

# MODELING LARVAL CONNECTIVITY OF CORAL REEF ORGANISMS IN THE KENYA-TANZANIA REGION

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#### 10 Abstract

- 11 Most coral reef organisms have a bipartite life-cycle; they are site attached to reefs as adults but have
- 12 pelagic larval stages that allow them to disperse to other reefs. Connectivity among coral reef patches
- 13 is critical to the survival of local populations of reef organisms, and requires movement across gaps
- 14 that are not suitable habitat for recruitment. Knowledge of population connectivity among individual
- 15 reef habitats within a broader geographic region of coral reefs has been identified as key to
- 16 developing efficient spatial management strategies to protect marine ecosystems. The study of larval
- 17 connectivity of marine organisms is a complex multidisciplinary challenge that is difficult to address
- 18 by direct observation alone. An approach that couples ocean circulation models with individual based
- 19 models (IBMs) of larvae with different degrees of life-history complexity has been previously used to
- 20 assess connectivity patterns in several coral reef regions (e.g., the Great Barrier Reef (GBR) and the
- 21 Caribbean). We applied the IBM particle tracking approach to the Kenya-Tanzania region, which
- 22 exhibits strong seasonality in the alongshore currents due to the influence of the monsoon. A 3-
- 23 dimensional (3D) ocean circulation model with 2 km horizontal resolution was coupled to IBMs that
- track virtual larvae released from each of 661 reef habitats, associated with 15 distinct regions. Given
- 25 that reefs provide homes to numerous species, each with distinctive, and in aggregate very diverse
- 26 life-histories, several life-history scenarios were modeled to examine the variety of dispersal and
- 27 connectivity patterns possible. We characterize virtual larvae of *Acropora* corals and *Acanthurus*
- surgeonfish, two coral reef inhabitants with greatly differing pelagic life-histories, to examine the

29 effects of short (<12 days) and long (>50 days) pelagic larval durations (PLD), differences in 30 swimming abilities (implemented as reef perception distances), and active depth keeping in reef 31 connectivity. Acropora virtual larvae were modeled as 3D passive particles with a precompetency 32 period of 4 days, a total PLD of 12 days and a perception distance of 10 m. Acanthurus virtual larvae 33 were characterized by 50 days precompetency period, a total PLD of 72 days and a perception 34 distance of 4 km. Acanthurus virtual larvae were modeled in two ways-as 3D passive particles and 35 including an idealized ontogenetic vertical migration behavior. A range of distances within which 36 larvae were able to perceive reefs and directionally swim to settle on them during the competency 37 period were evaluated. The influence of interannual environmental variations was assessed for two 38 years (2000, 2005) of contrasting physics. The spatial scale of connectivity is much smaller for the 39 short PLD coral, with successful connections restricted to a 1° radius (~100 km) around source reefs. 40 In contrast, long distance connections from the southern to the northernmost reefs (~950 km) are common for virtual Acanthurids. Successful settlement for virtual Acropora larvae was <0.3%, and 41 42 within region settlement (local retention) was 0.38%, substantially greater than inter-region 43 settlement (ca. 0.2%). Settlement of Acanthurus virtual larvae was >20% overall, with cross-region 44 recruitment much increased compared to the coral larvae. Approximately 8% of Acropora larvae that 45 successfully settled, recruited to their source reef (self-recruitment), an important proportion 46 compared to only 1-2 % self-recruitment for Acanthurus. These rates and dispersal distances are 47 similar to previous modelling studies of similar species in other coral reef regions and agree well 48 with the few observational studies within the Kenya-Tanzania region. 49

#### 50 1 Introduction

51 Tropical coral reef ecosystems are very important from both the ecological and economical points of 52 view (Spalding et al., 2001). However, they are also particularly fragile, and have been declining in 53 recent years in most regions of the world (Melbourne-Thomas et al., 2011; Hughes et al., 2003; 54 Pandolfi et al., 2003), since they are highly susceptible to anthropogenic stressors operating at global 55 scales (e.g., global warming and ocean acidification) and local scales (e.g., pollution/eutrophication, 56 fishing, over-commercialization for recreation). Coral reef ecosystems are complex communities 57 with very high species diversity. Most reef species have bipartite life histories with a planktonic 58 larval stage and a benthos associated adult life. As adults, coral reef organisms exhibit various 59 degrees of site attachment ranging from completely sessile, like corals and sponges, to highly mobile, 60 like fish and crustaceans. Generally, even fish capable of swimming several kilometers in a few hours

61 have restricted home ranges, since they are relatively territorial and are associated with specific reef

62 habitats that are patchily distributed (Sale, 2006). Most adult reef organisms are distributed in

63 metapopulations connected by pelagic larvae that disperse subject to the ocean currents (Bode et al.,

64 2006; Cowen and Sponaugle, 2009).

65

66 Coral reefs extend along the coast of East Africa from the equator to approximately 14°S, being 67 absent only at major river outflows or Pleistocene river valleys. Fringing reefs are the most common 68 type, but complex formations occur around islands and other regions where the continental shelf 69 extends more than a few kilometers from shore. Reefs are absent on the Somali coast north of the 70 equator due to seasonal upwelling of cold water associated with the monsoon winds. The 71 southernmost reef is found in Mozambique at 26°S; but scattered colonies of scleractinian corals 72 occur as far south as 34°S, in South Africa (Day, 1974 cited in Hamilton and Brakel, 1984). Western 73 Indian Ocean coral reef communities are characterized by high levels of species diversity and may be 74 centers of biodiversity (Spalding et al., 2001). Coastal communities of Kenya and Tanzania depend 75 on the reef for food. Since there is little regulation on the use of these resources through formal 76 resource management strategies, reef areas in Kenya and Tanzania have been degraded due to 77 overfishing, destructive fishing techniques, coastal pollution and other activities affecting the coastal 78 environment (Hamilton and Brakel, 1984; Spalding et al., 2001). Increasing interest in coral reef 79 tourism is simultaneously leading to increased pressure on some coral reefs while providing a 80 powerful local incentive for conservation (Spalding et al., 2001). There are 26 Marine Protected 81 Areas (MPAs) in Kenya and Tanzania reported in the Protected Planet Database 82 (http://www.protectedplanet.net/; accessed July 2016) that encompass coral reef habitat; some of 83 these were established as recently as 2010. Eight of the 26 MPAs are no-take areas, while 18 of them 84 allow extraction using traditional fishing methods like handlines and traps (Muthiga et al., 2008). The benefits of MPAs for biodiversity conservation and fisheries management are well known 85 (McClanahan and Mangi, 2000; Gell and Roberts, 2003; Roberts et al., 2005; Lester et al., 2009; 86 87 Micheli et al., 2012); however, the design (spacing, size and separation distance) of effective MPA 88 networks is not trivial (e.g. Botsford et al., 2003; McLeod et al., 2009; Edgar et al., 2014). Many 89 studies (e.g. McCook et al., 2009; Botsford et al., 2009; Hogan et al., 2011; Rossi et al., 2014) 90 emphasize the importance of larval connectivity on the performance of MPA spatial management for 91 meeting conservation and fishery yield objectives.

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93 Larval connectivity is vital to the survival of marine metapopulations, both at ecological and 94 evolutionary time scales (James et al., 2002; Cowen and Sponaugle, 2009; Burgess et al., 2014). 95 Population connectivity plays a fundamental role in local and metapopulation dynamics, community 96 dynamics and structure, genetic diversity, ecosystem responses to environmental changes, and the 97 resiliency of populations to human exploitation (Cowen et al, 2007). Connectivity among marine 98 metapopulations is controlled by physical transport and dispersion, temperature, and biological 99 processes such as the timing of spawning, pelagic larval duration (PLD), larval behavior, and 100 mortality. The net combined effect of these processes determines the spatial scales over which a 101 population is connected (Gawarkiewicz et al., 2007). Connectivity is therefore a function of several 102 interacting variables including species, geographical area, and ocean conditions, and is highly 103 variable in both time and space (e.g. Cowen and Sponaugle, 2009; Christie et al., 2010b; Domingues 104 et al., 2012). Often, little is known about the connections among different coral reef regions (Cowen 105 et al, 2000; Mora and Sale, 2002; Sponaugle et al, 2002) and the degree to which local populations 106 are open (dependent on recruits from external sources) (e.g. Saenz-Agudelo et al, 2011) or closed (self-replenishing) (e.g. Schultz and Cowen, 1994). 107

