluorescent OM (FOM) in natural waters.

Historically many studies of GW–SW interaction in the UK have focussed on upland catchments [e.g. *Soulsby et al.*, 2002; *Lapworth et al.*, 2008b]. However, there has been a growing focus on the hydrological function of permeable aquifers [*Wheater and Peach.*, 2004] due in part to a lack of fundamental understanding of GW–SW processes in these systems and the increasing management pressures on lowland aquifers. The contribution to river flow of groundwater sources in Chalk catchments is significant, in some cases as high 88 as 95% [Sear et al., 1999] sustaining river flow during periods of reduced rainfall. A 89 greater understanding of GW-SW processes is also required in light of the European 90 Water Framework Directive [CEC, 2000], which demands that all water bodies achieve 91 targets for good chemical and ecological status. This necessitates a holistic approach to the 92 management and understanding of catchment hydrology. Recent studies have highlighted 93 the complexity of GW-SW processes both in terms of spatial scales and temporal 94 variability [Grapes et al., 2005; Gooddy et al., 2006; Griffiths et al., 2006; Pretty et al., 95 2006; Krause et al., 2007]. These have shown that the traditional classification of a 96 particular river section as either gaining or losing is over-simplistic.

97 The aim of this paper is to demonstrate the value of organic matter fluorescence as a tracer 98 understanding GW-SW processes in a lowland Chalk catchment. The paper focuses on 99 characterising the mixing processes within the wider riparian zone, the sand and gravel 100 deposits adjacent to the river, and within the hyporheic zone below the river channel. The 101 study also investigates the lateral extent of GW–SW processes in the alluvial groundwater 102 system in terms of spatial and temporal variability. The combined use of tangential flow 103 fractionation and organic matter fluorescence is used to characterise and investigate the 104 fluorescence properties of the suspended colloidal organic matter within the hyporheic 105 zone. This has important implications for contaminant transport, transformation and 106 possible attenuation at the GW-SW interface.

108 **2. Site Description**

109 2.1 Study site, Regional Geology and Hydrogeology

110 The Westbrook Farm study site is located in rural Berkshire (NGR 442900 172200), 111 southern England (UK) and is one of the observation sites from the LOwland CAtchment 112 Research (LOCAR) network [*Wheater and Peach*, 2004]. The site has a network of 113 piezometers (some of which are multi-level) completed in the underlying Chalk and 114 shallow deposits located on either side and below the River Lambourn (Figure 1). The site 115 is located ~13 km downstream of the source of the river Lambourn at Lynch Wood, and 116 has a catchment area of 234 km².

117 The catchment comprises Chalk (Upper Cretaceous) underlain by Upper Greensand 118 (Lower Cretaceous) below which are mud rocks of Jurassic age. The Chalk is overlain by 119 Palaeogene deposits and Quaternary superficial deposits. The Chalk is in hydraulic 120 continuity with the Greensand which is sealed at the base by the Jurassic mud. A detailed 121 geological description of the Pang-Lambourn catchment is given in Aldiss and Royse 122 [2002]. The upper reaches of the River Lambourn show 'bourne' or ephemeral behaviour, 123 while the lower reaches show perennial behaviour. This is supported by groundwater 124 inputs and a slow hydrological response to rainfall that is typical of lowland permeable 125 catchments.

126 2.2 Conceptual hydrological model at the study site

127 Gooddy et al., [2006] investigated groundwater mixing processes in the same location as 128 this study using both CFCs (CFC-11 and CFC-12) and SF₆ in a limited array of 129 piezometers. Three different regimes were identified: Regime 1, in the interfluve where 130 piston flow dominates in the deep unsaturated zone; Regime 2, within a thinner unsaturated zone where there is mixing between up-gradient older groundwater and recent recharge; Regime 3, a zone of GW–SW interaction below and adjacent to the river channel. This conceptual model provides a framework within which the fluorescence data can be understood.

135 **3. Methods**

136 3.1 Groundwater sampling

Groundwater samples were collected using a submersible pump following prolonged purging of at least three piezometer volumes. On-site parameters (dissolved oxygen, pH, redox potential, temperature and specific electrical conductance) were measured and allowed to stabilise prior to sampling. Samples for fluorescence analysis were filtered through 0.45 μ m silver filters (MilliporeTM) into sterile acid washed glass containers and stored refrigerated in the dark at 4° C before analysis.

Sampling sites (piezometers and river samples), response zones for the piezometers, lithology, and number of samples are detailed in Table 1. Sampling for chemical analysis was carried out at the study site in two phases, the first being between November 2005 and November 2006, and the second between February 2008 and May 2008. Two sampling rounds (July 17th and 5th August 2008) in the hyporheic zone were carried out for the tangential flow fractionation work.

