

Article (refereed) - postprint

Rogers, Alistair; Medlyn, Belinda E.; Dukes, Jeffrey S.; Bonan, Gordon; von Caemmerer, Susanne; Dietze, Michael C.; Kattge, Jens; Leakey, Andrew D.B.; Mercado, Lina M.; Niinemets, Ulo; Prentice, I. Colin; Serbin, Shawn P.; Sitch, Stephen; Way, Danielle A.; Zaehle, Sonke. 2017. **A roadmap for improving the representation of photosynthesis in Earth system models.** *New Phytologist*, 213 (1). 22-42. [10.1111/nph.14283](https://doi.org/10.1111/nph.14283)

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1 **A Roadmap for Improving the Representation of Photosynthesis in Earth System Models**

2

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Section	Word Count
Main Body	9912
Introduction	554
Representation of Leaf photosynthesis in Terrestrial Biosphere Models	5472
Scaling physiology	3575
Conclusion	320
Acknowledgements	100
Number of Figures	6 (all in color)
Number of Tables	2
Supporting Information	0

47

48 **Summary**

49

50 ● Accurate representation of photosynthesis in terrestrial biosphere models (TBMs) is
51 essential for robust projections of global change. However, current representations
52 vary markedly between TBMs, contributing uncertainty to projections of global carbon
53 fluxes.

54 ● Here we compared the representation of photosynthesis in seven TBMs by examining
55 leaf and canopy level responses of *A* to key environmental variables: light, temperature,
56 carbon dioxide concentration, vapor pressure deficit and soil water content.

57 ● We identified research areas where limited process knowledge prevents inclusion of
58 physiological phenomena in current TBMs and research areas where data are urgently
59 needed for model parameterization or evaluation.

60 ● We provide a roadmap for new science needed to improve the representation of
61 photosynthesis in the next generation of terrestrial biosphere and Earth System Models.

62

63 **Key Words:** carbon dioxide, light, stomatal conductance, soil water content, temperature,

64 terrestrial biosphere models, vapor pressure deficit.

65 **Introduction**

66 Fossil energy use is the dominant driver of the increase in atmospheric CO₂ concentration (C_a)
67 and the principal cause of global climate change (IPCC, 2013). Many of the observed and
68 projected impacts of rising C_a portend increasing environmental and economic risk, yet the
69 uncertainty surrounding the projection of our future climate by Earth System Models (ESMs) is
70 unacceptably high (Friedlingstein *et al.*, 2006, Friedlingstein *et al.*, 2014).

71
72 Although CO₂ emissions associated with anthropogenic activity are notable (11 Pg C year⁻¹),
73 they represent less than 10% of the gross carbon fluxes between the land surface and the
74 atmosphere (Beer *et al.*, 2010, Boden *et al.*, 2013, Le Quéré *et al.*, 2015). Terrestrial
75 photosynthetic CO₂ assimilation (A) is the largest of these CO₂ fluxes (~120 Pg C year⁻¹),
76 subsidizing our use of fossil fuels through the net assimilation of about one-third of the CO₂
77 emissions associated with anthropogenic activities (Le Quéré *et al.*, 2015). However, there is
78 critical uncertainty about how the terrestrial carbon sink will be affected by changes in A with
79 rising C_a , temperature and drought (Friedlingstein *et al.*, 2014, Gregory *et al.*, 2009, IPCC 2013).
80 Therefore, reducing the uncertainty associated with model representation of A is an essential
81 part of improving confidence in projections of global change (Ciais *et al.*, 2013).

82
83 In this study we have focused on photosynthesis, but recognize that improving the
84 understanding and projection of the terrestrial biosphere's response to global change also
85 depends on realistically representing many additional processes that are down stream of
86 carbon assimilation (e.g. carbon allocation, plant and soil respiration, and nutrient cycling). Of

87 particularly relevance to photosynthesis is the allocation of extra carbon to leaf area in trees
88 grown at elevated C_a (Ainsworth & Long, 2005). Model representation and integration of these
89 processes, and how the balance between them shifts in their individual and combined
90 responses to environmental drivers, will also be critical in order to capture whole system
91 responses, but such a comprehensive discussion is beyond the scope of this study.

92

93 We examined model representations of A in seven Terrestrial Biosphere Models (TBMs). These
94 models include four that represent the land component of ESMs which were part of the recent
95 Coupled Model Intercomparison Project (CMIP5) - the main resource for the IPCC Fifth
96 Assessment Report (Friedlingstein *et al.*, 2014, IPCC, 2013). Our approach focuses on how
97 physiological responses are represented by TBMs. We compared modeled responses of A to
98 key environmental variables in order to identify areas of model divergence that reflect gaps in
99 current understanding of the physiological and environmental controls of A . In the second half
100 of the paper, we turn to issues of scale - vertical, horizontal and temporal - and consider how
101 representation and parameterization of leaf-level processes is scaled to the canopy within
102 current model frameworks.

103

104 We had three goals: (1) understand how models differ in their representation of A ; (2) identify
105 gaps in current understanding of A that contribute to uncertainty in model output; (3) identify
106 areas where current process knowledge and emerging data sets can be used to improve model
107 skill. This study provides recommendations for immediate improvements that can be made to

108 current model representation of A and also highlights the scientific activity needed to further
109 advance representation of A in the next generation of TBMs.

110

111 **Representation of Leaf Photosynthesis in Terrestrial Biosphere Models**

112

113 **Current model structure and parameterization**

114

115 The Farquhar, von Caemmerer and Berry (FvCB) model of A (Farquhar *et al.*, 1980, von
116 Caemmerer, 2000, von Caemmerer & Farquhar, 1981) provides a robust mechanistic
117 representation of A in C_3 species, and is the foundation for model estimation of gross primary
118 production (GPP) in many TBMs (Cramer *et al.*, 2001, Rogers, 2014), including the seven models
119 considered here (BETHY, CLM, ED2, G'DAY, JSBACH, JULES and O-CN; Table 1). The formulations
120 of the FvCB model used in these TBMs include elements of; Collatz *et al.* (1991), in CLM, ED2
121 and JULES; Foley *et al.* (1996), in ED2; and Kull & Kruijt (1998), in O-CN (Table 1). The FvCB
122 model represents photosynthetic CO_2 assimilation as the most limiting of two biochemical
123 processes: Rubisco carboxylation, and ribulose-1,5-bisphosphate (RuBP) regeneration driven by
124 electron transport. These processes limit A in most environments; however, Sharkey (1985)
125 subsequently described how limitations on triose phosphate utilization (TPU limitation) could
126 also limit A under some conditions. Only two models in this study included TPU limitation (CLM
127 and JULES, Table 1).

128

129 Similar biochemical models have been developed for the C₄ photosynthetic pathway (von
130 Caemmerer et al. 2000). For reasons of space, we limit our discussion to model treatment of C₃
131 photosynthesis. However we note that a similar exercise focused on C₄ photosynthesis would
132 be valuable.

133

134 Models typically represent stomatal conductance (g_s) using a coupled relationship with A that
135 varies with atmospheric, or leaf-surface, CO₂ concentration, and some measure of atmospheric
136 humidity. This model approach was originally formulated by Ball *et al.* (1987), who used a direct
137 dependence on relative humidity (RH) in their equation for g_s . Ball *et al.*'s equation is still
138 widely used in many TBMs, including CLM. Leuning (1995) suggested an alternative equation
139 that depends on vapor pressure deficit (VPD) rather than RH . ED2 uses the Leuning (1995)
140 equation, while JULES uses a very similar equation developed by Jacobs (1994). The approaches
141 to represent g_s implemented by the models considered here are quite diverse (Table 1) which
142 has a wide-ranging impact on the model outputs we considered.

143

144 The TBMs in this study represent vegetation using broad plant functional types (PFTs). The
145 FvCB model is parameterized with a number of important constants that are typically the same
146 for all PFTs. PFTs are distinguished with respect to photosynthesis through differences in the
147 estimates of the maximum carboxylation rate of Rubisco ($V_{c,max}$), the maximum rate of electron
148 transport (J_{max}) and the slope of the stomatal conductance response. Several groups are now
149 working towards next-generation vegetation models in which PFTs are replaced by “trait-based
150 approaches” (Wullschleger *et al.* 2014). This catchall phrase includes leveraging trait-

151 environment linkages (Ali et al. 2015, Reich 2014, van Bodegom et al. 2014), optimality
152 approaches (Xu et al. 2012, Meir et al. 2015), trait filtering (Fisher et al. 2012) and adaptive
153 global vegetation models (Scheiter et al. 2013). However, our review is relevant to these
154 approaches as well, as they still employ similar representations of photosynthesis. The key
155 difference lies in parameterization, which we discuss when considering scaling to landscapes.

156

157 **Mesophyll conductance**

158

159 In C_3 species, mesophyll conductance (g_m) describes the conductance to CO_2 diffusion from the
160 intercellular airspace within a leaf to the sites of carboxylation within chloroplasts (Flexas *et al.*,
161 2012, von Caemmerer & Evans, 1991). It is one of the four main physiological processes limiting
162 CO_2 uptake and fixation, the others being g_s and the biochemical activity of Rubisco and RuBP
163 regeneration. To our knowledge, there are no land models that currently contribute to the IPCC
164 assessments that consider g_m . This absence reflects the challenge of adding further complexity
165 to the models, but also the uncertainty and technical difficulty of the measurements required
166 to estimate g_m .

167

168 Response curves of A to intercellular $[CO_2]$ (C_i) are routinely used to infer the maximum
169 biochemical activity of Rubisco and RuBP regeneration, i.e. $V_{c,max}$ and J_{max} . When the FvCB
170 model was conceived, the assumption was made that the difference between C_i and the $[CO_2]$
171 within the chloroplast (C_c) was sufficiently small that it could be ignored. Subsequently,
172 improved measurement techniques for g_m have shown that it can impose a significant

173 limitation on A which varies with temperature, and there are significant species differences in
174 these responses (von Caemmerer & Evans, 2015). If g_m is not taken into account in the analysis
175 of $A-C_i$ curves, the true $V_{c,max}$ will be underestimated (Niinemets *et al.*, 2009, Sun *et al.*, 2014,
176 von Caemmerer, 2000). Furthermore, temperature responses of $V_{c,max}$ and J_{max} derived from
177 gas exchange measurements will not necessarily reflect the temperature dependence of the
178 underlying biochemistry alone, but will also reflect the temperature response of g_m (Medlyn *et*
179 *al.*, 2002a). The use of apparent parameters is problematic if modelers wish to incorporate new
180 data on the underlying biochemistry of photosynthesis. For example, a recent biochemical
181 survey of the catalytic diversity in Rubisco revealed significant and marked variation in key
182 parameters across 75 species (Orr *et al.* 2016). These data cannot be used directly in models
183 without including g_m in model structures, highlighting the need for improved understanding
184 and model representation of g_m .

185

186 Several TBMs currently use linear relationships between apparent $V_{c,max}$ (obtained from $A-C_i$
187 curves) and leaf nitrogen to derive $V_{c,max}$ prognostically. If g_m were to be incorporated into
188 future TBMs, new algorithms linking $V_{c,max}$ to leaf N content would be required as the $V_{c,max}$
189 used in the relationship would need to be derived as a function of C_c not C_i . Currently a
190 reliance on apparent $V_{c,max}$ - leaf N relationships means that models underestimate the amount
191 of N partitioned to Rubisco, or put another way, overestimate the nitrogen use efficiency of
192 CO_2 carboxylation by Rubisco.

193

194 It is clear that an improved understanding of g_m remains a critical research area. Despite recent
195 important progress that may simplify prediction of g_m (Tholen *et al.* 2012), we feel that
196 immediate inclusion of g_m in TBMs is premature. “Apparent” parameters derived from $A-C_i$
197 response curves, which implicitly account for g_m , have been used successfully to model A in
198 many ecosystems at the leaf and canopy level (e.g. Bernacchi *et al.* 2003; Medlyn *et al.* 2005;
199 Thum *et al.* 2007). Until understanding and measurement of g_m matures, its inclusion in TBMs
200 will likely drive additional uncertainty. Furthermore, the modeling community currently has
201 access to a substantial dataset (albeit heavily biased to the mid-latitudes) of “apparent”
202 parameters but almost no data for $V_{c,max}$ and J_{max} derived from $A-C_c$ curves. Including g_m now
203 would dramatically shrink the amount of data available for model parameterization. However,
204 it is important to note that inclusion of g_m in models is essential if carbon isotope
205 discrimination is to be inferred (Ethier & Livingston, 2004; Suits *et al.* 2005).

