

1 **Recent wind-driven variability in Atlantic water mass distribution and**
2 **meridional overturning circulation**

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ABSTRACT

22 Interannual variability in the volumetric water mass distribution within the
23 North Atlantic subtropical gyre is described in relation to variability in the
24 Atlantic Meridional Overturning Circulation. The relative roles of diabatic
25 and adiabatic processes in the volume and heat budgets of the subtropical gyre
26 are investigated by projecting data into temperature coordinates as volumes of
27 water using an Argo based climatology and an ocean state estimate (ECCO
28 v4). This highlights that variations in the subtropical gyre volume budget are
29 predominantly set by transport divergence in the gyre. A strong correlation
30 between the volume anomaly due to transport divergence and the variability of
31 both thermocline depth and Ekman pumping over the gyre suggests that wind-
32 driven heave drives transport anomalies at the gyre boundaries. This wind-
33 driven heaving contributes significantly to variations in the heat content of the
34 gyre, as do anomalies in the air–sea fluxes. The analysis presented suggests
35 that wind forcing plays an important role in driving interannual variability in
36 the Atlantic meridional overturning circulation, and that this variability can
37 be unraveled from spatially-distributed hydrographic observations using the
38 framework presented here.

39 **1. Introduction**

40 The Atlantic meridional overturning circulation (AMOC) is commonly defined in the depth-
41 latitude plane as the large-scale hemispheric exchange of northward-flowing warm and saline sur-
42 face waters with compensating southward-flowing cold and fresh deep waters (Talley 2013). The
43 resultant northward heat transport within the North Atlantic affects both the long-term climatic
44 state over northern Europe (Trenberth and Caron 2001; Johns et al. 2010), and the interannual
45 climate variability across the North Atlantic basin (Maidens et al. 2013). This interannual vari-
46 ability can be very pronounced. In 2009-2010 for example, an observational estimate at 26°N
47 revealed a temporary reduction in the AMOC strength from a mean of 18.5 Sv (2004–2009) to
48 12.8 Sv between 2009 and mid-2010 ($1 \text{ Sv} = 1 \times 10^6 \text{ m}^3 \text{ s}^{-1}$) (McCarthy et al. 2012). It remains
49 unclear whether this change occurred due to local atmospheric forcing anomalies (Roberts et al.
50 2013; Buckley et al. 2014; Yang 2015), or through remotely forced changes in the overturning
51 (Cunningham et al. 2013; Sonnewald et al. 2013; Bryden et al. 2014).

52 Understanding the relative roles of atmospheric forcing and intrinsic ocean dynamics in the heat
53 and salt budgets of the North Atlantic Ocean requires a careful separation of many processes that
54 often feed back on each other. The role of the atmosphere is often divided between the long-term
55 impact of buoyancy forcing due to air-sea fluxes of heat and freshwater, and the action of winds
56 on the sea-surface (Polo et al. 2014; Forget and Ponte 2015). The ocean circulation can adjust to
57 the latter on short time scales (hours to months) through barotropic dynamics (Willebrand et al.
58 1980; Andres et al. 2011, 2012), and on longer timescales (years to decades) through various
59 baroclinic modes (Anderson and Gill 1975; Williams et al. 2013; Forget and Ponte 2015). Both
60 processes affect the ocean by altering its circulation meridionally and zonally. The forced oceanic
61 responses can propagate to remote locations through boundary or Kelvin waves along the equator

62 and ocean margins, and through the interior as westward-propagating Rossby waves (Johnson and
63 Marshall 2002; Forget and Ponte 2015). The action of the wind on the sea-surface may also affect
64 circulation changes by driving near surface advection and enhancing near-surface mixing.

65 Here, we investigate the drivers of interannual AMOC variability as defined and measured using
66 mooring based arrays. We use a water mass analysis framework (Walín 1982; Speer and Forget
67 2013; Evans et al. 2014; Zika et al. 2015), in which we project data from a gridded Argo product
68 (Roemmich- Gilson Argo climatology: RGAC; Roemmich and Gilson 2009) and an ocean state
69 estimate (Estimating the Circulation and Climate of the Ocean version 4: ECCO v4; Forget et al.
70 2015a) onto temperature coordinates (Evans et al. 2014). Using this framework, we quantify inter-
71 annual variations in water mass inventories of the subtropical gyre. The averaging and smoothing
72 required to produce monthly gridded data sets (RGAC and ECCO v4) helps to reduce the impact
73 of aliased variability associated with mesoscale eddies (e.g., see Forget et al. 2011). We then as-
74 sess the extent to which water mass volume changes are driven by air-sea exchanges of heat (Speer
75 1993) using various air-sea flux products (ECCO v4, Kalnay et al. 1996; Yu et al. 2006; Dee et al.
76 2011). We further use ECCO v4 to determine the contributions from lateral transports to water
77 mass inventory changes between 26°N and 45°N and go on to assess the relationship between
78 those transport variations and perturbations in the wind-stress curl (Dee et al. 2011; Yu and Jin
79 2014) during the same period.

80 In this study, we show that interannual AMOC variability at 26°N is associated with changes in
81 water mass inventories in the subtropical Atlantic. We describe the data and methods used for this
82 study in section 2. In sections 3 and 4, we use the water mass transformation framework to show
83 that the variability in the water mass volume of the subtropical North Atlantic is primarily driven
84 by adiabatic changes in the circulation of the subtropical gyre in response to anomalous wind-
85 stress curl in the region. However, some fluctuations in heat content anomaly cannot be explained

86 entirely by adiabatic processes, but require a diabatic contribution through air-sea fluxes of heat.
87 In section 5 we present evidence that suggests local wind forcing drives much of the observed
88 interannual variability in the AMOC, and discuss the potential for monitoring this variability with
89 basin-scale hydrographic observations.

90 **2. Data and Methods**

91 *a. Data*

92 This study uses gridded hydrographic observations, a mooring-based AMOC estimate, a
93 full ocean state estimate and atmospheric reanalyses products to understand the diabatic
94 and adiabatic contributions to water mass variability in the subtropical North Atlantic dur-
95 ing the period 2004-2012. From each product we therefore use data between the latitudes
96 of 26°N and 45°N in the North Atlantic. The gridded hydrographic observations are the
97 Roemmich–Gilson Argo climatology (RGAC; Roemmich and Gilson 2009) accessed at [http://sio-](http://sio-argo.ucsd.edu/RG_Climatology.html)
98 [argo.ucsd.edu/RG_Climatology.html](http://sio-argo.ucsd.edu/RG_Climatology.html). In this monthly product the temperature and practical salin-
99 ity data are gridded horizontally using objective analysis on a 1-degree grid and vertically at inter-
100 vals of 10m at the surface increasing to 50m at the maximum depth of 1975m. From these monthly
101 maps we calculate the Conservative Temperature (units=°C) and Absolute Salinity (units=g kg⁻¹)
102 according to TEOS-10 (IOC et al. 2010). To mitigate the effect of water adiabatically heaving
103 across the base of the RGAC domain, our calculation of volume in Conservative Temperature
104 classes only includes water lighter than $\sigma_0 = 27.77$ (σ_0 is the potential density anomaly refer-
105 enced to a sea pressure of 0 dbar) in RGAC. In our domain, this surface is never deeper than
106 1975m. This ensures that the measured volume of water does not change due to the heaving of
107 water below the maximum depth of RGAC. Setting this limit using an isopycnal, as opposed to an

108 isotherm, is preferable due to the large meridional gradients in Conservative Temperature/Absolute
109 Salinity along isopycnals within the subtropical North Atlantic. Thus in RGAC, using an isopyc-
110 nal limit allows colder Conservative Temperature classes that have a lower Absolute Salinity, and
111 thus never have below 1975m to be included.

112 We also use monthly potential temperature and practical salinity from the Estimating the Circula-
113 tion and Climate of the Ocean version 4.11 (ECCO v4) state estimate accessed at <http://www.ecco->
114 group.org that closely fit Argo data (Forget et al. 2015a). This dataset further provides velocity,
115 transport and surface flux estimates that are dynamically consistent with the estimated hydrogra-
116 phy. Throughout, we will refer to Conservative Temperature (from RGAC) and potential temper-
117 ature (from ECCO v4) as Θ , Absolute Salinity as S_A (RGAC) and practical salinity (ECCO v4)
118 as S . The interchangeable use of Conservative Temperature and potential temperature introduces
119 a small but negligible error. When using Conservative Temperature and Absolute Salinity we use
120 the equation of state according to TEOS-10. When calculating density from potential temperature
121 and practical salinity we use EOS-80.

122 We rely on complementary data sets to verify our interpretation of the results. An estimate of the
123 AMOC strength and variability at 26°N is obtained from the RAPID-WATCH MOC monitoring
124 project (Smeed et al. 2015). We additionally use monthly mean fields for shortwave radiation,
125 longwave radiation, sensible heat flux and latent heat flux from the NCEP/NCAR (Kalnay et al.
126 1996) and ERA-interim (Dee et al. 2011) reanalyses to calculate net air-sea heat flux. These
127 have horizontal resolutions of $\sim 1.9^\circ$ and 0.75° respectively. We obtain sea surface temperature
128 (SST; horizontal resolution of 1°) from the NOAA optimally interpolated SST product (hereinafter
129 ‘Reynolds-SST’) as described in Reynolds et al. (2004). For the calculation of windstress curl we
130 use windstress products from the Woods Hole Oceanographic Institution objectively analyzed air-

131 sea flux (OAFlux) project (Yu and Jin 2014), calculated using the COARE 3.0 algorithm, which
132 has a horizontal resolution of 0.25° .