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109 Observational approaches for studying connectivity use genetic techniques (Baums et al., 2005; Jones 110 et al., 2005; Christie et al., 2010; Hogan et al., 2011; Harrison et al., 2012), spatially varying natural 111 bio-markers leaving a geochemical signature in calcified structures (i.e. otoliths and statoliths) 112 (Thorrold et al., 1998; Thorrold et al., 2007) or tagging otoliths of larvae (Jones et al., 1999; Almany 113 et al., 2007). These techniques are limited in the spatio-temporal scales they can resolve and some of 114 them are restricted to specific species or environments (Gawarkiewicz et al., 2007; Thorrold et al., 115 2007; Hedgecock et al., 2007). Challenges of applying observational techniques to larval connectivity in the Kenva-Tanzania (KT) region are that these methods are expensive, time-116 117 consuming and require highly specialized equipment and expertise (Thorrold et al., 2007). Individual 118 based Lagrangian particle tracking models (IBM) coupled to realistic ocean circulation models are a 119 less limiting method to study potential connectivity among East-African coral reefs. So long as the 120 ocean circulation model reasonably depicts the time-varying flows, IBMs can resolve time varying 3-121 dimensional potential dispersion of planktonic larvae over large spatial scales with high spatio-122 temporal resolution. Results of numerical simulations can only provide estimates of potential

123 connectivity, that need to be validated with empirical measurements (i.e. Foster et al., 2012; Soria et 124 al., 2012) and scaled by observed reproductive input (Watson et al., 2010) and settlement (i.e. 125 Sponaugle et al., 2012). Even in the absence of empirical confirmations, estimates of potential 126 connectivity from modelling studies provide a comprehensive understanding of the spatial-temporal 127 dynamics of marine populations, that inform the design of more efficient MPAs (Willis et al., 2003; 128 Sale et al., 2005). With the exception of a few studies (McClanahan et al., 1994; McClanahan, 1994; 129 Mangubhai, 2008; Yahya et al., 2011; Kruse et al., 2015), knowledge of reef biota ecology is lacking 130 for much of the Western Indian Ocean region, due to the lack of infrastructure and local expertise, 131 combined with problems of national security in some areas (Spalding et al., 2001). Few studies have 132 examined larval supply and connectivity in coral reefs in the Western Indian Ocean (Kaunda-Arara et 133 al., 2009; Crochelet et al., 2013; Crochelet et al., 2016). Genetic techniques have been used to study 134 connectivity at evolutionary time scales of several reef fish (Dorenbosch et al., 2006, Lutjanus 135 fulviamma; Visram et al., 2010, Scarus ghobban, and Muths et al., 2012, Lutjanus kasmira). High 136 gene flow and weak genetic structure were found in these fish, even among sites as distant as 4000 137 km (Muths et al., 2012). Recently, Van der Ven et al (2016) used genetic techniques to examine 138 connectivity at evolutionary time scales of the branching coral Acropora tenuis in the Kenya-139 Tanzania region. They report high but variable connectivity among sample sites spanning 900 km 140 along the coast. These studies do not address ecologically significant timescales of a few generations, 141 and are in general concerned with large spatial scales ( $\sim 1000$  s of km), and therefore cannot provide 142 insights on population demography at temporal and spatial scales relevant to the implementation of 143 management and conservation strategies at national and regional levels. Only Souter et al. (2009) 144 used genetic techniques to examine both evolutionary and ecological connectivity of the coral 145 Pocillopora damicornis in the MPAs of the KT region. They identified the Mnemba Conservation 146 area northeast of Zanzibar Island as a potential source for the P. damicornis population, and Malindi 147 Marine National Park and Reserve in north Kenya as a genetically isolated reef.

For decades the spatial connectivity of larval fish and invertebrates was thought to be a passive process governed primarily by the ocean physics and the duration of the larval period (e.g. Shanks, 2009). The pelagic larval duration (PLD) of coral reef organisms varies greatly; from a few hours for some coral species to a few months for some fish and crustaceans (Shanks, 2009). Recent studies (i.e. Leis and Carson-Ewart, 2003; Paris and Cowen, 2004; Shanks, 2009; Pineda et al., 2010) have shown that larval transport of most marine organisms is not strictly passive and that there is an uncoupling between dispersal distance and PLD due to larval behavior, such as active depth selection and

155 directional swimming. Discrepancies between the passive transport hypothesis and observed patterns 156 of recruitment point to the importance of biological factors (i.e. behavior, predation, starvation, etc.) 157 in the control of larval dispersal and connectivity (Cowen et al., 2006; Cowen and Sponaugle, 2009; 158 Leis et al., 2007; Paris and Cowen, 2004; Sponaugle et al., 2012). Even excluding mortality, the degree to which biological factors influence connectivity is greater than originally hypothesized 159 160 (Shanks, 2009). Recent studies have shown the importance of physiological and behavioral 161 characteristics of larvae on influencing the connectivity and dispersal of species with a planktonic 162 larval stage (i.e. Kingsford et al., 2002). Growth rates (e.g. Bergenius et al., 2002), ontogenetic and 163 diel vertical migrations (Paris et al., 2007; Drake et al., 2013), swimming ability (e.g. Stobutzki and 164 Bellwood, 1997; Wolanski et al., 1997; Leis and Carson-Ewart, 2003; Leis et al., 2007), orientation 165 through olfaction (Atema et al., 2002; Gerlach et al., 2007; Paris et al., 2013; Atema et al., 2015) and 166 audition (Tolimieri et al., 2000; Leis et al., 2003; Simpson et al., 2005, Heenan et al., 2009; Vermeij 167 et al., 2010), and settlement strategies (Leis and Carson-Ewart, 1999; Lecchini et al., 2005) are 168 important in controlling connectivity of coral reef organisms. Observational studies suggest that 169 marked ontogenetic vertical zonation is important for larval transport (Boehlert and Mundy, 1993; Cowen and Castro, 1994). In modeling studies, vertical migration often promotes local retention and 170 171 recruitment of pelagic larvae to suitable habitat. A modeling study of the California Current System 172 (CCS) by Drake et al. (2013) showed that larvae that remained below the surface boundary layer 173 were 500 times more likely to be retained within 5 km of the coast after 30 days than larvae that 174 remained near the surface. Settlement in the CCS increased by an order of magnitude when larvae 175 remained at 30 m depth. Similarly, settlement success in different regions of the Caribbean increased 176 when a shallow ontogenetic vertical migration (OVM) behavior was added to the virtual larvae (Paris 177 et al., 2007). Potential settlement estimates increased up to 190% in the southern Florida Keys with 178 the OVM (Paris et al., 2007). The influence of larval physiology and behavior on connectivity and 179 dispersal of coral reef species is now well established (Kingsford et al., 2002; Paris et al., 2007; 180 Wolanski and Kingsford, 2014). However biological characteristics are known with certainty only for 181 a handful of species.

182

183 The hydrodynamics of the KT coastal ocean is highly variable at seasonal and subseasonal time

184 scales, due to the influence of the monsoons and complex tidal interactions. The coastal circulation is

185 mainly influenced by: (1) the northward flowing East African Coastal Current (EACC) fed by (2) the

186 regionally westward flowing North East Madagascar Current (NEMC), (3) the seasonally reversing 187 Somali Current (SC), (4) tides and (5) local winds (see Figure 1 of Mayorga-Adame et al., 2016). SW 188 monsoon conditions are characterized by strong continuous northward flow along the coast and 189 relatively cool (~24°C) sea surface temperatures (SST) that prevail from May to October. During the 190 NE monsoon, from January to March, a strong north-south SST gradient is caused by the intrusion of 191 the shallow, southward flowing, cold and salty Somali Current that meets the slow northward 192 flowing, warm and fresh EACC. The convergence of the two currents forms the eastward flowing 193 South Equatorial Counter Current. The inter-monsoon seasons, in between these two periods, are 194 characterized by strong mixing and slow currents.

195

196 The relative lack of physiological and behavioral data for larvae of coral reef species in the Kenya-197 Tanzania region led us to examine connectivity among coral reefs using idealized particle tracking 198 experiments that simulate larvae with characteristics of two ubiquitous and ecologically important 199 species groups: the Acropora branching corals with short PLD (ca. 12 days, (Babcock and Heyward, 200 1986; Nishikawa et al., 2003; Nozawa and Harrison, 2008) and the Acanthurus surgeon fish with 201 long PLD (72 days, (Rocha et al., 2002)) (See Mayorga-Adame, 2015 for a review of the genus life 202 histories). Particle tracking of individual organisms using the output of ocean circulation models is a 203 suitable, cost effective tool to examine larval connectivity among coral reefs in large areas and at 204 finer spatio-temporal scales relevant to the population ecology of coral reef species (Werner et al., 205 2001; Cowen and Sponaugle, 2009). Insight developed from connectivity matrices generated from 206 this study could aid local managers and decision makers tasked with regulating the use of marine 207 resources in the Kenya-Tanzania region. Hindcasting the connections among reefs in the strongly 208 dynamical Kenya-Tanzania region is challenging and the level of uncertainty is high. The results 209 presented are a first attempt at assessing connectivity in the region and should be treated as a regional 210 result suitable for comparison with similar studies in other coral reef regions (Great Barrier Reef; 211 Mesoamerican Caribbean Reef). In addition, these model results should be useful for developing 212 hypotheses and designing observational campaigns aimed at validating or improving the described 213 connectivity patterns.