206 *Recommendation: (1) Greater process knowledge of g_m will be required before it can be*
207 *included in TBMs. Specific needs include improved understanding of variation in g_m across PFTs*
208 *and how it is affected by environmental drivers such as light and temperature.*

209

210 **Short-term leaf level responses to environmental variables in current model structures**

211

212 Our goal was to understand and compare the physiological responses inside these seven TBMs
213 (Table 1). We focused on one particular PFT - a broad leaved deciduous tree - and defined
214 several environmental and physiological variables which provided standard conditions for
215 model intercomparison: instantaneous quantum flux density (Q) = 1500 $\mu\text{mol mol}^{-1}$, upper

216 canopy sunlit leaf temperature = 25°C, $C_a = 380 \mu\text{mol mol}^{-1}$, $[\text{O}_2] = 210 \text{ mmol mol}^{-1}$, $VPD = 1$
217 kPa, soil moisture content at field capacity and $V_{c,max} = 60 \mu\text{mol m}^{-2} \text{ s}^{-1}$. In the following sections
218 we present and discuss leaf level responses to light, temperature, C_a , VPD and soil water
219 content.

220

221 **Short-term response to light**

222

223 The initial slope of the photosynthetic light response curve is determined by the maximum
224 quantum yield of CO_2 assimilation. For clarity, here we distinguish between the *intrinsic*
225 quantum yield (Φ_{int}), which is the initial slope of the relationship between A and absorbed Q
226 under non-photorespiratory conditions and the *realized* quantum yield (Φ_{real}), which we define
227 as the photosynthetic rate per unit incident light at $Q = 100 \mu\text{mol m}^{-2} \text{ s}^{-1}$ in our standard
228 conditions (Table 2 and the initial slope of the A - Q response in Fig. 1a). The Φ_{int} is generally an
229 input parameter to the models (Table 2) whereas the realized quantum yield is calculated by
230 the models using the FvCB equations, and depends not only on the Φ_{int} but also on the
231 assumed values for the Rubisco kinetic constant Γ^* (the CO_2 compensation point in the absence
232 of mitochondrial respiration), the low light C_i , the leaf absorptance (α), and the convexity of the
233 light response curve (θ). Model variation in the choice of kinetic constants, low light C_i , α and θ
234 are summarized in Table 2. The CLM model assumes that Φ_{int} is equal to the theoretical
235 maximum of $(1-f)/8$, where $f=0.15$ and is used to correct for the spectral quality of light (von
236 Caemmerer, 2000). As a result, CLM has the highest Φ_{real} ($0.053 \text{ mol mol}^{-1}$, Table 2, Fig. 1a).
237 The other models are parameterized with quantum yield inputs that result in a calculated Φ_{int}

238 that is below the theoretical maximum and the resulting values for Φ_{real} are lower than those
239 for CLM (Table 2). Despite a parameterization that is broadly consistent with other models, the
240 initial slope of the A-Q response of O-CN is strikingly low and results from a limitation of A by
241 light harvesting at low Q (Kull & Kruijt, 1998; Table 1).

242

243 Experimental studies focused on understanding natural variation in quantum yield have shown
244 that there is little variation in Φ_{int} under unstressed conditions across a wide range of species,
245 with an average value of 0.092 mol mol⁻¹ (Long *et al.* 1993; Singaas, Ort & DeLucia, 2001),
246 comparable with the range of Φ_{int} used in the models considered here (0.07 – 0.106, Table 2).
247 However, Φ_{int} can be substantially lower in the field, particularly in stressed conditions (Medlyn
248 *et al* 2007; Niinemets *et al.* 2004, 2014; Singaas, Ort & DeLucia, 2001). As discussed above, the
249 Φ_{real} in models depends on several assumptions, not just the Φ_{int} , highlighting the need to
250 better parameterize and test modelled light responses with data from field conditions. For
251 example, most existing measurements have been made within a narrow temperature range (20
252 – 30°C) and the scarcity of data collected at low temperature has been highlighted as an
253 important driver of model uncertainty at high latitudes (Dietze *et al.*, 2014).

254

255 Leaf level light-saturated CO₂ uptake (A_{sat}) varies considerably between models (Fig 1a). The
256 variation in modelled A_{sat} is driven by differences in prescribed Rubisco kinetic constants and
257 their temperature dependencies (see below and Table 2), as well as the C_i , which is dependent
258 on the choice of stomatal model. The inflection point of the light response curve marks the
259 transition between light limitation and light saturation of A. There is a wide range in the Q at

260 which A becomes light saturated and therefore the greatest model divergence in A occurs when
261 some models have light saturated A and others do not (i.e. $Q = 400\text{-}800 \mu\text{mol m}^{-2} \text{s}^{-1}$, Fig. 1). In
262 addition to differences in the model representation of light limited and light saturated A ,
263 variation in the transition phase is attributable to model structure (Table 1), and when present,
264 parameterization of the convexity term (Θ , Table 2), which determines the relative influence of
265 Φ_{real} or A_{sat} on A at a given Q .

266
267 Moving from the leaf to the canopy level, responses to irradiance (Fig 1, b-d) are not only
268 dependent on the factors discussed above but also on the method used to scale physiology
269 from the leaf to the canopy level, the representation of the light environment within the
270 canopy, and the partitioning of foliage between sunlit and shaded leaves (Gu *et al.* 2002,
271 Mercado *et al.* 2009). As a result, canopy scaling exacerbates existing differences between the
272 TBMs and introduces new structural variation that further diversifies model output (Fig. 1 b-d).
273 Canopy scaling is discussed in detail below.

274 *Recommendation: (2) Modeled responses of photosynthesis to light need to be parameterized*
275 *and evaluated against data from field conditions, particularly at low temperature.*

276

277 *Don't mix & match*

278 One issue that emerged here, but is relevant throughout this paper, is the need to avoid
279 piecemeal approaches to model parameterization. For example, we need to carefully and
280 consistently use kinetic constants and temperature response functions because the models are
281 highly sensitive to them. Any constants and functions used when deriving photosynthetic

282 parameters from data have to be the *same ones* used in the model. For example, if a value of
283 $V_{c,max}$ at 25°C is used in a model, that model must use the same Michaelis-Menten constants (K_c
284 and K_o) and Γ^* (e.g. see Table 2), and the associated temperature dependencies, that were
285 used to estimate $V_{c,max}$ from the original $A-C_i$ response curve as well as the same temperature
286 response function (e.g. see Table 1) used to scale $V_{c,max}$ from the measurement temperature to
287 25°C. This problem, that derived parameters depend on the equations used to derive them,
288 introduces error when trying use the parameters to perform meta-analyses or calibrate models
289 (Medlyn *et al.* 2002, Dietze 2014). As we make progress to provide models with richer data sets
290 for use in model parameterization and evaluation, we need to archive our raw gas exchange
291 data so that, for example, new kinetic constants and temperature response functions can be
292 applied to old data, maintaining its value as understanding advances. The estimation of
293 quantum yield provides another example where the assembly of parameters (e.g. Φ_{int} , a , Γ^* , Θ)
294 and approaches (e.g. estimation of low light C_i) is not coordinated and where archived data
295 would be useful.

296 *Recommendations: (3) Models need to make careful and consistent use of kinetic constants and*
297 *temperature response functions. (4) Physiologists should archive their raw data to enable*
298 *coordinated parameterization and the preservation of their data for future analysis.*

299

300 **Short-term response to temperature**

301

302 The temperature response of A is complex and dependent on additional variables such as Q and
303 C_i (Fig 2). The C_i in turn depends on g_s and hence VPD , such that the temperature and VPD

304 response of g_s also impacts the shape of the temperature response of A (Medlyn *et al.*, 2002a;
305 Lin *et al.*, 2012). The model by Farquhar *et al.* (1980) suggests that A is Rubisco-limited at low
306 temperature - but note that TPU limitation can limit A in some species at low temperature
307 (Sage & Sharkey, 1987). The decline in A at high temperature (Fig. 2) can be brought about by
308 the temperature dependence of J_{max} and the strong increase in photorespiration and
309 mitochondrial respiration with increasing temperature (Farquhar *et al.*, 1980; von Caemmerer,
310 2000). High temperature limitations on Rubisco activase could also cause decline in A but this
311 mechanism is currently absent from all these models (Salvucci & Crafts-Brandner 2004a;
312 Salvucci & Crafts-Brandner 2004b, Sage & Kubien 2007). The steep decline of A at temperatures
313 above 30°C in the Farquhar *et al.* (1980) model is largely driven by the temperature
314 dependence of J_{max} . This effect needs to be treated with some caution as it may be due to
315 irreversible inhibition in the *in vitro* system, from which the function was derived. June *et al.*
316 (2004) provided a simpler empirical equation for fitting the temperature dependence of J_{max} .
317 The temperature dependence of A is also driven by the choice of kinetic parameters and their
318 temperature dependencies as discussed above. Some TBMs use spinach (Jordan and Ogren
319 1984) or tobacco (Bernacchi *et al.* 2001) temperature response functions for $V_{c,max}$ for all
320 species. However, as there are important differences in the response of $V_{c,max}$ to temperature
321 among warm and cool climate plant species (Kattge & Knorr 2007; Galmes *et al.* 2015),
322 continued acquisition of temperature response functions from different biomes is critically
323 important. The temperature optimum of A (T_{opt}) depends on environmental conditions such as
324 Q and C_a , with T_{opt} being more pronounced at high Q and C_a (e.g. compare Figs 2a & c with 2b
325 & dd). Here, two models stand out for their temperature responses; unlike the majority of

326 models that show an optimum $\sim 24.5^\circ\text{C}$, ED2 has an emergent temperature optimum at 16°C ,
327 despite a $V_{c,max}$ optimum at 39°C , and JSBACH shows no high temperature limitation on A
328 (Table 1, Fig 2). It is usual for T_{opt} to shift to a slightly higher temperature as C_a rises (Long,
329 1991) because at high C_a the rate of photorespiration is reduced, thereby extending the
330 temperature range where positive CO_2 assimilation occurs. The CO_2 effect on T_{opt} is evident in
331 Fig. 2 in a number of the models at both the leaf and canopy level. Here, elevating C_a from 380
332 to $550 \mu\text{mol mol}^{-1}$ shifts the T_{opt} up by $\sim 2^\circ\text{C}$ (Fig 2 b,d). Two models do not show this shift in
333 T_{opt} : JSBACH has no T_{opt} , and the T_{opt} for ED2 remains at 16°C despite the increase in C_a from
334 380 to $550 \mu\text{mol mol}^{-1}$.

335

336 Current empirical models predict the response of g_s to temperature based on a relationship
337 between g_s and A that is modified by VPD. This approach is successful in many cases (e.g.
338 Duursma *et al.* 2014) although the mechanisms underlying the response remain poorly
339 understood (Mott, 2009; Busch, 2013). In addition, there is evidence that the correlation
340 between g_s and A breaks down at high temperatures ($> 35^\circ\text{C}$) in some species, with stomata
341 remaining open while A goes to zero (e.g. Lu *et al.* 2000; Scafaro *et al.* 2012; Slot *et al.* 2016;
342 Teskey *et al.* 2015; von Caemmerer and Evans 2015). Presumably this response allows the plant
343 to maintain leaf temperatures at non-damaging levels via transpirational cooling. It is not
344 known how widespread this response is (Teskey *et al.* 2015) nor to what extent it occurs in the
345 field. Slot *et al.* (2016), for example, find this response in glasshouse-based measurements but
346 not in field trees.

347 *Recommendations: (5) Physiologists need to continue measuring temperature response*
348 *functions for $V_{c,max}$ and J_{max} . (6) More field-based research into the independent temperature*
349 *response of g_s is required to better understand the mechanism underlying the response of A to*
350 *high temperatures.*

351

352 **Short-term response to CO₂**

353

354 At low C_a , when A is limited by the amount of active Rubisco available for carboxylation ($V_{c,max}$),
355 A increases with rising C_a for two reasons: (1) the affinity of Rubisco for CO₂ is low, and
356 therefore increasing the substrate concentration increases carboxylation rates; (2) CO₂
357 competitively inhibits the oxygenation reaction, reducing CO₂ losses associated with
358 photorespiration (Fig. 3). At higher C_a - i.e. above the inflection point of the A - C_a curve (most
359 notable in the leaf level responses shown in Figs 3a & b) - A becomes limited by the supply of
360 ATP and NADPH to regenerate the CO₂ acceptor RuBP. At this point A will still rise with
361 increasing C_a , but the CO₂ responsiveness (the increase in A for a given increase in C_a) is
362 reduced as further increases in A are attributable solely to the inhibition of the oxygenation
363 reaction, which increases the availability of ATP and NADPH for RuBP regeneration (Long 1991,
364 Long *et al.* 2004).

