- 1 Impact of storm propagation speed on coastal flood hazard induced by offshore storms in the North Sea
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12 Sea

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15 Abstract

Storm propagation speed (SPS) can noticeably impact coastal floods around semi-closed basins 16 influenced by extratropical offshore storms. As a case study, the SPS impact on potential flood 17 hazards due to extreme water levels along the UK east coast was studied using a numerical shelf sea 18 model (FVCOM). The storm Xaver, which caused the largest North Sea surge over the past 60 19 years, was studied as a base scenario. Halving/doubling the SPS results in a smaller surge and a 20 longer/shorter surge duration. Hence, the largest peak water level was found at actual speed, while 21 the largest potential flood hazard occurred at half speed. Tide-surge interaction tends to reduce the 22 23 M2 tide along the coast and advance its propagation for all SPS. A three-dimensional semianalytical model, including a time-periodic wind forcing, was used to investigate the dominant 24 mechanisms behind the surge dynamics, where wind duration is directly related to the SPS. Long 25 wind durations correspond to small SPS, and vice versa. The semi-analytical model was applied to 26 the North Sea. The model reproduces the spatial features of the North Sea surge and its dependence 27 on SPS, confirming the surge induced by offshore storms is primarily associated with wind set-up. 28 29 Model results suggest the SPS of Xaver is likely to have contributed greatly to the occurrence of the largest North Sea surge due to wind-generated resonance. The impact of the SPS on the surge and 30 tide-surge interaction are of great importance to coastal flood hazard assessment. 31

33 Plain Language Summary

Coastal floods are among the most devastating natural hazards in coastal regions. The present study 34 investigates the impact of the storm speed on coastal flood hazards at locations hundreds of 35 kilometres away from the maximum winds. We focused on a case study for the east coast of the 36 United Kingdom during the storm Xaver (5-6 December 2013), which caused the largest surge 37 height in the North Sea over the past 60 years. Our results show the storm-induced flood hazard 38 varies significantly with distance from the storm track and poses a great threat to the southeast coast 39 of the UK. The largest flood hazard was found when the storm moves slowly, but the largest water 40 41 level occurred when the storm travels at the actual speed of Xaver. The rise of water level due to wind (i.e., wind set-up) is the main contributor to the storm surge in the study site, and is strongly 42 dependent on the water depth, fetch length and the wind duration. The UK southeast coast having a 43 longer fetch and fronted by shallow waters with a low tidal range is the most vulnerable area in 44 terms of coastal flooding due to large storm surge. The storm and tide can interact with each other 45 and decreases the maximum water level, thus reducing the potential flood hazard especially at 46 47 coasts with a large tidal range. Significant rises of water level can be generated by wind set-up when the dominant wind frequency (related to the storm speed) is close to the resonant frequency of 48 the basin. Our results show that storm speed is an important factor for coastal flood hazard 49 50 assessment.

52 **1 Introduction**

Floods due to storm-induced ocean surges are among the most devastating natural disasters 53 in coastal areas. Hurricane Katrina (August 2005) was one of the costliest and deadliest natural 54 disasters recorded in the US. It caused damages estimated at \$158.2 billion (Cavallo & Noy, 2010) 55 and over 1500 deaths (Kates et al., 2006), most of which were related to coastal flooding. In the 56 North Sea, the devastating 1953 storm (January-February 1953) caused widespread and persistent 57 flooding, resulting in an estimated damage of \$7 billion adjusted for inflation (Risk Management 58 Solutions, 2003) and over 2000 deaths (Jonkman & Kelman, 2005). This storm directly led to the 59 60 construction of most coastal sea defences around the North Sea and greatly changed coastal management. 61

Coastal floods are significantly influenced by storm characteristics such as storm track, 62 intensity and size (Azam et al., 2004; Benavente et al., 2006; Brown et al., 2010; Haigh et al., 2016; 63 Hussain et al., 2017; Irish et al., 2008; Peng et al., 2004; Rego & Li, 2009; Souza et al., 2013). The 64 storm propagation speed (SPS) can also be crucial for storm surge generation and the consequent 65 flooding (Hussain et al., 2017; Irish et al., 2008; Jelesnianski, 1972; Maskell, 2011; Peng et al., 66 2004; Peng et al., 2006; Rego & Li, 2009; Weisberg & Zheng, 2006; Zhang, 2012; Bertin et al., 67 68 2012). Focusing on hurricanes that make landfall or in close proximity to the coastline at a specific site of interest, Peng et al. (2004) found that slower SPS resulted in larger peak surge heights and 69 inundation area in the eastern North Carolina, USA. Similar findings exist for the central Florida 70 coast (Weisberg & Zheng, 2006) and for an idealized shelf (Irish et al., 2008). However, Rego and 71 72 Li (2009) found an opposite trend over the Louisiana-Texas shelf, where slower SPS resulted in smaller peak surge heights. The different impacts of SPS on storm surge may be related to the 73 different distances from the storm track, as suggested by Peng et al. (2006) and Hussain et al. 74 (2017). The latter found that, in the Bay of Bengal (Bangladesh), decreasing SPS led to smaller 75 surge heights at locations within the radius of maximum wind and a stronger surge at locations 76 outside the radius. Moreover, they found the arrival time of the maximum surge residual (total 77 water level subtracted by astronomical tide) was more affected by tidal phases for slower SPS, and 78 maximum surge residual always occurred near high tides for slowly propagating storms. Storm 79 propagation speed can also greatly influence the storm surge through resonance effects. Extremely 80 large oscillations can occur when the SPS is close to the phase speed of the ocean water wave, i.e., 81 82 Proudman resonance (Proudman 1929). Strong oscillations can also be generated when the

atmospherically generated ocean wave has periods equal to the eigen (resonance) period of the shelf
region, i.e., shelf resonance (Monserrat et al., 2006; Bertin et al., 2012). Hence, SPS can be crucial
for causing severe coastal flood hazard when the associated wind period (here assumed to be twice
the duration of winds capable of generating a positive surge during the storm) is close to the eigen
period of the coastal basin. These findings suggest that SPS can have a significant impact on coastal
flood hazard through affecting the storm surge and tide-surge interaction, with its impact depending
on the distance from the storm track.

90 As indicated above, most previous studies on SPS have focused on tropical cyclones and 91 their impact on coasts directly under or within close proximity to the storm track during the storm landfalls. The impact of SPS on coastal flood hazard where the storm track remains distant from the 92 site(s) of impact has not been as well studied. In reality, there can be a large storm surge generated 93 flood hazard even under distant storm conditions. Tropical cyclones can induce significant water 94 95 level rises at the coast ahead of the storm landfall, i.e., forerunner surges (Kennedy et al., 2011; Liu & Irish 2019), causing large coastal flood potentials. Extratropical storms, which generally move 96 97 faster than tropical cyclones and have not been as well studied in terms of the SPS effect, can also greatly affect sites distant from the storm track. The east coast of the UK, for example, has been 98 frequently flooded by storm surge events even though the storms usually track parallel to the 99 coastline at some distance offshore or easterly along the open northern boundary of the North Sea 100 101 (Haigh et al., 2016). Focusing on extratropical storms, the present study aims to improve understanding of the SPS impact on coastal flood hazards due to extreme water levels at locations 102 distant from the storm track. The impact of SPS on tide-surge interaction, which was not accounted 103 for in many previous studies (e.g., Peng et al., 2004, 2006; Weisberg & Zheng, 2006), is also 104 investigated here due to its importance for accurate storm surge forecasting in macro-meso tidal 105 environments. 106

To evaluate the impact of SPS at locations distant from the storm track and to investigate the underlying physical mechanisms, the UK east coast has been selected as a case study. The tidal range along the UK east coast is mostly above 2 m (meso- and macro-tidal) with the largest tidal range of \sim 6 m near Immingham. Water depths of less than 10 m extend several kilometers from the southeast coast of the UK, leading to strong tide-surge interactions in this area. Tides are known to have a strong impact on the arrival time of the maximum surge residual in the North Sea (Prandle & Wolf, 1978; Wolf, 1978). Statistical analysis of Horsburgh & Wilson (2007) shows that, along the

