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noraceh@ceh.ac.uk

1 **Use of soil data in a grid-based hydrological model to estimate spatial variation**
2 **in changing flood risk across the UK**

3

4 V.A. Bell¹, A.L. Kay¹, R.G.Jones², R.J Moore¹, N.S Reynard¹

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6 ¹Centre for Ecology and Hydrology, Wallingford, Oxfordshire, OX10 8BB, UK

7 ²Met Office Hadley Centre (Reading Unit), Meteorology Building, University of Reading, Reading,
8 RG6 6BB, UK

9

10 Corresponding author. Tel: +44 1491 692264

11 E-mail address: vib@ceh.ac.uk (V.A. Bell).

12

13 **Summary**

14

15 A grid-based flow routing and runoff-production model, configured to employ as input either observed
16 or Regional Climate Model (RCM) estimates of precipitation and potential evaporation (PE), has
17 previously been used to assess how climate change may impact river flows across the UK. The slope-
18 based Grid-to-Grid (G2G) model adequately simulated observed river flows under current climate
19 conditions for high relief catchments, but was less successful when applied to lower-relief and/or
20 groundwater-dominated areas. The model has now been enhanced to employ a soil dataset to configure
21 the probability-distributed store controlling soil-moisture and runoff generation within each grid-cell. A
22 comparison is made of the ability of both models to simulate gauged river flows across a range of
23 British catchments using observations of rainfall and PE as input. Superior performance from the
24 enhanced G2G formulation incorporating the soil dataset is demonstrated.

25

26 Following the model assessment, the observed precipitation and PE data used as input to both
27 hydrological models were replaced by RCM estimates on a 25 km grid for a Current (1961 to 1990)
28 and a Future (2071 to 2100) time-slice. Flood frequency curves derived from the flow simulations for
29 the two time-slices are used to estimate, for the first time, maps of changes in flood magnitude for all

1 river points on a 1 km grid across the UK. A high degree of spatial variability is seen in the estimated
2 change in river flows, reflecting both projected climate change and the influences of landscape and
3 climate variability. These maps also highlight large differences between the climate impact projections
4 arising from the two models. The improved structure and performance of the soil-based G2G model
5 adds confidence to its projections of flow changes being realistic consequences of the climate change
6 scenario applied. A resampling method is used to identify regions where these projections may be
7 considered robust. However, with the climate change scenario used representing only one plausible
8 evolution of the future climate, no clear message can be drawn here about projected river flow changes.

9
10

11 **KEYWORDS**

12 Rainfall-runoff model; Regional climate model; flood frequency: climate change

13

14 **Introduction**

15

16 An analysis of precipitation records over the period 1961 to 2000 (Osborn and Hulme,
17 2002) indicates that UK precipitation has tended to become more intense in winter
18 and less intense in summer. The impact of such changes in rainfall on river flows will
19 depend on both the nature of the rainfall and the physical characteristics of the
20 catchment. For fast-responding catchments, such as those in impermeable or high
21 relief areas, the features of the specific rainfall event are critical. Such catchments
22 tend not to have the deep soils or permeable geology that lead to the long-term
23 hydrological “memory” often exhibited by larger lowland rivers. Many drainage areas
24 in Southeast England, including the Thames Basin, are typical of lowland catchments
25 where the long-term balance between rainfall and evaporation can have an important
26 influence on flood response to storm rainfall.

27

1 Over the past thirty to forty years there has been some evidence of a positive trend in
2 high river flow indicators in the maritime–influenced upland areas of North and West
3 Britain (Hannaford and Marsh, 2008). Such trends are generally thought to be linked
4 to changes in winter precipitation arising from changes in atmospheric circulation
5 patterns. However “little compelling evidence” was found for a trend towards higher
6 flows in lowland areas of South and East Britain. Analyses of much longer flow
7 records spanning the 20th century (Black, 1996; Robson, 2002; Hannaford and Marsh,
8 2008) have so far detected no apparent *long-term* trend in UK flood magnitude.
9 Hannaford and Marsh (2008) note that over the last century the increasing
10 temperatures observed in the UK are likely to have directly influenced key processes
11 affecting floods: notably a decrease in snowmelt-induced floods and occurrence of
12 frozen ground since the 1960s, and temperature-induced changes in evaporation
13 (which can affect soil moisture deficits and flood generation).

14

15 Current UK guidance on how to consider the potential impacts of climate change on
16 flood flows when planning flood defence schemes states that “*The limited number of*
17 *catchments researched to date supports applicability of a 20% allowance to the 2080s*
18 *for peak river flow*” (Defra, 2006). Most research investigations into the effects of
19 climate change on UK river flows, including that which led to the Defra (2006)
20 guidance for flood defences, have used catchment hydrological models to provide
21 estimates of changes in flow for a single location, or a small set of locations:
22 examples are Kay *et al.* (2009), New *et al.* (2007), Fowler and Kilsby (2007), Wilby
23 and Harris (2006), Wilby *et al.* (2006), Nawaz and Adeloje (2006), Cameron (2006),
24 Kay *et al.* (2006), Arnell (2003) and Reynard *et al.* (2004). Generally, the
25 hydrological model is calibrated to catchment conditions, using model parameters to

1 adjust the modelled catchment response to rainfall, in order to allow for the spatial
2 heterogeneity of soil, geology, topography and land-cover. The model parameters can
3 also be adjusted to take into account artificial influences on flows, such as water
4 abstraction.

5

6 Here we use a single, grid-based model (Grid-to-Grid, or G2G) and a single set of
7 parameters for the whole of the UK to simulate the different responses of catchments
8 to rainfall and evaporation, using digital datasets of landscape properties to provide
9 the spatial differentiation. In order to determine the effect of hydrological model
10 structural quality on river flows, estimates from an enhanced G2G model, which
11 includes the effect of soil properties on runoff production, are compared to those from
12 the slope-dependent G2G model used in previous assessments of changing flood risk
13 (Bell *et al.*, 2007a,b). These two model variants are distinguished here using the
14 names ‘Soil-G2G’ and ‘Slope-G2G’ respectively. Estimates of river flows from both
15 model variants are compared to observed flows for a large set of catchments using
16 historical observations of rainfall and potential evaporation (PE) as model input.
17 Model performance for different sizes and types of catchment across Britain is
18 assessed. Bell *et al.* (2007a) found that the Slope-G2G provided reasonably good flow
19 estimates for high relief catchments, but was less effective in areas of lower relief or
20 where groundwater processes have a significant influence on river flows. The
21 enhanced G2G model formulation presented here attempts to overcome these
22 deficiencies, and contains further developments to the prototype Soil-G2G
23 formulation previously trialled in some Upper Thames catchments (Moore *et al.*,
24 2007, 2006a,b).

25

1 Use of a grid-based hydrological model in conjunction with high-resolution climate
2 model output has made it possible here, for the first time, to estimate the spatial
3 effects of climate change on peak river flows across the UK. Observations of rainfall
4 and PE used to calibrate and assess both hydrological models are replaced by
5 Regional Climate Model (RCM) estimates on a 25 km grid and at hourly intervals
6 (daily for PE) for a Current (1961 to 1990) and a Future (2071 to 2100) time-slice.
7 Flood frequency curves derived from the flow simulations for both time-slices are
8 used to produce maps of changes in flood magnitude for river points on a continuous
9 1 km grid across the UK. These maps indicate a high degree of spatial variability in
10 the sensitivity of UK rivers to future changes in climate. The resilience, or
11 “robustness”, of the modelled changes in flood magnitude is investigated using a
12 resampling method. Areas of the UK are identified for which confidence in the
13 projected changes under this regional climate model scenario is greatest.

14

15

16 **The hydrological models**

17

18 Two hydrological model formulations are compared, one for which runoff production
19 is parameterised in terms of slope (Slope-G2G), and one which introduces the effects
20 of soil/geology on runoff production and catchment response (Soil-G2G). The models
21 can be configured for use at (almost) any spatial resolution, with the temporal
22 resolution determined by numerical stability criteria. They are run here at a 1 km
23 resolution and for a 15 minute time-step over a UK model domain. The models
24 employ Digital Terrain Model (DTM) data to support their configuration and
25 parameterisation. A modular formulation allows model revisions and extensions to be

1 made. In order to represent spatial heterogeneity within each 1 km grid-cell, and to
2 ensure that each cell generates realistic quantities of saturation-excess runoff even
3 when it is not fully saturated, the probability-distributed soil moisture store
4 formulation of Moore (1985, 2007) has been invoked in both models. Runoff from a
5 cell soil column is considered to consist of the saturation-excess surface flow and the
6 groundwater sub-surface flow. These runoffs from each cell form the lateral inflows
7 to the G2G flow routing scheme. The scheme as presented in Bell *et al.* (2007a)
8 consists of a kinematic wave formulation for routing both surface and sub-surface
9 gridded runoffs. This scheme has been further enhanced to include dependence on
10 river width and slope when used to represent river channel flow processes.

11

12 The G2G can be used in two ways: first as an area-wide model providing flow
13 estimates over a large region, and secondly as a catchment model which may be
14 calibrated to obtain the best possible agreement between modelled and observed
15 flows. As an area-wide model, the G2G can be less accurate for a particular catchment
16 than a model specifically calibrated to the catchment, but is well suited to support
17 river flow simulation at any set of locations within a region. As a consequence, the
18 models are able to be calibrated to groups of gauged locations within a region and
19 river flow simulations extracted for any ungauged location within the same area.

20

21 The structure and performance of the two formulations of the G2G model, with area-
22 wide calibrations, is assessed here with reference to observed flow records for
23 catchments across Britain. Model performance will impact on the quality of the flood
24 frequency curves derived from the river flow simulations and on the reliability of the
25 assessment of change in flood frequency under a future climate.

1

2 A description of each G2G model formulation follows. The Slope-G2G has already
3 been presented in detail (Bell *et al.*, 2007a), so only a brief description is provided
4 here for comparison with the Soil-G2G.

5

6 *The Soil-G2G: a distributed grid-based model incorporating soil information*

7

8 Consider a sloping soil column of depth L and slope s_0 subject to precipitation
9 falling at a rate p and with an evaporation rate E_a as shown in Figure 1. Some of the
10 rainwater entering the soil column can drain laterally to adjacent grid-squares, while
11 saturation-excess flow contributes to surface runoff. Water also moves downwards via
12 percolation and drainage which eventually contributes to groundwater (sub-surface)
13 flow.

14

15 *Soil-moisture and surface runoff-production in the Soil-G2G*

16

17 The actual, maximum ‘available’ and residual water storages (water depth per unit
18 area) in the soil column are given by

19

20
$$S = (\theta - \theta_r)L \tag{1}$$

21

22
$$S_{\max} = (\theta_s - \theta_r)L, \tag{2}$$

23

24
$$S'_r = \theta_r L \tag{3}$$

25

1 where θ , θ_s and θ_r are the actual, saturation and residual water contents (water
 2 volume per unit volume of soil). The total water stored is denoted $S' = S + S'_r$, which
 3 can take a maximum value of $S'_{\max} = S_{\max} + S'_r$. The residual water S'_r held under
 4 tension forces is not available for drainage but can contribute to evaporation.

5

6 Let $V = \Delta x^2 S$ denote the volume of available water stored in the unsaturated layer of
 7 the soil column of a given grid-square cell of side length Δx . From continuity, the
 8 rate of change in water volume is given by

9

$$10 \quad \frac{dV}{dt} = (p - E_a)\Delta x^2 + q_i - q_L - q_p - q_s, \quad (4)$$

11

12 where q_i is the rate of inflow to the cell from contributing upstream cells, q_L is the
 13 lateral drainage rate from the cell, q_p is the downward percolation (drainage) rate to
 14 the saturated zone and q_s is saturation-excess surface runoff. This equation of
 15 continuity is also used in the Slope-G2G formulation, but with $q_L = 0$.