214

#### 215 **3. Methods**

#### 216 <u>3.1 Hydrodynamic model</u>

217 A 2 km horizontal resolution Regional Ocean Model System (ROMS) (Haidvogel et al., 218 2008) that includes tides, the 2 km Kenyan-Tanzanian Coastal Model (hereafter 2KTCM), was used 219 to generate 3-dimensional ocean velocity fields. This model is an enhanced resolution version of the 220 4 km Kenyan-Tanzanian Coastal Model (KTCM) (Mayorga-Adame et al., 2016). The model domain 221 is a rectangular grid extending from 38° to 47 °E and from the equator to 10 °S (Figure 1). It has 31 222 terrain following vertical levels. The model bathymetry is from the 30 sec global GEBCO product 223 (www.gebco.net/data and products/gridded athymetry data/; accessed April 2011). The model 224 coastline was manually edited to retain as many features as the 2 km resolution allowed. Only Pate 225 Island in north Kenya, and Pemba, Zanzibar and Mafia Islands in Tanzania are included as dry cells 226 in the land mask. The atmospheric forcing (wind stress, heat and freshwater fluxes) is calculated by 227 ROMS bulk formulation using atmospheric variables from daily NCEP/NCAR reanalysis (Kalnay et 228 al., 1996). The model is initialized and forced at the boundaries by monthly fields of T, S and 229 velocity from the KTCM (Mayorga-Adame et al., 2016) and tides are provided by the TPXO6 global 230 tidal model (Egbert et al., 1994; Egbert and Erofeeva, 2002). Freshwater runoff and diurnal wind 231 variability are not included in the model. The ocean model was run continuously for 8.25 years from 232 October 1999 to December 2007. Three-hourly averages of the velocity fields for 2000 and 2005 233 were stored and used for the particle tracking experiments.

234

#### 235 <u>3.2 Lagrangian Particle Tracking</u>

236 An Individual Based Model (IBM) (Batchelder, 2006) was run offline using previously stored 3-hour 237 averages of the 3-dimensional 2KTCM velocity fields. The IBM interpolates tri-linearly in space and 238 linearly in time the velocity and temperature fields from the ROMS simulation. Particle trajectories are computed using a 4<sup>th</sup> order Runge-Kutta algorithm. No explicit diffusion (e.g. random walk 239 240 applied to the individual's position) is invoked since the 2 km horizontal resolution of the ocean 241 circulation model is enough for significant eddy formation and horizontal mixing to occur around 242 reefs, and the terrain following coordinates provide very high vertical resolution (<15 cm) in the 243 shallow regions. A 3D advection-only version of the IBM was used to track forward in time the 244 dispersal of particles (virtual larvae) originating from all reef polygons. The tracking was done using 245 a 30 min time step. Coral larvae were tracked using the 3-dimensional passive advection scenario

- only. For surgeonfish, with longer PLDs and greater ability to control depth in the water column, an
  idealized ontogenetic vertical migration scenario was implemented.
- 248

### 249 <u>3.3 Biological Assumptions</u>

250 In the model experiments all reefs were seeded randomly with a density of 50 particles per square 251 kilometer of reef. Reefs smaller than 1 km<sup>2</sup> were seeded with 50 particles. A total of 129 184 252 particles were released for each modeled spawning day, using identical seeding locations for all 253 simulations. Spawning was assumed to take place at 5:30pm local time (~sunset) during February 254 and March, the months of peak spawning for coral reef species in the Western Indian Ocean 255 (Mangubhai and Harrison, 2008; Mangubhai, 2008). All particles were released at 3 m depth. For the 256 3-dimensional passive experiments (reference experiments) virtual larvae were spawned at the release locations at 3 day intervals starting on February 2<sup>nd</sup> for a total of 20 releases. PLD for 257 258 Caribbean species of Acanthurus range from 45 to 70 days (Rocha et al., 2002). The Indo-Pacific 259 species A. triostegus has a mean PLD of 54 days (range of 44-83 days) (Randall, 1961; McCormick, 260 1999; Longenecker and Langston, 2008). Acanthurus virtual larva were tracked for 72 days and 261 considered competent to settle 50 days after their release, giving them a competency period of 22 262 days. Acropora virtual larvae were tracked for 12 days and considered competent after 4 days giving 263 them a competency period of 8 days. These assumptions were made considering the results of 264 laboratory rearing studies that reported a minimum pre-competency period of 3 to 4 days for A. 265 muricata, A. valida (Nozawa et al., 2008), and A. tenuis (Nishikawa et al., 2003), and up to 97% 266 settlement 10 days after spawning (Backock and Heyward, 1986; Nishikawa et al., 2003; Nozawa et 267 al., 2008). The ability of reef larvae to sense nearby reefs and swim towards settlement habitat is 268 often represented in models as a sensory zone based on perception distance (Paris et al., 2007; 269 Sponaugle et al., 2012), a buffer distance around suitable habitat that defines how far away from a 270 reef larvae are able to successfully settle. Based on observational studies of sensing, swimming and 271 settling ability (Atema et al., 2015; Leis and Carson-Ewart, 1999; Leis and Fisher, 2006) perception 272 distance for competent Acanthurus larvae was assumed to be 4 km, which is consistent with the 273 distance used to model other coral reef fish (Paris et al., 2007; Sponaugle et al., 2012). Perception 274 distance for competent Acropora larvae was assumed to be much shorter, only 10 m, because despite 275 their ability to perceive sounds (Vermeij et al., 2010) and chemical cues (Dixson et al., 2014) 276 emanating from reefs they have very limited swimming ability and are unlikely to overcome water

277 speeds (Baird et al., 2014). Virtual larvae were evaluated each night during their competency period 278 to determine if reefs were within their perception distance. If so, they were assumed to settle on the 279 first reef they encountered. Sensitivity analysis to evaluate whether the destination reef of settled 280 larvae was affected by the time of evaluation during the dark hours indicated little temporal variation 281 within a night. Therefore, settlement of virtual larvae was evaluated once per night at 11:30 PM local 282 time. The Acanthurus ontogenetic vertical migration (OVM) experiment included passive dispersal 283 for 20 days, then larvae were shifted to 50 m (or 3 m above the bottom at locations shallower than 50 284 m). Virtual larvae at 50 m continued to be passive in their horizontal movement but were kept at 285 fixed depth for 20 days. At day 40, the larvae migrated back to 3 m depth to find suitable reef habitat 286 when reaching competency (50 days after spawning). After the upward migration, larvae are 287 advected passively in three dimensions until day 72, when the trajectory was terminated. Successful 288 settlement was assessed as described in the reference experiment. OVM experiments were run for the 289 February to March period as the passive experiments, but with larvae released only every sixth day 290 (for a total of 10 release dates).

291

#### 292 <u>3.4 Seascape Analysis</u>

293 Kenya and Tanzania have very narrow continental shelves, with the 200 m isobath only 12 km 294 offshore, except at the Mafia and Zanzibar Channels. The shores of Kenya and Tanzania are bordered 295 by a virtually continuous chain of fringing coral reefs that stretches along the coast, only breaking at 296 river mouths and estuaries. The coral reef polygons in the model domain were extracted from the 297 Global Distribution of Coral Reefs 2010 database available at the Ocean Data Viewer webpage 298 (http://data.unep-wcmc.org/). After simplifying the polygons using ArcGIS, by merging adjacent 299 reefs (separated by less than 20 m), and discarding individual reefs smaller than 25 m<sup>2</sup>, a total of 661 300 individual reef polygons identified reef habitat for larval settlement (Figure 1). A connectivity matrix 301 showing the origin locations on one axis and destination locations on the other axis is used to 302 visualize the geographic connections among habitat patches for simple alongshore linear systems. 303 However, the two dimensional nature of the reef systems bordering East Africa, with multiple reefs at 304 the same latitude (e.g., mainland fringing reefs, atolls or patch reefs in the channels between the 305 islands and mainland, fringing reefs on the west and east coast of the islands), make the reef to reef 306 connectivity matrices organized by the latitude of the centroid of the reef polygons insufficiently 307 informative regarding inshore-offshore connections. Due to the spatial complexity of the reef habitat,

308 we simplified the connectivity matrices by assigning individual reefs to one of fifteen geographic 309 subregions (Figure 1). Geographic regions considered mainland continuity of reefs, but also national borders and offshore island masses, many of which have both shoreward facing and offshore facing 310 311 fringing reefs (Figure 1). This allowed a more meaningful visualization of the results. Based on the 312 number of particles released within a region, the percentage of particles that successfully connect 313 from region to region was calculated. Summing the percentages in the horizontal direction (all 314 destination regions) on the connectivity matrices shows the percent of successful recruits from each 315 region of origin.