UK east coast, maximum surge residual tends to occur 3-5 hours before the next high water, and the 114 likelihood of the maximum surge residual occurring near high water decreases with increasing tidal 115 range. The largest storm surge in the North Sea over the past 60 years, named 'Xaver' by the Free 116 University of Berlin, occurred during the storm event on 5-6 December 2013 (Harwood, 2013). 117 This storm had a similar (although less southerly) track and intensity, with similar alongshore wind, 118 down the UK east coast, to the previously-mentioned 1953 storm, when the storm centre travelled 119 across the North Sea. However, the 2013 storm propagated about twice as fast (Wadey et al., 2015; 120 Sibley et al., 2015) as the 1953 storm. The 2013 storm also coincided with larger astronomical 121 tides, while the 1953 event had a larger onshore wind component, which resulted in a larger 122 significant wave height along the English east coast. The 2013 event caused coastal flooding along 123 the UK east coast, with the overall damage (around \$900 million, see Rucińska (2019)) much less 124 125 severe than for the 1953 event. The limited damage has been mostly attributed to significant improvement in sea defences (Swaden et al., 2014), but we show here that the faster SPS also 126 played a significant role. In this study, the impact of SPS on storm surge and its consequent coastal 127 flood hazard due to extreme water levels were investigated using a numerical shelf sea model. 128 129 which includes state-of-the-art parameterizations and physical processes. This model was also used to examine the impact of SPS on tides through tide-surge interaction. An idealized semi-analytical 130 131 surge model was also used to investigate the dominant physical mechanisms behind the impact of SPS. 132

The paper is structured as follows: in section 2, the numerical shelf sea model set-up 133 (section 2.1), the numerical experiments (section 2.2), and the details of the semi-analytical model 134 (section 2.3) are introduced. In section 3, the impacts of SPS on coastal flood hazard due to extreme 135 water levels are quantified, with the influence of SPS on extreme water levels, surge and tide-surge 136 interaction, and its impact on tides discussed in section 3.1, section 3.2 and section 3.3, 137 respectively. In section 4, the physical mechanisms governing the surge dynamics are explored. In 138 section 4.1, the relative contribution of air-pressure gradients and wind stress to storm surge is 139 investigated. In section 4.2, the sensitivity of surge to water depth and fetch length is examined 140 based on the semi-analytical model. In section 4.3, the semi-analytical model is applied to the North 141 Sea, where the sensitivity of surge to wind duration is explored. Conclusions are drawn in section 5. 142 143



Figure 1. (a) The bathymetry in the FVCOM model domain. The pink line indicates the storm track of Xaver, with the centre of the storm (position of the lowest atmospheric pressure) at every 6 hours marked by asterisks. The red dots represent the eight UK east coast tide gauge stations. The dashed rectangle shows the idealized geometry of the North Sea discussed in section 2.3. (b) The widthaveraged real and idealized depth of the North Sea, with *x* the along-coast coordinate positive southward.

151 **2 Methods**

152 2.1 The FVCOM model

To quantify the impact of SPS on the coastal flood hazard due to extreme water level along 153 the UK east coast, a shelf sea model (Wolf et al., 2016), which is based on the finite-volume 154 155 community ocean model (FVCOM, see Chen et al. 2003), was used. The study focuses on the UK east coast, but the model includes the entire North West European Shelf and extends beyond the 156 shelf break (see Figure 1a) to reduce the effect of boundary errors on solutions within the area of 157 interest. i.e., the UK east coast. Complex coastal geometries and bathymetries are captured by using 158 159 an unstructured grid. The mesh resolution varies from ~ 30 km at the open boundary to ~ 1 km at the coast. The model uses 20 vertical sigma layers. 160

The base scenario of this study is the storm event Xaver on 5-6 December 2013, of which 161 the storm track is shown by the pink line with asterisks giving the location every 6 hours in Figure 162 1a. As this work focuses on the storm-induced coastal flood hazard, the model was run in 163 barotropic mode which resolves tide, surge and tide-surge interaction. Dynamic influences of short 164 waves are excluded in the model due to their small impact in the 1953 and 2013 events, in which 165 they increased the peak surge by less than 0.25 m (10%) in most areas of the North Sea (Staneva et 166 al., 2017 and Choi et al., 2018). The forcing conditions include tidal elevations at the offshore 167 boundary, and wind and atmospheric pressure at the free surface. At the open boundary, the water 168 levels were provided by the tide-only runs of the UK operational surge model CS3X (Flather 1994), 169 which takes into account the astronomical tidal potential forcing. Effects of local tide potential 170 force were ignored since the North Sea is relatively shallow. The surface air pressure and wind 171 velocities (at 10 m above surface) were obtained from the hourly output of the Met Office 172 deterministic Global model (Davies et al., 2005), which were linearly interpolated into each time 173 step within the FVCOM model. The accuracy of the wind forcing obtained from the Met Office 174 175 deterministic Global model is checked by comparing the wind forcing datasets at eight wind stations close to the UK east coast with wind observations throughout the storm Xaver (for details, 176 see Figure A.3 and Table A.1 in the appendix). The mean error and the root-mean-squared error of 177 the wind speed averaged over the eight wind stations are respectively 3.88 m/s and 6.00 m/s. The 178 meteorological forcings at every 6 hours during the storm Xaver are shown in Figure 2. The storm 179 travelled across the North Sea from west to east, and the centre of the storm (i.e., position of the 180

181 lowest atmospheric pressure) remained distant from most of the UK coast. The prevailing wind was

into the North Sea (i.e. northwest wind). The maximum wind velocity at the northern end of the

- 183 North Sea during the storm event was around 20 m/s, which decreased southward along the coast.
- 184 The simulation period is 4 December 2013 17:00 to 12 December 2013 17:00, covering short
- periods of pre- and post-storm. The model was spun up from 1 November 2013 00:00 to 4
- 186 December 2013 17:00.

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Figure 2. Meteorological forcings at every 6 hours during Xaver. Wind velocities and atmospheric pressure are shown in white arrows and colours, respectively. The bluest area represents the lowest atmospheric pressure. The white dotted line shows the open boundary of the FVCOM model.

In the FVCOM model, the wind stress is calculated based on wind velocities (u_w, v_w) and the surface drag coefficient C_d , $(\tau_{wx}, \tau_{wy}) = \rho_{air} C_d (u_w, v_w) \sqrt{u_w^2 + v_w^2}$, with ρ_{air} the air density. The default surface drag formula in FVCOM follows the formula of Large and Pond (1981) but resulted in under-predicted surge conditions at the study site. To improve the model performance throughout Xaver, an improved parameterization was used and the surface drag C_d is related to the surface wind stress using a Charnock parameterization (Charnock, 1955; Brown and Wolf, 2009). Focusing on the German Bight, Zheng et al. (2018) found the wind drag coefficient

(1)

during Xaver to vary approximately linearly with the wind speed for relatively deep waters. Since most of the North Sea is deeper than the German Bight, the Charnock parameterization of the surface drag was fitted into a linear function of the wind speed (U_{wind}):

$$C_d = C_1 + C_2 U_{wind}.$$

Here C_1 and C_2 are two wind drag coefficients, which, together with the seabed roughness length scale z_0 , were tuned to obtain the best fit of water levels in comparison with observations. The model performance was assessed by comparing the simulated water levels against observations at eight tide gauges along the UK east coast. Tuning the wind drag coefficients partly accounts for the short wave impact on storm surge through the enhanced roughness. But, the other processes related to short waves and wave-current interactions are not considered here due to the negligible wave setup in the domain of interest during Xaver (Staneva et al., 2017).

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Table 1. Skew surge and mean tidal range at each station. Mean error (*ME*), root-mean-squared
error (*RMSE*), Willmott index of agreement (*d*) for the total water level.