365

366 The shape of the A - C_a response curve is a critical model feature that determines the ability of
367 the terrestrial carbon sink to respond to rising C_a and it is affected by model structure and
368 parameterization (Fig. 3, Tables 1 & 2). Variation in the initial slope of the A - C_a response is

369 attributable to C_i and the choice of kinetic constants. For this example of a broad leafed
370 deciduous tree PFT in our standard conditions, all models show that light-saturated A appears
371 to be Rubisco limited (RuBP saturated) below a C_a of $500 \mu\text{mol mol}^{-1}$ (Fig. 3). As a result the CO_2
372 responsiveness of A below a C_a of $500 \mu\text{mol mol}^{-1}$ is similar for all models. However, as C_a rises
373 above $500 \mu\text{mol mol}^{-1}$ differences in model structure and parameterization lead to substantial
374 variation in CO_2 responsiveness. Three models (CLM, ED2 and JULES) stand out for smooth
375 response curves that lack a clear inflection point (most noticeable in Fig 3a). All three models
376 adopt the co-limitation approach described by Collatz *et al.* (1991) which smooths transitions
377 between Rubisco limited and RuBP limited A (Collatz *et al.* 1991; Foley *et al.* 1996; Clark *et al.*
378 2011; Oleson *et al.* 2013, Table 1). This approach contributes to the greater CO_2
379 responsiveness at higher C_a observed in CLM and JULES (Fig 3a). In addition, the four models
380 that lack this smoothing function (BETHY, G'DAY, JSBACH and O-CN) have a marked inflection
381 point between Rubisco limited and RuBP limited A , but the C_a at which this inflection occurs
382 spans a large range ($\sim 300 \mu\text{mol mol}^{-1}$, Fig. 3) contributing to the variation in CO_2 responsiveness
383 above $500 \mu\text{mol mol}^{-1}$. The variation in C_a at which the inflection point occurs has several
384 causes, but the main drivers of this variation are the choice of kinetic constants ($\sim 60 \mu\text{mol mol}^{-1}$,
385 Table 2), the JV_{ratio} , which for a fixed $V_{c,max}$ sets the inflection point C_i ($\sim 125 \mu\text{mol mol}^{-1}$, Table
386 2) and the stomatal model, which determines the C_a at which the inflection point C_i is reached
387 ($\sim 175 \mu\text{mol mol}^{-1}$, Table 1).

388

389 As $V_{c,max}$ is reduced (Fig. 3b, 3d), the responses of A to changes in C_a are qualitatively similar
390 but model divergence is constrained. Model variation in canopy level responses to rising C_a (Fig

391 3c & d) is also attributable to different approaches to canopy scaling as discussed below. The
392 differences seen here in CO_2 responsiveness are substantial - highlighting the impact of
393 different model representations of the FvCB equations, stomatal model choices and the need to
394 better understand controls on the inflection point of the $A-C_a$ response. The C_a at which the
395 inflection point occurs drives uncertainty in the CO_2 stimulation of A at the C_a that will be
396 experienced in the second half of the century, and it is at this higher C_a where model
397 uncertainty is greatest. This effect probably contributes to the model differences in GPP
398 reported in the recent FACE model-intercomparison project (Zaehle *et al.* 2014).

399 *Recommendation: (7) We need improved understanding and model evaluation of the controls*
400 *on the inflection point of CO_2 response curves.*

401

402 **Short-term response to VPD**

403 Increasing VPD causes stomatal closure, which decreases C_i . The magnitude of the decrease in
404 A resulting from lower C_i is determined by the shape of the $A-C_i$ response as described above
405 and shown in Fig. 3. Figure 4 shows the response of A to VPD ; model divergence increases with
406 rising VPD , largely due to differences in the parameterization of VPD sensitivity among models .
407 The strong sensitivity of the CLM model seen in Figure 4 is due to the use of RH in the model
408 formulation, and the fact that RH must drop dramatically to obtain increasing VPD with
409 constant temperature, as shown in this plot. There are some models, of which JSBACH in this
410 study is an example, that do not incorporate a stomatal response to RH or VPD (Table 1, Figure
411 4). Such formulations were necessary when driving data sets for atmospheric humidity were
412 not available. Given advances in the understanding of stomatal responses and the availability

413 of appropriate driver datasets, stomatal response to RH or VPD should be adopted. However,
414 we believe that formulations involving VPD , such as those adopted by ED2, G'DAY and JULES
415 (Table 1) are theoretically preferable because, unlike RH , VPD is directly proportional to water
416 loss, more closely reflects stomatal mechanics (e.g. Aphalo & Jarvis 1991; de Beeck, 2010), and
417 is strongly linked to productivity (Lobell *et al.* 2014; Ort & Long, 2014). In addition, formulations
418 involving VPD , rather than RH , will likely be better able to project the response of vegetation to
419 future climate scenarios, because RH is predicted to change little in the future whereas VPD will
420 increase with warming (Sato *et al.* 2015).

421

422 Similar coupled g_s - A models can also be developed from optimization principles. Cowan &
423 Farquhar (1977) proposed that stomatal behavior is optimal when A less the cost of
424 transpiration is maximized, and a number of authors have shown that this theory leads to a
425 relationship between g_s and A that is similar in behavior to empirical formulations (e.g. Hari *et*
426 *al.* 1986; Katul *et al.* 2010; Medlyn *et al.* 2011). Optimization approaches have the advantage of
427 being based in theory, yielding meaningful parameter values, and providing g_s responses to
428 future environmental conditions where we lack robust measurements, and we encourage their
429 use. However, we also caution that optimization can lead to physiologically incorrect behavior
430 in some circumstances – such as incorrect CO_2 responses, and instability near the transition
431 between Rubisco-limited and RuBP regeneration-limited A – implying that simple, empirically
432 verified equations based on optimization may be more reliable than direct application of
433 numerical optimizations that are also dependent on the careful application of model
434 constraints within TBMs and the optimization approach used.

435

436 Large-scale parameterization of stomatal models has been data-limited, with models typically
437 using one nominal set of parameter values for all C₃ vegetation. A major advance in this area
438 was made by Lin *et al.* (2015), who collated a substantial new stomatal conductance database
439 and demonstrated a predicted response of the stomatal slope parameter (g_1) to temperature
440 and consistent differences in g_1 among broadly defined PFTs. This dataset provides a valuable
441 foundation for stomatal model parameterization. However, the coverage of this database is still
442 limited. There is still relatively little information about how g_1 varies among species or
443 genotype, and almost no information on acclimation or plasticity in these parameters in
444 response to abiotic or biotic factors (Way *et al.* 2011). Models are also sensitive to the
445 minimum stomatal conductance parameter, g_0 , particularly under low light and high VPD
446 conditions (Bauerle *et al.* 2014), but this parameter is poorly quantified.

447 *Recommendation: (8) Models should adopt approaches that include formulations where g_s*
448 *responds to VPD. (9) We need more information about how g_1 and g_0 (or their equivalents) vary*
449 *among PFTs and in response to environmental drivers.*

450

451 **Short-term response to Soil Moisture Content**

452

453 Soil moisture availability is a key constraint on A . As soil moisture availability decreases,
454 stomates close, decreasing C_i , and eventually preventing A and transpiration (Fig. 5). Drought
455 can also reduce the biochemical capacity for A , expressed as lower $V_{c,max}$ and J_{max} in models,
456 but the relative balance of these stomatal and biochemical limitations is subject to significant

457 debate (Chaves *et al* 2009). Current approaches to modelling the effects of soil moisture on A
458 can be classified into several types: empirical reduction factors; hydraulic limitations;
459 physiological approaches; and a simple supply constraint approach (BETHY). The latter assumes
460 that plant transpiration cannot exceed the potential supply of soil water and that plants can
461 photosynthesize provided there is a sufficient water supply (Table 1).

462
463 The empirical reduction factor approach involves multiplying parameters by a soil water stress
464 factor (typically denoted β , ranging from 0 to 1) when soil moisture falls below a given model
465 model-dependent threshold. Three of the models in our sample used this approach (CLM,
466 G'DAY and O-CN, Table 1 & Fig. 5). However, there is disagreement among models as to
467 whether the β factor should be applied to the stomatal slope parameter, apparent $V_{c,max}$, or
468 both (De Kauwe *et al.* 2013). Here, all three models applied the β factor to stomatal model
469 parameters, either the slope (G'DAY and O-CN) or the intercept (CLM), and also to the
470 photosynthetic parameters $V_{c,max}$ (CLM) or $V_{c,max}$ and J_{max} (G'DAY and O-CN, Table 1). Increasing
471 evidence suggests that both stomatal slope and $V_{c,max}$ are affected by low soil moisture, with
472 the reduction in apparent $V_{c,max}$ possibly in part due to lower g_m (Keenan *et al.* 2010; Egea *et al.*
473 2011; Zhou *et al.* 2013). Several TBMs do include both limitations, but the β factor is tied to the
474 soil water content and therefore models cannot capture the impact of potentially different
475 trajectories of drying and rewetting episodes (Williams *et al.* 2009).

476
477 The hydraulic approach offers a number of theoretical advantages over the β -factor approach.
478 Stomatal conductance is modelled as a function of leaf water potential (ψ_{leaf}), which is

479 calculated from soil moisture potential ψ_{soil} and plant and soil hydraulic conductances. There
480 may be a threshold minimum ψ_{leaf} (Williams *et al.* 1996) or a sigmoidal functional dependence
481 (Tuzet *et al.* 2003). Implementations also differ on whether responses to *VPD* are captured by
482 the responses to ψ_{leaf} (Williams *et al.* 1996, Tuzet *et al.* 2003) or whether an additional *VPD*
483 response is also needed (Bonan *et al.* 2014). The hydraulic approach is appealing to plant
484 physiologists because it reflects some of the key mechanisms thought to influence plant
485 response to drought (Leuning *et al.* 2004). Because soil hydraulic conductance is assumed to
486 vary with ψ_{soil} this approach also incorporates a dynamic weighting of soil layers whereby lower
487 soil layers become more important as drought progresses (De Kauwe *et al.* 2015). Furthermore,
488 there is evidence that the photosynthetic response to soil moisture can depend on plant leaf
489 area (e.g. Kelly *et al.* 2015), an effect that is captured by the hydraulic approach but not the β -
490 factor approach. The chief disadvantage of the hydraulic approach is that it requires additional
491 parameters to represent plant hydraulic conductance and stomatal dependence on leaf water
492 potential. These parameters are not well quantified and can lead to additional uncertainty.

493

494 The physiological approaches are based on an understanding of stomatal function and suggest
495 that both metabolic and hydraulic stomatal regulation involves the hormone abscisic acid
496 (*ABA*), known to promote tolerance against abiotic stress (Jones *et al.*, 2015). Wilkinson and
497 Davies (2002) proposed a coordinated model of plant responses to stress whereby water stress
498 sensed by the root system stimulates *ABA* biosynthesis. This signal is then communicated to the
499 guard cells which subsequently induce stomatal closure and reduce water loss. Both roots and

500 leaves synthesize *ABA* and increasing concentrations of xylem *ABA* correlate with stomatal
501 closure (Sauter *et al.*, 2001; Wilkinson and Davies, 2002, Christmann *et al.* 2007).

502

503 There are few mathematical descriptions of stomatal control including xylem *ABA* signaling
504 (Tardieu and Davies, 1993; Dewar, 2002; Huntingford *et al.*, 2015). Tardieu and Davies (1993),
505 combined hydraulic and chemical signaling control of stomatal functioning. The approach of
506 Dewar (2002) is an extension of this approach that also considers xylem embolism and the
507 possible role of combined leaf hydraulic and chemical signaling in addition - or as a possible
508 alternative - to existing root signals. Huntingford *et al.* (2015) revisited the work by Dewar
509 (2002) and provided a g_s formulation which depends on only four variables: soil water content,
510 C_a , evapotranspiration and net A . This is an exciting approach, however there is an acute need
511 for more empirical data to be able to parameterize and evaluate approaches of estimating g_s
512 that include *ABA*.

513

514 The TBMs presented here showed dramatic divergence in the response of A to drought (Fig. 5),
515 with the canopy level responses mostly mirroring the responses seen at the leaf level. Whilst
516 much of this divergence could be explained by the different approaches taken by each model
517 (Table 1), the method used to estimate soil water availability also varies between models. Some
518 models estimate soil water availability using soil moisture content (e.g. O-CN) and others using
519 ψ_{soil} (e.g. CLM). Since soil water retention curves are highly nonlinear and dependent on soil
520 type, this can be a major source of model divergence (Medlyn *et al.* 2016). From a physiological
521 perspective, ψ_{soil} is thought to be more relevant to plant function than soil moisture content.

522 However, the use of ψ_{soil} can result in unrealistically steep responses to the onset of drought
523 unless it is dynamically averaged over the soil profile (De Kauwe *et al.*, 2016).

524

525 Uncertainties in root and stomatal responses are major drivers of TBM uncertainty in predicted
526 *NPP* across a wide latitudinal gradient (Dietze *et al.* 2014; De Kauwe *et al.* 2013). Improved
527 model representation of drought responses will require evaluation of underlying mechanisms
528 as well as comparison of high level model outputs to ecosystem fluxes during drought periods.
529 Evaluation of the response of key variables associated with alternative stomatal models against
530 field data is needed. This is challenging as evaluation of alternative mechanisms (e.g. the
531 hydraulic and physiological approaches) requires field level manipulation or exploitation of
532 natural gradients and weather events coupled with substantial campaigns that include parallel
533 measurement of many leaf parameters (e.g. ψ_{leaf} , *in situ* gas exchange and $V_{c,max}$) in
534 coordination with plant hydraulic parameters (e.g. soil moisture content, ψ_{soil} , sap flux,
535 hydraulic conductivity and cavitation vulnerability).

