



1 **Relating the diffusive salt flux just below the ocean surface to boundary**

2 **freshwater and salt fluxes**

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## ABSTRACT

12 We detail the physical means whereby boundary transfers of freshwater and  
13 salt induce diffusive fluxes of salinity. Our considerations focus on the kine-  
14 matic balance between the diffusive fluxes of salt and freshwater, with this  
15 balance imposed by mass conservation for an element of seawater. The flux  
16 balance leads to a specific form for the diffusive salt flux immediately below  
17 the ocean surface and, in the Boussinesq approximation, to a specific form for  
18 the salinity flux. This note clarifies conceptual and formulational ambigu-  
19 ties in the literature concerning the surface boundary condition for the salinity  
20 equation and for the contribution of freshwater to the buoyancy budget.

## 21 **1. Introduction**

22 In high latitude regions, substantial quantities of salt are exchanged between liquid seawater  
23 and sea ice during the process of sea ice melting and formation. In contrast, aeolian processes  
24 exchange only very limited quantities of salt with the atmosphere over scales larger than a few  
25 meters. So for purposes of ocean circulation studies, away from ice covered regions, the flux  
26 of salt across the ocean surface is insignificant (e.g., Beron-Vera et al. 1999). Ocean salinity and  
27 buoyancy changes from air–sea fluxes thus arise from the exchange of freshwater (FW) rather than  
28 the exchange of salt.

29 For dynamical purposes, seawater can be approximated by a two-component fluid comprised  
30 of freshwater and dissolved salt, where this ‘salt’ represents the total mass of various solutes,  
31 each with in reality slightly different behaviour (e.g., see Section 2.2 of Olbers et al. (2012)).  
32 We conventionally measure the seawater matter content in terms of salt concentration (salinity)  
33 rather than freshwater concentration. As discussed here, the impact of a boundary freshwater  
34 flux on what is generally termed the surface ocean salinity, but which is more properly the ocean  
35 surface boundary-layer bulk salinity, appears as a vertical diffusive salt flux just below the ocean  
36 surface. In the following, we continue to follow normal oceanographic convention and use the  
37 term ‘surface salinity’ to denote the bulk boundary-layer salinity rather than the actual ‘skin’  
38 salinity value, which may differ by as much as  $0.4 \text{ g kg}^{-1}$  (Wurl et al. 2019). The purpose of  
39 this note is to clarify a conceptual and formulational discrepancy in the literature regarding this  
40 vertical boundary flux. We do so by making use of the kinematic constraint placed on the diffusive  
41 (molecular and turbulent) transport of salt and freshwater within the ocean. This constraint arises  
42 from the convention of working with a barycentric (center of mass) velocity which in turn leads

43 to a zero diffusive flux of seawater mass, and so the requirement that any diffusive salt flux be  
44 balanced by an equal and opposing diffusive freshwater flux.

45 *a. Two fluxes used in the literature*

46 In the absence of freshwater or salt fluxes from melting or freezing ice the first form of the  
47 vertical diffusive salt flux just below the ocean surface is given by Phillips (1977), Eqs (2.5.1) and  
48 (2.7.1) of Gill (1982), Eq. (7) of Huang (1993), Eq. (9) of Beron-Vera et al. (1999), Eq. (11.56)  
49 of Griffies (2004) and, most recently and rigorously, by Warren (2009) as:

$$\mathcal{S}_{\text{in}} = S(E - P), \quad (1)$$

50 where  $\mathcal{S}_{\text{in}}$  is the surface diffusive mass flux of salt (mass per time per area) just below the surface,  $S$   
51 is the local surface ocean salinity (mass of salt per mass of seawater) (IOC et al. 2010), expressed  
52 as a fraction ( $\text{kg kg}^{-1}$ ) rather than per mille ( $\text{g kg}^{-1}$ ), and  $E - P$  is the net oceanic freshwater  
53 mass loss (mass per time per area) from precipitation  $P$  and evaporation  $E$ . Note that here and in  
54 the following, the calligraphic  $\mathcal{S}$  (and for freshwater fluxes  $\mathcal{F}$ ) denote specifically the diffusive  
55 components of the salt flux just below the surface, *not* the total salt mass flux. The second flux is  
56 given on p209 of Stern (1975), in section 4 of Schmitt et al. (1989), in most detail by (see her Eq.  
57 3) Steinhorn (1991) and on p122 of Huang (2010):

$$\mathcal{S}'_{\text{in}} = (E - P)S/(1 - S) = \mathcal{S}_{\text{in}}/(1 - S). \quad (2)$$

58 As we show in this note, equation (2) is a *pure salt flux* whereas (1) is a *balanced diffusive salt*  
59 *flux*, which we term here a *salinity flux*. The balanced salt flux (1) represents a vertical diffusive  
60 salt flux balancing an opposing diffusive freshwater flux, with this balance required to maintain  
61 the kinematic constraint of zero net diffusive flux of seawater mass. The balanced salt flux (1)  
62 is the natural means to specify salinity changes and the consequent density changes and surface

63 buoyancy forcing. In contrast, calculating salinity and density changes from the pure salt flux (2)  
64 is less straightforward. Notably, Schmitt et al. (1989), Speer and Tziperman (1992) and Large and  
65 Nurser (2001) have used an incorrect formulation for the buoyancy flux based on the pure salt flux  
66  $\mathcal{S}'_{in}$  in (2), in which they mistakenly used this salt flux to compute the buoyancy flux.

67 *b. Purpose of this note*

68 The purpose of this note is to emphasize how the balanced diffusive flux of salt just below the  
69 ocean surface boundary (1) results from the kinematic constraint placed on diffusive transport  
70 of salt and freshwater. Namely, since the mass of seawater in a fluid element is constant, the  
71 diffusive salt flux is balanced by an equal and opposite diffusive freshwater flux. Just below the  
72 ocean surface, this kinematic constraint leads to a specific form for the diffusive salt flux induced  
73 by the boundary flux of freshwater (and salt when sea ice melts or forms). In the Boussinesq  
74 approximation, this then leads to a specific form for the diffusive *salinity* flux just below the  
75 surface. This kinematic framing of the surface salinity boundary condition clarifies and corrects a  
76 variety of treatments given in the literature.

77 The salinity of sea ice is roughly 5 parts per thousand, though it is quite variable (Hunke et al.  
78 2011). Hence, where there is freezing and melting of sea ice, there can be significant fluxes of  
79 saline water (and hence salt) into and out of the liquid ocean. We therefore consider the effects of  
80 mass fluxes of salt as well as freshwater throughout the rest of this note.

81 *c. Remainder of this note*

82 In Section 2 we discuss a slab model that illustrates the distinction between a pure salt flux  
83 and a balanced salt flux. Then in Section 3 we consider the continuum mass budgets for salt and  
84 freshwater within the ocean, and in so doing detail why the salt and freshwater diffusive fluxes are

85 balanced. In Section 4 we derive the general diffusive salt flux boundary condition (1) associated  
86 with an air-sea freshwater flux, as well as sea ice melt and formation. We conclude this note in  
87 Section 5.

## 88 2. Bucket slab model

89 Consider a homogeneous bucket containing seawater of mass  $M$  made up of salt mass  $S$  and  
90 freshwater mass  $F$ , with uniform salinity  $S = S/M$ . We examine the change in salinity of the  
91 bucket arising from the transfer of salt and/or freshwater across the bucket surface. Let  $dS$  be the  
92 change in salt mass,  $dF$  the change in freshwater mass, and  $dM = dS + dF$  be the total mass change  
93 (salt plus freshwater). The associated salinity change (assuming homogenization of seawater in  
94 the bucket) is thus given by

$$dS = S_{\text{new}} - S, \quad (3)$$

95 where

$$S_{\text{new}} = \frac{S + dS}{M + dM}. \quad (4)$$

96 In the following we consider various means to represent salinity changes associated with salt,  
97 freshwater, and mass changes.

98 Note that the equations set out in this section are directly applicable to the 1-D salinity budget  
99 of the uppermost (surface) layer of an ocean model; in that case all masses such as  $S$ ,  $F$ ,  $dS$  etc.  
100 should be regarded as masses per unit horizontal area.