133 The observational estimates used in this study are not all independent of one another. ECCO v4
134 uses the same Argo temperature and practical salinity data as used in RGAC and takes SST from
135 the Reynolds–SST maps. Further, the first guess atmospheric variables in ECCO v4 were taken
136 from ERA-interim. OAFlux winds use ERA-interim and NCEP/NCAR fields, which includes
137 the scatterometry used in the RAPID-WATCH MOC estimate. ECCO v4 does not use RAPID-
138 WATCH MOC estimates or the underlying Florida Straits transport and scatterometry data. The
139 transport estimates from ECCO v4 and RAPID-WATCH may therefore be considered indepen-
140 dent. RGAC can be considered independent from all other estimates used here except for ECCO
141 v4. However, the comparison of observational estimates that are based on very different method-
142 ologies, such as ECCO v4 and RGAC, can provide crucial insight into errors that may contaminate
143 such data products.

144 On the one hand, ECCO v4 estimates include many constraints (observational and dynamical)
145 that can be useful to prevent overfitting to individual datasets, but on the other hand the same
146 constraints may also make it difficult to eliminate widespread misfits completely (several examples
147 are provided in Fig. 10 of Forget et al. 2015a). In this regard it should be noted that ECCO v4
148 is a greatly improved (albeit surely imperfect) fit to Argo as compared to earlier solutions due to
149 the optimization of turbulent transport parameterizations (see Forget et al. 2015b). RGAC should
150 be expected to closely fit individual Argo profiles since the only other constraint used is an error
151 covariance model. However, this approach is likely more prone to the random errors associated
152 with the irregular sampling of the eddy field by Argo than the ECCO v4 estimate (see Fig. 1 for
153 example).

154 *b. Calculation of water mass volume and diathermal transformations*

155 The methods described here are based on the water mass framework of (Walín 1982) applied to
 156 a time varying ocean (Evans et al. 2014; Zika et al. 2015). The volume of water within a given Θ
 157 class, delimited by $\Theta^* \pm \Delta\Theta/2$, is given by

$$V(\Theta^*, t) = \iiint \Pi(\Theta, \Theta^*) dx dy dz \quad (1)$$

158 where Π is a boxcar function that is either 1 when $\Theta(x, y, z, t)$ is within the $\Theta^* \pm \Delta\Theta/2$ range, or
 159 otherwise 0. For simplicity this is written in Cartesian coordinates, but in practice these formula
 160 are expressed in spherical polar coordinates. We compute V in the Atlantic between 26°N and
 161 45°N for each month using a nominal grid spacing $\Delta\Theta$ of 0.5°C .

162 The volume, V is set in part by the inflow of water at the boundaries of the domain (e.g. 26°N
 163 and 45°N). At latitude ϕ the relevant transport is

$$M_\phi(\Theta^*, t) = \iint \Pi(\Theta, \Theta^*) v dx dz \quad (2)$$

164 where $v(x, z, t)$ is the meridional velocity component normal to the domain boundary at latitude ϕ
 165 (Ferrari and Ferreira 2011; Forget et al. 2011). The volume change set by the divergence of trans-
 166 port across our domain is therefore given by $M = M_{26^\circ\text{N}} - M_{45^\circ\text{N}}$. This is the adiabatic component
 167 of the water mass inventory.

168 Water mass transformations across surfaces of constant Θ represent the diabatic contribution to
 169 the water mass inventory. These diathermal transformations are the integral of the component of
 170 the velocity perpendicular to a given iso-thermal surface. The volume of water being transformed
 171 into the $\Theta^* \pm \Delta\Theta/2$ class can be written as $G(\Theta^*, t) = g(\Theta^* - \Delta\Theta/2, t) - g(\Theta^* + \Delta\Theta/2, t)$ with

$$g(\Theta^* - \Delta\Theta/2, t) = \int_{\Theta^* - \Delta\Theta/2} \frac{1}{|\nabla\Theta|} \frac{\partial\Theta}{\partial t} + \mathbf{u} \cdot \frac{\nabla\Theta}{|\nabla\Theta|} dA \quad (3)$$

172 where $\int_{\Theta^* - \Delta\Theta/2} dA$ is the area integral over the isothermal surface where $\Theta(x, y, z, t) = \Theta^* - \Delta\Theta/2$
 173 and $\mathbf{u}(x, y, z, t)$ denotes the three-dimensional velocity field. Equation (3) describes the rate at
 174 which water crosses an isotherm from cold to warm. In (3) without mixing processes and/or air-
 175 sea fluxes that allow $\frac{\partial\Theta}{\partial t} + \mathbf{u} \cdot \nabla\Theta$ to differ from 0, isothermal surfaces would be impermeable and
 176 strictly follow water parcels. The overall budget for V thus is written as

$$\frac{dV}{dt} = M + G \quad (4)$$

177 Practically diagnosing both the adiabatic (M) and diabatic (G) contributions to the water mass
 178 inventory change from velocity measurements is difficult. In practice these are therefore deter-
 179 mined from changes in the volumetric distribution $V(\Theta^*, t)$. In the case of RGAC, only the net
 180 change in $V(\Theta^*, t)$ is readily available. We solve for the monthly transformation rates between
 181 temperature classes implied by the monthly $\frac{dV}{dt}(\Theta^*, t)$ by building a series of linear equations to
 182 describe the known volume change in each Θ class in terms of the unknown transformation rates
 183 in equation (4) as described in Evans et al. (2014). The results are presented in units of Sverdrups
 184 (Sv; $1 \text{ Sv} = 1 \times 10^6 \text{ m}^3\text{s}^{-1}$), where a positive transformation implies a shift of $V(\Theta^*, t)$ towards
 185 warmer Θ classes. It should be noted that the results do not necessarily describe the actual path of
 186 water through Θ coordinates (because M may be non zero) but rather the net changes in volumetric
 187 distribution (that can be either diabatic or adiabatic in nature). In the case of ECCO v4, M can
 188 be determined using the estimated velocity fields (section 2c). We thus apply the computational
 189 method outlined above to the monthly ECCO v4 estimates of both dV/dt and M .

190 The diathermal transformation $G(\Theta^*, t)$ can be split into contributions due to air-sea heat fluxes
 191 $E(\Theta^*, t)$ and mixing $F(\Theta^*, t)$ as

$$G(\Theta^*, t) = E(\Theta^*, t) + F(\Theta^*, t). \quad (5)$$

192 Using a method similar to Speer (1993), we calculate the rate of water entering the $\Theta^* \pm \Delta\Theta/2$
 193 class due to air–sea heat fluxes as $E(\Theta^*, t) = e(\Theta^* - \Delta\Theta/2, t) - e(\Theta^* + \Delta\Theta/2, t)$ with, for example

$$e(\Theta^* - \Delta\Theta/2) = \frac{1}{\rho C_p \Delta\Theta} \iint \Pi(\Theta, (\Theta^* - \Delta\Theta/2) \pm \Delta\Theta/2) q_{net} dx dy \quad (6)$$

194 where q_{net} is the net surface heat flux (W m^{-2}), ρ is the mean density over the $\Theta^* - \Delta\Theta/2$ isotherm,
 195 and C_p is the specific heat capacity of seawater. Here, Π is a boxcar function that is either 1 when
 196 $\Theta(x, y, z, t)$ is within the $(\Theta^* - \Delta\Theta/2) \pm \Delta\Theta/2$ range, or otherwise 0. This computation is carried
 197 out using three q_{net} estimates from NCEP/NCAR, ERA-Interim, and ECCO v4. In NCEP/NCAR
 198 and ERA-interim we use Reynolds SST to compute equation (6).

199 It should be expected that instrumental and sampling errors would affect the volumetric distri-
 200 butions and diathermal transformations calculated as part of this study. Specifically, the aliasing
 201 of eddy heave by Argo profiles may increase the error associated with our results. In an attempt to
 202 quantify such sampling errors we randomly impose a heave of either -30m or +30m to each grid
 203 point and time–step in RGAC, but uniformly to all depths for each grid point. Therefore, a given
 204 grid point at (x, y) and a heave of 30m for example, $\Theta(x, y, z, t)$ becomes $\Theta(x, y, z + 30m, t)$. We do
 205 not decrease the heave to zero at the surface so that if $z + 30m$ is above the sea surface, Θ is returned
 206 to its original value at 0m. This simple approach serves to illustrate the effect of heave, while only
 207 imposing a small bias to the surface Θ/S_A classes. We then re-calculate the water mass volumes
 208 and the resulting implied transformations and subtract them from the reference result (Fig. 2).
 209 The induced error in water mass volume is an order of magnitude less than the variability in water
 210 mass volume (Fig. 2a). The added eddy heave does however generate relatively large variability in
 211 the implied month to month transformation rates (Fig. 2b). A similar check using a representative
 212 instrumental error for temperature sensors used on Argo floats (0.002°C) had a limited impact on

213 the calculated water mass volumes and diathermal transformations, giving variations that were 1-2
214 orders of magnitude smaller than the respective anomalies of these variables.