149 3.2 Tangential flow fractionation

150 Suspended colloidal material can be separated from the dissolved aqueous fraction in two 151 principal ways: filtration and centrifugation. Some studies have used a combination of the 152 two to investigate colloidal particles [McDowell and Sharpley, 2001]. Conventional 153 filtration methods such as 0.45µm membrane filters have been used for environmental 154 studies to separate the dissolved and solid fractions. However colloidal particles span a 155 wide range of sizes and are therefore difficult to study using this method. Ultrafiltration 156 (using high pressure) has been used to fractionate samples, but this suffers from 157 concentration polarisation effects and membrane clogging due to colloid aggregation on 158 the surface of the membrane [*Heathwaite et al.*, 2005]. This is a particular problem for 159 anoxic samples with high concentrations of Fe^{2+} , which is not the case at this site.

Tangential-Flow Fractionation (TFF) offers some improvement [*Guéguen et al.*, 2002; *Morrison and Benoit*, 2004], as the tangential arrangement minimises the clogging at the membrane surface. Although better than the classical method of filtration it does not avoid coagulation altogether. Coagulation prior to filtration nonetheless can be minimised if the method is employed in the field. Previous work by *Gooddy et al.* [2007] has used this method successfully in a Chalk groundwater system.

166 A mass balance for the fulvic acid like fluorescence (FA-like) and tryptophan like 167 fluorescence (TRP-like) was carried out on a Chalk groundwater to assess the potential for 168 adsorption and/or contamination during the TFF [Mopper et al., 1996, Ross and Sherrell., 169 1999]. Table 2 shows the results (% recovery) for both the FA-like fluorescence and TRP-170 like fluorescence, see Ross and Sherrell [1999] for details on mass balance calculations. In 171 addition blank samples (Ultrapure water - ASTM type I reagent grade water, including a 172 UV cracker) were also used to assess contamination within the system during TFF and 173 these showed no signs of contamination or leaching of fluorescent organic matter from the 174 membranes. It can be seen that reasonable recoveries for the FA-like fluorescence were 175 obtained (101-111 %) but relatively poor recoveries were obtained for the TRP-like 176 fluorescence (84-117 %), implying issues with both adsorption and possible cross-177 contamination, consequently only the FA-like results from the TFF are presented in this 178 paper.

179 A Pellicon 2 MilliporeTM system was used for TFF with a range of large surface area 180 composite regenerated cellulose filters (nominal cut of, 0.45 μ m, 1000 kDa, 10 KDa). A 181 thorough protocol for cleaning membranes was followed [*Guéguen et al.*, 2002].

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Fractionation was carried out in the field to avoid any microbial changes to the sample or aggregation of colloidal material. An unfiltered sample and permeate were collected and stored in acid washed glass vials.

185 3.3 Chemical analysis

DOC analysis was carried out using a ThermaloxTM C analyser after acidification and 186 187 sparging. Bicarbonate analysis was carried out by titration in the field. All fluorescence 188 analysis was carried out within two weeks of sampling, and usually within 48 hours. 189 Repeat analysis of groundwater and river samples after 3 hours and after 1 month showed 190 relative changes of <5 % for protein and FA-like intensities, thus giving confidence in 191 short term sample stability and measurement precision. A VarianTM Cary Eclipse 192 fluorescence spectrometer was used for the fluorescence analysis. Excitation (Ex) 193 wavelengths were set between 200 and 400 nm with a 5 nm bandwidth. Emission (Em) 194 wavelengths were set between 250 and 500 nm with a 2 nm bandwidth. The detector 195 voltage was set to 900 V, and all analysis was done in quartz vials with a path length of 1 196 cm. All the samples had low DOC concentrations (<3 mg/L) and low absorbance (A_{254}) 197 <0.03), and the fluorescence analysis was in the linear range for all components of the 198 Ex/Em matrix, precluding the need for absorbance correction [Ohno, 2002]. All 199 fluorescence results are reported in Raman units (R.U), normalised to the area under the 200 water Raman peak of Ultrapure water blanks at Ex350 [Stedmon et al., 2003], and have 201 been blank subtracted. Two fluorescence peaks within the EEM were used in this study to 202 compare intensities between sites: FA-like maximum (Ex330 nm, Em410 nm-460 nm) and 203 the TRP-like maximum (Ex280 nm, Em346 nm-354 nm). These were selected based on 204 the use of tryptophan standards and by visual inspection of the sample EEMs. Data were 205 processed using R software [R Development Core Team, 2008; Lapworth and Kinniburgh,

- 206 in press]. Ultrapure water (ASTM type I reagent grade water, including a UV cracker) was
- 207 used to make up reagents and clean the quartz cell between samples.