101 *a. Inputs of seawater and salinity*

102 For the first thought experiment (see Fig. 1a), add a mass of ‘seawater’  $dM_{\text{seawater}}$  with the same  
103 salinity as the water already in the bucket; viz.

$$dS = S dM_{\text{seawater}} \quad (5)$$

$$dF = (1 - S) dM_{\text{seawater}} \quad (6)$$

$$dS + dF = dM_{\text{seawater}}. \quad (7)$$

104 In this case the total amount of salt in the bucket changes but the salinity remains unchanged, with

$$S_{\text{new}} = \frac{SM + S dM_{\text{seawater}}}{M + dM_{\text{seawater}}} = S, \quad (8)$$

105 i.e.

$$dS_{\text{seawater}} = 0. \quad (9)$$

106 Now consider a balanced salt input (Fig. 1b), whereby we add a mass of salt

$$dS = dS_{\text{bal}} \quad (10)$$

107 but simultaneously remove an equal mass of freshwater

$$dF = -dS_{\text{bal}} \quad (11)$$

108 so that there is zero net mass input to the bucket:

$$dM = dS + dF = 0. \quad (12)$$

109 We thus replace freshwater in the bucket by salt while keeping the total mass unchanged. In this  
110 case the new salinity of the bucket is given by

$$S_{\text{new}} = \frac{S + dS_{\text{bal}}}{M} = S + \frac{dS_{\text{bal}}}{M}, \quad (13)$$

111 and the salinity change is

$$dS_{\text{salinity}} = \frac{dS_{\text{bal}}}{M}. \quad (14)$$

112 As we will argue in Sections 3 and 4, this balanced salt input provides the most natural way to  
113 formulate the boundary forcing of salinity and hence density. It is most natural since seawater  
114 fluid mechanics is formulated in terms of constant-mass fluid elements, thus corresponding to the  
115 constant mass bucket.

116 *b. Representing arbitrary salt & freshwater inputs as balanced salt & seawater inputs*

117 The expressions (5), (6) (10) and (11) allow us to represent arbitrary inputs of salt  $dS$  and fresh-  
118 water  $dF$  as inputs of seawater (which changes mass but not salinity) and balanced salt (which  
119 changes salinity but not mass)

$$\begin{pmatrix} dS \\ dF \end{pmatrix} = dM_{\text{seawater}} \begin{pmatrix} S \\ 1 - S \end{pmatrix} + dS_{\text{bal}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}. \quad (15)$$

120 Since a balanced salt input does not alter the mass (i.e., adding the two rows of equation (15)) we  
121 have

$$dM_{\text{seawater}} = dM = dS + dF. \quad (16)$$

122 Upon rearranging the first row of equation (15), we see that the salt mass input as a balanced  
123 salt input is the difference between the total salt input and the salt that is contained in the added  
124 seawater, thus giving an expression for the balanced salt input:

$$dS_{\text{bal}} = dS - S dM_{\text{seawater}}. \quad (17)$$

125 Or, re-expressing  $dM_{\text{seawater}}$  using (16) the balanced salt input can be expressed purely in terms of  
126  $dS$  and  $dF$  as:

$$dS_{\text{bal}} = (1 - S) dS - S dF. \quad (18)$$



127 These equations (16), (17), and (18) for the sea water and balanced salt inputs hold for com-  
 128 pletely general  $dS$  and  $dF$  that may have opposite signs. However, there are interesting cases  
 129 where  $dS$  and  $dF$  have the same sign, such as happens when ice melt of some salinity  $S_{\text{melt}}$  (note  
 130 that the salinity of the ice melt may differ from that of the ice salinity) passes into the ocean, or ice  
 131 of salinity  $S_{\text{ice}}$  is formed by freezing. In the case of ice-melt where  $dS$ ,  $dF$  and  $dM$  are all positive,  
 132 we can write

$$dS = S_{\text{melt}} dM; \quad dF = (1 - S_{\text{melt}}) dM, \quad (19)$$

133 in which case we can write equation (17) as

$$dS_{\text{bal}} = (S_{\text{melt}} - S) dM_{\text{seawater}}. \quad (20)$$

134 We thus interpret the salt mass input via balanced salt influx as the difference between the salt mass  
 135 contained in the added water from the salt mass contained in seawater with equal mass. Corre-  
 136 spondingly, the equal and opposing freshwater input associated with this salinity input represents  
 137 the extra freshwater contained in the meltwater versus that contained within the seawater:

$$-dS_{\text{bal}} = -(S_{\text{melt}} - S) dM_{\text{seawater}}. \quad (21)$$

138 Where there is instead freezing, with  $dS$ ,  $dF$  and  $dM$  all negative, the above Eqs. (19)–(21) still  
 139 hold, but with  $S_{\text{melt}}$  replaced by  $S_{\text{ice}}$ .

140 *c. Representing pure salt & pure freshwater inputs as balanced salt/freshwater & seawater inputs*

141 We now consider the case of pure freshwater input, where  $dS = 0$  and  $dF \neq 0$  (e.g., evaporation  
 142 and precipitation). Mathematically this case is revealed by setting  $dS = 0$  in equation (15). As  
 143 indicated by the schematic in Fig. 1c, a pure freshwater input can be represented as an input of  
 144 seawater mass  $dM_{\text{seawater}} = dF$ , plus a negative (out of the bucket) mass of salt,  $-S dF$ , that cancels

145 the salt mass  $S d\mathbb{F}$  added to the bucket via the seawater. The consequent change in bucket salinity,  
 146  $dS_{\text{pure FW}} = S_{\text{new}} - S$ , is given by

$$dS_{\text{pure FW}} = \frac{-S d\mathbb{F}}{M + d\mathbb{F}} = \frac{-S d\mathbb{F}}{M} [1 + \mathcal{O}(d\mathbb{F}/M)]. \quad (22)$$

147 Now consider the case of pure salt input with  $dS = dS_{\text{pure salt}} > 0$  and  $d\mathbb{F} = 0$ . Mathematically  
 148 this case is revealed by setting  $d\mathbb{F} = 0$  in equation (15). As indicated by the schematic in Fig. 1d,  
 149 we can represent this salt input as the sum of a seawater input of mass  $dM_{\text{seawater}} = dS$  plus a  
 150 balanced salt input with mass  $dS_{\text{bal}} = (1 - S) dS$ . The salinity change for this thought experiment  
 151 is given by

$$dS_{\text{pure salt}} = \frac{(1 - S) dS}{M + dS} = \frac{(1 - S) dS}{M} [1 + \mathcal{O}(dS/M)]. \quad (23)$$

152 Comparing to equation (14), we see that the salinity change due to a pure salt input is diluted  
 153 relative to the salinity change arising from a balanced salt input,  $dS = dS_{\text{bal}}$ . There are two terms  
 154 contributing to the dilution:

- 155 (i) The salt  $S dS = S dM_{\text{seawater}}$  contained in the added seawater  $dM_{\text{seawater}} = dS$  before construct-  
 156 ing the massless salinity input.
- 157 (ii) The dilution caused by the increase in the total mass in the bucket from  $M$  to  $M + dS$ , which  
 158 only contributes at  $\mathcal{O}(dS/M)^2$ .

159 *d. Representing salt & freshwater inputs as pure salt & seawater inputs*

160 Arbitrary inputs of salt and freshwater can alternatively be represented as inputs of seawater  
 161 (which changes mass but not salinity) and salt (which changes salinity and mass but not freshwater  
 162 content):

$$\begin{pmatrix} dS \\ d\mathbb{F} \end{pmatrix} = dM'_{\text{seawater}} \begin{pmatrix} S \\ 1 - S \end{pmatrix} + dS_{\text{pure salt}} \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad (24)$$

163 with now

$$dM'_{\text{seawater}} = dF/(1 - S), \quad (25)$$

$$dS_{\text{pure salt}} = dS - S dM'_{\text{seawater}}. \quad (26)$$

164 This representation (see Fig. 1e) decomposes a pure freshwater input into a seawater input  
165  $dM'_{\text{seawater}} = dF/(1 - S)$  (which is larger than the  $dM_{\text{seawater}}$  defined in Section 2c as it provides all  
166 the freshwater input) plus a negative (out of the water) salt input  $-S dF/(1 - S)$  balancing the salt  
167  $S dF/(1 - S)$  added via the seawater. The salinity change is the same as that given by the balanced  
168 decomposition (22), since the pure salt flux is less effective in driving salinity change by a factor  
169  $1 - S$  (equation (23)), and so the  $1/(1 - S)$  factor cancels out.