536 *Recommendations: (10) Models should respond to soil water availability through ψ_{soil} , but*
537 *variation in ψ_{soil} with soil depth needs to be incorporated (11) We need rich data sets of*
538 *coordinated physiological and environmental measurements to enable evaluation of alternative*
539 *modeling approaches for the representation of the response of A to drought.*

540

541 **Scaling physiology**

542

543 Above, we focused primarily on leaf level responses to environmental and climate change
544 drivers, but a major challenge for model representation is how to scale process knowledge of
545 physiology and leaf level parameterization through time (seasonal change), vertically through
546 the canopy, spatially across the landscape, and also to represent photosynthetic acclimation to
547 rising temperature and C_a . These issues are discussed below.

548

549 **Effects of day length and season**

550

551 Photosynthesis responds to short-term environmental changes, but it also shows broad, regular
552 seasonal changes, especially in higher latitudes. In these regions, A halts in the autumn as
553 leaves senesce in deciduous species and decreases as $V_{c,max}$ is down-regulated during the cold
554 winter months in evergreens. Much of this temporal scaling of A is captured in TBMs through
555 phenology models and the direct temperature effects on $V_{c,max}$.

556

557 While temperature may be a major factor in driving seasonal patterns of A , other
558 environmental cues may be as, or even more, important. Photoperiod is known to have strong
559 effects on leaf phenology, which has indirect effects on A , but has not generally been
560 considered to affect A directly (Way & Montgomery, 2015). However, Bauerle *et al.* (2012)
561 found that photoperiod was a stronger predictor of seasonal changes in both $V_{c,max}$ and J_{max}
562 than air temperature. In that data set, $V_{c,max}$ peaked immediately after the summer solstice,
563 and declined steadily into the autumn, although air temperatures did not peak until a month or
564 more after the solstice. When this effect was accounted for with a photoperiod correction of

565 $V_{c,max}$ in CLM, the model's ability to capture seasonal patterns of atmospheric C_a was improved
566 (Bonan *et al.* 2011; Bauerle *et al.* 2012). Other papers have noted that incorporating a
567 photoperiod scalar with direct effects on $V_{c,max}$ improves estimates of seasonal carbon fluxes in
568 eddy flux studies, supporting a role for photoperiod in modulating $V_{c,max}$ (Medvigy *et al.* 2013;
569 Stoy *et al.* 2014). In controlled environments, photoperiod is tightly correlated with total leaf
570 protein content, suggesting a tradeoff between the value of protein and the cost of its
571 maintenance and provides a possible mechanistic explanation for the impact of photoperiod on
572 $V_{c,max}$ (Hannemann *et al.* 2009). However, not all PFTs show the same response to changes in
573 day length and it is possible that photoperiod corrections may be capturing leaf age effects
574 (Medlyn *et al.* 2002b; Medlyn *et al.* 2007; Busch *et al.* 2007; Lin *et al.* 2013; Stinziano *et al.*
575 2015). In the tropics, day-length is essentially constant and therefore photoperiod scalars will
576 fail to capture the well documented photosynthetic seasonality associated with tropical
577 evergreen forests (Doughty & Goulden, 2008). In dry season Amazonian evergreen forests
578 recent work has shown that a higher canopy level photosynthetic capacity associated with new
579 leaf flushing explains seasonal dynamics of photosynthetic rate (Wu *et al.* 2016a).

580 *Recommendations: (12) We need to elucidate the mechanism underlying the use of photoperiod*
581 *scalars to modify photosynthetic parameterization. (13) In order to capture photosynthetic*
582 *seasonality in tropical evergreen forests, we need to develop new approaches that are capable*
583 *of coupling prognostic leaf phenology to photosynthetic capacity.*

584

585 **Acclimation to temperature**

586

587 The short-term photosynthetic responses to temperature covered above are themselves
588 sensitive to the temperatures experienced over longer time scales (days to weeks). This longer-
589 term adjustment, known as temperature acclimation, has been widely reported and recently
590 reviewed (Smith and Dukes 2013, Way & Yamori 2014). The phenomenon is commonly
591 observed as a shift in the optimum temperature for A (T_{opt}), which can maximize the A at the
592 growth temperature (Berry & Björkman, 1980; Yamori *et al.*, 2014; Kattge & Knorr, 2007). The
593 mechanistic process of acclimation and its timescale have not been well described, either
594 within or across species. At the slowest and broadest scales, the process of acclimation is
595 constrained by leaf structure and rates of leaf development and turnover. Leaves that develop
596 under one set of conditions are constrained by their existing anatomy from adjusting fully to a
597 new set of conditions (Campbell *et al.* 2007). Within a leaf, acclimation rates are driven by the
598 rates at which biochemical and physiological processes can adjust.

599

600 At the leaf scale, acclimation results from temperature-driven changes in enzyme abundances
601 and isoforms, and of membrane composition (Yamori *et al.* 2014). At low growth
602 temperatures, the abundance of Rubisco and other photosynthetic enzymes increases, and
603 some plants produce enzymes with different isoforms, which have different kinetic constants.
604 Under high growth temperatures, plants are thought to increase the stability of the thylakoid
605 membrane, and their capacity for increased electron transport. Also, some plants can produce
606 a more heat-stable form of Rubisco (Crafts-Brandner *et al.* 1997), and increase expression of
607 heat-shock proteins. Growth temperature also affects the temperature response of respiration,
608 with consequences for net A (e.g., Atkin & Tjoelker 2003, Way & Yamori 2014); although the

609 acclimation of respiration may affect plant growth more strongly than that of A for some
610 species (Way & Oren 2010), this topic lies beyond the scope of this paper and has recently been
611 considered elsewhere (Atkin *et al.* 2015).

612

613 While long-term acclimation of A to temperature has been observed in many species and
614 studies, fewer studies have quantified acclimation at the process level i.e. $V_{c,max}$ and J_{max} . From
615 observed responses, one may expect seasonal variation in the temperature dependence of J_{max}
616 and changes in the JV_{ratio} . Some confirmation of this was provided by Kattge & Knorr (2007)
617 who reanalysed data from 36 (primarily temperate) plants and showed that the optimum
618 temperature of $V_{c,max}$ and J_{max} increased by 0.44°C and 0.33°C per 1°C increase of growth
619 temperature, and that the JV_{ratio} at 25°C significantly decreased with increasing growth
620 temperature. However, temperature acclimation may result from different processes in
621 different species: $V_{c,max}$ and J_{max} measured at 25°C were, on average, unaffected by growth
622 temperature across tree species (Way & Oren, 2010) and showed a wide variation in responses
623 across a broad range of plant growth forms (Way & Yamori 2014).

624

625 The representation of $V_{c,max}$ and J_{max} acclimation based on Kattge & Knorr (2007) has been
626 included in some models (e.g., Raddatz *et al.* 2007, Ziehn *et al.* 2011, Arneth *et al.* 2012,
627 Lombardozzi *et al.* 2015), and recent work suggests that incorporation of both photosynthetic
628 and respiratory acclimation can alter projections of land carbon storage by 10-40 Pg by the end
629 of the century (Lombardozzi *et al.* 2015, Smith *et al.* 2016). However, there is clear indication
630 that species differ in the degree to which they acclimate to temperature (e.g., Yamori *et al.*

631 2014), and no formulations have yet been developed that capture this variation across a broad
632 range of PFTs.

633

634 Researchers have recorded acclimation of different species occurring over periods lasting from
635 two days to nearly two weeks (e.g., Björkman & Badger 1979, Gunderson *et al.* 2010, Slatyer &
636 Ferrar 1977). Very limited evidence suggests that the exact timescale of acclimation may not be
637 critical for modeled estimates of GPP as long as it is in a range of approximately 3-45 days
638 (Dietze 2014) but the issue needs to be evaluated more thoroughly before that assumption is
639 widely adopted. A specific timescale does need to be specified in models to calculate growth
640 temperature, and is straightforward to identify experimentally. Kattge & Knorr (2007) assumed
641 an acclimation period of 30 days, using an average of day and night temperatures, but it is clear
642 that the bulk of biochemical and physiological adjustments happen over a shorter time period.

643 *Recommendations: (14) Physiologists need to measure thermal acclimation of the*
644 *photosynthetic traits (e.g. $V_{c,max}$ and J_{max}) that drive model outputs rather than thermal*
645 *acclimation of A. (15) We need a better understanding and model representation of thermal*
646 *acclimation across biomes, specifically the capacity and degree to which species can acclimate,*
647 *the time scales over which acclimation occurs, and the degree to which temperature acclimation*
648 *is affected by other environmental variables.*

649

650 **Acclimation to rising [CO₂]**

651

652 Photosynthetic acclimation to elevated C_a is the reduced stimulation of A that often occurs
653 following long-term growth at elevated C_a (Ainsworth & Rogers, 2007). It is the result of a
654 reduction in $V_{c,max}$ relative to J_{max} (Ainsworth & Long, 2005, Ainsworth & Rogers, 2007, Leakey
655 *et al.*, 2009, Long *et al.*, 2004, Rogers & Humphries, 2000). Notably, the reduction in
656 photosynthetic capacity typically reduces the magnitude of the stimulation of A without
657 completely eliminating it (Leakey *et al.* 2009). The acclimation response reduces allocation of N
658 to Rubisco, thereby allowing N resources to be combined with the greater C supply from
659 stimulated A at elevated C_a (Drake *et al.* 1997, Long *et al.* 2004). In fact, a meta-analysis of
660 Ainsworth & Long (2005) found that the decrease in leaf N content observed at elevated C_a was
661 largely attributable to the decrease in Rubisco. Consequently, the magnitude of any
662 photosynthetic acclimation is tightly coupled to the C and N status, and the source-sink
663 balance, of the plant (Medlyn, 1998; Ainsworth & Rogers, 2007, Ainsworth *et al.*, 2004, Leakey
664 *et al.*, 2009, Rogers *et al.*, 2009, Rogers *et al.*, 1998). For example, in severely N limited systems,
665 acclimation is strong and can be attributed to a nonspecific reduction in leaf N content (Warren
666 *et al.*, 2015), a mechanism that is currently accounted for by some TBMs in this study (Table 1).
667 Acclimation is also strong when the capacity of sinks to use photoassimilate is low, leading to
668 accumulation of leaf carbohydrates and induction of sugar signaling pathways that reduce
669 expression of Rubisco (Moore *et al.* 1999). There is evidence for variation in the acclimation
670 response among functional groups that differ in the processes limiting A at ambient C_a
671 (Ainsworth & Rogers, 2007). Acclimation is rarely observed in plants that have Rubisco-limited A
672 at current C_a and elevated C_a . As C_i rises above the inflection point on an $A-C_i$ response curve,
673 A will become RuBP regeneration-limited, and carboxylation capacity will exceed requirements.

674 In this situation, plants grown at elevated C_a typically exhibit photosynthetic acclimation and
675 reduce their investment in Rubisco (Ainsworth & Rogers, 2007).

676

677 The TBMs in this review either do not include photosynthetic acclimation to elevated C_a or link
678 it to a non-specific reduction in leaf N content that is focused on reduced N availability and
679 constrained C:N stoichiometry (Luo *et al.*, 2004). No models currently include representation of
680 the physiological acclimation to elevated C_a described above and widely reported in Free Air
681 CO_2 Enrichment (FACE) studies (Long *et al.* 2004, Ainsworth & Rogers 2007, Leakey *et al.* 2009),
682 where the meta-analysis of Ainsworth & Long (2005) found that the decrease in leaf N content
683 observed at elevated C_a was largely attributable to the decrease in Rubisco. 2009). Recent
684 analysis has shown that failing to account for photosynthetic acclimation at elevated C_a leads to
685 an overestimation of yield in soybean (Twine *et al.*, 2013) - a legume where reductions in leaf N
686 content at elevated C_a are theoretically minimal (Rogers *et al.*, 2009). Therefore, the potential
687 for model representation of photosynthetic acclimation to elevated C_a to reduce errors of this
688 type when modeling other more N limited systems is likely substantial. In future TBMs we
689 believe it will be important to capture the mechanisms that control physiological acclimation to
690 rising C_a and not just acclimation resulting from reduced N availability. An approach that
691 reduces N allocation to Rubisco when C_a rises beyond the inflection point of PFT-specific CO_2
692 response curves would be a good first step. However, unlike thermal acclimation, no
693 algorithms have been developed to facilitate inclusion of this concept in TBMs despite the
694 substantial research from FACE experiments. Published data from FACE experiments could
695 potentially be used for development and validation of new algorithms.