316

317 The term local retention refers to the ratio of virtual larvae settling at their released location and the 318 total number of virtual larvae released at that location, while self-recruitment is the ratio of virtual 319 larvae settling at their released location and the total number of larvae settling at that location. In the 320 results section the comparatives "weaker" and "stronger" are used to refer to the magnitude of 321 connections between two specific sites, indicating the proportion of particles connecting from one 322 reef or region to another. Strong connections appear as large color-coded circles in the connectivity 323 matrices, while weak connections are small black circles. Conversely "few" and "more/lots" are 324 used to refer to the number of sites that are connecting to a reef or region. The number of connections for a region will be represented by the number of circles on each row or column for origin and 325 326 destination regions, respectively.

327

328 We use the terms "source" and "origin" interchangeably to refer to reefs or regions from which 329 virtual larvae are released. Similarly, we use the terms "sinks" and "destinations" interchangeably to 330 refer to reefs or regions into which virtual larvae successfully settle. We are not referring to population source/sinks according to the classical population ecology definition, since we do not 331 332 consider spatially variable reproductive input nor variable mortality during the settlement phase. In 333 this case we are referring only to source/sinks of the planktonic pool of successful virtual larvae, and 334 therefore the terms only refer to the diversity of origins/destinations of the virtual larvae that are 335 assumed to successfully settle.

#### 337 <u>3.5 Sensitivity Analysis</u>

338 Complementary analysis and 'in silico' experiments were carried out to determine the sensitivity of 339 the resulting connectivity matrices linking origins and destinations to the perception distance 340 assumption and to the inclusion of vertical diffusion. To investigate the sensitivity of settlement 341 success to perception distance, the coral and surgeonfish reference runs of 2000 were re-analyzed 342 with perception distances of 10, 500 and 4000 m. This analysis was performed for particle releases 343 every sixth day for a total of 10 spawning days within February and March. The percent of larvae that successfully settled on reef habitat and the standard deviation among the 10 release dates was 344 345 calculated.

346

347 In order to assess the effects of vertical diffusion on connectivity, and to investigate if an important 348 proportion of reef-to-reef connections is being missed by considering advective only experiments, 349 additional experiments similar to the advective only Acanthurus reference runs, but with the addition 350 of vertical diffusion processes, were carried out for 26 selected reefs (Supplementary Figure 5). In 351 order to perform these simulations with the same computer resources used for the advective only 352 simulations the number of release locations had to be greatly reduced; we subsampled 10% (a total of 353 2694 release locations) from the 26938 release locations used for the advection only scenario for 354 these 26 reefs. For each advection-diffusion release location 100 replicate particles were released on 355 each of 3 release dates; at the beginning, middle and end of the presumed spawning season (February 2<sup>nd</sup>, March 1<sup>st</sup> and March 31<sup>st</sup>, respectively) of 2000 and 2005. Vertical diffusion was implemented as 356 a vertical random walk scaled by the vertical viscosity coefficient of the ocean model according to 357 358 the model detailed by Batchelder et al. (2002). The connectivity provided by the advection-diffusion 359 simulations was compared to advection only results from the same 26 reefs to investigate the 360 potential role of vertical diffusion in either enhancing or reducing connectivity and modifying the 361 general patterns observed in the advective only experiments. Comparisons were done at the reef 362 scale. Magnitude of the connections was calculated as the percentage of particles released per reef 363 that settled. The number of particles released was an order of magnitude higher in the experiments 364 with diffusion, while the number of release locations was 10 times greater in the advective only experiments (e.g., 1 particle from each of 50 different locations from a 1 km<sup>2</sup> reef in the advection 365 366 only case versus 500 particles from each of only 5 release locations within the same reef in 367 experiments including vertical diffusion). The results of all 6 release dates (three in each of 2000 and

368 2005) were aggregated on a binary reef to reef connectivity matrix, which neglects the magnitude of

the connections, and compared to the advective only counterpart. The subtraction of the matrices

370 eliminates connections present in both scenarios and provides an estimate of how many connections

371 were missed by one or the other experimental set ups. Trajectories and connectivity patterns were

- also visualized and compared to gain understanding of the observed differences, but are not shown.
- 373
- **4. Results**

#### 375 *4.1 Acanthurus and Acropora 3-D passive advective experiments*

#### 376 4.1.1 Settlement Success

377 Larvae that find a reef within their perception distance during their competency period are assumed 378 to successfully settle. The percentage of successful settlers differs greatly between the two modeled 379 genera. For virtual larvae characterized as Acanthurus surgeonfish the mean settlement success of the 380 40 releases during February and March of 2000 and 2005 is 24.4±4.7% while for the Acropora coral 381 virtual larvae the mean is 0.28±0.04% (Figure 2). Settlement success variability around the mean is 382 similar between the two species groups. Changes in yearly mean settlement success are opposite for 383 the two modeled genera (Acanthurus 25.5% in 2000 and 23.3% in 2005, Acropora 0.27% in 2000 384 and 0.28% in 2005).

385

#### 386 4.1.2. Region to Region Connectivity Matrices

387 The region to region connectivity matrices allow an easier visualization of the main connectivity 388 patterns, synthesizing the information of reef to reef connectivity matrices (available upon request). 389 Regional connectivity matrices with reefs grouped into 15 regions (Figure 1) show a dominant South 390 to North connectivity pattern along the Kenya-Tanzania coast (Figure 3), as represented by the 391 predominance of circles below the 1:1 diagonal line, which indicates sites where local retention 392 occurred (larvae released in a region settled in the same region). This pattern is prevalent in both 393 modeled years (2000 and 2005) for both larvae types (Acanthurus and Acropora) (Figure 3), and 394 reflects the strong south to north flows that prevail along most of the Kenya-Tanzania region, which 395 is influenced by the northward flowing EACC year round. Most of the small number of circles above

396 the 1:1 line of the connectivity matrices indicate north to south connections (a few of them represent 397 west to east connections, for example west Zanzibar (wZ) to east Zanzibar (eZ)), which are much less 398 common but occur in the northern part of the domain due to the influence of the southward flowing 399 Somali Current during the Northeast monsoon (December-March), or to small scale recirculation 400 features, such as eddies, in a few other locations. The magnitude and location of north to south 401 connections is particularly variable interannually. For the 2000 Acanthurus simulation (Figure 3a) 402 small proportions of north to south connections occur in most regions, but mainly at the northern-403 most (Somalia [sS], Kenya regions [nK, sK]) and southern-most regions (east Mafia [eM]) and in 404 some central regions (Dar es Salaam Peninsula [DP] and central Tanzania [cT]). In 2005 (Figure 3c) 405 north to south connections are weak at the northern and southern limits of the domain, but somewhat 406 stronger in the central region (Dar es Salaam Peninsula [DP], western Zanzibar [wZ] and central 407 Tanzania [cT]).

#### 408

In Acropora corals (Figure 3b, d) most of the connections are due to within region recruitment, and strong connections are restricted to a 1 degree (~100 km) radius around the reef of origin. The reef offshore of Dar es Salaam (oR), east Mafia (eM) and south Tanzania (sT) regions show the longest distance connections. Interannual variability in north to south connections is similar to that of *Acanthurus* virtual larvae.

414

415 Regional connectivity matrices enable differentiation among across-shore reefs at the same latitude, 416 and yield insights about well-connected and isolated reef regions. At a regional scale, the Kenya (sK, 417 nK) and Somali (SS) reefs receive Acanthurus virtual larvae from all other reef regions in both 418 modeled years. In contrast reef regions adjacent to Pemba Island (eP, nP, wP) receive very few 419 Acanthurus larvae from any other reef habitats within the model domain (Figure 3a, c). The reef 420 offshore of the Dar es Salaam peninsula (oR at ca. 7°S), due to its oceanic location and exposure to 421 the strong northward flowing EACC, has potential for long distance connections. Settlement of 422 Acanthurus from oR was very different in the two modeled years. In 2000 it was a source for larvae 423 settling on distant Kenyan (sK, nK) and Somali (sS) reefs only; in 2005 it exported larvae to Kenyan 424 (sK, nK) and Somali (sS) reefs, but also to some nearer Tanzania regions (central and north Tanzania 425 and east Zanzibar regions; cT, nT, eZ). This offshore reef (oR) is not a large sink reef for Acanthurus

426 larvae, but the origin of its arriving larvae is diverse, coming from reefs to the south of it in 2000 and 427 from all regions except east Zanzibar (eZ) in 2005. The Somalia (sS) and Kenya (sK, nK) regions are 428 the sink regions with the greatest diversity of source reefs, followed by the north Tanzania (nT) 429 region. Larvae from Dar es Salaam Peninsula (DP) region settling in the north Tanzania region 430 represented the strongest connection in 2000, followed in magnitude by the connection from the east 431 Zanzibar (eZ) region to the south Kenya (sK) region. In 2005 the strongest connection remains the 432 same but the second strongest connection was between east Zanzibar (eZ) and the north Tanzania 433 (nT) region. During 2005 source reefs around Zanzibar Island (eZ, wZ) and the central Tanzania (cT) 434 region had more connections to southern destination reefs. However, local retention of Acanthurid 435 surgeonfish virtual larvae at the regional scale was larger in 2000.