16

17 The lateral drainage rate, q_L , is given by

18

$$19 \quad q_L = \frac{C\Delta x}{\Delta x^{2\alpha}} V^\alpha = C\Delta x S^\alpha. \quad (5)$$

20

21 The conveyance term C is given by $C = Lk_s^L s_0 / S_{\max}^\alpha$, where s_0 is the local slope
 22 (derived from digital elevation data) and k_s^L is the lateral saturated hydraulic

1 conductivity. A similar equation can be derived by integrating the Brooks-Corey
 2 (1964) relation for hydraulic conductivity over the depth of soil column (Todini,
 3 1995; Benning, 1995) with parameter α the pore-size distribution factor (here, taken
 4 to be unity).

5

6 Percolation (a vertical downward flow from the soil column), q_p , is represented as a
 7 simple power law function of the available soil water volume V , expressed as a
 8 fraction of the saturated water volume V_{\max} ,

9

$$10 \quad q_p = k_s^v \Delta x^2 \left(\frac{V}{V_{\max}} \right)^{\alpha_p} = k_s^v \Delta x^2 \left(\frac{S}{S_{\max}} \right)^{\alpha_p}, \quad (6)$$

11

12 where k_s^v is the vertical saturated hydraulic conductivity of the soil and α_p is the
 13 exponent of the percolation function. Clapp and Hornberger (1978) indicate, on the
 14 basis of soil experiments, that α_p can vary from circa 11 for sand to 25 for clay.
 15 Following tests, a constant value for α_p of 15 is assumed here (in the absence of a
 16 suitable spatial dataset).

17

18 The dependence of evaporation loss on total soil moisture content is introduced by
 19 assuming the following simple function between the ratio of actual to potential
 20 evaporation, E_a / E , and soil moisture deficit, $S_{\max} - S$:

21

$$22 \quad \frac{E_a}{E} = 1 - \left\{ \frac{(S_{\max} - S)}{S_{\max} + S_r'} \right\}^{b_e}. \quad (7)$$

1

2 Note that evaporation can occur from water held under soil tension. This formulation
3 was used within the PDM (Moore, 1985, 2007) where a value of $b_e=2.5$ is often
4 recommended to obtain realistic variation in evaporation between seasons; this value
5 has been used here.

6

7 In order to ensure that a grid-square generates realistic quantities of saturation-excess
8 surface runoff q_s even when it is not fully saturated, the probability-distributed soil
9 moisture store formulation of Moore (1985, 2007) has been invoked within each grid-
10 square. This probability-distributed approach also forms the basis of the Xinanjiang
11 model (Zhao et al., 1980), the Arno model (Todini, 1996) and the VIC land-surface
12 model (Wood *et al.*, 1992); an historical perspective citing earlier works is given by
13 Moore (1985). The conceptualisation represents the spatial variation in water
14 absorption capacity with soil, geology, land-cover and topography across the grid-
15 square by assuming the grid-square contains a *distribution of store depths*, c , with the
16 depths dependent upon saturation and residual soil moisture. The distribution is
17 assumed to be of Pareto form with distribution function $F(c) = 1 - (1 - c/c_{\max})^b$
18 defined by two parameters: c_{\max} the maximum store depth and b the spatial
19 distribution (shape) parameter controlling the nature of the variation of store depth
20 between 0 and c_{\max} .

21

22 Total soil moisture, $S'(t) \equiv S + S'_r$, and surface runoff, $q_s \equiv q(t)$, are evaluated at
23 each time-step. The maximum total storage $S'_{\max} \equiv S_{\max} + S'_r$ is estimated from soil
24 data on local saturation and the depth of the soil column. The variable $C^* \equiv C^*(t)$ is

1 the critical capacity below which all stores are full at some time t . The proportion of
 2 the grid-square containing stores of capacity less than or equal to C^* is
 3 $\text{prob}(c \leq C^*) = F(C^*) = \int_0^{C^*} f(c)dc = 1 - (1 - C^*/c_{\max})^b$. This is the proportion of the
 4 grid-square from which the surface runoff q_s is generated when net rainfall is positive.

5

6 The PDM rainfall-runoff catchment model assumes a single distribution of stores
 7 across a catchment, with the values of b and c_{\max} determined through calibration with
 8 reference to observed river flows. In contrast, the Slope-G2G exploits a relation
 9 between store capacity and terrain slope to estimate the values of b and c_{\max} from
 10 DTM-derived slope data for each model grid-square (see section on Slope-G2G). For
 11 the Soil-G2G, a method to estimate b directly from soil properties, rather than through
 12 terrain slope, was sought. Parameter values obtained by calibration of the standard
 13 PDM lumped catchment model across a range of catchments, indicate that those with
 14 larger store capacity (large S'_{\max}) do tend to have smaller values of b , whereas those
 15 with shallow soils tend to have larger values of b . Results are shown in Fig. 2 for 37
 16 catchments across the UK and simple curve fitting indicates that the inverse square-
 17 root relationship

18

$$19 \quad b = 5.2 / \sqrt{S'_{\max}}, \quad (8)$$

20

21 provides a reasonable approximation (coefficient of determination of 0.66). Soil data
 22 were used to provide an estimate of the total water stored S'_{\max} from which b is then
 23 calculated using Eq. (8); this is done for each grid-square over the model domain.
 24 Trials indicated that the relationship of Eq. (8) performed well except in areas with

1 permeable geology such as chalk downland. For these areas where stores are
2 particularly deep ($L > 1$ m), setting $b = 0$ had the effect of removing rapid
3 fluctuations in surface runoff and resulted in more realistically modelled river flows.
4 For this case, all stores in a grid-square have the same capacity, c_{max} .

5

6 Estimates for the four soil properties θ_s , θ_r , L and k_s (the saturated hydraulic
7 conductivity) are available from soil datasets for the UK at a 1 km resolution (details
8 are provided in a later section). The vertical component of the saturated hydraulic
9 conductivity k_s^v is assumed to be linearly related to k_s , through the relation $k_s^v = \lambda k_s$,

10 where λ is treated as a spatially invariant model parameter referred to as the drainage
11 conductivity multiplier. This additional parameter is required to take into account the
12 vertical variation in hydraulic conductivity not encompassed by values taken from the
13 UK datasets. The lateral saturated hydraulic conductivity, k_s^L , is unknown but

14 following initial trials of the G2G, it is assumed to be related to k_s via the relation
15 $k_s^L = 50k_s$: this produces a moderate improvement in the timing of flow peaks in
16 groundwater-dominated catchments. However, more extensive calibration has proved
17 impossible, in part because most of the groundwater-dominated catchments in this
18 study are subject to abstraction and/or uncertainty about the extent of the sub-surface
19 catchment.

20

21 The facility exists to include a reduction of soil depth L from those provided by
22 national datasets, for grid-cells containing significant urban and suburban areas,
23 through use of the LCM2000 spatial dataset of land-cover (Fuller *et al.*, 2002). The
24 soil depth is multiplied by the factor $1 - 0.7\phi_u - 0.3\phi_s$ where ϕ_u and ϕ_s are the

1 fractions of urban and suburban area within each grid cell. This reduction in soil
2 storage will have the effect of increasing runoff, particularly surface runoff, in urban
3 areas leading to a faster response to rainfall. This responsiveness has been further
4 enhanced through the use of an increased routing speed in urban areas (see Section
5 *Estimation of river flows by surface and channel flow routing models*).

6

7 *Sub-surface runoff-production in the Soil-G2G*

8

9 It is assumed that percolation freely drains as recharge to the groundwater saturated
10 zone (for the cell), so that the recharge rate $q_r \equiv q_p$. Let V_g denote the groundwater
11 volume stored in the cell and s_b the slope of the underlying bedrock in the flow
12 direction. Continuity for the groundwater volume is

13

$$14 \quad \frac{dV_g}{dt} = q_p - q_g \quad (9)$$

15 where q_g is the lateral groundwater flow from the cell. Darcy's law gives the lateral
16 groundwater flow out of the cell to a reasonable approximation by the linear relation

17

$$18 \quad q_g = \frac{k_g s_b}{\Delta x} V_g \quad (10)$$

19

20 where k_g is the horizontal hydraulic conductivity of the aquifer. This is appropriate
21 for a confined aquifer. However, suitable values for bedrock slope, s_b , and
22 conductivity, k_g , are not straightforward to obtain. One approach is to assume that
23 bedrock slope mirrors the surface topographic slope which can be estimated from

1 digital terrain data. Conductivity information may be obtained from geology datasets
2 but obtaining meaningful values for the present scale of application may present
3 difficulties. Geological datasets have not been used for the present model application.
4 Instead, a nonlinear storage function relating groundwater flow to volume has been
5 invoked, such that

6

$$7 \quad q_g = \kappa_g V_g^m, \quad \kappa_g > 0, \quad m > 0, \quad (11)$$

8

9 where κ_g is a rate constant and m is the nonlinear power. For this application, a cubic
10 storage function has been assumed ($m=3$), and κ_g is treated as a spatially invariant
11 parameter for estimation.

12

13

14 *Estimation of river flows by surface, subsurface and channel flow routing models*

15

16 Runoff from the soil column is considered to consist of the saturation-excess flow, q_s ,
17 and groundwater flow, q_g . These runoffs from each cell form the lateral inflows to
18 the Grid-to-Grid flow routing scheme comprising of two parallel coupled equations
19 representing the surface and subsurface flow pathways respectively. The scheme (Bell
20 *et al.*, 2007a,b) employs a kinematic wave equations in 1-dimension of the form:

21

$$22 \quad \frac{\partial q}{\partial t} + c \frac{\partial q}{\partial x} = c(u + R) \quad (12)$$

23

1 where q is either surface or subsurface flow, R denotes return flow per unit path
 2 length (water transfer between subsurface and surface pathways), and u represents
 3 lateral inflows per unit path length, which include runoff generated by the runoff-
 4 production scheme. The wave speed c can vary with the pathway (surface or
 5 subsurface) and surface-type (land or river) combination.

6

7 Invoking forward difference approximations to the derivatives in (12) gives the
 8 discrete formulation

9

$$10 \quad q_k^n = (1 - \vartheta)q_{k-1}^n + \vartheta(q_{k-1}^{n-1} + u_k^n + R_k^n) \quad (13)$$

11

12 where k and n denote positions in discrete time and space respectively, the
 13 dimensionless wave speed $\vartheta = c \Delta t / \Delta x$ and $0 < \vartheta < 1$. This is a simple, explicit
 14 numerical formulation for the kinematic wave equation with u_k^n and R_k^n now the
 15 lateral inflow and return flow over the path length. This numerical scheme has the
 16 advantage of introducing diffusion (albeit numerically) and so more closely represents
 17 the propagation of actual flow through the landscape. Figure 3 summarises the key
 18 features of the coupled runoff-production and routing scheme.

19

20 This finite-difference scheme forms the basis of the routing component of both the
 21 Soil- and Slope-G2G. In each case, the routing is implemented in terms of an
 22 equivalent depth of water in the surface or sub-surface store over the grid square, S_k^n ,
 23 with $q_k^n = \kappa S_k^n$ and where $\kappa = c / \Delta x$ is a rate constant with units of inverse time and
 24 Δx is the grid-cell size. The inflow and return flow are also re-expressed in terms of

1 water depth for calculation purposes. In the Soil-G2G, return flow from the sub-
2 surface to the surface is estimated as $R_k^n = (r_b S_{bk}^n + r_i S_{ik}^n) / \Delta t$, which includes a
3 contribution directly from the soil column, while for the Slope-G2G return flow is a
4 proportion of the sub-surface flow only, i.e. $R_k^n = r_b S_{bk}^n / \Delta t$. In both cases, S_{bk}^n is the
5 depth of water in the sub-surface routing store, r_b is the return flow fraction and for
6 the Soil-G2G, r_i is the return flow fraction from the soil store and S_{ik}^n is the depth of
7 water in the soil column. For diagonal flow-paths the distance travelled across the
8 grid-cell is increased by a factor of $\sqrt{2}$.