696 *Recommendation (16) We need to develop a new model representation of the physiological*
697 *acclimation of photosynthesis to elevated C_a .*

698

699 **Leaf to canopy scaling**

700

701 Due to high non-linearity of photosynthetic responses to light, temperature and *VPD*, scaling *A*
702 from leaves to canopy remains an important challenge for models (Jarvis, 1995). Central to this
703 challenge is TBM representation of light penetration and utilization within the canopy's vertical
704 profile and the vertical scaling of physiology within the canopy. Analogous effects arise from
705 within-canopy variations in temperature and *VPD*, although to a lower degree (Niinemets &
706 Anten, 2009).

707

708 Although the average light intensity typically decreases exponentially with increasing
709 cumulative leaf area index through the canopy, the extent of this decline is affected by the
710 optical properties of individual leaves (including albedo) and how these change with canopy
711 depth, season and leaf age, leaf inclination angle distribution and foliage and canopy spatial
712 clumping (Cescatti & Niinemets, 2004; Kobayashi *et al.*, 2007; Chen *et al.*, 2012; Disney, 2015;
713 Drewry *et al.* 2014; Wu *et al.* 2016a,b). Furthermore, due to gaps in the canopy, leaves at a
714 given value of cumulative leaf area index can be sunlit or shaded, further complicating the
715 estimation of light at the leaf surface, leaf absorption, and the subsequent numeric integration
716 of canopy-scale photosynthetic, water, and energy fluxes (de Pury & Farquhar, 1997; Wang &
717 Leuning, 1998; de Pury & Farquhar, 1999; Kobayashi *et al.*, 2012). Here, the models differ in

718 how these scaling issues are addressed (Table 1) and based on how the canopy is considered,
719 they can be broadly divided between multi-layer models and big-leaf models.

720

721 Several TBMs have used the “big leaf” approach where a canopy approximately represents a
722 single big leaf with a single set of traits describing the photosynthetic capacity together with
723 characteristic light and temperature response functions (generally by PFT), typically scaled to
724 the canopy as a function of leaf area index (e.g., Amthor, 1994; Sands, 1996). Although
725 sometimes still used (e.g. G'DAY, Table 1), the big leaf model approach consisting of a single
726 “leaf” has been demonstrated to be prone to major integration errors due to lack of
727 consideration of sunlit and shaded leaf area classes (de Pury & Farquhar, 1997; Friend, 2001).
728 These errors were somewhat reduced by developing the “two big-leaf” model approach, which
729 consists of separate handling of a representative sunlit and a shaded big leaf (de Pury &
730 Farquhar, 1997; Chen *et al.*, 1999; Dai *et al.*, 2004). Indeed, separate integration of A for sunlit
731 and shaded leaf fractions provides a much more accurate integration of carbon and water
732 fluxes (de Pury & Farquhar, 1997; Dai *et al.*, 2004), and this is the approach used in several
733 contemporary TBMs (Table 1).

734

735 Big leaf models differ in how whole-canopy $V_{c,max}$ and J_{max} values are derived (or sunlit and
736 shaded big leaf values are derived), but typically, proportionality of photosynthetic capacity and
737 average light (deemed optimal) is assumed (Table 1, Amthor, 1994; Sands, 1995a; Sands,
738 1995b). Yet, such optimality is not present in nature (Niinemets, 2012). In fact, the decline of
739 photosynthetic capacity through the canopy is much shallower than that for light (Lloyd *et al.*,

740 2010; Dewar *et al.* 2012; Niinemets *et al.*, 2015). Such departures from optimality have been
741 considered in some multi-layer models (Table 1), but nevertheless, only a few datasets have
742 been used to develop global parameterizations for multi-layer models (e.g., Carswell *et al.*,
743 2000; Lloyd *et al.*, 2010). PFT and biome-dependent within-canopy acclimation patterns have
744 recently been highlighted (Niinemets *et al.*, 2015) and could be used in future model
745 development.

746

747 Depending on the distribution of foliage inclination angles and spatial clumping, the probability
748 for light penetration varies at a given cumulative LAI (Cescatti & Niinemets, 2004; Disney,
749 2015). Importantly, characteristic canopy features differ among PFTs given fundamental
750 differences in leaf habit and growth forms (Cescatti & Niinemets, 2004), as a result of land-use,
751 landscape legacies and past disturbance, but few TBMs take this into account. While the multi-
752 layer models can be easily modified to incorporate different clumping and foliage inclination
753 angles, this is much less straightforward for the big leaf models. In fact, differences in canopy
754 architecture are part of the whole-canopy $V_{c,max}$ and J_{max} values in current big leaf models, i.e.
755 the input values get converted to canopy-scale sunlit and shaded values blurring the definition
756 of $V_{c,max}$ and J_{max} and making comparison with measured leaf level values impossible.
757 Moreover, leaf optical properties and foliar traits change markedly within the vertical canopy
758 profile (Serbin *et al.*, 2014; Wu *et al.*, 2016b; Yang *et al.*, 2016), but are often assumed static,
759 which will generally lead to improper representation of light interception and utilization. This
760 improper representation will feed forward to the integration of leaf energy balance and carbon
761 uptake. We argue that traits like $V_{c,max}$ and J_{max} should retain their original physiological

762 definition and that more effort is needed to improve the representation of canopy
763 architecture and subsequent scaling of foliar properties in TBMs. Modifications to the
764 underlying radiative transfer model (RTM) structure and scaling can help to improve the
765 representation of the canopy light environment and modeling of carbon, water, and energy
766 fluxes (Kobayashi *et al.*, 2012), however increasing RTM complexity or vertical layering should
767 not come at the cost of the ability to parameterize the model. A promising means to constrain
768 these approaches is through model-data integration whereby remote sensing observations (e.g.
769 optical, LiDAR) from the leaf to landscape are used to inform the RTM structure and to
770 parameterize across spatial and temporal scales (e.g. Shiklomanov *et al.* 2016).

771 *Recommendations: (17) TBMs should not use single layer big leaf models. (18) We need better*
772 *model representation of canopy architecture and vertical scaling of foliar properties, and data to*
773 *evaluate alternative radiative transfer models and scaling approaches.*

774

775 **Canopy to landscape scaling**

776

777 There is considerable variability in plant physiological traits across space and time (Serbin *et al.*,
778 2015; Singh *et al.*, 2015), even within an individual species or PFT (Kattge *et al.* 2011; Serbin *et*
779 *al.*, 2014). This variability is driven by differences across vegetation types, photosynthetic
780 pathways, plant successional status, as well as a result of nutrient availability and other abiotic
781 factors. There is a propensity for strong covariance among many key physiological traits as well
782 as fundamental tradeoffs which determine the distribution of these properties across
783 landscapes. Moreover, the nonlinearity in the scaling of model processes from leaf to larger

784 regions requires careful consideration of model parameterization in order to effectively capture
785 the larger-scale emergent responses (Fisher *et al.*, 2015). Parameterization with single, fixed
786 values of photosynthetic capacity likely obscures the true response of vegetation to global
787 change across landscapes, particularly at the current climatic extents of vegetation, thus
788 inadequately capturing critical plant threshold responses to factors such as temperature and
789 precipitation. The links between leaf-level observations, environmental responses and
790 emergent landscape-scale parameterizations needed for TBMs is are not straightforward, and
791 as such global parameterizations are commonly derived through the inversion of large-scale
792 datasets (e.g. Kattge *et al.*, 2009; Lin *et al.*, 2015). However use of such data sets can yield
793 parameterization that is inconsistent with current model structures resulting in unrealistic
794 model outputs (e.g. Bonan *et al.*, 2012). Furthermore, the trade-offs among variables (e.g.
795 $V_{c,max}$ v N) are themselves scale-dependent, with slopes changing depending on whether one is
796 looking at an across-PFT evolutionary constraint, a within-PFT community response, or a within-
797 individual phenotypic response (Feng and Dietze 2014). Care must be taken to not use data
798 constraints at one scale (e.g. global) to drive responses at another scale (e.g. responses to
799 change over time).

800

801 The increasing use of trait databases (Wright *et al.*, 2004; Kattge *et al.*, 2011) in modeling
802 activities has started to address some of these issues by leveraging more comprehensive
803 descriptions of traits within models and across PFTs (LeBauer *et al.*, 2013; Dietze *et al.*, 2014;
804 Fisher *et al.*, 2015). These databases should also be used to more extensively explore trait-
805 environment relationships. New, model-data integration frameworks (e.g. LeBauer *et al.*, 2013;

806 Dietze *et al.*, 2014) can be used to explore the capacity to adequately parameterize existing and
807 new model representations, expand PFT descriptions, as well as identify critical model
808 uncertainties and data gaps and thus prioritize observational and model development activities
809 (Dietze *et al.*, 2014). Given the current diverse methods used to parameterize photosynthetic
810 parameters (Rogers, 2014), the available data (e.g. Kattge *et al.* 2011), and new opportunities
811 to markedly expand databases (e.g. Serbin *et al.* 2012, De Kauwe *et al.* 2016), we recommend
812 that models should now use common parameterizations for photosynthetic parameters e.g.
813 $V_{c,max}$ and J_{max} that are constrained by the available data and consistent with known trait
814 covariance, thereby removing unnecessary uncertainty from model projections.

815

816 The capacity to utilize remote sensing observations to inform model parameterizations,
817 representations, and trait-environment relationships across spatial and temporal scales is
818 increasing (Dahlin *et al.*, 2013; Serbin *et al.*, 2015; Schimel *et al.*, 2015; Shugart *et al.*, 2015;
819 Singh *et al.*, 2015). Importantly, remote sensing observations can provide a synoptic view of
820 trait variability and functional diversity across landscapes (e.g. Dahlin *et al.*, 2013; Asner *et al.*
821 2015; Singh *et al.*, 2015) and identify emergent relationships that could be included in next-
822 generation trait-based models. These observations can also be used as important datasets to
823 benchmark prognostic traits at the relevant spatial scales (e.g. Fisher *et al.*, 2015). Proposed
824 and upcoming and satellite missions, including NASA's Hyperspectral Infrared Imager (HyspIRI)
825 mission concept (Lee *et al.*, 2015) and the European Space Agencies Environmental Mapping
826 and Analysis Program (EnMAP; Guanter *et al.*, 2015), will provide a critical capacity to provide
827 this information for global-scale models.

828 *Recommendations: (19) Data constraints (e.g. trait tradeoffs) must be applied at the relevant*
829 *spatial and temporal scales. (20) Where possible, TBMs should use common parameterization*
830 *for photosynthetic parameters. (21) TBMs should make better use of remote sensing data to*
831 *inform model parameterizations and test predictions.*

832

833 **Conclusion**

834

835 Realistic model representation of A , and more broadly, plant physiological processes, should be
836 an essential component of TBMs because that same plant physiology is determining the
837 response of the terrestrial biosphere to global change, including the fate of the terrestrial
838 carbon sink. However, many TBMs fail to accurately represent photosynthetic responses to key
839 environmental variables. Here, in a subset of TBMs, we have shown marked model divergence
840 in the representation of key physiological responses for a single well-defined PFT. We have
841 made 21 recommendations that highlight where steps can be taken to improve existing model
842 representation. Our recommendations include areas where immediate steps could be taken,
843 areas where model development is hindered by a lack of physiological data and several
844 important avenues of research that are critical to our understanding that are not currently
845 mature enough to include in model structures. These recommendations are summarized in Fig.
846 6.

847 Current model representation of A has a foundation in research conducted in temperate
848 climates. However, other biomes that are climatically sensitive and globally important are
849 understudied, and therefore process representation in these biomes is uncertain; the Arctic and

850 tropics deserve particular attention. The approach taken here, i.e. evaluating how TBMs
851 reproduce physiological responses to key environmental drivers, was found to be extremely
852 informative by all who participated. We feel the process provides a useful template for
853 meaningful collaboration between empiricists and modelers and that including the
854 physiological outputs considered here as readily available diagnostic features would be a highly
855 valuable feature to include in new TBMs. This study also highlighted the need for a multi-
856 assumption model framework within which the modeling community and domain experts could
857 evaluate different model structures and parameterization approaches and quantitatively
858 evaluate their effect on model outputs. Such a framework would provide a forum where
859 modelers and, in this case, physiologists could reach agreement over the best approaches for
860 representing and parameterizing the sub-processes within complex TBMs.

861

862 **Acknowledgements**

863

864 We thank the *New Phytologist Trust* for its generous support of the 9th New Phytologist
865 Workshop - Improving representation of photosynthesis in Earth System Models - held in
866 Montauk, NY in April 2014. AR and SPS were supported by the Next-Generation Ecosystem
867 Experiments (NGEE Arctic and NGEE Tropics) projects that are supported by the Office of
868 Biological and Environmental Research in the Department of Energy, Office of Science, and
869 through the United States Department of Energy contract No. DE-SC00112704 to Brookhaven
870 National Laboratory; DW acknowledges support from NSERC, CFI and an Ontario ERA award.
871 JSD received support from NSF (DEB-0955771).