215 *c. Calculation of the volume change due to the divergence of transport in the subtropical gyre*

216 We calculate the volume change in Θ coordinates due to transport changes, M , using fields for
217 velocity and Gent-McWilliams (Gent and McWilliams 1990) bolus transport from ECCO v4. The
218 contribution due to resolved sub-monthly variations in velocity and temperature are small in this
219 model and are neglected but would be important at eddy permitting resolution (Doddridge et al.
220 2016). We consider transects of Θ and the total meridional transport per grid cell at 26 and 45°N,
221 and calculate the divergence of the monthly mean transport for each Θ class. From these changes
222 we then determine the implied volume fluxes between Θ classes as described above.

223 Wunsch and Heimbach (2013) show that ECCO v4 simulates well the magnitude and variabil-
224 ity of the Eulerian RAPID-WATCH AMOC estimate, although with a slightly reduced range of
225 variability. Here we define the Eulerian overturning circulation in ECCO v4 as the maximum of
226 $\Psi(z, t) = \int \int_z^\eta v dx dz$, where v is the meridional component of velocity and η is the sea surface.
227 It is displayed in units of Sv. A comparison of the time-series (Fig. 3) reveals the good agreement
228 between the AMOC estimates with a correlation coefficient of 0.68 through the overlapping period
229 from 2004-2011 (significant at 95% confidence interval).

230 Also shown in Fig. 3 is the time-mean (1992-2012) water mass volume change from ECCO v4
231 within the chosen domain due to the divergence of transports across 26°N and 45°N, and the con-
232 tribution towards the volume change due to the net transports across the individual sections. These
233 are plotted against Θ and S to better highlight the contrasting zonal structure of the subtropical
234 gyre (hereinafter ‘the gyre’) captured by this projection at 26°N and 45°N, providing context for
235 the discussion in the following sections.

236 This adiabatic volumetric change implied by the addition/removal of water to our domain by
237 lateral transport across 26°N and 45°N in ECCO v4 implies the following. At 26°N, northward
238 transport in the upper ocean, at $\Theta > 10^\circ\text{C}$, predominantly occurs at the western boundary. Fig. 3(c)
239 shows that waters entering the domain (warm colors) are generally warmer and fresher than the
240 water that leaves the domain (cool colors) as part of the southward recirculation of the gyre. Using
241 the framework described above, if this volume change is used to compute the diathermal volume
242 fluxes from equation (4), this would imply a positive (but adiabatic) volume flux of cold into warm
243 water. At $\Theta < 10^\circ\text{C}$, deep water leaving the domain imprints as a loss of cold water, also implying
244 a positive volume flux. In contrast, at 45°N, loss of warmer waters to the north at $\Theta > 10^\circ\text{C}$ is
245 opposed by a southward transport of cold, deep water at $\Theta < 10^\circ\text{C}$, thereby inducing an apparent
246 volume flux of warm water into cold water to the south of 45°N.

247 *d. Calculation of Ekman pumping*

248 We calculate Ekman pumping as the vertical component of the curl of the wind-stress divided
249 by a reference density ($\rho_0 = 1000 \text{ kg m}^{-3}$) and f , the Coriolis parameter, assuming an ocean at
250 rest. Integrating in time we thus obtain estimates of monthly vertical displacements from OAFlux.

251 **3. Diabatic and adiabatic contributions to water–mass volume variability in the Subtropical** 252 **Gyre**

253 First we explore the variability of water mass volume within Θ classes. A time series of the
254 volumetric distribution in temperature classes highlights both the seasonal variation in the water
255 mass inventory at $\Theta > 10^\circ\text{C}$ and interannual changes over the entire temperature range (Fig. 4(a)
256 and (b)). In both RGAC (left) and ECCO v4 (right) data, we see a seasonal exchange of volume
257 between the warmer surface waters ($\Theta > 18^\circ\text{C}$) and mode/central waters (Θ between 10 and 18°C).

258 This seasonal variability is imprinted on interannual changes in the water masses with the largest
259 volume: subtropical mode water ($\Theta \sim 18^\circ\text{C}$), North Atlantic Central Water ($\Theta \sim 12^\circ\text{C}$) and North
260 Atlantic Deep Water ($\Theta \sim 5^\circ\text{C}$). It is the diabatic and adiabatic contributions to this interannual
261 variability we aim to characterize. ECCO v4 and RGAC volume anomalies are noticeably different
262 at $\Theta < 10^\circ\text{C}$. If water denser than $\sigma_0 = 27.7$ are also excluded in ECCO v4, the two datasets agree
263 more closely. However, excluding water denser than $\sigma_0 = 27.7$ in ECCO v4 does not impact the
264 transformation rates discussed below. During the winter of 2009/10, over a period of 3 months
265 the volume above the permanent thermocline (and depth of maximum overturning; $\Theta > 10^\circ\text{C}$) in
266 both RGAC and ECCO v4 dropped by approximately $2\text{--}3 \times 10^{14} \text{ m}^3$, equivalent to a transport of
267 25 Sv. This is indicative of either a diabatic transformation of warm to cold water, or an adiabatic
268 re-arrangement of water masses associated with an export of upper-ocean waters and an import of
269 deep waters across the domain boundaries.

270 The relative roles of diabatic and adiabatic processes may be assessed by determining the trans-
271 formation of water between temperature classes required to explain the changes in volume shown
272 in Fig. 4 (a) and (b) (RGAC: Fig. 5 and ECCO v4: Fig. 6). The diabatic contribution to the total
273 change ($\frac{dV}{dt}$; Fig. 5(a) and 6(a)) is determined using air-sea heat flux products from NCEP/NCAR
274 (E ; Fig. 5(b)), ERA-interim (Fig. 5(c) and ECCO v4 (Fig. 6(b))). The adiabatic component of
275 change (M) is inferred from the divergence of lateral transports across 26°N and 45°N in ECCO
276 v4 (Fig. 6(c)). In all cases positive values indicate cold water being replaced with warm water
277 within the domain of study.

278 Removing the mean seasonal cycle unveils substantial interannual variability in Figs. 5 and 6.
279 Variability in the anomalous transformations implied by RGAC water mass volume fluctuations
280 are however dominated by noise (Fig. 5). As discussed in section 2b, this may be a consequence
281 of aliased eddy heave. The remaining time-series, and in particular ECCO v4 (Fig. 6(a)) contain

282 anomalously negative signals during the winters of 2009/10 and 2010/11. Such a signal is sugges-
 283 tive of either intensified wintertime cooling or the introduction of excess cold water into our study
 284 region across its northern or southern boundaries at those times. Intensified wintertime cooling is
 285 consistently seen in water mass transformation rates computed from NCEP/NCAR, ERA-Interim
 286 and ECCO v4 surface heat fluxes for temperatures between 15 and 20°C (Fig. 5(b)/(c) and Fig.
 287 6(b) respectively). However the adiabatic component (i.e. M) computed from ECCO v4 (Fig. 6(c))
 288 displays prominent negative anomalies at all temperatures, and in fact explains the bulk of the vol-
 289 umetric census anomalies seen in the winters of 2009/10 and 2010/11 particularly at $\Theta < 15^\circ\text{C}$
 290 (Fig. 6(a)). The relative contribution of diabatic forcing at $\Theta > 15^\circ\text{C}$ and adiabatic forcing through
 291 all Θ are consistent throughout the time-series.

292 Anomalies in the volume of water warmer than 10°C can be computed by integrating $\frac{dV'}{dt}$ with
 293 respect to time and summing over temperature classes according to

$$\mathbb{V}'(10^\circ, t) = \int \sum_{\Theta > 10^\circ} \frac{dV'}{dt} dt \quad (7)$$

294 where the ‘prime’ denotes that the mean seasonal cycle of $\frac{dV}{dt}$ was subtracted. In Fig. 5(d) we
 295 compare this volume anomaly computed from dV'/dt in RGAC (blue line) to the volume anomaly
 296 computed using E from NCEP/NCAR (red dashed) and ERA-interim (magenta dashed). In Fig.
 297 6(d) we compare the volume anomaly computed from dV'/dt in ECCO v4 (blue line) to the
 298 volume anomaly computed using M in ECCO v4 (cyan line) and volume anomalies computed
 299 using E from ECCO v4 (red line), NCEP/NCAR (red dashed) and ERA-interim (magenta dashed).

300 This further highlights the dominant role of the adiabatic term in setting the distribution of
 301 volume in Θ classes within the gyre. The contribution of air–sea heat fluxes to \mathbb{V}' at $\Theta > 10^\circ\text{C}$
 302 will only increase if the domain was extended poleward, beyond the surface outcrop of the 10°C
 303 isotherm. For control volumes like ours in which the northern boundary mostly lies equatorward

304 of the 10°C outcrop, air-sea heat fluxes only drive exchange between water mass classes warmer
 305 than 10°C rather than across the 10°C isotherm, so that the total volume warmer than 10°C remains
 306 unchanged. The RGAC data is again dominated by noise making it difficult to assess the variability
 307 shown in Fig. 5(d).