436

437 For Acropora corals (Figure 3b and d) the highest proportion of recruitment is due to local within 438 region recruitment at the west Zanzibar (wZ) and west Pemba (wP) regions in both 2000 and 2005. In 439 all regions except east Pemba (eP) and the offshore reef (oR), the probability of recruiting locally is 440 higher than the probability of connecting to another reef region. Similar to Acanthurus, more north to 441 south connections of Acropora are observed in the regions between north Tanzania (nT) and the Dar 442 es Salaam Peninsula (DP) in 2005 than in 2000, when substantial north to south connection occurred 443 only between Dar es Salaam (DP) and west Mafia (wM). In 2000, the offshore reef (oR) connects to 444 all Pemba regions (eP, wP, nP) and north Kenva (nK), while in 2005 it connects to all regions north 445 of Dar es Salam except north and west Pemba (nP, wP). This offshore reef is the only source of 446 Acropora larvae for the east Pemba (eP) region. North and west Pemba get recruits from all Pemba 447 regions in both years.

448

The variable number of north to south connections between the two modeled years is explained by the influence of the mesoscale circulation on the shelf circulation pattern. In 2000 the northward flowing East African Coastal Current (EACC) was weak during the spawning months ( $<0.5 \text{ m s}^{-1}$ ) as is typical during the NE monsoon season. The Somali Current (SC) that flows southward at this time of the year was strong in February ( $\sim0.68 \text{ m s}^{-1}$ ) and its subsurface influence prevailed until April (Supplementary Figure 1). The strong influence of the southward flowing SC current in the northern

455 part of the domain is responsible for the north to south connections in that region. In the rest of the

456 domain the weak EACC generates slower northward velocities on the shelf during the spawning

- 457 months, especially February (Supplementary Figure 2), allowing for some north to south connections
- 458 at most latitudes, more evident in the reef to reef connectivity matrices (Supplementary Figure 6).
- 459

460 In contrast, in 2005, the SC was weaker and only present during February and March since the transition to SW monsoon conditions happened very early in the year, with strong northward flow 461 462 (>1 m s<sup>-1</sup>) established in March and already re-established in the upper 300 m by April 463 (Supplementary Figure 3). The weak SC only promotes a few north to south connections in the 464 northern part of the domain. The strong EACC intensifies the flow reversal north of the Mafia and 465 Zanzibar Channels, which is generated as the northward flow overshoots and turns southward into 466 the channels when trying to follow the curved bathymetric contours past the islands (Supplementary 467 Figure 4). This small scale circulation pattern is responsible for the north to south connections 468 observed on regions around the north and south entrances of the Zanzibar Channel in 2000 for both 469 Acanthurus and Acropora virtual larvae (Supplementary Figure 6).

470

#### 471 4.2 Acanthurus Ontogenetic Vertical migration (OVM) experiments

#### 472 4.2.1. Acanthurus OVM Settlement Success

473 Experiments that include an idealized OVM exhibit greater variability in Acanthurus settlement 474 success among release dates within a year and between the two modeled years compared to the 475 passive larvae experiments (Figures 2a and 4). Mean settlement success is 34.5% ±14.6 for 2000 and 476 17.7%±8.3 in 2005, but due to the large variability among release dates, the year to year difference is 477 not statistically significant. There is a marked decrease in settlement success from earlier to later spawning dates in the OVM scenario, going from 46.8% for particles released in February 2<sup>nd</sup> to 478 7.2% for those released on March 31<sup>th</sup> in 2000 and from 34.0% to 15.9% for those same dates in 479 480 2005. The decrease in settlement success occurred earlier in 2005 than during 2000, associated with 481 the rapid transition to SW monsoon conditions in 2005 (Supplementary Figure 4). In the northern 482 part of the model domain the core of the northward flowing EACC is subsurface (below 70 m depth) 483 at the beginning of the spawning season (NE monsoon), but it re-establishes in the upper 300 m by 484 May in 2000 and April in 2005 (Supplementary Figures 2 and 4). This implied that 2005 larvae

485 migrating down to 50 m are affected longer by the strong northward flow than the 2000 larvae.

486 Three-dimensional passive larvae tend to stay near the surface and are therefore less likely to be

- 487 carried away from suitable habitat by the strong northward flowing EACC core transitioning from
- 488 deep to shallow waters during the second half of the spawning season.
- 489

#### 490 4.2.2. Acanthurus OVM Region to Region Connectivity Matrices

491 When grouped at the regional level the Acanthurus OVM numerical experiments showed that in 2000 492 (Figure 5a) the strongest connection was between central Tanzania (cT) and north Tanzania (nT). The 493 north Tanzania (nT) region received virtual larvae from all southward reefs. The south and north 494 Kenya regions (sK, nK) and the south Somalia (sS) region receive recruitments from all other 495 regions. Southern Tanzania (sT), east and west Mafia (eM, wM) and the Dar es Salaam Peninsula 496 (DP) regions provide larvae to most other regions except the offshore reef (oR), but their 497 probabilities of connecting to the Pemba regions are very low. The main sinks for larvae coming 498 from the offshore reef (oR) in 2000 are the distant Kenya (sK, nK) and Somalia (sS) regions. 499 Minimal north to south connections occur with larvae originating at east and west Mafia (eM, wM) 500 and the Dar es Salam Peninsula regions (DP) in Tanzania, the south and north Kenya (sK, nK) 501 regions and south Somalia (sS), connecting to southward regions. Across shore connections are 502 observed mainly from west Zanzibar (wZ) to north Tanzania (nT) and from east to west Mafia (eM to 503 wM).

504

505

In 2005 (Figure 5b), the offshore Dar es Salaam reef (oR) has strong connections with both Zanzibar (eZ, wZ) and north Tanzania (nT) regions as well as distant Kenya and Somalia regions. The strongest connection of 2005 occurred between the offshore reef (oR) and the south Kenya (sK) region. Most regions successfully connect to northward regions, except to the three Pemba Island reef regions, which get few recruits in both years modeled. Small proportions of the larvae spawned at the Dar es Salaam Peninsula (DP) and north Tanzania (nT) regions connect southward to the west Mafia and west Zanzibar regions, respectively. Across shore connections are weaker.

514 Interannual variability in the general patterns of reef connectivity for *Acanthurus* is enhanced when 515 the ontogenetic vertical migration behavior is included, especially regarding the magnitude of the 516 connections. Weaker and fewer connections are observed in 2005 in comparison to 2000 (Figure 5). 517 As in the passive scenario, a strong interannual difference is observed in the number and location of 518 south to north connections, with stronger north to south connectivity in the southern and northern 519 most regions in 2000, and uniformly weak north to south connections in 2005. Overall, spatial 520 connectivity of OVM Acanthurus in each of 2000 and 2005 are remarkably similar to the patterns 521 observed for 3D passive Acanthurus, although the overall connectivity is lower with OVM than the 522 passive, particularly in 2005.

523

#### 524 <u>4.3 Sensitivity Analysis</u>

#### 525 4.3.1 Sensitivity to perception distance

526 The analysis with increased (reduced) perception distance for Acropora (Acanthurus) is presented to 527 provide insight on one of the processes responsible for the large difference in settlement success 528 between the modeled species groups, and to illustrate the variability that might be expected among 529 coral reef species with different life-history strategies. The percentage of settlement success increased 530 with greater perception distance for both the Acanthurus surgeonfish and the Acropora coral simulations (Figure 6). However, the increase in settlement success for the short PLD coral was 531 532 always much higher than that of the surgeonfish for the same increase in perception distance, with the 533 coral reaching 95% settlement success with a 4 km perception distance. The difference in settlement 534 success between the two genera was significant for all perception distance scenarios (Figure 6), 535 indicating that with the same perception distance short PLD virtual larvae will always be more 536 successful. Perception distance scenarios alternative to the reference run experiments are not 537 appropriate for the specific genera used here, but might be appropriate for other coral reef organisms 538 with different life-history traits. Acropora coral larvae perceive reefs through sound (Vermeji et al., 539 2010) and chemical cues (Heyward and Negri, 1999; Dixson et al., 2014), but their perception 540 capabilities are unlikely to exceed 100 m. Laboratory experiments have shown that coral larvae are 541 able to detect reef sounds 0-1 m from the source and move towards them (Vermeji et al., 2010). If 542 planulae are capable of detecting particle motions anticipated perception distances are on the 10-100 543 m range (Vermeji et al., 2010). Despite their sensing abilities, the swimming ability of coral larvae is

very limited and usually negligible in comparison to ocean currents (Kingsford et al., 2002; Baird et

al., 2014). To the contrary, *Acanthurus* late larvae are one of the strongest swimmers among coral

reef fish larvae, with reported *in situ* swimming speeds ranging from 8.7 to 65.3 cm s<sup>-1</sup> (Leis and

547 Carson-Ewart, 1999; Leis and Fisher, 2006). They have been observed to navigate *in situ* 

disregarding current direction, perhaps guided by a sun compass (Leis and Carson-Ewart, 2003).