Gauge station	Skew surge ^a		Tidal range ^b		Total water level		
	observed (m)	simulated (m)	observed (m)	simulated (m)	<i>ME</i> (m)	<i>RMSE</i> (m)	d
Aberdeen	0.64	0.67	3.67	3.87	0.05	0.15	0.9954
North Shields	1.18	1.09	4.06	4.67	0.07	0.17	0.9962
Whitby	1.47	1.28	4.52	4.81	-0.11	0.19	0.9957
Immingham ^c	1.52	1.49	-	6.8	0	0.2	0.9933
Cromer	1.22	1.75	3.63	4.24	0.17	0.28	0.9895
Lowestoft	2.06	1.96	1.67	2.11	0.11	0.16	0.9904
Harwich	1.3	1.4	3.58	3.69	-0.39	0.21	0.9918
Sheerness	1	1.45	5.14	4.74	-0.23	0.68	0.9527
Average	1.3	1.38	-	4.36	-0.01	0.26	0.9900

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215 Note:

^a The skew surge was calculated for the stormy period: 5 December 2013 00:00 to 6 December

217 2013 19:00.

^b The mean tidal range was calculated for the non-stormy period by averaging the tidal ranges of all

tidal cycles from 6 December 2013 19:00 to 8 December 2013 21:00.

^c The tide gauge at Immingham was damaged on 06 December 2013 at 17:00, so the *ME*, *RMSE* and *d* at this station were calculated based on data from 4 December 2013 17:00 to 6 December 2013 17:00.

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By calibrating the model against observed water levels, $C_1 = 0.81 \times 10^{-3}$, $C_2 = 0.09 \times 10^{-3}$ s/m 225 and $z_0 = 0.0035$ m were found to give the best fit. Details of the model performance at each gauge 226 station are summarized in Table 1, including the skew surge, mean tidal range and the total water 227 level. The total water level is the water level under effects of tide, surge and their interactions, and 228 skew surge is the difference between the maximum total water level and the peak astronomical tidal 229 elevation during the storm. The simulated/observed skew surge and mean tidal range calculated 230 respectively for the stormy period and non-stormy period show the model reproduces the surge and 231 tidal magnitudes reasonably well during the storm event. For the predicted and observed total water 232 levels the mean error (ME) and the root mean squared error (RMSE) averaged over all gauges 233 (marked by red dots in Figure 1a) are -0.01 m and 0.26 m, respectively, both of which are small 234 relative to the mean tidal range (4.36 m) and mean skew surge (1.38 m). We also report the index of 235 agreement following Willmott (1981), $d = 1 - \sum_{i=1}^{N} (P_i - O_i)^2 / \sum_{i=1}^{N} (|P_i - \overline{O}| + |O_i - \overline{O}|)^2$, 236 which measures the skill of the model with d = 1 for perfect agreement and d = 0 for complete 237 disagreement. P_i and O_i are respectively model predictions and observations, and the overbar 238 denotes the mean value. Table 1 shows that, for all stations, d is close to 1 with an averaged value 239 of over all 8 stations of 0.9900, slightly larger than that of the CS3X model (0.9762), confirming 240 241 the FVCOM model is accurate enough for this study. Figure 3 shows the time series of simulated water levels from the FVCOM model (red lines) and the UK operational CS3X model (blue lines), 242 in comparison with observations (black circles). At all stations except Cromer, the FVCOM model 243 reproduces the storm event better, whereas the CS3X model (spatial resolution approximately 12 244 km) underpredicts the peak water level at all locations. The largest discrepancy between the 245 FVCOM model results and observations occurs at Sheerness, where the FVCOM model 246 overpredicts the low water and the tidal phase especially during falling tides, hence yielding a large 247 RMSE. This could be related to the fact that the resolution of 1 km at the coast is insufficient to 248 capture the bathymetric variations inside the Thames Estuary where the water depth changes 249

- dramatically from thalweg to shoals over short distances. As this study focuses on the UK east
- 251 coast, the impact of mesh resolution on the accuracy of storm surge hindcast inside the Thames
- estuary is not further explored here.



Figure 3. Water level relative to mean sea level simulated by the (FVCOM) shelf sea model (red lines) and the UK operational CS3X model (blue lines) in comparison with gauge data (black circles). The time period shown here is from 4 December 17:00 to 8 December 21:00 in 2013. The gauge data at Immingham after 17:00 pm, 06 December 2013, is not available due to damaged tidal gauge.

259 2.2 Numerical experiments design

Nine numerical experiments were designed to study the influence of SPS on extreme water levels along the UK east coast. The parameter settings for each experiment are summarized in Table 2. In experiment I, the actual Xaver event was modeled and used to calibrate the model. In experiments II and III, the propagation speed of Xaver was doubled and halved, respectively. This was realized by respectively halving and doubling the time intervals of the wind and atmosphere

pressure time series, while keeping other conditions and parameters the same as in experiment I. 265 The dynamic impact of SPS on the storm intensity, track and wind field asymmetry, which can be 266 significant for tropical cyclones especially near the storm centre (see, e.g, Mei et al., 2012 and 267 Olfateh et al. 2017), are neglected here. This is because impact of SPS on extratropical cyclones is 268 not well understood and the numerical experiments in this study were designed to isolate the impact 269 of SPS. Experiment III is representative of the 1953 storm, although other differences between two 270 events (such as the storm track) are excluded so that the impact of SPS can be isolated. In 271 experiment IV, both atmospheric pressure gradients and wind were prescribed to be zero, therefore 272 modelling the astronomical tidal elevations. In experiments V, VI and VII, tidal forcing was 273 excluded, and the model was forced by the meteorological forcing with actual, doubled and halved 274 SPS, respectively. These three experiments were taken as the surge-only case for each SPS. 275 276 Experiments VIII and IX were designed to investigate the relative importance of atmospheric pressure and wind upon the storm surge, where atmospheric pressure gradients and wind stresses 277 278 were respectively prescribed to be zero.

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Table 2. Parameter settings for each numerical experiment. Superscripts d, a and h respectively
represent double, actual, and half speed. The subscript TS indicates both tide and surge, T denotes
tide only, and S signifies surge only. Subscripts Sw and Sa respectively represent surge induced by
wind only and atmospheric pressure only.

Case	Water level	Tide	Storm	Atmospheric pressure gradient		Wind stress	
			propagation				
			speed (SPS)	Magnitude	Time interval	Magnitude	Time interval
Ι	η^a_{TS}	actual	actual	actual	actual	actual	actual
II	η^d_{TS}	actual	double	actual	half	actual	half
III	η^h_{TS}	actual	half	actual	double	actual	double
IV	η_T	actual	-	0	-	0	-
V	η^a_S	0	actual	actual	actual	actual	actual
VI	η^d_S	0	double	actual	half	actual	half

VII	η^h_S	0	half	actual	double	actual	double
VIII	η^a_{Sw}	actual	actual	0	-	actual	actual
IX	η^a_{Sa}	actual	actual	actual	actual	0	-

285 2.3 Semi-analytical model

The FVCOM model provides an overall picture of the realistic storm surge in the North Sea during Xaver, however, it is computationally costly and time consuming for isolating contributions from different physical processes through repeated reduced-physics simulations, hence FVCOM is inefficient for sensitivity studies.