308 The adiabatic term, driven by the divergence of transport at the boundaries of our domain, can
 309 be separated into its components at 26°N (cyan long dashed) and 45°N (cyan short dashed; Fig.
 310 7(a)) in ECCO v4. The implied volume anomalies evaluated at $\Theta > 10^\circ\text{C}$ compare well with
 311 the AMOC integrated over time in RAPID-WATCH (magenta) and ECCO v4 at 26°N (gray long
 312 dashed) and 45°N (gray short dashed). There are some differences between the RAPID-WATCH
 313 volume anomaly and the adiabatic volume term from ECCO v4 (solid cyan), because the latter
 314 includes changes due to transport at both 26°N and 45°N. There is also disagreement between
 315 the adiabatic volume term based on the transport at 45°N (short dashed cyan) and the ECCO v4
 316 overturning at 45°N (short dashed gray) during 2009, which is associated with a deepening of the
 317 10°C isotherm at the western boundary that is not matched by a change in the depth of maximum
 318 Ψ_z . Importantly the good agreement between the magenta and cyan lines in Fig. 7(a) reveals
 319 the importance of the transport variability at 26°N in determining the volume budget of the gyre
 320 between 26°N and 45°N.

321 Anomalies in the heat content of water warmer than 10°C can then be computed according to

$$\mathbb{H}'(10^\circ, t) = \rho_0 c_p \int \sum_{\Theta > 10^\circ} \Theta \frac{dV'}{dt} dt \quad (8)$$

322 where ρ_0 is a reference density and c_p is the (constant) specific heat capacity of water so that \mathbb{H}' has
 323 units of Joules. Palmer and Haines (2009) demonstrated the value of such an approach to analyze
 324 heat content changes using isotherms. The present approach allows the separation of heat content
 325 changes due to the adiabatic addition/removal of water at $\Theta > 10^\circ\text{C}$ and the warming/cooling of

326 water at $\Theta > 10^{\circ}\text{C}$. Time-series of \mathbb{H}' are shown in Fig. 7(b) from the total volume changes in
327 ECCO v4 (blue line), the transport divergence in ECCO v4 (cyan lines) and the air-sea heat fluxes
328 from ECCO v4 (red line), NCEP/NCAR (red dashed) and ERA-interim (magenta dashed). The
329 large dashed and small dashed cyan lines show the contributions to \mathbb{H}' in ECCO v4 by transports
330 at 26°N and 45°N respectively. A negative (positive) slope represents a cooling (warming) in the
331 upper ocean.

332 In the discussion below all correlations are significant at the 95% confidence interval during the
333 displayed time-frame of 2004–2012. According to ECCO v4, diabatic air-sea fluxes and adiabatic
334 advection play a roughly equal role in setting the variability of \mathbb{H}' with correlations of $r = 0.89$ and
335 $r = 0.84$ respectively. Variability in transport at 26°N correlates more strongly with the adiabatic
336 contribution to \mathbb{H}' ($r = 0.96$) than the transport at 45°N ($r = 0.73$). Between 2004 and 2012 the
337 standard deviation of the total \mathbb{H}' (blue line; $2.9 \times 10^{21}\text{J}$) is mostly determined by the advective
338 term, which has a standard deviation of $1.7 \times 10^{21}\text{J}$. From equations (4) and (5), differences be-
339 tween the sum of the air-sea flux and advective terms and the total \mathbb{H}' allude to the contribution
340 of mixing, but some of this difference may also be due to an insufficient temporal resolution since
341 we use monthly fields in our computations.

342 The contribution of the adiabatic advective terms in Fig. 6 and Fig. 7 to the negative anomalies
343 during the winters of 2009/10 and 2010/11 suggests that a lateral re-arrangement of water masses
344 across the mid-latitude North Atlantic is related to the abrupt, short-term decline in the AMOC at
345 26°N during these winters. At 26°N , the negative volume flux anomalies in Fig. 6(a)-(c) and the
346 negative slope of the cyan dashed curve in Fig. 7(a) imply a reduction in the upper-ocean exchange
347 of warm/fresh and cold/salty water driven by the gyre circulation and an increased transport in
348 the deep ocean (Fig. 3 and section 2c). At 45°N , the negative volume flux anomalies in Fig.
349 6(a)-(c) and the negative slope of the cyan dotted curve in Fig. 7(a) suggest an increase in both

350 the northward transport of warm water and/or southward transport of cold water in the winter
351 of 2009/2010. The combination of anomalous transports at 26°N and 45°N yields an adiabatic
352 volumetric change due to a divergence above the thermocline and a convergence below, consistent
353 with our inferred volumetric changes (Fig. 4) and with the negative anomalies in Fig. 6.

354 **4. Mechanisms of adiabatic water mass variability during 2009/10 and 2010/11**

355 The most plausible driver of such a rapid perturbation in the lateral transport through the bound-
356 aries of our study region is a change in wind forcing. We consider the relative configuration of the
357 wind-stress and ocean circulation over our region of interest during the winter of 2009/10. Differ-
358 ences exist between the RGAC and ECCO v4 isotherm displacement maps (Figs. 8a and 9a) that
359 may reflect errors in one or both of the estimates. RGAC often shows a checkerboard pattern that
360 we suspect may reflect an aliasing of mesoscale eddy variability (based on Fig. 1 and the overall
361 noisiness of RGAC results). Alternatively, it is possible that ECCO v4 underestimates isothermal
362 shoaling over wide regions between 26°N and 45°N where it shows lower values than RGAC.
363 However, there is also a general agreement between the two estimates regarding broad patterns of
364 deepening (e.g., in the subpolar gyre, the eastern Atlantic, and over the Gulf Stream) and shoal-
365 ing (e.g., in the western subtropics and tropics, and along the North Atlantic drift). In particular
366 the overall shoaling seen in both estimates between 26°N and 45°N , which is of most concern to
367 this paper, appears to be a robust feature rather than an artifact due to a particular methodological
368 choice.

369 During the period of reduced AMOC, a southward shift in the zonal wind-stress maximum (Fig.
370 8(d)) precedes this shoaling (Figs. 8(c) and 9(b)). Note that the southward shift of the westerlies
371 over the mid-latitude North Atlantic in the winter of 2009/10 was uniquely prolonged during our
372 study period. The southward shift of the wind affects the meridional profile of wind-stress curl,

373 generating anomalously positive curl between 35°N and 45°N and anomalously negative curl be-
374 tween 26°N and 35°N (Fig. 8(b)). This is consistent with a banded structure in maps of Ekman
375 pumping anomaly and isotherm displacement estimates that is most distinctly seen in Fig. 9a. The
376 changes in isotherm depth and the wind-stress over the subtropical gyre (Figs. 8a and 9a) suggests
377 that the wind-driven gyre circulation shifted south in response to the changing wind field.

378 During the winter of 2009/10, the change in thermocline depth induced by Ekman pumping
379 implied by the OAFlux wind-stress curl anomaly, averaged between 26°N and 45°N, shows a
380 shoaling similar to the estimated isotherm depth anomalies averaged over the same region (Fig.
381 8(c) and Fig. 9(b)). In general the agreement between the OAFlux and RGAC derived time-series
382 (black and gray lines in Fig. 8(c)) is poor, with a fairly low correlation coefficient of $r = 0.27$, but
383 there is a much better agreement ($r = 0.91$, significant at the 95% confidence interval) between
384 OAFlux and ECCO v4 isotherm depth change time series (black and gray lines in Fig. 9(b)).
385 Furthermore, the isotherm depth changes implied by variations in vertical velocity at the 10°C
386 isotherm (red line; Fig. 9(b)) correlate strongly with isotherm depth changes ($r = 0.85$) and with
387 those implied by variability in Ekman pumping ($r = 0.93$), suggesting our application of Ekman
388 pumping is appropriate here.

389 Of particular interest are the strong correlations between both the volume and heat content
390 anomaly inferred from the divergence of transport in ECCO v4 (cyan curves in Fig. 7(a) and
391 (b)) and the depth changes due to Ekman pumping ($r = -0.97$ and $r = -0.98$ respectively; black
392 curve in Fig. 9(b)), which suggests that basin-wide variability in wind-stress curl predominantly
393 sets the divergence of upper ocean heat and volume in the gyre. In Fig. 9(b), the volume anomaly
394 due to transport divergence (solid cyan line) has been scaled by the surface area of the 10°C
395 isotherm, giving a depth change with a magnitude that matches both the isotherm depth anomaly
396 and depth change implied by Ekman pumping. The causes of the differences between the depth

397 change implied by Ekman pumping and the variables represented by the gray, red and cyan lines
398 between 2005 and 2007 are not clear.