#### 170 *e. The Boussinesq bucket*

171 The discussion has thus far focused on mass conservation (both total and for FW and salt sep-  
172 arately), as applied to a non-Boussinesq fluid. When describing ocean dynamics, it is often more  
173 convenient to make the Boussinesq approximation (e.g., Griffies and Greatbatch (2012)). For a  
174 Boussinesq fluid, the ‘mass-density’ used to calculate mass fluxes, tracer content, and momentum  
175 is assumed to take a constant value  $\rho_0$ . Mass input is thus simply proportional to volume input,  
176 and so volume is conserved in the absence of mass input. The density (‘buoyancy-mass density’)  
177 calculated from the equation of state is only used by Boussinesq models to calculate buoyancy and  
178 therefore pressure. Changes in volume associated with expansion or contraction of constant-mass  
179 elements in a non-Boussinesq fluid become changes in ‘buoyancy-mass’ associated with changes  
180 in the ‘buoyancy-mass density’ of constant-volume elements in a Boussinesq fluid.

181 Suppose that the water in the Boussinesq bucket has volume  $\mathbb{V}_0$  with constant density  $\rho_0$ , and  
182 again initially contains mass  $\mathbb{M}$  made up of FW mass  $\mathbb{F}$  and salt mass  $\mathbb{S}$ :

$$\mathbb{M} = \rho_0 \mathbb{V}_0; \quad \mathbb{S} = \rho_0 S \mathbb{V}_0; \quad \mathbb{F} = \rho_0 (1 - S) \mathbb{V}_0. \quad (27)$$

183 Then we can reproduce our previous results if we choose volume changes proportional to the salt  
184 and FW mass inputs:

$$d\mathbb{V}_0 = \rho_0^{-1} d\mathbb{M} = \rho_0^{-1} (d\mathbb{F} + d\mathbb{S}), \quad (28)$$

185 together with a balanced salt flux given from equation (17) as:

$$d\mathbb{S}_{\text{bal}} = d\mathbb{S} - S \rho_0 d\mathbb{V}_0. \quad (29)$$

186 It is normal procedure in models to add volume according to (28) when freshwater is input, but  
187 not always when salt is input: it is counter-intuitive for salt to have volume, so it is sometimes  
188 assumed that addition of salt makes no difference to the volume. But of course the total mass is  
189 proportional to the volume in the Boussinesq approximation, so increasing the salinity but keeping  
190 the volume constant implies replacement of FW by salt; i.e. a massless balanced salt input rather  
191 than a pure salt input.

192 It is important to note that we use  $d\mathbb{V}_0$ , the mass input divided by the Boussinesq density  $\rho_0$ , not  
193 the *actual* volume added  $d\mathbb{V}$ , which depends on temperature and salinity, as well as  $d\mathbb{M}$ .

194 So far we have framed the discussion in this paper in terms of inputs of salt mass and freshwa-  
195 ter mass which are well defined extensive quantities (like heat, or enthalpy). In the Boussinesq  
196 approximation, however, because the reference density is uniform, it can be useful to consider the  
197 volume-integrated salinity (in the same way as it can be sometimes useful when both density and  
198 specific heat are uniform to consider volume-integrated temperature). We thus define the volume-  
199 integral of the salinity  $S^{\text{‰}}$  as normally defined in units of per mille, i.e.  $\text{g kg}^{-1}$ , related to the

200 fractional salinity  $S$  by  $S^{\text{‰}} = 1000S$  as:

$$\text{Sal} = 1000\rho_0^{-1} \mathbb{S}, \quad (30a)$$

201 the ‘salinity input’ as:

$$d\text{Sal} = 1000\rho_0^{-1} d\mathbb{S}, \quad (30b)$$

202 and the ‘balanced salinity input’ as:

$$d\text{Sal}_{\text{bal}} = d\text{Sal} - S^{\text{‰}} d\mathbb{V}_0. \quad (30c)$$

### 203 **3. Continuum considerations**

204 We here consider how salinity is forced by salt and freshwater fluxes within the ocean as revealed  
205 through the continuum mass budgets for seawater, salt, and freshwater. When formulating the  
206 continuum mass budgets, we consider a constant mass fluid element and examine the kinematic  
207 constraints imposed by mass conservation. The constant mass seawater element corresponds to  
208 the constant mass bucket ( $d\mathbb{M} = 0$ ) considered in the previous thought experiments. We follow  
209 standard treatments for multi-component fluids, such as that given in Section II.2 of DeGroot and  
210 Mazur (1984), page 228 of Landau and Lifshitz (1987), chapter 1, Section 9 of Salmon (1998),  
211 Beron-Vera et al. (1999), and Section 2.2 of Olbers et al. (2012).

#### 212 *a. Relating balances of salt, freshwater and total mass*

213 Consider the ocean as a two-component fluid continuum, with separate differential equations for  
214 the evolution of salt density  $\rho_S = \rho S$  and freshwater density  $\rho_F = \rho F$  where  $F = (1 - S)$  is the

215 freshwater fraction:

$$\frac{\partial \rho_S}{\partial t} + \nabla \cdot (\rho_S \mathbf{u}_S) = 0 \quad \text{salt} \quad (31)$$

$$\frac{\partial \rho_F}{\partial t} + \nabla \cdot (\rho_F \mathbf{u}_F) = 0 \quad \text{freshwater.} \quad (32)$$

216 These two components are moved around by velocities  $\mathbf{u}_S$  and  $\mathbf{u}_F$ , representing the mean veloc-  
217 ities of salt and freshwater molecules, and defined as the total fluxes of salt and FW, divided by  
218 their respective densities. Note that these velocities include both ‘diffusive’ and ‘advective’ contri-  
219 butions, so may be substantially divergent even for a Boussinesq fluid. See, for example, Section  
220 2.2 of Olbers et al. (2012).

221 The total mass flux is the sum of the salt and FW fluxes, and then the mass-weighted or ‘barycen-  
222 tric’ velocity  $\mathbf{u}$  is defined as the total mass flux divided by the total density, so is a density weighted  
223 mean of the salt and freshwater velocities

$$\rho \mathbf{u} = \rho_S \mathbf{u}_S + \rho_F \mathbf{u}_F, \quad (33)$$

224 OR

$$\mathbf{u} = S \mathbf{u}_S + F \mathbf{u}_F. \quad (34)$$

225 Summing (31) and (32) and using (33) gives the differential total mass balance as

$$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{u}) = 0 \quad \text{seawater.} \quad (35)$$

226 Split the salt and freshwater fluxes into components with salt and FW moving with the barycen-  
227 tric velocity (the advective flux) and the remainder (the molecular diffusive fluxes) associated with  
228 differing directions of flow of salt and FW:

$$\rho_S \mathbf{u}_S = \rho_S \mathbf{u} + \mathbf{J}_S^{\text{mol}}, \quad (36)$$

$$\rho_F \mathbf{u}_F = \rho_F \mathbf{u} + \mathbf{J}_F^{\text{mol}}. \quad (37)$$

229 Then the molecular diffusive fluxes of salt and FW,  $\mathbf{J}_S^{\text{mol}}$   $\mathbf{J}_F^{\text{mol}}$  represent exchanges of salt and FW  
 230 and sum to zero (so to give a zero total mass flux)

$$\mathbf{J}_S^{\text{mol}} + \mathbf{J}_F^{\text{mol}} = 0. \quad (38)$$

231 This identity can be seen by summing (36) and (37) and then applying the definition of the barycen-  
 232 tric velocity (33). The fluxes are generally parameterized as downgradient diffusive fluxes

$$\mathbf{J}_S^{\text{mol}} = -\rho \kappa \nabla S \quad \text{and} \quad \mathbf{J}_F^{\text{mol}} = -\rho \kappa \nabla F, \quad (39)$$

233 where  $\kappa > 0$  is the kinematic diffusivity for salt in seawater (Gill 1982). Hence, these fluxes  
 234 vanish in regions of zero concentration gradients. Note that the fundamental derivation of (38) is  
 235 consistent with the result from summing the explicit expressions for the diffusive fluxes:  $\mathbf{J}_S^{\text{mol}} +$   
 236  $\mathbf{J}_F^{\text{mol}} = -\rho \kappa \nabla(S + F) = 0$ , which follows trivially since  $S + F = 1$ . Or, reversing the argument,  
 237 since the gradients of salinity and freshwater are equal and opposite,  $\nabla S = -\nabla F$ , the cancellation  
 238 of the fluxes (38) confirms that the diffusivities for salt and freshwater are identical, as assumed  
 239 above in the standard form (39).