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1583 **Figure Legends**

1584

1585 **Figure 1** The response of leaf level (a) and canopy level (b,c,d) photosynthesis (A) to
1586 instantaneous quantum flux density (Q) for three different values of leaf area index; LAI=1 (b),
1587 LAI=3 (c), and LAI=7 (d) for seven models; BETHY (red), CLM (blue), ED2 (cyan), JSBACH (pink),
1588 JULES (dark green) G'DAY (black), O-CN (green). Plots show responses in standard conditions for
1589 a single plant functional type, a generic temperate broad leaved deciduous tree. Where $V_{c,max}$
1590 is $60 \mu\text{mol m}^{-2} \text{s}^{-1}$. VPD was fixed at 1 kPa, soil moisture content was fixed at field capacity, and
1591 atmospheric $[\text{O}_2]$ at $210 \text{ mmol mol}^{-1}$, C_a at $380 \mu\text{mol mol}^{-1}$. Sunlit upper canopy leaf
1592 temperature was fixed at 25°C .

1593

1594 **Figure 2** The response of leaf level (a,b) and canopy level (LAI =3; c, d) photosynthesis (A)
1595 to leaf temperature at two atmospheric $[\text{CO}_2]$ ($380 \mu\text{mol mol}^{-1}$ a, c and $550 \mu\text{mol mol}^{-1}$ b, d) for
1596 seven models; BETHY (red), CLM (blue), ED2 (cyan), JSBACH (pink), JULES (dark green) G'DAY
1597 (black), O-CN (green). Plots show responses in standard conditions for a single plant functional
1598 type, a temperate broad leaved deciduous tree. Where $V_{c,max}$ is $60 \mu\text{mol m}^{-2} \text{s}^{-1}$. VPD was fixed
1599 at 1 kPa, soil moisture content was fixed at field capacity, and atmospheric $[\text{O}_2]$ at 210 mmol
1600 mol^{-1} , Q at $1500 \mu\text{mol m}^{-2} \text{s}^{-1}$. Sunlit upper canopy leaf temperature was fixed at 25°C .

1601

1602 **Figure 3** The response of leaf level (a,b) and canopy level (LAI=3; c,d) photosynthesis (A)
1603 to atmospheric $[\text{CO}_2]$ (C_a) in seven models; BETHY (red), CLM (blue), ED2 (cyan), JSBACH (pink),
1604 JULES (dark green) G'DAY (black), O-CN (green). Panels show responses in our standard

1605 conditions for a single plant functional type, a temperate broad leaved deciduous tree where
1606 $V_{c,max} = 60 \mu\text{mol m}^{-2} \text{s}^{-1}$ (a,c) and when $V_{c,max} = 45 \mu\text{mol m}^{-2} \text{s}^{-1}$ (b, d). The *VPD* was fixed at 1
1607 kPa, soil moisture content at field capacity and *Q* at $1500 \mu\text{mol m}^{-2} \text{s}^{-1}$, atmospheric $[\text{O}_2]$ at 210
1608 mmol mol^{-1} Sunlit upper canopy leaf temperature was fixed at 25°C .

1609

1610 **Figure 4** The response of leaf level (a) and canopy level, where LAI=3 (b) photosynthesis
1611 (A) to vapor pressure deficit (*VPD*) for seven models; BETHY (red), CLM (blue), ED2 (cyan),
1612 JSBACH (pink), JULES (dark green) G'DAY (black), O-CN (green). Plots show responses in
1613 standard conditions for a common plant functional type, a temperate broad leaved deciduous
1614 tree. Where $V_{c,max} = 60 \mu\text{mol m}^{-2} \text{s}^{-1}$. Soil moisture content was fixed at field capacity, *Q* at 1500
1615 $\mu\text{mol m}^{-2} \text{s}^{-1}$, C_a at $380 \mu\text{mol mol}^{-1}$, atmospheric $[\text{O}_2]$ at 210mmol mol^{-1} Sunlit upper canopy leaf
1616 temperature was fixed at 25°C .

1617

1618 **Figure 5** The response of leaf level (a) and canopy level, where LAI=3 (b) photosynthesis
1619 (A) to soil water content expressed as a fraction of field capacity for seven models; BETHY (red),
1620 CLM (blue), ED2 (cyan), JSBACH (pink), JULES (dark green) G'DAY (black), O-CN (green). Plots
1621 show responses in standard conditions for a single plant functional type, a temperate broad
1622 leaved deciduous tree. Where $V_{c,max} = 60 \mu\text{mol m}^{-2} \text{s}^{-1}$. *VPD* was fixed at 1 kPa, *Q* at $1500 \mu\text{mol}$
1623 $\text{m}^{-2} \text{s}^{-1}$, C_a at $380 \mu\text{mol mol}^{-1}$, atmospheric $[\text{O}_2]$ at 210mmol mol^{-1} Sunlit upper canopy leaf
1624 temperature was fixed at 25°C .

1625

1626 **Figure 6** Summary of the main areas of scientific activity required to advance
1627 representation of photosynthesis in Earth System Models. Blue boxes show areas where
1628 fundamental research is required to advance understanding prior to incorporation into models.
1629 Yellow boxes show areas where model refinement or development is required to improve
1630 process representation. Green boxes highlight areas where data are needed to parameterize
1631 models or are required to evaluate alternative approaches. The numbers in the boxes are keyed
1632 to our recommendations in the text.

Table 1 Model representation of the response of C_3 photosynthesis to key environmental variables used for this study.

BETHY	CLM4.5	ED2	G'DAY	JSBACH	JULES	O-CN
Leaf photosynthesis (response to C_a)						
Farquhar et al (1980), no TPU limitation	Farquhar et al (1980), includes TPU limitation and co-limitation from Collatz et al. (1991)	Collatz et al. (1991) and Foley et al. (1996); no TPU limitation	Farquhar et al (1980), no TPU limitation	Farquhar et al (1980), no TPU limitation	Collatz et al. (1991), TPU limitation included	Farquhar type (Farquhar et al 1980) following Kull and Kruijt (1998)
Stomatal conductance (response to atmospheric VPD, C_a soil moisture and A)						
Minimum of (1) stomatal conductance necessary to realize maximum $C_i:C_a$ and (2) soil water availability (Federer 1982).	Sensitivity to atmospheric RH , C_a and A from Ball, Woodrow & Berry (1987) Sensitivity to soil moisture is from a beta factor applied to the intercept of the Ball, Woodrow & Berry (1987) model. The beta factor is summed over soil layers, weighted by root fraction in each layer and calculated based on soil moisture content	Sensitivity to atmospheric VPD , C_a and A from Leuning et al. (1995) Water supply is proportional to soil moisture \square root biomass. If the open stomata demand exceeds supply then g_s is linearly scaled between open and closed stomata.	Sensitivity to atmospheric VPD , C_a and A from Medlyn et al. (2011) Sensitivity to soil moisture from beta factor applied to the slope of the stomatal response (Medlyn et al. 2011). Soil moisture content is expressed as a fraction of total plant available water and dependent on soil type	Estimates potential A for any given condition assuming a maximal $C_i:C_a$ resulting from a maximum potential g_s When soil moisture content falls below 50% of plant available water maximum potential g_s is reduced linearly.	Sensitivity to atmospheric VPD , C_a and A from modification of Leuning et al (1995) model as proposed by Jacobs (1994)	Non-linear sensitivity to specific humidity deficit and C_i . The latter is necessary because A for g_s is evaluated at saturating C_i . A beta factor is applied to the slope of the stomatal response when soil moisture content falls below 50% of plant available water.
Leaf photosynthesis (response to light)						
Rectangular hyperbola, with realized quantum yield and A_{sat} calculated from Farquhar model	Non-rectangular hyperbola, with realized quantum yield and A_{sat} calculated from Farquhar model	Hyperbolic function, with realized quantum yield and A_{sat} calculated from the Collatz (1991) model, no J_{max} term included	Non-rectangular hyperbola, with realized quantum yield and A_{sat} calculated from Farquhar model	Rectangular hyperbola, with realized quantum yield and A_{sat} calculated from Farquhar model	Hyperbolic function, with realized quantum yield and A_{sat} calculated from the Collatz (1991) model, no J_{max} term included	Explicit separation into light saturated and limited regions: A_{sat} is calculated from Farquhar et al (1980). Light

limited A is assumed to be proportional to light absorption (Kull and Kruijt, 1998)

Leaf photosynthesis (response to temperature)

Temperature dependence of kinetic constants follows Bernacchi et al. (2001). $V_{c,max}$ and J_{max} are peaked Arrhenius functions of temperature.	Temperature dependence of kinetic constants follows Bernacchi et al. (2001). $V_{c,max}$ and J_{max} are peaked Arrhenius functions of temperature. TPU has the same temperature response as $V_{c,max}$.	Follows Collatz et al., (1991) and Foley et al., (1996). The temperature dependent kinetic constants follow a modified Arrhenius function. A phenomenological thermal downscaling of $V_{c,max}$ occurs at low and high temperatures (Medvigy et al., 2009)	Temperature dependence of kinetic constants follows Bernacchi et al. (2001). $V_{c,max}$, J_{max} and R_d are peaked Arrhenius functions (Medlyn et al. 2002).	Temperature dependence of kinetic constants and $V_{c,max}$ follow an Arrhenius function, Γ^* and J_{max} vary linearly with temperature.	Follows Collatz et al. (1991), the temperature dependence of kinetic constants follows a Q_{10} function. $V_{c,max}$ has a peaked temperature function calculated from $V_{c,max}$ at 25°C using vegetation-specific optimal temperature ranges.	Temperature dependence of kinetic constants and $V_{c,max}$ follows Bernacchi et al. (2001). The temperature dependence of J_{max} is derived from June et al. (2004)
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Leaf photosynthesis (response to soil moisture content)

A beta factor is applied to $V_{c,max}$. The beta factor, calculated based on soil moisture potential, is summed over soil layers, weighted by root fraction in each layer.	A beta factor is applied to J_{max} and $V_{c,max}$. Soil moisture content is expressed as a fraction of total plant available water and dependent on soil type	Potential A is multiplied by a soil water stress factor related to the mean soil moisture concentration in the root zone and the critical and wilting point soil water concentrations.(Cox et al.,1998)	A beta factor reduces $V_{c,max}$ and J_{max} when plant available water <20% (Friend, 2010)
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Canopy scaling

Multiple canopy	The Multi-layer	Cohort-based model	Big-leaf model,	Multiple canopy	Multi-layer canopy	Multiple canopy
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layers, using Sellers's (1987) two-stream approximation. $V_{c,max}$ and J_{max} declines exponentially within the canopy following Lloyd et al. (2012).	option explicitly resolves direct and diffuse radiation for sunlit and shaded leaves at each level in the canopy. Both options use Sellers's (1987) two-stream approximation for radiative transfer. Nitrogen declines exponentially with greater cumulative leaf area index.	with the number of layers equal to the number of cohorts. Cohorts differ by PFT definition. Radiation penetration is defined by LAI and the leaf and wood single scattering albedos. There is no separation of sunlit and shaded foliage in the default version	assuming exponential light and nitrogen distributions. Daily A calculated using Gaussian integration (Sands 1996)	layers, using Sellers's (1987) two-stream approximation. LAI typically = 3. For LAI < 3, N (and hence $V_{c,max}$, J_{max}) is distributed evenly in the canopy (assumed to be open). For LAI > 3, N follows the distribution of light (exponential decline).	using the two-stream approximation from Sellers (1985) solving direct and diffuse radiation for sunlit and shaded canopy layer. Includes exponential vertical nitrogen distribution of photosynthetic capacity and leaf respiration.	layers with diffuse and direct radiation streams following Spitters (1986). Nitrogen declines exponentially with greater cumulative leaf area index, affecting $V_{c,max}$ and J_{max}
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Key Model References