399 **5. Summary and Conclusions**

400 Our results indicate that interannual fluctuations in the upper ocean ($>10^{\circ}\text{C}$) volume budget of
401 the gyre north of 26°N are primarily set adiabatically by the variability of meridional transport at
402 26°N and 45°N , while the diabatic air–sea fluxes have a minimal effect at these time scales. A
403 good agreement between the volume anomaly due to transport divergence and the variability of
404 both thermocline depth and Ekman pumping across the gyre suggests that wind-driven heave plays
405 an important role in the transport anomalies at 26°N and 45°N . Yang (2015) show similar results
406 using a simplified 2-layer model configuration of the North Atlantic. This wind-driven heaving is
407 also a major driver of variations in the heat content of the thermocline waters of the gyre, although
408 anomalies in the air–sea heat fluxes also have an important influence on heat content. While
409 the co-variability of winds and ocean circulation suggests that the wind is driving the ocean, the
410 data is not of high enough temporal resolution to distinguish causality in this ocean/atmosphere
411 mechanism due to the short time-scales on which the ocean responds to this type of wind forcing.
412 Future analysis would therefore require higher temporal resolution data.

413 Further, we show that a short-term southward shift of the gyre occurred in 2009/10, linked to a
414 southward shift of the westerlies over the North Atlantic basin. This drove an adiabatic shoaling of
415 isotherms through decreased Ekman pumping, presumably leading to transport anomalies across
416 26°N and 45°N . This suggests that the reduction in the northward transport observed at 26°N in
417 2009/10 (McCarthy et al. 2012; Bryden et al. 2014) reflects a southward shift in the mean structure
418 of the interior gyre circulation. While the shift of the gyre (as delimited by the 10°C isotherm) is
419 primarily driven adiabatically, the gyre heat content anomaly is also affected by air-sea heat fluxes.

420 We conclude that wind forcing plays an important role in driving local, short-term variations in
421 the AMOC. Wind-driven variability has been shown to impact the AMOC across both the sub-
422 polar and subtropical gyres (Häkkinen et al. 2011; Schloesser et al. 2014). Such variations in the
423 AMOC have been shown to have significant climatic impacts over the North Atlantic region (e.g.
424 Cunningham et al. 2013), yet the physical mechanisms of these climatic impacts remain unclear.
425 This short-term AMOC variability is difficult to resolve and understand with direct observational
426 estimates of the overturning, yet may be unraveled by combining transport estimates with broadly
427 distributed hydrographic observations using the analysis framework presented here. We thus pro-
428 pose that this approach could enhance our ability to interpret the causes and implications of the
429 AMOC variability measured with the mooring array at 26°N.

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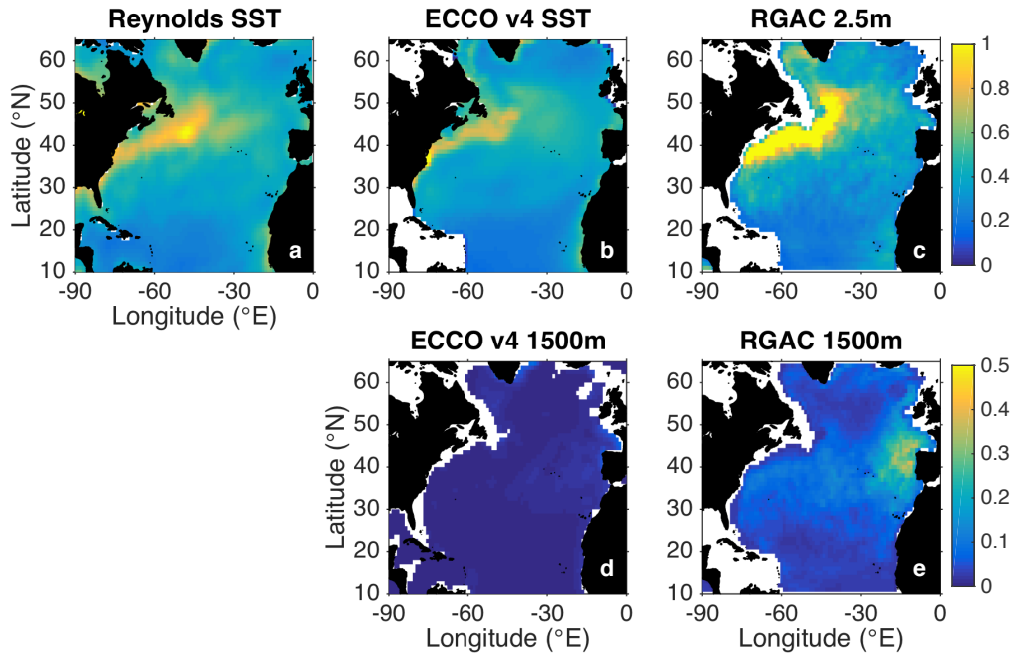
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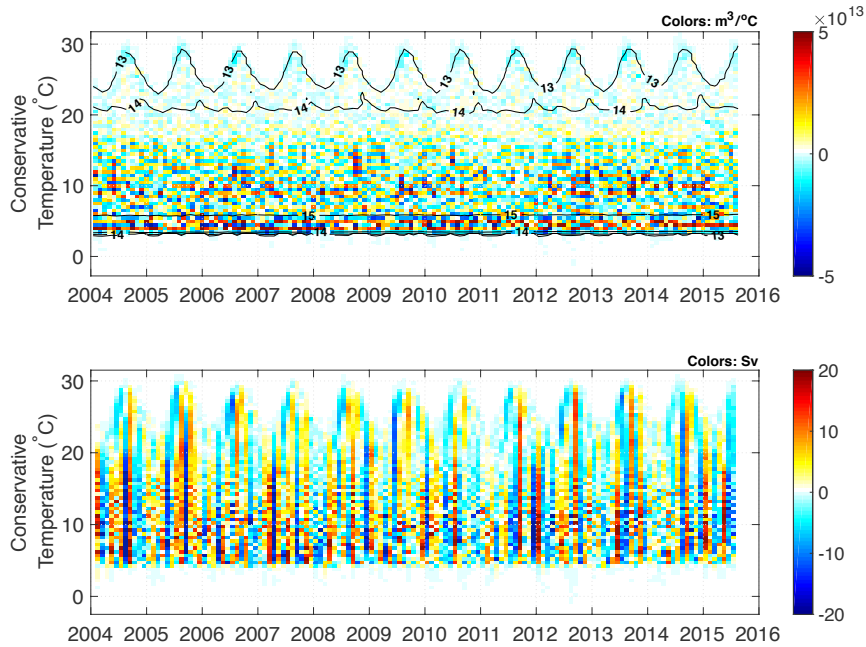
- 575 **Fig. 1.** Standard deviation of $(\Theta'_{n+1} - \Theta'_n)$ where Θ'_n denotes temperature anomalies from the mean
576 seasonal cycle at month n , in Reynolds SST (a), ECCO v4 (b), and RGAC (c). For ECCO
577 v4 and RGAC top panels show the uppermost level whereas panels (d) and (e) show 1500m
578 depth. Note that RGAC shows much larger high-frequency variability than do Reynolds
579 SST or ECCO v4, notably in regions of high eddy activity such as the Gulf stream. 30
- 580 **Fig. 2.** (a) Black contours represent a time-series of \log_{10} water mass volume (in m^3/C) from
581 RGAC with no artificially added error. Colors show the difference between the volume
582 shown by the black contours and the volume calculated with a random vertical heave of
583 either -30m or +30m added to the measurements of Θ . Units are m^3/C . (b) Difference
584 between the diathermal transformations calculated using the volume estimates determined
585 with and without artificially added error. Units: Sv ($1 \text{ Sv} = 1 \times 10^6 \text{ m}^3 \text{ s}^{-1}$). 31
- 586 **Fig. 3.** (a) RAPID-WATCH AMOC estimate (red line) and ECCO v4 AMOC at 26°N . Units: Sv (1
587 $\text{Sv} = 1 \times 10^6 \text{ m}^3 \text{ s}^{-1}$). (b) Volume change per Θ/S class due to the time-mean (1992–2012)
588 transport per Θ/S class at 26°N minus time-mean transport per Θ/S at 45°N from ECCO
589 v4. Units: m^3/C psu. (c) Volume change per Θ/S class due to the time-mean (1992–2012)
590 transport per Θ/S class at 26°N from ECCO v4. Units: $\text{m}^3/\text{C}/\text{psu}$. (d) As in (c) but for
591 transport per Θ/S class at 45°N 32
- 592 **Fig. 4.** (a) Volume anomaly in Θ classes with respect to the time-mean for the period shown from
593 RGAC in the North Atlantic between 26 and 45°N . Units are m^3/C . (b) As (a) but for ECCO
594 v4. 33
- 595 **Fig. 5.** (a) Total monthly $\frac{dV}{dt}$ (see equation (4)) from RGAC between 26°N and 45°N . The mean
596 (2004–2012) seasonal cycle has been removed. (b) Monthly diathermal transformation
597 due to air–sea heat fluxes (E ; equation (6)) from NCEP/NCAR air–sea heat fluxes using
598 Reynolds-SST between 26°N and 45°N . The mean (2004–2012) seasonal cycle has been
599 removed. (c) As in (b) but using ERA-Interim air–sea heat fluxes. Units: Sv ($1 \text{ Sv} = 1 \times 10^6$
600 $\text{m}^3 \text{ s}^{-1}$). (d) Volume anomaly (∇' ; equation (7)) for temperatures greater than 10°C . Units:
601 m^3 34
- 602 **Fig. 6.** (a) Total monthly $\frac{dV}{dt}$ (see equation (4)) from ECCO v4 between 26°N and 45°N . The mean
603 (2004–2012) seasonal cycle has been removed. (b) Monthly diathermal transformation
604 due to air–sea heat fluxes (E ; equation (6)) from ECCO v4 between 26°N and 45°N . The mean
605 (2004–2012) seasonal cycle has been removed. (c) Transformation implied by the volume
606 change per Θ class due to monthly variations in the transport per Θ class at 26°N minus the
607 volume change per Θ class due to monthly variations in the transport at 45°N , from ECCO
608 v4 (i.e. M from equation (4)). The mean (2004–2012) seasonal cycle has been removed.
609 Units: Sv ($1 \text{ Sv} = 1 \times 10^6 \text{ m}^3 \text{ s}^{-1}$). (d) Volume anomaly (∇' ; equation (7)) for temperatures
610 greater than 10°C . Units: m^3 35
- 611 **Fig. 7.** (a) AMOC monthly-mean anomaly (2004–2012), estimated from RAPID-WATCH (magenta
612 line). Volume anomaly (∇' ; equation (7)) for temperatures greater than 10°C calculated
613 using M (cyan line), $M_{26^\circ\text{N}}$ (cyan-dashed) and $M_{45^\circ\text{N}}$ (cyan-dotted). Time integrated AMOC
614 monthly-mean anomaly (2004–2012) from ECCO v4 (i.e. Ψ'_z) at 26°N and 45°N (dashed
615 and dotted gray lines respectively). Units: m^3 . (b) Implied heat content anomaly (\mathbb{H}' from
616 equation (8)) at $\Theta > 10^\circ\text{C}$ from the monthly $\frac{dV}{dt}$ from ECCO v4 (blue line), E from ECCO v4
617 (red line), M from ECCO v4 (cyan line), $M_{26^\circ\text{N}}$ from ECCO v4 (cyan-dashed), $M_{45^\circ\text{N}}$ from
618 ECCO v4 (cyan-dotted), E from NCEP/NCAR (black dotted) and E from ERA-Interim
619 (magenta dotted). Units: J. 36