209 **4. Results**

210 4.1 Long term DOC concentrations in the River Lambourn

211 Dissolved organic carbon (DOC) results for the river Lambourn, a site in the shallow 212 gravels (D2) and a deeper piezometer (D1) in the Chalk sampled between November 2003 213 and March 2008 are shown in Figure 2 (see Table 1 for site details). It can be seen that the 214 DOC concentrations measured in this study are typical of those found at the site over the 215 last four years and are representative of longer term concentrations. Low recharge during 216 the winter months in 2004 and 2005, or high periods of recharge and flooding in late 2007 217 do not seem to have significantly affected the DOC concentrations in the Lambourn or the 218 local groundwater system. This highlights the dominance of the groundwater component in 219 the River Lambourn and its role in moderating and controlling stream chemistry and 220 composition.

221 4.2 Organic matter fluorescence and DOC

222 DOC, FA-like and TRP-like fluorescence (one standard deviation given in brackets where 223 appropriate), TRP:FA, specific electrical conductance (SEC) and bicarbonate (HCO3⁻) 224 results are shown in Table 3. The results are presented in groups: shallow groundwaters in 225 the alluvial deposits (0-4.7 mbgl), deeper groundwaters (>6.3 mbgl), hyphoreic zone 226 (from beneath the river bed, 1.27–4.25 mbgl) and surface waters. Results are expressed as 227 single mean values; mean values for each group are also shown where appropriate. The 228 fluorescence and DOC results follow the same overall trends where deep groundwater 229 <shallow groundwaters <hyporheic zone <river. Bicarbonate and conductivity results show 230 little variation either within or between groups. There is some evidence that the deep 231 groundwaters show less variability than the shallow groundwaters and river water.

232 4.3 Temporal variations in fluorescence and DOC

233 The temporal variations in FA-like and TRP-like fluorescence and DOC over a twelve 234 month period between November 2005 and December 2006 are shown in Figure 3. Results 235 from five sites are shown: A2 and D2 in the shallow sand deposits and gravels adjacent to 236 the river, A1 and D1 within the underlying Chalk aquifer, and the river Lambourn. All 237 three parameters show similar trends for the river and shallow groundwaters. Higher FA-238 like fluorescence was observed during the winter/autumn months (September-February) in 239 the river and to a less extent in the shallow groundwaters compared to spring and summer 240 months. This could be due to higher surface run-off inputs during winter/autumn, however, 241 the limited amount of available fluorescence data, and the discrete nature of the sampling 242 does make seasonal interpretation difficult and longer data series are needed to verify these 243 observations. The deeper groundwaters show little temporal variation, except for DOC 244 results at site A1 which are anomalously high during the later months of sampling. On half 245 the occasions the gravel site (D2) showed significantly higher FA and TRP-like intensities 246 than sand site A2. During the other sampling rounds there was no significant difference 247 between the two sites. Higher intensities were observed for both sites during the later 248 sampling rounds.

249 4.4 Spatial variations in fluorescence

250 Changes in FA and TRP-like fluorescence with depth in the sands, gravels and Chalk 251 piezometers are shown in Figure 4. Intensities of both parameters decrease with depth, 252 although the changes in TRP-like intensities are smaller than those of FA-like. Results for 253 A2 (FA-like) fall to the left of the overall trend and show intensities characteristic of those 254 in the deeper Chalk groundwaters. Results for E2 (TRP-like) fall to the right of the trend 255 and show results that are more characteristic of the river water. 256 Changes in mean FA-like intensities within the alluvial deposits and in the deeper Chalk 257 along an W–E transect from the road (see Figure 1) are shown in Figure 5. The Chalk 258 groundwaters show a decreasing eastward trend, while the gravels show no consistent 259 trend. At site W and A2 in the sand and gravel deposits the FA-like intensities appear 260 suppressed and more characteristic of Chalk groundwaters, while at Y, E2 and Q the 261 intensities are higher and more characteristic of the River Lambourn.