9

10 In the Soil-G2G, routing along surface pathways of river channel type employs the
11 Horton–Izzard nonlinear storage approach (Dooge, 1973; Moore and Bell, 2001)
12 applied to a varying width channel network (Ciarapica and Todini, 2002; Moore *et al.*,
13 2007) and exploits geomorphological relations developed by Bell and Moore (2004).

14 In this case the momentum equation is given by the Manning equation $q = CS^m$,
15 where S is the water depth, the conveyance $C = \sqrt{s_0} / n$ where s_0 is the channel bed
16 slope and n is Manning’s roughness coefficient, and $m = 5/3$. Without change of
17 notation, to simplify presentation, this routing scheme is developed below for a river
18 grid cell within the modelled domain.

19

20 Assuming a network structure of channel reaches with wide rectangular cross-sections
21 of width, w , increasing downstream, then the kinematic wave routing scheme for a
22 reach is

23

$$\frac{dV}{dt} = q - \frac{Cw}{(\Delta x w)^m} V^m = q - q_c. \quad (14)$$

2

3 Here, V is the volume stored in the reach, q_c is the outflow, and the inflow q is made
 4 up of two components: the surface runoff q_s , which includes return-flows from the
 5 soil and groundwater store, and the channel inflow from upstream q_c^u . The channel
 6 bed slope, s_0 , is here assumed equal to the mean slope of the grid-cell. Standard
 7 tables of Manning's n can be used to assign values to each cell if information on the
 8 type of channel is available. Alternatively, a channel ordering system, such as that due
 9 to Strahler, could be invoked with the support of a digital terrain model and used to
 10 allow roughness to decrease with increasing stream order. Here, a constant roughness
 11 has been assumed within and across all cells for simplicity. In practice, the kinematic
 12 wave routing scheme with varying cross-sectional width used here is

13

$$\frac{dV}{dt} = q - \frac{c_r^* \sqrt{s_0}}{\sqrt{w}} V^2, \quad (15)$$

15 where c_r^* is a routing parameter with units of $s^{-1} m^{-5/2}$.

16

17 To estimate channel width w , the approach of Bell and Moore (2004) has been
 18 followed. They obtained the following relationship for bankfull width using
 19 observations of bankfull river dimensions published by Nixon (1959) for 27
 20 catchments across the UK ranging in size from 157 to 9948 km²:

21

$$w_b = 0.9134A^{0.5121} \left(\frac{R_{SAAR}}{1000} \right)^{1.139}, \quad (16)$$

1

2 where A is the area drained (km^2) and R_{SAAR} is the Standard Average Annual Rainfall
3 (mm) over this area. This has been applied to each cell containing a river channel to
4 obtain estimates of w .

5

6 The kinematic wave routing scheme for channel flow given by equation (14) takes the
7 general nonlinear reservoir (Horton-Izzard) form

8

$$9 \quad \frac{dV}{dt} = u - kV^m \quad (17)$$

10

11 where V is the volume of water in the channel and the quantities u and k are
12 constants within a time-step. An integral solution of this equation is available for
13 calculation purposes based on an approximation suggested by Smith (1977) for the
14 general case, or analytical expressions for specific cases: see Moore and Bell (2002)
15 and Moore *et al.* (2007) for further details. For the present application, involving
16 specifically Eq. (15), the analytical solution for the quadratic case ($m=2$) is used.

17

18 For the Soil-G2G the responsiveness of the catchment to rainfall in urban areas has
19 been further enhanced by increasing the routing parameter, k , by a factor of 2 for
20 grid-cells where the fraction of urban area, $\phi_u > 0.25$.

21

22 *The slope-dependent Grid-to-Grid Model*

23

1 A full description of the slope-dependent G2G model structure and its configuration
 2 to the UK is presented in Bell *et al.* (2007a). A simple runoff production scheme
 3 based on terrain slope is used following methodology developed for the CEH Grid
 4 Model (Bell and Moore, 1998a,b) to derive surface and sub-surface runoffs from
 5 gridded rainfall and potential evaporation inputs. The Slope-G2G formulation
 6 assumes that grid-cells with less steep slopes have deeper soil stores, and are less
 7 immediately responsive to rainfall than steeper areas.

8

9 At a point it is assumed that the moisture storage capacity, c , is related to the local
 10 topographic slope, s_0 , such that

11

$$12 \quad c = \left(1 - \frac{s_0}{s_0^{\max}} \right) c_{\max}, \quad (18)$$

13

14 where s_0^{\max} and c_{\max} are regional maximum values of slope and capacity respectively.

15 Further, it is assumed within a grid-square that the variation in slope has a distribution

16 function of power form such that $F(s_0) = (s_0/s_0^{\max})^b$, $0 \leq s_0 \leq s_0^{\max}$. It follows from

17 derived-distribution theory that capacity c has the Pareto distribution

18 $F(c) = 1 - (1 - c/c_{\max})^b$ with spatial distribution (shape) parameter, b , related to the

19 mean slope, \bar{s}_0 , through the expression.

20

$$21 \quad b = \frac{\bar{s}_0}{s_0 - \bar{s}_0}. \quad (19)$$

22

1 Standard PDM theory (Moore, 1985, 2007) can then be used to obtain the fraction of
2 a grid-square that is saturated and generating runoff. Analytical expressions are
3 available for calculating for every model time-step the volume of surface runoff and
4 total water storage, $S'(t)$, for each grid-square. The latter has a maximum value
5 $S'_{\max} = c_{\max} / (b + 1)$. DTM data (here, available on a 50 m grid) are used to estimate \bar{s}_0
6 for each grid-square and s_0^{\max} , with c_{\max} treated as a regional parameter to be
7 optimised. Values for b and S'_{\max} can be calculated from these for all grid-squares.
8 No data on soil properties are required with the Slope-G2G model variant as terrain
9 slope is used as a surrogate for the water holding capacity of the soil. The water
10 balance calculations for the Slope-G2G take account of losses via evaporation and
11 drainage in a similar way to that outlined for the Soil-G2G, but using a storage-
12 dependent drainage function incorporating a soil tension threshold.

13

14 The routing component employed by the Slope-G2G has previously been outlined in
15 the context of the Soil-G2G model variant. It essentially employs a kinematic wave
16 formulation that is equivalent in conceptualisation to a network cascade of linear
17 reservoirs. Surface and subsurface runoffs are routed via parallel fast and slow
18 response pathways linked by a return flow component representing stream-soil-
19 aquifer interactions. The terrain-following flow paths are configured using a DTM.

20

21

22 *Model Configuration from digital datasets*

23

24 The routing component of both the Slope- and Soil-G2G models requires two DTM-
25 derived datasets:

1

2 (i) flow directions (each grid-cell can drain in only one of 8 directions),

3 (ii) area draining to each 1 km grid-cell

4

5 Here, the G2G model formulation is configured spatially using river networks and
6 terrain information derived from a 50m hydrologically corrected UK DTM, the
7 IHDTM (Morris and Flavin, 1990). The IHDTM is derived using Ordnance Survey
8 (OS) 1:50000 digitised contours and spot heights, and digitised river networks; it has
9 a 0.1m vertical resolution. Although the IHDTM provides an accurate 50m grid of
10 flow-directions, the G2G routing scheme operates on a coarser 1km grid and is unable
11 to use these fine-scale flow-directions directly. This is a common issue in broad-scale
12 distributed modelling, and has motivated the development of a range of methods to
13 extract low-resolution flow direction networks from high-resolution base datasets. In
14 a recent assessment, Davies and Bell (2009) found that two derivation methods - the
15 Network Tracing Method (NTM, Fekete *et al.*, 2001), and the COTAT+ method (Paz
16 *et al.*, 2006) - produced river networks that most closely resembled the base fine-scale
17 (50m) river networks. The COTAT+ raster-based scheme was considered slightly
18 better at estimating catchment areas. The accuracy of the COTAT+ river network
19 derivation method over the UK does not seem to depend greatly on the nature of the
20 topography it is applied to. Indeed, Davies and Bell (2009) found that it performed
21 reasonably accurately across the whole of mainland Britain. Generally the percentage
22 error in catchment area arising from the delineation of a catchment boundary using
23 1km-resolution flow-directions is less than 5%, although a few catchments have larger
24 errors.

25

1 The runoff-production schemes for both G2G formulations require gridded estimates
2 of average terrain slope in each grid-cell. The slope of each 1 km grid-cell is
3 determined from the mean of the 50m grid-cell slopes contained in the 1 km grid-cell,
4 These are estimated from the elevations of the 3×3 cell neighbourhood surrounding
5 each cell using an average maximum technique (Burrough, 1986) as implemented in
6 ESRI ArcGIS software. Note that this measure of cell mean slope will not necessarily
7 represent the slope of the river.

8

9 Additional data on soil properties is required by the Soil-G2G runoff-production
10 scheme. Here, a derived quantity called the HOST (Hydrology of Soil Types) class
11 has been used to infer estimates of soil hydraulic properties across the UK. The HOST
12 dataset has a 1 km resolution and consists of 29 classes, encompassing soil type,
13 hydrological response and substrate hydrogeology (Boorman *et al.*, 1995). Although
14 this classification only provides an integer identifier for 29 different soil types, a
15 database of derived soil attributes supports the derivation of these classes and consists
16 of properties such as air capacity, parent material, depth to gleying and depth to
17 slowly permeable layer. Highly derived soil properties have been extracted from a soil
18 properties database called SEISMIC (Hallett *et al.*, 1995), available from the National
19 Soil Resources Institute. In SEISMIC, soil series are analysed down to a depth of 1.5
20 m. By comparing information from SEISMIC with the HOST dataset, Ragab together
21 with colleagues at CEH (pers. comm.) associated values of soil properties with each
22 of the 29 HOST classes. Relevant properties are as follows:

23

24 hydraulic conductivity at saturation : k_s (cm d⁻¹),

25 soil depth to “C” and “R” horizons (cm),

1 water content at field capacity, θ_{fc} : fractional volume at 5KPa,
2 residual water content, θ_r : half the fractional volume at 1500KPa

3

4 The soil depths to “C” and “R” horizons consist of two values. The SEISMIC User
5 Manual defines the C-layer as “mineral substrate, relatively unweathered ‘soft’
6 unconsolidated material, gravel or rock rubble”, and the R-layer as “relatively
7 unweathered, coherent rock”. The depth to the R-layer has been used here as a
8 surrogate for soil depth. Where a value for depth to the R-layer is not available, the
9 depth to the C-layer is used instead. In many cases (but not all), depth to the R-layer
10 for each soil type is greater than the depth to the C-layer.

11

12 The residual soil water content, θ_r , and the saturated hydraulic conductivity, k_s , are
13 used directly in the Soil-G2G runoff production scheme. The water content at field
14 capacity, θ_{fc} , represents the water content below which drainage becomes negligible.

15 As a rule of thumb (Or and Wraith, 2002), $\theta_s = 2\theta_{fc}$, where θ_s is the water content at
16 saturation, an estimate of which is required for the Soil-G2G runoff-production
17 scheme. However, values for θ_{fc} associated with HOST classes from SEISMIC data
18 range from 0.25 to 0.49 and seem rather large compared to literature values which
19 range from 0.1 for fine sand to 0.39 for clay (Dunne and Leopold, 1978). For the
20 present purposes it is assumed that $\theta_s = 1.25 \theta_{fc}$, which results in values of θ_s ranging
21 from 0.31 to 0.61.