240 Substituting (36) and (37) into (31) and (32) gives the standard advective-diffusive conservation  
 241 equations for salt and freshwater:

$$\frac{\partial(\rho S)}{\partial t} + \nabla \cdot (\rho \mathbf{u} S) = -\nabla \cdot \mathbf{J}_S^{\text{mol}} \quad \text{salt} \quad (40)$$

$$\frac{\partial(\rho F)}{\partial t} + \nabla \cdot (\rho \mathbf{u} F) = -\nabla \cdot \mathbf{J}_F^{\text{mol}} \quad \text{freshwater,} \quad (41)$$

242 which can be written in terms of the material time derivative as

$$\rho \frac{DS}{Dt} = -\nabla \cdot \mathbf{J}_S^{\text{mol}} \quad \text{salt} \quad (42)$$

$$\rho \frac{DF}{Dt} = -\nabla \cdot \mathbf{J}_F^{\text{mol}} \quad \text{freshwater,} \quad (43)$$

243 where the material time operator is computed using the barycentric velocity

$$\frac{D}{Dt} = \frac{\partial}{\partial t} + \mathbf{u} \cdot \nabla. \quad (44)$$

244 Hence it is the diffusive flux  $\mathbf{J}_S^{\text{mol}}$  rather than the total salt flux  $\rho_S \mathbf{u}_S$  that changes the salinity of  
245 fluid elements; the advective component  $\rho_S \mathbf{u}$  is associated with the barycentric velocity and fluxes  
246 of seawater mass.

247 In summary, the diffusive fluxes represent the exchange of salt mass with freshwater mass, and  
248 by definition produce no net mass flux when summed, so do not appear in the seawater mass  
249 continuity equation (35). That is, a diffusive flux of salt is exactly compensated by an equal  
250 and opposite flux of freshwater so that there is identically zero diffusive flux of seawater mass.  
251 Moreover, it is the diffusive fluxes that modify the salinity and hence the density.

252 Note that, because  $\mathbf{u}$  is by definition the total barycentric (density-weighted) velocity, there is  
253 no ‘density diffusion’ in the non-Boussinesq continuity equation for total seawater density (35).  
254 Instead, specific volume changes, driven by changes in salinity driven by diffusive fluxes of salt  
255 and freshwater (or indeed changes in temperature driven by diffusion of heat), are associated with  
256 divergence in the barycentric velocity. In the Boussinesq approximation, however, the ‘buoyancy  
257 density’ evolves in response to changes in temperature and salinity but is decoupled from the  
258 (incompressible) flow.

### 259 *b. Kinematic balance of turbulent fluxes*

260 We here show that the flux balance (38) is maintained in the presence of turbulent fluctuations.  
261 For that purpose, we perform an eddy/mean decomposition making use of the density-weighted  
262 averages of McDougall et al. (2002)

$$\bar{\mathbf{m}} = \bar{\mathbf{u}}\bar{\rho} \quad \bar{S}^p = \bar{\rho S}/\bar{\rho} \quad \bar{F}^p = \bar{\rho F}/\bar{\rho} \quad (45)$$



263 along with the corresponding fluctuations

$$\mathbf{m}' = \mathbf{m} - \bar{\mathbf{m}} \quad S' = S - \bar{S}^\rho \quad F' = F - \bar{F}^\rho. \quad (46)$$

264 Taking the mean of equations (35)–(41) and applying this decomposition then leads to the mean

265 mass balances

$$\frac{\partial \bar{\rho}}{\partial t} + \nabla \cdot (\bar{\mathbf{u}}^\rho \bar{\rho}) = 0 \quad (47)$$

$$\frac{\partial (\bar{\rho} \bar{S}^\rho)}{\partial t} + \nabla \cdot (\bar{\mathbf{u}}^\rho \bar{\rho} \bar{S}^\rho) = -\nabla \cdot (\overline{S' \mathbf{m}'} ) - \nabla \cdot \mathbf{J}_S^{\text{mol}} \quad (48)$$

$$\frac{\partial (\bar{\rho} \bar{F}^\rho)}{\partial t} + \nabla \cdot (\bar{\mathbf{u}}^\rho \bar{\rho} \bar{F}^\rho) = -\nabla \cdot (\overline{F' \mathbf{m}'} ) - \nabla \cdot \mathbf{J}_F^{\text{mol}}. \quad (49)$$

266 We have introduced the density weighted velocity  $\bar{\mathbf{u}}^\rho = \bar{\mathbf{m}}/\bar{\rho}$ , a generalization to turbulent flow

267 of the barycentric velocity  $\mathbf{u}$  for molecular motions used in equations (35)–(41). McDougall et al.

268 (2002) argue that  $\bar{\mathbf{u}}^\rho$  is the natural definition of the mean velocity for a non-Boussinesq fluid. The

269 relation  $S + F = 1$  holds also for the mean,

$$\bar{S}^\rho + \bar{F}^\rho = \overline{(S + F) \rho} / \bar{\rho} = 1, \quad (50)$$

270 so that the fluctuations satisfy  $S' + F' = 0$ . Hence, the turbulent fluxes of salt and freshwater are

271 correspondingly balanced

$$\mathbf{J}_S^{\text{turb}} + \mathbf{J}_F^{\text{turb}} = \overline{\mathbf{m}' S'} + \overline{\mathbf{m}' F'} = \overline{\mathbf{m}' (S' + F')} = 0. \quad (51)$$

272 This relation (together with (38)) then ensures that the sum of the mean salt budget and mean

273 freshwater budget equals the mean mass budget; i.e., (48) + (49) = (47).

274 Analogously to (44), we can define a material derivative in terms of the density weighted mean

275 velocity  $\bar{\mathbf{u}}^\rho$ :

$$\frac{\bar{D}}{Dt} = \frac{\partial}{\partial t} + \bar{\mathbf{u}}^\rho \cdot \nabla, \quad (52)$$

276 and set out (48) and (49) in terms of this mean advection:

$$\bar{\rho} \frac{DS^\rho}{Dt} = -\nabla \cdot \mathbf{J}_S \quad \text{salt} \quad (53)$$

$$\bar{\rho} \frac{DF^\rho}{Dt} = -\nabla \cdot \mathbf{J}_F \quad \text{freshwater,} \quad (54)$$

277 where the total diffusive fluxes:

$$\mathbf{J}_S = \mathbf{J}_S^{\text{mol}} + \mathbf{J}_S^{\text{turb}}, \quad (55)$$

$$\mathbf{J}_T = \mathbf{J}_F^{\text{mol}} + \mathbf{J}_F^{\text{turb}}, \quad (56)$$

278 sum to zero by (38) and (51).

279 Molecular processes are important in carrying the diffusive flux within the surface skin layer,  
280 but below this the turbulent fluxes dominate. In the rest of the paper (apart from the Boussinesq  
281 subsection immediately below) we shall drop the explicit averaging operator and simply consider  
282 the total diffusive fluxes of salt and freshwater, with the understanding that in different parts of the  
283 water column they are expressed in different ways

284 The form of the equations for the material derivative of salinity, (42) and (53), together with the  
285 flux balance in equations (38) and (51) suggests that a salt flux balanced by an opposing freshwater  
286 flux is the correct flux to force the salinity equation. A pure, unbalanced salt flux carries mass and  
287 so would modify the fluid velocity  $\mathbf{u}$  (or  $\bar{\mathbf{u}}^\rho$ ) that is by definition barycentric. In Section 4 we see  
288 how this result impacts on the boundary condition for the salinity equation.