262 4.5 Fluorescence variations and size fractionation in the hyporheic zone

263 FA-like intensity for the whole sample (unfiltered) and permeate fractions in the hyporheic 264 zone sites (R, S and T) and the River Lambourn (see Figure 1 for site details) are shown in 265 Figure 6. Data from two sampling rounds are included in Figure 6 (A). Figure 6(B) shows the changes with depth for the different fractions from samples taken on the August 5th 266 267 2008. Overall there is a decrease in FA-like intensity with depth in the hyporheic zone (sites R-T, 0.5–2.5 m below river bed), and a decrease in intensity with progressively 268 smaller nominal TFF cut off sizes. Each sampling round (July 17th and August 5th, 2008) 269 270 shows distinct size-fraction characteristics which were common in both the hyporheic zone 271 (site R) and the river sample for the higher sized cut off fractions. There was less 272 difference between the FA-like intensities in the <1000 KDa and <10 KDa fractions for 273 samples S and T, which were deeper in the hyporheic zone.

274 Comparison of the 'whole' (unfiltered) sample and the <0.45 μ m fraction shows that for 275 most samples, except one of the river samples (August 5th), there was only a small 276 component of FA-like intensity associated with the >0.45 μ m fraction (Figure 6(A)). 277 Similar FA-like fluorescence profiles across the different fractions were observed in both 278 the river and the shallow hyporheic zone on the two separate sampling occasions implying 279 that there is connectivity between the river and the hyporheic zone to a depth of at least 1.5 m below the river bed. The second round of sampling (August 5th) showed higher FAlike intensities that were associated with particulate material (defined as >0.45 μ m) and a large proportion associated with colloids >1000 KDa. While both rounds showed FA-like intensities indicative of colloidal material, the results show the dynamic nature of the river system and the hyporheic zone, and the temporal variation in the nature of organic matter that is transported within it.

286 **5. Discussion**

287 5.1 Tracing groundwater-surface water processes

288 DOC concentration alone is not a very useful parameter within this Chalk groundwater 289 dominated catchment to understand GW-SW processes (Table 3). However, fluorescence 290 analysis has been able to identify different sources and mixing within the riparian zone. 291 DOC and HCO_3^{-1} show no significant difference across the sites and groups (as is the case for most major and trace elements except Cl⁻ and SO_4^{2-}) while there is a significant 292 293 difference between the groups for FA-like fluorescence (p < 0.05). DOC is not able to 294 differentiate between the different sources of organic carbon, and the concentrations are 295 attenuated because the flow in the river system is predominantly from groundwater sources. Abesser et al., [2008] reported Cl⁻ and SO_4^{2-} concentrations that are significantly 296 higher in the alluvial groundwaters than surface water in certain locations (e.g. W, Y, X, 297 298 N4), in close proximity to a cattle barn, (see Figure 1).

299 FA-like fluorescence and two dissolved gasses that are groundwater residence time 300 indicators, CFC-12 and SF₆ (see Gooddy et al., [2006]), show a reasonable positive correlation, r^2 of 0.57 and 0.59 respectively. The older the groundwater the lower the FA-301 302 like fluorescence, this was also reported by Lapworth et al., [2008] within a Sandstone 303 system in the UK. The fluorescence results are broadly consistent with the conceptual 304 model presented by Gooddy et al., [2006] where the shallow alluvial deposits and 305 weathered Upper Chalk in the riparian zone show flow regimes consistent with GW-SW 306 interactions.

307 5.2 Temporal changes in groundwater-surface water processes

308 There is a high degree of temporal variability in fluorescence intensities in both the surface 309 waters and shallow groundwaters (Figure 3). There is no clear seasonality in either the 310 TRP-like fluorescence or the DOC in river samples or shallow groundwaters. The deeper 311 groundwater samples show much less variability. There is also evidence that some alluvial 312 deposits have good connectivity with the groundwater system and poorer connectivity with 313 the surface water system (e.g. A2) showing reduced temporal variability. The variability in 314 fluorescence intensity gives an indication of the mixing and GW-SW processes. This 315 result is perhaps not surprising as the damped signal from a groundwater component would 316 have the effect of moderating any temporal variability from the surface water component. 317 While higher FA-like fluorescence was observed during the winter/autumn months in the 318 river, and to a less extent in the shallow groundwaters, compared to spring and summer it 319 is clear that longer time-series are needed to properly assess the temporal variability of 320 FOM. The poor temporal resolution of discrete sampling methods used in this study limits 321 the process understanding. In situ continuous monitoring of FOM [Chen, 1999] would 322 greatly aid in understanding the temporal variability, particularly in the surface waters and 323 hyporheic zone which appear to be highly dynamic.