22

23

24 **Hydrological and climate data**

1

2 *Observation-based data for model calibration and assessment*

3

4 Both variants of the G2G model are assessed here with respect to observed flows at
5 river gauging station sites across the UK and using gridded time-series of
6 precipitation and potential evaporation as model input. The precipitation data were
7 daily values on a 5km grid derived from raingauge totals by the Met Office for the
8 period 1958 to 2002. In the present application, the G2G model runs at a 15-minute
9 time-step, so the daily rainfall estimates are equally spread throughout the day.
10 Potential evaporation data were monthly values on a 40 km grid obtained from the
11 “Met Office Rainfall and Evaporation Calculation System”, MORECS (Thompson *et*
12 *al.* 1981, Hough and Jones, 1997). Monthly total PE estimates are spread equally
13 throughout the month. This method is sufficient for PE input to rainfall–runoff
14 models, since its effect on runoff production is as a cumulative control on soil water
15 storage.

16

17 The Slope-G2G model had previously been assessed using observed (raingauge) and
18 modelled (from an RCM driven by quasi-observed boundary conditions) rainfall data
19 as input (Bell *et al.*, 2007a). The DTM-derived river-flow routing datasets used here
20 differ slightly to those described by Bell *et al.* (2007a,b), as derivation-methods for
21 flow paths and catchment boundaries have since been improved. This has required
22 minor adjustments to be made to the Slope-G2G model parameters.

23

24 Daily flow records from 42 river gauging stations across Britain have been used in the
25 G2G model assessment. A map showing catchment boundaries and outlet locations is

1 presented in Fig. 4. The station names and identifiers (IDs) are listed in Table 1
2 together with catchment area and baseflow index (bfi). The baseflow index (Institute
3 of Hydrology, 1980) is a dimensionless measure (range 0 to 1) expressing the fraction
4 of river flow that derives from stored sources, such as groundwater. The catchments
5 were chosen to represent a wide range of river regime, ranging from fast-responding
6 upland catchments (e.g. Taw, Dee) to baseflow-dominated river basins (e.g. Mimram,
7 Lambourn). It is important to remember that artificial controls on flow, such as
8 reservoirs and abstractions for water supply, are not yet accounted for in the G2G
9 model. Neglecting the effect of groundwater abstractions on river flows will result in
10 apparent overestimation of flows in affected areas, particularly during summer
11 months. Daily mean flow data for the catchments in Table 1, originating from the
12 Environment Agency, were obtained from the National River Flow Archive at CEH
13 Wallingford.

14

15 The observed data record was divided into two separate periods for model calibration
16 and assessment. The calibration period was from 28 November 1980 to 18 December
17 1982 following a six-month period used for model initialisation; the period used for
18 model assessment ran from 1 January 1985 to 31 December 1993, again preceded by a
19 six-month initialisation period.

20

21

22 *Regional Climate Model data for climate change assessment*

23

24 The RCM used is HadRM3H, here configured at a 25km resolution; a 50km version
25 was previously employed to produce the UKCIP02 scenarios (Hulme *et al.*, 2002).

1 RCM-derived hourly precipitation and daily estimates of potential evaporation have
2 been used as input to the G2G. The data are available for two time-slices:

- 3 • Current scenario from 1960 to 1990;
- 4 • Future scenario (SRES-A2; IPCC, 2000) from 2070 to 2100.

5 The first 9 months of each was used to initialise the hydrological model, so each time-
6 slice contains 30 whole water years (1 October to 30 September). It should be noted
7 that an RCM ‘year’ consists of just 360 days (12 months each with exactly 30 days).

8
9 HadRM3H is described in Buonomo *et al.* (2007), which compares the RCM
10 simulations of precipitation with observations from a raingauge network over Great
11 Britain. The comparison indicates that HadRM3H realistically simulates extreme
12 precipitation over time-scales of one to thirty days and return periods of two to twenty
13 years. In particular, errors are generally no larger and sometimes smaller than those in
14 seasonal mean precipitation. Similarly, a study of extreme rainfall comparing
15 HadRM3H output and daily raingauge records from 204 sites across the UK by
16 Fowler *et al.* (2005) found that the RCM provided a good representation of extreme
17 rainfall for return periods up to 50 years. The experimental run used here is a rerun at
18 a higher resolution (25km) of one of the experiments used for UKCIP02. As RCM
19 rainfall is representative of average rainfall over a grid-box then rainfall events at
20 smaller spatial scales, for example localised heavy convective precipitation, will not
21 be captured in the models. This also means that changes in events of this nature will
22 not be represented in the future climate scenario. However, as RCM summer rainfall
23 compares well with observations when they have been aggregated onto the model
24 grid, then clearly the RCM is able to capture the area-averaged effect of convective
25 rainfall.

1

2 The 25 km RCM precipitation has been downscaled to the 1 km UK National Grid
3 using the procedure followed by Bell *et al.* (2007b). Higher spatial rainfall resolution
4 is provided by the standard average annual rainfall (SAAR) 1 km dataset for the
5 period 1961–1990. For each time-step, the rainfall for each RCM grid-square is
6 multiplied by the ratio of RCM grid-square SAAR to the 1 km grid-square SAAR to
7 provide rainfall on a 1 km grid. This determines whether some areas generally receive
8 more or less rainfall than others, for instance as a consequence of topography.
9 Potential evaporation (PE) has been estimated from RCM outputs in a way that is as
10 consistent as possible with the Penman–Monteith equation (Monteith, 1965) as
11 implemented in MORECS (Hough and Jones, 1997), but using RCM outputs instead
12 of synoptic station measurements. The hourly rainfall (daily PE) estimates are equally
13 spread throughout the hour (day), in line with the approach used for the model runs
14 driven by observations.

15

16

17 **G2G model calibration and assessment**

18

19 The G2G model has been designed for area-wide application, providing estimates of
20 river flows throughout a region, irrespective of catchment boundaries. Where
21 possible, the model is configured to a region, in this case the UK, using gridded
22 datasets to represent spatial heterogeneity of hydrological response across grid-cells.
23 With the use of greater process representation and ever-more detailed datasets one
24 might expect that less calibration would be required to achieve an accurate
25 representation of surface and sub-surface hydrology. However, many aspects of

1 surface-groundwater interactions are complex, scale-dependent and still not fully
2 understood or measurable. Thus model calibration is still required to achieve better
3 agreement between modelled and observed river flows, particularly for groundwater-
4 dominated regions.

5

6 As the G2G model is designed for area-wide use, care has been taken not to over-
7 calibrate the model to individual catchments for which flow observations are
8 available. Instead, flow measurements for catchments with a predominant soil-type
9 have been used to determine whether the hydraulic properties associated with the soil-
10 type provide realistic estimates of the relative volumes of surface and sub-surface
11 runoff. Manual adjustment of soil hydraulic properties (usually effective soil depths)
12 is applied recursively to different catchments and sub-catchments until a good
13 estimate of downstream surface- and subsurface-flow volumes across a range of soil-
14 types is achieved. The baseflow storage rate-constant parameter, κ_g , and drainage
15 conductivity multiplier, λ , are adjusted as part of this runoff-calibration process,
16 although their effects are sometimes indistinguishable from the routing time-constant
17 parameters. Generally, adjustment of soil properties has been required for soils
18 overlying permeable geology, such as chalk, where the baseflow component of river
19 flow is dependent on the volume of water stored in both the soil and the bedrock.
20 With the current absence of data to support estimates of groundwater hydraulic
21 properties, storage in these areas has been augmented by increasing the effective soil
22 depth and assuming that the soil hydraulic properties apply at all depths. This
23 effectively introduces a deeper unsaturated zone below the soil layer in some areas
24 associated with chalk and Oolite formations. In time, greater availability of soil and

1 geological data should provide additional information to underpin model constructs of
2 this kind.

3

4 Parameters governing the temporal development of flow peaks are determined by
5 manual calibration to observed flows at a number of locations. These consist of time-
6 constants for both the surface and sub-surface routing pathways, together with the
7 return-flow fractions, r_i and r_b , which determine the proportion of sub-surface water
8 that passes into the river at each time-step.

9

10 The same calibration/assessment procedure was followed for both the Slope-G2G and
11 the Soil-G2G model variants. The results obtained for the nine-year assessment period
12 are shown in Fig. 5. Here, differences between observed and modelled daily mean flows
13 for each catchment in Table 1 are expressed in terms of the R^2 statistic, also referred to as
14 the “Nash-Sutcliffe Efficiency” (Nash and Sutcliffe, 1970) or simply “Model
15 Efficiency”. This is defined as

$$16 \quad R^2 = 1 - \frac{\sum (Q_t - q_t)^2}{\sum (Q_t - \bar{Q})^2}, \quad (20)$$

17 where Q_t is the observed flow at time t , q_t is the simulated flow and \bar{Q} is the mean of
18 the observed flows over the n values involved in the summations. The R^2 statistic
19 provides a dimensionless performance measure which expresses the proportion of
20 variability in observed flows accounted for by the model simulation. A value of 1
21 indicates a perfect fit whilst a value of 0 indicates that the model is only as good as
22 using the mean flow for model simulation. Note that R^2 can be negative if the model
23 simulations are worse than that provided by the mean flow (assumed unknown when

1 doing the model simulation). In the bar-charts of Fig. 5, negative R^2 values are
2 indicated with a token value of -0.05 for clarity. In all but three of the catchments, use
3 of the Soil-G2G leads to a more accurate simulation of observed river flows, even in
4 high relief areas where the Slope-G2G can be particularly effective. In the figure, the
5 catchments are displayed in ascending order of their bfi : this serves to highlight the
6 better performance of the Soil-G2G in catchments where a larger proportion of the
7 river flow derives from stored sources such as groundwater (i.e. where bfi is higher).

8
9 Figure 6 presents hydrographs highlighting the improved performance of the Soil-
10 G2G in two very different catchments; the Beult at Stile Bridge ($bfi = 0.24$) and the
11 Lambourn at Shaw ($bfi = 0.97$). The enhanced simulation performance in the
12 Lambourn is evident: however there is still room for improvement in groundwater-
13 dominated areas. For example, neither model variant is able to simulate flows
14 adequately in the Mimram at Panshanger Park. This is probably because groundwater
15 abstraction in the headwaters of the Mimram reduces observed flows below what
16 would be expected naturally, leading to an apparent overestimate in the model
17 simulations. For another low relief catchment in South East England affected by
18 abstractions, the Thames to Kingston, naturalised observed flows are available in
19 addition to gauged flows. Here, a comparison between naturalised and Soil-G2G
20 modelled flows indicates that the representation of natural processes by the Soil-G2G
21 model in groundwater-affected areas is reasonably good (Bell *et al.*, 2008).

22
23 Overall, the Soil-G2G model, which is supported by a range of digital datasets and
24 has just one set of calibrated model parameters for the whole of the UK, simulates
25 river flows reasonably well for a wide range of catchments. It performs very well for

1 many catchments having a natural flow regime and for which the flow record is
2 believed to be accurate. The Slope-G2G performs well for catchments where runoff-
3 generation is controlled by topography and where terrain slope serves as a good
4 surrogate for soil depth (absorption capacity). However, it is less effective in lowland
5 areas where soil/geology controls can dominate the hydrological response. Model
6 simulations (for both formulations) are less accurate in catchments where the flow
7 regime is influenced by artificial abstractions and discharges, and where the sub-
8 surface hydrology is unusually complex (and not well understood). A rainfall-runoff
9 model calibrated to individual catchments can sometimes be adjusted to take such
10 artificial influences into account, but this is not an option for an area-wide model
11 constrained to use one set of model parameters for all locations. Future model
12 development might include a scheme to incorporate losses due to groundwater
13 abstraction, such as the groundwater model component developed for the PDM by
14 Moore and Bell (2002).