### 289 *c. Boussinesq fluid*

290 In this case the analysis of sections 3a and 3b goes through as before, except that the total mass  
291 density  $\rho_0$  is now constant, so  $\rho_S = \rho_0 S$ , and  $\rho_F = \rho_0 F = \rho_0(1 - S)$ . Fluxes of salt and FW mass

292 now take the form:

$$\rho_S \mathbf{u}_S = \rho_0 S \mathbf{u}_S = \rho_0 S \mathbf{u} + \mathbf{J}_S^{\text{mol}} \quad (57)$$

$$\rho_F \mathbf{u}_S = \rho_0 F \mathbf{u}_S = \rho_0 F \mathbf{u} + \mathbf{J}_F^{\text{mol}}, \quad (58)$$

293 where the molecular diffusive fluxes are  $\mathbf{J}_S^{\text{mol}} = -\rho_0 \kappa \nabla S$  and  $\mathbf{J}_F^{\text{mol}} = -\rho_0 \kappa \nabla F$ . As for the non-  
294 Boussinesq case, we have the flux balance for molecular fluxes

$$\mathbf{J}_S^{\text{mol}} + \mathbf{J}_F^{\text{mol}} = 0 \quad (59)$$

295 as well as for turbulent fluxes

$$\mathbf{J}_S^{\text{turb}} + \mathbf{J}_F^{\text{turb}} = \rho_0 \overline{\mathbf{u}' S'} + \overline{\mathbf{u}' F'} = \rho_0 \overline{\mathbf{u}' (S' + F')} = 0, \quad (60)$$

296 and so also for the total diffusive flux:

$$\mathbf{J}_S + \mathbf{J}_F = 0. \quad (61)$$

297 The mass budgets (47)–(49) reduce to their Boussinesq form

$$\rho_0 \nabla \cdot \bar{\mathbf{u}} = 0 \quad (62)$$

$$\rho_0 \frac{\overline{D S}}{D t} = \rho_0 \frac{\partial \bar{S}}{\partial t} + \nabla \cdot (\rho_0 \bar{\mathbf{u}} \bar{S}) = -\nabla \cdot \mathbf{J}_S \quad (63)$$

$$\rho_0 \frac{\overline{D F}}{D t} = \rho_0 \frac{\partial \bar{F}}{\partial t} + \nabla \cdot (\rho_0 \bar{\mathbf{u}} \bar{F}) = -\nabla \cdot \mathbf{J}_F, \quad (64)$$

298 where averages no longer need be density-weighted. Here we have retained the  $\rho_0$  factor for  
299 consistency with Sections 3a and 3b and to emphasize that these are still fluxes of salt and FW  
300 *mass*.

301 However, if we wish to instead simply consider salinity (now assumed in its conventional units  
302 of  $\text{g kg}^{-1}$ ), we then have:

$$\frac{\partial \bar{S}^{\text{‰}}}{\partial t} + \nabla \cdot (\bar{\mathbf{u}} \bar{S}^{\text{‰}}) = -\nabla \cdot \mathbf{J}_{\text{salinity}} \quad (65)$$

303 where the total diffusive Boussinesq salinity flux is related to the balanced total diffusive salt flux  
 304 by:

$$\mathbf{J}_{\text{salinity}} = 1000\rho_0^{-1}\mathbf{J}_S. \quad (66)$$

#### 305 4. Decomposing surface freshwater fluxes into seawater and balanced salt/freshwater fluxes

##### 306 a. Formulating the kinematic surface boundary conditions

307 The vertical position of a point on the ocean free surface is  $z = \eta(x, y, t)$ . Rewriting this boundary  
 308 as  $\sigma(x, y, z, t) \equiv z - \eta = 0$  allows us to write the outward normal at the free surface as

$$\hat{\mathbf{n}} = \nabla\sigma/|\nabla\sigma| = (\hat{\mathbf{z}} - \nabla\eta)/|\nabla\sigma| \equiv \mathbf{N}/|\nabla\sigma|, \quad (67)$$

309 where  $\mathbf{N} = \nabla\sigma$  is a shorthand. The upwards total mass flux across the free surface per unit area of  
 310 the sloping free surface is then:

$$\rho(\mathbf{u} - \mathbf{u}_\eta) \cdot \hat{\mathbf{n}}, \quad (68)$$

311 where  $\mathbf{u}$  is the barycentric velocity and  $\mathbf{u}_\eta$  is the velocity of a point attached to the free surface  
 312 with constant  $\sigma = 0$  so that

$$\frac{\partial\sigma}{\partial t} + \mathbf{u}_\eta \cdot \nabla\sigma = 0. \quad (69)$$

313 We can link this mass flux (68) to the precipitation, evaporation etc. which are typically given as  
 314 mass fluxes per unit *horizontal* area. Since each unit of free surface area intercepts a horizontal  
 315 area  $|\nabla\sigma|^{-1}$  (i.e.  $\cos(\theta)$  where  $\theta$  is the angle of the sloping free surface to the horizontal) the flux  
 316 (68) needs to be multiplied by  $|\nabla\sigma|$  (i.e.  $\hat{\mathbf{n}}$  replaced by  $\mathbf{N}$ ) to give the flux per unit horizontal area.

317 The kinematic boundary condition for the upwards flux of total mass per unit horizontal area is  
 318 then (see Section 2.2.2 of Olbers et al. (2012))

$$\rho(\mathbf{u} - \mathbf{u}_\eta) \cdot \mathbf{N} = E - P - M_F - M_S, \quad (70)$$

319 where  $M_F$  and  $M_S$  are the FW and salt mass fluxes into the ocean associated with ice melting and  
 320 freezing and, for completeness, aeolian deposition of salts, although this is relatively unimportant.  
 321 Strictly speaking, river runoff is a lateral rather than a surface flux, but it can be apportioned in a  
 322 similar manner into advective-seawater and diffusive parts, and is indeed often specified in ocean  
 323 models as a surface flux per unit horizontal area.

324 Rather than using the barycentric velocity,  $\mathbf{u} = S\mathbf{u}_S + F\mathbf{u}_F$ , we can follow Beron-Vera et al.  
 325 (1999) and Huang (2010) and decompose the kinematic boundary condition (70) into its salt and  
 326 freshwater components

$$\rho S(\mathbf{u}_S - \mathbf{u}_\eta) \cdot \mathbf{N} = -M_S \quad (71a)$$

$$\rho F(\mathbf{u}_F - \mathbf{u}_\eta) \cdot \mathbf{N} = E - P - M_F. \quad (71b)$$

327 In regions where there is no boundary salt flux,  $M_S = 0$ , then the free surface acts as a material  
 328 surface for salt (Beron-Vera et al. 1999), in which case

$$\rho S(\mathbf{u}_S - \mathbf{u}_\eta) \cdot \mathbf{N} = 0. \quad (72)$$

329 More generally, the kinematic salt flux boundary condition (71a) can be re-arranged into a kine-  
 330 matic boundary condition for the diffusive fluxes:

$$-M_S = \rho S(\mathbf{u}_S - \mathbf{u} + \mathbf{u} - \mathbf{u}_\eta) \cdot \mathbf{N} \quad (73a)$$

$$= \mathbf{J}_S \cdot \mathbf{N} + \rho S(\mathbf{u} - \mathbf{u}_\eta) \cdot \mathbf{N} \quad (73b)$$

$$= \mathbf{J}_S \cdot \mathbf{N} + S[E - P - M_F - M_S]. \quad (73c)$$

331 For the second equality (73b) we split (as in equation (36)) the total salt mass flux into a diffusive  
 332 flux and an advective component carried by a mass flux with salinity  $S$ ; this mass flux is the ‘sea-  
 333 water flux’ of the bucket decomposition (15). The surface kinematic boundary condition (70) sets

334 this (upwards) ‘sea-water mass flux’ as

$$M_{\text{seawater}} = E - P - M_F - M_S, \quad (74)$$

335 yielding the third expression (73c). Collecting the  $M_S$  terms on both sides of equation (73c) reveals  
336 that the diffusive salt flux has a component up across the free surface given by

$$\mathcal{S}_{\text{out}} = \mathbf{J}_S \cdot \mathbf{N} = S(P - E + M_F) - (1 - S)M_S. \quad (75)$$

337 We can similarly re-arrange the FW flux boundary condition (71b) to give

$$E - P - M_F = \mathbf{J}_F \cdot \mathbf{N} + \rho F (\mathbf{u} - \mathbf{u}_\eta) \cdot \mathbf{N} \quad (76a)$$

$$= \mathbf{J}_F \cdot \mathbf{N} + F [E - P - M_F - M_S], \quad (76b)$$

338 thus rendering an expression for the diffusive FW flux

$$\mathcal{F}_{\text{out}} = \mathbf{J}_F \cdot \mathbf{N} = (1 - F)(E - P - M_F) + F M_S = -\mathcal{S}_{\text{out}} \quad (77)$$

339 that exactly balances the diffusive salt flux (75). Given this balance between salt and FW fluxes,  
340 and according to our convention in Section 1a, we refer to the RHS of (75) and (77) as a balanced  
341 diffusive salt flux  $S(P - E + M_F) - (1 - S)M_S$ , which is calculated from salt and FW fluxes exactly  
342 as for the bucket in Eq. (18). It is this balanced diffusive salt flux that should be used as the  
343 surface boundary condition for the salt and freshwater conservation equations (40) and (41) (or  
344 the turbulence-averaged versions (48) and (49)) and hence for calculating derived properties such  
345 as buoyancy.