620 **Fig. 8.** (a) Colors represent depth anomaly (with respect to the monthly-mean for 2004–2012) of the
621 10°C isotherm from RGAC, averaged over May 2010 to November 2010. Red indicates a
622 shoaling and blue indicates a deepening. Units: m. Solid contours indicate the zero iso-line
623 of the wintertime-mean (2004-2008) zonal wind-stress (units: N m^{-2}) and dotted contours
624 show the zero iso-line of the zonal wind-stress averaged over November 2009 to March 2010
625 from OAFlux. (b) Zonal mean wind-stress curl averaged over the same time periods from
626 OAFlux. Units: N m^{-3} . (c) Depth anomaly (with respect to the monthly-mean for 2004-
627 2012) of the 10°C isotherm averaged over 26° and 45°N from RGAC (gray). Time-integrated
628 vertical Ekman velocity anomaly (with respect to the monthly-mean for 2004-2012) from
629 OAFlux (black). Time-integrated vertical velocity anomaly (with respect to the monthly-
630 mean for 2004–2012) at the 10°C isotherm from ECCO v4 (red). Units: m. (d) Latitude of
631 maximum zonal wind-stress with monthly-mean removed. Units: Degrees. 37

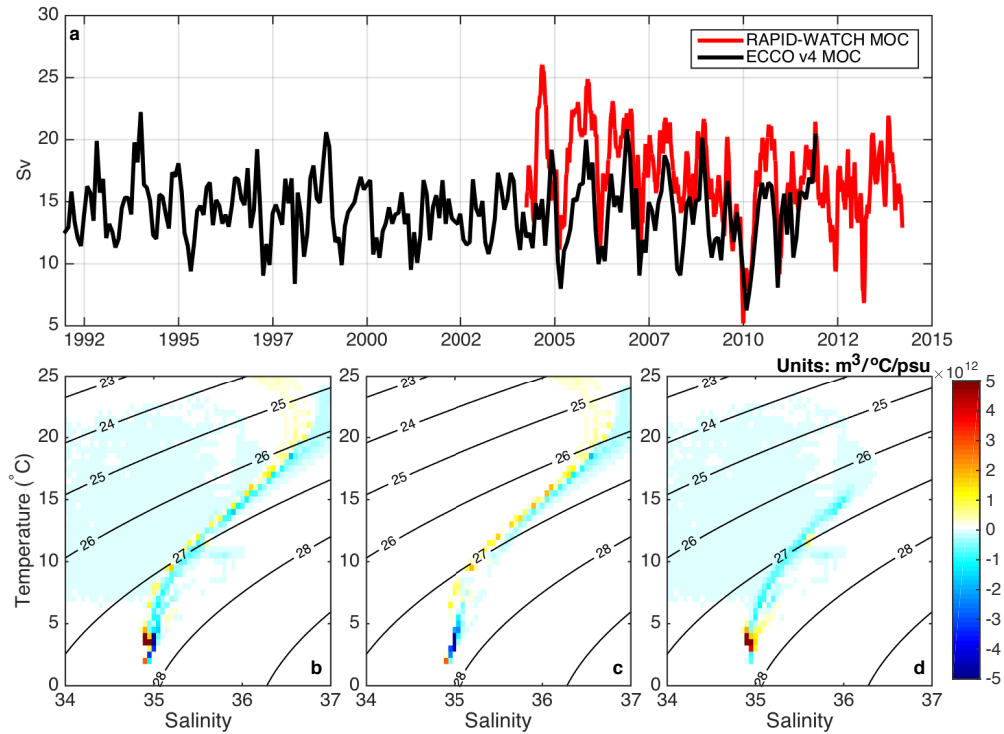
632 **Fig. 9.** (a) Colors represent isotherm depth anomaly from ECCO v4 as in Fig. 8(a). Contours show
633 the difference in the time-accumulated vertical Ekman velocity anomaly (with respect to the
634 monthly-mean for 2004-2012) between the periods averaged over May 2009 to November
635 2009 and May 2010 to November 2010 from OAFlux. The solid (dotted) contour shows
636 the $(-2.5 \times 10^{-6} \text{ms}^{-1})$ isosurface. (b) Depth anomaly (with respect to the monthly-mean for
637 2004-2012) of the 10°C isotherm averaged over 26° and 45°N from ECCO v4 (gray). Time-
638 integrated vertical Ekman velocity anomaly (with respect to the monthly-mean for 2004-
639 2012) from OAFlux (black). Time-integrated vertical velocity anomaly (with respect to the
640 monthly-mean for 2004-2012) at the 10°C isotherm from ECCO v4 (red). Volume anomaly
641 (∇') from ECCO v4 transport divergence (from Fig. 7(a)) scaled by the surface area of the
642 10°C isotherm (cyan). Units: m. Dashed lines correspond to the similarly colored solid lines
643 of heat content anomaly (\mathbb{H}') shown in Fig. 7(b). 38



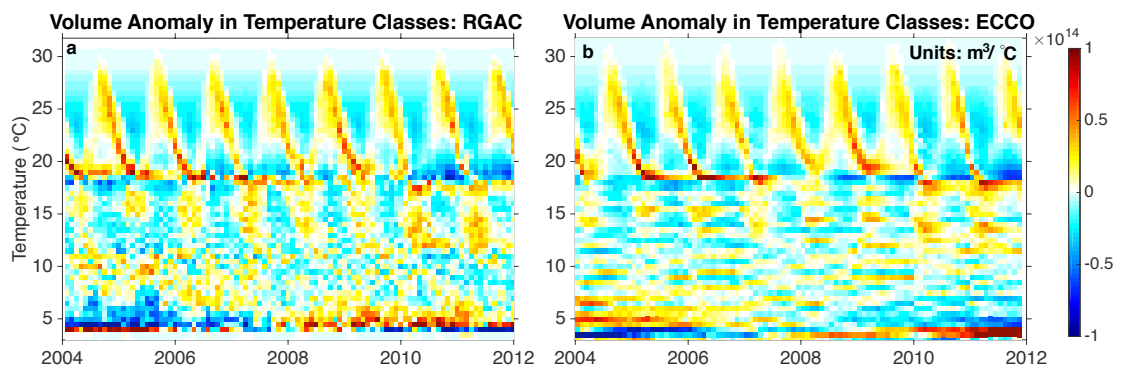
644 FIG. 1. Standard deviation of $(\Theta'_{n+1} - \Theta'_n)$ where Θ'_n denotes temperature anomalies from the mean seasonal
 645 cycle at month n , in Reynolds SST (a), ECCO v4 (b), and RGAC (c). For ECCO v4 and RGAC top panels
 646 show the uppermost level whereas panels (d) and (e) show 1500m depth. Note that RGAC shows much larger
 647 high-frequency variability than do Reynolds SST or ECCO v4, notably in regions of high eddy activity such as
 648 the Gulf stream.