15
16

17 **Impact of hydrological model formulation on projected flow changes**

18

19 Following the assessment of G2G model performance across the UK for a wide
20 variety of catchments, the Slope-G2G and Soil-G2G are used next to investigate the
21 impact of RCM-estimated climate change on flood magnitude across the UK. This
22 allows an assessment to be made of the sensitivity of the estimated impacts to
23 hydrological model structure. Estimates of spatial changes in peak flow, for different
24 return periods, for river points on a 1km grid across the UK form the final output of
25 this investigation into changing flood risk.

1

2 In order to be able to estimate flood frequency for each river point modelled by the
3 G2G, annual maximum (AM) flows are stored for each point by UK water-year (1
4 October to 30 September). The AM are then ordered and their Gringorten (1963)
5 plotting positions (estimates of the non-exceedence probability for each AM)
6 determined. A generalised logistic distribution, recommended for UK catchments by
7 Robson and Reed (1999), is then fitted to the AM at each point using L-moments.
8 This method assumes stationarity over the data period and the fitted curve should not
9 be used for extrapolation much beyond the data period length (in this case 30 years).

10

11 Fig. 7 maps the spatial changes in flood magnitude over the UK with river points
12 colour-coded according to the percentage change in peak flow at 2, 10 and 20 year
13 return periods. The maps in the first and second rows are derived using the Slope-
14 G2G and Soil-G2G respectively. Red and orange colours indicate a decrease in peak
15 flows under future climate conditions, blue and purple an increase, and yellow/green
16 small decreases/increases. Overall, the maps of changes in peak flows from both G2G
17 model variants are visually similar, particularly in high relief areas of North and West
18 Britain. For South East England and the Midlands there is more spatial and inter-
19 model variation in the estimated impact of climate change on river flows. These
20 regions tend to have lower relief and spatially variable soil/geology and include areas
21 where groundwater is a significant component of river flow. A large variation in
22 percentage change in peak flows is apparent in individual river reaches (e.g. Thames,
23 Severn), reflecting the differing response of subcatchments draining to them. Note
24 that although the colour scale of Fig. 7 indicates projected decreases of over 60% and
25 increases as high as 200% or more, there are very few of these areas in the Slope-G2G

1 simulations and even fewer for the Soil-G2G. Most of the areas of high projected
2 increases are located in Scotland or Northern England where the lack of an explicit
3 snowmelt model may have led to some underestimation of Current flow peaks. This
4 may have exaggerated the potential change in future flows as snowmelt events are
5 expected to be less influential in a future warmer climate.

6

7 Maps of differences in percentage change between the two model variants (Soil-G2G
8 – Slope-G2G), shown in the third row of Fig. 7, highlight that the largest areas of
9 difference are in regions where slopes are lower and soils are particularly deep or
10 shallow. Upland areas tend to be shaded in green which indicates very little difference
11 between the models. Purple shading highlights areas where the Slope-G2G predicts a
12 larger increase (or very occasionally a smaller decrease) than the Soil-G2G, and can
13 coincide for example with regions of chalk or limestone geology. Orange areas are
14 only apparent at higher return periods and tend to correspond to shallow soils in
15 lowland regions for which the Soil-G2G predicts larger increases (or smaller
16 decreases) than the Slope-G2G.

17

18 The G2G model assessment against river flow observations indicates that the Soil-
19 G2G generally provides a more reliable flow simulation over low relief areas than the
20 Slope-G2G, and also performs well over upland areas. The climate change impact
21 results from this model might therefore be examined with greater confidence than
22 those of the Slope-G2G. It is apparent from the maps in Fig. 7 obtained using the Soil-
23 G2G model that the percentage change in future peak flows can vary between -60%
24 and 100%. This is a very large range and it is worth considering some of the factors
25 that are likely to be contributing to this degree of variability.

1
2 The maps of modelled changes in flood magnitude indicate decreases in some parts of
3 South East England and the Midlands, particularly in areas overlying deeper soils and
4 chalk bedrock. Clay soils can be relatively deep (although a shallow gleyed layer is
5 often present), and while chalk soils are often quite thin, storage in areas underlain by
6 chalk has been augmented in the Soil-G2G by increasing the soil depth. Conceptually
7 this has the effect of introducing a deep unsaturated storage zone beneath the soil
8 layer. A water balance analysis indicates that while the volumes of actual evaporation
9 and total runoff are very similar for grid-cells in chalk and clay areas, the main
10 difference between the two types of grid-cell is the time of release and partitioning of
11 runoff between surface and sub-surface stores. In the G2G, areas with deeper stores
12 respond more slowly to rainfall and evaporation than those with shallower ones such
13 as clay because the release of water from the soil/unsaturated zone is determined by
14 the relative saturation of the whole soil column. Areas with a deep soil/unsaturated
15 layer (up to 3 m) seldom become completely saturated, resulting in slow release of
16 water over several months. Under a future climate scenario of warmer drier summers
17 and wetter winters, slowly-responding areas, such as chalk, are likely to “remember”
18 the effects of a warm dry summer for many months as sub-surface storage and release
19 is reduced. Chalk areas tend not to respond immediately to intense autumn/winter
20 rainfall with high flows, but will instead replenish their stores. Projected increases in
21 future evaporation may well extend the length of the autumn/winter period during
22 which deep stores are replenished to field capacity. Catchments with shallower soils,
23 such as upland, urban and clay areas, tend to respond immediately to high
24 autumn/winter rainfall with high river flows, resulting in projected increases in future
25 flow peaks. The ability of the Soil-G2G to reproduce these process-based mechanisms

1 using historical observations gives greater weight to the model's projections of future
2 change. The poorer performance of the Slope-G2G in simulating the timing and
3 release of runoff in low-relief areas accounts for the difference in the two models'
4 projections of peak flows in these areas. However, in higher-relief areas such as North
5 and West Britain, both models can realistically simulate the hydrological response of
6 catchments to rainfall, resulting in more-accurate simulation of observed flows and
7 reasonable agreement on the likely effect of a future climate scenario on peak flows.

8

9 One of the most important factors influencing high river flows is rainfall. In the
10 HadRM3H climate projections there are increases in rainfall across the UK in
11 autumn/winter rainfall (which most influences peak flows in Britain (Bayliss and
12 Jones, 1993)) of up to 30% across with significant regional differences. For England
13 there are projected increases of up to 30% in winter rainfall, in Wales and Cornwall
14 the projected increase is 10 to 20% and Northwest Scotland less than 10%. Fig. 7
15 indicates that the response to this projected climate change in Wales and Cornwall is
16 an increase in peak flows, particularly at lower return periods, whilst in Western
17 Scotland it is a decrease of up to 30%. This decrease is most likely to have arisen
18 through a combination of a projected increase in future summer PE of up to 30%, and
19 a decrease of up to 20% in future autumn rainfall with only a small increase in winter
20 rainfall.

21

22 It is worth noting that saturation-excess runoff in soils is not the only mechanism
23 leading to high river flows in the UK, although it is a key factor. Infiltration-capacity
24 excess runoff occurs when intense rainfall exceeds the infiltration-capacity of the soil
25 and can result in peak flows any time of the year, but particularly in summer months

1 during localised convective storms. Infiltration-excess runoff is not included in the
2 G2G formulations presented here, but further work might consider the effect of
3 extremely intense rainfall on different types of soil and terrain. Extreme rainfall
4 events caused by localised convection are not simulated by the 25 km resolution RCM
5 and thus their effect on projected peak flows across the UK is uncertain.

6

7 It is important to note that some of the spatial variation in hydrological response could
8 arise from “noise” in the RCM estimates of precipitation and PE used as input to the
9 G2G hydrological model. When Bell *et al.* (2007b) used the same RCM to estimate
10 the impact of climate change on flows in 25 catchments across the UK they noted that
11 the rainfall simulated by the RCM over the first half of the Current period was
12 affected by an unusually heavy rainfall event over southern England. The effect of
13 one extreme rainfall event in the Current precipitation series was to raise the estimated
14 peak flows for some catchments for high return periods. As the Future flow series did
15 not contain a comparable flow peak, this significantly affected comparison of the
16 flood frequency curves derived from the Current and Future flow simulations;
17 removing the highest peak from the flood frequency analysis even resulted in changes
18 of the opposite sign in some catchments. In order to investigate the robustness of the
19 modelled changes in flood frequency here, particularly given the extreme rainfall
20 event in the Current period for some areas, the resampling method followed by Bell *et*
21 *al.* (2007b) was applied, on a point-by-point basis. That is, for each river point the
22 AM series were resampled for both Current and Future periods with new flood
23 frequency curves fitted to each resample. Specifically, the resampling at each location
24 was undertaken 100,000 times with replacement, so that any one resampled series
25 could contain some repeated AM and some absent. Percentage changes were then

1 calculated between the new pairs of Current and Future curves at several return
2 periods, and counts made of the number of pairs with changes of the same sign as the
3 original.

4

5 The results are summarised in the set of maps in Fig. 8, which highlight areas for
6 which most of the resamples keep the same direction of change in the future (increase
7 or decrease in peak flows). More specifically, dark blue areas indicate areas for which
8 more than 90% of the resampled AM series continue to show an increase in peak
9 flows; dark red areas indicate areas where more than 90% show a decrease, and green
10 and orange are the same as for blue and red respectively, but with only between 70%
11 and 90% of the resamples in agreement. The maps indicate that, for both model
12 formulations, changes at higher return periods are, unsurprisingly, generally less
13 robust than those at lower return periods. However, this is particularly true for the
14 Slope-G2G and over regions of south and east England, which were especially
15 affected by the extreme rainfall event in the Current Period. For both G2G model
16 variants, areas of change that are most robust are the wetter parts of the UK such as
17 the north and west, perhaps because the AM are less variable in the wettest parts of
18 the country. Overall, the Soil-G2G is slightly more robust than the Slope-G2G, but the
19 main differences between them lie in lowland areas such as South East England, for
20 which the Soil-G2G is more realistic. In particular, at the 2-year return period the
21 Slope-G2G indicates robust increases in many parts of South East England, but using
22 the Soil-G2G some of these areas (such as those over chalk) instead show robust
23 decreases. This highlights an important point that a “robust” model is not necessarily
24 “correct”, as robustness here tests only the homogeneity of the modelled flows, not
25 the skill of the model to reproduce physical processes.

1

2 The robustness analysis has been repeated following removal of the highest peak from
3 each AM series. The results shown in Fig. 9 indicate that, following removal of the
4 highest peak, the sign of the percentage change in flood magnitude is robust for a
5 larger area of the UK than shown in Fig. 8, with a particular difference for higher
6 return periods and over South East England – the area affected by the extreme event
7 in the Current period. These results highlight the importance of not giving too much
8 weight to results obtained from just one Current and Future RCM scenario.

9

10 In terms of the modelled percentage changes in flood peaks between the Current and
11 Future period, removal of the highest flow peak from the AM series and a subsequent
12 recalculation of the flood frequency curves has a lesser effect on the Soil-G2G than
13 the Slope-G2G, where it led to lower estimates of future decreases in flood magnitude
14 in South East England. Performance of the Slope-G2G in low relief areas (such as the
15 South East) is generally poorer than in upland areas and there is less confidence in
16 projected change in this region.