324 5.3 Spatial changes in groundwater-surface water processes

The degree of GW–SW interaction within the riparian zone at this site seems to be controlled by lithology, topography, and the regional groundwater flow. Sand deposits adjacent to the river (A2) appear to be poorly connected to the river system compared to gravel deposits (e.g. D2), although the variability within the gravels suggests that lateral connectivity with the river system is spatially complex and dynamic in nature (Figure 3 and 5). This is not surprising as the alluvial deposits can be considered as a patchwork of varying grades and types with an equally varied lateral connectivity. Some regions displaying good connection with the river system and others better connection with the groundwater system. Some gravel deposits (e.g. E2) show very good connectivity with the river system (Figure 5), possibly controlled by the regional groundwater flow conditions and topographical features. These findings corroborate other studies that have established that the Lambourn does not simply gain uniformally along its reach [*Grapes et al.*, 2005; *Griffiths et al.*, 2006] but rather shows a complex mixture of gain and loss which varies over relatively small distances.

339 A cross plot between FA-like and TRP-like intensities for each group is shown in Figure 7. There is a weak linear relationship between the two components ($R^2 = 0.4$, p < 0.05). The 340 341 relationship can be understood in terms of mixing (exponential) between groundwaters of 342 differing residence times in the underlying Chalk system, and mixing between the 343 groundwater system (with a range of residence times) and the river water (also with a 344 range of residence times). The shallow groundwaters in the hyporheic zone and sand and 345 gravel deposits adjacent to the river channel fall broadly between the river water and the 346 deeper groundwaters, although there seems to be some attenuation of protein like 347 fluorescence in the hyporheic zone compared to other alluvial deposits adjacent to the river 348 system.

The river water is a complex mixture of waters of various residence times, as is the groundwater system. These waters mix within the shallow groundwater system and hyporheic zone to differing extents depending on seasonal changes in heads within the groundwater and its relation to the river stage, as well as the localised controls on hydraulic conductivity within the alluvial system.

While there is separation between the different groups based on mean TRP-like and FAlike fluorescence, there is some overlap between the sand and gravel sites and the Chalk 356 sites (Figure 7). For example; sites A2 and W fall closer to the Chalk groundwater end 357 member and this may indicate that they are poorly connected (laterally) to the river system 358 compared to the other localised shallow deposits. The Chalk samples with the most river-359 like signal are from sites N15 and X which can be understood by two possible causes. Site 360 N15 is open-cased from the rest water level to 15 mbgl and therefore represents a mixture 361 of water from a range of different depths and lithology, not purely groundwater from the 362 underlying Chalk at 15 mbgl. Site X is the shallowest Chalk site (6.3–9.7 mbgl) and is 363 therefore also in closest proximity to the weathered zone of the Upper Chalk (ca. 7 mbgl). 364 This site may have good connectivity with the gravels at site Y which show the highest 365 FA-like intensities, possibly from a local source as was suggested by *Abesser et al.*, [2008] based on the Cl⁻ and SO_4^{2-} and NO_3^{-} anomalies. 366

367 There are changes in landuse along the transect (Figure 1), some areas are wooded and 368 others are covered by grazing. The wooded area (largely covered by poplars) is mature and 369 several trees have been felled in recent years due to their instability. It is possible that the elevated Cl⁻, SO_4^{2-} and NO_3^{-} concentrations found within close proximity to the wooded 370 371 site are a result of felling activities [Neal et al., 2003] rather than contamination from 372 fertilisers as suggested by Abesser et al., [2008]. The higher FA-like fluorescence results at 373 site Y and E2 (Figure 5) could be the result of breakdown and release of organic matter 374 from litter horizons and felling debris, and insufficient biological uptake [Hughes and 375 Reynolds, 1991; Thiffault et al., 2008]. Although this is a possibility a more detailed 376 assessment of the effects of landuse on the export of organic matter at this site is needed to 377 verify this hypothesis. Examination of the location of the FA-like (maximum) across the 378 transect W-Q showed that there was no significant change in terms of excitation or 379 emission wavelength, maxima were clustered around Ex330, Em422, suggesting little variation in the nature and source of FA-like organic matter along the transect [*Her et al.*,
2003]. There are no trends in TRP:FA ratios along the transect either to indicate a different
source of DOM.

383 5.4 Tangential flow fractionation

384 The results from the TFF indicate that there is a significant proportion of particulate and 385 colloidal fluorescent organic matter (FOM) within the river system, and at depth within the 386 hyporheic zone in the gravels beneath the river channel (Figures 6 (A) and (B)). Using a 387 range of different filters on two occasions with contrasting proportions of colloidal FOM 388 enabled us to investigate the FOM and show that there is good connectivity between the 389 gravels (>0.5 m below river bed) in the hyporheic zone and the river channel. The 390 proportion of particulate (>0.45 µm) and colloidal (<0.45-1000 KDa) FOM decreased 391 with depth in the hyporheic zone. In contrast the dissolved component (<1000–<10 KDa) 392 shows little change with depth (Figure 6 (B)).