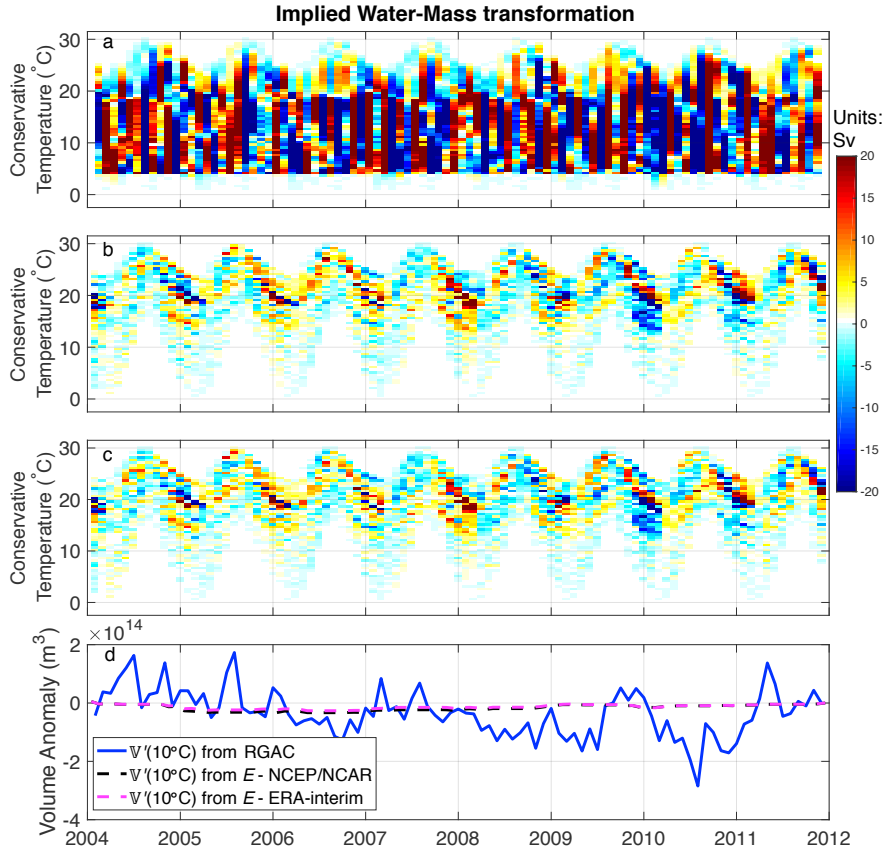
649 FIG. 2. (a) Black contours represent a time-series of \log_{10} water mass volume (in $\text{m}^3/\text{°C}$) from RGAC with
 650 no artificially added error. Colors show the difference between the volume shown by the black contours and
 651 the volume calculated with a random vertical heave of either -30m or +30m added to the measurements of Θ .
 652 Units are $\text{m}^3/\text{°C}$. (b) Difference between the diathermal transformations calculated using the volume estimates
 653 determined with and without artificially added error. Units: Sv ($1 \text{ Sv} = 1 \times 10^6 \text{ m}^3 \text{ s}^{-1}$).



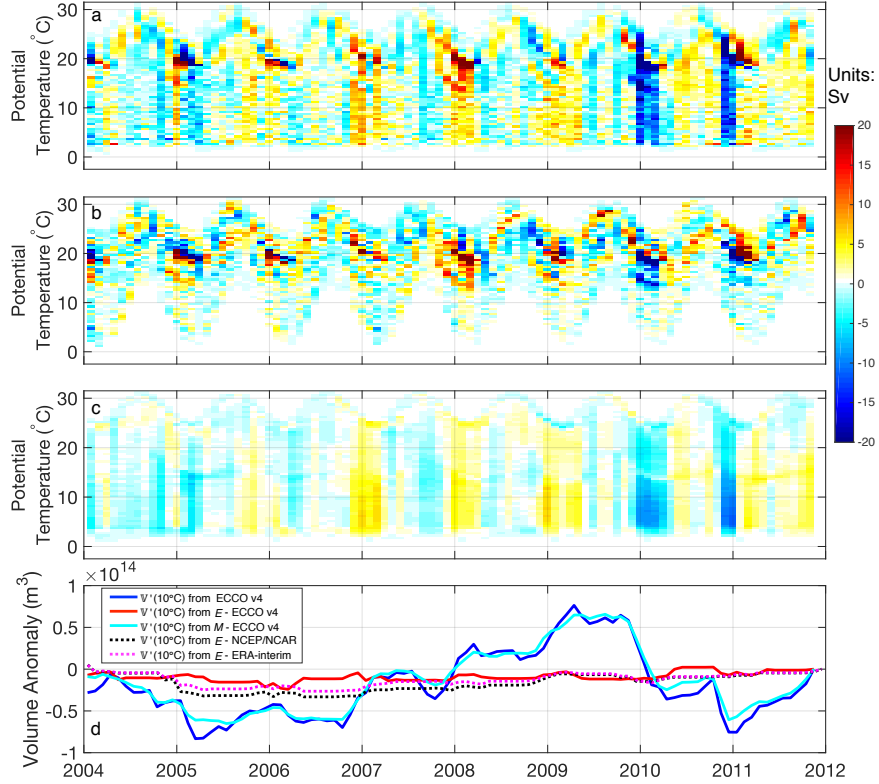
654 FIG. 3. (a) RAPID-WATCH AMOC estimate (red line) and ECCO v4 AMOC at 26°N. Units: Sv (1 Sv=
 655 $1 \times 10^6 \text{ m}^3\text{s}^{-1}$). (b) Volume change per Θ/S class due to the time-mean (1992–2012) transport per Θ/S class at
 656 26°N minus time-mean transport per Θ/S at 45°N from ECCO v4. Units: $\text{m}^3/\text{C}/\text{psu}$. (c) Volume change per
 657 Θ/S class due to the time-mean (1992–2012) transport per Θ/S class at 26°N from ECCO v4. Units: $\text{m}^3/\text{C}/\text{psu}$.
 658 (d) As in (c) but for transport per Θ/S class at 45°N.



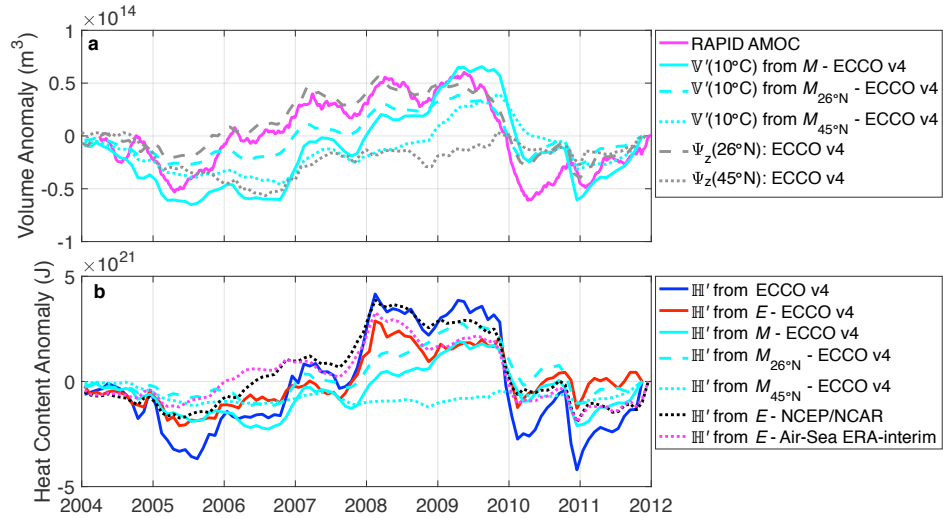
659 FIG. 4. (a) Volume anomaly in Θ classes with respect to the time-mean for the period shown from RGAC in
 660 the North Atlantic between 26 and 45°N. Units are $\text{m}^3/^\circ\text{C}$. (b) As (a) but for ECCO v4.