17

18

19 **Summary and discussion**

20

21 A Grid-to-Grid (G2G) model has been calibrated to, and evaluated against, historical
22 river flow data for catchments across Britain in order to obtain optimal model
23 performance at a spatial resolution of 1km and a temporal resolution of one day (or
24 less). Two formulations of the G2G are assessed using observations of rainfall,
25 potential evaporation and daily mean river flow. Both model formulations are

1 constrained to employ just one set of calibrated parameters for the whole of the UK
2 and rely on digital datasets to provide spatially variable information on hydrological
3 response. The Slope-G2G formulation performs well for catchments where runoff-
4 generation is controlled by slope/topography, but is less effective where soil/geology
5 is the dominant influence. The Soil-G2G model benefits from additional hydrological
6 information contained in soil datasets and consequently simulates river flows
7 reasonably well for a wide range of catchments, and very well for many catchments
8 having a natural flow regime and for which the flow record is believed to be accurate.
9
10 Use of the grid-based methodology in conjunction with high resolution climate model
11 output has made it possible here, for the first time, to estimate the spatial effects of
12 climate change on peak river flows across the UK. The observations of rainfall and
13 potential evaporation used to calibrate and assess both hydrological models were
14 replaced by RCM estimates on a 25 km grid and at hourly intervals for a Current
15 (1960 to 1990) and a Future (2070 to 2100) time-slice. Flood frequency curves were
16 derived from the flow simulations obtained using the Current and Future precipitation
17 estimates, and maps of estimated changes in flood magnitude for river points on a 1
18 km grid across the UK presented. These maps suggest a high degree of spatial
19 variability in the sensitivity of UK rivers to future changes in climate, with the
20 modelled percentage changes in future peak flows varying between -60% and 100%
21 (sometimes outside of this range for the Slope-G2G). Climate change is only one
22 factor responsible for these patterns of differences, with both landscape and internal
23 climate variability being important factors. The very large range needs careful
24 interpretation before clear messages about impacts of climate change on peak river
25 flows can be drawn. It is important to recognise that in undertaking a hydrological

1 impact analysis such as the one presented here, we assume that a model tested for
2 current weather conditions will also apply under conditions associated with projected
3 climate change. This reservation applies to almost any model used for climate change
4 impact assessments, and we feel is most likely to be overcome with a process-based
5 model, such as the Soil-G2G, tested over as wide a range of conditions as possible.
6 This approach is also likely to lead to fewer problems related to over-calibration to
7 current conditions and extrapolation than a catchment model which might only have
8 been assessed on a small number of catchments.

9

10 The use of two different G2G model formulations allows us to assess the sensitivity of
11 a climate impact flood risk assessment to hydrological model structure. Similarities
12 between the results from both models are apparent, particularly in high relief areas
13 such as the North and West of Britain. In South East England and the Midlands there
14 is more spatial and inter-model variation in the estimated impact of climate change on
15 river flows. These regions tend to have lower relief and spatially variable soil/geology
16 and include areas where groundwater is a significant component of river flow.
17 Analysis of the results in different regions highlights how the impact of climate
18 change on river flows is likely to arise from a subtle combination of both local factors
19 such as soil and relief, and a larger-scale fine balance between future seasonal change
20 in rainfall and evaporation. Potential evaporation has been calculated here using a
21 procedure as consistent as possible with a Penman-Monteith estimate. However, other
22 methods of estimating PE are available and use of these could lead to different climate
23 change impacts. Kay and Davies (2008), for example, obtain results that suggest a
24 simple temperature-based estimator of PE may have benefit when calculated from
25 climate model predicted variables. This may reflect the higher relative skill of climate

1 models to predict temperature than other variables involved in the calculation of
2 Penman-Monteith PE. Johnson and Sharma (2009) present climate model prediction
3 results that ranks surface air temperature as second highest only to pressure in skill.
4 Rain rate is ranked least skilful of the eight climate variables assessed. This
5 uncertainty presents a well known challenge for climate modellers that is being
6 addressed here through the use of a high-resolution RCM, and through ensembles in
7 other work. Maps of percentage change in HadRM3H Penman-Monteith PE (not
8 shown) indicate a future increase across the whole of the UK, with the greatest
9 increase occurring in the South. However, any future increase in carbon dioxide might
10 instead lead to a decrease in plant transpiration and a greater propensity for high river
11 flows (Gedney *et al.*, 2006), a factor which has not been included in the analysis
12 presented here.

13

14 An analysis of the robustness of the sign of the changes in flood magnitude indicated
15 that, for both G2G model formulations, areas of change that are most robust are the
16 wetter parts of the UK such as the north and west, perhaps because the annual maxima
17 are less variable here. Also, larger areas of robust peak flow changes are seen using
18 the Soil-G2G with the main differences between the two models occurring in lowland
19 areas such as South East England. Here the simulation-performance of the Soil-G2G
20 is more realistic and thus these results are likely to be more reliable.

21

22 Results in the South East were also skewed by the presence of an extreme rainfall
23 event in the Current period, highlighting the importance of not giving too much
24 weight to results obtained from a single 30-year sample of a Current and Future
25 climate period. Ideally using an ensemble approach to fully sample the climatologies

1 of the two periods (Kendon et al, 2008) would be applied. Possible alternatives when
2 modelling individual catchments could be to apply a weather generator (e.g. Kilsby *et*
3 *al.*, 2007) or to resample the available rainfall inputs a large number of times (e.g.
4 Kay *et al.*, 2009), but the need here for spatially-consistent rainfall across the UK
5 currently precludes the use of the former, and the fact that the G2G currently takes 3
6 weeks to run for a 30-year time-slice on a 1km grid across the UK precludes the latter.

7

8 The use of two different model variants employing the same climate model estimates
9 of precipitation and PE as input has highlighted the importance of using the most
10 accurate, physically representative model as possible for climate impact assessments.
11 Different hydrological models can respond in unexpected ways to subtle changes in
12 the climate model estimates used as input. The results presented here indicate that the
13 effect of projected climate change on UK catchments is sensitive not only to changes
14 in the precipitation and PE data used as input, but to the model representations used to
15 capture “traditional” hydrological responses. Future research will therefore aim to
16 improve process-representation in the G2G model in order to increase confidence in
17 simulated projected changes in peak river flows. Snowmelt, for example, is an
18 important influence on river flows which is not currently included in the G2G, and the
19 lack of a snowmelt representation is likely to have led to an exaggeration in the
20 estimated impact of climate change on river flows in upland areas. Similarly,
21 processes such as flood-plain storage and attenuation which influence the occurrence
22 of flood inundation are not currently included. Flood-plain storage has the effect of
23 reducing the intensity of high river flows, and large percentage changes in estimated
24 future peak flows would in practice be reduced if current levels of available storage
25 are maintained. The maps of changing flood risk presented here reveal the spatial

1 complexity of the response of UK catchments to one particular projected climate
2 change. However, to support flood management and policy decisions concerning key
3 catchments, a more comprehensive analysis is needed taking into account relevant
4 hydrological processes and embracing consideration of catchment conditions, multiple
5 climate scenarios and climate model structure at different scales.

6

7

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9

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16 catchments, gauging stations and observational evidence for flood trends.

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8

1 FIGURES AND TABLES

2

3 Figure 1. Conceptual diagram showing runoff production and lateral drainage in a 1-D
4 soil column.

5

6 Figure 2. Relationship between PDM distribution parameter, b , and the catchment
7 maximum store capacity, S'_{\max} , for a range of UK catchments.

8

9 Figure 3. Key features of the coupled runoff-production and routing scheme.

10

11 Figure 4. Location of the UK catchments used for G2G model assessment (labelled by
12 station ID – see Table 1).

13

14 Figure 5. Comparison of R^2 model performance, ordered in terms of increasing bfi ,
15 using two G2G formulations to model daily river flow for 42 catchments across the
16 UK: 1 January 1985 to 31 December 1993. Negative R^2 values are indicated with a
17 nominal value of -0.05 for clarity.

18

19 Figure 6. Flow hydrographs comparing model performance from the Slope-G2G and
20 Soil-G2G: 1 January 1985 to 31 December 1986.

21

22 Figure 7. Percentage change in flood magnitude, for three return periods, across the
23 UK.

24

1 Figure 8. Robustness of the estimated changes in flood magnitude, for three return
2 periods, for the Slope-G2G and the Soil-G2G model variants.

3

4 Figure 9. Robustness of the estimated changes in flood magnitude, for three return
5 periods, for the Slope-G2G and the Soil-G2G, following removal of the highest peak
6 from each AM series.

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9 Table 1. UK catchments used for model assessment.