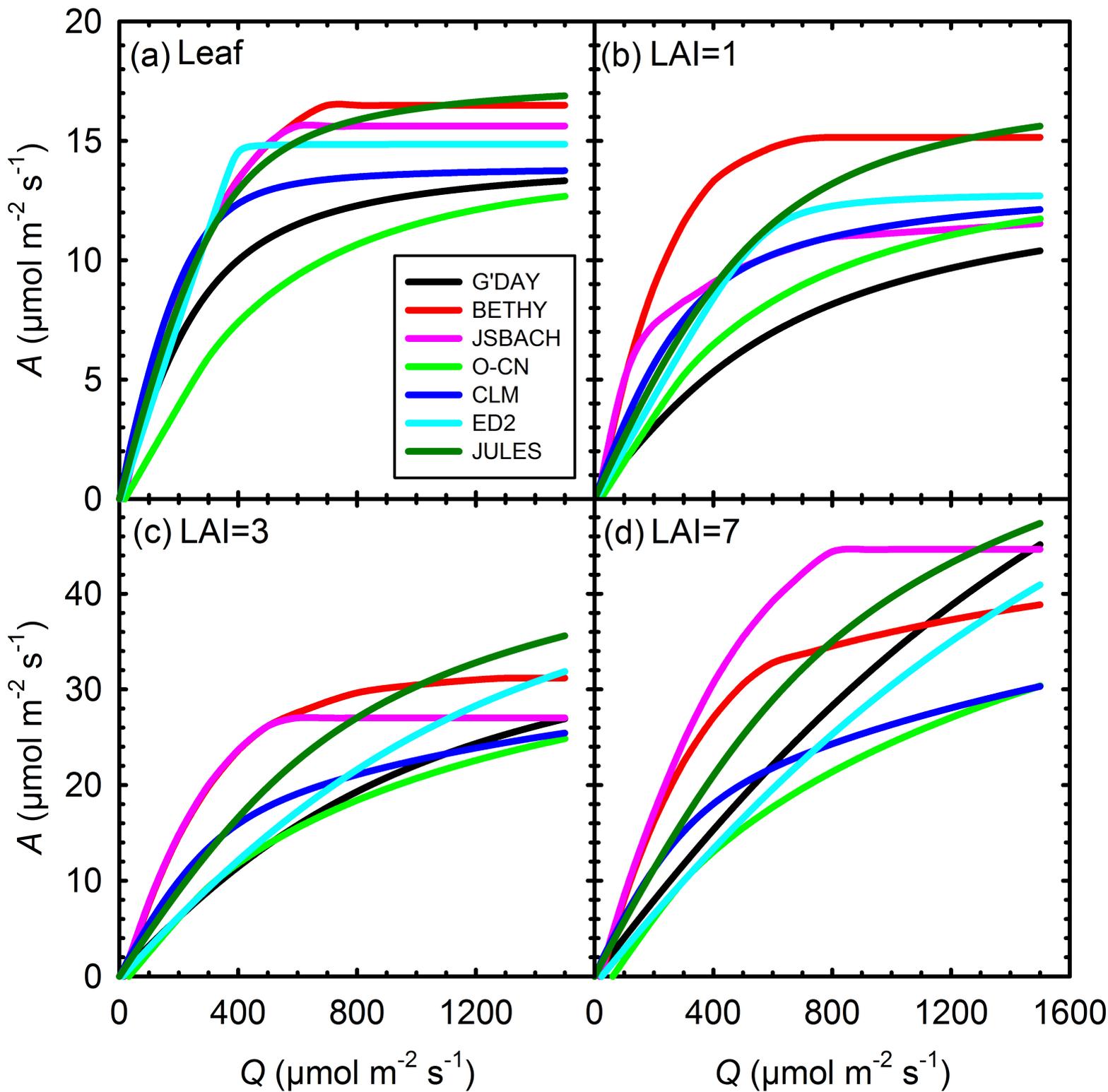
Knorr & Heimann (2001)	Bonan et al (2011, 2012), Oleson et al (2013)	Medvigy et al (2009), Moorcroft et al (2001)	Knorr & Heimann (2001)	Best et al (2011), Clark et al (2011), Harper (2016)	Zaehle & Friend (2010), Friend (2010)
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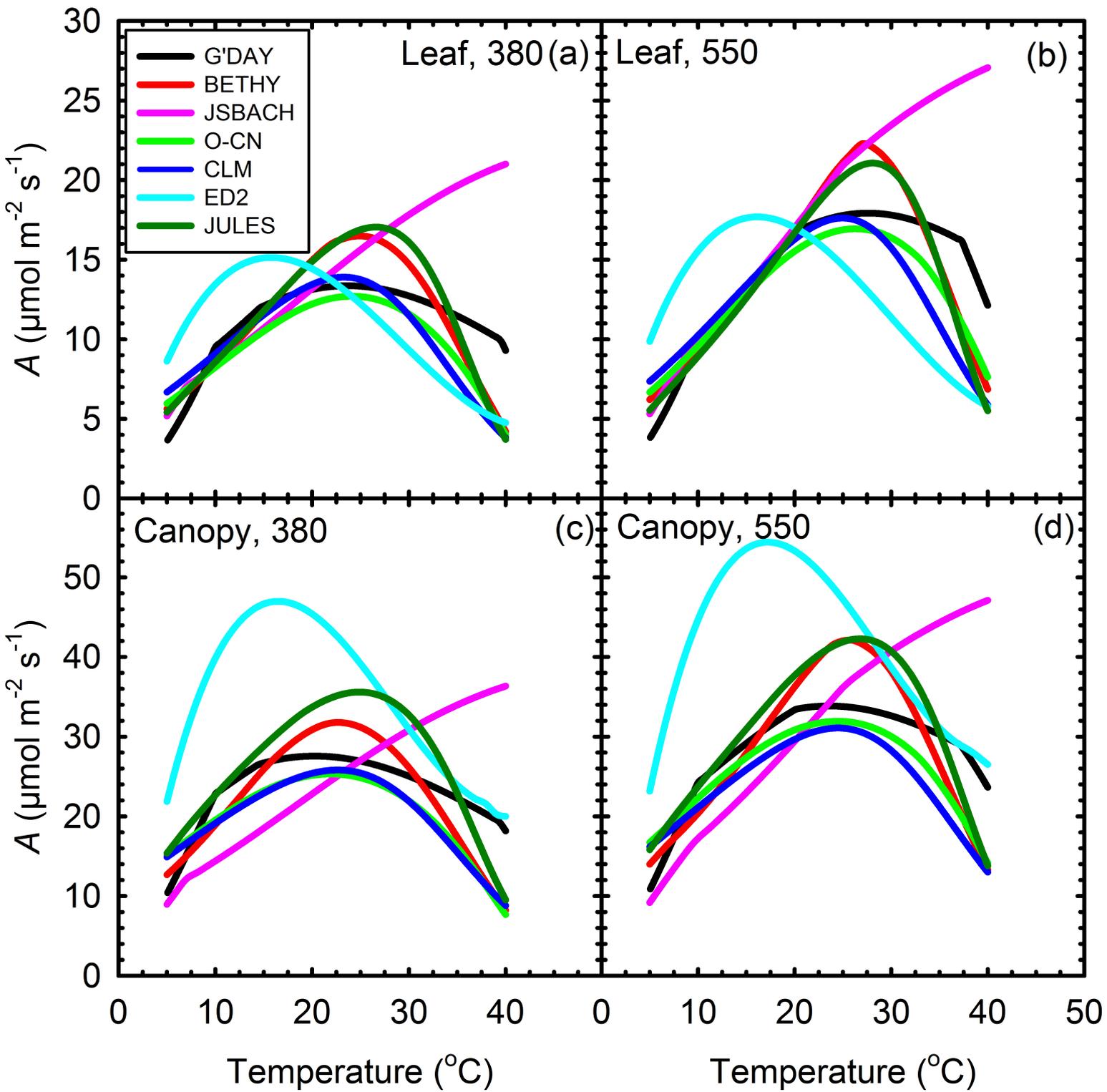
BETHY = Biosphere Energy Transfer Hydrology scheme, CLM4.5 = the Community Land Model version 4.5, G'DAY = Generic Decomposition And Yield model (G'DAY), JSBACH = Joint Scheme for Biosphere Atmosphere Coupling in Hamburg, JULES = Joint UK Land Environment Simulator, O-CN = An extension of the Organizing Carbon and Hydrology in Dynamic Ecosystems model that includes key N cycle processes.

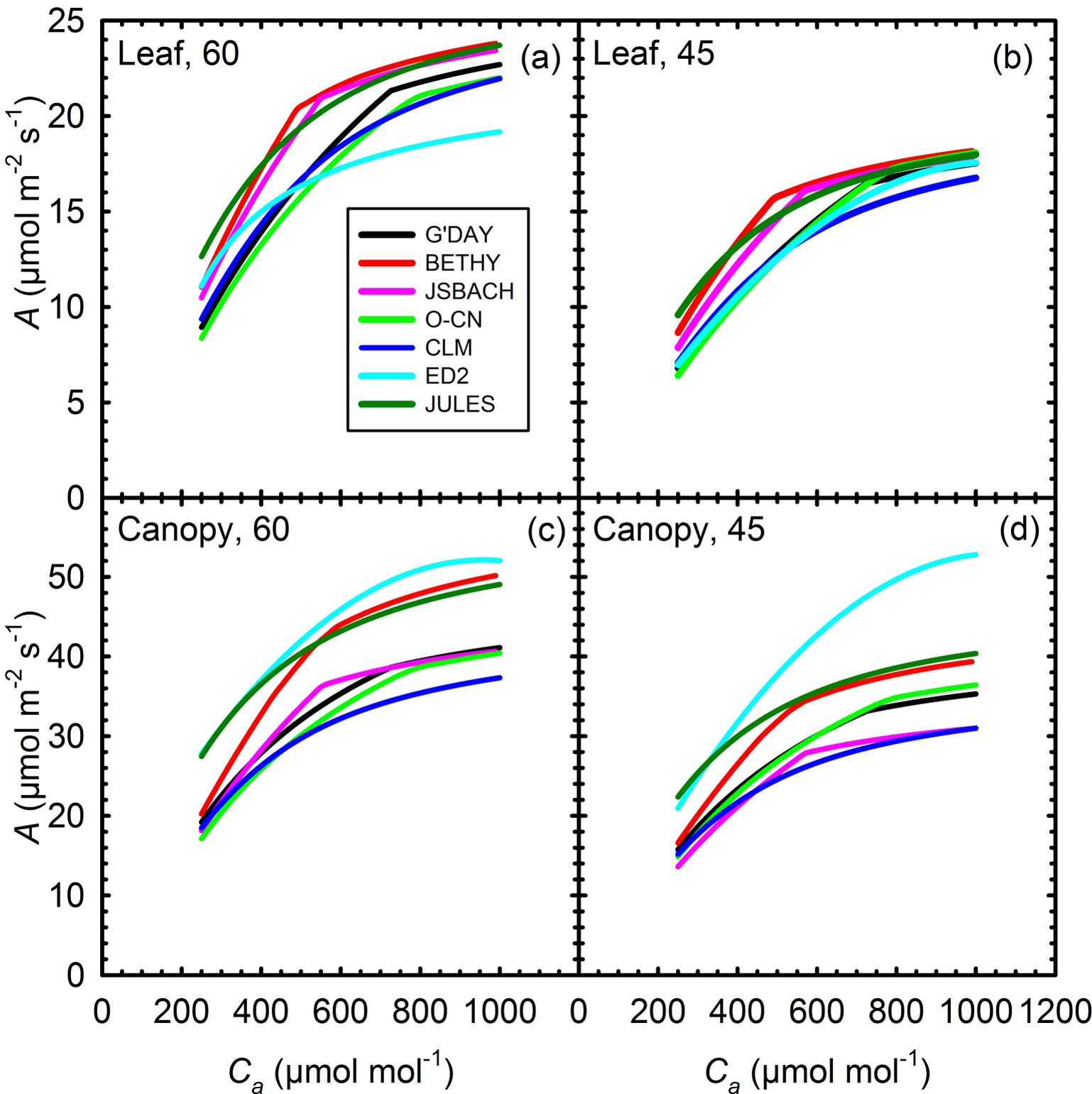
Table 2 Parameters used by the models in this study (Table 1).