### 346 *b. Interpreting the kinematic boundary conditions*

347 We interpret the boundary condition (75) by noting that the diffusive mixing of salt within the  
348 ocean is required to mediate the incorporation or removal of a boundary freshwater flux into the

349 ocean. Since it is the mass of a fluid element that is constant, any transfer of freshwater into that  
 350 element must be compensated by a removal of salt (77), and vice versa. Through the act of salt  
 351 diffusion in one direction, freshwater diffuses in the opposite. That is the physical content of the  
 352 boundary conditions (75) and (77).

353 For example, suppose pure freshwater is removed from the ocean at a rate,  $E - P > 0$ ;  $M_S = 0$ .  
 354 Part of this freshwater flux leaves the ocean (moves upwards) as the freshwater component of an  
 355 advective sink of seawater, with (76b) mass flux  $\mathcal{M}_{\text{seawater}} = E - P$ , salinity  $S$ , and FW concentra-  
 356 tion  $F$  (see Fig. 2a). Since  $F < 1$ , the advective flux is always less than the total freshwater sink,  
 357 and so the balance diffuses upwards as a diffusive FW flux

$$\mathcal{F}_{\text{out}} = \mathbf{J}_F \cdot \mathbf{N} = S(E - P) > 0. \quad (78)$$

This upwards FW diffusive flux is balanced by an equal and opposite diffusive downwards flux of  
 358 salt just below the surface

$$\mathcal{S}_{\text{in}} = -\mathbf{J}_S \cdot \mathbf{N} = \mathbf{J}_F \cdot \mathbf{N} = \mathcal{F}_{\text{out}} = S(E - P) > 0. \quad (79)$$

359 This breakdown into seawater and balanced salinity fluxes is also evident in the slab model of  
 360 section 2; see Fig. 1c and section 2c.

361 Correspondingly, for a thought experiment without diffusive mixing (e.g., a perfect fluid),  
 362 boundary freshwater is not incorporated into or removed from the ambient ocean fluid. For such  
 363 a perfect fluid, there is a fundamental asymmetry between precipitation and evaporation. In the  
 364 case of precipitation the surface salinity remains equal to zero, and so  $F = 1$  in (76b), and no  
 365 diffusive flux is required to maintain the balance (76b). Instead, the pure freshwater forms a thick-  
 366 ening, unmixed lens sitting on top of the seawater. Where there is net evaporation in the perfect  
 367 fluid, however, the decomposition into pure salt is appropriate, as there is no diffusion and the  
 368 freshwater that is evaporated can only come from an advective flux  $\mathcal{M}'_{\text{seawater}} = (P - E)/(1 - S)$ .

369 Pure salt would simply build up on the surface at the rate given by (2) and in section 4c below:

$$370 \mathcal{S}'_{\text{in}} = S(P - E)/(1 - S).$$

371 This discussion of net precipitation into a perfect fluid emphasizes the sensitivity of the split  
372 into ‘seawater’ (advective) and ‘diffusive’ fluxes to the choice of reference salinity  $S$ . In the slab  
373 (bucket) case discussed in section 2, where we assume the fluid will always remain well-mixed,  
374 the reference salinity is clearly the pre-existing salinity of the slab or bucket, but the choice of  
375 reference salinity is less clear in the continuum case described here. In practice the mixed-layer  
376 salinity is generally chosen on the assumption that fluid in the mixed-layer is reasonably well-  
377 mixed.

### 378 *c. The surface layer salt flux*

379 We now summarize the argument of Steinhorn (1991) leading to the vertical boundary flux  
380 in equation (2). Imagine again an upward net freshwater mass flux  $E - P > 0$ . Steinhorn (1991)  
381 conjectures (see Fig. 2b) that this freshwater flux is supplied by an upward vertical flux of seawater,  
382  $\mathcal{M}'_{\text{seawater}}$ , within the ocean surface layer so that

$$F \mathcal{M}'_{\text{seawater}} = E - P. \quad (80)$$

383 With this formulation, the seawater mass flux just below the ocean surface layer is larger in mag-  
384 nitude than the freshwater flux out of the ocean

$$\mathcal{M}'_{\text{seawater}} = (E - P)F^{-1} > E - P. \quad (81)$$

385 Along with freshwater, this seawater mass flux carries a salt flux  $(P - E)S/(1 - S)$  upwards to-  
386 wards the surface. However, since salt does not cross the air-sea interface, Steinhorn (1991) infers  
387 a downward compensating salt flux with magnitude  $(E - P)S/(1 - S)$ , thus leading to the expres-



388 sion (2),

$$\mathcal{S}'_{\text{in}} = (E - P)S/(1 - S)$$

389 for the surface boundary condition.

390 The error in Steinhorn's argument is that it ignores the kinematic balance (38) between diffusive  
391 salt and freshwater fluxes. Maintaining this balance requires a downward diffusive flux of fresh-  
392 water in the surface layer when there is an upward diffusive flux of salt, as discussed in the text  
393 surrounding equation (75).

#### 394 *d. Boussinesq fluxes*

395 For a Boussinesq ocean, the diffusive salt-mass and freshwater-mass fluxes are still given by  
396 (75) and (77), and the seawater mass flux given by (74). However the natural requirements of the  
397 Boussinesq model are the seawater volume outflux per unit area (upwards velocity through the  
398 sea-surface):

$$w_{0 \text{ seawater}} = \rho_0^{-1}(E - P - M_S - M_F), \quad (82)$$

399 and the diffusive upwards flux of salinity expressed as per mille ( $\text{g kg}^{-1}$ ):

$$\begin{aligned} \mathcal{S}'_{\text{out}} &= \mathbf{J}_{\text{salinity}} \cdot \mathbf{N} = 1000\rho_0^{-1} \mathbf{J}_S \cdot \mathbf{N} \\ &= 1000\rho_0^{-1} [S(P - E + M_F) - (1 - S)M_S.] \end{aligned} \quad (83)$$

400 Where precipitation  $P$  and evaporation  $E$  are given as velocities rather than mass fluxes, we  
401 suggest both for Boussinesq and non-Boussinesq applications that they always be converted to  
402 mass fluxes by multiplying by the density of pure water at the sea surface temperature (SST) and  
403 atmospheric pressure. Similarly, volume fluxes of ice-melt should be converted to mass fluxes  
404 using the density at the appropriate salinity and temperature and then split into salt and FW mass

405 fluxes according to the salinity of the ice-melt. In Boussinesq applications volume fluxes and per  
406 mille salinity fluxes should always be calculated from mass fluxes by dividing by  $\rho_0$ .

407 The suggestion made e.g. in Olbers et al. (2012) that

$$\rho_w(T, p_a) = (1 - S)\rho(T, S, p_a), \quad (84)$$

408 (where  $T$  is SST and  $p_a$  atmospheric pressure) is incorrect, because the haline contraction coeffi-  
409 cient  $\rho^{-1}\partial\rho/\partial S \approx 0.8 < 1$  (where  $S$  is expressed as a fractional salinity).