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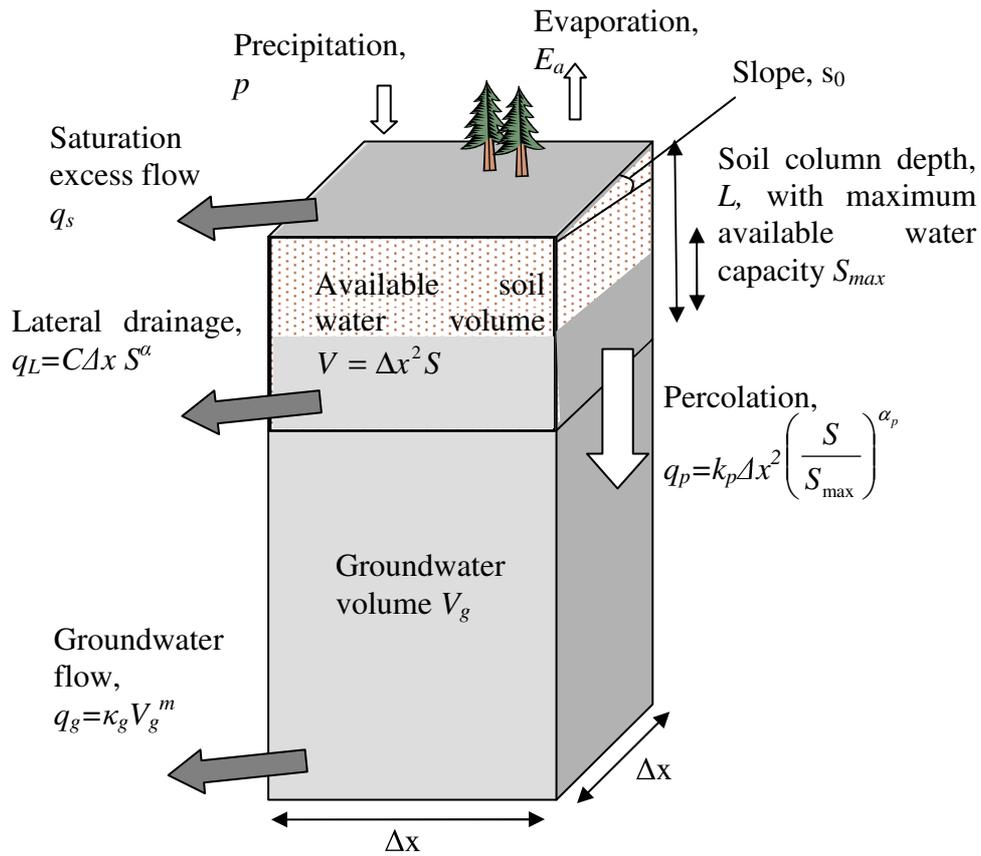
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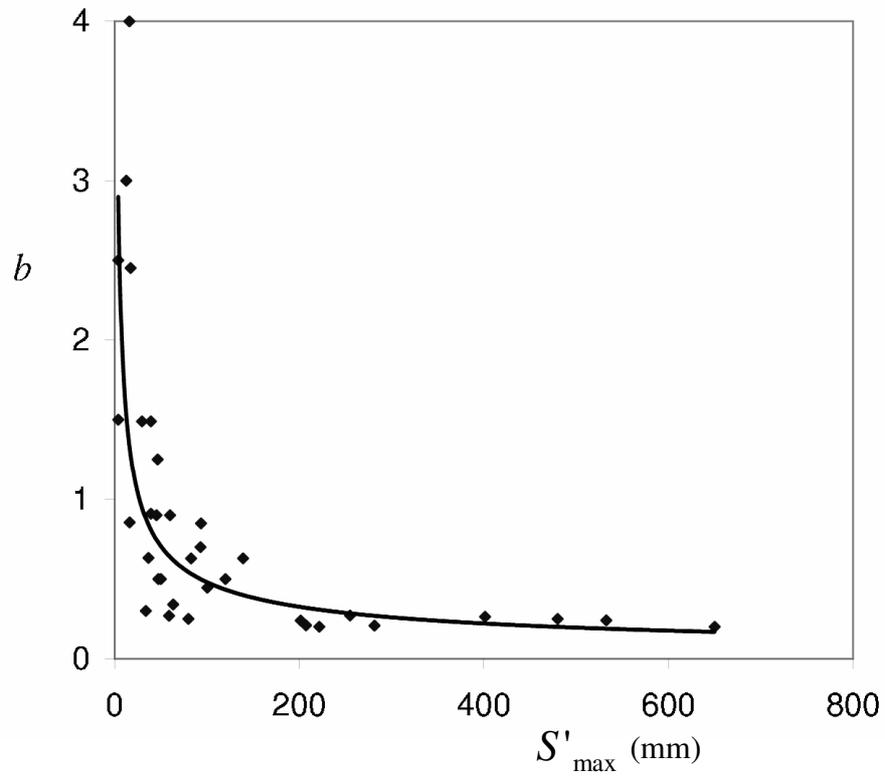
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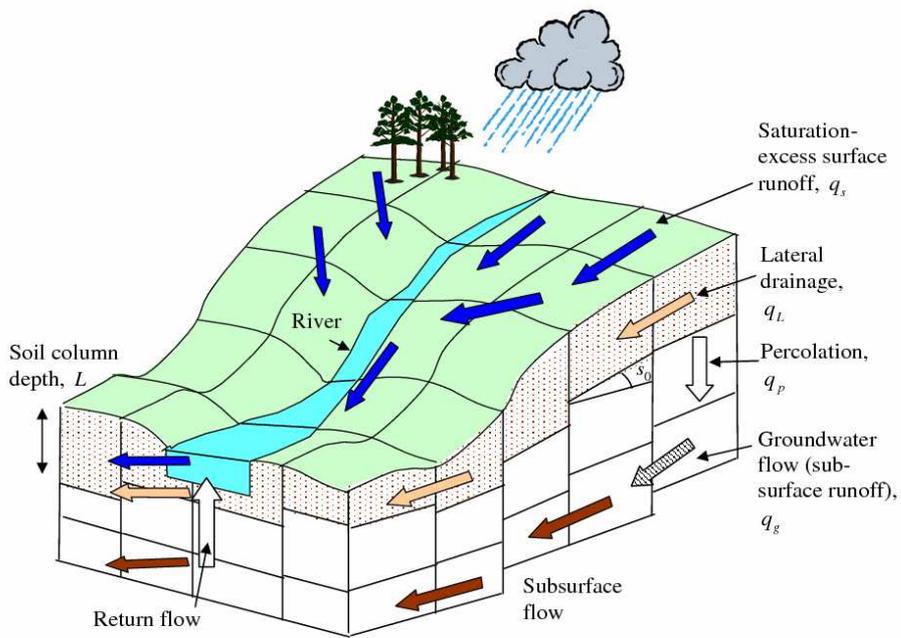
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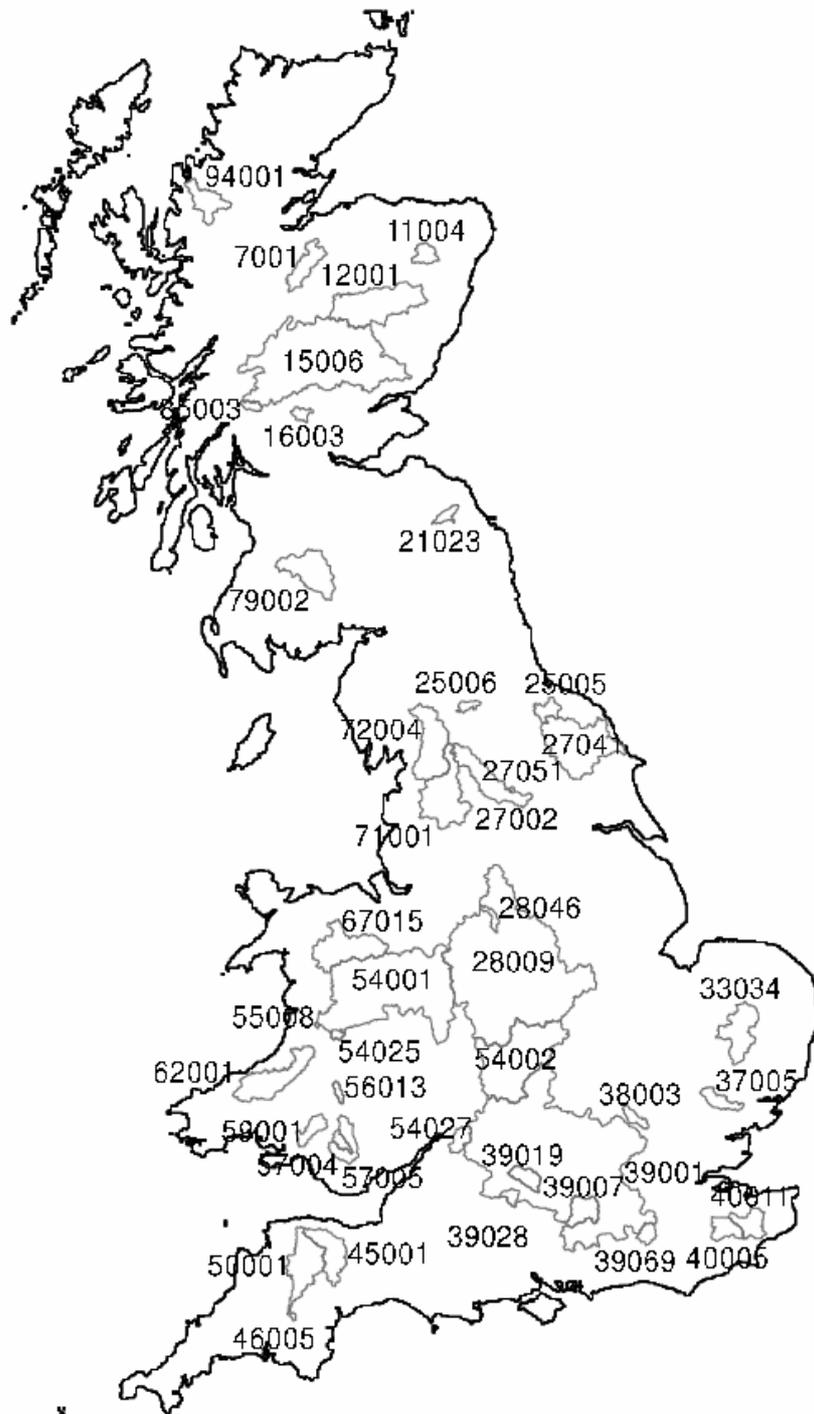
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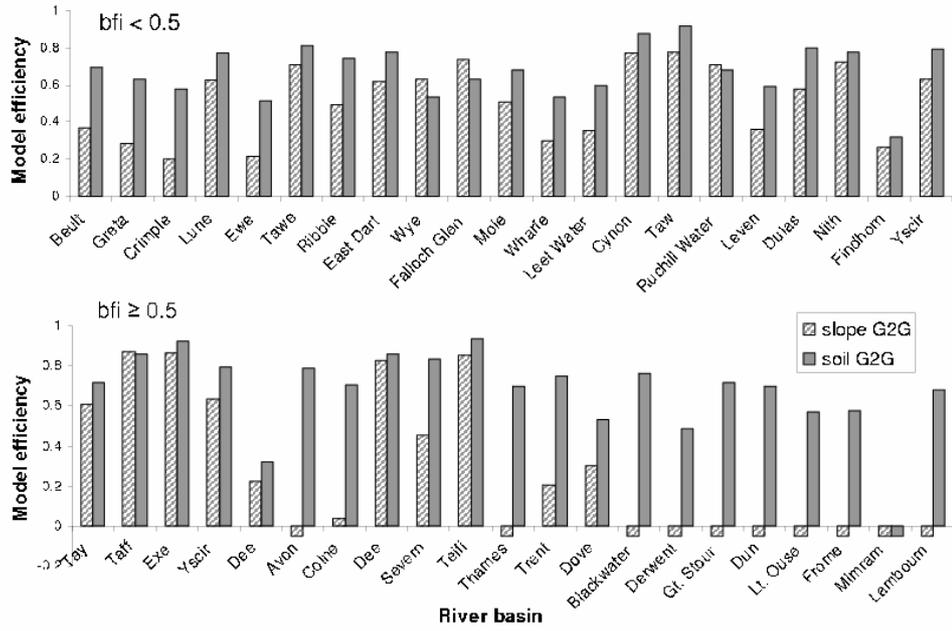
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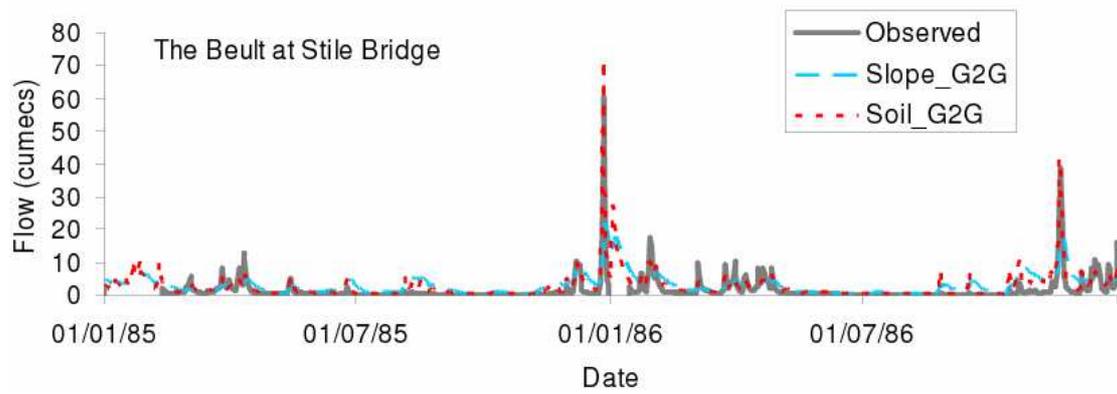
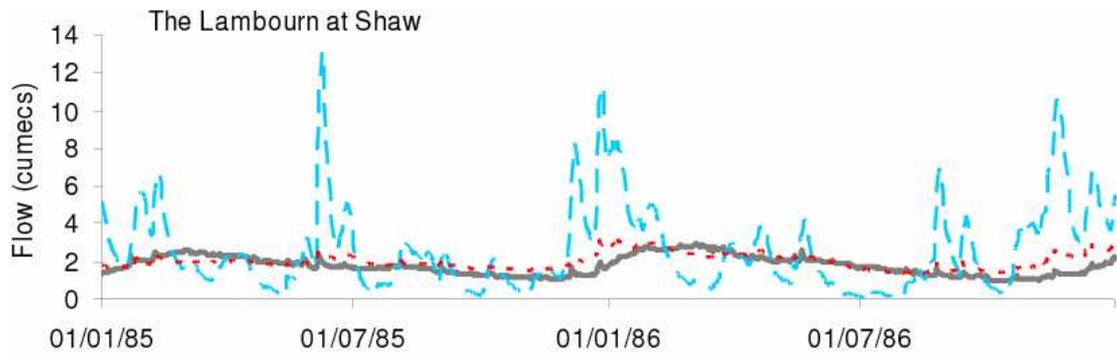
1 Figure 5