549 Navigational capabilities exceeding 1 km are therefore expected for *Acanthurus*.

550

### 551 4.3.2 Diffusion effect

552 The inclusion of vertical diffusion greatly increased the vertical spread of the virtual larvae and 553 distributed them throughout the water column over the shelf. This, in turn, increased the horizontal 554 spread of virtual larvae. The main connectivity patterns of the Acanthurus advective only 555 experiments were also represented in the experiments with diffusion, including the interannual 556 differences (not shown). The strength of the connections on the Acanthurus diffusive scenario was, 557 however, an order of magnitude smaller than in the advective only scenario, indicating that the vertical spread of particles released at the same location leads to the majority of them dispersing 558 559 away from suitable habitat. The extent to which the inclusion of vertical diffusion generated new 560 connections, not represented in the advective only scenario was modest (Figure 7). From the 100% 561 represented by the total number of connections found only in one of the two scenarios, 12.4% came 562 from the scenario that included vertical diffusion, whereas 87.6% came from the advective only 563 scenario. Thus, it is clear that including diffusion to the advection scenario produces relatively few 564 new connections to the matrix, and that for this particular case the inclusion of a vertical random 565 walk component may not be essential to providing a representative connectivity matrix.

566

#### 567 **5. Discussion**

The dominant pattern of connectivity for both *Acanthurus* and *Acropora* in the KT region is southern reefs providing virtual larvae to northern reefs. The spatial scale of connectivity is much smaller for the short PLD coral group; successful connections are restricted to a 1° radius (~100 km) around source reefs. 8.2% of *Acropora* larvae that successfully settle, recruited to their source reef (self-), an

572 important proportion compared to only 1-2 % for *Acanthurus*. Some *Acropora* were capable of long

573 distance dispersal, particularly larvae spawned at the reef offshore of Dar es Salaam peninsula. This

574 indicates that they can take advantage of the strong offshore EACC to reach distant northern reefs,

and that even for short PLD, latitudinal isolation may be minimal, especially at longer (i.e.

576 evolutionary) timescales.

577

In contrast to the generally short dispersal distances of *Acropora*, long distance connections from the southern to the northern most reefs (~950 km) are common for virtual *Acanthurus*. Their longer pelagic durations lead to greater transport distances and reduced local retention. Overall settlement success was significantly greater in *Acanthurus* (24%) than in *Acropora* (<0.5%). This is due to

several factors that enhance *Acanthurus* successful settlement probabilities: longer competency

583 period, greater reef perception distance and swimming ability.

584

585 While south to north connections predominate in the connectivity matrices, some north to south 586 connections occur, mostly in inshore regions that experience substantial eddy flows and 587 topographically steered flow reversals. Examples of these are 1) the northern region that is seasonally 588 influenced by the southward flowing Somali current (SC) (Figure 3), and 2) the northern entrance of 589 the Zanzibar Channel and the region south of the Dar es Salaam peninsula where nearshore flow 590 reversal is promoted by strong northward offshore currents (Mayorga-Adame et al., 2016). Therefore, 591 there is strong interannual variability in the amount and location of north to south connections 592 depending on the strength of the offshore mesoscale currents. When the Somali Current is strong 593 (e.g., in 2000), short distance north to south connections are common at most latitudes, but are most 594 prevalent near the northern and southern edges of the study region. When northward offshore flow is 595 strong and the SC disappears early in the year (e.g., 2005), north to south connections are restricted 596 to reefs in the wider shelf region between Pemba and Mafia Islands (due to enhanced small scale 597 flow reversals) and the region north of 3°S where the Somali Current has a direct effect.

598

599 Interannual variability is also evident in the strength of the connections among reefs and the

600 proportion of local retention. Thirteen reef regions (all but oR, eP) experience local retention in 2000,

601 however in 2005 five regions (sT, oR, eP, nP, wP) had no or minimal local retention. In several cases

the strong connections among regions are not consistent between 2000 and 2005; analysis of simulations for other years is needed to assess the persistence of connectivity patterns. Multi-year simulations (e.g. James et al. (2002), 20 years' model of reef fish connectivity in a section of the Great Barrier Reef; Dorman et al., (2015), 46 years' model of *Acropora millepora* connectivity in the South China Sea) would give further insight on the variability and robustness of the connectivity patterns and help to identify connections that are vital to maintaining regional metapopulations of different species groups.

609

610 The different connectivity patterns and scales of dispersal for the two genera characterized in these 611 modeling experiments show that it is important to consider interspecies life-history variability when 612 implementing conservation strategies to ecosystems as diverse as coral reefs, since ideal spatial 613 management strategies would enhance settlement success for a wide suite of species with different 614 perception and dispersal capabilities. Our results indicate, for example, that Acanthurus virtual larvae 615 settling to coral reefs around Pemba Island come from relatively few source reefs, which highlights 616 the need for strong local protection since the resilience, (e.g. potential recolonization of Pemba's 617 Acanthurus populations from more distant reefs), is minimal, despite their relatively lengthy larval 618 pelagic phase. Pemba Island Acropora coral populations are less vulnerable since they show stronger 619 and more variable connections. This seems counter intuitive given the smaller scale of connectivity 620 and higher local retention rates of Acropora, however the local oceanographic regime around Pemba 621 Island, including a strong return flow on the western side of the island, promotes retention at short 622 time scales, favoring Acropora connections, while the much longer PLD of Acanthurus favors 623 transport away from Pemba's suitable habitat.

624

The level of connectivity of a reef is a component of its resilience and the extent of its ecological impact in the region. For example, strong sink reefs, those receiving settlers from many different source reefs, are more resilient to local and global stresses, since having multiple sources increases the probability of receiving recruits in any given year. The diversity of sources providing potential recruits would enhance resilience to short term local detrimental phenomena such as bleaching events and overfishing. On the other hand, important source reefs, those with potential of providing settlers to many other reefs, could have a disproportionately large ecological impact for many other reefs.

632 Reefs that provide larvae to many other sites are important to protect from a larger ecosystem 633 conservation perspective, since an increase of the local spawning population would likely impact 634 recruitment to a large number of reefs elsewhere, therefore increasing the impacts of spatially limited 635 conservation measures (e.g. MPA) beyond their boundaries (Bode et al., 2006; Figueira, 2009). 636 "Source and sink" maps are useful for identifying ecologically important areas based on the number 637 and type of connections present. Source and sink maps for *Acanthurus* are shown in Figures 8 and 9, 638 and for *Acropora* virtual larvae in Figures 10 and 11. The passive and OVM scenarios (not shown) 639 for Acanthurus yielded similar source and sink maps. In general reefs south of Mafia Island (8°S) 640 provide larvae of both species groups to the greatest number of reefs. In the case of Acanthurus 641 larvae (Figure 8) these reefs connect to more than 350 different reefs, and for Acropora (Figure 10) 642 to more than 70 reefs. Reefs in the northern half of Tanzania are good sources of Acropora larvae, 643 connecting to more than 50 reefs, while Kenyan reefs connect to approximately 30 different reefs. 644 Local conservation efforts in these areas are likely to have an important ecological impact beyond 645 their local ecosystem, since they can help maintain and replenish multiple other metapopulations at 646 various destination reefs. Somali reefs to the contrary provide Acanthurus virtual larvae to less than 647 50 reefs and Acropora virtual larvae to less than 10 different reefs. While this may be an artifact of 648 these reefs being near the northern border outflow, the role of Somali reefs in providing recruits of 649 reef species further north is minimal as there are few coral reefs within the immediate north region. 650 Kenyan and Somali reefs are however the most common sink reefs, receiving larvae from many reefs 651 to their south (Figure 11). These northern reefs may be more resilient to local threats. The source and 652 sink patterns reflect the strong, mostly unidirectional south to north flow along the coast. For 653 Acropora larvae, the source and sink maps are much more patchy (Figures 10 and 11, respectively), 654 reflecting the effects of the smaller dispersal scale of Acropora larvae.