290 Therefore, to investigate the dominant physical mechanisms behind the impact of SPS on surge induced by offshore storms, a three-dimensional semi-analytical model was set up which 291 292 considered a semi-enclosed rectangular basin with a uniform width. This model solves the linearized three-dimensional shallow water equations on the *f*-plane (constant Coriolis coefficient), 293 294 assuming hydrostatic equilibrium and negligible lateral bathymetric variations, tidal advection, and baroclinic effects. The bottom friction was introduced by applying a partial slip boundary condition 295 296 at the seabed: $A_v (\partial u / \partial z, \partial v / \partial z) = s(u, v)$, with s the partial slip parameter and A_v the vertical eddy viscosity (Wei et al., 2017). This model was forced by a time-periodic surface stress due to 297 winds blowing along the basin with negligible spatial differences, as well as a time-periodic surge 298 prescribed at the northern end of the basin. More details about this model can be found in the 299 appendix. By considering a periodic wind forcing and surge response, the time evolution of the 300 301 surge can be calculated by only computing the surge amplitude, without requiring numerical simulations for each time step. As such, the computational cost of the semi-analytical model is 302 significantly reduced compared with the FVCOM model, making it a useful tool for sensitivity 303 studies. The semi-analytical model also provides insights into the physical mechanisms behind the 304 storm surge, which are useful for the interpretation of the numerical results from any surge 305 forecast/hindcast model. 306

For any along-coast varying depth and wind stress profile with non-negligible bottom friction and Coriolis effect, a semi-analytical method can be used to calculate the surge following the same procedures as Chen et al. (2016), details of which are in the appendix. Due to the low computational cost of this semi-analytical method, the sensitivity of η_{SW} to SPS can be

systematically investigated. Here, SPS is related to the wind duration, which is another important
 parameter for storm surge apart from the wind speed and direction (Ganske et al., 2018).

For a constant water depth *H* and spatially uniform time-periodic wind stress along the basin, with negligible Coriolis effect (f = 0) and bottom friction (s = 0), the surge (η_{sw}) can be solved analytically following Chen (2015), see appendix for details. The solution is:

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$$\eta_{SW} = \left[\frac{a_W \cos(\gamma(x-L))}{\cos(\gamma L)} + \frac{t_{WX} \sin(\gamma x)}{\rho g H \gamma \cos(\gamma L)}\right] \sin(\omega t), \text{ with } \gamma = \frac{\omega}{\sqrt{gH}}.$$
 (2)

Here a_w is the surge amplitude prescribed at the northern boundary; t_{wx} is the amplitude of the 317 along-coast wind stress; x is the along-coast coordinate (with x = 0 at the northern boundary and 318 x = L at the southern boundary); g denotes the gravitational acceleration, ρ the water density, L the 319 basin length and t the time. The wind frequency is denoted by $\omega = \frac{2\pi}{T}$, with T the wind duration 320 (period) assumed to be equal to the surge duration. Equation (2) suggests that the storm surge in the 321 North Sea is influenced by a remotely generated wave through the surge forcing at the northern 322 boundary (first term), and a locally generated wind set-up due to wind stress at the free surface 323 (second term). 324

To qualitatively represent the geometric and bathymetric features of the North Sea, the basin width and length are approximated to be 500 km and 900 km, respectively, and the depth is allowed to vary along the coast, as described by

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$$H = \frac{H_{south} + H_{north}}{2} + \frac{H_{south} - H_{north}}{2} \tanh\left(\frac{x - x_s}{L_s}\right), \qquad (3)$$

with $x_s = 520$ km and $L_s = 60$ km. Equation (3) results in a constant water depth in the north (H_{north} =80 m) and south (H_{south} =30 m), connected by a sharp depth change around Immingham (see Figure 1b). To qualitatively capture the spatial variation of the wind field during Xaver (see Figure 2), the lateral wind stress was taken to be zero; the amplitude of the along-coast wind stress $\hat{\tau}_{wx}$ was fitted to a linear function of x using the width-averaged wind forcing within 200 km off the UK east coast:

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$$\hat{t}_{wx} = \hat{t}_m (1 - 0.74x/L) . \tag{4}$$

Here $t_m = 2.54$ Pa is the wind stress at the North end x = 0. The Coriolis coefficient was taken to be constant, $f = 1.18 \times 10^{-4}$ rad/s (latitude at ~54° N, *f*-plane approximation). As a first step to validate the semi-analytical model given by equations A.1-A.5, the semi-

- analytical model results were compared with the analytical solution (2), considering a constant
- water depth and along-coast wind stress with f = 0 and s = 0. The semi-analytical model gives the
- same results as the analytical solution (see Figure A.1 in the appendix). Then, the semi-analytical
- model was calibrated with the peak surge (i.e., maximum η_s) obtained from the FVCOM model by
- tuning a_w , s and A_v . By using a parameter set of $a_w = 0.3$ m, s = 0.0015 m/s, and $A_v =$
- $0.016 \text{ m}^2/s$, the spatial distributions of the peak surge distributions of the North Sea obtained from
- the FVCOM model are qualitatively reproduced for each speed (see Figure A.2 in the appendix and
- more discussion in section 4.3). This indicates that the simplified geometry, bathymetry and
- boundary conditions used in the semi-analytical model are appropriate, and the dominant physical
- 348 processes governing the storm surge are reasonably resolved.
- 349

351 3 Quantifying the impacts of SPS on coastal flood hazard due to extreme water level

Coastal flood hazard is strongly related to the magnitude and duration of extreme water 352 level. To quantify the significance of SPS on coastal flood hazard due to extreme water level along 353 the UK east coast, the FVCOM model described in section 2.1 was used to simulate the tide, surge 354 and their interactions for Xaver at actual (I), double (II) and half (III) SPS. The impact of SPS on 355 coastal flood hazard due to extreme water level is evaluated by comparing the total water level 356 induced by both tide and surge (denoted as η_{TS}), skew surge, and time integrated excess elevation 357 (defined as the time integration of the water level exceeding MHWS, see Lyddon et al. (2018)). 358 Skew surge is a measure of the maximum water level residual induced by the storm, and the time 359 integrated excess elevation is used here as a proxy of potential flood hazard due to limited sea 360 defence freeboard and changing storm duration. Since the extreme water level is a result of the 361 combination of tide, surge, and their interactions, the impact of SPS on surge, tide-surge 362 interaction, and its impact on tides were also investigated. 363

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3.1 Influence of SPS on coastal flood hazard

For these storms, the total water level η_{TS} variations with changing SPS are largest at the southern locations Cromer, Lowestoft, Harwich and Sheerness (Figure 4a). At all stations, the largest η_{TS} was found at actual speed. However, the duration and the number of occurrences of the total water level exceeding MHWS (i.e., high water events) are largest at half speed especially at the southern stations. Figure 4a also shows that, for smaller SPS, high water events occur at a later time, which allows for a longer lead time for flood warning, but also take longer to recede and hence have a larger potential to cause severe flood hazards.

To evaluate the influence of SPS on coastal flood hazard due to extreme water levels, the 372 373 skew surge and time integrated excess elevation were calculated (Figure 4b). When the SPS is equal to the actual speed of Xaver, the largest flood hazard occurs at Lowestoft, with both skew 374 375 surge and time integrated excess elevation increasing southward from Aberdeen to Lowestoft and decreasing further southward (red lines). Halving the SPS results in a smaller skew surge at all 376 377 stations, however, the spatially (north-south) varying pattern remains almost unchanged (blue line with circles). Doubling the SPS also results in a smaller skew surge, which constantly increases 378 379 southward (black line with circles). At stations north of Lowestoft, the skew surge is larger at half speed than that at double speed, but is the opposite at locations south of Lowestoft. This trend 380

change is related to the different arrival time of peak surge with respect to the tide at different

locations. North of Lowestoft, the surge in both half- and double-speed cases peaks close to low

383 water (see Figure 5c), but the surge elevation at the next high water in the half-speed case is larger 384 due to the longer storm duration. South of Lowestoft, the peak surge for the double- and half-speed

storms is similar, but occurs closer to high water in the double-speed case.

386



Figure 4. (a) The total water level relative to mean sea level, (b) skew surge and time integrated excess elevation at different stations during the storm event. Red, black and blue lines show results for actual, double and half SPS, respectively. Plots were made using the FVCOM model results

from 4 December 17:00 to 8 December 21:00 in 2013, where the black dotted lines show the mean
high water springs.