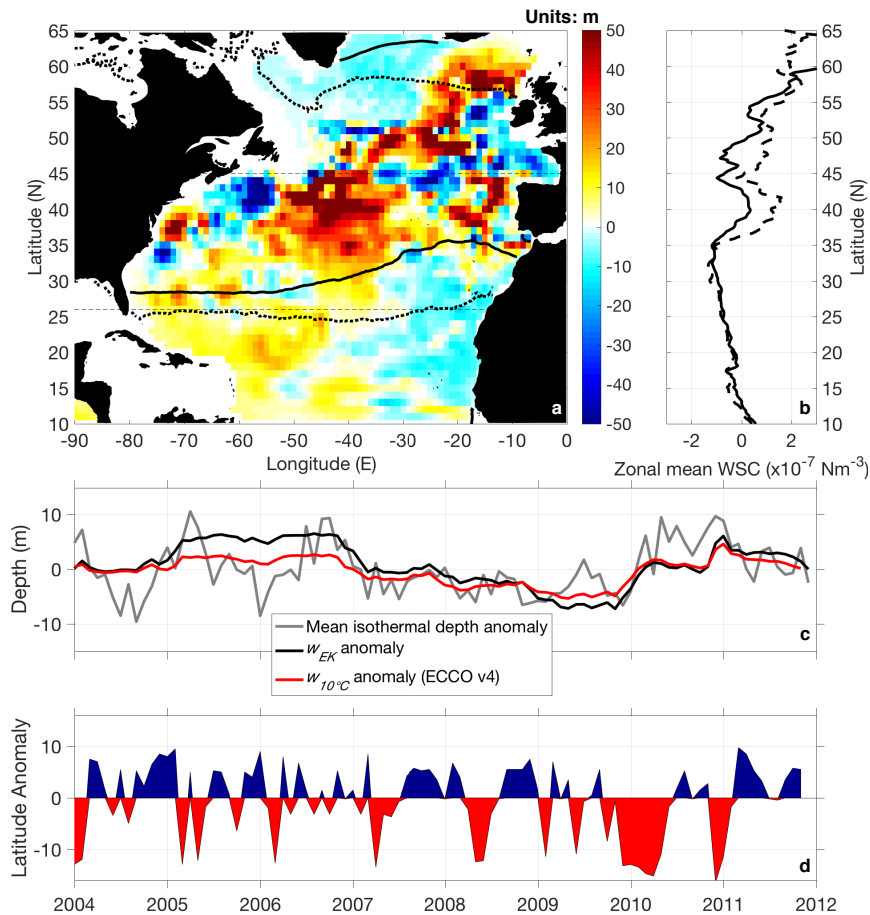
661 FIG. 5. (a) Total monthly $\frac{dV}{dt}$ (see equation (4)) from RGAC between 26°N and 45°N . The mean (2004–2012)
 662 seasonal cycle has been removed. (b) Monthly diathermal transformation due to air–sea heat fluxes (E ; equation
 663 (6)) from NCEP/NCAR air–sea heat fluxes using Reynolds-SST between 26°N and 45°N . The mean (2004–
 664 2012) seasonal cycle has been removed. (c) As in (b) but using ERA-Interim air–sea heat fluxes. Units: Sv (1
 665 Sv = $1 \times 10^6 \text{ m}^3 \text{ s}^{-1}$). (d) Volume anomaly (∇^V ; equation (7)) for temperatures greater than 10°C . Units: m^3 .



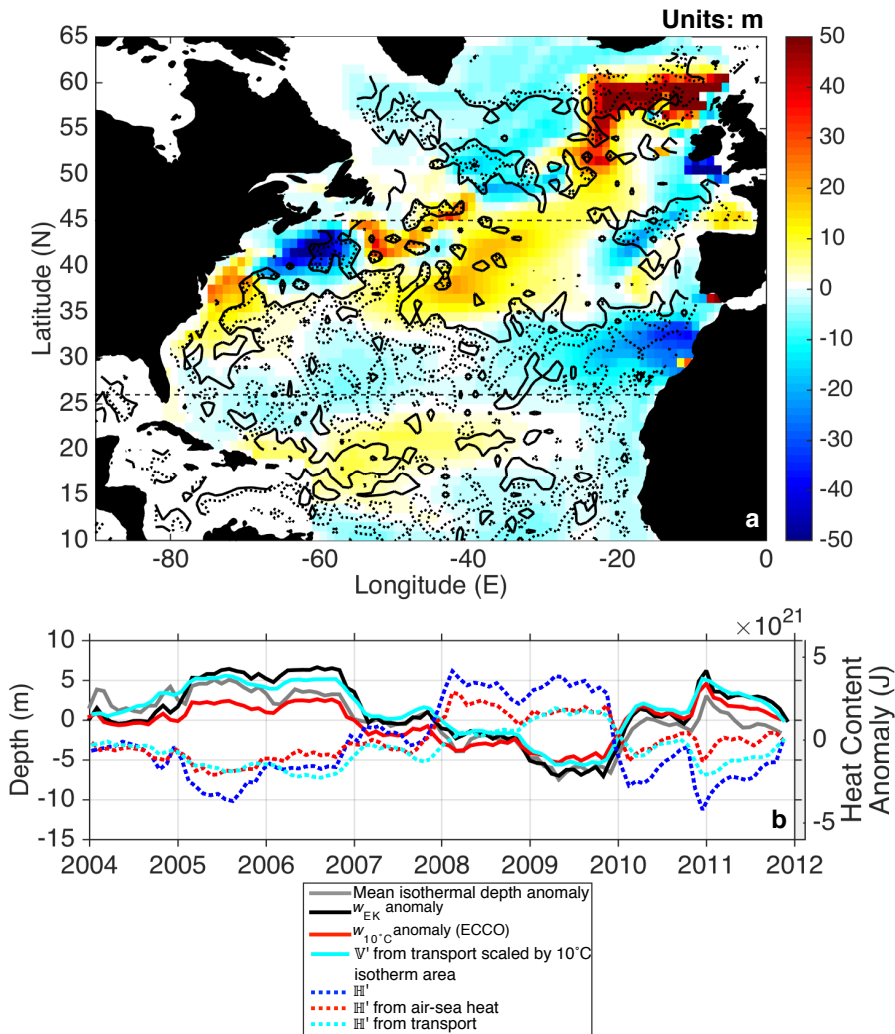
666 FIG. 6. (a) Total monthly $\frac{dV}{dt}$ (see equation (4)) from ECCO v4 between 26°N and 45°N . The mean (2004–
667 2012) seasonal cycle has been removed. (b) Monthly diathermal transformation due to air–sea heat fluxes (E ;
668 equation (6)) from ECCO v4 between 26°N and 45°N . The mean (2004–2012) seasonal cycle has been removed.
669 (c) Transformation implied by the volume change per Θ class due to monthly variations in the transport per Θ
670 class at 26°N minus the volume change per Θ class due to monthly variations in the transport at 45°N , from
671 ECCO v4 (i.e. M from equation (4)). The mean (2004–2012) seasonal cycle has been removed. Units: Sv (1
672 Sv = $1 \times 10^6 \text{ m}^3 \text{ s}^{-1}$). (d) Volume anomaly (\mathbb{V}' ; equation (7)) for temperatures greater than 10°C . Units: m^3 .



673 FIG. 7. (a) AMOC monthly-mean anomaly (2004-2012), estimated from RAPID-WATCH (magenta line).
 674 Volume anomaly (∇' ; equation (7)) for temperatures greater than 10°C calculated using M (cyan line), $M_{26^\circ\text{N}}$
 675 (cyan-dashed) and $M_{45^\circ\text{N}}$ (cyan-dotted). Time integrated AMOC monthly-mean anomaly (2004-2012) from
 676 ECCO v4 (i.e. Ψ_z) at 26°N and 45°N (dashed and dotted gray lines respectively). Units: m^3 . (b) Implied heat
 677 content anomaly (\mathbb{H}' from equation (8)) at $\Theta > 10^\circ\text{C}$ from the monthly $\frac{dV}{dt}$ from ECCO v4 (blue line), E from
 678 ECCO v4 (red line), M from ECCO v4 (cyan line), $M_{26^\circ\text{N}}$ from ECCO v4 (cyan-dashed), $M_{45^\circ\text{N}}$ from ECCO v4
 679 (cyan-dotted), E from NCEP/NCAR (black dotted) and E from ERA-Interim (magenta dotted). Units: J.



680 FIG. 8. (a) Colors represent depth anomaly (with respect to the monthly-mean for 2004–2012) of the 10°C
 681 isotherm from RGAC, averaged over May 2010 to November 2010. Red indicates a shoaling and blue indicates
 682 a deepening. Units: m. Solid contours indicate the zero iso-line of the wintertime-mean (2004-2008) zonal
 683 wind-stress (units: N m^{-2}) and dotted contours show the zero iso-line of the zonal wind-stress averaged over
 684 November 2009 to March 2010 from OAFlux. (b) Zonal mean wind-stress curl averaged over the same time
 685 periods from OAFlux. Units: N m^{-3} . (c) Depth anomaly (with respect to the monthly-mean for 2004-2012)
 686 of the 10°C isotherm averaged over 26 and 45°N from RGAC (gray). Time-integrated vertical Ekman velocity
 687 anomaly (with respect to the monthly-mean for 2004-2012) from OAFlux (black). Time-integrated vertical
 688 velocity anomaly (with respect to the monthly-mean for 2004–2012) at the 10°C isotherm from ECCO v4 (red).
 689 Units: m. (d) Latitude of maximum zonal wind-stress with monthly-mean removed. Units: Degrees.



690 FIG. 9. (a) Colors represent isotherm depth anomaly from ECCO v4 as in Fig. 8(a). Contours show the
 691 difference in the time-accumulated vertical Ekman velocity anomaly (with respect to the monthly-mean for
 692 2004-2012) between the periods averaged over May 2009 to November 2009 and May 2010 to November 2010
 693 from OAFlux. The solid (dotted) contour shows the $(-)\cdot 2.5 \times 10^{-6} \text{ms}^{-1}$ isosurface. (b) Depth anomaly (with
 694 respect to the monthly-mean for 2004-2012) of the 10°C isotherm averaged over 26 and 45°N from ECCO v4
 695 (gray). Time-integrated vertical Ekman velocity anomaly (with respect to the monthly-mean for 2004-2012)
 696 from OAFlux (black). Time-integrated vertical velocity anomaly (with respect to the monthly-mean for 2004-
 697 2012) at the 10°C isotherm from ECCO v4 (red). Volume anomaly (V') from ECCO v4 transport divergence
 698 (from Fig. 7(a)) scaled by the surface area of the 10°C isotherm (cyan). Units: m. Dashed lines correspond to
 699 the similarly colored solid lines of heat content anomaly (H') shown in Fig. 7(b).