655

A recent genetic study of *Acropora tenuis* connectivity in the KT region, reports high but variable connectivity between sample sites, which cluster in 3 different groups: 1) Kenya and northern-Tanzania, 2) southern Tanzania, and 3) sample sites located in the Zanzibar and Pemba channels (Van der Ven, 2016). No clear genetic break on samples collected along 900 km of coral reefs was observed. Also, no genetic differentiation with increasing geographical separation was found, in contrast to similar studies in the Great Barrier Reef and Japan. They associate the genetic uniformity in the KT region with uniform oceanographic conditions promoted by the continuous south to north

663 linear flow of the EACC. The highest differentiation observed in group 3 is associated with local 664 oceanographic conditions causing larval retention. The connectivity patterns of our modelling study 665 agree with their findings, despite the different time scales assessed. The north to south connectivity 666 we report is expected to minimize genetic differences along the KT coast. Our model also 667 corroborates the isolation they infer for their highly differentiated Pemba and Zanzibar Channel sites. 668 Our model results indicate that west Pemba (wP) and west Zanzibar regions, receive the majority of 669 their Acropora virtual larvae through within region recruitment. The circulation patterns depicted by 670 our ROMS model included flow reversals at the northern entrance of the channels and eddy blockage in the southern entrance of the Zanzibar Channel (Mayorga-Adame et al., 2015); these might provide 671 sufficient isolation to upstream sources to produce genetically different Acropora populations in the 672 673 channel sites.

674

675 Genetic connectivity patterns for Pocillopora damicornis (a brooding coral species) based on 676 contemporary gene flow (Souter et al., 2009) can be compared with our modeling results for the 677 longer PLD, broadcast spawner Acropora corals. Souter et al., (2009) identified first generation migrants of P. damicornis at 29 reefs sites in the Kenya-Tanzania region, and therefore determined 678 679 the degree of isolation of the different reefs sampled. They found patchiness in the degree of isolation 680 at very small scales, with marked differences even between lagoon and fringing reefs within the 681 Malindi Marine National Park and Reserve in south Kenya. The patchiness observed in our Acropora 682 source and sink maps is consistent with their results, indicating strong small-scale spatial variability 683 in the number of connections (degree of isolation) among nearby reefs. Souter et al. (2009) identified 684 isolated reefs, highly dependent on local retention for population renewal, in south Kenya, west 685 Pemba, and south Mafia. In the Acropora simulations these regions receive virtual larvae from less 686 than 10 different source reefs (Figure 11). The regional Acropora connectivity matrices (Figure 3b, 687 d) show that local retention is important for these regions. In our regional connectivity results, 688 however, only west Pemba, east Mafia and south Tanzania show relative isolation, receiving 689 Acropora larvae from only three and two other regions respectively; south Kenya in contrast receives 690 settlers from many regions further south. This discrepancy between our model results and Souter et 691 al.'s (2009) genetic study may reflect the different spatial scales considered, since our regional 692 grouping aggregates connections for several reefs that might have different degrees of isolation. 693 Souter et al. (2009) identify Mnemba Conservation Area (in the east Zanzibar region) as a strong

694 source for other sampled sites. This site shows the highest genetic diversity and is similar only to one 695 site in the Dar es Salaam Peninsula and one site in southeast Mafia Island. In the simulation 696 Acropora larvae that settle in the east Zanzibar region, which includes Mnemba Island, come from 697 few origin reefs (mainly Dar es Salaam Peninsula and east Mafia regions, Figure 3b, d). The number 698 of regions that receive Acropora larvae from east Zanzibar ranges between 3 and 5 in the 2000 and 699 2005 simulations. The source map for Acropora (Figure 10) shows that most reefs around Mnemba 700 (east Zanzibar) provide larvae to approximately 30 to 60 different reefs. Our model results identify 701 specific reefs in the west Mafia and southern Tanzania regions as the main providers of Acropora 702 larvae while the genetic results of Souter et al. (2009) do not identify their south Mafia and Mtwara 703 (south of our model domain) sites as important sources. This could be due to the high reef to reef 704 patchiness on the level of isolation identified by both their observational and our modeling study, the 705 uncertainty of which specific reefs were actually sampled for the genetic study, and the shorter PLD 706 of *Pocillopora damicornis*. To the extent allowed by the comparison of this model with the genetic 707 sampling of specific reefs results of Souter et al. (2009), the main connectivity patterns elucidated by 708 their genetic study for an ecologically similar coral species are well represented in the connectivity 709 results provided by the coupled biophysical model for Acropora. This comparison is limited to the 710 regional level, since the exact location of the reefs sampled by Souter et al. (2009) is not reported.

711

Only at the beginning of the spawning season did the ontogenetic vertical migration 'in silico' 712 713 experiments of Acanthurus virtual larvae generate more successful settlers than the 3D passive 714 scenario (Figures 2a and 5). This is inconsistent with prior reports in the literature for various larvae 715 in the Caribbean (Paris et al., 2007) and the California Current System (Drake et al., 2013), where 716 OVM consistently increased settlement success. Differences in shear and stratification of the water 717 column of each region may be responsible for this marked difference among oceanographically 718 distinct regions. The shelf circulation of Kenya and Tanzania is dominated by strong alongshore 719 flows with an increased magnitude offshore, a shallow (i.e. less than 50-100 m) wind driven mixed 720 layer is not common. Northward flow velocities dominate the coastal circulation off Kenya and 721 Tanzania down to 300 m depth during most of the year. Therefore, a vertical migration down to 50 m 722 depth would have little effect on transport pathways. During the SE monsoon (Dec-Mar) the Somali 723 Current flows southward in the upper 100 m north of 3°S. During this period, which encompasses 724 part of the spawning period, staying near the surface, instead of migrating to deeper waters, would

725 facilitate north to south connections and retention if the duration of the pelagic phase includes the 726 seasonal reversal to northward flow. Only during the transition between NE to SE monsoon 727 conditions would a shallow migration result in significantly shorter horizontal displacements for 728 Acanthurus larvae. Intraseasonal and interannual variability increased when the simple ontogenetic 729 vertical migration behavior was implemented because the evolution of the vertical structure of 730 alongshore velocities was markedly different in the two modeled years (Supplementary Figures 2 and 731 4). The ontogenetic vertical migration pattern modeled here is based on the increased depth of the 732 Acanthurus larvae during ontogeny observed by Irisson et al. (2010). The depth of the migration is 733 not well defined and could be site dependent. For example, Irisson et al. (2010) reported post-flexion 734 Acanthurus larvae in the 25-60 m depth range near reefs in French Polynesia, while Oxenford et al. 735 (2008) found aggregations of late Acanthurus larvae to be more abundant at 120 m in the eastern 736 Caribbean Sea. No observations for the East-African coast exist. Observations of vertical distribution 737 and abundance of pelagic larvae concurrent with hydrographic conditions are needed to design more 738 realistic vertical migration experiments, and to assess larval fish responses to temperature, light, or 739 velocity. The implementation of vertical migration in these numerical experiments was highly 740 idealized, shifting all particles to 50 m depth 20 days after release, ignoring their vertical position at 741 that time. This meant that some larvae that had passively advected deeper than the 50 m fixed 742 migration depth were actually displaced upward with this vertical migrating behavior. The number of 743 particles that advected below 50 m depth was not an important fraction of the successful larvae since 744 most larvae stayed in the upper 5 m when passively advected; a small proportion, however, reached 745 depths below 100 m. Migrating only shallow particles downward would be a more realistic scenario 746 as well as distributing the particles within a broader depth range rather than fixing them to a single 747 specific depth. Many other, perhaps more realistic scenarios are possible next steps. However, in-situ 748 data of larvae depth distributions would be required to properly parameterize more realistic scenarios. 749 The aim of the simple scenario modeled here was to illustrate the potential effects on connectivity of 750 an ontogenetic vertical migration to 50 m (with depth keeping) in a rapidly evolving water column 751 with deep stratification and strong shear.

752

The numerical experiments presented here are deterministic and represent a population where all larvae develop and behave identically, without actively responding to its environment. Real larvae are complex organisms, with strong inter-specific and potentially intra-individual variability in

756 physiology and behavior, constantly reacting to their environment. Complex models with behavior 757 cueing on the environmental conditions experienced by the virtual larvae have been developed (e.g. 758 Armswhorth, 2001; Saaterman et al, 2012; Wolansky and Kingsford, 2014). Assuming that larvae are 759 well adapted to the pelagic phase, larval behavior, particularly sensing, orientation and swimming 760 abilities would enhance their probability of finding suitable settlement habitat, which might reduce 761 interannual variability in settlement success. However, when challenged by increased environmental 762 variability due to climate change effects, their strategies may not be guaranteed to work. The 763 numerical experiments presented here, although idealized, serve as an initial effort to develop 764 hypotheses that might be examined using more complex models and empirical studies. Monitoring 765 recruitment of coral reef organisms is basic to assessing the effects of environmental variability on 766 settlement success. Having long term time series of recruitment of coral reef dependent species in the 767 Kenya-Tanzania region would be valuable for "tuning" models, as has been done for other coral reef 768 regions (i.e. Sponaugle et al., 2012).