The spatial trend of the time integrated excess elevation does not change with SPS, with the 393 maximum occurring at Lowestoft for all SPS. Doubling the SPS results in a smaller time integrated 394 excess elevation at all stations (see red and black lines with stars). Halving the SPS results in 395 smaller time integrated excess elevations at the north-eastern stations (Aberdeen to Immingham), 396 and larger excess elevations at the southern stations (Lowestoft to Sheerness), see red and blue lines 397 with stars. This is partly related to the larger storm surge in the southern North Sea, and partly 398 399 related to the smaller tidal ranges and MHWS at the southern stations (being closer to the tidal amphidrome), where the MHWS can be exceeded for a long duration with slowing moving storms. 400 The larger time integrated excess elevations in the half-speed experiment (representing the 1953 401 storm) compared with the actual-speed experiment (representing the 2013 storm) suggests that, 402 despite the smaller peak water level, the longer positive surge duration associated with slower SPS 403 was likely an important natural factor leading to the larger flooding in 1953. 404

Results in this section were based on the FVCOM model simulations from 4 December 17:00 to 8 December 21:00 in 2013. Simulations after that period were not included because strong winds from another storm on 13-20 December 2013 resulted in strong tide-surge interactions on 8-12 December 2013 in the double-speed experiment, which were not covered in the actual- and halfspeed experiments. However, since the new storm started to act on the UK east coast around 4 days after Xaver without resulting in water levels above MHWS except one instance at Lowestoft, it did not affect the Xaver surge in all experiments.

412 3.2 Influence of SPS on surge and tide-surge interaction

Water levels induced by surge-only (η_s , experiments V – VII) at different SPS are shown in Figure 5a. The magnitude and duration of η_s show a strong dependence on SPS. The surge remains positive for ~32 hours at actual SPS and as expected doubling the SPS result in a halved positive surge duration, and vice-versa (black and blue lines, Figure 5a). The peak surge continually increases southward for all SPS values (see Figure 5c, lines with circles), and shows a spatially variant response to SPS. At locations close to the storm track (Aberdeen), the peak surge decreases with decreasing SPS. At all other locations, however, the peak surge at actual speed is larger than

- 420 that at double/half speed. It shows that the propagation speed of Xaver in 2013 was an important
- 421 factor contributing to the stronger surge in the North Sea compared to the 1953 storm.
- 422



Figure 5. (a) Storm surge η_s , (b) water level due to tide-surge interaction ($\eta_i = \eta_{TS} - \eta_T - \eta_s$), and (c) peak surge (maximum η_s) and the interaction amplitude of η_i during the storm event. Here the amplitude of η_i is defined as half of the difference between the maximum and minimum values of η_i : $(\max(\eta_i) - \min(\eta_i))/2$. Gray line shows the astronomical tide for guidance. Plots were based on the FVCOM model results from 4 December 17:00 to 8 December 21:00 in 2013.

(5)

The water level due to tide-surge interaction (η_i) for actual, doubled and halved SPS was calculated by subtracting the surge (η_S) and tidal elevation (η_T) from the total water level (η_{TS}) ,

$$\eta_i = \eta_{TS} - \eta_T - \eta_S.$$

Note that radiational (weather-related) tides are included in η_S but not in η_T so that double-counting 432 of the radiational tidal component is not relevant here (Williams et al., 2018). For all SPS, tide-433 surge interaction results in an increase or decrease in η_i which keeps fluctuating throughout the 434 storm event, with η_i more sensitive to SPS along the southern coast than the northern coast (Figure 435 5b). To quantify the maximum strength of the tide-surge interaction during the storm, the amplitude 436 of η_i , defined as half of the difference between the maximum and minimum values of η_i , 437 $(\max(\eta_i) - \min(\eta_i))/2$, was calculated for all stations and is shown in Figure 5c (see lines with 438 asterisks). For all SPS, the amplitude of η_i first increases from Aberdeen to Immingham, decreases 439 towards Lowestoft, then increases again towards Sheerness. The largest amplitude of η_i occurs at 440 Immingham and Sheerness where the tidal ranges are the largest. This spatially varying pattern 441 matches well with the along-coast variation of the tidal range, which peaks near Immingham and 442 drops to its minimum near Lowestoft. Doubling and halving SPS both result in larger amplitudes of 443 tide-surge interaction at the three southernmost stations (Lowestoft, Harwich, and Sheerness), while 444 the duration of large η_i is decreased and increased, respectively (see black and blue lines in Figure 445 5b). The largest interaction amplitude η_i occurred at actual speed from Aberdeen to Cromer, 446 however, η_i at actual speed is smaller than that at double and half speed at Sheerness. This is due to 447 the peak surge at actual speed occurring close to high water in the northern stations, but occurred on 448 rising tides at Harwich and Sheerness. 449

The standard deviation of η_i for each SPS was also calculated to quantify the overall influence of SPS on the fluctuations of water level due to tide-surge interaction throughout the storm event,

453
$$\sigma = \left[\frac{\sum_{i=1}^{N} (\eta_i - \dot{\eta}_i)^2}{N-1}\right]^{1/2}.$$
 (6)

Here $\dot{\eta}_i$ and *N* are respectively the time average and number of time steps in the η_i time series from 455 4 December 17:00 to 8 December 21:00. Spatial distributions of σ for each SPS are shown in 456 Figure 6. For all SPS, σ is much smaller in the northern North Sea than that in the south. The SPS impact on σ is site specific, depending on its impact on η_s and the phase difference between η_s and η_T . Since η_s at actual speed is larger than that at doubled/halved speed in most areas, the interaction at actual speed is stronger than other SPS if the peak surge tends to occur near high water (e.g., from Aberdeen to Lowestoft, see Figure 5a). At locations where the peak surge happens to arrive at

rising tide near high water at actual SPS (e.g., Harwich and Sheerness), the interaction is stronger at

half and double SPS, in which cases η_S is only slightly smaller but the peak surge arrives near high

463 water.

464

465



Figure 6. Standard deviation of surface elevation ($\eta_{i.}$) due to tide-surge interaction for doubled, actual, and halved SPS.

468 3.3 Influence of SPS on tides

Another way to consider the surge is as a modifier to the tide. The impact of surge on tides was investigated by fitting harmonic tidal constituents to the astronomical tide and tide affected by interaction (η_T') at each model grid point. A least-squares fit was used following the approach of Cartwright & Tayler (1971). Here, η_T' is calculated by subtracting the meteorological forcing induced water level η_S from the total water level η_{TS} , which also equals the astronomical tide plus the elevation resulted from tide-surge interaction:

475
$$\eta_T^{'} = \eta_{TS} - \eta_S = \eta_T + \eta_{i.}$$
 (7)





Figure 7. Changes in the amplitude (a-c) and phase (d-f) of the M2 tidal constituent due to tidesurge interaction, $\eta_T^{'}$. Negative values in (a-c) mean the M2 tidal amplitude affected by surge is smaller than the astronomical M2, and vice versa. Phase lines in (d-e) are plotted every 30 degrees (equivalent to ~1 hour).

Then, the impact of surge on the tide is quantified by the difference between the fitted tidal constituents of $\eta_T^{'}$ and those of the astronomical tide η_T , based on the FVCOM model results from 484 4 December 17:00 to 12 December 2013 17:00. In order to capture the impact of the storm using 485 only 8 days of data, only the 4 main constituents M2, S2, O1, K1 were fitted. Since the tide is 486 mostly dominated by the M2 constituent in the North Sea, only the changes in the M2 tidal 487 constituent are discussed.

For all SPS, the average phase of M2 over the 8 days is advanced by around 5 degrees, indicating the M2 fitted to $\eta_T^{'}$ rises an average of around 10 minutes earlier than M2 on η_T (Figure 7). The phase change increases everywhere with storm duration from double speed to half speed. The averaged changes in the tidal phase due to tide-surge interaction at the UK east coast are relatively small compared to the results of Horsburgh & Wilson (2007), which were based on historical data analysis. This may be related to the storm lasting shorter than the fitted 8-day period, hence the tide remains unaffected by surge for some of this period.

⁴⁹⁵ Near the UK east coast, the magnitude of M2 is reduced by up to around 0.12 m due to the ⁴⁹⁶ storm effects (Figures 7a-c). This is related to the temporary phase shift, which effectively reduces ⁴⁹⁷ the power fitted to M2 over the 8 days. The influence of SPS on the magnitude of the change in the ⁴⁹⁸ M2 tide amplitude is spatially variant and may be related to the arrival time of the maximum η_s ⁴⁹⁹ with respect to the tide, as suggested by Prandle & Wolf (1978) and Kim et al. (2008).