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1 Figure 6

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1 Figure 7

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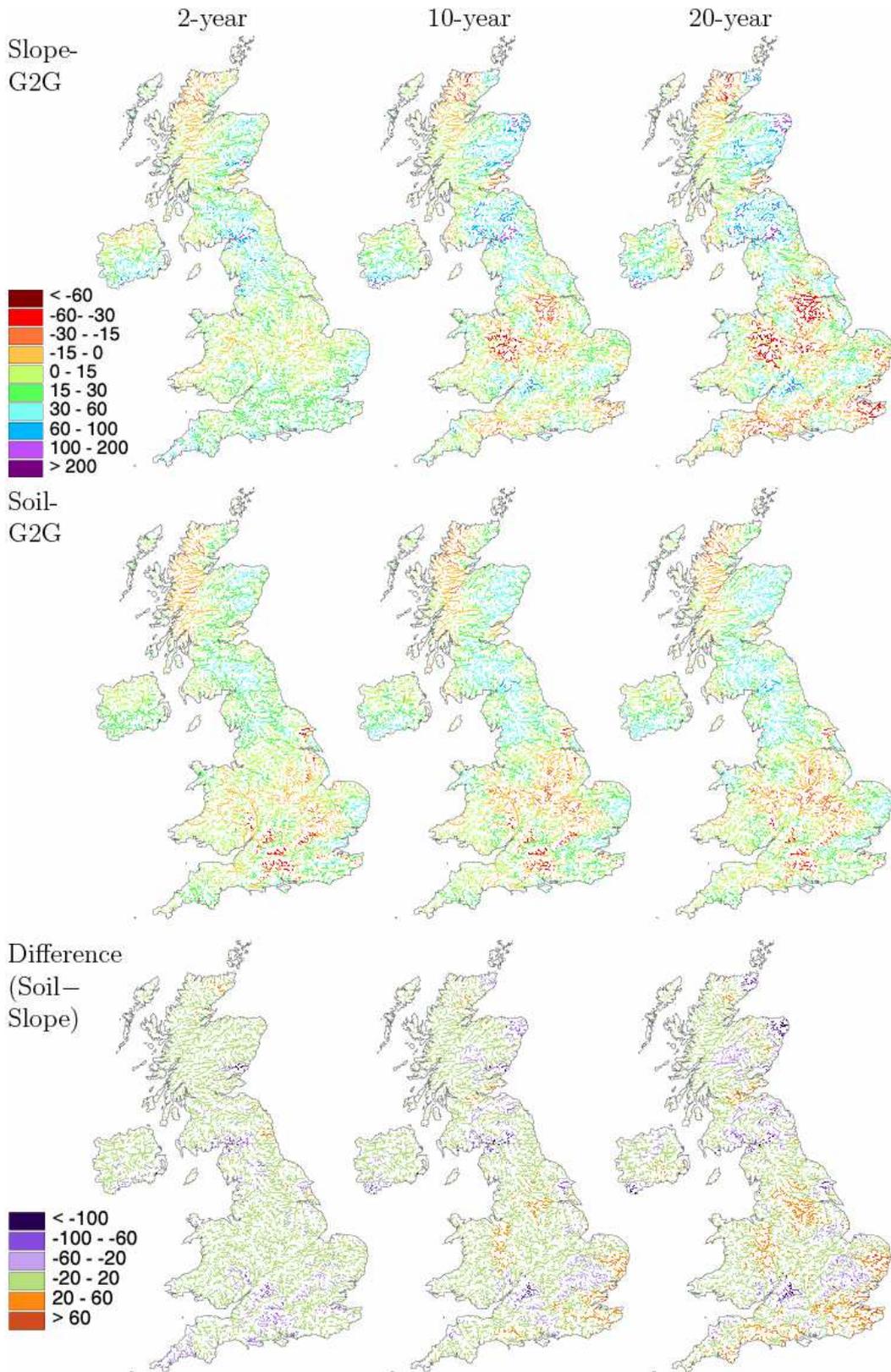
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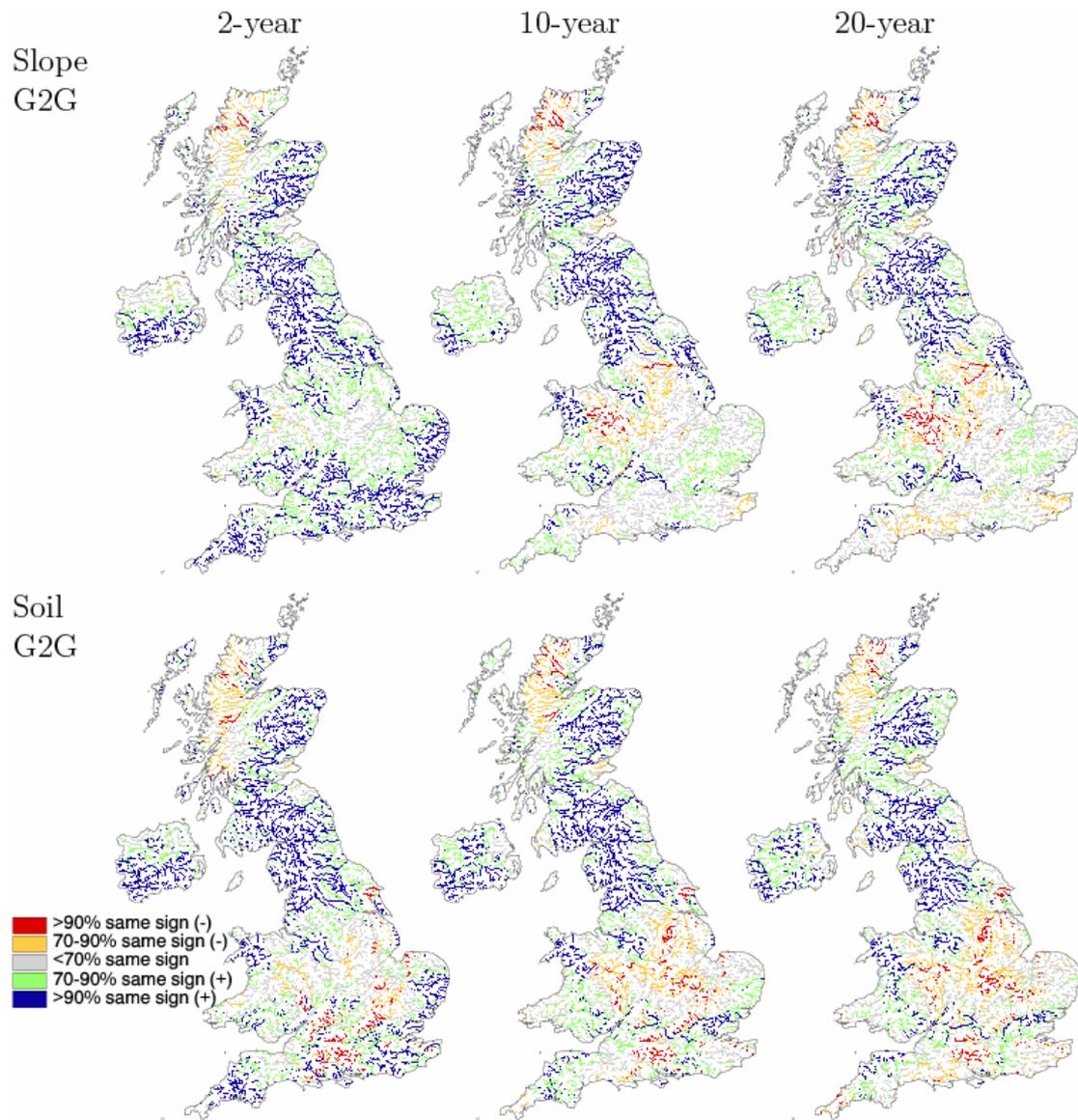
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1 Figure 8

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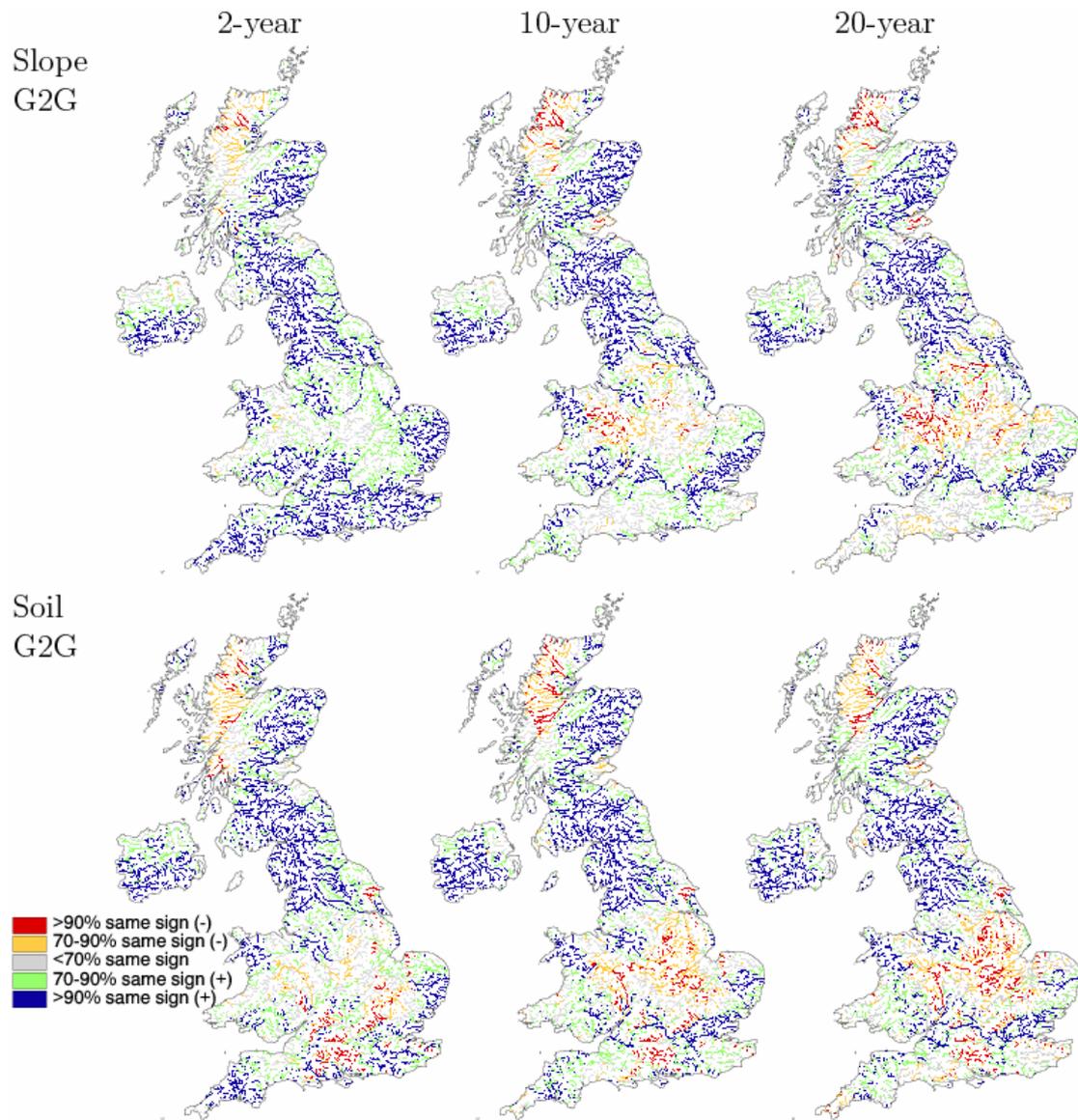


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1 Figure 9

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