769

770 The fraction of released larvae that settle on suitable habitat is highly sensitive to the individual's 771 habitat perception and swimming abilities; further knowledge regarding the capabilities of coral reef 772 larvae to perceive, navigate and settle on suitable habitat is a very important and a challenging piece 773 of information to obtain. Both in-situ and laboratory observations of larval development and behavior 774 are needed to further increase the realism of modeling experiments. The dependence of PLD on 775 temperature is well established for aquatic organisms (O'Connor et al., 2007) but observations for the 776 studied genera are insufficient to adequately parameterize the functional response between 777 temperature and PLD. The inclusion of temperature dependent PLD in bio-physical models is 778 essential for examining climate change effects on connectivity and settlement success of marine 779 larvae (Lett et al., 2010; Figueiredo et al., 2014). Changes in ocean circulation will alter connectivity 780 patterns, but physiological effects due to the increased temperature will also have an important effect 781 (Munday et al., 2009; Lett et al., 2010; Kendall et al., 2016). Reduced pelagic larval durations are 782 expected under faster developmental rates, which could lead to a reduction in dispersal distances and 783 the spatial scale of connectivity (Munday et al., 2009; Lett et al., 2010). Bio-physical modeling 784 connectivity studies including temperature dependent PLD report increased local retention (Andrello 785 et al., 2015; Figueiredo et al., 2014) and significant changes in Marine Protected Area network 786 interconnectivity (Andrello et al., 2015) under climate change scenarios. Well-informed idealized

experiments that include temperature dependent PLD of virtual larvae are a future direction for
assessing the effects of climate change scenarios on connectivity and recruitment of coral reef
organisms in the East-African coast.

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791 Larvae in the ocean are subject to mixing at scales smaller than those represented in the ocean 792 circulation model. In particle tracking models these unresolved motions are often implemented as a 793 random walk scaled by the model diffusivity. Simulations that implement a random walk to mimic 794 diffusion are considered more realistic but computationally expensive. We conducted a few 795 sensitivity experiments that included 3-dimensionally variable vertical diffusion. Simulations that 796 included vertical diffusion (not shown) reproduced the main connectivity patterns produced by the 797 3D advective only experiments, but with smaller connectivities-mostly due to greater vertical 798 dispersion that subjected larvae to greater horizontal flow variation. These results are probably more 799 realistic for early or weakly swimming larvae (e.g., coral species) that are unable to maintain their 800 vertical position in the water column in the presence of vigorous vertical mixing.

801

802 While reef-to-reef connectivity is important in metapopulation ecology, regional connectivity is 803 expected to be more robust to the uncertainty introduced by the oceanographic and biological assumptions made in these models. Region to region connectivity matrices synthesize the 804 805 information of reef to reef connectivity matrices, making it more manageable and easier to interpret. 806 The regional summary could assist managers, policy makers and the general public to understand the 807 interconnections among coral reef regions due to pelagic larval dispersion of their local populations. 808 Previous bio-physical connectivity studies highlight the importance of considering larval connectivity 809 at regional levels when trying to prioritize the implementation of management strategies for both 810 conservation and fisheries enhancement goals. One of the insights of examining connectivity at a 811 regional scale is that the importance of international connections becomes obvious, as has been 812 shown by Kough et al. (2013) for the Mesoamerican reefs and by Rossi et al., (2014) for the 813 Mediterranean Sea. In all numerical experiments Tanzanian reefs were an important source of settlers 814 to Kenyan reefs; this provides insight and guidance on the spatial scale at which management 815 strategies are required and points to the need for regional international collaborations in order to 816 provide enduring conservation measures and protection to the east African coral reef ecosystem.

817

818 This modeling study is a first approach to understanding the connectivity among coral reef 819 populations in a data poor region. The information provided, even though preliminary, presents a 820 general pattern of the potential regional connectivity and identifies particularly resilient and 821 vulnerable areas as well as the hydrodynamic features driving the connections. Spatial scales of 822 connectivity and settlement success rates are within the ranges reported by other bio-physical 823 modeling studies for similar genera in other coral reef regions (Paris et al., 2007; Dorman et al., 824 2015). However, the robustness of the connectivity patterns presented needs to be further evaluated 825 by performing experiments for more years and longer spawning seasons, and carrying out more 826 extensive sensitivity analysis to the model assumptions. After gaining more confidence in the 827 modeled connectivity patterns, the information provided by this modeling study could be carefully 828 and critically evaluated, in order to be applied to optimize the effectiveness of marine protected area 829 management and other marine protection efforts. Further modeling experiments similar to those 830 presented here, but better informed by empirical data, and including the capability of larvae to 831 respond to the ocean conditions will provide greater detail on the complex biophysical interactions 832 that occur in the sea, and will provide a more realistic, and less uncertain, representation of 833 connectivity patterns. These results will aid in understanding how a range of species specific 834 individual responses influence the distribution and connectivity patterns and should enable more 835 specific guidelines for spatial management that provide better resource resiliency and protection 836 throughout the Kenya-Tanzania coastal region.

837

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1305	
1306	8. Figures
1307	8.1 Figure legends
1308 1309 1310 1311	Figure 1: Study area with coral reefs grouped by color into 15 regions: $sS = south$ Somalia, $nK = north$ Kenya, $sK = south$ Kenya, $wP = west$ Pemba, $eP = east$ Pemba, $nP = north$ Pemba, $nT = north$ Tanzania, $wZ = west$ Zanzibar, $eZ = east$ Zanzibar, $cT = central$ Tanzania, $DP = Dar$ es Salaam Peninsula, $oR = offshore$ Reef, $wM = west$ Mafia, $eM = east$ Mafia, and $sT = south$ Tanzania.
1312	
1313 1314	Figure 2: Percentage of settlement success per each simulated release date during 2000 and 2005 for the a) <i>Acanthurus</i> and b) <i>Acropora</i> genera.
1315	
1316 1317 1318 1319 1320 1321 1322	Figure 3: Region to region connectivity matrices with reefs grouped into 15 regions marked with different colors in Figure 1 and identified as sS=south Somalia, $nK = north$ Kenya, $sK = south$ Kenya, $wP = west$ Pemba, $nP = north$ Pemba, $eP = east$ Pemba, $nT = north$ Tanzania, $wZ = west$ Zanzibar, $eZ = east$ Zanzibar, $cT = central$ Tanzania, $DP = Dar$ es Salaam Peninsula, $oR = offshore$ Reef, $wM = west$ Mafia, $eM = east$ Mafia, and $sT = south$ Tanzania. Color and size of the circles are proportional to the percentage of successful connections from source to sink reefs according to the colorbar.
1323	
1324 1325	Figure 4: Percentage of settlement success per each simulated release date during 2000 and 2005 for <i>Acanthurus</i> virtual larvae with an idealized Ontogenetic Vertical Migration (OVM).

Figure 5: Region to region connectivity matrices for *Acanthurus* virtual larvae with OVM. Reefs were grouped into 15 regions identified by two letters in Figure 1. Color and size of the circles are proportional to the percentage of successful connections from source to sink reefs according to the colorbar.

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- Figure 6: Settlement success for Acropora (magenta circles) and *Acanthurus* (green triangles) larvae with different perception distances (10, 500 and 4000 m). The mean of 10 release dates during 2000
- 1334  $(\pm 1 \text{ standard error})$  is shown.

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- Figure 7: *Acanthurus* connectivity matrix for the 26 origin reefs selected for the experiments including vertical diffusion (Supplementary Figure 5), showing only the unique connections present in either the advective only experiments (blue) or the advection-diffusion experiments (red). The figure is the cumulative result of 3 release dates in each modeled year (2000 and 2005). The size of the bubbles is proportional to the percentage of particles exchanged with the biggest bubble
- 1341 representing 11%)

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Figure 8: Reefs color coded by the number of different destination reefs reached by *Acanthurus* virtual larvae originated from them, in both simulated years to identify the best source reefs.

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Figure 9: Reefs color coded by the number of different source reefs it received *Acanthurus* virtuallarvae from, in both simulated years to identify the best sink reefs.

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- 1349 Figure 10: Reefs color coded by the number of different destination reefs reached by *Acropora*
- 1350 virtual larvae originated from them in both simulated years to identify the best source reefs.

- 1352 Figure 11: Reefs color coded by the number of different source reefs it received *Acropora* virtual
- 1353 larvae from, in both simulated years to identify the best sink reefs.





