Maps of peak surge η_s and skew surge for all SPS are shown in Figure 8. Skew surge is by 500 definition less than η_{S} , and particularly at locations with large tidal ranges, the skew surge is also 501 related to the arrival time of the peak surge with respect to η_T . As a result, the largest skew surge is 502 found at locations in the southern North Sea with both large peak surge and small tidal ranges for 503 all SPS. This largely explains why although the largest peak surge of the North Sea occurred near 504 Sheerness during storm Xaver, the largest flood hazard occurred near Lowestoft (as shown in 505 Figure 4b). The greater flood hazard at Lowestoft is a result of the peak surge occurring only 1 hr 506 before high water and the water level remaining high at high tide due to weak tide-surge 507 interaction; while at Sheerness, the peak surge occurred 2 hrs before high tide (see Figure 8h) and 508 the water level dropped significantly at high tide due to strong interaction. 509

510





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Figure 8. Distributions of the peak surge (a-c), skew surge (d-f) and mean tidal range (g) in the North Sea adjacent to the UK. The peak surge is the maximum η_S . The skew surge is defined as the difference between the maximum η_{TS} and the peak astronomical tidal elevation η_T during the storm. Different colour scales are used for (a-f) and (g) for clarity. L and S indicate gauge locations at Lowestoft and Harwich, respectively. (h) Tide/surge induced water levels at Lowestoft (solid lines) and Sheerness (dash-dotted lines), showing the arrival time of the peak surge with respect to tides. Here, red lines show the storm surge and black lines show the astronomical tides.

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522 4 Physical mechanisms

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4.1 Relative importance of wind and atmospheric pressure

The relative importance of atmospheric pressure gradients and wind forcing to water levels 524 induced by offshore storm surge was investigated by calculating the changes in total water levels in 525 526 experiments VIII and IX compared to those in experiment I. By setting the atmospheric pressure gradients to zero, the changes in total water level were less than 0.8 m during all stages of the tide 527 at all stations. However, excluding the wind stress results in significant changes in water levels (up 528 to 3 m, see Figure 9). This reveals that the storm surge along the UK east coast during Xaver is 529 530 predominantly driven by wind set-up, while the atmospheric pressure gradients played a relatively small role. The dominant role of wind set-up in storm surges has also been found in other shallow 531 seas such as the Seto Inland Sea (Kohno et al., 2007) and the eastern Irish Sea (Maskell, 2011). 532

4.2 Impacts of water depth and fetch length

Following the dominance of wind set-up on surge residuals, the semi-analytical surge model 534 takes the wind to be the only external forcing and ignores tide-surge interaction. Hence, the surge is 535 approximated by the wind set-up only. By assuming a time-periodic wind stress and surge response 536 without any spatial differences in phase, the wind duration is equal to the surge period in the semi-537 538 analytical model, which is directly related to the time duration of the storm acting on the basin. The faster the storm travels across the basin (large SPS), the smaller the wind duration and surge period 539 (small *T*). Ignoring the complex geometry and lateral bathymetry features, the semi-analytical 540 model considers a rectangular-shaped, semi-enclosed basin to represent the North Sea. 541

For negligible bottom friction, Coriolis effect, constant water depth, and large wave length (small γ , e.g., with $T \ge 30$ hours), the locally generated surge due to wind set-up (second term of equation (2)) reduces to

545

$$\eta_{Sw} \approx \frac{\tau_{wx}}{\rho_{gH}} x \sin(\omega t). \tag{8}$$

546 Equation (8) indicates the surge is approximately linear to the fetch length (i.e., the distance from 547 the northern boundary) and inversely proportional to the water depth, as reported by Happer &





Figure 9. Changes in water levels due to air pressure impact (blue) and wind impact (red). Here the impact of air pressure and wind are measured by water level differences between experiment I, where both wind stress and air pressure gradients are included, and experiments VIII-IX, where air pressure gradients and wind stress are prescribed to be zero, respectively.

Sobey (1983) and Resio & Westerink (2008). Hence, decreasing the fetch and increasing water 554 depth will result in a reduced surge. This helps explain the generally smaller peak surge in the 555 556 deeper northern North Sea (shorter fetch) as compared with the shallower south (longer fetch), see Figures 8a-c. Note that equation (8) was derived by ignoring the water depth variations caused by 557 tide and surge. In reality, the increase of water depth at high tide can result in a reduced maximum 558 surge residual when the peak surge tends to occur near high water. This is another reason for the 559 560 skew surge being smaller than the peak surge as shown in Figure 8. It can also be deduced that the tidal impact on storm surge increases with increasing tidal range for a given bathymetry. The 561 562 inverse proportionality of surge to water depth also suggests that surge in shallow waters is more sensitive to tide-related depth variations than in deep waters. As a result, the strongest tide-surge 563

interaction tends to occur in shallow regions with large tidal ranges as shown in Figure 6 (see alsoKim et al., 2008).

The sensitivity of surge to water depth and fetch length is important to coastal flood hazard 566 assessment. It suggests that coastlines fronted by shallower waters are more likely to have large 567 flooding due to the stronger storm surge. The northeast coast of the UK (e.g., between Aberdeen 568 and Immingham) is fronted by relatively deep waters and has a short(er) fetch length, thus the 569 coastal flood hazard associated with the storm surge is small. The southeast coast is fronted by 570 relatively shallow water with a long(er) fetch, where the tidal range is highly variable. At locations 571 572 with small tidal ranges and MHWS (e.g., Lowestoft), the surge is large while the tide-surge interaction is weak, hence the arrival time of peak surge is hardly affected by tides. In this case, 573 peak surge can coincide with high water depending on the arrival time of the storm, resulting in a 574 high coastal flood hazard with a large skew surge. Lowestoft has a tidal range of 1.89 m and 575 MHWS of 1.08 m. During Xaver, the peak surge coincided with high water at this location, 576 resulting in a skew surge of up to 1.96 m and a time integrated excess elevation of 4.53×10^4 m·s at 577 half SPS. At locations with large tidal ranges and MHWS (e.g., Sheerness), the tide-surge 578 interaction is strong. The SPS strongly affects the arrival time of maximum surge residual, which 579 tends not to occur near high water. The tidal range and MHWS at Sheerness are respectively 4.33 m 580 and 2.96 m, where strong tide-surge interactions were generated during Xaver. This resulted in a 581 smaller skew surge (1.45 m) and time integrated excess elevation ($0.98 \times 10^4 \text{ m} \cdot \text{s}$) at half SPS as 582 compared to Lowestoft (Figure 4c). These results suggest that effects of SPS, offshore bathymetry, 583 and tidal range all combine to maximize potential flooding for slowly propagating storms in regions 584 585 with broad shallow offshore bathymetry and small tidal ranges.

586

4.3 Sensitivity to wind duration: resonance effect

In this section, the idealized, semi-analytical surge model was used to systematically investigate the sensitivity of the storm surge to SPS, and to examine the possible resonance generation in the North Sea during Xaver. The SPS during Xaver ranges from 10 to 20 m/s, smaller than the long wave phase speed (\sqrt{gH} ~30 m/s, with H~100 m) in the northern North Sea where the storm centre passed, hence no Proudman resonance was generated. Therefore, only the influence of SPS on possible shelf resonance due to the associated wind period will be discussed.



593

Figure 10. Spatial distributions of the (a-c) surge amplitude and (d-f) phase for double, actual, and
half SPS. The thick black line indicates the location of the UK east coast.

To qualitatively represent the bathymetric variations in the North Sea, the semi-analytical model now considers a tangent-hyperbolic depth profile (Figure 1b). It also considers a timeperiodic wind stress with its amplitude linearly decreasing along the UK east coast so that the spatial variations of the wind field during Xaver are qualitatively included (see equation (4) and Figure 2). The FVCOM experiments with double, actual and half speed of Xaver are approximately represented with a positive wind duration of 16, 32 and 64 hours.