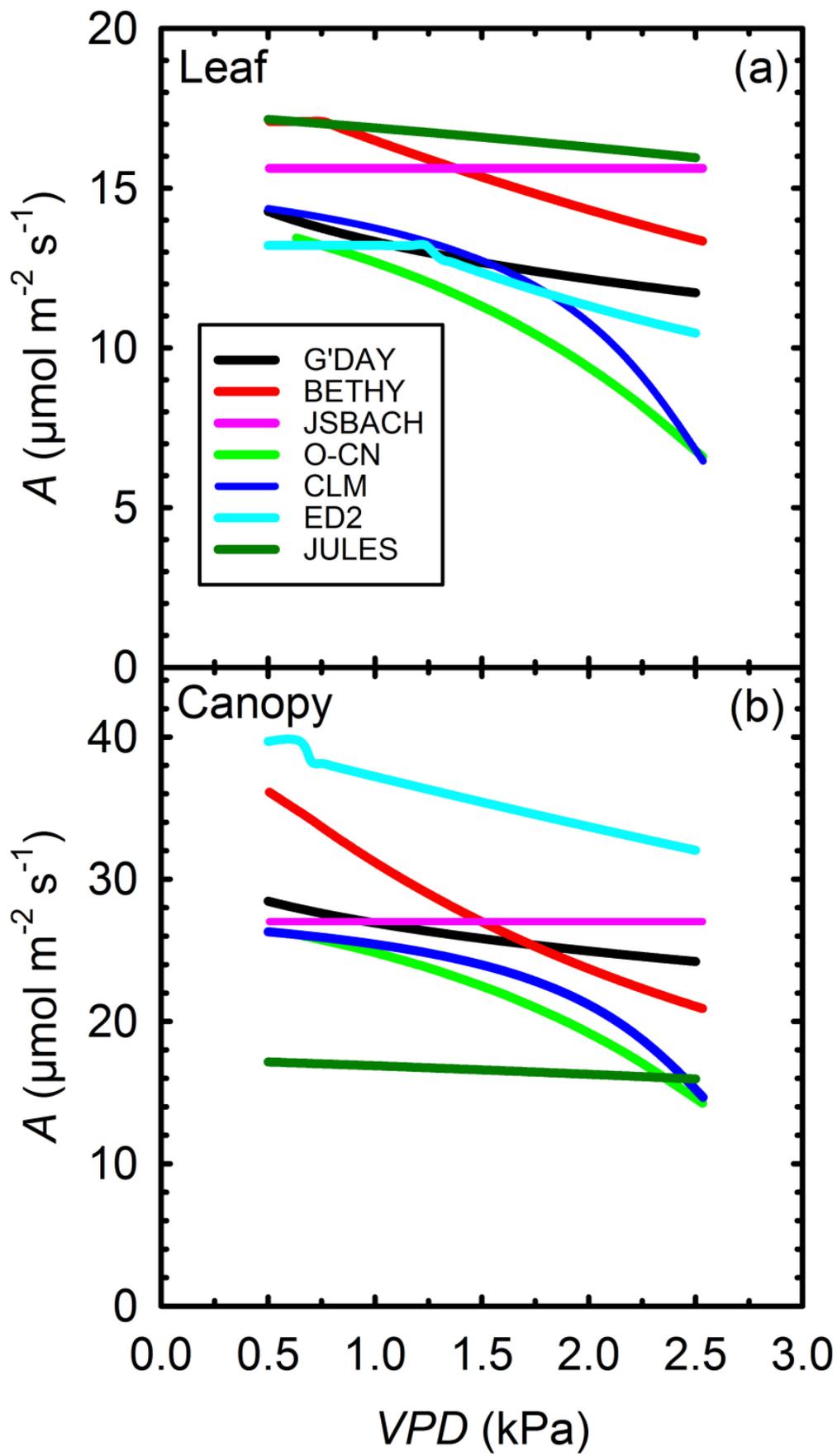
	BETHY	CLM4.5	ED2	G'DAY	JSBACH	JULES	O-CN
K_c at 25°C ($\mu\text{mol mol}^{-1}$)	404.9	404.9	300	404.9	404.9	300	404.9
K_o at 25°C (mmol mol^{-1})	278.4	278.4	294	278.4	278.4	300	278.4
Γ^* at 25°C ($\mu\text{mol mol}^{-1}$)	42.75	42.75	41.57	42.75	42.75	40.38	42.75
Source of kinetic constants	Bern	Bern	Foley	Bern	Bern	Collatz	Bern
JV_{ratio}	1.92	1.97	N.A.	2.00	1.90	N.A.	2.08
J_{max} ($\mu\text{mol m}^{-2} \text{s}^{-1}$)	115(86)	115(85)	N.A.	120(90)	114(86)	N.A.	126(94)
Absorbance	0.88	0.85	0.73	0.85	0.88	0.85	0.80
Convexity	N.A.	0.98 & 0.95 ^a	N.A.	0.7	N.A.	0.83 & 0.93 ^a	N.A.
C_i at low light ($\mu\text{mol mol}^{-1}$)	348	400	400	293	348	280	N.D.
Model input for quantum yield	0.28 ^b	0.4250 ^b	0.08 ^d	0.26 ^c	0.28 ^b	0.08 ^d	0.08 ^d
Calculated ϕ_{int}	0.070	0.106	0.080	0.076	0.070	0.080	0.080
ϕ_{real}	0.049	0.053	0.038	0.038	0.050	0.045	0.022

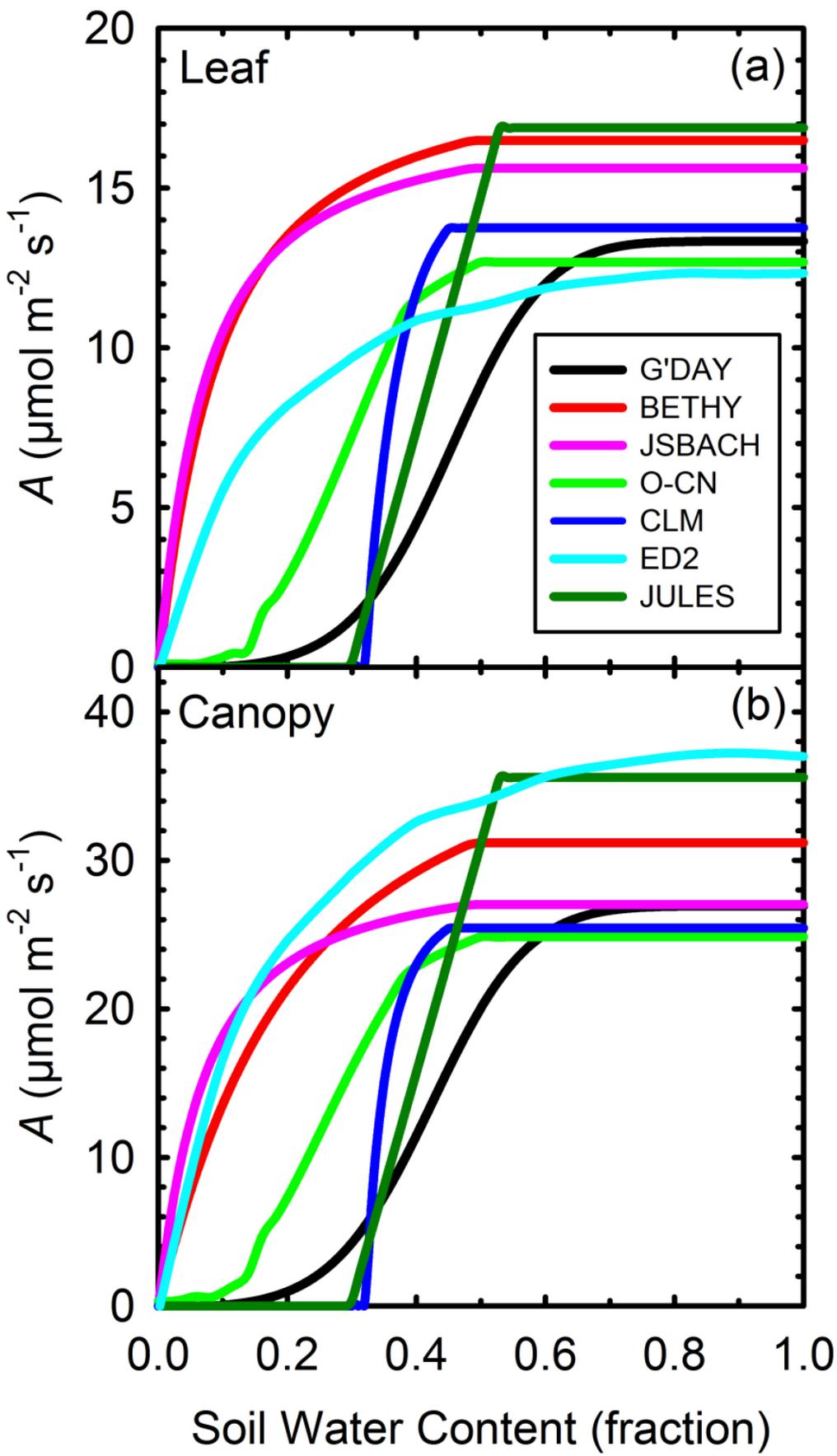
The Michaelis-Menton constants of Rubisco for carbon dioxide (K_c) and oxygen (K_o), the CO_2 compensation point in the absence of non-photorespiratory mitochondrial respiration in the light (Γ^*) and the sources of those kinetic constants (Bern = Bernacchi et al. 2001, Collatz = Collatz et al. 1991, Foley = Foley et al. 1996). Where applicable the model specific ratio of the maximum electron transport rate (J_{max}) to maximum photosynthetic capacity ($V_{c,max}$), the (JV_{ratio}), was used to calculate J_{max} for standard conditions, low nitrogen conditions are shown in parentheses. Leaf absorbance; the convexity term (^afor the transition between Rubisco and light limited and light limited and TPU limited A respectively); the intercellular $[\text{CO}_2]$ (C_i) at low light. Three model inputs were used to parameterize quantum yield (^bquantum yield of electron transport based on absorbed light, ^cquantum yield of electron transport based on incident light and ^dquantum yield of photosynthesis based on absorbed light and measured under non-photorespiratory conditions (ϕ_{int}). Here we also show the calculated intrinsic quantum yield for all models to enable model comparisons. The modeled realized quantum yield under our standard conditions when $Q = 100 \mu\text{mol mol}^{-1}$ (ϕ_{real}) is the initial slope of the leaf level A - Q response shown in Fig 1a for our standard conditions where the ($V_{c,max}$) was set to $60 \mu\text{mol m}^{-2} \text{s}^{-1}$ (and $45 \mu\text{mol m}^{-2} \text{s}^{-1}$ for low nitrogen conditions) and where temperature = 25°C, atmospheric $[\text{O}_2] = 210 \text{mmol mol}^{-1}$, $C_a = 380 \mu\text{mol mol}^{-1}$, $VPD = 1 \text{kPa}$ and soil moisture content was at field capacity. N.A. = not applicable, N.D. = no data.

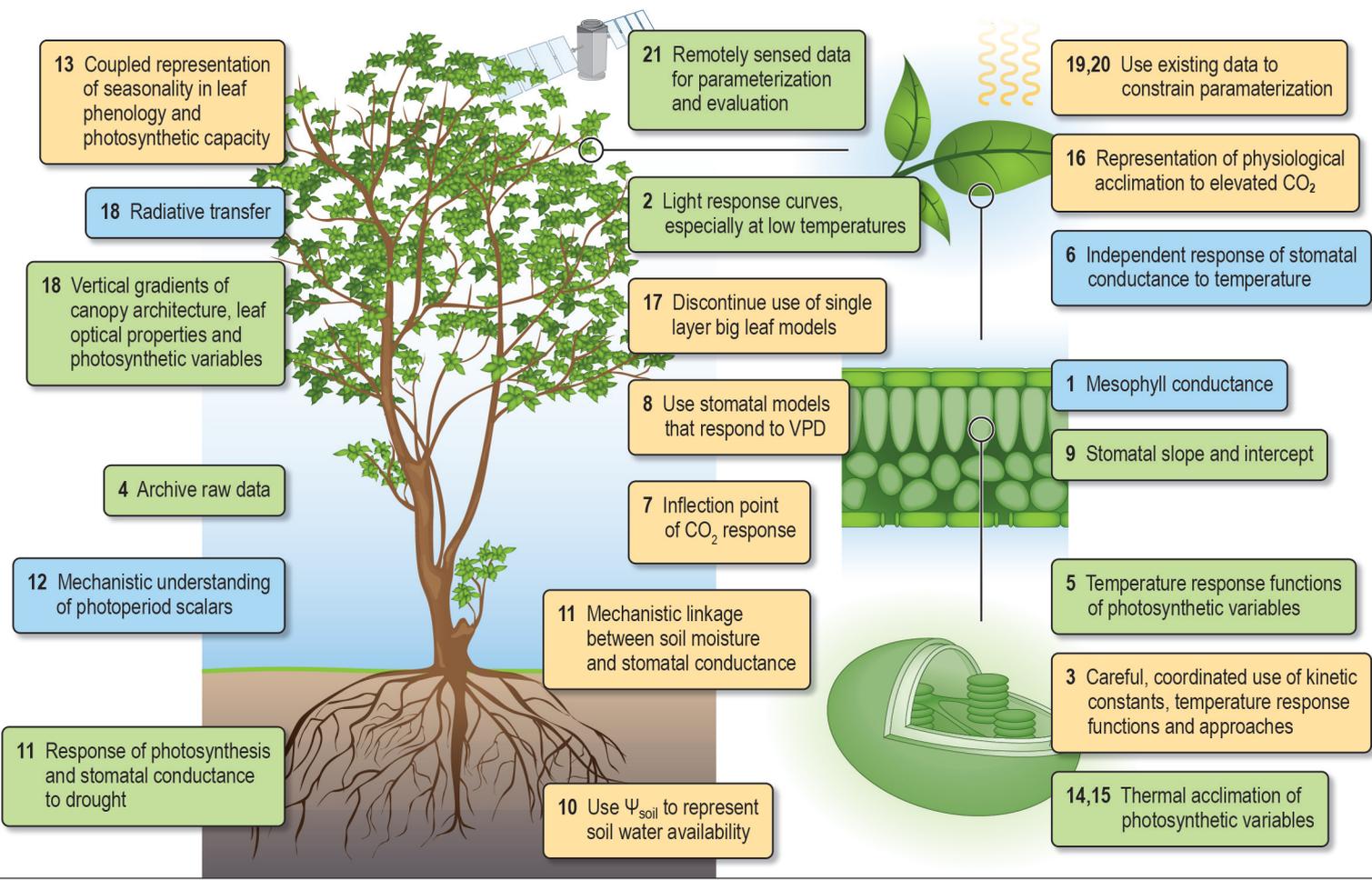












Green box: Data needed for model parameterization or evaluation

Yellow box: Model development activity

Blue box: Process knowledge required