393 The proportional change in FA-like fluorescence with depth in the whole sample and 394 <0.45 µm fraction remained approximately constant (Figure 6 (A) and (B)). One possible 395 reason for this is that this change is due to mixing from two end members, upwelling 396 groundwater and downwelling river water. Piezometric head data in the hyporheic zone 397 shows an increase with depth and this also suggests that mixing is possible. Another 398 reason for this observation may be that colloidal and particulate FOM is being attenuated 399 in the hyporheic zone by physicochemical processes, adsorption onto clays and organic 400 matter, and biogeochemical processes, being used by microbes as a source of energy. 401 Based on field Eh/pH and dissolved oxygen measurements and water chemistry, the 402 hyporheic zone does not appear to have distinct oxic/anoxic interfaces, pH gradients or the 403 associated oxide precipitates. Therefore, attenuation of FA-like organic matter by 404 adsorption onto surface oxides may not be a major factor in controlling the mobility of 405 organic matter in the hyporheic zone as it is in other sites [*McKnight et al.*, 2002].

406 Maximum values for all fractions of samples were centred at Ex330-Em422, indicating 407 that the fractionation did not separate various components of the FA-like signature that 408 were otherwise overlapping in the bulk sample, and the same fluorophores responsible for 409 the FA-like intensity were associated with particles and colloids across a wide range of 410 molecular sizes. The TRP:FA ratios in the hyporheic zone show a decrease in the shallow 411 gravels (0.19) compared to the river system (0.34), and an increase thereafter with depth to 412 values consistent with Chalk groundwaters. This suggests that the labile protein-like FOM 413 is being preferentially removed within the shallow hyporheic zone (0-0.5 m below river 414 bed). Attenuation of labile C is likely to be occurring within the natural biofilm of the 415 stream bed, as well as on clay surfaces, acting as a sink, and possibly a source of labile C 416 (and dissolved organic nitrogen) under changing temporal conditions [Marmstrong and 417 Barlocher, 2006]. Clearly more detailed work is needed to explore these preliminary 418 findings further, and properly understand the spatial and temporal variability of the labile 419 C dynamics in the hyporheic zone.

420 **6.** Conclusions and Implications

Fluorescence analysis has been able to pick out unique signatures from different water sources and mixing within the alluvial deposits and hyporheic zone. While DOC concentration (and major ion chemistry, see *Abesser et al.*, [2008]) are of limited use as environmental tracers to understand GW-SW processes within this Chalk groundwater dominated catchment. Alluvial deposits in the riparian zone can be considered as a patchwork of varying grain sizes and types with an equally varied lateral connectivity. 427 Some display good connection with the river system and others better connection with the428 groundwater system.

The riparian zone can be considered as a zone of mixing with relatively high spatial and temporal variability. The variability in fluorescence intensity gives an indication of the mixing and GW–SW processes in the alluvial deposits and hyporheic zone. The groundwater component has the effect of moderating temporal variability from the surface water component.

434 Coupling the TFF with fluorescence analysis was useful for investigating the FOM in the 435 river and hyporheic zone. This suggested that there is good connectivity between the 436 surface water to depth within the underlying gravel deposits. There is a significant 437 proportion of particulate and colloidal fluorescent organic matter (PFOM, CFOM) within 438 the river system, and at depth within the shallow gravels beneath the river channel. 439 Changes in TRP: FA from within the river and the shallow hyporheic zone suggest that 440 labile protein-like FOM is being preferentially attenuated. Deeper in the hyporheic zone 441 the surface water inputs are diluted by greater mixing with older groundwater FOM. The 442 composition of organic matter in the shallow (0-1.5m) hyporheic zone is temporally 443 dynamic in nature as a result of changing upstream processes such as waste water inputs, 444 surface runoff and landuse practices which deliver variable concentrations and types of 445 dissolved, colloidal and particulate FOM. While these preliminary findings have 446 important implications for understanding biogeochemical processes and nutrient 447 attenuation within the hyporheic zone, more detailed work is needed to verify these 448 findings, and properly understand the spatial and temporal variability of the labile C 449 dynamics in the hyporheic zone.