## 410 5. Closing comments

411 Salinity is the ratio of salt mass to seawater mass in an element of seawater. In the presence of  
412 air-sea freshwater fluxes, ocean salinity changes in the surface boundary layer are affected by the  
413 vertical *balanced* diffusive salt flux boundary condition according to equation (1), which in turn  
414 leads to changes in ocean buoyancy. The alternative expression in equation (2) is a surface layer  
415 ‘unbalanced’ salt flux that is *not* balanced by an opposing freshwater flux and is not appropriate for  
416 computing surface ocean buoyancy forcing. For purposes of forcing a Boussinesq ocean model,  
417 a diffusive salinity flux can be constructed from the balanced salt flux (1) according to (66) and  
418 (83).

419 We encountered the ambiguity in the literature between expressions (1) and (2) while pursuing  
420 watermass analysis (e.g., Large and Nurser 2001; Groeskamp et al. 2019). The differences between  
421 expressions (1) and (2) are small relative to uncertainties in measured freshwater fluxes. So most  
422 practitioners of watermass analysis ignore the distinction. Even so, we emphasized in this note the  
423 conceptual distinction for the two boundary fluxes. In brief, expression (1) respects the kinematic  
424 constraints on how matter (salt and freshwater) is exchanged between seawater elements whereas  
425 expression (2) does not.

426 The distinction between the ‘balanced’ and ‘unbalanced’ salt flux is only noticeable because  
427 salt makes up a significant ( $\approx 3.5\%$ ) fraction of seawater mass, so the factor  $(1 - S)^{-1} \approx 1.036$ .  
428 Fluxes of heat already carry no mass and so require no decomposition into seawater mass fluxes  
429 and massless diffusive fluxes. For material tracers that have much lower mass fractions  $\lambda$  than  
430 salt, i.e.  $\lambda \ll 1$  (e.g. CFCs), the difference between the ‘balanced’ and unbalanced diffusive  
431 fluxes becomes insignificant as the factor  $(1 - \lambda)^{-1} \rightarrow 1$ .

432 We finally note that in considering regional and global budgets of freshwater and salt, similar  
433 ideas appear in the split of lateral fluxes of salt and FW into components associated with (i) the  
434 salt and freshwater carried in the transports of water with section-mean salinity (the advective flux  
435 carried by the section-mean barycentric velocity, analogous to the advective flux carried by the  
436 local-mean salinity and barycentric velocity in equations (48) and (49)) and (ii) the ‘eddy’ fluxes  
437 associated with correlations of deviations from section mean salinity and velocity analogous to the  
438 turbulent diffusive fluxes in equations (48) and (49). See Wijffels et al. (1992) and Bacon et al.  
439 (2015) for examples.

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502 **LIST OF TABLES**

503 **Table 1.** List of variables used in section 2 . . . . . 31

504 **Table 2.** List of continuum variables used in Section 3 . . . . . 32

505 **Table 3.** List of near-surface flux variables . . . . . 33

TABLE 1. List of variables used in section 2

Variable	Symbol	Units
Absolute (fractional) salinity	$S$	$\text{kg kg}^{-1}$
Absolute fractional salinity of ice melt	$S_{\text{melt}}$	$\text{kg kg}^{-1}$
Absolute fractional salinity of freezing ice	$S_{\text{ice}}$	$\text{kg kg}^{-1}$
Total mass	$M$	kg
Salt mass	$S$	kg
Freshwater mass	$F$	kg
Boussinesq mass density	$\rho_0$	$\text{kg m}^{-3}$
Volume of Boussinesq fluid	$V_0$	$\text{m}^3$
Increment in Boussinesq volume	$dV_0$	$\text{m}^3$
Absolute salinity (per mille)	$S^{\text{‰}}$	$\text{g kg}^{-1}$
Volume integrated salinity	$\text{Sal}$	$\text{g kg}^{-1} \text{m}^3$
Increment of volume integrated salinity	$d\text{Sal}$	$\text{g kg}^{-1} \text{m}^3$
Increment of volume integrated salinity at constant volume	$d\text{Sal}_{\text{bal}}$	$\text{g kg}^{-1} \text{m}^3$
Increment of total mass	$dM$	kg
Increment of salt mass	$dS$	kg
Increment of freshwater mass	$dF$	kg
Increment of mass of water with same salinity as in bucket	$dM_{\text{seawater}}$	kg
Increment of salt balanced by loss of same mass of freshwater	$dS_{\text{bal}}$	kg
Pure increment of salt with no associated freshwater input	$dS_{\text{pure salt}}$	kg



TABLE 2. List of continuum variables used in Section 3

Variable	Symbol	Units
Total mass, salt and FW density	$\rho, \rho_S, \rho_F$	$\text{kg m}^{-3}$
Boussinesq reference density	$\rho_0$	$\text{kg m}^{-3}$
Barycentric velocity	$\mathbf{u}$	$\text{m s}^{-1}$
Salt and FW velocity	$\mathbf{u}_S, \mathbf{u}_F$	$\text{m s}^{-1}$
Molecular diffusive flux of salt	$\mathbf{J}_S^{\text{mol}}$	$\text{kg m}^{-2} \text{s}^{-1}$
Molecular diffusive flux of FW	$\mathbf{J}_F^{\text{mol}}$	$\text{kg m}^{-2} \text{s}^{-1}$
Turbulent diffusive flux of salt	$\mathbf{J}_S^{\text{turb}}$	$\text{kg m}^{-2} \text{s}^{-1}$
Turbulent diffusive flux of FW	$\mathbf{J}_F^{\text{turb}}$	$\text{kg m}^{-2} \text{s}^{-1}$
Total diffusive flux of salt	$\mathbf{J}_S$	$\text{kg m}^{-2} \text{s}^{-1}$
Total diffusive flux of FW	$\mathbf{J}_F$	$\text{kg m}^{-2} \text{s}^{-1}$
Total diffusive flux of salinity	$\mathbf{J}_{\text{salinity}}$	$\text{kg m}^{-2} \text{s}^{-1}$
Molecular diffusivity of salt	$\kappa$	$\text{m}^2 \text{s}^{-1}$
Total mass flux per unit area	$\mathbf{m}$	$\text{kg m}^{-2} \text{s}^{-1}$
Mean density	$\bar{\rho}$	$\text{kg m}^{-3}$
Density-weighted mean velocity	$\bar{\mathbf{u}}^\rho$	$\text{m s}^{-1}$
Density-weighted mean salinity	$\bar{S}^\rho$	$\text{kg kg}^{-1}$
Density-weighted mean FW	$\bar{F}^\rho$	$\text{kg kg}^{-1}$

TABLE 3. List of near-surface flux variables

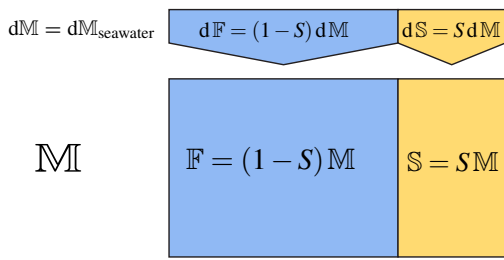
Variable	Symbol	Units
Evaporation	$E$	$\text{kg m}^{-2} \text{s}^{-1}$
Precipitation	$P$	$\text{kg m}^{-2} \text{s}^{-1}$
Diffusive downwards		
balanced salt flux	$\mathcal{S}_{\text{in}}$	$\text{kg m}^{-2} \text{s}^{-1}$
Downwards pure salt flux	$\mathcal{S}'_{\text{in}}$	$\text{kg m}^{-2} \text{s}^{-1}$
Sea surface height (SSH)	$\eta$	m
Distance above SSH	$\sigma$	m
Upward unit normal		
through sea surface	$\hat{\mathbf{n}}$	None
$\hat{\mathbf{n}} \times$ real (sloping) surface		
area $\div$ horizontal		
surface area	$\mathbf{N}$	None
Velocity following		
sea surface	$\mathbf{u}_{\eta}$	$\text{m s}^{-1}$
Salt flux into ocean from ice		
melt and/or runoff	$M_S$	$\text{kg m}^{-2} \text{s}^{-1}$
FW flux into ocean from ice		
melt and/or runoff	$M_F$	$\text{kg m}^{-2} \text{s}^{-1}$
Diffusive upwards FW flux	$\mathcal{F}_{\text{out}}$	$\text{kg m}^{-2} \text{s}^{-1}$
Upwards near-surface sea-		
-water flux associated		
with diffusive salt flux	$\mathcal{M}_{\text{seawater}}$	$\text{kg m}^{-2} \text{s}^{-1}$
Upwards near-surface sea-		
-water flux associated	34	
with pure salt flux	$\mathcal{M}'_{\text{seawater}}$	$\text{kg m}^2 \text{s}^{-1}$
Density of pure water	$\rho_w$	$\text{kg m}^{-3}$
Boussinesq seawater		
loss per unit area	$w_0_{\text{seawater}}$	$\text{m s}^{-1}$