The amplitude and phase of the surge for each SPS are shown in Figures 10a-c and Figures 10d-f, respectively. The semi-analytical model qualitatively reproduces the surge behaviors in the North Sea for each SPS as obtained from the FVCOM model results (comparing Figures 10 a-c

with Figures 8 a-c). For all SPS, the surge amplitude is larger in the shallower south than in the 605 deeper north, and it peaks along the UK east coast (the right-hand side of the storm track) due to 606 Coriolis effect (Figures 10a-c). The surge phase increases along the coast in a counter-clockwise 607 direction (Figures 10d-f), behaving like a forced coastal trapped wave (Clarke 1977). The cross-608 basin differences in the surge amplitude and phase decrease significantly with decreasing SPS. For 609 double speed, the surge amplitude/phase decreases/increases significantly along the coast with 610 almost no surge responses in the centre of the northern North Sea (see Figure 10a, 10d). The coastal 611 trapped wave feature becomes less evident with increasing wind duration (decreasing SPS). The 612 surge is almost laterally uniform for half speed (see Figure 10c, 10f), suggesting the surge is then 613 dominated by along-basin dynamics with limited cross-basin responses. It implies the Coriolis 614 effect on the North Sea surge, which is considered in the semi-analytical model setup here, is small 615 616 for small SPS. The consistency between the FVCOM model and semi-analytical model indicates the simplified geometry, bathymetry and boundary conditions used in the semi-analytical model are 617 618 appropriate, and the dominant processes governing the storm surge are reasonably resolved. The surge amplitude is slightly over-predicted at the southwest part of the North Sea by the semi-619 620 analytical model and under-predicted at the southeast part. These discrepancies are probably caused by the strong tidal currents in the English Channel and the shallow bathymetry of the German 621 Bight, which are not considered in the semi-analytical model due to the simplified bathymetry and 622 geometry. 623

The low computational cost of the semi-analytical method allows a systematic investigation 624 of the sensitivity of surge to the SPS, which is translated into the positive wind duration T/2. 625 Figure 11a shows the distributions of the surge amplitude along the UK east coast for T/2 varying 626 from 5 to 100 hours. The surge amplitude is highly sensitive to wind durations for T/2 between 5 627 and 35 hours, and it becomes less dependent on the wind duration for T/2 > 50 hours. Two 628 resonance peaks are found for T/2 < 35 hours (Figure 11a). The largest surge amplitude (~4 m) 629 occurs at T/2 = 7.5 hours, which is associated with a resonance frequency of 1.16×10^{-4} rad/s, 630 close to the inertial frequency f for the North Sea. This resonance frequency is smaller than the 631 reference frequency ($\omega_{ref} = \frac{2\pi\sqrt{gH_{av}}}{L} = 1.65 \times 10^{-4} \text{ rad/s}, T/2 = 5.29 \text{ hours, with } H_{av}$ the mean 632 water depth of the basin), at which Chen et al. (2016) found as the first resonance for a rectangular 633 634 basin with a uniform depth and an aspect ratio of 0.5 (approximates the North Sea). The second



Figure 11. (a) Sensitivity of the surge amplitude along the UK east coast to positive wind duration T/2, considering both bathymetry variations and Coriolis effect, $f = 1.18 \times 10^{-4}$ rad/s. (b) Same results but using the mean water depth with Coriolis effect, $f = 1.18 \times 10^{-4}$ rad/s. (c) Same results considering the bathymetry variations but no Coriolis effect. (d) Same results but using the mean water depth without Coriolis effect. Here, a logarithmic scale was used for the horizontal axis, and different colour scales were used for (a) and (b) compared to those for (c) and (d) for clarity.

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resonance occurs at T/2 = 20 hours (surge amplitude ~3.5 m) and is associated with a resonance 643 frequency of 4.36×10^{-5} rad/s. The actual positive wind duration of Xaver (32 hours), was close to 644 this resonance wind duration and therefore likely to have contributed greatly to the occurrence of 645 the strongest North Sea storm surge over the past 60 years. For most SPS, the surge amplitude is 646 smaller at the deeper northeast coast than the shallower southeast coast, consistent with the 647 FVCOM results. Note that the wind stress during a real storm event contains a wide variety of 648 frequencies (see Chen et al., 2015). The sensitivity study here considers only one wind frequency in 649 order to show the surge response to each wind frequency. To reproduce more realistic wind-650 generated surge responses, more wind frequencies could be considered in the semi-analytical 651 model. 652

To understand the role of the geometry, topography, and Coriolis effects in generating 653 resonance in the North Sea, the sensitivity of the surge amplitude to positive wind duration were 654 investigated by conducting another three semi-analytical experiments where the bathymetry 655 variations and/or the Coriolis effect were excluded. Figures 11b-d show the sensitivity of surge 656 amplitude along the UK east coast to the positive wind duration T/2 when excluding only the 657 bathymetry variations by using a mean water depth (Figure 11b), excluding only the Coriolis effect 658 (Figure 11c), and excluding both bathymetry variations and Coriolis effect (Figure 11d). When 659 660 considering a constant water depth with Coriolis effect, resonance occurs at T/2 = 5 hours near the reference frequency (at T/2 = 5.29 hours) as found by Chen et al. (2016), see Figure 11b. It 661 suggests the different resonance frequencies between Figure 11a and Chen et al (2016) are related 662 to the along-coast bathymetric variations in the North Sea. Comparing Figure 11b with 11a, it is 663 found that the resonance near the reference frequency is only slightly affected by bathymetry 664 variations; however, the second resonance is significantly changed, with the surge amplitude 665 constantly increasing with increasing T for constant water depths. When excluding Coriolis effects 666 (still with bathymetry variations), only one resonance occurs at T/2 = 22.5 hours (see Figure 11c). 667 Resonance occurs at T/2 = 25 hours when using a constant mean water depth with no Coriolis 668 effect (see Figure 11d). It suggests that the second resonance observed in Figure 11a is associated 669 with the dimensions of the North Sea, i.e., its length and mean water depth. In general, including 670 Coriolis effect leads to a weaker resonance (see different colour scales between Figure 11a,b and 671 Figure 11c,d), due to cross-basin surge responses. The surge amplitude for large wind durations is 672 only slightly changed, confirming the Coriolis effect on the surge is small for slow SPS. These 673 results suggest the two resonances observed in Figure 11a result from the combined effects of the 674 dimensions and bathymetry of the North Sea, and the Coriolis effect. 675

The tide-surge interaction, despite its potential significance to coastal floods, was not included in the semi-analytical model. The impact of SPS on tide-surge interaction and its sensitivity to the arriving time of the surge with respect to tide could be systematically investigated in future work by using a semi-analytical model which resolves tide, surge and their interaction, as considered by Prandle & Wolf (1978).