Coupling fluorescence EEM analysis to TFF, and other techniques such as size exclusion 450 451 chromatography, provides powerful ways to characterise organic matter, and further the 452 understanding of processes in the natural environment. Future work should focus on 453 understanding the nature and dynamics of organic matter and trace element mobility 454 within the shallow hyporheic zone and soil zone, as well as the impacts of landuse change 455 and waste water inputs into the river and groundwater system. This would be aided greatly 456 by the use of in-situ FOM monitoring techniques [Chen, 1999] which would enable much 457 better understanding of these dynamic processes.

458 Acknowledgments

459 The work was funded by the Natural Environment Research Council (NERC). This paper 460 is published with the permission of the Executive Director, British Geological Survey 461 (NERC). The authors thank Corinna Abesser, Peter Williams (BGS) and Edward Hewitt 462 (CEH) for carrying out fieldwork and analysis. We thank Pauline Sapey (BGS) for 463 providing the site illustration and Andy Baker for his guidance on setting up the 464 fluorescence method. The authors thank Pauline Smedley for critically reviewing this 465 manuscript. This paper has benefited from the constructive comments of two anonymous 466 reviewers.

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- 630

631 Figure captions

- 632 Figure 1. Location of the Westbrook Farm study site and piezometer array. Hyporheic
- 633 zone piezometers are labelled R-T.

- 634 Figure 2. Long term dissolved organic carbon (DOC) time series in the river Lambourn
- and selected groundwaters, site D1 is in the Chalk aquifer and site D2 in the shallow
- 636 gravels adjacent to the Lambourn.
- 637 Figure 3. Fluorescence and DOC time series for selected sites in the Chalk (D1 and A1),
- the gravel deposits (D2) and the sand deposits (A2) at Westbrook Farm. Error bars
- 639 represent the maximum estimated relative standard deviation (+/- 10%) from a
- 640 combination of the sampling and analytical error, which was estimated by repeated
- 641 sampling and analysis (n=2) at all the sites on two separate occasions.
- 642 Figure 4. Changes in average FA-like and TRP-like fluorescence intensity with depth.
- 643 Sites A2 and E2 are indicated on the plot, mbgl = meters below ground level.
- Figure 5. Changes in average FA-like fluorescence intensity along a W–E transect from
 the road (see Figure 1) in the shallow alluvial deposits and the underlying Chalk.
- 646 Figure 6. FA-like fluorescence intensities for tangential flow fractionation permeates (A)
- 647 Results for the River Lambourn and samples in the hyporheic zone on two sampling
- occasions, site R at 0.5 m below river bed (mbrb), site S at 1.5 mbrb and site T at 2.5
- 649 mbrb. First sampling round was on the July 17^{th} 2008, the second on the August 5^{th} 2008.
- (B) Changes in FA-like fluorescence intensity with depth in the river and hyporheic zone
- 651 for samples taken on the 5^{th} August 2008.
- 652 Figure 7. Cross-plot of TRP-like intensity verses FA-like intensity for shallow
- 653 groundwater, deep groundwater, hyporheic zone and the River Lambourn. Error bars654 represent 1 standard deviation.
- Table 1. Summary of site details, response zones, lithology, number of samples, and sampling phase.
- Table 2. Tangential flow fractionation mass balance recoveries for FA-like and TRP-likefluorescence in a Chalk groundwater sample.
- Table 3. Summary of dissolved organic carbon (DOC), fluorescence intensity and
- 660 chemistry results collected at the Westbrook Farm site (November 2005–May 2008).
- 661

663

664 Tables

665

Table 1. Summary of site details, response zones, lithology and number of samples.

667

Site ID	Response zone (m)	Lithology	Samples		
Shallow groundwaters					
A2	0-1.8	Sand			
Q	1.4-2.5	Sandy gravel	2		
Y	1.5-3.6	Gravel	1		
Р	1.6-3.3	Gravel/sand	2		
D2	0.7-3.8	Gravel	8		
N4	RWL-4	Gravel	3		
E2	0-4.7	Gravel	1		
V	2.3-5.3	Gravel	1		
W	1.5-5.6 Gravel		1		
Deeper groundwaters					
N7	RWL-7	Putty Chalk	3		
Х	6.3-9.7	Chalk	1		
N15	RWL-15	Chalk	3		
A1	13.2-24	Chalk	9		
D1	10.7-25	Chalk	9		
E1	11.5-25.2	Chalk	1		
Hyphoreic Zone					
R	1.27-1.47	Gravel	3		
S	3.01-3.21	Gravel	3		
Т	4.05-4.25	Gravel	3		
Surface waters					
River Lambourn 12					

RWL = Rest Water Level, depth in meters below ground level

Table 2. Tangential flow fractionation mass balance recoveries for FA-like and TRP-like fluorescence in a Chalk groundwater sample. 668