506 **LIST OF FIGURES**

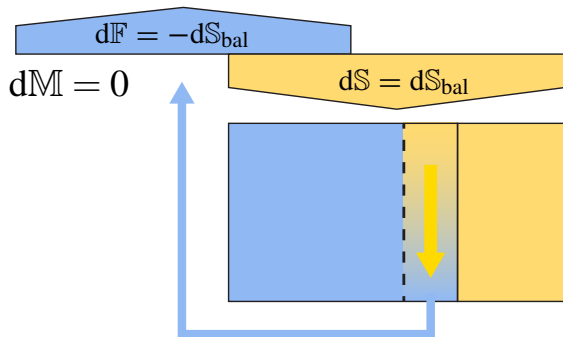
507 **Fig. 1.** Bucket science. (a) The addition of a mass of seawater  $dM$  with the same salinity as the  
 508 pre-existing bucket salinity  $S = \mathbb{S}/M$ . (b) A massless salinity input with input of salt  $dS$   
 509 balanced by freshwater loss  $dF = -dS$ . (c) Decomposition of a pure freshwater input into  
 510 seawater and salinity inputs. (d) Decomposition of pure salt input into seawater and salinity  
 511 inputs. (e) Decomposition of pure freshwater input into seawater and pure salt inputs. . . . . 35

512 **Fig. 2.** Schematic of the two conceptual perspectives on the fluxes of salt and freshwater in the  
 513 ocean surface layer (denoted by the gray shaded region). Panel a: The decomposition of  
 514  $E - P$  as a seawater flux  $\mathcal{M}_{\text{seawater}}$  and a salt flux  $\mathcal{S}_{\text{in}}$  balanced by an equal and opposite  
 515 freshwater flux  $\mathcal{F}_{\text{bal}}$ . Widths of the arrows represent the strength of the associated mass  
 516 fluxes. Panel b: The decomposition of outward freshwater flux  $E - P > 0$  as a seawater flux  
 517  $\mathcal{M}'_{\text{seawater}}$  and a pure, unbalanced, salt flux  $\mathcal{S}'_{\text{in}}$ . . . . . 36

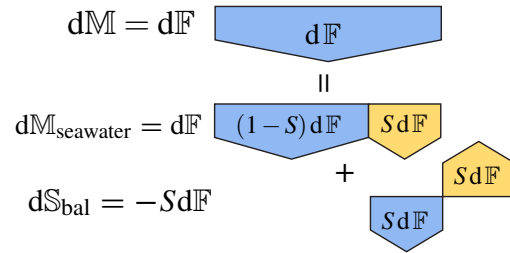
a Seawater input



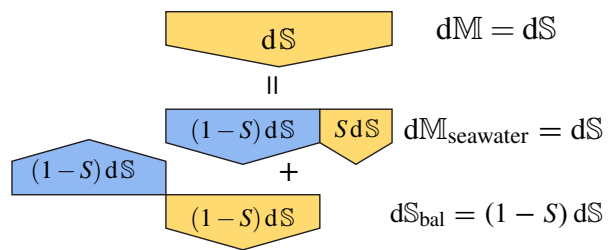
b Massless balanced salt and freshwater inputs



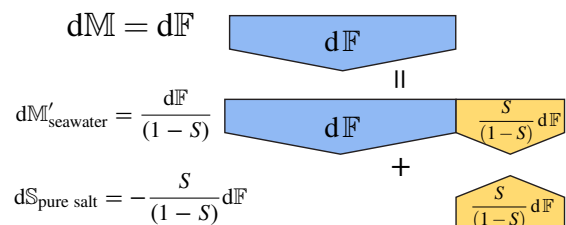
c Pure freshwater input in terms of sea water and balanced salt/FW



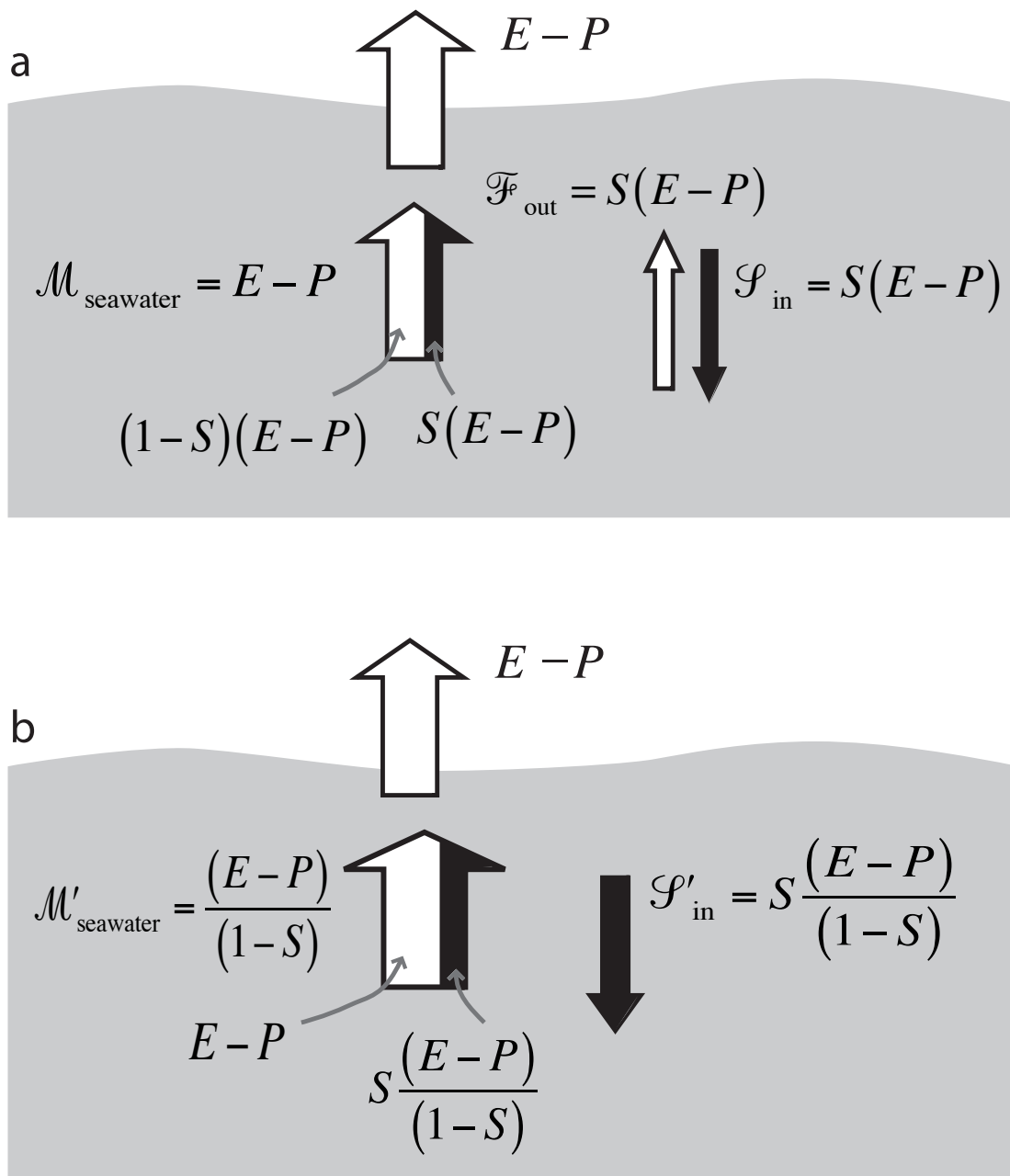
d Pure salt input in terms of seawater and balanced salt/FW



e Pure freshwater input in terms of seawater and pure salt.



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523 FIG. 2. Schematic of the two conceptual perspectives on the fluxes of salt and freshwater in the ocean surface  
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