681 **5** Conclusions

Storm propagation speed (SPS) strongly impacts coastal flood hazards due to extreme water 682 levels. This study focused on the influence of SPS on coastal flood hazard caused by offshore 683 storms and their physical mechanisms. As a case study, the SPS impact on the UK east coast, where 684 storms frequently cause coastal floods without making landfalls, was investigated using a shelf sea 685 model based on FVCOM. The storm Xaver, which caused the largest North Sea surge over the past 686 60 years, was studied as a base scenario. Another eight experiments were designed to quantitatively 687 evaluate the SPS impact on coastal flood potentials, storm surge, tide-surge interaction and the 688 689 relative importance of wind and atmospheric pressure. The actual speed of Xaver was halved or doubled in these experiments to evaluate the influence of SPS. The half-speed experiment 690 qualitatively represents the 1953 storm, which caused the devastating coastal floods along the UK 691 east coast. Results show the largest skew surge occurs at the actual speed and the largest time 692 693 integrated excess elevation at half speed. This implies that the slow SPS of the 1953 storm was an important natural contributor to the devastating flood hazard. 694

The SPS impacts the coastal flood hazard through influencing the storm surge, tide-surge 695 interaction and its impact on tides. The largest surge occurs when the storm travels at actual speed, 696 697 while doubling/halving the SPS results in a smaller surge and a shorter/longer surge duration. For all SPS, the tide-surge interaction is stronger in the northern North Sea than the south. By doubling 698 or halving the SPS, fluctuations of the water level due to tide-surge interactions are enhanced near 699 Sheerness, where the tide-surge interaction is the strongest along the UK east coast. Tides in the 700 701 North Sea are generally modified by surge due to the tide-surge interaction. The amplitude of the M2 tide, which is the dominant tidal constituent in the North Sea, is reduced by surge along the 702 coast for all SPS. The magnitude of the surge-induced change in the M2 amplitude is spatially 703 variable, and differs significantly with SPS. The averaged phase of the M2 tide over the storm is 704 advanced by around 10 minutes for all SPS, with the change increasing by a further 4 to 10 minutes 705 with SPS from double speed to half speed. The modified tide contributes to a skew surge smaller 706 than peak surge everywhere in the North Sea, and the largest skew surge occurs at locations with 707 large peak surge and small tidal range for all SPS (e.g., Lowestoft). 708

Wind was the predominant meteorological forcing in the North Sea throughout Xaver. An idealized, semi-analytical model was used to systematically investigate the physical mechanisms

behind the impact of SPS. The model only includes the wind forcing, hence the wind duration is 711 directly translated into the SPS. Considering a semi-enclosed rectangular basin with along-coast 712 depth variations representative of the North Sea, the semi-analytical model qualitatively reproduces 713 the surge behavior with respect to both spatial variability and dependence on SPS. Results indicate 714 that the complex North Sea surge dynamics induced by offshore storms are primarily associated 715 with the wind set-up, and that the main processes are reasonably resolved. Two resonances are 716 found: the largest resonance near the inertial frequency and the second at positive wind duration of 717 20 hours. The second resonance period is close to the wind period during Xaver, suggesting that the 718 wind forcing of Xaver may have contributed greatly to the occurrence of the largest North Sea 719 surge over the past 60 years due to resonance. The resonance patterns are influenced by the 720 dimensions and bathymetry of the North Sea, and Coriolis. 721

The sensitivity of surge to water depth and SPS is important to coastal flood hazard 722 assessment. Coasts fronted by shallower waters are more likely to have large flooding due to the 723 stronger storm surge. For coasts fronted by shallow waters with small tidal ranges (e.g., Lowestoft), 724 725 the surge is large, and peak surge can coincide with high water depending on the arrival time of the storm, resulting in a high coastal flood hazard. Around shallow waters with large tidal ranges (e.g., 726 Immingham and Sheerness), however, the tide-surge interaction is strong. Hence, the SPS can 727 strongly influence the arrival time of the maximum surge residual, which tends not to occur near 728 729 high water, resulting in a smaller potential flood hazard. Since the duration of high surge increases with decreasing SPS, it can be deduced that slowly propagating offshore storms are likely to cause 730 significant flooding in shallow regions with small tidal ranges. 731

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882 Appendix

883 Semi-analytical model description

In the idealized model, the surge forced by wind only is described by the linearized shallow water equations on the *f*-plane:

886
$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} = 0; \tag{A.1}$$

$$\frac{\partial u}{\partial t} - fv = -g \frac{\partial \eta_{SW}}{\partial x} + \frac{\partial}{\partial z} \left(A_v \frac{\partial u}{\partial z} \right). \tag{A.2}$$

888
$$\frac{\partial v}{\partial t} + fu = -g \frac{\partial \eta_{Sw}}{\partial y} + \frac{\partial}{\partial z} \left(A_v \frac{\partial v}{\partial z} \right).$$
(A.3)

Here *x* is the along-coast coordinate, positive directing towards the landward end; y is the lateral coordinate positive towards the east; *z* is the vertical coordinate, positive upward. The along-coast, lateral and vertical velocity components are denoted by *u*, *v* and *w*, respectively. The wind-induced surge is denoted by η_{Sw} , and A_v is the vertical eddy viscosity assumed to be constant.

At the open boundary (x = 0), η_{Sw} was prescribed as periodic function of time, i.e., 893 $\eta_{Sw} = a_w \sin(\omega t)$. Here a_w is the surge amplitude at the northern entrance of the North Sea, 894 $\omega = 2\pi/T$ is the surge frequency (equals the wind frequency) and T the surge (wind duration) 895 period, which can be directly related to the storm propagation speed. At the landward boundary 896 (x = L, with L the basin length) and lateral boundaries (y = 0, B, with B the basin width), the 897 vertically integrated normal flux was required to vanish. At the bottom (z = -H), the normal 898 velocity is required to vanish, $= -u \frac{\partial H}{\partial x} - v \frac{\partial H}{\partial y}$. A partial slip boundary condition was used to 899 linearize the bottom friction, $A_v (\partial u/\partial z, \partial v/\partial z) = s(u, v)$ at z = -H, with s the partial slip 900 parameter. At the free surface, the vertical velocity was determined by the time derivative of the 901 surface elevation, 902

903
$$w = \frac{\partial \eta_{Sw}}{\partial t}.$$
 (A.4)

904 The surface stress was directly related to the wind stress,

905
$$A_{\nu}\left(\frac{\partial u}{\partial z}, \frac{\partial v}{\partial z}\right) = \frac{(\tau_{wx}, \tau_{wy})}{\rho}.$$
 (A.5)

906 Here ρ is the water density and the along-coast and lateral wind stress τ_{wx} , τ_{wy} were simplified as 907 trigonometric functions of time *t*,

908
$$(\tau_{wx}, \tau_{wy}) = (\hat{\tau}_{wx}, \hat{\tau}_{wy}) \sin(\omega t), \quad 0 \le t \le T,$$
 (A.6)

where $\hat{\tau}_{wx}$ and $\hat{\tau}_{wy}$ are respectively the amplitude of τ_{wx} and τ_{wy} . Equations (A.1)-(A.6) can be solved semi-analytically following Chen et al. (2016).

911 When assuming negligible Coriolis effect, lateral wind stress and bottom friction, the surge can be

analytically solved for basins with a spatially uniform water depth and spatially uniform along-

coast wind stress. In this case, the surge amplitude η_{SW} can be described by a second-order ordinary differential equation:

915
$$T_1(x)\frac{d^2\hat{\eta}_{Sw}}{dx^2} + T_2(x)\hat{\eta}_{Sw} = F_{wind}(x), \qquad (A.7)$$

916 with $F_{wind}(x) = -\frac{\frac{dt_{wx}}{dx}}{gH}$, $T_1(x) = H$, and $T_2(x) = \frac{\omega^2}{g}$. Equation (A.7) can be analytically solved

together with the boundary conditions at x = 0 and x = L. The analytical solution reads

918
$$\eta_{SW} = \left[\frac{a_W \cos(\gamma(x-L))}{\cos(\gamma L)} + \frac{t_{WX} \sin(\gamma x)}{\rho g H \cos(\gamma L)}\right] \sin(\omega t), \text{ with } \gamma = \frac{\omega}{\sqrt{gH}} . \quad (A.8)$$

919





Figure A.1. Sensitivity of the surge amplitude along the UK east coast to positive wind duration

T/2, for idealized frictionless basins with a spatially uniform bathymetry, wind stress, and no

- Coriolis. Same solutions were found using the analytical solution (2) and the semi-analytical model.
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Figure A.3. Locations of wind stations near the 8 gauge stations along the UK east coast.

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- 936

Table A.1. Mean error and root-mean-squared error for the wind forcing data near the UK east coast (from 4 December 17:00 to 8 December 21:00 in 2013).

Wind station	Mean error	Root-mean-squared error
	(m/s)	(m/s)
w1	6.46	7.50
w2	4.76	5.83
w3	-2.77	4.84
w4	5.60	7.47
w5	2.44	5.27
w6	3.51	4.01
w7	2.96	3.58
w8	8.10	9.47
Average	3.88	6.00