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670

TFF Filter* FA-like TRP-like

	Recovery%			
0.45µm	101	84		
1000 KDa	101	117		
10 KDa	111	84		

* Nominal cut-off

6	7	2
υ	1	4

673 Table 3. Summary of dissolved organic carbon (DOC), fluorescence intensity and

6/4 chemistry results collected at the Westbrook Farm site (November 2005–May 2008).	674	chemistry results collected at the Westbrook Farm site (November 2005–May 2008).
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Site ID	DOC	FA-like	TRP-like	TRP:FA	т	SEC	HCO₃
	mg l⁻¹	R.U	R.U		°C	µS cm ⁻¹	mg l⁻¹
Shallow groun	Shallow groundwaters						
A2	1.0	0.24	0.08	0.34	10.8	590.5	301.5
Q	0.9	0.30	0.09	0.29	9.8	625.0	300.2
Υ	0.7	0.34	0.08	0.24	10.3	649.0	298.7
Р	0.8	0.27	0.07	0.25	9.6	618.2	295.2
D2	0.8	0.29	0.09	0.32	10.6	594.6	296.9
N4	0.7	0.28	0.09	0.31	10.2	645.4	299.6
E2	0.7	0.32	0.20	0.61	10.7	615.5	302.1
V	0.6	0.28	0.08	0.28	10.6	642.0	287.1
W	0.9	0.25	0.06	0.22	9.6	655.0	307.2
Mean (SD)	0.8 (+/- 0.13)	0.29 (+/- 0.03)	0.09 (+/- 0.04)	0.32	10.2	626.1	298.7
Deep groundw	vaters						
N7	0.7	0.27	0.11	0.40	10.5	608.0	291.3
Х	0.6	0.26	0.06	0.24	10.8	630.0	298.0
N15	0.7	0.23	0.08	0.34	10.3	599.8	300.9
A1	1.0	0.20	0.07	0.36	10.6	582.2	297.4
D1	0.9	0.22	0.06	0.26	10.6	577.5	291.0
E1	0.5	0.18	0.07	0.39	10.6	448.2	300.2
Mean (SD)	0.8 (+/- 0.19)	0.22 (+/- 0.03)	0.07 (+/- 0.02)	0.32	10.6	567.5	297.5
Hyphoreic zone							
R	0.8	0.38	0.07	0.19	10.0	626.2	295.7
S	0.6	0.30	0.09	0.29	10.2	561.3	294.6
Т	0.7	0.28	0.09	0.32	9.9	617.8	301.6
Mean (SD)	0.7 (+/- 0.10)	0.32 (+/- 0.05)	0.08 (+/- 0.01)	0.27	10.0	601.8	297.3
Surface water							
R. Lambourn	1.2 (+/- 0.3)	0.45 (+/- 0.08)	0.15 (+/- 0.09)	0.34	9.6	538.8	270.1

FA-like = fulvic acid like intensity, TRP-like = Tryptophan like intensity, R.U = Raman Units, SEC = Specific Electrical Conductance, SD = one standard deviation

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678 Figures

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680 681

Figure 1. Location of the Westbrook Farm study site (51° 26' 52.95" N, 1° 23' 7.49" W)

683 and piezometer array. Hyporheic zone piezometers are labelled R-T.





685 Figure 2. Long term dissolved organic carbon (DOC) time series in the river Lambourn

and selected groundwaters, site D1 is in the Chalk aquifer and site D2 in the shallow
gravels adjacent to the Lambourn.



689

Figure 3. Fluorescence and DOC time series for selected sites in the Chalk (D1 and A1),
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sampling and analysis (n=2) at all the sites on two separate occasions.





697 Figure 4. Changes in average FA-like (closed circles) and TRP-like (open circles)

fluorescence intensity with depth. Data for sites A2 and E2 are indicated on the plot, mbgl
meters below ground level.



701 Figure 5. Changes in average FA-like fluorescence intensity along a W–E transect from

the road (see Figure 1) in the shallow alluvial deposits and the underlying Chalk.

703



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Figure 6. FA-like fluorescence intensities for tangential flow fractionation permeates (A)
Results for the River Lambourn and samples in the hyporheic zone on two sampling
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(B) Changes in FA-like fluorescence intensity with depth in the river and hyporheic zone
for samples taken on the 5th August 2008.

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- 712



714 Figure 7. Cross-plot of TRP-like intensity verses FA-like intensity for shallow

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groundwater, deep groundwater, hyporheic zone and the River Lambourn. Error barsrepresent 1 standard deviation.